KAZIMI A J Form 4 April 12, 2019

## FORM 4

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

burden hours per response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KAZIMI A J

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

**CUMBERLAND** 

PHARMACEUTICALS INC [CPIX]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

\_X\_ Director \_X\_\_ 10% Owner

(Month/Day/Year)

\_X\_\_ Officer (give title \_\_ Other (specify below)

2525 WEST END AVE., SUITE 950 04/01/2019

Chairman and CEO

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Issuer

NASHVILLE, TN 37203

(City)	(State) (Z	Zip) Table	e I - Non-Do	erivative Secur	ities Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A on(A) or Dispose (D) (Instr. 3, 4 and	ed of	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	(A) or Amount (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	04/01/2019		P	382 (1) A	\$ 5.99	5,759,656	D	
Common Stock	04/02/2019		P	580 <u>(1)</u> A	\$ 6.12	5,760,236	D	
Common Stock	04/03/2019		P	80 <u>(1)</u> A	\$6	5,760,316	D	
Common Stock	04/04/2019		P	241 (1) A	\$ 6.19	5,760,557	D	
Common Stock	04/05/2019		P	183 <u>(1)</u> A	\$ 6.32	5,760,740	D	

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Common Stock 04/09/2019 P 60 (1) A \$ 5,760,800 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	of D So A A (A D of (I	lumber		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code	V (A	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
KAZIMI A J 2525 WEST END AVE. SUITE 950 NASHVILLE, TN 37203	X	X	Chairman and CEO				

## **Signatures**

A.J. Kazimi by /s/ Michael Bonner as attorney-in-fact 04/12/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person during March 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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