#### LENNAR CORP / NEW/

Form 4

October 05, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1(b).

obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* JAFFE JONATHAN M

(First)

700 NW 107TH AVENUE, SUITE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

LENNAR CORP / NEW/ [LEN,

5. Relationship of Reporting Person(s) to

Issuer

LEN.B]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

10/03/2016

Vice President/COO

(Middle)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**MIAMI, FL 33172** 

(Last)

400

|                                      |   |   |   |   |        |              | Leison   |  |   |
|--------------------------------------|---|---|---|---|--------|--------------|--|--|---|
| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-I                             | Derivative S                                | Secur  | ities Acqu   | iired, Disposed of   | f, or Beneficial   | ly Owned  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4) | sposed | of (D)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           |   |   |   |   |        |              | 99,000 (1)   | D  |   |
| Class A<br>Common<br>Stock           | 10/03/2016                              |   | S                                       | 20,000<br>(2)                               | D      | \$ 42.01 (3) | 458,361 <u>(1)</u>   | I  | By Trust  |
| Class A<br>Common<br>Stock           |   |   |   |   |        |              | 2,588  | I  | By ESOP<br>Trust  |
| Class B                              |   |   |   |   |        |              | 37,560   | I  | By Trust  |

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Common Stock

Class B
Common Stock

By ESOP Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) |                  | 4.<br>Transacti | 5.<br>orNumber | 6. Date Exerc<br>Expiration D |            | 7. Title and Amount of | 8. Price of Derivative | 9. Nu<br>Deriv |
|------------------------|---------------|--------------------------------------|------------------|-----------------|----------------|-------------------------------|------------|------------------------|------------------------|----------------|
| Security               | or Exercise   |                                      | any              | Code            | of             | (Month/Day/                   | Year)      | Underlying             | Security               | Secui          |
| (Instr. 3)             | Price of      |                                      | (Month/Day/Year) | (Instr. 8)      | Derivative     | е                             |            | Securities             | (Instr. 5)             | Bene           |
|                        | Derivative    |                                      |                  |                 | Securities     |                               |            | (Instr. 3 and          | 14)                    | Own            |
|                        | Security      |                                      |                  |                 | Acquired       |                               |            |                        |                        | Follo          |
|                        |               |                                      |                  |                 | (A) or         |                               |            |                        |                        | Repo           |
|                        |               |                                      |                  |                 | Disposed       |                               |            |                        |                        | Trans          |
|                        |               |                                      |                  |                 | of (D)         |                               |            |                        |                        | (Instr         |
|                        |               |                                      |                  |                 | (Instr. 3,     |                               |            |                        |                        |                |
|                        |               |                                      |                  |                 | 4, and 5)      |                               |            |                        |                        |                |
|                        |               |                                      |                  |                 |                |                               |            | Amo                    | unt                    |                |
|                        |               |                                      |                  |                 |                |                               |            | or                     |                        |                |
|                        |               |                                      |                  |                 |                | Date                          | Expiration | Title Num              | ber                    |                |
|                        |               |                                      |                  |                 |                | Exercisable                   | Date       | of                     |                        |                |
|                        |               |                                      |                  | Code V          | (A) (D)        |                               |            | Share                  | es                     |                |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JAFFE JONATHAN M 700 NW 107TH AVENUE SUITE 400 MIAMI, FL 33172

Vice President/COO

# **Signatures**

/s/ Mark Sustana as Attorney-in-Fact for Jonathan M.
Jaffe 10/05/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) 24,865 shares previously owned directly were contributed to a family trust.
- (2) The sale occurred pursuant to a Rule 10b5-1 plan adopted by the reporting person.
  - The price reflects the weighted average price of the shares sold. The prices of the shares sold pursuant to the transactions ranged from
- (3) \$41.89 to \$42.07 per share. The reporting person, upon request, will provide the Securities and Exchange Commission staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
- (4) These shares are held in a family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.