Inogen Inc Form 4 August 16, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: Estimated average burden hours per

OMB

Number:

**OMB APPROVAL** 

3235-0287

2005

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response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **Taylor Brenton** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Street)

(Ctota)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/12/2016

Inogen Inc [INGN]

X\_ Officer (give title below)

10% Owner \_ Other (specify

326 BOLLAY DRIVE

4. If Amendment, Date Original

EVP, Engineering

6. Individual or Joint/Group Filing(Check

Director

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GOLETA, CA 93117

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi oror Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/12/2016		M	5,937	A	\$ 1.17	18,542	I	By Spouse
Common Stock	08/12/2016		M	1,341	A	\$ 8.37	19,883	I	By Spouse
Common Stock	08/12/2016		M	1,993	A	\$ 16.62	21,876	I	By Spouse
Common Stock	08/12/2016		S	1,993	D	\$ 56.26	19,883	I	By Spouse
Common Stock	08/12/2016		S	5,937	D	\$ 56.6974 (1)	13,946	I	By Spouse

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Common Stock	08/12/2016	S	1,341	D	\$ 56.6036 (2)	12,605	I	By Spouse
Common Stock						31,851	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivati Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ve Expiration (Month/Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisabl	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 1.17	08/12/2016		M	5,93	7 (3)	02/12/2023	Common Stock	5,937	
Stock Option (Right to Buy)	\$ 8.37	08/12/2016		M	1,34	1 (4)	10/10/2023	Common Stock	1,341	
Stock Option (Right to Buy)	\$ 16.62	08/12/2016		M	1,99	3 (5)	03/31/2021(6)	Common Stock	1,993	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Taylor Brenton			EVP, Engineering					
326 BOLLAY DRIVE								

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GOLETA, CA 93117

## **Signatures**

/s/ Alison Bauerlein, as Attorney-in-Fact

08/16/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average share price of an aggregate total of 5,937 shares sold in the price range of \$56.43 to \$56.91 by the (1) reporting person. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- Represents the weighted average share price of an aggregate total of 1,341 shares sold in the price range of \$56.58 to \$56.65 by the (2) reporting person. The reporting person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- Subject to the reporting person's continuing service, one forty-eighth (1/48th) of the shares subject to the option shall vest each month on (3) the same day as the vesting commencement date, such that the shares subject to the option shall become fully vested and exercisable on the fourth (4th) anniversary of the vesting commencement date. The vesting commencement date for this option is January 1, 2013.
- Subject to the reporting person's continuing service, one forty-eighth (1/48th) of the shares subject to the option shall vest each month on (4) the same day as the vesting commencement date, such that the shares subject to the option shall become fully vested and exercisable on the fourth (4th) anniversary of the vesting commencement date. The vesting commencement date for this option is October 1, 2013.
- Subject to the reporting person's continuing service, one forty-eighth (1/48th) of the shares subject to the option shall vest each month on (5) the same day as the vesting commencement date, such that the shares subject to the option shall become fully vested and exercisable on the fourth (4th) anniversary of the vesting commencement date. The vesting commencement date for this option is March 1, 2014.
- (6) Reporting person's Form 4 filed on 04/02/2014 incorrectly reported the Expiration Date as 04/01/2024.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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