Carbonite Inc Form 3 November 03, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Carbonite Inc [CARB] HUDSON CASSANDRA (Month/Day/Year) 10/28/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CARBONITE. (Check all applicable) INC., TWO AVENUE DE LAFAYETTE 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Accounting Officer _X_ Form filed by One Reporting Person BOSTON. MAÂ 02111 _ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common Stock

SEC 1473 (7-02)

D

Â

(I) (Instr. 5)

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 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 $8,072 \stackrel{(1)}{=}$

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(2)	10/20/2020	Common Stock	937	\$ 5.15	D	Â
Stock Option (right to buy)	(3)	07/20/2021	Common Stock	2,187	\$ 10	D	Â
Stock Option (right to buy)	(4)	01/24/2022	Common Stock	6,000	\$ 10.26	D	Â
Stock Option (right to buy)	(5)	10/17/2022	Common Stock	95	\$ 6.63	D	Â
Stock Option (right to buy)	(6)	05/01/2023	Common Stock	10,000	\$ 10.32	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HUDSON CASSANDRA						
C/O CARBONITE, INC.	â	â	Chief Accounting Officer	â		
TWO AVENUE DE LAFAYETTE	A	Α	A Chief Accounting Officer	A		
BOSTON, MA 02111						

Signatures

/s/ Danielle Sheer, by power of attorney

11/03/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the grant of restricted stock units. The restricted stock units vest in 4 equal annual installments beginning on June 11, 2015 and will be settled on each applicable vest date in shares of the issuer's common stock.
- (2) Represents 937 shares of common stock currently exercisable.
- (3) This option is currently exercisable as to 1,250 shares of common stock and becomes exercisable as to the remaining underlying shares of common stock in 3 equal quarterly installments beginning on January 20, 2015.
- (4) This option is currently exercisable as to 4,125 shares of common stock and becomes exercisable as to the remaining underlying shares of common stock in 5 equal quarterly installments beginning on January 24, 2015.
- (5) Represents 95 shares of common stock currently exercisable.
- (6) This option is currently exercisable as to 3,750 shares of common stock and becomes exercisable as to the remaining underlying shares of common stock in 10 equal quarterly installments beginning on February 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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