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VanEck Vectors ETF Trust  
Form N-PX  
August 30, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549  
FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY  
Investment Company Act File Number: 811-10325

VanEck Vectors ETF Trust  
(Exact name of registrant as specified in charter)

666 3rd Ave - 9th Floor, New York, N.Y. 10017-4033  
(Address of Principal Executive Office)

Jan F. van Eck  
Chief Executive Officer  
VanEck Vectors ETF Trust  
666 3rd Ave - 9th Floor  
New York, NY 10017-4033  
(Name and Address of Agent for Service)

Registrants telephone number, including area code: (212) 293-2000

Date of fiscal year end: Various

Date of reporting period: July 1, 2016 through June 30, 2017

Fund Name : VanEck Vectors Emerging Markets Aggregate Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Fallen Angel High Yield Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors High-Yield Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Intermediate Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Long Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors AMT-Free Short Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Short High-Yield Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Pre-Refunded Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

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Fund Name : VanEck Vectors Treasury-Hedged High Yield Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Investment Grade Floating Rate ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Preferred Securities ex Financials ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors ChinaAMC China Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors J. P. Morgan EM Local Currency Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name: VanEck Vectors AMT-Free 6-8 Year Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name: VanEck Vectors AMT-Free 12-17 Year Municipal Index ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name: VanEck Vectors EM Investment Grade + BB Rated USD Sovereign Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name: VanEck Vectors Green Bond ETF The fund did not vote proxies relating to portfolio securities during the period covered by this report.

Fund Name : VanEck Vectors Africa Index ETF

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Africa Oil Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
AOI	CINS 00829Q101	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Keith C. Hill	Mgmt	For	For	For
4	Elect John H. Craig	Mgmt	For	For	For
5	Elect Gary S. Guidry	Mgmt	For	For	For
6	Elect Bryan Benitz	Mgmt	For	For	For
7	Elect Andrew D. Bartlett	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against

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African Bank Investments Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
ABL	CINS S01035112	09/06/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Elect Enos N. Banda	Mgmt	For	For	For
3	Elect Daniel (Danie) Vlok	Mgmt	For	For	For
4	Elect Alethea (Lea) B. A. Conrad	Mgmt	For	For	For
5	Election of Audit Committee Member (Morris Mthombeni)	Mgmt	For	For	For
6	Election of Audit Committee Member (Daniel Vlok)	Mgmt	For	For	For
7	Election of Audit Committee Member (Alethea Conrad)	Mgmt	For	For	For
8	Authority to Issue Shares for Cash	Mgmt	For	For	For
9	Authorisation of Legal Formalities	Mgmt	For	For	For
10	Approve NEDs' Fees	Mgmt	For	For	For
11	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For
12	Authority to Repurchase Preference Shares	Mgmt	For	For	For
13	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
14	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
15	Change in Company Name	Mgmt	For	For	For
16	Amendment to Memorandum	Mgmt	For	For	For

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### Anglo American Platinum Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMS	CINS S9122P108	04/07/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Mark Cutifani	Mgmt	For	For	For
2	Re-elect Richard M.W. Dunne	Mgmt	For	For	For
3	Re-elect Mohammed V. Moosa	Mgmt	For	For	For
4	Re-elect Anthony M. O'Neill	Mgmt	For	For	For

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5	Elect Audit & Risk Committee Member (Richard Dunne)	Mgmt	For	For	For
6	Elect Audit & Risk Committee Member (Peter Mageza)	Mgmt	For	Against	Against
7	Elect Audit & Risk Committee Member (John Vice)	Mgmt	For	For	For
8	Elect Audit & Risk Committee Member (Daisy Naidoo)	Mgmt	For	Against	Against
9	Appointment of Auditor	Mgmt	For	For	For
10	General Authority to Issue Shares	Mgmt	For	For	For
11	Authorisation of Legal Formalities	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For

Anglo American plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AAL	CINS G03764134	04/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Stephen Pearce	Mgmt	For	For	For
3	Elect Nolitha Fakude	Mgmt	For	For	For
4	Elect Mark Cutifani	Mgmt	For	For	For
5	Elect Byron E. Grote	Mgmt	For	For	For
6	Elect Sir Philip Hampton	Mgmt	For	For	For
7	Elect Tony O'Neill	Mgmt	For	For	For
8	Elect Sir John Parker	Mgmt	For	For	For
9	Elect Mphu Ramatlapeng	Mgmt	For	For	For
10	Elect Jim Rutherford	Mgmt	For	For	For
11	Elect Anne Stevens	Mgmt	For	For	For
12	Elect Jack Thompson	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Remuneration Policy (Binding)	Mgmt	For	For	For
16	Remuneration Report (Advisory)	Mgmt	For	For	For
17	Board Size	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive	Mgmt	For	For	For

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19	Rights Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Anglogold Ashanti Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ANG	CUSIP 035128206	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Sipho M. Pityana	Mgmt	For	For	For
2	Re-elect Rodney J. Ruston	Mgmt	For	For	For
3	Re-elect Maria Richter	Mgmt	For	For	For
4	Elect Sindiswa V. Zilwa	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Rhidwaan Gasant)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Michael J. Kirkwood)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Rodney J. Ruston)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Albert Garner)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Maria Richter)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Sindiswa V. Zilwa)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Issue Shares pursuant to	Mgmt	For	For	For

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17	DSP Authority to Issue Shares Pursuant to DSP (Section 41)	Mgmt	For	For	For
18	Authority to Issue Shares for Cash	Mgmt	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For
20	Amendments to MOI	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For

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Aspen Pharmacare Holdings Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
APN	CINS S0754A105	08/15/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Memorandum	Mgmt	For	For	For
2	Re-elect John F. Buchanan	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Aspen Pharmacare Holdings Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
APN	CINS S0754A105	12/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Social and Ethics Committee Report	Mgmt	For	For	For
3	Re-elect John F. Buchanan	Mgmt	For	For	For
4	Re-elect Maureen Manyama-Matome	Mgmt	For	For	For
5	Elect Babalwa Ngonyama	Mgmt	For	For	For
6	Re-elect David S. Redfern	Mgmt	For	Against	Against
7	Re-elect Sindiswa (Sindi) V. Zilwa	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Roy Andersen)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (John Buchanan)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Maureen Manyama-Matome)	Mgmt	For	For	For
12	Elect Audit and Risk Committee Member	Mgmt	For	Against	Against

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	(Babalwa Ngonyama)					
13	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	Against	Against	
14	General Authority to Issue Shares	Mgmt	For	For	For	
15	Authority to Issue Shares for Cash	Mgmt	For	For	For	
16	Approve Remuneration Policy	Mgmt	For	For	For	
17	Authorisation of Legal Formalities	Mgmt	For	For	For	
18	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For	
19	Approve NEDs' Fees (Member)	Mgmt	For	For	For	
20	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For	
21	Approve NEDs' Fees (Audit and Risk Committee Member)	Mgmt	For	For	For	
22	Approve NEDs' Fees (Remuneration and Nomination Committee Chairman)	Mgmt	For	For	For	
23	Approve NEDs' Fees (Remuneration and Nomination Committee Member)	Mgmt	For	For	For	
24	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For	
25	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For	
26	Approve Financial Assistance	Mgmt	For	For	For	
27	Authority to Repurchase Shares	Mgmt	For	For	For	

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Attijariwafa Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ATW	CINS V0378K121	05/24/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Financial Statements	Mgmt	For	TNA	N/A
3	Related Party Transactions	Mgmt	For	TNA	N/A
4	Allocation of	Mgmt	For	TNA	N/A

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5	Profits/Dividends Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

### Attijariwafa Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ATW	CINS V0378K121	11/22/2016	Take No Action		
Meeting Type	Country of Trade				
Special	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Bonds	Mgmt	For	TNA	N/A
3	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

### AVI Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AVI	CINS S0808A101	11/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Re-elect Neo P. Dongwana	Mgmt	For	For	For
5	Re-elect James R. Hersov	Mgmt	For	For	For
6	Re-elect Richard Inskip	Mgmt	For	For	For
7	Re-elect Michael Koursaris	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Michael J. Bosman)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Neo P. Dongwana)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (James R. Hersov)	Mgmt	For	For	For
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
13	Approve NEDs' Fees (Foreign NED)	Mgmt	For	For	For
14	Approve NEDs' Fees (Remuneration, Nomination and Appointments)	Mgmt	For	For	For



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	Committee Members)				
15	Approve NEDs' Fees (Audit and Risk Committee Members)	Mgmt	For	For	For
16	Approve NEDs' Fees (Social and Ethics Committee Members)	Mgmt	For	For	For
17	Approve NEDs' Fees (Remuneration, Nomination and Appointments Committee Chairman)	Mgmt	For	For	For
18	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Approve Financial Assistance	Mgmt	For	For	For
22	Approve Deferred Bonus Share Plan	Mgmt	For	For	For
23	Authority to Issue Shares Pursuant to Deferred Bonus Share Plan	Mgmt	For	For	For
24	Approve Amendments to Executive Share Incentive Scheme	Mgmt	For	For	For
25	Authority to Issue Shares Pursuant to Revised Executive Share Incentive Scheme	Mgmt	For	For	For
26	Authority to Issue Shares Pursuant to Out-Performance Scheme	Mgmt	For	For	For
27	Approve Remuneration Policy	Mgmt	For	For	For

