Komeiji John T Form 4/A April 09, 2013

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Komeiji John T

(Middle)

(Zip)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

5. Relationship of Reporting Person(s) to Issuer

below)

Hawaiian Telcom Holdco, Inc. [HCOM]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

03/11/2013

Symbol

Director 10% Owner

(Check all applicable)

SVP & General Counsel

C/O HAWAIIAN TELCOM HOLCO, INC., 1177 BISHOP

(First)

(Street)

STREET

1.Title of

Security

(Instr. 3)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person 03/13/2013 Form filed by More than One Reporting

HONOLULU, HI 96813

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Instr. 8)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4)

Other (specify

(A)

Transaction(s) (Instr. 3 and 4)

Reported

Code V Amount Price (D)

Common Stock

03/11/2013

8,215 \$0 Α (1)

57,133

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Komeiji John T - Form 4/A

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	) Derivative			Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired	cquired					Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Exercisable Date	Expiration Date	or Title Nu			
									oi Number		
				Code V	(A) (D)						
				Code V	(A) (D)				of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Komeiji John T C/O HAWAIIAN TELCOM HOLCO, INC. 1177 BISHOP STREET HONOLULU, HI 96813

**SVP & General Counsel** 

## **Signatures**

/s/ John T.

Komeiji 04/09/2013

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents target number of shares of common stock issuable upon settlement of restricted stock units. 50% of the restricted stock units vest in four equal annual installments beginning March 12, 2014 and ending March 12, 2017. The remaining 50% of the restricted stock units vest in three equal annual installments beginning on the date in fiscal 2015 when the compensation committee determines if certain

(1) performance criteria for fiscal years 2013 and 2014 are satisfied (which date shall be not later than the earlier of (i) 30 days following the completion of the annual audited financials for fiscal 2014 and (ii) April 30,2015) and ending two years thereafter. The actual number of shares earned could range from 50% to 128.13% (assuming all time-based RSUs vest) of target depending on the extent to which the performance criteria are met or exceeded.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2