Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

| INTERNATIO Form 4 October 01, 20 | | ESS MAC | HINES | CORP | | | | | | | |
|--|---|--|---|--|---|--|--|--|---|--|--|
| | | | | | | | | OMB A | PPROVAL | | |
| FORM | UNITED | STATES | | RITIES AND EXCHANGE COMMISSION ashington, D.C. 20549 | | | | N OMB Number: | 3235-0287 | | |
| Check this b if no longer | | | | | | | | Expires: | January 31, 2005 | | |
| subject to Section 16. Form 4 or | STATEN | STATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES | | | | | | | ed average nours per e 0.5 | | |
| Form 5 obligations may continu See Instructi 1(b). | e. Section 17(| a) of the | Public U | Jtility Ho | lding Co | | nge Act of 1934, of 1935 or Secti 940 | | | | |
| (Print or Type Res | ponses) | | | | | | | | | | |
| 1. Name and Add MCNERNEY | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| | | | INTERNATIONAL BUSINESS MACHINES CORP [IBM] | | | | (Check all applicable) | | | | |
| (Last) | (First) (I | Middle) | 3. Date of Earliest Transaction | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | |
| THE BOEING COMPANY, 100 NORTH RIVERSIDE | | | (Month/Day/Year) 09/30/2014 | | | | below) | below) | (°F) | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | 2 00000 | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivative | e Securities A | cquired, Disposed | of, or Beneficia | lly Owned | | |
| | Transaction Date fonth/Day/Year) | | Date, if | Code (Instr. 8) | 4. Securi onAcquired Disposed (Instr. 3, Amount | l (A) or l of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report | on a separate line | e for each cl | ass of sec | urities bene | eficially ow | ned directly o | or indirectly. | | | | |
| | | | | | Perso infor requi | ons who res mation cont red to respo ays a curren | spond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |

1. Title of
Derivative2.3. Transaction Date3A. Deemed4.5. Number6. Date Exercisable and
Expiration Date7. Title and Amount of
Underlying Securities8. Price
Derivative

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | - | Code (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | (Month/Day/Year) | | (Instr. 3 and 4) | | Security (Instr. 5 |
|------------------------|---|------------|---|--------------------|---|-----|---------------------|--------------------|------------------|--|-----------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Promised Fee Share | \$ 0 <u>(1)</u> | 09/30/2014 | | A <u>(2)</u> | 330 | | (3) | (3) | Common Stock | 330 | \$ 189. |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|------------|-------|--|--|--|--|
| L O | Director | 10% Owner | Officer | Other | | | | |
| MCNERNEY W JAMES JR THE BOEING COMPANY 100 NORTH RIVERSIDE CHICAGO, IL 60606 | Х | | | | | | | |
| Signatures | | | | | | | | |
| D. Cummins on behalf of W. J. McNerney Jr. | | 1 | 10/01/2014 | | | | | |
| **Signature of Reporting Person | n | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.