

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

AllianzGI Equity & Convertible Income Fund  
Form N-PX  
August 26, 2016

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM N-PX

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ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number: 811-21989

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AllianzGI Equity & Convertible Income Fund  
(Exact name of registrant as specified in charter)

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1633 Broadway, New York, NY 10019  
(Address of Principal Executive Office)

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Allianz Global Investors Fund Management LLC  
1633 Broadway  
New York, NY 10019  
(Name and Address of Agent for Service)

Registrant's telephone number, including area code: 212-739-3000

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Date of fiscal year end: January 31

Date of reporting period: July 1, 2015 through June 30, 2016

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Item 1. Proxy Voting Record

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-21989  
Reporting Period: 07/01/2015 - 06/30/2016  
AllianzGI Equity & Convertible Income Fund

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===== AllianzGI Equity & Convertible Income Fund =====

### 3M COMPANY

Ticker: MMM Security ID: 88579Y101  
 Meeting Date: MAY 10, 2016 Meeting Type: Annual  
 Record Date: MAR 11, 2016

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Sondra L. Barbour  | For     | For       | Management  |
| 1b | Elect Director Thomas 'Tony' K. Brown   | For     | For       | Management  |
| 1c | Elect Director Vance D. Coffman   | For     | For       | Management  |
| 1d | Elect Director David B. Dillon  | For     | For       | Management  |
| 1e | Elect Director Michael L. Eskew   | For     | For       | Management  |
| 1f | Elect Director Herbert L. Henkel  | For     | For       | Management  |
| 1g | Elect Director Muhtar Kent  | For     | For       | Management  |
| 1h | Elect Director Edward M. Liddy  | For     | For       | Management  |
| 1i | Elect Director Gregory R. Page  | For     | For       | Management  |
| 1j | Elect Director Inge G. Thulin   | For     | Against   | Management  |
| 1k | Elect Director Robert J. Ulrich   | For     | For       | Management  |
| 1l | Elect Director Patricia A. Woertz   | For     | For       | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors   | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                                  | For     | For       | Management  |
| 4  | Approve Omnibus Stock Plan  | For     | For       | Management  |
| 5  | Reduce Ownership Threshold for Shareholders to Call Special Meetings                            | Against | For       | Shareholder |
| 6  | Exclude the Impact of Stock Buyback Programs on the Financial Metrics on which CEO Pay is Based | Against | Against   | Shareholder |

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### ABBVIE INC.

Ticker: ABBV Security ID: 00287Y109  
 Meeting Date: MAY 06, 2016 Meeting Type: Annual  
 Record Date: MAR 09, 2016

| #   | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Director William H.L. Burnside                                | For     | For       | Management  |
| 1.2 | Elect Director Brett J. Hart  | For     | For       | Management  |
| 1.3 | Elect Director Edward J. Rapp                                       | For     | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors                                | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | For       | Management  |
| 4   | Declassify the Board of Directors                                   | For     | For       | Management  |
| 5   | Amend Executive Incentive Bonus Plan                                | For     | For       | Management  |
| 6   | Report on Policies for Safe Disposal of Prescription Drugs by Users | Against | For       | Shareholder |
| 7   | Report on Lobbying Payments and Policy                              | Against | For       | Shareholder |

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### AGCO CORPORATION

Ticker: AGCO Security ID: 001084102  
 Meeting Date: APR 28, 2016 Meeting Type: Annual  
 Record Date: MAR 18, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Elect Director Roy V. Armes                                    | For     | For       | Management |
| 1.2  | Elect Director Michael C. Arnold                               | For     | For       | Management |
| 1.3  | Elect Director P. George Benson                                | For     | For       | Management |
| 1.4  | Elect Director Wolfgang Deml                                   | For     | For       | Management |
| 1.5  | Elect Director Luiz F. Furlan                                  | For     | For       | Management |
| 1.6  | Elect Director George E. Minnich                               | For     | For       | Management |
| 1.7  | Elect Director Martin H. Richenhagen                           | For     | Against   | Management |
| 1.8  | Elect Director Gerald L. Shaheen                               | For     | For       | Management |
| 1.9  | Elect Director Mallika Srinivasan                              | For     | For       | Management |
| 1.10 | Elect Director Hendrikus Visser                                | For     | For       | Management |
| 2    | Amend Omnibus Stock Plan                                       | For     | For       | Management |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 4    | Ratify KPMG LLP as Auditors                                    | For     | For       | Management |

### ALIBABA GROUP HOLDING LTD.

Ticker: BABA Security ID: 01609W102  
 Meeting Date: OCT 08, 2015 Meeting Type: Annual  
 Record Date: AUG 13, 2015

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Joseph C. Tsai as Director                       | For     | Against   | Management |
| 1.2 | Elect Jonathan Zhaoxi Lu as Director                   | For     | Against   | Management |
| 1.3 | Elect J. Michael Evans as Director                     | For     | Against   | Management |
| 1.4 | Elect Borje E. Ekholm as Director                      | For     | For       | Management |
| 1.5 | Elect Wan Ling Martello as Director                    | For     | For       | Management |
| 2   | Approve PricewaterhouseCoopers as Independent Auditors | For     | For       | Management |

### ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305  
 Meeting Date: JUN 08, 2016 Meeting Type: Annual  
 Record Date: APR 11, 2016

| #   | Proposal                        | Mgt Rec | Vote Cast | Sponsor    |
|-----|---------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Larry Page       | For     | For       | Management |
| 1.2 | Elect Director Sergey Brin      | For     | For       | Management |
| 1.3 | Elect Director Eric E. Schmidt  | For     | For       | Management |
| 1.4 | Elect Director L. John Doerr    | For     | For       | Management |
| 1.5 | Elect Director Diane B. Greene  | For     | For       | Management |
| 1.6 | Elect Director John L. Hennessy | For     | Withhold  | Management |
| 1.7 | Elect Director Ann Mather       | For     | For       | Management |
| 1.8 | Elect Director Alan R. Mulally  | For     | For       | Management |
| 1.9 | Elect Director Paul S. Otellini | For     | For       | Management |

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|      |  |         |         |             |
|------|--|---------|---------|-------------|
| 1.10 | Elect Director K. Ram Shriram  | For     | For     | Management  |
| 1.11 | Elect Director Shirley M. Tilghman                                     | For     | For     | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors                                   | For     | For     | Management  |
| 3    | Amend Omnibus Stock Plan   | For     | Against | Management  |
| 4    | Amended and Restated Certificate of Incorporation of Google Inc.       | For     | Against | Management  |
| 5    | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For     | Shareholder |
| 6    | Report on Lobbying Payments and Policy                                 | Against | For     | Shareholder |
| 7    | Report on Political Contributions                                      | Against | For     | Shareholder |
| 8    | Require a Majority Vote for the Election of Directors                  | Against | For     | Shareholder |
| 9    | Require Independent Board Chairman                                     | Against | For     | Shareholder |
| 10   | Report on Gender Pay Gap   | Against | For     | Shareholder |

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AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106  
 Meeting Date: MAY 17, 2016 Meeting Type: Annual  
 Record Date: MAR 23, 2016

| #  | Proposal                                       | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Jeffrey P. Bezos                | For     | Against   | Management  |
| 1b | Elect Director Tom A. Alberg                   | For     | For       | Management  |
| 1c | Elect Director John Seely Brown                | For     | For       | Management  |
| 1d | Elect Director William B. Gordon               | For     | For       | Management  |
| 1e | Elect Director Jamie S. Gorelick               | For     | For       | Management  |
| 1f | Elect Director Judith A. McGrath               | For     | For       | Management  |
| 1g | Elect Director Jonathan J. Rubinstein          | For     | For       | Management  |
| 1h | Elect Director Thomas O. Ryder                 | For     | For       | Management  |
| 1i | Elect Director Patricia Q. Stonesifer          | For     | For       | Management  |
| 1j | Elect Director Wendell P. Weeks                | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors           | For     | For       | Management  |
| 3  | Report on Sustainability, Including GHG Goals  | Against | For       | Shareholder |
| 4  | Report on Human Rights Risk Assessment Process | Against | For       | Shareholder |
| 5  | Report on Political Contributions              | Against | For       | Shareholder |

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AMGEN INC.

Ticker: AMGN Security ID: 031162100  
 Meeting Date: MAY 19, 2016 Meeting Type: Annual  
 Record Date: MAR 21, 2016

| #    | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|------|--------------------------------------|---------|-----------|------------|
| 1.1  | Elect Director David Baltimore       | For     | For       | Management |
| 1.2  | Elect Director Frank J. Biondi, Jr.  | For     | For       | Management |
| 1.3  | Elect Director Robert A. Bradway     | For     | Against   | Management |
| 1.4  | Elect Director Francois de Carbonnel | For     | For       | Management |
| 1.5  | Elect Director Robert A. Eckert      | For     | For       | Management |
| 1.6  | Elect Director Greg C. Garland       | For     | For       | Management |
| 1.7  | Elect Director Fred Hassan           | For     | For       | Management |
| 1.8  | Elect Director Rebecca M. Henderson  | For     | For       | Management |
| 1.9  | Elect Director Frank C. Herringer    | For     | For       | Management |
| 1.10 | Elect Director Tyler Jacks           | For     | For       | Management |

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|      |  |         |         |             |
|------|--|---------|---------|-------------|
| 1.11 | Elect Director Judith C. Pelham                                | For     | For     | Management  |
| 1.12 | Elect Director Ronald D. Sugar                                 | For     | For     | Management  |
| 1.13 | Elect Director R. Sanders Williams                             | For     | For     | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors                           | For     | For     | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For     | Management  |
| 4    | Provide Vote Counting to Exclude Abstentions                   | Against | Against | Shareholder |

### AMPHENOL CORPORATION

Ticker: APH Security ID: 032095101  
 Meeting Date: MAY 25, 2016 Meeting Type: Annual  
 Record Date: MAR 28, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Ronald P. Badie                                 | For     | For       | Management |
| 1.2 | Elect Director Stanley L. Clark                                | For     | For       | Management |
| 1.3 | Elect Director David P. Falck                                  | For     | For       | Management |
| 1.4 | Elect Director Edward G. Jepsen                                | For     | For       | Management |
| 1.5 | Elect Director Randall D. Ledford                              | For     | For       | Management |
| 1.6 | Elect Director Martin H. Loeffler                              | For     | For       | Management |
| 1.7 | Elect Director John R. Lord                                    | For     | For       | Management |
| 1.8 | Elect Director R. Adam Norwitt                                 | For     | For       | Management |
| 1.9 | Elect Director Diana G. Reardon                                | For     | For       | Management |
| 2   | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 4   | Provide Directors May Be Removed With or Without Cause         | For     | For       | Management |

### APACHE CORPORATION

Ticker: APA Security ID: 037411105  
 Meeting Date: MAY 12, 2016 Meeting Type: Annual  
 Record Date: MAR 14, 2016

| # | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|---|--|---------|-----------|------------|
| 1 | Elect Director Annell R. Bay                                   | For     | For       | Management |
| 2 | Elect Director John J. Christmann, IV                          | For     | For       | Management |
| 3 | Elect Director Chansoo Joung                                   | For     | For       | Management |
| 4 | Elect Director William C. Montgomery                           | For     | For       | Management |
| 5 | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management |
| 6 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 7 | Approve Omnibus Stock Plan                                     | For     | For       | Management |

