Edgar Filing: ROTH W RICHARD - Form 4

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Form 4	CHAKD										
FORM Check thi if no long subject to Section 14 Form 4 or Form 5 obligation may conti	 Improve 25, 2013 Improve 25, 2013							Simple3235-028Number:January 3Expires:200Estimated averageburden hours perresponse0			
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> ROTH W RICHARD			2. Issuer Name and Ticker or Trading Symbol SJW CORP [SJW]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(1			3. Date of Earliest Transaction (Month/Day/Year) 01/24/2013					X Director 10% Owner X Officer (give title Other (specify below) below) President & CEO			
SAN JOSE,	(Street) CA 95110			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person		rson	
(City)	(State) ((Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/E	n Date, if	3. Transactio Code (Instr. 8)	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/24/2013			Code V F	631 <u>(1)</u>	. /	\$ 26.74	215,516 <u>(2)</u>	D		
Common Stock								18,300	I	By Separate Property Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
ROTH W RICHARD 110 W TAYLOR STREET SAN JOSE, CA 95110	Х		President & CEO					
Signatures								
/s/ Suzy Papazian Attorney-in- Roth	01/25/2013							

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents 631 shares of Common Stock of the issuer withheld by the issuer in satisfaction of the applicable withholding taxes on certain shares of Common Stock that became issuable on January 24, 2013 pursuant to the terms of the January 24, 2012 Restricted Stock Unit

Date

(1) Shares of Common stock that became issuable on January 24, 2015 pursuant to the terms of the January 24, 2012 Restricted stock offit Issuance Agreement between the reporting person and the issuer. The issuable shares were previously reported as Table I securities at the time the restricted stock units were granted, and accordingly the issuance of those shares is not a reportable transaction on this Form 4.

Includes 48,219 shares of the issuer's common stock. Also includes 60,901 shares of the issuer's common stock underlying restricted stock units and 106,396 shares of the issuer's common stock underlying deferred restricted stock, which may be subject in whole or in

(2) part to vesting schedules tied to Mr. Roth's continued service with the issuer or the attainment of certain performance goals, and which will be issued as actual shares of common stock of the issuer either at the time of vesting or at a specified time thereafter (including termination of service with the issuer).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.