Financial Engines, LLC Form 15-12B July 30, 2018

# **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **FORM 15**

# CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number: 001-34636

# FINANCIAL ENGINES, INC.

(Financial Engines, LLC as successor to Financial Engines, Inc.)

(Exact name of registrant as specified in its charter)

1050 Enterprise Way, 3d Floor

Sunnyvale, California 94089

# Edgar Filing: Financial Engines, LLC - Form 15-12B

(408) 498-6000

(Address, including zip code, and telephone number, including area code, of registrant s principal executive offices)

Common Stock, par value \$0.0001 per share

(Title of each class of securities covered by this Form)

# None

(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1) Rule 12g-4(a)(2) Rule 12h-3(b)(1)(i) Rule 12h-3(b)(1)(ii) Rule 15d-6

Approximate number of holders of record as of the certification or notice date: One

Pursuant to the requirements of the Securities Exchange Act of 1934, Financial Engines, LLC, as successor to Financial Engines, Inc., has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: July 30, 2018 FINANCIAL ENGINES, LLC

(as successor to Financial Engines, Inc.)

By: /s/ Lewis E. Antone, Jr. Name: Lewis E. Antone, Jr.

Title: Executive Vice President, General Counsel and

Secretary