Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 18, 2013

FORI	Ми							PPROVAL	
	OMILD	STATES SI	ECURITIES . Washingtor			COMMISSIO	N OMB Number:	3235-0287	
Check if no lo	this box						Expires:	January 31, 2005	
subject Section Form 4	to STATEM 116. or		WNERSHIP O	Estimated burden hou response	average urs per				
Form 5 obligat may co See Ins 1(b).	ions Section 170	(a) of the Pub		lding Cor	npany Act	nge Act of 1934 of 1935 or Sect 940			
Print or Type	e Responses)								
	Address of Reporting agen Bridget A	Sy IN	. Issuer Name an mbol TTERNATION ACHINES CO	NAL BUS	SINESS	Issuer	of Reporting Per		
(Last)	(First) (Date of Earliest	_	1]	Director		% Owner	
IBM COR MADISO	PORATION, 63 N AVE.		(onth/Day/Year) /15/2013			_X_ Officer (g below)	below) hior Vice Preside	ner (specify ent	
NEW YO	(Street)	If Amendment, Γ ed(Month/Day/Ye	_	ıl	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
NEW YO	RK, NY 10016					Person	,	.1	
(City)	(State)	(Zip)	Table I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	Code Year) (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: R	eport on a separate line	e for each class	of securities bene	-	-	or indirectly.	ection of	SEC 1474	
				inforn	nation cont	ained in this for	m are not	(9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber	Expiration Date	Underlying Securities	Derivative

number.

required to respond unless the form displays a currently valid OMB control

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of Derivati Securitie Acquired	es	/Year)	(Instr. 3 and	l)	Security (Instr. 5)	
				(A) or Disposed of (D) (Instr. 3, 4, and 5)						
			Code V	(A) (D)) Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Phantom Stock Unit	\$ 0 (1)	11/15/2013		A(2)	5	(3)	(3)	Common Stock	5	\$ 183.19

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

van Kralingen Bridget A IBM CORPORATION 63 MADISON AVE. NEW YORK, NY 10016

Senior Vice President

Signatures

D. Cummins on behalf of B. A. Van Kralingen

11/18/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (3) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2