

HARVARD BIOSCIENCE INC
Form 4
November 05, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEWIS EARL R

2. Issuer Name and Ticker or Trading Symbol
HARVARD BIOSCIENCE INC
[HBIO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O FLIR SYSTEMS, INC., 25
ESQUIRE ROAD

11/01/2013

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

BILLERICA, MA 01862

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
Common Stock	11/01/2013		J	(A) or (D) A	3,646 (1) \$ 0	115,455 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Stock option (right to buy)	\$ 3.01	11/01/2013		J	794 <u>(1)</u>	<u>(3)</u> 05/25/2016	Common Stock, par value \$0.01 per share	794
Stock option (right to buy)	\$ 3.32	11/01/2013		J	803 <u>(1)</u>	<u>(4)</u> 06/04/2014	Common Stock, par value \$0.01 per share	803
Stock option (right to buy)	\$ 3.71	11/01/2013		J	801 <u>(1)</u>	<u>(5)</u> 05/24/2017	Common Stock, par value \$0.01 per share	801
Stock option (right to buy)	\$ 3.41	11/01/2013		J	793 <u>(1)</u>	<u>(6)</u> 05/22/2018	Common Stock, par value \$0.01 per share	793
Stock option (right to buy)	\$ 2.59	11/01/2013		J	10,890 <u>(1)</u>	<u>(7)</u> 06/04/2020	Common Stock, par value \$0.01 per share	10,890
Stock option (right to buy)	\$ 4.04	11/01/2013		J	11,414 <u>(1)</u>	<u>(8)</u> 06/02/2021	Common Stock, par value \$0.01 per share	11,414
Stock option (right to buy)	\$ 2.56	11/01/2013		J	5,113 <u>(1)</u>	<u>(9)</u> 06/01/2022	Common Stock, par value \$0.01 per share	5,113
	\$ 3.64	11/01/2013		J		<u>(10)</u> 05/31/2023		3,616

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