B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
BTO	CUSIP 11777Q209	06/16/2017	Voted

Meeting Type	Country of Trade
Annual	United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Clive T. Johnson	Mgmt	For	For	For
2.2	Elect Robert Cross	Mgmt	For	For	For

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2.3	Elect Robert Gayton	Mgmt	For	For	For
2.4	Elect Jerry R. Korpan	Mgmt	For	For	For
2.5	Elect Bongani Mtshisi	Mgmt	For	For	For
2.6	Elect Kevin Bullock	Mgmt	For	Withhold	Against
2.7	Elect George Johnson	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### Banque Centrale Populaire

Ticker	Security ID:	Meeting Date	Meeting Status		
BCP	CINS V0985N104	06/29/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Ratification of Board and Auditor's Acts	Mgmt	For	TNA	N/A
4	Auditor's Special Report	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Appointments	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
9	Directors' Fees	Mgmt	For	TNA	N/A
10	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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### Banque Marocaine du Commerce Exterieur

Ticker	Security ID:	Meeting Date	Meeting Status		
BCE	CINS V08866143	05/23/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Financial Statements	Mgmt	For	TNA	N/A
3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Directors' Fees	Mgmt	For	TNA	N/A
5	Related Party Transactions	Mgmt	For	TNA	N/A
6	Ratification of Auditors' Acts	Mgmt	For	TNA	N/A
7	Ratification of Board Acts	Mgmt	For	TNA	N/A
8	Re-elect Azeddine Guessous	Mgmt	For	TNA	N/A
9	Elect Hadeel Ibrahim	Mgmt	For	TNA	N/A
10	Ratification of Auditor's Acts; Appointment of Auditor	Mgmt	For	TNA	N/A
11	Appointment of Auditor	Mgmt	For	TNA	N/A

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12	Authority to Issue Bonds	Mgmt	For	TNA	N/A
13	Authorisation of Bond Issuance Terms	Mgmt	For	TNA	N/A
14	Board Changes	Mgmt	For	TNA	N/A
15	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Barclays Africa Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BGA	CINS S0850R101	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor (Ernst & Young)	Mgmt	For	For	For
3	Appointment of Auditor (KPMG)	Mgmt	For	For	For
4	Re-elect Alex Darko	Mgmt	For	For	For
5	Re-elect Ashok Vaswani	Mgmt	For	For	For
6	Re-elect Francis Okomo Okello	Mgmt	For	For	For
7	Re-elect Peter Matlare	Mgmt	For	For	For
8	Re-elect Trevor S. Munday	Mgmt	For	For	For
9	Re-elect Yolanda Cuba	Mgmt	For	For	For
10	Elect Dhanasagree (Daisy) Naidoo	Mgmt	For	For	For
11	Elect Jason Quinn	Mgmt	For	For	For
12	Elect Rene van Wyk	Mgmt	For	For	For
13	Elect Audit and Compliance Committee Member (Alex Darko)	Mgmt	For	For	For
14	Elect Audit and Compliance Committee Member (Colin Beggs)	Mgmt	For	For	For
15	Elect Audit and Compliance Committee Member (Mohamed Husain)	Mgmt	For	For	For
16	Elect Audit and Compliance Committee Member (Paul O'Flaherty)	Mgmt	For	For	For
17	Elect Audit and Compliance Committee Member (Daisy Naidoo)	Mgmt	For	Against	Against
18	Elect Audit and Compliance Committee Member (Rene van Wyk)	Mgmt	For	For	For
19	General Authority to Issue Shares	Mgmt	For	For	For

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20	Approve Remuneration Policy	Mgmt	For	For	For
21	Approve NEDs' Fees	Mgmt	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For
23	Approve Financial Assistance	Mgmt	For	For	For

Barloworld Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BAW	CINS S08470189	02/08/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	South Africa				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Dumisa B. Ntsebeza	Mgmt	For	For	For
3	Elect Audit Committee Member (Sango Ntsaluba)	Mgmt	For	For	For
4	Elect Audit Committee Member (Babalwa Ngonyama)	Mgmt	For	Against	Against
5	Elect Audit Committee Member (Frances Edozien)	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Approve NEDs Fees (Board Chair)	Mgmt	For	For	For
9	Approve NEDs Fees (Resident NEDs)	Mgmt	For	For	For
10	Approve NEDs Fees (Non-resident NEDs)	Mgmt	For	For	For
11	Approve NEDs Fees (Resident Audit Committee Chair)	Mgmt	For	For	For
12	Approve NEDs Fees (Resident Audit Committee Member)	Mgmt	For	For	For
13	Approve NEDs Fees (Non-resident Audit Committee Member)	Mgmt	For	For	For
14	Approve NEDs Fees (Non-resident Remuneration Committee Chair)	Mgmt	For	For	For
15	Approve NEDs Fees (Resident Remuneration Committee Chair)	Mgmt	For	For	For

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16	Approve NEDs Fees (Resident Social, Ethics & Transformation Committee Chair)	Mgmt	For	For	For
17	Approve NEDs Fees (Resident Risk & Sustainability Committee Chair)	Mgmt	For	For	For
18	Approve NEDs Fees (Resident General Purposes Committee Chair)	Mgmt	For	For	For
19	Approve NEDs Fees (Resident Nomination Committee Chair)	Mgmt	For	For	For
20	Approve NEDs Fees (Resident Members of Non-Audit Committees)	Mgmt	For	For	For
21	Approve NEDs Fees (Non-resident Members of Non-Audit Committees)	Mgmt	For	For	For
22	Approve Financial Assistance	Mgmt	For	For	For
23	Authority to Repurchase Shares	Mgmt	For	For	For
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bid Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BID	CINS S11881109	11/11/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	South Africa				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Bernard L. Berson	Mgmt	For	For	For
3	Elect Paul Cambo Baloyi	Mgmt	For	For	For
4	Elect Douglas D.B. Band	Mgmt	For	For	For
5	Elect Nigel G. Payne	Mgmt	For	Against	Against
6	Elect Helen Wiseman	Mgmt	For	For	For
7	Elect Dolly Doreen Mokgatle	Mgmt	For	For	For
8	Re-elect David Edward Cleasby	Mgmt	For	For	For
9	Re-elect Brian Joffe	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Paul Baloyi)	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Nigel Payne)	Mgmt	For	Against	Against
12	Elect Audit and Risk Committee Member	Mgmt	For	For	For

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	(Helen Wiseman)					
13	Approve Remuneration Policy (Base Package & Benefits)	Mgmt	For	For	For	
14	Approve Remuneration Policy (Short-Term Incentives)	Mgmt	For	For	For	
15	Approve Remuneration Policy (Long-Term Incentives)	Mgmt	For	For	For	
16	General Authority to Issue Shares	Mgmt	For	For	For	
17	Authority to Issue Shares for Cash	Mgmt	For	For	For	
18	Approve Allocation to Distribute Share Capital or Premium	Mgmt	For	For	For	
19	Authority to Create and Issue Convertible Debentures	Mgmt	For	For	For	
20	Authorisation of Legal Formalities	Mgmt	For	For	For	
21	Authority to Repurchase Shares	Mgmt	For	For	For	
22	Approve NED's Fees	Mgmt	For	For	For	
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Capitec Bank Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CPI	CINS S15445109	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Nonhlanhla S. Mjoli-Mncube	Mgmt	For	For	For
2	Re-elect Petrus J. Mouton	Mgmt	For	For	For
3	Re-elect Riaan Stassen	Mgmt	For	Against	Against
4	Elect Lindiwe A. Dlamini	Mgmt	For	For	For
5	Elect Kabelo Makwane	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Issue Shares Pursuant to a Conversion of Loss Absorbent Capital Instruments	Mgmt	For	For	For
8	Authority to Issue Shares for Cash	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	For	For
10	Approve NEDs' Fees	Mgmt	For	For	For
11	Authority to	Mgmt	For	For	For

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	Repurchase Shares					
12	Approve Financial Assistance	Mgmt	For	For	For	For
13	Amendments to Memorandum	Mgmt	For	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEY	CINS G2055Q105	03/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		Jersey			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory - Non-UK Issuer)	Mgmt	For	For	For
5	Elect Josef El-Raghy	Mgmt	For	For	For
6	Elect Andrew M. Pardey	Mgmt	For	For	For
7	Elect Gordon E. Haslam	Mgmt	For	Against	Against
8	Elect Trevor Schultz	Mgmt	For	Against	Against
9	Elect Mark R. Arnesen	Mgmt	For	For	For
10	Elect Mark Bankes	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For

Clicks Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CLS	CINS S17249111	01/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Martin Rosen	Mgmt	For	For	For
4	Elect Audit and Risk Committee Member (John	Mgmt	For	For	For

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5	Bester) Elect Audit and Risk Committee Member (Fatima Jakoet)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (David Nurek)	Mgmt	For	Against	Against
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Approve NEDs' Fees	Mgmt	For	For	For
10	Approve Financial Assistance	Mgmt	For	For	For
11	Specific Authority to Repurchase Shares	Mgmt	For	For	For

