LaBarbera Michael Form 3 December 13, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

C/O THE CHART GROUP,

LP, 75 ROCKEFELLER PLAZA, 14TH FLOOR

À LaBarbera Michael

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

12/13/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Chart Acquisition Corp. [CACGU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) CFO AND SECRETARY

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10019

(City) (State)

(Street)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

4. Nature of Indirect Beneficial

Ownership

Form: Direct (D)

or Indirect

(Instr. 5)

(I) (Instr. 5)

Common Stock

102,422

 $\mathbf{D}^{(1)}$ 

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

### Edgar Filing: LaBarbera Michael - Form 3

Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

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Director 10% Owner Officer Other

LaBarbera Michael

C/O THE CHART GROUP, LP 75 ROCKEFELLER PLAZA, 14TH FLOOR NEW YORK, NYÂ 10019

Â CFO AND SECRETARY Â

# **Signatures**

/s/ Michael LaBarbera 12/13/2012

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reporting Person is the holder of 129,141 shares of common stock, of which up to 16,172 are subject to forfeiture in the event that the underwriters of the initial public offering of the Issuer do not exercise in full their over-allotment option and includes shares of common
- (1) stock subject to forfeiture in the event the last sale price of the Issuer's common stock does not equal or exceed \$11.50 per share (as adjusted for stock splits, stock dividends, reorganizations, recapitalizations and the like) for any 20 trading days within any 30 trading day period within 60 months following the closing of our initial business combination.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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