### APPLE INC.

Ticker: AAPL Security ID: 037833100  
 Meeting Date: FEB 26, 2016 Meeting Type: Annual  
 Record Date: DEC 28, 2015

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| #   | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Director James Bell   | For     | For       | Management  |
| 1.2 | Elect Director Tim Cook   | For     | For       | Management  |
| 1.3 | Elect Director Al Gore  | For     | For       | Management  |
| 1.4 | Elect Director Bob Iger   | For     | For       | Management  |
| 1.5 | Elect Director Andrea Jung  | For     | For       | Management  |
| 1.6 | Elect Director Art Levinson   | For     | For       | Management  |
| 1.7 | Elect Director Ron Sugar  | For     | For       | Management  |
| 1.8 | Elect Director Sue Wagner   | For     | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors  | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation                    | For     | For       | Management  |
| 4   | Amend Omnibus Stock Plan  | For     | For       | Management  |
| 5   | Create Feasibility Plan for Net-Zero GHG Emissions, Including for Major Suppliers | Against | Against   | Shareholder |
| 6   | Adopt Policy to Increase Diversity of Senior Management and Board of Directors    | Against | Against   | Shareholder |
| 7   | Report on Guidelines for Country Selection  | Against | Against   | Shareholder |
| 8   | Adopt Proxy Access Right  | Against | For       | Shareholder |

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### ARCELORMITTAL

Ticker: MT Security ID: 03938L104  
 Meeting Date: MAR 10, 2016 Meeting Type: Special  
 Record Date: FEB 25, 2016

| # | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Approve Reduction in Share Capital Without Distribution to Shareholders | For     | For       | Management |
| 2 | Authorize Board to Issue Shares   | For     | For       | Management |

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### ARCELORMITTAL

Ticker: MT Security ID: 03938L104  
 Meeting Date: MAY 04, 2016 Meeting Type: Annual  
 Record Date: APR 20, 2016

| #  | Proposal                                  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| A  | Receive Board's and Auditor's Reports     | None    | None      | Management |
| 1  | Approve Consolidated Financial Statements | For     | For       | Management |
| 2  | Approve Financial Statements              | For     | For       | Management |
| 3  | Approve Allocation of Income              | For     | For       | Management |
| 4  | Approve Remuneration of Directors         | For     | For       | Management |
| 5  | Approve Discharge of Directors            | For     | For       | Management |
| 6  | Reelect Vanisha Mittal Bhatia as Director | For     | For       | Management |
| 7  | Reelect Suzanne Nimocks as Director       | For     | For       | Management |
| 8  | Reelect Jeannot Krecke as Director        | For     | For       | Management |
| 9  | Elect Karel de Gucht as Director          | For     | For       | Management |
| 10 | Appoint Deloitte as Auditor               | For     | For       | Management |
| 11 | Approve 2016 Performance Share Unit       | For     | For       | Management |

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Plan

### BAKER HUGHES INCORPORATED

Ticker: BHI Security ID: 057224107  
 Meeting Date: MAY 24, 2016 Meeting Type: Annual  
 Record Date: APR 01, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Larry D. Brady                                  | For     | For       | Management  |
| 1b | Elect Director Gregory D. Brenneman                            | For     | For       | Management  |
| 1c | Elect Director Clarence P. Cazalot, Jr.                        | For     | Against   | Management  |
| 1d | Elect Director Martin S. Craighead                             | For     | Against   | Management  |
| 1e | Elect Director William H. Easter, III                          | For     | For       | Management  |
| 1f | Elect Director Lynn L. Elsenhans                               | For     | For       | Management  |
| 1g | Elect Director Anthony G. Fernandes                            | For     | For       | Management  |
| 1h | Elect Director Claire W. Gargalli                              | For     | For       | Management  |
| 1i | Elect Director Pierre H. Jungels                               | For     | For       | Management  |
| 1j | Elect Director James A. Lash                                   | For     | For       | Management  |
| 1k | Elect Director J. Larry Nichols                                | For     | For       | Management  |
| 1l | Elect Director James W. Stewart                                | For     | For       | Management  |
| 1m | Elect Director Charles L. Watson                               | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 4  | Provide Vote Counting to Exclude Abstentions                   | Against | Against   | Shareholder |

### BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109  
 Meeting Date: MAY 03, 2016 Meeting Type: Annual  
 Record Date: MAR 09, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Thomas F. Chen                                  | For     | For       | Management  |
| 1b | Elect Director John D. Forsyth                                 | For     | For       | Management  |
| 1c | Elect Director Michael F. Mahoney                              | For     | For       | Management  |
| 1d | Elect Director Carole J. Shapazian                             | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management  |
| 4  | Declassify the Board of Directors                              | For     | For       | Management  |
| 5  | Require Independent Board Chairman                             | Against | For       | Shareholder |

### BIOGEN INC.

Ticker: BIIB Security ID: 09062X103  
 Meeting Date: JUN 08, 2016 Meeting Type: Annual  
 Record Date: APR 11, 2016

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| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Alexander J. Denner                             | For     | For       | Management |
| 1b | Elect Director Caroline D. Dorsa                               | For     | For       | Management |
| 1c | Elect Director Nancy L. Leaming                                | For     | For       | Management |
| 1d | Elect Director Richard C. Mulligan                             | For     | For       | Management |
| 1e | Elect Director Robert W. Pangia                                | For     | For       | Management |
| 1f | Elect Director Stelios Papadopoulos                            | For     | For       | Management |
| 1g | Elect Director Brian S. Posner                                 | For     | For       | Management |
| 1h | Elect Director Eric K. Rowinsky                                | For     | For       | Management |
| 1i | Elect Director George A. Scangos                               | For     | For       | Management |
| 1j | Elect Director Lynn Schenk                                     | For     | For       | Management |
| 1k | Elect Director Stephen A. Sherwin                              | For     | For       | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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### BRISTOL-MYERS SQUIBB COMPANY

Ticker: BMY                      Security ID: 110122108  
 Meeting Date: MAY 03, 2016      Meeting Type: Annual  
 Record Date: MAR 11, 2016

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1A | Elect Director Lamberto Andreotti                                   | For     | For       | Management  |
| 1B | Elect Director Peter J. Arduini                                     | For     | For       | Management  |
| 1C | Elect Director Giovanni Caforio                                     | For     | For       | Management  |
| 1D | Elect Director Laurie H. Glimcher                                   | For     | For       | Management  |
| 1E | Elect Director Michael Grobstein                                    | For     | For       | Management  |
| 1F | Elect Director Alan J. Lacy   | For     | For       | Management  |
| 1G | Elect Director Thomas J. Lynch, Jr.                                 | For     | For       | Management  |
| 1H | Elect Director Dinesh C. Paliwal                                    | For     | For       | Management  |
| 1I | Elect Director Vicki L. Sato  | For     | For       | Management  |
| 1J | Elect Director Gerald L. Storch                                     | For     | For       | Management  |
| 1K | Elect Director Togo D. West, Jr.                                    | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | For       | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                            | For     | For       | Management  |
| 4  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |

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### COMCAST CORPORATION

Ticker: CMCSA                      Security ID: 20030N101  
 Meeting Date: DEC 10, 2015      Meeting Type: Special  
 Record Date: OCT 20, 2015

| # | Proposal                         | Mgt Rec | Vote Cast | Sponsor    |
|---|----------------------------------|---------|-----------|------------|
| 1 | Approve Conversion of Securities | For     | For       | Management |

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### COMCAST CORPORATION



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Ticker: CMCSA Security ID: 20030N101  
 Meeting Date: MAY 19, 2016 Meeting Type: Annual  
 Record Date: MAR 10, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Kenneth J. Bacon  | For     | For       | Management  |
| 1.2  | Elect Director Madeline S. Bell  | For     | For       | Management  |
| 1.3  | Elect Director Sheldon M. Bonovitz                                     | For     | For       | Management  |
| 1.4  | Elect Director Edward D. Breen   | For     | For       | Management  |
| 1.5  | Elect Director Joseph J. Collins                                       | For     | For       | Management  |
| 1.6  | Elect Director Gerald L. Hassell                                       | For     | For       | Management  |
| 1.7  | Elect Director Jeffrey A. Honickman                                    | For     | For       | Management  |
| 1.8  | Elect Director Eduardo G. Mestre                                       | For     | For       | Management  |
| 1.9  | Elect Director Brian L. Roberts  | For     | Withhold  | Management  |
| 1.10 | Elect Director Johnathan A. Rodgers                                    | For     | For       | Management  |
| 1.11 | Elect Director Judith Rodin  | For     | For       | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors                               | For     | For       | Management  |
| 3    | Amend Restricted Stock Plan  | For     | For       | Management  |
| 4    | Amend Stock Option Plan  | For     | For       | Management  |
| 5    | Amend Qualified Employee Stock Purchase Plan                           | For     | For       | Management  |
| 6    | Amend Nonqualified Employee Stock Purchase Plan                        | For     | For       | Management  |
| 7    | Report on Lobbying Payments and Policy                                 | Against | For       | Shareholder |
| 8    | Pro-rata Vesting of Equity Awards                                      | Against | For       | Shareholder |
| 9    | Require Independent Board Chairman                                     | Against | For       | Shareholder |
| 10   | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For       | Shareholder |

### CORNING INCORPORATED

Ticker: GLW Security ID: 219350105  
 Meeting Date: APR 28, 2016 Meeting Type: Annual  
 Record Date: FEB 29, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Elect Director Donald W. Blair                                 | For     | For       | Management |
| 1.2  | Elect Director Stephanie A. Burns                              | For     | For       | Management |
| 1.3  | Elect Director John A. Canning, Jr.                            | For     | For       | Management |
| 1.4  | Elect Director Richard T. Clark                                | For     | For       | Management |
| 1.5  | Elect Director Robert F. Cummings, Jr.                         | For     | For       | Management |
| 1.6  | Elect Director Deborah A. Henretta                             | For     | For       | Management |
| 1.7  | Elect Director Daniel P. Huttenlocher                          | For     | For       | Management |
| 1.8  | Elect Director Kurt M. Landgraf                                | For     | For       | Management |
| 1.9  | Elect Director Kevin J. Martin                                 | For     | For       | Management |
| 1.10 | Elect Director Deborah D. Rieman                               | For     | For       | Management |
| 1.11 | Elect Director Hansel E. Tookes, II                            | For     | For       | Management |
| 1.12 | Elect Director Wendell P. Weeks                                | For     | Withhold  | Management |
| 1.13 | Elect Director Mark S. Wrighton                                | For     | For       | Management |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

### COSTCO WHOLESALE CORPORATION

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Ticker: COST Security ID: 22160K105  
 Meeting Date: JAN 29, 2016 Meeting Type: Annual  
 Record Date: NOV 20, 2015

