

RIVIERA HOLDINGS CORP  
Form 4  
April 05, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HARVEY PAUL

2. Issuer Name and Ticker or Trading Symbol  
RIVIERA HOLDINGS CORP  
[RVHLQ.PK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2901 LAS VEGAS BOULEVARD  
SOUTH

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/01/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)  
LAS VEGAS, NV 89109

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock                    | 04/01/2011                           |  | J(1)                           | (A) or (D)<br>D   | 3,600<br>\$ 0   | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Option (Right-to-Buy)                      | \$ 2.2   | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/18/2002 05/18/2011                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 2.58  | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/10/2003 05/10/2012                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 1.87  | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/12/2004 05/12/2013                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 21.6  | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/22/2007 05/22/2016                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 36.56   | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/17/2008 05/17/2017                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 15.35   | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/19/2009 05/19/2018                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 1.48  | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/17/2010 05/17/2019                                    | Common Stock  | 6,000                      |
| Option (Right-to-buy)                      | \$ 0.339   | 04/01/2011                           |  | J(1)                           | 6,000<br>(1)  | 05/17/2011 05/17/2020                                    | Common Stock  | 6,000                      |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| HARVEY PAUL<br>2901 LAS VEGAS BOULEVARD SOUTH<br>LAS VEGAS, NV 89109 |               | X         |         |       |

## Signatures

/s/ Paul Harvey 04/01/2011

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 1, 2011, the reporting person's common stock and options were cancelled upon the Issuer's emergence from bankruptcy proceedings under Chapter 11 of the United States Bankruptcy Code.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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