Edgar Filing: BAILEY DON M - Form 4

| Form 4 | | | | | | | | | | | |
|--|------------------------------------|---------------------|---------------------------------|--|--|----------|----------------------|---|--|----------------------------|--|
| May 15, 2013 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). MITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5 | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| BAILEY DON M Symb | | | Symbol | uer Name and Ticker or Trading al AR SURGICAL CO [STAA] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) 1911 WALK | (First) CER AVE | (Middle) | | Earliest Tra ay/Year) | | | | (Chec X_ Director Officer (give below) | | e) Owner er (specify | |
| Filed(Mon | | | | ndment, Date Original tth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| MONROVIA | | | | | | | | Person | | porting | |
| (City) | (State) | (Zip) | | e I - Non-D | | | - | uired, Disposed of | | - | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | r) Execution any | med on Date, if Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securiti on(A) or Dis (D) (Instr. 3, 4 | posed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 05/15/2013 | | | Code V D | Amount 50,000 | (D) D | Price \$ 9 (1) | (Instr. 3 and 4) 98,137 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount 4 Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|--|---------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amour or Numbe of Shar |
| Common Stock Options | \$ 9.25 | 05/13/2013 | | А | 20,000 | 05/13/2014 <u>(2)</u> | 05/13/2023 | Common Stock | 20,00 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---------------------------------------|---------------|-----------|---------|------------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| BAILEY DON M | | | | | | | |
| 1911 WALKER AVE | Х | | | | | | |
| MONROVIA, CA 91016 | | | | | | | |
| Signatures | | | | | | | |
| /s/ Samuel Gesten as attorney-i | in-fact for | Don M. | | | | | |
| Bailey | | | | 05/15/2013 | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$9.00 to \$9.07, inclusive.
- (2) These 20000 stock options vest in full on May 13, 2014, with vesting generally to accelerate in the event the grantee's service as director ends prior to that date.

Remarks:

On May 13, 2013, STAAR granted Mr. Bailey, a non-employee director, an option to purchase 20,000 shares of common stoc Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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