#### Edgar Filing: BROADWAY FINANCIAL CORP \DE\ - Form 4

#### BROADWAY FINANCIAL CORP \DE\

Form 4

November 08, 2007

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

5. Relationship of Reporting Person(s) to

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

6. Ownership 7. Nature of

Form: Direct Indirect

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

2. Issuer Name and Ticker or Trading ROBERTS VIRGIL P Issuer Symbol **BROADWAY FINANCIAL CORP** (Check all applicable) \DE\ [BYFC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 4800 WILSHIRE BOULEVARD 11/08/2007 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

LOS ANGELES, CA 90010

(State)

(Zip)

2. Transaction Date 2A. Deemed

(City)

1.Title of

Security

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired

Person

5. Amount of

Securities

(Instr. 3)	(Internal 2 agr 1 car)	any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
					(A)		Reported Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/07/2007	11/07/2007	P	500	A	\$ 7.929	7,226	I	By VR and BR Pension Fund (3)

3.

(Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price of Derivative Security (Instr. 5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	(1)					<u>(4)</u>	<u>(4)</u>	Common Stock	3,888
Common Stock	(2)					<u>(4)</u>	<u>(4)</u>	Common Stock	1,838

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g	Director	10% Owner	Officer	Other			
ROBERTS VIRGIL P 4800 WILSHIRE BOULEVARD	X						
LOS ANGELES, CA 90010	Λ						

# **Signatures**

\s\ Daniele C. Johnson, Corporate Secretary, Attorney as-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

11/08/2007

- (1) Held by Virgil and Brenda Roberts Pension Plan
- (2) Held by Virgil Roberts.
- (3) 1838 held by Virgil Roberts personally.
- (4) The common stock may be exercised at any time, at the holder's election, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2