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INTERNATIONAL BUSINESS MACHINES CORP

Form 3

January 09, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement INTERNATIONAL BUSINESS MACHINES CORP SUTULA STANLEY J III (Month/Day/Year) [IBM] 01/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) IBM CORPORATION. ONE (Check all applicable) NEW ORCHARD ROAD (Street) 6. Individual or Joint/Group Director 10% Owner X_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Vice President and Controller Person ARMONK, NYÂ 10504 Form filed by More than One Reporting Person (City) Table I - Non-Derivative Securities Beneficially Owned (State) (Zip) 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 361.413 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect Ownership (Instr. 4) **Expiration Date** Securities Underlying Conversion Beneficial Ownership (Month/Day/Year) Form of (Instr. 5) Derivative Security or Exercise Price of Derivative (Instr. 4) Derivative Security: Title

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	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rst. Stock Unit	(1)	(1)	Common Stock	227	\$ 0	D	Â
Rst. Stock Unit	(2)	(2)	Common Stock	439	\$ 0	D	Â
Rst. Stock Unit	(3)	(3)	Common Stock	2,476	\$ 0	D	Â
Rst. Stock Unit	(4)	(4)	Common Stock	665	\$ 0	D	Â
Rst. Stock Unit	(5)	(5)	Common Stock	2,646	\$ 0	D	Â
Rst. Stock Unit	(6)	(6)	Common Stock	1,528	\$ 0	D	Â
Phantom Stock Unit	(7)	(7)	Common Stock	611	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
SUTULA STANLEY J III IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504	Â	Â	Vice President and Controller	Â		

Signatures

D. Cummins on behalf of S. J. Sutula III

01/09/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units are payable in cash or the company's common stock upon lapse of the restrictions on June 8, 2015.
- Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 218 of these units on June 8, 2015 and the restrictions lapse for the remaining 221 of these units on June 8, 2016.
- (3) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.
- Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 221 of these
- (4) units on June 7, 2015, for 221 of these units on June 7, 2016 and the restrictions lapse for the remaining 223 of these units on June 7,
- (5) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 17, 2018.

Reporting Owners 2

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- Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 382 of these units on June 9, 2015, for 382 of these units on June 9, 2016, for 382 of these units on June 9, 2017 and the restrictions lapse for the remaining 382 of these units on June 9, 2018.
- Phantom stock units acquired under the IBM Excess 401(k) Plus Plan convert to the cash value of the company's common stock on a one-for-one basis, and distribution is deferred until separation from the company. The reporting person may transfer some of these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.