Real Industry, Inc. Form 3 September 21, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Hobey Michael

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/13/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Real Industry, Inc. [RELY]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Executive Vice President/CFO

5. If Amendment, Date Original

Filed(Month/Day/Year)

15301 VENTURA

BOULEVARD, Â SUITE 400

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Ownership

(Instr. 5)

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

SHERMAN OAKS. CAÂ 91403

> (City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D) or Indirect (I)

SEC 1473 (7-02)

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

18,493 (1) Common Stock

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

(Instr. 4)

Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Derivative Security: Direct (D) Security

Edgar Filing: Real Industry, Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(2)	(2)	Common Stock	4,859 (2)	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Hobey Michael 15301 VENTURA BOULEVARD SUITE 400 SHERMAN OAKS, CA 91403	Â	Â	Executive Vice President/CFO	Â	

Signatures

/s/ Kyle Ross, Attorney-in-Fact for Michael J.
Hobey

09/21/2016

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares reported above were acquired through restricted common stock equity awards. The common stock is comprised of two equity awards. The first award was granted on March 31, 2015 for 10,587 shares; and the second award was granted on February 25, 2016 for 9,644 shares. The awards vest in equal installments over approximately three years. With certain exceptions, the awards are subject to the

Date

- (1) Reporting Person's continued employment with the Company. As of the date hereof, the Reporting person holds 1,791 shares of fully vested common stock from the vesting of the first tranche of the March 31, 2015 grant, less shares sold to cover payroll tax withholding requirements; 7,058 shares of unvested restricted common stock from the March 31, 2015 grant; and 9,644 shares of unvested restricted common stock from the February 25, 2016 grant.
- Issuance of shares subject to the restricted stock units is based on the Company's annualized total stockholder return ("TSR") relative to the TSR of the Russell 2000 Index of companies for the three-year performance period commencing on February 25, 2016 and ending February 24, 2019. The "target" number of shares to be issued pursuant to the restricted stock unit is reported, however, between 0% and 150% of the target number of shares will be issued, with the actual percentage determined based on the actual performance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2