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Hamilton E. James                               | For     | For       | Management  |
| 1.2 | Elect Director W. Craig Jelinek                                | For     | For       | Management  |
| 1.3 | Elect Director John W. Stanton                                 | For     | For       | Management  |
| 1.4 | Elect Director Mary A. (Maggie) Wilderotter                    | For     | For       | Management  |
| 2   | Ratify KPMG LLP as Auditors                                    | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4   | Adopt Proxy Access Right                                       | Against | For       | Shareholder |

### DEERE & COMPANY

Ticker: DE Security ID: 244199105  
 Meeting Date: FEB 24, 2016 Meeting Type: Annual  
 Record Date: DEC 31, 2015

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Samuel R. Allen   | For     | Against   | Management  |
| 1b | Elect Director Crandall C. Bowles  | For     | For       | Management  |
| 1c | Elect Director Vance D. Coffman  | For     | For       | Management  |
| 1d | Elect Director Dipak C. Jain   | For     | For       | Management  |
| 1e | Elect Director Michael O. Johanns  | For     | For       | Management  |
| 1f | Elect Director Clayton M. Jones  | For     | For       | Management  |
| 1g | Elect Director Brian M. Krzanich   | For     | For       | Management  |
| 1h | Elect Director Gregory R. Page   | For     | For       | Management  |
| 1i | Elect Director Sherry M. Smith   | For     | For       | Management  |
| 1j | Elect Director Dmitri L. Stockton  | For     | For       | Management  |
| 1k | Elect Director Sheila G. Talton  | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation             | For     | Against   | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                                   | For     | For       | Management  |
| 4a | Adopt Proxy Access Right   | Against | For       | Shareholder |
| 4b | Create Feasibility Plan for Net-Zero GHG Emissions                         | Against | Against   | Shareholder |
| 4c | Report on Consistency Between Corporate Values and Political Contributions | Against | Against   | Shareholder |

### DIAMOND OFFSHORE DRILLING, INC.

Ticker: DO Security ID: 25271C102  
 Meeting Date: MAY 17, 2016 Meeting Type: Annual  
 Record Date: MAR 24, 2016

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director James S. Tisch       | For     | For       | Management |
| 1b | Elect Director Marc Edwards         | For     | For       | Management |
| 1c | Elect Director John R. Bolton       | For     | For       | Management |
| 1d | Elect Director Charles L. Fabrikant | For     | For       | Management |

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|    |  |     |     |            |
|----|--|-----|-----|------------|
| 1e | Elect Director Paul G. Gaffney, II                             | For | For | Management |
| 1f | Elect Director Edward Grebow                                   | For | For | Management |
| 1g | Elect Director Herbert C. Hofmann                              | For | For | Management |
| 1h | Elect Director Kenneth I. Siegel                               | For | For | Management |
| 1i | Elect Director Clifford M. Sobel                               | For | For | Management |
| 1j | Elect Director Andrew H. Tisch                                 | For | For | Management |
| 1k | Elect Director Raymond S. Troubh                               | For | For | Management |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For | For | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

### EMC CORPORATION

Ticker: EMC Security ID: 268648102  
 Meeting Date: MAY 12, 2016 Meeting Type: Annual  
 Record Date: MAR 14, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Donald J. Carty                                 | For     | For       | Management |
| 1b | Elect Director Randolph L. Cowen                               | For     | For       | Management |
| 1c | Elect Director James S. DiStasio                               | For     | For       | Management |
| 1d | Elect Director John R. Egan                                    | For     | For       | Management |
| 1e | Elect Director William D. Green                                | For     | For       | Management |
| 1f | Elect Director Jami Miscik                                     | For     | For       | Management |
| 1g | Elect Director Paul Sagan                                      | For     | For       | Management |
| 1h | Elect Director Laura J. Sen                                    | For     | For       | Management |
| 1i | Elect Director Joseph M. Tucci                                 | For     | Against   | Management |
| 2  | Ratify Pricewaterhousecoopers Llp as Auditors                  | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

### EXELON CORPORATION

Ticker: EXC Security ID: 30161N101  
 Meeting Date: APR 26, 2016 Meeting Type: Annual  
 Record Date: MAR 04, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Anthony K. Anderson                             | For     | For       | Management |
| 1b | Elect Director Ann C. Berzin                                   | For     | For       | Management |
| 1c | Elect Director Christopher M. Crane                            | For     | For       | Management |
| 1d | Elect Director Yves C. de Balmann                              | For     | For       | Management |
| 1e | Elect Director Nicholas DeBenedictis                           | For     | For       | Management |
| 1f | Elect Director Nancy L. Gioia                                  | For     | For       | Management |
| 1g | Elect Director Linda P. Jojo                                   | For     | For       | Management |
| 1h | Elect Director Paul L. Joskow                                  | For     | For       | Management |
| 1i | Elect Director Robert J. Lawless                               | For     | For       | Management |
| 1j | Elect Director Richard W. Mies                                 | For     | For       | Management |
| 1k | Elect Director John W. Rogers, Jr.                             | For     | For       | Management |
| 1l | Elect Director Mayo A. Shattuck, III                           | For     | For       | Management |
| 1m | Elect Director Stephen D. Steinour                             | For     | For       | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |

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|   |  |     |     |            |
|---|--|-----|-----|------------|
| 4 | Executive Officers' Compensation<br>Provide Proxy Access Right | For | For | Management |
|---|--|-----|-----|------------|

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FACEBOOK, INC.

Ticker: FB Security ID: 30303M102  
 Meeting Date: JUN 20, 2016 Meeting Type: Annual  
 Record Date: APR 29, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Marc L. Andreessen  | For     | For       | Management  |
| 1.2 | Elect Director Erskine B. Bowles   | For     | For       | Management  |
| 1.3 | Elect Director Susan D. Desmond-Hellmann   | For     | For       | Management  |
| 1.4 | Elect Director Reed Hastings   | For     | For       | Management  |
| 1.5 | Elect Director Jan Koum  | For     | For       | Management  |
| 1.6 | Elect Director Sheryl K. Sandberg  | For     | For       | Management  |
| 1.7 | Elect Director Peter A. Thiel  | For     | For       | Management  |
| 1.8 | Elect Director Mark Zuckerberg   | For     | Withhold  | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors   | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation   | For     | For       | Management  |
| 4   | Ratify the Grant of Restricted Stock Units to Non-Employee Directors   | For     | Against   | Management  |
| 5   | Ratify the Grant of Restricted Stock Units to Non-Employee Directors   | For     | Against   | Management  |
| 6   | Approve Annual Compensation Program for Non-employee Directors   | For     | Against   | Management  |
| 7A  | Amend Certificate of Incorporation to Establish the Class C Capital Stock and to Make Certain Clarifying Changes                                 | For     | Against   | Management  |
| 7B  | Increase Authorized Common Stock   | For     | Against   | Management  |
| 7C  | Amend Certificate of Incorporation to Provide Equal Treatment of Shares of Class A Common Stock, Class B Common Stock, and Class C Capital Stock | For     | For       | Management  |
| 7D  | Amend Certificate of Incorporation to Provide for Additional Events  | For     | For       | Management  |
| 8   | Amend Omnibus Stock Plan   | For     | Against   | Management  |
| 9   | Approve Recapitalization Plan for all Stock to Have One-vote per Share   | Against | For       | Shareholder |
| 10  | Report on Sustainability   | Against | For       | Shareholder |
| 11  | Report on Lobbying Payments and Policy   | Against | For       | Shareholder |
| 12  | Establish International Policy Board Committee   | Against | Against   | Shareholder |
| 13  | Report on Gender Pay Gap   | Against | For       | Shareholder |

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FERRARI NV

Ticker: RACE Security ID: N3167Y103  
 Meeting Date: APR 15, 2016 Meeting Type: Annual  
 Record Date: MAR 18, 2016

| #   | Proposal                        | Mgt Rec | Vote Cast | Sponsor    |
|-----|---------------------------------|---------|-----------|------------|
| 1   | Open Meeting                    | None    | None      | Management |
| 2.a | Receive Director's Board Report | None    | None      | Management |

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|     |   |      |         |            |
|-----|---|------|---------|------------|
|     | (Non-Voting)  |      |         |            |
| 2.b | Discuss Remuneration Report Containing Remuneration Policy for Management Board Members | None | None    | Management |
| 2.c | Receive Explanation on Company's Reserves and Dividend Policy                           | None | None    | Management |
| 2.d | Adopt Financial Statements  | For  | For     | Management |
| 2.e | Approve Discharge of Directors  | For  | For     | Management |
| 3.a | Reelect Amedeo Felisa as Executive Director   | For  | For     | Management |
| 3.b | Reelect Sergio Marchionne as Executive Director   | For  | Against | Management |
| 3.c | Reelect Piero Ferrari as Non-Executive Director   | For  | Against | Management |
| 3.d | Reelect Louis C. Camilleri as Non-Executive Director                                    | For  | Against | Management |
| 3.e | Reelect Giuseppina Capaldo as Non-Executive Director                                    | For  | For     | Management |
| 3.f | Reelect Eduardo H. Cue as Non-Executive Director  | For  | For     | Management |
| 3.g | Reelect Sergio Duca as Non-Executive Director   | For  | For     | Management |
| 3.h | Reelect Elena Zambon as Non-Executive Director  | For  | For     | Management |
| 3.i | Elect Delphine Arnault as Non-Executive Director  | For  | Against | Management |
| 3.j | Elect John Elkann as Non-Executive Director   | For  | Against | Management |
| 3.k | Elect Lapo Elkann as Director   | For  | Against | Management |
| 3.l | Elect Maria Patrizia Grieco as Director   | For  | Against | Management |
| 3.m | Elect Adam Keswick as Director  | For  | Against | Management |
| 4   | Authorize Repurchase of Up to 10 Percent of Issued Share Capital                        | For  | For     | Management |
| 5   | Close Meeting   | None | None    | Management |

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### FLUOR CORPORATION

Ticker:           FLR                           Security ID: 343412102  
Meeting Date: MAY 05, 2016   Meeting Type: Annual  
Record Date: MAR 07, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1A | Elect Director Peter K. Barker                                 | For     | For       | Management  |
| 1B | Elect Director Alan M. Bennett                                 | For     | For       | Management  |
| 1C | Elect Director Rosemary T. Berkery                             | For     | For       | Management  |
| 1D | Elect Director Peter J. Fluor                                  | For     | For       | Management  |
| 1E | Elect Director Deborah D. McWhinney                            | For     | For       | Management  |
| 1F | Elect Director Armando J. Olivera                              | For     | For       | Management  |
| 1G | Elect Director Joseph W. Prueher                               | For     | For       | Management  |
| 1H | Elect Director Matthew K. Rose                                 | For     | For       | Management  |
| 1I | Elect Director David T. Seaton                                 | For     | Against   | Management  |
| 1J | Elect Director Nader H. Sultan                                 | For     | For       | Management  |
| 1K | Elect Director Lynn C. Swann                                   | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 4  | Report on Political Contributions                              | Against | For       | Shareholder |
| 5  | Adopt Quantitative Company-wide GHG Goals                      | Against | For       | Shareholder |

