

DAVITA INC

Form 4

November 19, 2002

SEC Form 4

FORM 4 ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response: 0.5	
1. Name and Address of Reporting Person* Mello, Joseph C. (Last) (First) (Middle) 21250 Hawthorne Blvd. Suite #800 (Street) Torrance, CA 90503 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol DaVita Inc. DVA 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for Month/Day/Year 11/15/2002 5. If Amendment, Date of Original (Month/Day/Year)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ 10% Owner ☒ Officer (give title below) ☐ Other (specify below) Description <u>Chief Operations Officer</u> 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	A/D	Price			
Common Stock	02/08/2002		A(1)	V	40,000	A	\$0.00		D	
Common Stock	11/15/2002		M		25000	A	\$5.4375		D	
Common Stock	11/15/2002		S		25000	D	\$25.88		D	
Common Stock	11/15/2002		M		25000	A	\$5.3125		D	
Common Stock	11/15/2002		S		600	D	\$26.00		D	

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Common Stock	11/15/2002		S		300	D	\$25.99		D	
Common Stock	11/15/2002		S		6500	D	\$25.98		D	
Common Stock	11/15/2002		S		400	D	\$25.96		D	
Common Stock	11/15/2002		S		17200	D	\$25.80	65,974	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr.8)		5. Number of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned For Reporting Transaction (Instr. 3 and 4)
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares		
Stock Options (Right to Buy)	\$23.25	02/08/2002		A(2)	V			02/08/2004(3)	02/08/2007	Common Stock	100,000	\$0	
Stock Option (Right to Buy)	\$5.4375	11/15/2002		M			25000	10/25/2000(4)	06/15/2005	Common Stock	25000	\$0	
Stock Option (Right to Buy)	\$5.3125	11/15/2002		M			25000	10/23/2000(5)	06/16/2005	Common Stock	25000	\$0	

Explanation of Responses:

Note #1: Grant of Restricted Units which vests in three equal installments beginning on 02/08/2004. Mr. Mello has elected to defer vesting of the first and second installments until 02/08/2006.

Note #2: Nonqualified stock options granted under the First Amended and Restated 1997 Equity Compensation Plan.

Note #3: The option vests 50% on the second anniversary date of the grant and 25% on each of the third and fourth anniversary dates of the grant.

Note #4: The option vests according to the following schedule: 56,250 on 10/25/00, 56,250 on 06/15/01, 56,250 on 06/15/02, and 56,250 on 06/15/03.

Note #5: The option vests according to the following schedule: 56,250 on 10/23/00, 56,250 on 06/16/01, 56,250 on 06/16/02, and 56,250 on 06/16/03.

By:
/s/ Joseph C. Mello

11/19/02

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** Signature of Reporting Person
Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.