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Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status		
COMI	CINS 201712205	03/14/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Presentation of Directors' Report	Mgmt	For	For	For
3	Presentation of Auditor's Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
6	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	For	For
9	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For
10	Elect Hisham Ezz Al Arab	Mgmt	For	For	For
11	Elect Medhat Hassanein	Mgmt	For	Against	Against
12	Elect Jawaid Mirza	Mgmt	For	For	For
13	Elect Sherif Kamel	Mgmt	For	For	For
14	Elect Yasser Hashem	Mgmt	For	For	For
15	Elect Mark Richards	Mgmt	For	For	For
16	Elect Bijan Khorsowshahi	Mgmt	For	Against	Against
17	Related Party	Mgmt	For	Abstain	Against



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### Transactions

Coronation Fund Managers Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
CML	CINS S19537109	02/14/2017		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Re-elect Lulama Boyce	Mgmt	For	For	For	
2	Re-elect John (Jock) D. McKenzie	Mgmt	For	For	For	
3	Re-elect Alexandra Watson	Mgmt	For	For	For	
4	Elect Hugo A. Nelson	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	For	For	
6	Elect Audit and Risk Committee Member (Alexandra Watson)	Mgmt	For	For	For	
7	Elect Audit and Risk Committee Member (Shams Pather)	Mgmt	For	Against	Against	
8	Elect Audit and Risk Committee Member (Jock McKenzie)	Mgmt	For	For	For	
9	Elect Audit and Risk Committee Member (Hugo Nelson)	Mgmt	For	For	For	
10	Approve Remuneration Policy	Mgmt	For	For	For	
11	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
12	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
13	Approve NEDs' Fees	Mgmt	For	For	For	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Adopt New Memorandum	Mgmt	For	For	For	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Discovery Holdings Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
DSY	CINS S2192Y109	11/29/2016		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Appointment of Auditor	Mgmt	For	For	For	
3	Elect Audit Committee Member (Les Owen)	Mgmt	For	Against	Against	
4	Elect Audit Committee	Mgmt	For	For	For	

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	Member (Sindi Zilwa)					
5	Elect Audit Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against	
6	Re-elect Vincent Maphai	Mgmt	For	Against	Against	
7	Re-elect Tito Mboweni	Mgmt	For	For	For	
8	Re-elect Les Owen	Mgmt	For	Against	Against	
9	Re-elect Sonja Sebotsa	Mgmt	For	Against	Against	
10	Approve Remuneration Policy	Mgmt	For	Against	Against	
11	Authorisation of Legal Formalities	Mgmt	For	For	For	
12	General Authority to Issue A Preference Shares	Mgmt	For	For	For	
13	General Authority to Issue B Preference Shares	Mgmt	For	For	For	
14	General Authority to Issue C Preference Shares	Mgmt	For	For	For	
15	Approve NEDs' Fees	Mgmt	For	For	For	
16	Authority to Repurchase Shares	Mgmt	For	For	For	
17	Approve Financial Assistance	Mgmt	For	For	For	
18	Amendments to Memorandum (Issue of Shares)	Mgmt	For	For	For	
19	Amendments to Memorandum (Fractional Shares)	Mgmt	For	For	For	

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### EFG-Hermes Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HRHO	CINS M3047P109	04/27/2017	Take No Action		
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts; Board Changes	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
10	Charitable Donations	Mgmt	For	TNA	N/A

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### EOH Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
EOH	CINS S2593K104	02/22/2017	Voted

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Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
		Mgmt				
1	Re-elect Robert Sporen	Mgmt	For	For	For	
2	Re-elect Lucky Khumalo	Mgmt	For	For	For	
3	Elect Audrey Mothupi	Mgmt	For	For	For	
4	Elect Audit Committee Member (Robert Sporen)	Mgmt	For	Against	Against	
5	Elect Audit Committee Member (Lucky Khumalo)	Mgmt	For	Against	Against	
6	Elect Audit Committee Member (Tshilidzi Marwala)	Mgmt	For	For	For	
7	Elect Audit Committee Member (Audrey Mothupi)	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Authorisation of Legal Formalities	Mgmt	For	For	For	
10	Authority to Issue Shares for Cash	Mgmt	For	For	For	
11	Authority to Issue Shares for Cash (BEE Purposes)	Mgmt	For	For	For	
12	Authority to Sell Treasury Shares for Cash	Mgmt	For	For	For	
13	Adopt EOH Mthombo Share Incentive Trust	Mgmt	For	For	For	
14	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
16	Approve NEDs' Fees	Mgmt	For	For	For	
17	Authority to Repurchase Shares	Mgmt	For	For	For	

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Exxaro Resources Limited		Meeting Date				
Ticker Security ID:		05/25/2017				
EXX CINS S26949107		Meeting Status				
		Voted				
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
		Mgmt				
1	Elect Riaan Koppeschaar	Mgmt	For	For	For	
2	Elect Ras Myburgh	Mgmt	For	For	For	
3	Elect Peet Snyders	Mgmt	For	For	For	
4	Re-elect Constantinus Fauconnier	Mgmt	For	For	For	
5	Re-elect Dalikhaya Zihlangu	Mgmt	For	For	For	
6	Elect Audit Committee	Mgmt	For	For	For	

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	Member (Constantinus Fauconnier)					
7	Elect Audit Committee Member (Vuyisa Nkonyeni)	Mgmt	For	Against	Against	
8	Elect Audit Committee Member (Jeffrey van Rooyen)	Mgmt	For	For	For	
9	Elect Social and Ethics Committee Member (Salukazi Dakile-Hlongwane)	Mgmt	For	For	For	
10	Elect Social and Ethics Committee Member (Constantinus Fauconnier)	Mgmt	For	For	For	
11	Elect Social and Ethics Committee Member (Ras Myburgh)	Mgmt	For	For	For	
12	Elect Social and Ethics Committee Member (Fazel Randerera)	Mgmt	For	For	For	
13	Approve Remuneration Policy	Mgmt	For	For	For	
14	Appointment of Auditor	Mgmt	For	For	For	
15	Authorisation of Legal Formalities	Mgmt	For	For	For	
16	Approve NEDs' Fees	Mgmt	For	For	For	
17	Authority to Repurchase Shares	Mgmt	For	For	For	
18	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
19	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	

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### Exxaro Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EXX	CINS S26949107	12/30/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Specific Authority to Repurchase Shares	Mgmt	For	For	For
2	Revocation of Proposal 1	Mgmt	For	For	For
3	Authorisation of Legal Formalities	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### First Quantum Minerals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
FM	CINS 335934105	05/04/2017	Voted
Meeting Type		Country of Trade	
Annual		Canada	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Philip K.R. Pascall	Mgmt	For	For	For
4	Elect G. Clive Newall	Mgmt	For	For	For
5	Elect Martin R. Rowley	Mgmt	For	Against	Against
6	Elect Peter St. George	Mgmt	For	For	For
7	Elect Andrew Adams	Mgmt	For	For	For
8	Elect Paul Brunner	Mgmt	For	For	For
9	Elect Robert J. Harding	Mgmt	For	For	For
10	Elect Martin Schady	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### FirstRand Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FSR	CINS S5202Z131	11/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Mary Bomela	Mgmt	For	For	For
2	Re-elect Peter Cooper	Mgmt	For	For	For
3	Re-elect Grant Gelink	Mgmt	For	For	For
4	Re-elect Lulu Gwagwa	Mgmt	For	Against	Against
5	Re-elect Ben van der Ross	Mgmt	For	Against	Against
6	Elect Faffa Knoetze	Mgmt	For	For	For
7	Appointment of Deloitte & Touche	Mgmt	For	For	For
8	Appointment of PricewaterhouseCoopers Inc.	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	Against	Against
10	General Authority to Issue Shares	Mgmt	For	For	For
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance (Employee Share Scheme Beneficiaries)	Mgmt	For	For	For
15	Approve Financial Assistance (Related and Inter-related Entities)	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For

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17	Adopt New Memorandum	Mgmt	For	For	For
Fortress Income Fund Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
FFA	CINS S30252258	11/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Iraj Abedian	Mgmt	For	For	For
2	Elect Abraham (Rual) Albertus Bornman	Mgmt	For	For	For
3	Elect Andrew Edward Teixeira	Mgmt	For	For	For
4	Elect Tshiamo Matlapeng-Vilakazi	Mgmt	For	For	For
5	Elect Urbanus (Banus) van der Walt	Mgmt	For	For	For
6	Elect Jan Naude Potgieter	Mgmt	For	For	For
7	Elect Fareed Ismail Wania	Mgmt	For	For	For
8	Elect Steven Brown	Mgmt	For	For	For
9	Re-elect Mark W. Stevens	Mgmt	For	For	For
10	Re-elect Kurauwone N.F. Chihota	Mgmt	For	For	For
11	Re-elect Djurk P.C. Venter	Mgmt	For	For	For
12	Elect Audit Committee Member (Djurk Venter)	Mgmt	For	For	For
13	Elect Audit Committee Member (Jan Potgieter)	Mgmt	For	For	For
14	Elect Audit Committee Member (Kurauwone Chihota)	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For
18	Authority to Issue Shares for Cash (BEE)	Mgmt	For	For	For
19	Approve Amendments to The Share Purchase Trust Deed	Mgmt	For	For	For
20	Approve Remuneration Policy	Mgmt	For	Against	Against
21	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
22	Authority to Repurchase	Mgmt	For	For	For