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### FORD MOTOR COMPANY

Ticker: F Security ID: 345370860  
 Meeting Date: MAY 12, 2016 Meeting Type: Annual  
 Record Date: MAR 16, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Stephen G. Butler                                       | For     | For       | Management  |
| 1.2  | Elect Director Kimberly A. Casiano                                     | For     | For       | Management  |
| 1.3  | Elect Director Anthony F. Earley, Jr.                                  | For     | For       | Management  |
| 1.4  | Elect Director Mark Fields   | For     | For       | Management  |
| 1.5  | Elect Director Edsel B. Ford, II                                       | For     | For       | Management  |
| 1.6  | Elect Director William Clay Ford, Jr.                                  | For     | For       | Management  |
| 1.7  | Elect Director James H. Hance, Jr.                                     | For     | For       | Management  |
| 1.8  | Elect Director William W. Helman, IV                                   | For     | For       | Management  |
| 1.9  | Elect Director Jon M. Huntsman, Jr.                                    | For     | For       | Management  |
| 1.10 | Elect Director William E. Kennard                                      | For     | For       | Management  |
| 1.11 | Elect Director John C. Lechleiter                                      | For     | For       | Management  |
| 1.12 | Elect Director Ellen R. Marram   | For     | For       | Management  |
| 1.13 | Elect Director Gerald L. Shaheen                                       | For     | For       | Management  |
| 1.14 | Elect Director John L. Thornton  | For     | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                          | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation         | For     | For       | Management  |
| 4    | Amend Tax Benefits Preservation Plan                                   | For     | Against   | Management  |
| 5    | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For       | Shareholder |
| 6    | Reduce Ownership Threshold for Shareholders to Call Special Meeting    | Against | For       | Shareholder |

### FREEMPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 08, 2016 Meeting Type: Annual  
 Record Date: APR 12, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Richard C. Adkerson                             | For     | For       | Management  |
| 1.2 | Elect Director Gerald J. Ford                                  | For     | For       | Management  |
| 1.3 | Elect Director Lydia H. Kennard                                | For     | For       | Management  |
| 1.4 | Elect Director Andrew Langham                                  | For     | For       | Management  |
| 1.5 | Elect Director Jon C. Madonna                                  | For     | For       | Management  |
| 1.6 | Elect Director Courtney Mather                                 | For     | For       | Management  |
| 1.7 | Elect Director Dustan E. McCoy                                 | For     | For       | Management  |
| 1.8 | Elect Director Frances Fragos Townsend                         | For     | For       | Management  |
| 2   | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 3   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4   | Provide Proxy Access Right                                     | For     | For       | Management  |
| 5   | Increase Authorized Common Stock                               | For     | For       | Management  |
| 6   | Provide Directors May Be Removed With or Without Cause.        | For     | For       | Management  |
| 7   | Approve Omnibus Stock Plan                                     | For     | For       | Management  |
| 8   | Report on Actions to Reduce Impact of                          | Against | For       | Shareholder |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

Enhanced Oil Recovery

### GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103  
 Meeting Date: APR 27, 2016 Meeting Type: Annual  
 Record Date: FEB 29, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| A1  | Elect Director Sebastien M. Bazin                              | For     | For       | Management  |
| A2  | Elect Director W. Geoffrey Beattie                             | For     | For       | Management  |
| A3  | Elect Director John J. Brennan                                 | For     | For       | Management  |
| A4  | Elect Director Francisco D' Souza                              | For     | For       | Management  |
| A5  | Elect Director Marijn E. Dekkers                               | For     | For       | Management  |
| A6  | Elect Director Peter B. Henry                                  | For     | For       | Management  |
| A7  | Elect Director Susan J. Hockfield                              | For     | For       | Management  |
| A8  | Elect Director Jeffrey R. Immelt                               | For     | Against   | Management  |
| A9  | Elect Director Andrea Jung                                     | For     | For       | Management  |
| A10 | Elect Director Robert W. Lane                                  | For     | For       | Management  |
| A11 | Elect Director Rochelle B. Lazarus                             | For     | For       | Management  |
| A12 | Elect Director Lowell C. McAdam                                | For     | For       | Management  |
| A13 | Elect Director James J. Mulva                                  | For     | For       | Management  |
| A14 | Elect Director James E. Rohr                                   | For     | For       | Management  |
| A15 | Elect Director Mary L. Schapiro                                | For     | For       | Management  |
| A16 | Elect Director James S. Tisch                                  | For     | For       | Management  |
| B1  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| B2  | Ratify KPMG LLP as Auditors                                    | For     | For       | Management  |
| C1  | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |
| C2  | Require Independent Board Chairman                             | Against | For       | Shareholder |
| C3  | Adopt Holy Land Principles                                     | Against | Against   | Shareholder |
| C4  | Restore or Provide for Cumulative Voting                       | Against | Against   | Shareholder |
| C5  | Performance-Based and/or Time-Based Equity Awards              | Against | Against   | Shareholder |
| C6  | Report on Guidelines for Country Selection                     | Against | Against   | Shareholder |

### GENERAL MOTORS COMPANY

Ticker: GM Security ID: 37045V100  
 Meeting Date: JUN 07, 2016 Meeting Type: Annual  
 Record Date: APR 08, 2016

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Joseph J. Ashton     | For     | For       | Management |
| 1b | Elect Director Mary T. Barra        | For     | Against   | Management |
| 1c | Elect Director Linda R. Gooden      | For     | For       | Management |
| 1d | Elect Director Joseph Jimenez       | For     | For       | Management |
| 1e | Elect Director Kathryn V. Marinello | For     | For       | Management |
| 1f | Elect Director Jane L. Mendillo     | For     | For       | Management |
| 1g | Elect Director Michael G. Mullen    | For     | For       | Management |
| 1h | Elect Director James J. Mulva       | For     | For       | Management |
| 1i | Elect Director Patricia F. Russo    | For     | For       | Management |
| 1j | Elect Director Thomas M. Schoewe    | For     | For       | Management |
| 1k | Elect Director Theodore M. Solso    | For     | For       | Management |

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|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 11 | Elect Director Carol M. Stephenson                             | For     | For     | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For     | Management  |
| 4  | Adopt Holy Land Principles                                     | Against | Against | Shareholder |

### GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103  
 Meeting Date: MAY 11, 2016 Meeting Type: Annual  
 Record Date: MAR 16, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John F. Cogan                                   | For     | For       | Management  |
| 1b | Elect Director Kevin E. Lofton                                 | For     | For       | Management  |
| 1c | Elect Director John W. Madigan                                 | For     | For       | Management  |
| 1d | Elect Director John C. Martin                                  | For     | For       | Management  |
| 1e | Elect Director John F. Milligan                                | For     | For       | Management  |
| 1f | Elect Director Nicholas G. Moore                               | For     | For       | Management  |
| 1g | Elect Director Richard J. Whitley                              | For     | For       | Management  |
| 1h | Elect Director Gayle E. Wilson                                 | For     | For       | Management  |
| 1i | Elect Director Per Wold-Olsen                                  | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 3  | Amend Executive Incentive Bonus Plan                           | For     | For       | Management  |
| 4  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 5  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |

### INTEL CORPORATION

Ticker: INTC Security ID: 458140100  
 Meeting Date: MAY 19, 2016 Meeting Type: Annual  
 Record Date: MAR 21, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Charlene Barshefsky                             | For     | For       | Management  |
| 1b | Elect Director Aneel Bhusri                                    | For     | For       | Management  |
| 1c | Elect Director Andy D. Bryant                                  | For     | For       | Management  |
| 1d | Elect Director John J. Donahoe                                 | For     | For       | Management  |
| 1e | Elect Director Reed E. Hundt                                   | For     | For       | Management  |
| 1f | Elect Director Brian M. Krzanich                               | For     | For       | Management  |
| 1g | Elect Director James D. Plummer                                | For     | For       | Management  |
| 1h | Elect Director David S. Pottruck                               | For     | For       | Management  |
| 1i | Elect Director Frank D. Yeary                                  | For     | For       | Management  |
| 1j | Elect Director David B. Yoffie                                 | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4  | Adopt Holy Land Principles                                     | Against | Against   | Shareholder |
| 5  | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |
| 6  | Provide Vote Counting to Exclude Abstentions                   | Against | Against   | Shareholder |



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INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101  
 Meeting Date: APR 26, 2016 Meeting Type: Annual  
 Record Date: FEB 26, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Kenneth I. Chenault                             | For     | For       | Management  |
| 1.2  | Elect Director Michael L. Eskew                                | For     | For       | Management  |
| 1.3  | Elect Director David N. Farr                                   | For     | For       | Management  |
| 1.4  | Elect Director Mark Fields                                     | For     | For       | Management  |
| 1.5  | Elect Director Alex Gorsky                                     | For     | For       | Management  |
| 1.6  | Elect Director Shirley Ann Jackson                             | For     | For       | Management  |
| 1.7  | Elect Director Andrew N. Liveris                               | For     | For       | Management  |
| 1.8  | Elect Director W. James McNerney, Jr.                          | For     | For       | Management  |
| 1.9  | Elect Director Hutham S. Olayan                                | For     | For       | Management  |
| 1.10 | Elect Director James W. Owens                                  | For     | For       | Management  |
| 1.11 | Elect Director Virginia M. Rometty                             | For     | Against   | Management  |
| 1.12 | Elect Director Joan E. Spero                                   | For     | For       | Management  |
| 1.13 | Elect Director Sidney Taurel                                   | For     | For       | Management  |
| 1.14 | Elect Director Peter R. Voser                                  | For     | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4    | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |
| 5    | Provide Right to Act by Written Consent                        | Against | For       | Shareholder |
| 6    | Require Independent Board Chairman                             | Against | For       | Shareholder |

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JOHNSON CONTROLS, INC.

Ticker: JCI Security ID: 478366107  
 Meeting Date: JAN 27, 2016 Meeting Type: Annual  
 Record Date: NOV 19, 2015

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director David P. Abney                                  | For     | For       | Management  |
| 1.2  | Elect Director Natalie A. Black                                | For     | For       | Management  |
| 1.3  | Elect Director Julie L. Bushman                                | For     | For       | Management  |
| 1.4  | Elect Director Raymond L. Conner                               | For     | For       | Management  |
| 1.5  | Elect Director Richard Goodman                                 | For     | For       | Management  |
| 1.6  | Elect Director Jeffrey A. Joerres                              | For     | For       | Management  |
| 1.7  | Elect Director William H. Lacy                                 | For     | For       | Management  |
| 1.8  | Elect Director Alex A. Molinaroli                              | For     | Withhold  | Management  |
| 1.9  | Elect Director Juan Pablo del Valle Perochena                  | For     | For       | Management  |
| 1.10 | Elect Director Mark P. Vergnano                                | For     | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4    | Proxy Access   | Against | For       | Shareholder |

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JOY GLOBAL INC.

