Edgar Filing: Northwest Bancshares, Inc. - Form 4

| Northwest Ban Form 4 May 23, 2014 | cshares, Inc. | | | | | | | | | |
|---|---|--|---------------------------------|--------------|---|--|--|--|---|--|
| FORM | 4 | | | | | | | OMB AF | PROVAL | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | DMMISSION | OMB Number: | 3235-0287 | |
| Check this b if no longer | | | | | | | | Expires: | January 31, 2005 | |
| subject to | STATEME | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | |
| Section 16. Form 4 or | | | SECORITIES | | | | | | s per 0.5 | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(c) See Instruction 1(c) | | | | | | | | | | |
| (Print or Type Res | sponses) | | | | | | | | | |
| 1. Name and Add HUBER TIMO | Symbol | 2. Issuer Name and Ticker or Trading Symbol Northwest Bancshares, Inc. [NWBI] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (Mic | | | | . []N M | BI | (Check | k all applicable) | | |
| . , | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | Director 10% Owner | | | | |
| 100 LIBERTY | (STREET | 05/21/20 | 05/21/2014 | | | | XOfficer (give titleOther (specify below) below) Exec. V.P., Chief Lending Off. | | | |
| - | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | | |
| WARREN, PA | A 16365 | rneu(mon | Filed(Month/Day/Year) | | | | _X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) (Z | ^{iip)} Tabl | e I - Non-De | erivative So | ecuriti | | red, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | e 2A. Deemed Execution Date, if any | eemed 3. 4. Securities Acquired | | | | Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Northwest | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Bancshares, Inc. Common Stock | 05/21/2014 | 05/21/2014 | А | 4,680 (1) | Α | \$ 13.22 | 311,783.55 (2) | D | | |
| Northwest Bancshares, Inc. Common Stock | | | | | | | 24,609.786 (3) | Ι | IRA | |
| Northwest Bancshares, Inc. Common Stock | | | | | | | 8,294.123 <u>(4)</u> | I | Wife's IRA | |

| Northwest | | | |
|-------------|----------------------|---|----------------|
| Bancshares, | 65,977.223 | т | 401-K |
| Inc. Common | (5) (6) | 1 | 401 - K |
| Stock | | | |
| Northwest | | | |
| Bancshares, | | | |
| Inc. Common | 30,744.95 <u>(6)</u> | 1 | ESOP |
| Stock | | | |
| | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (Right to Buy) | \$ 13.15 | 05/21/2014 | 05/21/2014 | А | 9,980 (7) | 05/21/2014 | 05/21/2024 | Common Stock. | 9,980 |

Reporting Owners

| Reporting Owner Name / Addre | ess | Relationships | | | | | | |
|---|------------|---------------|--------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| HUBER TIMOTHY A 100 LIBERTY STREET WARREN, PA 16365 | | | Exec. V.P., Chief Lending Off. | | | | | |
| Signatures | | | | | | | | |
| Timothy A. Huber | 05/23/2014 | | | | | | | |

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One tenth of this grant of RRP shares will vest each year beginning May 21, 2014 and on each May 21st thereafter through May 21, 2023.
- (2) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (4) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (5) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (6) Reflects transactions not required to be reported pursuant to section 16 of the Securities Exchange Act of 1934, as amended.
- (7) One tenth of this grant of stock options will vest each year beginning May 21, 2014 and on each May 21st thereafter through May 21, 2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.