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23	Shares Approve Financial Assistance (Section 44 and 45)	Mgmt	For	For	For
24	Approve NED's Fees	Mgmt	For	For	For
25	Amendment to Memorandum	Mgmt	For	For	For
26	Authorisation of Legal Formalities	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS 37953P202	02/06/2017		Voted	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Delisting of Global Depository Receipts	Mgmt	For	For	For

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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CUSIP 37953P	03/19/2017		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Reduce Share Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS 37953P202	03/19/2017		Voted	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Reduce Share Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CUSIP 37953P	03/29/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Reserves	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Auditor's Report	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
6	Ratification of Board Acts	Mgmt	For	For	For

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7	Directors' Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	Abstain	Against

### Global Telecom Holding

Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS 37953P202	03/29/2017		Voted	
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Reserves	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Auditor's Report	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
6	Ratification of Board Acts	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	Abstain	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Gold Fields Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
GFI	CUSIP 38059T106	05/24/2017		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Terence P. Goodlace	Mgmt	For	For	For
3	Elect Alhassan Andani	Mgmt	For	For	For
4	Elect Peter Bacchus	Mgmt	For	For	For
5	Elect Yunus G.H. Suleman	Mgmt	For	For	For
6	Elect Carmen Letton	Mgmt	For	For	For
7	Re-elect Nicholas J. Holland	Mgmt	For	For	For
8	Re-elect Paul A. Schmidt	Mgmt	For	For	For
9	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
10	Elect Audit Committee Member (Alhassan Andani)	Mgmt	For	For	For
11	Elect Audit Committee Member (Peter Bacchus)	Mgmt	For	For	For
12	Elect Audit Committee Member (Richard P. Menell)	Mgmt	For	For	For
13	Elect Audit Committee Member (Donald M.J. Ncube)	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Approve Conversion of Par Value	Mgmt	For	For	For



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16	Shares Authority to Increase Authorised Capital	Mgmt	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For
18	Approve Remuneration Policy	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Amendments to Memorandum	Mgmt	For	For	For

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Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect LEW Syn Pau	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

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Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Growthpoint Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GRT	CINS S3373C239	11/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Re-elect Lynette Finlay	Mgmt	For	For	For
3	Re-elect Mpume Nkabinde	Mgmt	For	For	For
4	Re-elect Patrick Mngconkola	Mgmt	For	For	For
5	Elect Audit Committee Member (Lynette Finlay)	Mgmt	For	For	For
6	Elect Audit Committee Member (Peter Fechter)	Mgmt	For	For	For
7	Elect Audit Committee Member (John Hayward)	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	APPROVE REMUNERATION POLICY	Mgmt	For	Against	Against
10	General Authority to Issue Shares	Mgmt	For	For	For
11	Authority to Issue Shares Pursuant to Dividend Reinvestment Alternatives	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve Amendments to the Staff Incentive Scheme	Mgmt	For	For	For
14	Social, Ethics and Transformation Report	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Amendments to Memorandum	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

GT Bank Plc

Ticker Security ID: Meeting Date Meeting Status  
 GUARANTY CINS V41619103 04/07/2017 Voted

Meeting Type Country of Trade  
 Annual Nigeria

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Babatunde Soyoye	Mgmt	For	For	For
4	Elect Mobolaji J. Lawal	Mgmt	For	For	For
5	Elect Osaretin Afusat Demuren	Mgmt	For	For	For
6	Elect Ibrahim Hassan	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
8	Election of Statutory Audit Committee	Mgmt	For	For	For

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Members						
9	Approve Directors' Fees	Mgmt	For	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
<hr/>						
Hyprop Investments Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
HYP	CINS S3723H102	12/01/2016		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Re-elect Pieter Prinsloo	Mgmt	For	For	For	
3	Re-elect Lindie Engelbrecht	Mgmt	For	For	For	
4	Re-elect Mike Lewin	Mgmt	For	For	For	
5	Elect Audit Committee Member (Lindie Engelbrecht)	Mgmt	For	For	For	
6	Elect Audit Committee Member (Gavin Tipper)	Mgmt	For	Against	Against	
7	Elect Audit Committee Member (Thabo Mokgatlha)	Mgmt	For	Against	Against	
8	Appointment of Auditor	Mgmt	For	For	For	
9	General Authority to Issue Shares	Mgmt	For	For	For	
10	Authority to Issue Shares for Cash	Mgmt	For	For	For	
11	Approve Remuneration Policy	Mgmt	For	For	For	
12	Authority to Repurchase Shares	Mgmt	For	For	For	
13	Approve Financial Assistance	Mgmt	For	For	For	
14	Approve NEDs' Fees	Mgmt	For	For	For	
15	Increase NEDs' Fees	Mgmt	For	For	For	
16	Authorisation of Legal Formalities	Mgmt	For	For	For	
<hr/>						
IAMGOLD Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
IMG	CUSIP 450913108	05/10/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John E. Caldwell	Mgmt	For	For	For	
1.2	Elect Donald Charter	Mgmt	For	For	For	
1.3	Elect Sybil Veenman	Mgmt	For	For	For	
1.4	Elect Richard J. Hall	Mgmt	For	For	For	
1.5	Elect Stephen J.J. Letwin	Mgmt	For	For	For	
1.6	Elect Mahendra Naik	Mgmt	For	For	For	
1.7	Elect Timothy R. Snider	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set	Mgmt	For	For	For	

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3	Fees Advisory Vote on Executive Compensation	Mgmt	For	For	For
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Impala Platinum Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	10/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Audit Committee Member (Hugh Cameron)	Mgmt	For	For	For
3	Elect Audit Committee Member (Peter Davey)	Mgmt	For	For	For
4	Elect Audit Committee Member (Babalwa Ngonyama)	Mgmt	For	Against	Against
5	Elect Audit Committee Member (Mpho Nkeli)	Mgmt	For	For	For
6	APPROVE REMUNERATION POLICY	Mgmt	For	For	For
7	Re-elect Hugh Cameron	Mgmt	For	For	For
8	Re-elect Albertina Kekana	Mgmt	For	For	For
9	Re-elect Alastair Macfarlane	Mgmt	For	For	For
10	Re-elect Babalwa Ngonyama	Mgmt	For	Against	Against
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For

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Imperial Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IPL	CINS S38127122	11/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Audit Committee Member (Raboijane Kgosana)	Mgmt	For	Against	Against
4	Elect Audit Committee Member (Graham Dempster)	Mgmt	For	For	For
5	Elect Audit Committee Member (Thembisa Dingaan)	Mgmt	For	For	For
6	Elect Audit Committee Member (Phumzile Langeni)	Mgmt	For	Against	Against
7	Elect Audit Committee Member (Roddy	Mgmt	For	For	For

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	Sparks)					
8	Elect Audit Committee Member (Younaid Waja)	Mgmt	For	For	For	
9	Re-elect Mark Lamberti	Mgmt	For	For	For	
10	Re-elect Phumzile Langeni	Mgmt	For	For	For	
11	Re-elect Philip Michaux	Mgmt	For	For	For	
12	Re-elect Roderick Sparks	Mgmt	For	For	For	
13	Re-elect Ashley Tugendhaft	Mgmt	For	For	For	
14	Approve Remuneration Policy	Mgmt	For	For	For	
15	Approve NEDs' Fees (Chairperson)	Mgmt	For	For	For	
16	Approve NEDs' Fees (Deputy Chairperson and Lead Independent Director)	Mgmt	For	For	For	
17	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For	
18	Approve NEDs' Fees (Assets and Liabilities Committee Chairperson)	Mgmt	For	For	For	
19	Approve NEDs' Fees (Assets and Liabilities Committee Member)	Mgmt	For	For	For	
20	Approve NEDs' Fees (Audit Committee Chairperson)	Mgmt	For	For	For	
21	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For	
22	Approve NEDs' Fees (Investment Committee Chairperson)	Mgmt	For	For	For	
23	Approve NEDs' Fees (Investment Committee Member)	Mgmt	For	For	For	
24	Approve NEDs' Fees (Risk Committee Chairperson)	Mgmt	For	For	For	
25	Approve NEDs' Fees (Risk Committee Member)	Mgmt	For	For	For	
26	Approve NEDs' Fees (Remuneration Committee Chairperson)	Mgmt	For	For	For	
27	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For	
28	Approve NEDs' Fees (Nomination Committee Chairperson)	Mgmt	For	For	For	
29	Approve NEDs' Fees (Nomination Committee	Mgmt	For	For	For	

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30	Member) Approve NEDs' Fees (Social, Ethics and Sustainability Committee Chairperson)	Mgmt	For	For	For
31	Approve NEDs' Fees (Social, Ethics and Sustainability Committee Member)	Mgmt	For	For	For
32	Authority to Repurchase Shares	Mgmt	For	For	For
33	General Authority to Issue Shares	Mgmt	For	For	For
34	AUTHORITY TO ISSUE SHARES FOR CASH	Mgmt	For	For	For
35	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
36	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
37	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
38	Amendment to Memorandum	Mgmt	For	For	For

Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS G49188116	07/20/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Preference Shares Off-Market	Mgmt	For	For	For

Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS S39081138	07/20/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Preference Shares Off-Market	Mgmt	For	For	For

Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS G49188116	08/04/2016	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zarina B. M. Bassa	Mgmt	For	For	For
3	Elect Glynn R. Burger	Mgmt	For	For	For
4	Elect Laurel C. Bowden	Mgmt	For	For	For
5	Elect Cheryl A. Carolus	Mgmt	For	For	For
6	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For
7	Elect Hendrik du Toit	Mgmt	For	For	For
8	Elect David Friedland	Mgmt	For	Against	Against
9	Elect Charles Jacobs	Mgmt	For	For	For
10	Elect Bernard Kantor	Mgmt	For	For	For
11	Elect Ian R. Kantor	Mgmt	For	For	For
12	Elect Stephen Koseff	Mgmt	For	For	For
13	Elect Mark Malloch-Brown	Mgmt	For	For	For
14	Elect Khumo Shuenyane	Mgmt	For	For	For
15	Elect Peter R.S. Thomas	Mgmt	For	For	For
16	Elect Fani Titi	Mgmt	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	Against	Against
18	Authorisation of Legal Formalities	Mgmt	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Interim Dividend (Ordinary Shareholders - Investec Limited)	Mgmt	For	For	For
22	Final Dividend (Ordinary and Preference Shareholders - Investec Limited)	Mgmt	For	For	For
23	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For
24	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For
25	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For
26	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For
27	General Authority to Issue Special Convertible Shares (Investec Limited)	Mgmt	For	For	For
28	Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For
29	Authority to	Mgmt	For	For	For

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	Repurchase Preference Shares (Investec Limited)				
30	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For
31	Approve NEDs' Fees (Investec Limited)	Mgmt	For	For	For
32	Amendment to Memorandum of Incorporation	Mgmt	For	For	For
33	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
34	Accounts and Reports (Investec plc)	Mgmt	For	For	For
35	Interim Dividend (Investec plc)	Mgmt	For	For	For
36	Final Dividend (Investec plc)	Mgmt	For	For	For
37	Appointment of Joint Auditors and Authority to Set Fees (Investec plc)	Mgmt	For	For	For
38	Authority to Issue Shares w/ Preemptive Rights (Investec plc)	Mgmt	For	For	For
39	Authority to Repurchase Shares (Investec plc)	Mgmt	For	For	For
40	Authorisation of Political Donations (Investec plc)	Mgmt	For	For	For

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Investec plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INVP	CINS S39081138	08/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zarina B. M. Bassa	Mgmt	For	For	For
3	Elect Glynn R. Burger	Mgmt	For	For	For
4	Elect Laurel C. Bowden	Mgmt	For	For	For
5	Elect Cheryl A. Carolus	Mgmt	For	For	For
6	Elect Perry K.O. Crosthwaite	Mgmt	For	For	For
7	Elect Hendrik du Toit	Mgmt	For	For	For
8	Elect David Friedland	Mgmt	For	Against	Against
9	Elect Charles Jacobs	Mgmt	For	For	For
10	Elect Bernard Kantor	Mgmt	For	For	For
11	Elect Ian R. Kantor	Mgmt	For	For	For
12	Elect Stephen Koseff	Mgmt	For	For	For
13	Elect Mark	Mgmt	For	For	For



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	Malloch-Brown					
14	Elect Khumo Shuenyane	Mgmt	For	For	For	For
15	Elect Peter R.S. Thomas	Mgmt	For	For	For	For
16	Elect Fani Titi	Mgmt	For	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	Against	Against	Against
18	Authorisation of Legal Formalities	Mgmt	For	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
21	Interim Dividend (Ordinary Shareholders - Investec Limited)	Mgmt	For	For	For	For
22	Final Dividend (Ordinary and Preference Shareholders - Investec Limited)	Mgmt	For	For	For	For
23	Appointment of Joint Auditor (Ernst & Young) (Investec Limited)	Mgmt	For	For	For	For
24	Appointment of Joint Auditor (KPMG) (Investec Limited)	Mgmt	For	For	For	For
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
26	General Authority to Issue Ordinary Shares (Investec Limited)	Mgmt	For	For	For	For
27	General Authority to Issue Preference Shares (Investec Limited)	Mgmt	For	For	For	For
28	General Authority to Issue Special Convertible Shares (Investec Limited)	Mgmt	For	For	For	For
29	Authority to Repurchase Shares (Investec Limited)	Mgmt	For	For	For	For
30	Authority to Repurchase Preference Shares (Investec Limited)	Mgmt	For	For	For	For
31	Approve Financial Assistance (Investec Limited)	Mgmt	For	For	For	For
32	Approve NEDs' Fees (Investec Limited)	Mgmt	For	For	For	For
33	Amendment to Memorandum of Incorporation	Mgmt	For	For	For	For
34	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
35	Accounts and Reports	Mgmt	For	For	For	For

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36	(Investec plc) Interim Dividend	Mgmt	For	For	For
37	(Investec plc) Final Dividend	Mgmt	For	For	For
38	(Investec plc) Appointment of Joint Auditors and Authority to Set Fees	Mgmt	For	For	For
39	(Investec plc) Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
40	(Investec plc) Authority to Repurchase Shares	Mgmt	For	For	For
41	(Investec plc) Authorisation of Political Donations	Mgmt	For	For	For
42	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ivanhoe Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
IVN	CINS 46581Q201	06/28/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Robert M. Friedland	Mgmt	For	For	For
4	Elect Ian D. Cockerill	Mgmt	For	For	For
5	Elect Markus Faber	Mgmt	For	For	For
6	Elect William Hayden	Mgmt	For	For	For
7	Elect Oyvind Hushovd	Mgmt	For	For	For
8	Elect Livia Mahler	Mgmt	For	For	For
9	Elect Peter G. Meredith	Mgmt	For	Against	Against
10	Elect Guy de Selliers	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Equity Incentive Plan Renewal	Mgmt	For	For	For
13	Amendment to the DSU Plan	Mgmt	For	For	For
14	Transaction of Other Business	Mgmt	For	Against	Against
15	Amendment or Variation of Matters Presented	Mgmt	For	Against	Against

Kosmos Energy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
KOS	CUSIP G5315B107	05/10/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Andrew G. Inglis	Mgmt	For	For	For
1.2	Elect Brian F. Maxted	Mgmt	For	For	For
1.3	Elect Chris Tong	Mgmt	For	For	For
1.4	Elect Sir Richard B. Dearlove	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Liberty Holdings Limited		Meeting Date	Meeting Status		
Ticker	Security ID:				
LBH	CINS S44440121	05/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Angus Band	Mgmt	For	For	For
3	Re-elect Mike Ilsley	Mgmt	For	For	For
4	Re-elect Jacko Maree	Mgmt	For	For	For
5	Re-elect Sim Tshabalala	Mgmt	For	For	For
6	Elect Carol Roskruge Cele	Mgmt	For	For	For
7	Elect David Munro	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
10	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Elect Audit and Actuarial Committee Member (Mike Ilsley)	Mgmt	For	For	For
13	Elect Audit and Actuarial Committee Member (Angus Band)	Mgmt	For	For	For
14	Elect Audit and Actuarial Committee Member (Tony Cunningham)	Mgmt	For	For	For
15	Elect Audit and Actuarial Committee Member (Yunus Suleman)	Mgmt	For	For	For
16	Elect Audit and Actuarial Committee Member (Jim Sutcliffe)	Mgmt	For	For	For
17	Approve Remuneration Policy	Mgmt	For	For	For
18	Authority to Issue Shares Pursuant to	Mgmt	For	For	For

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	Share Incentive Schemes				
19	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
20	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For
21	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (International Board Member, Sub-committee Chairman)	Mgmt	For	For	For
23	Approve NEDs' Fees (International Board Member, Committee Chairman)	Mgmt	For	For	For
24	Approve NEDs' Fees (Audit and Actuarial Committee Chairman)	Mgmt	For	For	For
25	Approve NEDs' Fees (Audit and Actuarial Committee Member)	Mgmt	For	For	For
26	Approve NEDs' Fees (Actuarial Committee Chairman)	Mgmt	For	For	For
27	Approve NEDs' Fees (Actuarial Committee Member)	Mgmt	For	For	For
28	Approve NEDs' Fees (Risk Committee Chairman)	Mgmt	For	For	For
29	Approve NEDs' Fees (Risk Committee Member)	Mgmt	For	For	For
30	Approve NEDs' Fees (Remuneration Committee Chairman)	Mgmt	For	For	For
31	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
32	Approve NEDs' Fees (Social, Ethics and Transformation Committee Chairman)	Mgmt	For	For	For
33	Approve NEDs' Fees (Social, Ethics and Transformation Committee Member)	Mgmt	For	For	For
34	Approve NEDs' Fees (Directors' Affairs Committee Member)	Mgmt	For	For	For
35	Approve NEDs' Fees (IT Committee)	Mgmt	For	For	For