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Ticker: JOY Security ID: 481165108  
 Meeting Date: MAR 08, 2016 Meeting Type: Annual  
 Record Date: JAN 08, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Edward L. Doheny, II                            | For     | For       | Management  |
| 1.2 | Elect Director Steven L. Gerard                                | For     | For       | Management  |
| 1.3 | Elect Director Mark J. Gliebe                                  | For     | For       | Management  |
| 1.4 | Elect Director John T. Gremp                                   | For     | For       | Management  |
| 1.5 | Elect Director John Nils Hanson                                | For     | For       | Management  |
| 1.6 | Elect Director Gale E. Klappa                                  | For     | For       | Management  |
| 1.7 | Elect Director Richard B. Loynd                                | For     | For       | Management  |
| 1.8 | Elect Director P. Eric Siegert                                 | For     | For       | Management  |
| 1.9 | Elect Director James H. Tate                                   | For     | For       | Management  |
| 2   | Approve Omnibus Stock Plan                                     | For     | For       | Management  |
| 3   | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 4   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 5   | Adopt Policy and Report on Board Diversity                     | Against | For       | Shareholder |

### LAM RESEARCH CORPORATION

Ticker: LRCX Security ID: 512807108  
 Meeting Date: FEB 19, 2016 Meeting Type: Special  
 Record Date: JAN 07, 2016

| # | Proposal                                    | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Issue Shares in Connection with Acquisition | For     | For       | Management |
| 2 | Adjourn Meeting                             | For     | For       | Management |
| 3 | Increase Authorized Common Stock            | For     | For       | Management |

### MACY'S, INC.

Ticker: M Security ID: 55616P104  
 Meeting Date: MAY 20, 2016 Meeting Type: Annual  
 Record Date: MAR 24, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Francis S. Blake                                | For     | For       | Management |
| 1b | Elect Director Stephen F. Bollenbach                           | For     | For       | Management |
| 1c | Elect Director John A. Bryant                                  | For     | For       | Management |
| 1d | Elect Director Deirdre P. Connelly                             | For     | For       | Management |
| 1e | Elect Director Leslie D. Hale                                  | For     | For       | Management |
| 1f | Elect Director William H. Lenehan                              | For     | For       | Management |
| 1g | Elect Director Sara Levinson                                   | For     | For       | Management |
| 1h | Elect Director Terry J. Lundgren                               | For     | Against   | Management |
| 1i | Elect Director Joyce M. Roche                                  | For     | For       | Management |
| 1j | Elect Director Paul C. Varga                                   | For     | For       | Management |
| 1k | Elect Director Craig E. Weatherup                              | For     | For       | Management |
| 1l | Elect Director Marna C. Whittington                            | For     | For       | Management |
| 1m | Elect Director Annie Young-Scrivner                            | For     | For       | Management |
| 2  | Ratify KPMG LLP as Auditors                                    | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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### MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101  
 Meeting Date: MAY 26, 2016 Meeting Type: Annual  
 Record Date: MAR 28, 2016

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Lloyd Dean   | For     | For       | Management  |
| 1b | Elect Director Stephen Easterbrook  | For     | For       | Management  |
| 1c | Elect Director Robert Eckert  | For     | For       | Management  |
| 1d | Elect Director Margaret Georgiadis  | For     | For       | Management  |
| 1e | Elect Director Enrique Hernandez, Jr.                                       | For     | For       | Management  |
| 1f | Elect Director Jeanne Jackson   | For     | For       | Management  |
| 1g | Elect Director Richard Lenny  | For     | For       | Management  |
| 1h | Elect Director Walter Massey  | For     | For       | Management  |
| 1i | Elect Director John Mulligan  | For     | For       | Management  |
| 1j | Elect Director Sheila Penrose   | For     | For       | Management  |
| 1k | Elect Director John Rogers, Jr.   | For     | For       | Management  |
| 1l | Elect Director Miles White  | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation              | For     | For       | Management  |
| 3  | Ratify Ernst & Young LLP as Auditors  | For     | For       | Management  |
| 4  | Provide Vote Counting to Exclude Abstentions                                | Against | Against   | Shareholder |
| 5  | Provide Right to Act by Written Consent                                     | Against | For       | Shareholder |
| 6  | Adopt Holy Land Principles  | Against | Against   | Shareholder |
| 7  | Adopt Policy to Ban Non-Therapeutic Use of Antibiotics in Meat Supply Chain | Against | For       | Shareholder |
| 8  | Report on Consistency Between Corporate Values and Political Contributions  | Against | For       | Shareholder |
| 9  | Report on Consistency Between Corporate Values and Political Activities     | Against | Abstain   | Shareholder |

### MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103  
 Meeting Date: JUL 29, 2015 Meeting Type: Annual  
 Record Date: JUN 01, 2015

| #  | Proposal                                 | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Andy D. Bryant            | For     | For       | Management |
| 1b | Elect Director Wayne A. Budd             | For     | For       | Management |
| 1c | Elect Director N. Anthony Coles          | For     | For       | Management |
| 1d | Elect Director John H. Hammergren        | For     | Against   | Management |
| 1e | Elect Director Alton F. Irby, III        | For     | For       | Management |
| 1f | Elect Director M. Christine Jacobs       | For     | For       | Management |
| 1g | Elect Director Donald R. Knauss          | For     | For       | Management |
| 1h | Elect Director Marie L. Knowles          | For     | For       | Management |
| 1i | Elect Director David M. Lawrence         | For     | For       | Management |
| 1j | Elect Director Edward A. Mueller         | For     | For       | Management |
| 1k | Elect Director Susan R. Salka            | For     | For       | Management |
| 2  | Ratify Deloitte & Touche LLP as Auditors | For     | For       | Management |

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|   |  |         |     |             |
|---|--|---------|-----|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For | Management  |
| 4 | Amend Executive Incentive Bonus Plan                           | For     | For | Management  |
| 5 | Provide Proxy Access Right                                     | For     | For | Management  |
| 6 | Report on Political Contributions                              | Against | For | Shareholder |
| 7 | Pro-rata Vesting of Equity Awards                              | Against | For | Shareholder |

### MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104  
 Meeting Date: DEC 02, 2015 Meeting Type: Annual  
 Record Date: OCT 02, 2015

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Elect Director William H. Gates, III                           | For     | For       | Management |
| 1.2  | Elect Director Teri L. List-Stoll                              | For     | For       | Management |
| 1.3  | Elect Director G. Mason Morfit                                 | For     | For       | Management |
| 1.4  | Elect Director Satya Nadella                                   | For     | For       | Management |
| 1.5  | Elect Director Charles H. Noski                                | For     | For       | Management |
| 1.6  | Elect Director Helmut Panke                                    | For     | For       | Management |
| 1.7  | Elect Director Sandra E. Peterson                              | For     | For       | Management |
| 1.8  | Elect Director Charles W. Scharf                               | For     | For       | Management |
| 1.9  | Elect Director John W. Stanton                                 | For     | For       | Management |
| 1.10 | Elect Director John W. Thompson                                | For     | For       | Management |
| 1.11 | Elect Director Padmasree Warrior                               | For     | For       | Management |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3    | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management |

### MONSANTO COMPANY

Ticker: MON Security ID: 61166W101  
 Meeting Date: JAN 29, 2016 Meeting Type: Annual  
 Record Date: DEC 01, 2015

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Gregory H. Boyce                                | For     | For       | Management  |
| 1b | Elect Director David L. Chicoine                               | For     | For       | Management  |
| 1c | Elect Director Janice L. Fields                                | For     | For       | Management  |
| 1d | Elect Director Hugh Grant                                      | For     | Against   | Management  |
| 1e | Elect Director Arthur H. Harper                                | For     | For       | Management  |
| 1f | Elect Director Laura K. Ipsen                                  | For     | For       | Management  |
| 1g | Elect Director Marcos M. Lutz                                  | For     | For       | Management  |
| 1h | Elect Director C. Steven McMillan                              | For     | For       | Management  |
| 1i | Elect Director Jon R. Moeller                                  | For     | For       | Management  |
| 1j | Elect Director William U. Parfet                               | For     | For       | Management  |
| 1k | Elect Director George H. Poste                                 | For     | For       | Management  |
| 1l | Elect Director Robert J. Stevens                               | For     | For       | Management  |
| 1m | Elect Director Patricia Verduin                                | For     | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4  | Amend Executive Incentive Bonus Plan                           | For     | For       | Management  |
| 5  | Report on Effectiveness and Risks of                           | Against | Against   | Shareholder |

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|   |  |         |     |             |
|---|--|---------|-----|-------------|
|   | Glyphosate Use                         |         |     |             |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 7 | Require Independent Board Chairman     | Against | For | Shareholder |

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### NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101  
 Meeting Date: MAY 18, 2016 Meeting Type: Annual  
 Record Date: APR 01, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1A | Elect Director Clay C. Williams                                | For     | Against   | Management |
| 1B | Elect Director Greg L. Armstrong                               | For     | For       | Management |
| 1C | Elect Director Marcela E. Donadio                              | For     | For       | Management |
| 1D | Elect Director Ben A. Guill                                    | For     | For       | Management |
| 1E | Elect Director James T. Hackett                                | For     | For       | Management |
| 1F | Elect Director David D. Harrison                               | For     | For       | Management |
| 1G | Elect Director Roger L. Jarvis                                 | For     | For       | Management |
| 1H | Elect Director Eric L. Mattson                                 | For     | For       | Management |
| 1I | Elect Director William R. Thomas                               | For     | For       | Management |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 4  | Amend Omnibus Stock Plan                                       | For     | For       | Management |

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### OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105  
 Meeting Date: APR 29, 2016 Meeting Type: Annual  
 Record Date: FEB 29, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Spencer Abraham   | For     | For       | Management  |
| 1.2  | Elect Director Howard I. Atkins  | For     | For       | Management  |
| 1.3  | Elect Director Eugene L. Batchelder                                      | For     | For       | Management  |
| 1.4  | Elect Director Stephen I. Chazen   | For     | For       | Management  |
| 1.5  | Elect Director John E. Feick   | For     | For       | Management  |
| 1.6  | Elect Director Margaret M. Foran   | For     | For       | Management  |
| 1.7  | Elect Director Carlos M. Gutierrez                                       | For     | For       | Management  |
| 1.8  | Elect Director Vicki A. Hollub   | For     | For       | Management  |
| 1.9  | Elect Director William R. Klesse   | For     | For       | Management  |
| 1.10 | Elect Director Avedick B. Poladian                                       | For     | For       | Management  |
| 1.11 | Elect Director Elisse B. Walter  | For     | For       | Management  |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation           | For     | For       | Management  |
| 3    | Ratify KPMG LLP as Auditors  | For     | For       | Management  |
| 4    | Review and Assess Membership of Lobbying Organizations                   | Against | For       | Shareholder |
| 5    | Annually Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario  | Against | For       | Shareholder |
| 6    | Amend Bylaws -- Call Special Meetings                                    | Against | For       | Shareholder |
| 7    | Report on Methane and Flaring Emissions Management and Reduction Targets | Against | For       | Shareholder |

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105  
 Meeting Date: NOV 18, 2015 Meeting Type: Annual  
 Record Date: SEP 21, 2015

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Jeffrey S. Berg                                 | For     | For       | Management  |
| 1.2  | Elect Director H. Raymond Bingham                              | For     | For       | Management  |
| 1.3  | Elect Director Michael J. Boskin                               | For     | For       | Management  |
| 1.4  | Elect Director Safra A. Catz                                   | For     | For       | Management  |
| 1.5  | Elect Director Bruce R. Chizen                                 | For     | For       | Management  |
| 1.6  | Elect Director George H. Conrades                              | For     | For       | Management  |
| 1.7  | Elect Director Lawrence J. Ellison                             | For     | For       | Management  |
| 1.8  | Elect Director Hector Garcia-Molina                            | For     | For       | Management  |
| 1.9  | Elect Director Jeffrey O. Henley                               | For     | For       | Management  |
| 1.10 | Elect Director Mark V. Hurd                                    | For     | For       | Management  |
| 1.11 | Elect Director Leon E. Panetta                                 | For     | For       | Management  |
| 1.12 | Elect Director Naomi O. Seligman                               | For     | For       | Management  |
| 2    | Amend Executive Incentive Bonus Plan                           | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management  |
| 4    | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 5    | Adopt Quantitative Renewable Energy Goals                      | Against | Against   | Shareholder |
| 6    | Proxy Access   | Against | For       | Shareholder |
| 7    | Approve Quantifiable Performance Metrics                       | Against | For       | Shareholder |
| 8    | Amend Corporate Governance Guidelines                          | Against | For       | Shareholder |
| 9    | Proxy Voting Disclosure, Confidentiality, and Tabulation       | Against | Against   | Shareholder |
| 10   | Report on Lobbying Payments and Policy                         | Against | For       | Shareholder |

PEPSICO, INC.

