Edgar Filing: CIM Commercial Trust Corp - Form 4

| CIM Comme Form 4 March 28, 20 | ercial Trust Corp 016 | | | | | | | | | |
|--|--|---|--|---|-----------|--|---|------------------------|------------------------|--|
| | | | | | | | | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 c | ser STATEM o STATEM | ENT OF CHAN | NERSHIP OF | Expires: Estimated a burden hou response | ~ | | | | | |
| obligatio may con | Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | |
| Kuba Shaul Symbol | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | ommercial Trust Corp] | | | | (Check all applicable) | | | |
| (Last) (First) (Middle) 3. Date of (Month/D 4700 WILSHIRE BLVD 03/24/20 | | | - | | | | X_ DirectorX_ 10% Owner Officer (give titleOther (specify below)Other (specify | | | |
| | | | ndment, Date Original hth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| LOS ANGELES, CA 90010 Form filed by More than One Reporting Person | | | | | | | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 03/24/2016 | | P <u>(1)</u> | 100 | А | \$ 18 | 13,592 <u>(2)</u> | Ι | See footnote (5) | |
| Common Stock | 03/28/2016 | | P <u>(1)</u> | 40 | A | \$ 17.35 | 13,992 <u>(2)</u> | I | See footnote (5) | |
| Common Stock | | | | | | | 353,944 <u>(2)</u> | I | See footnote (3) | |
| Common Stock | | | | | | | 95,440,000 (2) | Ι | See footnote | |

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | e 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|--|-------------------------------------|--------------------|---|--|---|---|
| | | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Kuba Shaul 4700 WILSHIRE BLVD LOS ANGELES, CA 90010 | Х | Х | | | | | |
| Signatures | | | | | | | |
| /s/ David Thompson, attorney in fact | | 03/28/201 | 6 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by The Kuba Family Trust on September 14, 2015.

The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the (2) inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes

of Section 16 or for any other purpose.

(3)

Edgar Filing: CIM Commercial Trust Corp - Form 4

These shares are owned directly by CIM Service Provider, LLC. The reporting person, together with Richard Ressler and Avraham Shemesh, is a control person of CIM Holdings, Inc., which is the sole managing member of CIM Group, LLC, which is the sole equity member of CIM Service Provider, LLC. The reporting person, CIM Holdings, Inc. and CIM Group, LLC are indirect beneficial owners of 353,944 shares, subject to footnote (3) herein.

These shares are owned directly by Urban Partners II, LLC. The reporting person, together with Richard Ressler and Avraham Shemesh, is a control person of CIM Holdings, Inc., which is the sole managing member of CIM Group, LLC, which is the sole manager of CIM

- (4) Is a control person of Chy from any first the sole managing member of Urban Partners II, LLC, which is the sole managing member of Urban Partners II, LLC. The reporting person, CIM Holdings, Inc., CIM Group, LLC and CIM Urban Partners GP, LLC are indirect beneficial owners of 95,440,000 shares, subject to footnote (3) herein.
- (5) These shares are owned directly by The Kuba Family Trust. The reporting person is the grantor of The Kuba Family Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.