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36	Chairman) Approve NEDs' Fees (IT Committee Member)	Mgmt	For	For	For
37	Approve NEDs' Fees (STANLIB Limited Board Chairman)	Mgmt	For	For	For
38	Approve NEDs' Fees (STANLIB Limited Board Member)	Mgmt	For	For	For
39	Approve NEDs' Fees (Ad Hoc Board Meeting Fee)	Mgmt	For	For	For
40	Approve NEDs' Fees (Ad Hoc Committee Meeting Fee)	Mgmt	For	For	For
41	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
42	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
43	Authority to Repurchase Shares	Mgmt	For	For	For

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### Life Healthcare Group Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LHC	CINS S4682C100	01/25/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Joel K. Netshitenzhe	Mgmt	For	For	For
2	Re-elect Marian Jacobs	Mgmt	For	For	For
3	Re-elect Royden T. Vice	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Elect Audit Committee Member (Peter J. Golesworthy)	Mgmt	For	For	For
6	Elect Audit Committee Member (Louisa Mojela)	Mgmt	For	For	For
7	Elect Audit Committee Member (Royden Vice)	Mgmt	For	For	For
8	Elect Audit Committee Member (Garth Solomon)	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	For	For
10	General Authority to Issue Shares	Mgmt	For	Against	Against
11	Authority to Issue Shares for Cash	Mgmt	For	For	For
12	Authority to Issue Shares (30% or	Mgmt	For	Against	Against

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13	Greater) Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Amendments to Memorandum (Clause 28.7.4)	Mgmt	For	For	For
17	Amendments to Memorandum (Clauses 7.7 & 7.12)	Mgmt	For	For	For
18	Authority to Issue Shares (Section 41.1)	Mgmt	For	Against	Against

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Maroc Telecom SA (Itissalat Al-Maghrib)

Ticker	Security ID:	Meeting Date	Meeting Status		
IAM	CINS V5721T117	04/25/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Morocco				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' and Auditors' Reports; Ratification of Board Acts	Mgmt	For	TNA	N/A
3	Financial Statements	Mgmt	For	TNA	N/A
4	Related Party Transactions	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Co-Option of Abderrahmane Semmar	Mgmt	For	TNA	N/A
7	Ratification of Co-Option of Hatem Dowidar	Mgmt	For	TNA	N/A
8	Ratification of Co-Option of Saleh Abdooli	Mgmt	For	TNA	N/A
9	Appointment of Auditor	Mgmt	For	TNA	N/A
10	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
11	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A

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Mediclinic International Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MDC	CINS G021A5106	07/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against

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3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Seamus Keating	Mgmt	For	For	For
5	Elect Ian Tyler	Mgmt	For	For	For
6	Elect Danie Meintjes	Mgmt	For	For	For
7	Elect Edwin de la H. Hertzog	Mgmt	For	For	For
8	Elect Jannie J. Durand	Mgmt	For	For	For
9	Elect Alan Grieve	Mgmt	For	For	For
10	Elect Robert Leu	Mgmt	For	For	For
11	Elect Nandi Mandela	Mgmt	For	For	For
12	Elect Trevor Petersen	Mgmt	For	For	For
13	Elect Desmond K. Smith	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Authorisation of Political Donations	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
20	Repurchase of Subscriber Shares	Mgmt	For	For	For
21	Amendments to Articles (Dividend Access Trust)	Mgmt	For	For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against

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MMI Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MMI	CINS S5143R107	11/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Peter Cooper	Mgmt	For	For	For
3	Elect Voyt Krzychykiewicz	Mgmt	For	For	For
4	Re-elect Johan van Reenen	Mgmt	For	For	For
5	Re-elect Jabu Moleketi	Mgmt	For	For	For
6	Re-elect Louis von Zeuner	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect Audit Committee Member (Frans Truter)	Mgmt	For	For	For

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9	Elect Audit Committee Member (Syd Muller)	Mgmt	For	For	For
10	Elect Audit Committee Member (Fatima Jakoet)	Mgmt	For	For	For
11	Elect Audit Committee Member (Louis von Zeuner)	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Amendments to Memorandum	Mgmt	For	For	For
16	Approve NEDs' Fees (Chairperson of the Board)	Mgmt	For	For	For
17	Approve NEDs' Fees (Deputy Chairperson of the Board)	Mgmt	For	For	For
18	Approve NEDs' Fees (Board Member)	Mgmt	For	For	For
19	Approve NEDs' Fees (Chairperson of Audit Committee)	Mgmt	For	For	For
20	Approve NEDs' Fees (Member of Audit Committee)	Mgmt	For	For	For
21	Approve NEDs' Fees (Chairperson of Actuarial Committee)	Mgmt	For	For	For
22	Approve NEDs' Fees (Member of Actuarial Committee)	Mgmt	For	For	For
23	Approve NEDs' Fees (Chairperson of Remuneration Committee)	Mgmt	For	For	For
24	Approve NEDs' Fees (Member of Remuneration Committee)	Mgmt	For	For	For
25	Approve NEDs' Fees (Chairperson of Risk, Capital and Compliance Committee)	Mgmt	For	For	For
26	Approve NEDs' Fees (Member of Risk, Capital and Compliance Committee)	Mgmt	For	For	For
27	Approve NEDs' Fees (Chairperson of Social, Ethics and	Mgmt	For	For	For



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	Transformation Committee)					
28	Approve NEDs' Fees (Member of Social, Ethics and Transformation Committee)	Mgmt	For	For	For	For
29	Approve NEDs' Fees (Chairperson of Nominations Committee)	Mgmt	For	For	For	For
30	Approve NEDs' Fees (Member of Nominations Committee)	Mgmt	For	For	For	For
31	Approve NEDs' Fees (Chairperson of Fair Practices Committee)	Mgmt	For	For	For	For
32	Approve NEDs' Fees (Member of Fair Practices Committee)	Mgmt	For	For	For	For
33	Approve NEDs' Fees (Chairperson of Board for Segments and Product House)	Mgmt	For	For	For	For
34	Approve NEDs' Fees (Member of Board for Segments and Product House)	Mgmt	For	For	For	For
35	Approve NEDs' Fees (Ad Hoc Work)	Mgmt	For	For	For	For

Mondi plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNDI	CINS S5274K111	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Tanya Fratto	Mgmt	For	For	For
3	Elect Stephen C. Harris	Mgmt	For	For	For
4	Elect Andrew King	Mgmt	For	For	For
5	Elect John E. Nicholas	Mgmt	For	For	For
6	Elect Peter Oswald	Mgmt	For	For	For
7	Elect Fred Phaswana	Mgmt	For	For	For
8	Elect Dominique Reiniche	Mgmt	For	For	For
9	Elect David Williams	Mgmt	For	For	For
10	Elect Audit Committee Member (Tanya Fratto)	Mgmt	For	For	For
11	Elect Audit Committee Member (Stephen Harris)	Mgmt	For	For	For
12	Elect Audit Committee Member (John Nicolas)	Mgmt	For	For	For

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13	Accounts and Reports (Mondi Limited)	Mgmt	For	For	For
14	Remuneration Policy (Mondi Limited)	Mgmt	For	For	For
15	Remuneration Report (Mondi Limited)	Mgmt	For	For	For
16	Allocation of Profits/Dividends (Mondi Limited)	Mgmt	For	For	For
17	Appointment of Auditor (Mondi Limited)	Mgmt	For	For	For
18	Authority to Set Auditor's Fees (Mondi Limited)	Mgmt	For	For	For
19	Approve Financial Assistance (Mondi Limited)	Mgmt	For	For	For
20	General Authority to Issue Shares (Mondi Limited)	Mgmt	For	For	For
21	General Authority to Issue Special Converting Shares (Mondi Limited)	Mgmt	For	For	For
22	Authority to Issue Shares w/o Preemptive Rights (Mondi Limited)	Mgmt	For	For	For
23	Authority to Repurchase Shares (Mondi Limited)	Mgmt	For	For	For
24	Accounts and Reports (Mondi plc)	Mgmt	For	For	For
25	Remuneration Policy (Mondi plc)	Mgmt	For	For	For
26	Remuneration Report (Mondi plc)	Mgmt	For	For	For
27	Allocation of Profits/Dividends (Mondi plc)	Mgmt	For	For	For
28	Appointment of Auditor (Mondi plc)	Mgmt	For	For	For
29	Authority to Set Auditor's Fees (Mondi plc)	Mgmt	For	For	For
30	Authority to Issue Shares w/ Preemptive Rights (Mondi plc)	Mgmt	For	For	For
31	Authority to Issue	Mgmt	For	For	For

