#### HARVEY WILLIAM W

Form 4

December 04, 2017

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

Northwest Bancshares Inc [NWBI]

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

HARVEY WILLIAM W

1. Name and Address of Reporting Person \*

|                                      |  | Northy | Northwest Bancsnares, Inc. [NWBI] |                          |  | VBIJ                 | (Check all applicable)   |  |   |  |
|--------------------------------------|--|--------|-----------------------------------|--------------------------|--|----------------------|--|--|---|--|
| (Mon                                 |  |        |                                   |                          |  |                      | Director 10% Owner C Officer (give title Other (specify below) Sr. EVP & CFO                                       |  |   |  |
|                                      | (Street)   |        | endment, Da<br>onth/Day/Year)     | _                        |  | App<br>_X_           | ndividual or Joint<br>dicable Line)<br>Form filed by One   | Reporting Pers   | son   |  |
| WARREN, I                            |  |        |                                   | Pers                     | Form filed by More than One Reporting on |                      |  |  |   |  |
| (City)                               | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |        |                                   |                          |  |                      |  |  | Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year)   |        | Code                              | owr Dispos<br>(Instr. 3, | sed of                                   |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Northwest<br>Bancshares,<br>Inc.     | 12/04/2017   |        | X                                 | 10,000                   | A  | \$ 12.32             | 158,649  | D  |   |  |
| Northwest<br>Bancshares,<br>Inc.     | 12/04/2017   |        | S                                 | 10,000                   | D  | \$<br>17.2796<br>(1) | 148,649  | D  |   |  |
| Northwest<br>Bancshares,<br>Inc.     |  |        |                                   |                          |  |                      | 686  | I  | IRA   |  |
| Northwest<br>Bancshares,             |  |        |                                   |                          |  |                      | 686  | I  | Wife's<br>IRA   |  |

Inc.

Northwest

31,591.41 Bancshares, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

401-K

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, |            | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|---|---|--------------------------------------|---|--|------------|--|---------------------|---|-----------------|-------------------------------------|
|   |   |                                      |   | Code V   | and 5) (A) | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock Options (Right to Buy)                        | \$ 12.32  | 12/04/2017                           |   | X  | 1          | 0,000  | 05/18/2011          | 05/18/2021  | Common<br>Stock | 10,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|                                |               |

Director 10% Owner Officer Other

HARVEY WILLIAM W 100 LIBERTY STREET **WARREN**, PA 16365

Sr. EVP & CFO

### **Signatures**

William W. 12/04/2017 Harvey, Jr.

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

### Edgar Filing: HARVEY WILLIAM W - Form 4

- (1) Average price of 53 lots with a high of \$17.3001 and a low of \$17.25.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.