

CONSOL Energy Inc
Form 3
September 25, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Brock James A | | (Month/Day/Year) | CONSOL Energy Inc [CNX] | |
| (Last) | (First) | (Middle) | 09/24/2014 | |
| 1000 CONSOL ENERGY DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| CANONSBURG, Â PA Â 15317 | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| | | | Chief Operating Officer - Coal | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|
| Common shares, \$0.01 par value per share | 24,270 ⁽¹⁾ | D | Â |
| Common shares, \$0.01 par value per share | 18,703 | I | By 401 (k) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------|--------------------|--|----------------------------------|------------------------|---|---|
| Stock Option (right to buy) | Â <u>(2)</u> | 05/03/2015 | Common stock, \$0.01 par value per share | 200 | \$ 22.75 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 05/03/2015 | Common stock, \$0.01 par value per share | 904 | \$ 22.75 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 05/02/2016 | Common stock, \$0.01 par value per share | 3,226 | \$ 44.1 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/20/2017 | Common stock, \$0.01 par value per share | 6,432 | \$ 34.85 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/19/2018 | Common stock, \$0.01 par value per share | 3,111 | \$ 78.65 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/17/2019 | Common stock, \$0.01 par value per share | 6,347 | \$ 27.9 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/16/2020 | Common stock, \$0.01 par value per share | 4,115 | \$ 50.5 | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 02/23/2021 | Common stock, \$0.01 par value per share | 6,329 | \$ 48.61 | D | Â |
| Stock Option (right to buy) | Â <u>(3)</u> | 03/01/2022 | Common stock, | 12,420 | \$ 35.82 | D | Â |

\$0.01 par
value per
share

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Brock James A 1000 CONSOL ENERGY DRIVE CANONSBURG, PA 15317 | Â | Â | Â Chief Operating Officer - Coal | Â |

Signatures

/s/ James A. Brock by Stephanie L. Gill, his
attorney-in-fact

09/25/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of the 24,270 shares owned directly, 11,079 are restricted stock units (including dividend equivalent rights).
- (2) These options are currently exercisable in full.
- (3) Stock option provides that options will vest one third per year beginning on February 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.