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32	Shares w/o Preemptive Rights (Mondi plc) Authority to Repurchase Shares (Mondi plc)	Mgmt	For	For	For
<hr/>					
Mr. Price Group Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	08/31/2016		Voted	
MRP	CINS S5256M135	Country of Trade		South Africa	
Meeting Type		Proponent		Vote Cast	
Annual		Mgmt Rec		For/Against	
Issue No.	Description				Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Stewart Cohen	Mgmt	For	For	For
3	Re-elect Keith Getz	Mgmt	For	Against	Against
4	Re-elect Myles J.D. Ruck	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Audit and Compliance Committee Member (Bobby Johnston)	Mgmt	For	For	For
7	Elect Audit and Compliance Committee Member (Daisy Naidoo)	Mgmt	For	For	For
8	Elect Audit and Compliance Committee Member (Myles Ruck)	Mgmt	For	For	For
9	Elect Audit and Compliance Committee Member (John Swain)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Report of the Social, Ethics, Transformation and Sustainability Committee	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	General Authority to Issue Shares	Mgmt	For	For	For
14	Approve NEDs' Fees (Independent Non-Executive Chairman)	Mgmt	For	For	For
15	Approve NEDs' Fees (Honorary Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Lead Director)	Mgmt	For	For	For
17	Approve NEDs' Fees (Director)	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For

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	(Audit and Compliance Committee Chairman)				
19	Approve NEDs' Fees (Audit and Compliance Committee Member)	Mgmt	For	For	For
20	Approve NEDs' Fees (Remuneration and Nominations Committee Chairman)	Mgmt	For	For	For
21	Approve NEDs' Fees (Remuneration and Nominations Committee Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Chairman)	Mgmt	For	For	For
23	Approve NEDs' Fees (Social, Ethics, Transformation and Sustainability Committee Member)	Mgmt	For	For	For
24	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For
25	Authority to Repurchase Treasury Shares	Mgmt	For	For	For
26	Approve Financial Assistance	Mgmt	For	For	For
27	Amendment to Memorandum (Proxy Appointment)	Mgmt	For	For	For
28	Amendment to Memorandum (Fractional Entitlements)	Mgmt	For	For	For

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MTN Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTN	CINS S8039R108	05/25/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Paul Hanratty	Mgmt	For	For	For
2	Elect Stan P. Miller	Mgmt	For	For	For
3	Elect Ralph T. Mupita	Mgmt	For	For	For
4	Elect Rob Shuter	Mgmt	For	For	For
5	Elect Nkululeko L. Sowazi	Mgmt	For	For	For
6	Re-elect Alan van Biljon	Mgmt	For	For	For
7	Re-elect Koosum Kalyan	Mgmt	For	For	For
8	Re-elect Azmi Mikati	Mgmt	For	For	For
9	Re-elect Jeff van Rooyen	Mgmt	For	For	For

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10	Elect Audit Committee Member (Christine Ramon)	Mgmt	For	For	For
11	Elect Audit Committee Member (Paul Hanratty)	Mgmt	For	For	For
12	Elect Audit Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
13	Elect Audit Committee Member (Jeff van Rooyen)	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	General Authority to Issue Shares	Mgmt	For	For	For
16	Authority to Issue Shares for Cash	Mgmt	For	For	For
17	Approve Remuneration Policy	Mgmt	For	Against	Against
18	Authorisation of Legal Formalities	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
22	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
23	Amendment to Memorandum	Mgmt	For	For	For

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MTN Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTN	CINS S8039R108	10/07/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Approve 2016 Employee Share Ownership Plan	Mgmt	For	For	For
2	Approve 2016 BEE Transaction	Mgmt	For	For	For
3	Authority to Repurchase Shares (Zakhele Unwind)	Mgmt	For	For	For
4	Authority to Repurchase Shares (BEE Transaction)	Mgmt	For	For	For
5	Approve Financial Assistance	Mgmt	For	For	For
6	Authority to Issue Shares for Cash (Initial)	Mgmt	For	For	For
7	Authority to Issue Shares for Cash	Mgmt	For	For	For

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8	(Additional) Authority to Issue Shares for Cash (General)	Mgmt	For	For	For
9	Approve Financial Assistance (ESOP)	Mgmt	For	For	For

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Naspers Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NPN	CINS S53435103	08/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Approve Allocation of Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	Abstain	Against
4	Elect Hendrik du Toit	Mgmt	For	For	For
5	Elect LIU Guijin	Mgmt	For	For	For
6	Re-elect Nolo Letele	Mgmt	For	For	For
7	Re-elect Roberto de Lima	Mgmt	For	Against	Against
8	Re-elect Cobus Stofberg	Mgmt	For	For	For
9	Re-elect Debra Meyer	Mgmt	For	For	For
10	Elect Audit Committee Members (Don Eriksson)	Mgmt	For	For	For
11	Elect Audit Committee Members (Ben van der Ross)	Mgmt	For	Against	Against
12	Elect Audit Committee Members (Rachel Jafta)	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	Against	Against
14	General Authority to Issue Shares	Mgmt	For	Against	Against
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For
17	Approve NEDs' Fees (Board - Chair)	Mgmt	For	For	For
18	Approve NEDs' Fees (Board - Member)	Mgmt	For	For	For
19	Approve NEDs' Fees (Audit Committee - Chair)	Mgmt	For	For	For
20	Approve NEDs' Fees (Audit Committee - Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Risk Committee - Chair)	Mgmt	For	For	For
22	Approve NEDs' Fees (Risk Committee -	Mgmt	For	For	For

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23	Member) Approve NEDs' Fees (Human Resources and Remuneration Committee - Chair)	Mgmt	For	For	For
24	Approve NEDs' Fees (Human Resources and Remuneration Committee - Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Nomination Committee - Chair)	Mgmt	For	For	For
26	Approve NEDs' Fees (Nomination Committee - Member)	Mgmt	For	For	For
27	Approve NEDs' Fees (Social and Ethics Committee - Chair)	Mgmt	For	For	For
28	Approve NEDs' Fees (Social and Ethics Committee - Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Trustee of Group Share Schemes/Other Personnel Funds)	Mgmt	For	For	For
30	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
31	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
32	Authority to Repurchase N Ordinary Shares	Mgmt	For	For	For
33	Authority to Repurchase A Ordinary Shares	Mgmt	For	Against	Against
34	Amendment to Memorandum	Mgmt	For	For	For

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Nedbank Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status
NED	CINS S5518R104	05/18/2017	Voted
Meeting Type	Country of Trade		
Annual	South Africa		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Errol Kruger	Mgmt	For
2	Elect Rob Leith	Mgmt	For
3	Re-elect Michael W.T. Brown	Mgmt	For
4	Re-elect Brian A. Dames	Mgmt	For
5	Re-elect Mantsika Matooane	Mgmt	For
6	Re-elect Joel K. Netshitenzhe	Mgmt	For

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7	Appointment of Auditor (Deloitte & Touche)	Mgmt	For	For	For
8	Appointment of Auditor (KPMG)	Mgmt	For	For	For
9	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
10	Authority to Issue Preference Shares	Mgmt	For	Against	Against
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
13	Approve NEDs' Fees (Lead Independent Director)	Mgmt	For	For	For
14	Approve NEDs' Fees (Director)	Mgmt	For	For	For
15	Approve NEDs' Fees (Audit Committee Chair)	Mgmt	For	For	For
16	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For
17	Approve NEDs' Fees (Credit Committee Chair)	Mgmt	For	For	For
18	Approve NEDs' Fees (Credit Committee Member)	Mgmt	For	For	For
19	Approve NEDs' Fees (Directors' Affairs Committee Chair)	Mgmt	For	For	For
20	Approve NEDs' Fees (Directors' Affairs Committee Member)	Mgmt	For	For	For
21	Approve NEDs' Fees (Information Technology Committee Chair)	Mgmt	For	For	For
22	Approve NEDs' Fees (Information Technology Committee Member)	Mgmt	For	For	For
23	Approve NEDs' Fees (Related-Party Transactions Committee Chair)	Mgmt	For	For	For
24	Approve NEDs' Fees (Related-Party Transactions Committee Member)	Mgmt	For	For	For
25	Approve NEDs' Fees (Remuneration Committee Chair)	Mgmt	For	For	For



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26	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For
27	Approve NEDs' Fees (Risk & Capital Management Committee Chair)	Mgmt	For	For	For
28	Approve NEDs' Fees (Risk & Capital Management Committee Member)	Mgmt	For	For	For
29	Approve NEDs' Fees (Transformation, Social & Ethics Committee Chair)	Mgmt	For	For	For
30	Approve NEDs' Fees (Transformation, Social & Ethics Committee Member)	Mgmt	For	For	For
31	Authority to Repurchase Shares	Mgmt	For	For	For
32	Approve Financial Assistance	Mgmt	For	For	For
33	Amendment to Memorandum	Mgmt	For	For	For
34	Specific Authority to Repurchase Shares (NedNamibia Stakeholder Schemes)	Mgmt	For	For	For
35	Authority to Issue Shares pursuant to NedNamibia Stakeholder Schemes	Mgmt	For	For	For

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Nestle Nigeria plc

Ticker	Security ID:	Meeting Date	Meeting Status		
NESTLE	CINS V6702N103	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	Abstain	Against
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
5	Election of Statutory Audit Committee Members	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against

