Edgar Filing: ALERE INC. - Form 4

ALERE INC. Form 4 May 15, 2015 FORM 4 Check this box if no longer subject to Section 16. Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, extinct a of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1935 or Section if no longer subject to Section 17(a) of the Investment Company Act of 1940 if holding Compa											
(Print or Type	Responses)										
GOLDBERG CAROL R Symbol			r Name and Ticker or Trading E INC. [ALR]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)		3. Date of Earliest Transaction				(Check all applicable)				
C/O INVEF INNOVAT SAWYER	,				_X_ Director Officer (give t below)	ive title Other (specify below)					
Filed(Mon			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
Person											
(City)	(State) (Zip)		le I - Non-E 3.			-	uired, Disposed of		-		
1.Title of Security (Instr. 3)	(Month/Day/Year) Exec any	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)				equired l of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/13/2015 <u>(1)</u>		М	25,000	А	\$ 28.03	25,000	D			
Common Stock	05/13/2015 <u>(1)</u>		F	14,076	D	\$ 49.78	10,924	D			
Common Stock							22,813	Ι	See Footnote		
Common Stock							40,024	Ι	See Footnote (4)		

Edgar Filing: ALERE INC. - Form 4

Common Stock						2	,304	Ι	See Footnote	
Common Stock						1	6,666	Ι	See Footnote (6)	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	TransactiorDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 28.03	05/13/2015 <u>(1)</u>		М		25,000	05/24/2008	05/24/2015	Common Stock	25,000
Reporting Owners										

Reporting Owner Name / Addr	Relationships					
	••••	Director	10% Owner	Officer	Other	
GOLDBERG CAROL R C/O INVERNESS MEDICAL INNOVA 51 SAWYER ROAD, SUITE 200 WALTHAM, MA 02453	ATIONS, INC.	Х				
Signatures						
/s/ Jay McNamara, Attorney-in-Fact	05/15/2015					
<u>**</u>Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction reported on this form represents a net exercise of expiring stock options for restricted shares.
- (2) This derivative security does not have a price.
- (3) These securities are held by The Carol R. Goldberg Qualified Annuity Trust 2013, of which the reporting person is the trustee.
- (4) These securities are held by The Carol R. Goldberg Qualified Annuity Trust 2014, of which the reporting person is the trustee.
- (5) These securities are held by the Avram J. Goldberg and Carol R. Goldberg Charitable Remainder Unitrust, of which the reporting person and her spouse are the trustees.
- (6) These shares are owned by the Sydney R. Rabb Trust f/b/o Carol R. Goldberg, of which the reporting person, along with another unrelated individuals, are the trustees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.