Ticker: PEP Security ID: 713448108  
 Meeting Date: MAY 04, 2016 Meeting Type: Annual  
 Record Date: FEB 26, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Shona L. Brown                                  | For     | For       | Management |
| 1b | Elect Director George W. Buckley                               | For     | For       | Management |
| 1c | Elect Director Cesar Conde                                     | For     | For       | Management |
| 1d | Elect Director Ian M. Cook                                     | For     | For       | Management |
| 1e | Elect Director Dina Dublon                                     | For     | For       | Management |
| 1f | Elect Director Rona A. Fairhead                                | For     | For       | Management |
| 1g | Elect Director Richard W. Fisher                               | For     | For       | Management |
| 1h | Elect Director William R. Johnson                              | For     | For       | Management |
| 1i | Elect Director Indra K. Nooyi                                  | For     | Against   | Management |
| 1j | Elect Director David C. Page                                   | For     | For       | Management |
| 1k | Elect Director Robert C. Pohlard                               | For     | For       | Management |
| 1l | Elect Director Lloyd G. Trotter                                | For     | For       | Management |
| 1m | Elect Director Daniel Vasella                                  | For     | For       | Management |
| 1n | Elect Director Alberto Weisser                                 | For     | For       | Management |
| 2  | Ratify KPMG LLP as Auditors                                    | For     | For       | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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|   |   |         |         |             |
|---|---|---------|---------|-------------|
| 4 | Amend Omnibus Stock Plan                                      | For     | For     | Management  |
| 5 | Establish a Board Committee on Sustainability                 | Against | Against | Shareholder |
| 6 | Report on Plans to Minimize Pesticides' Impact on Pollinators | Against | For     | Shareholder |
| 7 | Adopt Holy Land Principles                                    | Against | Against | Shareholder |
| 8 | Adopt Quantitative Renewable Energy Goals                     | Against | Against | Shareholder |

### PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102  
 Meeting Date: MAY 10, 2016 Meeting Type: Annual  
 Record Date: MAR 11, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Thomas J. Baltimore, Jr.                        | For     | For       | Management  |
| 1.2  | Elect Director Gilbert F. Casellas                             | For     | For       | Management  |
| 1.3  | Elect Director James G. Cullen                                 | For     | For       | Management  |
| 1.4  | Elect Director Mark B. Grier                                   | For     | For       | Management  |
| 1.5  | Elect Director Martina Hund-Mejean                             | For     | For       | Management  |
| 1.6  | Elect Director Karl J. Krapek                                  | For     | For       | Management  |
| 1.7  | Elect Director Peter R. Lighte                                 | For     | For       | Management  |
| 1.8  | Elect Director George Paz                                      | For     | For       | Management  |
| 1.9  | Elect Director Sandra Pianalto                                 | For     | For       | Management  |
| 1.10 | Elect Director Christine A. Poon                               | For     | For       | Management  |
| 1.11 | Elect Director Douglas A. Scovanner                            | For     | For       | Management  |
| 1.12 | Elect Director John R. Strangfeld                              | For     | Against   | Management  |
| 1.13 | Elect Director Michael A. Todma                                | For     | For       | Management  |
| 2    | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4    | Approve Omnibus Stock Plan                                     | For     | For       | Management  |
| 5    | Require Independent Board Chairman                             | Against | For       | Shareholder |

### QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 08, 2016 Meeting Type: Annual  
 Record Date: JAN 11, 2016

| #  | Proposal                                   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Barbara T. Alexander        | For     | For       | Management |
| 1b | Elect Director Raymond V. Dittamore        | For     | For       | Management |
| 1c | Elect Director Jeffrey W. Henderson        | For     | For       | Management |
| 1d | Elect Director Thomas W. Horton            | For     | For       | Management |
| 1e | Elect Director Paul E. Jacobs              | For     | For       | Management |
| 1f | Elect Director Harish Manwani              | For     | For       | Management |
| 1g | Elect Director Mark D. McLaughlin          | For     | For       | Management |
| 1h | Elect Director Steve Mollenkopf            | For     | For       | Management |
| 1i | Elect Director Clark T. 'Sandy' Randt, Jr. | For     | For       | Management |
| 1j | Elect Director Francisco Ros               | For     | For       | Management |
| 1k | Elect Director Jonathan J. Rubinstein      | For     | For       | Management |
| 1l | Elect Director Anthony J. Vinciquerra      | For     | For       | Management |

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|   |  |         |     |             |
|---|--|---------|-----|-------------|
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For | Management  |
| 3 | Approve Omnibus Stock Plan                                     | For     | For | Management  |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For | Management  |
| 5 | Proxy Access   | Against | For | Shareholder |

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### SCHLUMBERGER LIMITED

Ticker: SLB                      Security ID: 806857108  
 Meeting Date: APR 06, 2016      Meeting Type: Annual  
 Record Date: FEB 17, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Peter L.S. Currie                               | For     | For       | Management |
| 1b | Elect Director V. Maureen Kempston Darkes                      | For     | For       | Management |
| 1c | Elect Director Paal Kibsgaard                                  | For     | Against   | Management |
| 1d | Elect Director Nikolay Kudryavtsev                             | For     | For       | Management |
| 1e | Elect Director Michael E. Marks                                | For     | For       | Management |
| 1f | Elect Director Indra K. Nooyi                                  | For     | For       | Management |
| 1g | Elect Director Lubna S. Olayan                                 | For     | For       | Management |
| 1h | Elect Director Leo Rafael Reif                                 | For     | For       | Management |
| 1i | Elect Director Tore I. Sandvold                                | For     | For       | Management |
| 1j | Elect Director Henri Seydoux                                   | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | Against   | Management |
| 3  | Adopt and Approve Financials and Dividends                     | For     | For       | Management |
| 4  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 5  | Amend Articles   | For     | For       | Management |
| 6  | Fix Number of Directors at Twelve                              | For     | For       | Management |
| 7  | Amend 2010 Omnibus Stock Incentive Plan                        | For     | For       | Management |

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### STARBUCKS CORPORATION

Ticker: SBUX                      Security ID: 855244109  
 Meeting Date: MAR 23, 2016      Meeting Type: Annual  
 Record Date: JAN 14, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Howard Schultz                                  | For     | Against   | Management |
| 1b | Elect Director William W. Bradley                              | For     | For       | Management |
| 1c | Elect Director Mary N. Dillon                                  | For     | For       | Management |
| 1d | Elect Director Robert M. Gates                                 | For     | For       | Management |
| 1e | Elect Director Mellody Hobson                                  | For     | For       | Management |
| 1f | Elect Director Kevin R. Johnson                                | For     | For       | Management |
| 1g | Elect Director Joshua Cooper Ramo                              | For     | For       | Management |
| 1h | Elect Director James G. Shennan, Jr.                           | For     | For       | Management |
| 1i | Elect Director Clara Shih                                      | For     | For       | Management |
| 1j | Elect Director Javier G. Teruel                                | For     | For       | Management |
| 1k | Elect Director Myron E. Ullman, III                            | For     | For       | Management |
| 1l | Elect Director Craig E. Weatherup                              | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |



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|   |   |         |         |             |
|---|---|---------|---------|-------------|
| 3 | Amend Executive Incentive Bonus Plan  | For     | For     | Management  |
| 4 | Ratify Deloitte & Touche LLP as Auditors  | For     | For     | Management  |
| 5 | Proxy Access  | Against | For     | Shareholder |
| 6 | Amend Policies to Allow Employees to Participate in Political Process with No Retribution | Against | Against | Shareholder |

### TARGET CORPORATION

Ticker: TGT                      Security ID: 87612E106  
 Meeting Date: JUN 08, 2016      Meeting Type: Annual  
 Record Date: APR 11, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Roxanne S. Austin                               | For     | For       | Management  |
| 1b | Elect Director Douglas M. Baker, Jr.                           | For     | For       | Management  |
| 1c | Elect Director Brian C. Cornell                                | For     | Against   | Management  |
| 1d | Elect Director Calvin Darden                                   | For     | For       | Management  |
| 1e | Elect Director Henrique De Castro                              | For     | For       | Management  |
| 1f | Elect Director Robert L. Edwards                               | For     | For       | Management  |
| 1g | Elect Director Melanie L. Healey                               | For     | For       | Management  |
| 1h | Elect Director Donald R. Knauss                                | For     | For       | Management  |
| 1i | Elect Director Monica C. Lozano                                | For     | For       | Management  |
| 1j | Elect Director Mary E. Minnick                                 | For     | For       | Management  |
| 1k | Elect Director Anne M. Mulcahy                                 | For     | For       | Management  |
| 1l | Elect Director Derica W. Rice                                  | For     | For       | Management  |
| 1m | Elect Director Kenneth L. Salazar                              | For     | For       | Management  |
| 1n | Elect Director John G. Stumpf                                  | For     | For       | Management  |
| 2  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4  | Report on Guidelines for Country Selection                     | Against | Against   | Shareholder |

### TEXAS INSTRUMENTS INCORPORATED

Ticker: TXN                      Security ID: 882508104  
 Meeting Date: APR 21, 2016      Meeting Type: Annual  
 Record Date: FEB 22, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Ralph W. Babb, Jr.                              | For     | For       | Management |
| 1b | Elect Director Mark A. Blinn                                   | For     | For       | Management |
| 1c | Elect Director Daniel A. Carp                                  | For     | For       | Management |
| 1d | Elect Director Janet F. Clark                                  | For     | For       | Management |
| 1e | Elect Director Carrie S. Cox                                   | For     | For       | Management |
| 1f | Elect Director Ronald Kirk                                     | For     | For       | Management |
| 1g | Elect Director Pamela H. Patsley                               | For     | For       | Management |
| 1h | Elect Director Robert E. Sanchez                               | For     | For       | Management |
| 1i | Elect Director Wayne R. Sanders                                | For     | For       | Management |
| 1j | Elect Director Richard K. Templeton                            | For     | Against   | Management |
| 1k | Elect Director Christine Todd Whitman                          | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 3  | Ratify Ernst & Young LLP as Auditors                           | For     | For       | Management |

## Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form N-PX

4 Amend Omnibus Stock Plan For For Management

### THE BOEING COMPANY

Ticker: BA Security ID: 097023105  
 Meeting Date: MAY 02, 2016 Meeting Type: Annual  
 Record Date: MAR 03, 2016

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director David L. Calhoun                                     | For     | For       | Management  |
| 1b | Elect Director Arthur D. Collins, Jr.                               | For     | For       | Management  |
| 1c | Elect Director Kenneth M. Duberstein                                | For     | For       | Management  |
| 1d | Elect Director Edmund P. Giambastiani, Jr.                          | For     | For       | Management  |
| 1e | Elect Director Lynn J. Good   | For     | For       | Management  |
| 1f | Elect Director Lawrence W. Kellner                                  | For     | For       | Management  |
| 1g | Elect Director Edward M. Liddy                                      | For     | For       | Management  |
| 1h | Elect Director Dennis A. Muilenburg                                 | For     | Against   | Management  |
| 1i | Elect Director Susan C. Schwab                                      | For     | For       | Management  |
| 1j | Elect Director Randall L. Stephenson                                | For     | For       | Management  |
| 1k | Elect Director Ronald A. Williams                                   | For     | For       | Management  |
| 1l | Elect Director Mike S. Zafirovski                                   | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation      | For     | For       | Management  |
| 3  | Ratify Deloitte & Touche LLP as Auditors                            | For     | For       | Management  |
| 4  | Report on Lobbying Payments and Policy                              | Against | For       | Shareholder |
| 5  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For       | Shareholder |
| 6  | Require Independent Board Chairman                                  | Against | For       | Shareholder |
| 7  | Report on Weapon Sales to Israel                                    | Against | For       | Shareholder |

### THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100  
 Meeting Date: APR 27, 2016 Meeting Type: Annual  
 Record Date: FEB 29, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|------|--|---------|-----------|------------|
| 1.1  | Elect Director Herbert A. Allen                                | For     | For       | Management |
| 1.2  | Elect Director Ronald W. Allen                                 | For     | For       | Management |
| 1.3  | Elect Director Marc Bolland                                    | For     | For       | Management |
| 1.4  | Elect Director Ana Botin                                       | For     | For       | Management |
| 1.5  | Elect Director Howard G. Buffett                               | For     | For       | Management |
| 1.6  | Elect Director Richard M. Daley                                | For     | For       | Management |
| 1.7  | Elect Director Barry Diller                                    | For     | For       | Management |
| 1.8  | Elect Director Helene D. Gayle                                 | For     | For       | Management |
| 1.9  | Elect Director Evan G. Greenberg                               | For     | For       | Management |
| 1.10 | Elect Director Alexis M. Herman                                | For     | For       | Management |
| 1.11 | Elect Director Muhtar Kent                                     | For     | Against   | Management |
| 1.12 | Elect Director Robert A. Kotick                                | For     | For       | Management |
| 1.13 | Elect Director Maria Elena Lagomasino                          | For     | For       | Management |
| 1.14 | Elect Director Sam Nunn  | For     | For       | Management |
| 1.15 | Elect Director David B. Weinberg                               | For     | For       | Management |
| 2    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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|   |  |         |         |             |
|---|--|---------|---------|-------------|
| 3 | Amend Executive Incentive Bonus Plan                                       | For     | For     | Management  |
| 4 | Ratify Ernst & Young LLP as Auditors                                       | For     | For     | Management  |
| 5 | Adopt Holy Land Principles   | Against | Against | Shareholder |
| 6 | Limit/Prohibit Accelerated Vesting of Awards                               | Against | Against | Shareholder |
| 7 | Report on Consistency Between Corporate Values and Political Contributions | Against | Against | Shareholder |

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THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102  
 Meeting Date: MAY 19, 2016 Meeting Type: Annual  
 Record Date: MAR 21, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Gerard J. Arpey                                       | For     | For       | Management  |
| 1b | Elect Director Ari Bousbib   | For     | For       | Management  |
| 1c | Elect Director Gregory D. Brenneman                                  | For     | For       | Management  |
| 1d | Elect Director J. Frank Brown  | For     | For       | Management  |
| 1e | Elect Director Albert P. Carey                                       | For     | For       | Management  |
| 1f | Elect Director Armando Codina  | For     | For       | Management  |
| 1g | Elect Director Helena B. Foulkes                                     | For     | For       | Management  |
| 1h | Elect Director Linda R. Gooden                                       | For     | For       | Management  |
| 1i | Elect Director Wayne M. Hewett                                       | For     | For       | Management  |
| 1j | Elect Director Karen L. Katen  | For     | For       | Management  |
| 1k | Elect Director Craig A. Menear                                       | For     | Against   | Management  |
| 1l | Elect Director Mark Vadon  | For     | For       | Management  |
| 2  | Ratify KPMG LLP as Auditors  | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation       | For     | For       | Management  |
| 4  | Prepare Employment Diversity Report and Report on Diversity Policies | Against | For       | Shareholder |
| 5  | Reduce Ownership Threshold for Shareholders to Call Special Meeting  | Against | For       | Shareholder |

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THE KROGER CO.

Ticker: KR Security ID: 501044101  
 Meeting Date: JUN 23, 2016 Meeting Type: Annual  
 Record Date: APR 27, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Nora A. Aufreiter                               | For     | For       | Management |
| 1b | Elect Director Robert D. Beyer                                 | For     | For       | Management |
| 1c | Elect Director Anne Gates                                      | For     | For       | Management |
| 1d | Elect Director Susan J. Kropf                                  | For     | For       | Management |
| 1e | Elect Director W. Rodney McMullen                              | For     | Against   | Management |
| 1f | Elect Director Jorge P. Montoya                                | For     | For       | Management |
| 1g | Elect Director Clyde R. Moore                                  | For     | For       | Management |
| 1h | Elect Director Susan M. Phillips                               | For     | For       | Management |
| 1i | Elect Director James A. Runde                                  | For     | For       | Management |
| 1j | Elect Director Ronald L. Sargent                               | For     | For       | Management |
| 1k | Elect Director Bobby S. Shackouls                              | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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|   |   |         |         |             |
|---|---|---------|---------|-------------|
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors                                   | For     | For     | Management  |
| 4 | Report on Human Rights Risk Assessment Process                                  | Against | For     | Shareholder |
| 5 | Assess Environmental Impact of Non-Recyclable Packaging                         | Against | For     | Shareholder |
| 6 | Assess Feasibility and Benefits of Adopting Quantitative Renewable Energy Goals | Against | For     | Shareholder |
| 7 | Adopt a Payout Policy Giving Preference to Share Buybacks Over Dividends        | Against | Against | Shareholder |

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### THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109  
 Meeting Date: OCT 13, 2015 Meeting Type: Annual  
 Record Date: AUG 14, 2015

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Francis S. Blake                                | For     | For       | Management  |
| 1b | Elect Director Angela F. Braly                                 | For     | For       | Management  |
| 1c | Elect Director Kenneth I. Chenault                             | For     | For       | Management  |
| 1d | Elect Director Scott D. Cook                                   | For     | For       | Management  |
| 1e | Elect Director Susan Desmond-Hellmann                          | For     | For       | Management  |
| 1f | Elect Director A.G. Lafley                                     | For     | Against   | Management  |
| 1g | Elect Director Terry J. Lundgren                               | For     | For       | Management  |
| 1h | Elect Director W. James McNerney, Jr.                          | For     | For       | Management  |
| 1i | Elect Director David S. Taylor                                 | For     | For       | Management  |
| 1j | Elect Director Margaret C. Whitman                             | For     | For       | Management  |
| 1k | Elect Director Mary Agnes Wilderotter                          | For     | For       | Management  |
| 1l | Elect Director Patricia A. Woertz                              | For     | For       | Management  |
| 1m | Elect Director Ernesto Zedillo                                 | For     | For       | Management  |
| 2  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4  | Proxy Access   | Against | For       | Shareholder |

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### THE WALT DISNEY COMPANY

Ticker: DIS Security ID: 254687106  
 Meeting Date: MAR 03, 2016 Meeting Type: Annual  
 Record Date: JAN 04, 2016

| #  | Proposal                              | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------------|---------|-----------|------------|
| 1a | Elect Director Susan E. Arnold        | For     | For       | Management |
| 1b | Elect Director John S. Chen           | For     | For       | Management |
| 1c | Elect Director Jack Dorsey            | For     | For       | Management |
| 1d | Elect Director Robert A. Iger         | For     | Against   | Management |
| 1e | Elect Director Maria Elena Lagomasino | For     | For       | Management |
| 1f | Elect Director Fred H. Langhammer     | For     | For       | Management |
| 1g | Elect Director Aylwin B. Lewis        | For     | For       | Management |
| 1h | Elect Director Robert W. Matschullat  | For     | For       | Management |
| 1i | Elect Director Mark G. Parker         | For     | For       | Management |
| 1j | Elect Director Sheryl K. Sandberg     | For     | For       | Management |

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|    |  |         |     |             |
|----|--|---------|-----|-------------|
| 1k | Elect Director Orin C. Smith                                   | For     | For | Management  |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For | Management  |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For | Management  |
| 4  | Reduce Supermajority Vote Requirement                          | For     | For | Management  |
| 5  | Reduce Supermajority Vote Requirement                          | Against | For | Shareholder |
| 6  | Report on Lobbying Payments and Policy                         | Against | For | Shareholder |

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### TWITTER, INC.

