

ILLUMINA INC
Form 4
October 14, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FLATLEY JAY T

(Last) (First) (Middle)

9885 TOWNE CENTRE DR

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction
(Month/Day/Year)
10/09/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	-------------------------	--	---	---------------------------------

Edgar Filing: ILLUMINA INC - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Instr. 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	(1)	02/27/2007	I	865	(2)	(2)						Common Stock	865

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOCKHART THOMAS A ONE CITYPLACE DRIVE ST. LOUIS, MO 63141	X			

Signatures

/s/ Gregory A. Billhartz,
Attorney-in-Fact
**Signature of Reporting Person
Date
02/28/2007

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents a right to receive the value in cash of one share of Arch Coal, Inc. common stock. The shares of phantom stock are held by the director through the Arch Coal, Inc. Deferred Compensation Plan for Non-Employee Directors (the "Plan") and represent past compensation that the director elected to defer under the Plan into a hypothetical investment in shares of Arch Coal, Inc. common stock and/or dividends attributable to such deferred amounts.
- (2) Shares of phantom stock are payable in cash following termination of the director's service as a director of Arch Coal, Inc. The director may transfer certain portions of the phantom stock account into an alternative investment account at any time.
- (3) The reporting person transferred the cash value of 865 shares of phantom stock, or \$26,824, held under the Plan into an alternative investment account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. D \$ 31.593 (3) (9) 849,626 D Common Stock10/09/2008 M 1,666 (1) A \$ 2.995 851,292 D Common Stock10/09/2008 S 1,666 (1) A \$ 31.85 849,626 D Common Stock10/09/2008 M 6 (1) A \$ 2.995 849,632 D Common Stock10/09/2008 S 6 (1) D \$ 31.85 849,626 (10) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ <u>1.385</u> ⁽¹¹⁾	10/09/2008		M	7,500 ⁽¹⁾	03/10/2003	02/10/2013	Common Stock
Non-Qualified Stock Option (right to buy)	\$ <u>2.995</u> ⁽¹²⁾	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.995	10/09/2008		M	2,498 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.995	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	1,666 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock
Non-Qualified Stock Option	\$ 2.995	10/09/2008		M	6 ⁽¹⁾	09/27/2008 ⁽¹³⁾	09/27/2011	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
			President and CEO	

Explanation of Responses:

FLATLEY JAY T
9885 TOWNE CENTRE DR
SAN DIEGO, CA 92121

Signatures

Octavio Espinoza for Jay T.
Flatley

10/14/2008

 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale made pursuant to 10(b)5-1 plan.
- (2) Weighted average sale price representing 7,500 shares sold ranging from \$30.50 to \$30.78 per share.
- (3) Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 1,666 shares sold ranging from \$30.78 to \$30.81 per share.
- (5) Weighted average sale price representing 2,498 shares sold ranging from \$30.81 to \$30.85 per share.
- (6) Weighted average sale price representing 1,666 shares sold ranging from \$30.85 to \$31.00 per share.
- (7) Weighted average sale price representing 1,666 shares sold ranging from \$31.00 to \$31.50 per share.
- (8) Weighted average sale price representing 1,666 shares sold ranging from \$31.50 to \$31.53 per share.
- (9) Weighted average sale price representing 1,666 shares sold ranging from \$31.53 to \$31.85 per share.
- (10) On Sept. 22, 2008, the common stock of Illumina Inc. split 2 for 1 resulting in Reporting Person's ownership of 439,813 additional shares.
- (11) This option was previously reported as covering 150,000 shares at an exercise price of \$2.77 per share, and was adjusted for the stock split that occurred on Sept. 22, 2008.
- (12) This option was previously reported as covering 10,000 shares at an exercise price of \$5.99 per share, and was adjusted for the stock split that occurred on Sept. 22, 2008.
- (13) One-twelfth of shares subject to option became exercisable on 9/27/08. An additional one-twelfth shall become exercisable each full month thereafter, subject to Reporting Person's continuing to be a service provide on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.