Heang Vichheka Form 3 March 05, 2019

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SUNPOWER CORP [SPWR] A Heang Vichheka (Month/Day/Year) 02/28/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 77 RIO ROBLES (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) SAN JOSE, CAÂ 95134 Form filed by More than One Principal Accounting Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 4,875 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	n of (Instr. 5)	
	Date Exercisable	Expiration Date		Amount or Number of	Derivative Security	Security: Direct (D) or Indirect		

#### Edgar Filing: Heang Vichheka - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Units (RSUs)	(1)	(1)	Common Stock	23,355	\$ <u>(2)</u>	D	Â
Restricted Stock Units (RSUs)	(3)	(3)	Common Stock	9,990	\$ <u>(2)</u>	D	Â
Restricted Stock Units (RSUs)	(4)	(4)	Common Stock	7,490	\$ <u>(2)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of their runner, runners	Director 10% Owner		Officer	Other		
Heang Vichheka 77 RIO ROBLES SAN JOSE Â CAÂ 95134	Â	Â	Principal Accounting Officer	Â		

## **Signatures**

Lauren Walz, as attorney-in-fact for Vichheka Heang 03/05/2019

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The remaining RSUs shall vest in installments on each of April 5, 2019, April 5, 2020 and April 5, 2021.
- (2) Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon vesting.
- (3) The remaining RSUs shall vest in installments on each of March 1, 2019, March 1, 2020, March 1, 2021 and March 1, 2022.
- (4) The remaining RSUs shall vest in installments on each of March 1, 2019, March 1, 2020, March 1, 2021 and March 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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