Ticker: TWTR Security ID: 90184L102  
 Meeting Date: MAY 25, 2016 Meeting Type: Annual  
 Record Date: MAR 30, 2016

| #   | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Jack Dorsey                                     | For     | For       | Management |
| 1.2 | Elect Director Hugh Johnston                                   | For     | For       | Management |
| 2   | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 3   | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 4   | Approve Omnibus Stock Plan                                     | For     | Against   | Management |

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### UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108  
 Meeting Date: MAY 12, 2016 Meeting Type: Annual  
 Record Date: MAR 11, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Andrew H. Card, Jr.                             | For     | For       | Management  |
| 1.2  | Elect Director Erroll B. Davis, Jr.                            | For     | For       | Management  |
| 1.3  | Elect Director David B. Dillon                                 | For     | For       | Management  |
| 1.4  | Elect Director Lance M. Fritz                                  | For     | Against   | Management  |
| 1.5  | Elect Director Charles C. Krulak                               | For     | For       | Management  |
| 1.6  | Elect Director Jane H. Lute                                    | For     | For       | Management  |
| 1.7  | Elect Director Michael R. McCarthy                             | For     | For       | Management  |
| 1.8  | Elect Director Michael W. McConnell                            | For     | For       | Management  |
| 1.9  | Elect Director Thomas F. McLarty, III                          | For     | For       | Management  |
| 1.10 | Elect Director Steven R. Rogel                                 | For     | For       | Management  |
| 1.11 | Elect Director Jose H. Villarreal                              | For     | For       | Management  |
| 2    | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management  |
| 4    | Stock Retention  | Against | Against   | Shareholder |
| 5    | Require Independent Board Chairman                             | Against | For       | Shareholder |

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### UNITED TECHNOLOGIES CORPORATION

Ticker: UTX Security ID: 913017109  
 Meeting Date: APR 25, 2016 Meeting Type: Annual

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Record Date: FEB 29, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director John V. Faraci                                  | For     | For       | Management |
| 1b | Elect Director Jean-Pierre Garnier                             | For     | For       | Management |
| 1c | Elect Director Gregory J. Hayes                                | For     | For       | Management |
| 1d | Elect Director Edward A. Kangas                                | For     | For       | Management |
| 1e | Elect Director Ellen J. Kullman                                | For     | For       | Management |
| 1f | Elect Director Marshall O. Larsen                              | For     | For       | Management |
| 1g | Elect Director Harold McGraw, III                              | For     | For       | Management |
| 1h | Elect Director Richard B. Myers                                | For     | For       | Management |
| 1i | Elect Director Fredric G. Reynolds                             | For     | For       | Management |
| 1j | Elect Director Brian C. Rogers                                 | For     | For       | Management |
| 1k | Elect Director H. Patrick Swygert                              | For     | For       | Management |
| 1l | Elect Director Andre Villeneuve                                | For     | For       | Management |
| 1m | Elect Director Christine Todd Whitman                          | For     | For       | Management |
| 2  | Ratify PricewaterhouseCoopers LLP as Auditors                  | For     | For       | Management |
| 3  | Eliminate Cumulative Voting                                    | For     | For       | Management |
| 4  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

### UNITEDHEALTH GROUP INCORPORATED

Ticker: UNH Security ID: 91324P102  
 Meeting Date: JUN 06, 2016 Meeting Type: Annual  
 Record Date: APR 08, 2016

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director William C. Ballard, Jr.                         | For     | For       | Management |
| 1b | Elect Director Edson Bueno                                     | For     | For       | Management |
| 1c | Elect Director Richard T. Burke                                | For     | For       | Management |
| 1d | Elect Director Robert J. Darretta                              | For     | For       | Management |
| 1e | Elect Director Stephen J. Hemsley                              | For     | For       | Management |
| 1f | Elect Director Michele J. Hooper                               | For     | For       | Management |
| 1g | Elect Director Rodger A. Lawson                                | For     | For       | Management |
| 1h | Elect Director Glenn M. Renwick                                | For     | For       | Management |
| 1i | Elect Director Kenneth I. Shine                                | For     | For       | Management |
| 1j | Elect Director Gail R. Wilensky                                | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |
| 3  | Ratify Deloitte & Touche LLP as Auditors                       | For     | For       | Management |

### VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100  
 Meeting Date: MAY 12, 2016 Meeting Type: Annual  
 Record Date: MAR 15, 2016

| #  | Proposal                          | Mgt Rec | Vote Cast | Sponsor    |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director Joseph W. Gorder   | For     | Against   | Management |
| 1b | Elect Director Deborah P. Majoras | For     | For       | Management |
| 1c | Elect Director Donald L. Nickles  | For     | For       | Management |
| 1d | Elect Director Philip J. Pfeiffer | For     | For       | Management |
| 1e | Elect Director Robert A. Profusek | For     | For       | Management |

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|    |  |     |     |            |
|----|--|-----|-----|------------|
| 1f | Elect Director Susan Kaufman Purcell   | For | For | Management |
| 1g | Elect Director Stephen M. Waters   | For | For | Management |
| 1h | Elect Director Randall J. Weisenburger   | For | For | Management |
| 1i | Elect Director Rayford Wilkins, Jr.  | For | For | Management |
| 2  | Ratify KPMG LLP as Auditors  | For | For | Management |
| 3  | Advisory Vote to Ratify Named Executive Officers' Compensation                       | For | For | Management |
| 4  | Amend Certificate of Incorporation to provide for removal of directors without cause | For | For | Management |
| 5  | Amend Omnibus Stock Plan   | For | For | Management |

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### VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104  
 Meeting Date: MAY 05, 2016 Meeting Type: Annual  
 Record Date: MAR 07, 2016

| #    | Proposal   | Mgt Rec | Vote Cast | Sponsor     |
|------|--|---------|-----------|-------------|
| 1.1  | Elect Director Shellye L. Archambeau                               | For     | For       | Management  |
| 1.2  | Elect Director Mark T. Bertolini                                   | For     | For       | Management  |
| 1.3  | Elect Director Richard L. Carrion                                  | For     | For       | Management  |
| 1.4  | Elect Director Melanie L. Healey                                   | For     | For       | Management  |
| 1.5  | Elect Director M. Frances Keeth                                    | For     | For       | Management  |
| 1.6  | Elect Director Karl-Ludwig Kley                                    | For     | For       | Management  |
| 1.7  | Elect Director Lowell C. McAdam                                    | For     | Against   | Management  |
| 1.8  | Elect Director Donald T. Nicolaisen                                | For     | For       | Management  |
| 1.9  | Elect Director Clarence Otis, Jr.                                  | For     | For       | Management  |
| 1.10 | Elect Director Rodney E. Slater                                    | For     | For       | Management  |
| 1.11 | Elect Director Kathryn A. Tesija                                   | For     | For       | Management  |
| 1.12 | Elect Director Gregory D. Wasson                                   | For     | For       | Management  |
| 1.13 | Elect Director Gregory G. Weaver                                   | For     | For       | Management  |
| 2    | Ratify Ernst & Young LLP as Auditors                               | For     | For       | Management  |
| 3    | Advisory Vote to Ratify Named Executive Officers' Compensation     | For     | For       | Management  |
| 4    | Adopt Quantitative Renewable Energy Goals                          | Against | Against   | Shareholder |
| 5    | Report on Indirect Political Contributions                         | Against | For       | Shareholder |
| 6    | Report on Lobbying Payments and Policy                             | Against | For       | Shareholder |
| 7    | Require Independent Board Chairman                                 | Against | For       | Shareholder |
| 8    | Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | For       | Shareholder |
| 9    | Stock Retention/Holding Period                                     | Against | Against   | Shareholder |

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### VISA INC.

Ticker: V Security ID: 92826C839  
 Meeting Date: FEB 03, 2016 Meeting Type: Annual  
 Record Date: DEC 07, 2015

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Lloyd A. Carney                     | For     | For       | Management |
| 1b | Elect Director Mary B. Cranston                    | For     | For       | Management |
| 1c | Elect Director Francisco Javier Fernandez-Carbajal | For     | For       | Management |

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|    |   |     |     |            |
|----|---|-----|-----|------------|
| 1d | Elect Director Alfred F. Kelly, Jr.                               | For | For | Management |
| 1e | Elect Director Robert W. Matschullat                              | For | For | Management |
| 1f | Elect Director Cathy E. Minehan                                   | For | For | Management |
| 1g | Elect Director Suzanne Nora Johnson                               | For | For | Management |
| 1h | Elect Director David J. Pang                                      | For | For | Management |
| 1i | Elect Director Charles W. Scharf                                  | For | For | Management |
| 1j | Elect Director John A. C. Swainson                                | For | For | Management |
| 1k | Elect Director Maynard G. Webb, Jr.                               | For | For | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For | For | Management |
| 3  | Amend Omnibus Stock Plan  | For | For | Management |
| 4  | Amend Executive Incentive Bonus Plan                              | For | For | Management |
| 5  | Ratify KPMG LLP as Auditors                                       | For | For | Management |

### WALGREENS BOOTS ALLIANCE, INC.

Ticker: WBA Security ID: 931427108  
 Meeting Date: JAN 27, 2016 Meeting Type: Annual  
 Record Date: NOV 30, 2015

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1a | Elect Director Janice M. Babiak                                   | For     | For       | Management |
| 1b | Elect Director David J. Brailer                                   | For     | For       | Management |
| 1c | Elect Director William C. Foote                                   | For     | For       | Management |
| 1d | Elect Director Ginger L. Graham                                   | For     | For       | Management |
| 1e | Elect Director John A. Lederer                                    | For     | For       | Management |
| 1f | Elect Director Dominic P. Murphy                                  | For     | For       | Management |
| 1g | Elect Director Stefano Pessina                                    | For     | For       | Management |
| 1h | Elect Director Barry Rosenstein                                   | For     | For       | Management |
| 1i | Elect Director Leonard D. Schaeffer                               | For     | For       | Management |
| 1j | Elect Director Nancy M. Schlichting                               | For     | For       | Management |
| 1k | Elect Director James A. Skinner                                   | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For       | Management |
| 3  | Ratify Deloitte & Touche LLP as<br>Auditors                       | For     | For       | Management |

### WELLS FARGO & COMPANY

Ticker: WFC Security ID: 949746101  
 Meeting Date: APR 26, 2016 Meeting Type: Annual  
 Record Date: MAR 01, 2016

| #  | Proposal                              | Mgt Rec | Vote Cast | Sponsor    |
|----|---------------------------------------|---------|-----------|------------|
| 1a | Elect Director John D. Baker, II      | For     | For       | Management |
| 1b | Elect Director Elaine L. Chao         | For     | For       | Management |
| 1c | Elect Director John S. Chen           | For     | For       | Management |
| 1d | Elect Director Lloyd H. Dean          | For     | For       | Management |
| 1e | Elect Director Elizabeth A. Duke      | For     | For       | Management |
| 1f | Elect Director Susan E. Engel         | For     | For       | Management |
| 1g | Elect Director Enrique Hernandez, Jr. | For     | For       | Management |
| 1h | Elect Director Donald M. James        | For     | For       | Management |
| 1i | Elect Director Cynthia H. Milligan    | For     | For       | Management |
| 1j | Elect Director Federico F. Pena       | For     | For       | Management |
| 1k | Elect Director James H. Quigley       | For     | For       | Management |
| 1l | Elect Director Stephen W. Sanger      | For     | For       | Management |



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|    |  |         |         |             |
|----|--|---------|---------|-------------|
| 1m | Elect Director John G. Stumpf                                  | For     | Against | Management  |
| 1n | Elect Director Susan G. Swenson                                | For     | For     | Management  |
| 1o | Elect Director Suzanne M. Vautrinot                            | For     | For     | Management  |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For     | Management  |
| 3  | Ratify KPMG LLP as Auditors                                    | For     | For     | Management  |
| 4  | Require Independent Board Chairman                             | Against | For     | Shareholder |
| 5  | Report on Lobbying Payments and Policy                         | Against | For     | Shareholder |

===== END NPX REPORT

### SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): AllianzGI Equity & Convertible Income Fund

By (Signature and Title)\*: /s/ Thomas J. Fuccillo

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Name: Thomas J. Fuccillo  
Title: President and Chief Executive Officer

Date: August 24, 2016

\* Print the name and title of each signing officer under his or her signature.