

People's United Financial, Inc.
Form 3
April 09, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Longobardi Sara M

(Last) (First) (Middle)

C/O PEOPLE'S UNITED
BANK,Â 850 MAIN STREET

(Street)

BRIDGEPORT,Â CTÂ 06604

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
People's United Financial, Inc. [PBCT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Sr Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

61,816 ⁽¹⁾

D

Â

Common Stock

776 ⁽²⁾

D

Â

Common Stock

1,679 ⁽³⁾

D

Â

Common Stock

2,248 ⁽³⁾

D

Â

Common Stock

3,102 ⁽⁴⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Option to Buy	Â <u>(5)</u>	02/17/2015	Common Stock	6,678	\$ 12.0223	D	Â
Employee Option to Buy	Â <u>(5)</u>	02/16/2016	Common stock	4,410	\$ 14.9119	D	Â
Employee Option to Buy	Â <u>(5)</u>	02/15/2017	Common Stock	2,163	\$ 21.6308	D	Â
Employee Option to Buy	Â <u>(5)</u>	10/25/2017	Common Stock	43,450	\$ 18.1	D	Â
Employee Option to Buy	Â <u>(5)</u>	02/21/2018	Common Stock	3,218	\$ 16.94	D	Â
Employee Option to Buy	Â <u>(5)</u>	01/22/2019	Common Stock	4,586	\$ 16.575	D	Â
Employee Option to Buy	Â <u>(5)</u>	01/28/2020	Common Stock	4,633	\$ 15.8	D	Â
Employee Option to Buy	Â <u>(6)</u>	02/17/2021	Common Stock	7,880	\$ 13.415	D	Â
Employee Option to Buy	Â <u>(6)</u>	02/16/2022	Common Stock	9,659	\$ 12.65	D	Â
Employee Option to Buy	Â <u>(7)</u>	02/21/2023	Common Stock	18,873	\$ 12.94	D	Â
Employee Option to Buy	Â <u>(7)</u>	02/20/2024	Common Stock	27,593	\$ 13.9025	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Longobardi Sara M C/O PEOPLE'S UNITED BANK 850 MAIN STREET BRIDGEPORT,Â CTÂ 06604	Â	Â	Â Sr Executive Vice President	Â

Signatures

/s/ Sara M.
Longobardi

04/09/2014

Date

**Signature of
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 52,589 shares owned indirectly through the Peoples United Bank 401(k) Plan and 3,318 shares owned indirectly through the Peoples United Financial, Inc. Employee Stock Ownership Plan. Information is based on 03/31/2014 Plan statements.
- (2) These are restricted shares that vest on Mar. 1, 2015.
- (3) These are restricted shares that vest in equal annual increments on Mar. 1, 2015 and Mar. 1, 2016.
- (4) These are restricted shares that vest in equal annual increments on Mar. 1, 2015, Mar. 1, 2016 and Mar. 1, 2017.
- (5) These options have become exercisable in accordance with the terms of the applicable option grants.
- (6) Exercisable in annual increments beginning on Mar. 1 immediately following the 2nd anniversary (50%), 3rd anniversary (25%) and 4th anniversary (25%) of the grant date, which is ten years prior to the applicable expiration date.
- (7) Exercisable in equal annual increments over three years beginning on Mar. 1 immediately following the 1st anniversary of the grant date, which is ten years prior to the applicable expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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