### Edgar Filing: McGraw Deirdre Davey - Form 4

McGraw Dei	rdre Davey										
Form 4											
August 18, 2	017										
FORM	4		GEGUD				NGEO		OMB AF	PROVAL	
Washington, D.C. 20549								OMB Number:	3235-0287		
Check thi if no long	or								Expires:	January 31, 2005	
subject to Section 16. Form 4 or				ANGES IN BENEFICIAL OW SECURITIES						imated average den hours per	
Form 5 obligation may cont <i>See</i> Instru 1(b).	inue. Section 17	(a) of the l	Public Ut		ling Con	npan	y Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type F	Responses)										
McGraw Deirdre Davey Symb				2. Issuer Name <b>and</b> Ticker or Trading mbol MERIPRISE FINANCIAL INC				5. Relationship of Reporting Person(s) to Issuer			
	[AMP]	PRISE FI	INANCI	AL I	INC	(Check all applicable)					
(Last) (First) (Middle) 3. Date of (Month/D			-				Director 10% Owner X Officer (give title Other (specify below) below)				
	RIPRISE FINAN ENERAL COU		08/16/20	017				· · · · · · · · · · · · · · · · · · ·	Comm/Cmnity	Rltns	
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
MINNEAPO	DLIS, MN 55474	1						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	4. Securi m(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	08/16/2017			М	5,452	А	\$ 87.79	19,141	D		
Common Stock	08/16/2017			F	4,503	D	\$ 144.9	14,638	D		
Common Stock								483.55 <u>(1)</u>	I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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#### required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number 6. Date Exercisable and mof Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Instr. 3 and 4)		8 E S (1	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 87.79	08/16/2017		М	5,452	(2)	02/01/2026	Common Stock	5,452	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
McGraw Deirdre Davey 1098 AMERIPRISE FINANCIAL CENTER GENERAL COUNSEL'S OFFICE MINNEAPOLIS, MN 55474			EVP Corp Comm/Cmnity Rltns				
Signatures							

/s/ Thomas R. Moore for Deirdre Davey 08/18/2017 **McGraw** 

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise (1) Financial 401(k) plan as of August 16, 2017. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

One-third of the options vest after one year; one-third of the options vest after two years and the final options vest three years from (2) February 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.