Edgar Filing: GAIAM, INC - Form 4

GAIAM, IN Form 4	IC										
November (ЛЛ									PPROVAL	
	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									
Check the if no lor subject Section Form 4 Form 5	to STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								January 31, 2005 average urs per . 0.5	
obligation may cor <i>See</i> Inst 1(b).	ons Section 17((a) of the I	Public U		lding Co	npany	Act	of 1935 or Secti			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Thomas Stephen J.			2. Issuer Name and Ticker or Trading Symbol GAIAM, INC [GAIA]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 833 WEST SOUTH BOULDER ROAD			3. Date of Earliest Transaction (Month/Day/Year) 10/30/2013					Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
LOUISVII	(Street) LOUISVILLE, CO 80027-2452			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tak	ale I - Non-	Dorivativa	Socurit	tios A	Person cquired, Disposed	of or Beneficie	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3,	ties (A) or of (D) 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Reminder: Re	port on a separate line	e for each cla	ass of sec	urities bene	-	ned dire	ctly o	-			
					inforr	nation	conta	pond to the colle ained in this forn and unless the fo	n are not	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

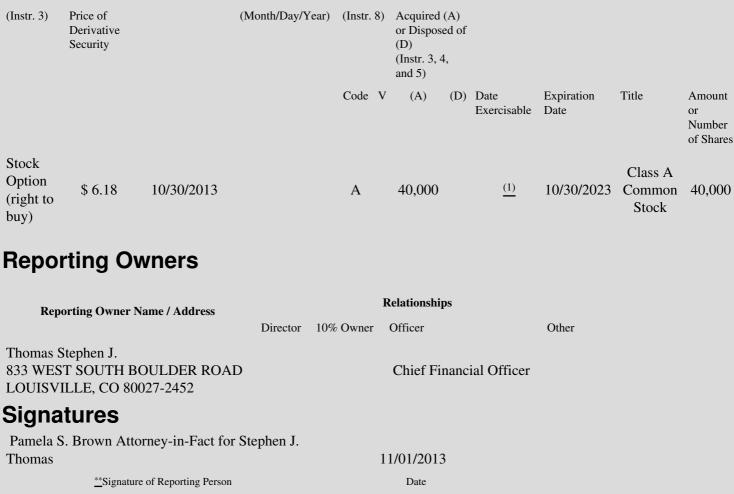
 (e.g., puts, calls, warrants, options, convertible securities)

displays a currently valid OMB control number.

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Stock option provides for vesting and exercisability of 2% per month for 50 months commencing September 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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