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Netcare Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
NTC	CINS S5507D108	02/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Thevendrie Brewer	Mgmt	For	For	For
3	Re-elect Azar Jamine	Mgmt	For	For	For
4	Re-elect Norman Weltman	Mgmt	For	For	For
5	Elect Audit Committee Member (Thevendrie Brewer)	Mgmt	For	For	For
6	Elect Audit Committee Member (Mark Bower)	Mgmt	For	For	For
7	Elect Audit Committee Member (Azar Jamine)	Mgmt	For	For	For
8	Elect Audit Committee Member (Norman Weltman)	Mgmt	For	Against	Against
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	APPROVE REMUNERATION POLICY	Mgmt	For	For	For
11	Authorisation of Legal Formalities	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Ticker	Security ID:	Meeting Date	Meeting Status		
NB	CINS V6722M101	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	Nigeria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors (Slate)	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
5	Election of Statutory Audit Committee Members	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Abstain	Against
8	Increase in Authorised Capital	Mgmt	For	For	For
9	Scrip Dividend	Mgmt	For	For	For

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Old Mutual plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
OML	CINS G67395114	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Mike Arnold	Mgmt	For	For	For
3	Elect Zoe Cruz	Mgmt	For	For	For
4	Elect Alan Gillespie	Mgmt	For	For	For
5	Elect Danuta Gray	Mgmt	For	For	For
6	Elect Bruce Hemphill	Mgmt	For	For	For
7	Elect Adiba Ighodaro	Mgmt	For	For	For
8	Elect Ingrid Johnson	Mgmt	For	For	For
9	Elect Trevor Manuel	Mgmt	For	For	For
10	Elect Roger Marshall	Mgmt	For	For	For
11	Elect Nkosana Moyo	Mgmt	For	Against	Against
12	Elect Vassi Naidoo	Mgmt	For	Against	Against
13	Elect Nonkululeko Nyembezi-Heita	Mgmt	For	For	For
14	Elect Patrick O'Sullivan	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Repurchase Shares Off-Market (Contingent Purchase Contracts)	Mgmt	For	For	For

Pick N Pay Stores Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
PIK	CINS S60947108	07/25/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Memorandum	Mgmt	For	Against	Against
2	Allotment and Issue of B Shares to the Controlling Shareholders	Mgmt	For	Against	Against
3	Approve Amendments to the Employee Share Schemes	Mgmt	For	Against	Against
4	Authorisation of Legal Formalities	Mgmt	For	Against	Against

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Pick N Pay Stores Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
PIK	CINS S60947108	07/25/2016	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Re-elect Hugh S. Herman	Mgmt	For	For	For	
3	Re-elect Lorato Phalatse	Mgmt	For	For	For	
4	Re-elect Jeff van Rooyen	Mgmt	For	For	For	
5	Re-elect David Friedland	Mgmt	For	For	For	
6	Elect Audit Committee Member (Jeff van Rooyen)	Mgmt	For	For	For	
7	Elect Audit Committee Member (Hugh Herman)	Mgmt	For	For	For	
8	Elect Audit Committee Member (Audrey Mothupi)	Mgmt	For	For	For	
9	Elect Audit Committee Member (David Friedland)	Mgmt	For	For	For	
10	Approve Remuneration Policy	Mgmt	For	For	For	
11	Approve NEDs' Fees	Mgmt	For	For	For	
12	Approve Financial Assistance (Related or inter-related companies)	Mgmt	For	For	For	
13	Approve Financial Assistance (Persons)	Mgmt	For	For	For	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Authorisation of Legal Formalities	Mgmt	For	For	For	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Pioneer Food Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
PFG	CINS S6279F107	02/10/2017	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Authority to Issue Shares for Cash	Mgmt	For	For	For	
3	Re-elect Abdus S.M. Karaan	Mgmt	For	For	For	
4	Re-elect Gerrit B. Pretorius	Mgmt	For	For	For	
5	Re-elect Andile Sangqu	Mgmt	For	For	For	

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6	Re-elect Norman Celliers	Mgmt	For	For	For
7	Elect Lindiwe Mthimunye-Bakoro	Mgmt	For	For	For
8	Elect Audit Committee Member (Norman Thomson)	Mgmt	For	For	For
9	Elect Audit Committee Member (Sango Ntsaluba)	Mgmt	For	For	For
10	Elect Audit Committee Member (Lindiwe Mthimunye-Bakoro)	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
14	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Amendment to Memorandum	Mgmt	For	For	For

PSG Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PSG	CINS S5959A107	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Patrick Burton	Mgmt	For	For	For
2	Re-elect Francois Gouws	Mgmt	For	Against	Against
3	Re-elect Markus Jooste	Mgmt	For	For	For
4	Elect Audit and Risk Committee Member (Patrick Burton)	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Bridgitte Mathews)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Chris Otto)	Mgmt	For	Against	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Approve Remuneration Policy	Mgmt	For	Against	Against
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Approve NEDs' Fees	Mgmt	For	For	For
11	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
12	Approve Financial Assistance (Section 44)	Mgmt	For	For	For

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13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Rand Merchant Investment Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMI	CINS S6815J118	11/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Johan P. Burger	Mgmt	For	For	For
2	Re-elect Peter Cooper	Mgmt	For	Against	Against
3	Re-elect Per-Erik (Per) Lagerstrom	Mgmt	For	Against	Against
4	Re-elect Mafison (Murphy) Morobe	Mgmt	For	For	For
5	Re-lect Khehla C. Shubane	Mgmt	For	For	For
6	Elect Francois (Faffa) Knoetze	Mgmt	For	Against	Against
7	Approve Remuneration Policy	Mgmt	For	Against	Against
8	General Authority to Issue Shares	Mgmt	For	For	For
9	General Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	Against	Against
11	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
12	Elect Audit and Risk Committee Member (Jan Dreyer)	Mgmt	For	Against	Against
13	Elect Audit and Risk Committee Member (Per Lagerstrom)	Mgmt	For	Against	Against
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Adopt New Memorandum	Mgmt	For	For	For

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Randgold Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRS	CUSIP 752344309	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For

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4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For
6	Elect Mark Bristow	Mgmt	For	For	For
7	Elect Christopher Coleman	Mgmt	For	For	For
8	Elect Jamil Kassum	Mgmt	For	For	For
9	Elect Olivia F. Kirtley	Mgmt	For	For	For
10	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For
11	Elect Andrew Quinn	Mgmt	For	For	For
12	Elect Graham Shuttleworth	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Share Grants (Non-Executive Directors)	Mgmt	For	For	For
17	Share Grants (Senior Independent Director)	Mgmt	For	For	For
18	Share Grants (Chair)	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

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Redefine Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RDF	CINS S6815L196	02/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bridgitte Mathews	Mgmt	For	For	For
2	Re-elect Bernard Nackan	Mgmt	For	For	For
3	Elect Audit and Risk Committee Member (Phumzile Langeni)	Mgmt	For	Against	Against
4	Elect Audit and Risk Committee Member (Bernard Nackan)	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (David Nathan)	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	General Authority to Issue Shares	Mgmt	For	For	For
8	Authority to Issue	Mgmt	For	For	For

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	Shares for Cash				
9	Authority to Issue Shares Pursuant to Reinvestment Options	Mgmt	For	Abstain	Against
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Authorisation of Legal Formalities	Mgmt	For	For	For
12	Approve NEDs' Fees	Mgmt	For	For	For
13	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
14	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Increase Authorised Capital	Mgmt	For	For	For
17	Adopt New Memorandum	Mgmt	For	For	For

Remgro Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REM	CINS S6873K106	08/16/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Ordinary	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Increase Authorised Capital	Mgmt	For	For	For
2	Amendment to Memorandum	Mgmt	For	For	For
3	General Authority to Issue Ordinary Shares and B Ordinary Shares	Mgmt	For	For	For
4	Approve Issue of Securities (B Ordinary Shares to Rupert Beleggings)	Mgmt	For	For	For

Remgro Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REM	CINS S6873K106	12/01/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Jan (Jannie) J. Durand	Mgmt	For	For	For
4	Re-elect Paul K. Harris	Mgmt	For	For	For
5	Re-elect Edwin de la H. Hertzog	Mgmt	For	For	For



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6	Re-elect Josua (Dillie) Malherbe	Mgmt	For	For	For
7	Re-elect Murphy M. Morobe	Mgmt	For	For	For
8	Elect Neville Williams	Mgmt	For	For	For
9	Election of Audit and Risk Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
10	Election of Audit and Risk Committee Member (Phillip Moleketi)	Mgmt	For	For	For
11	Election of Audit and Risk Committee Member (Fred Robertson)	Mgmt	For	For	For
12	Election of Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
16	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
17	Amendments to Memorandum	Mgmt	For	For	For

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Resilient REIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RES	CINS S6917M282	10/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect Protas Phili	Mgmt	For	For	For
2	Elect Barry Stuhler	Mgmt	For	For	For
3	Elect Dawn Marole	Mgmt	For	For	For
4	Re-elect Des de Beer	Mgmt	For	For	For
5	Re-elect Jacobus Kriek	Mgmt	For	For	For
6	Re-elect Barry van Wyk	Mgmt	For	For	For
7	Elect Audit Committee Member (Bryan Hopkins)	Mgmt	For	For	For
8	Elect Audit Committee Member (Barry van Wyk)	Mgmt	For	For	For
9	Elect Audit Committee Member (Protas Phili)	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	Authority to Issue	Mgmt	For	For	For

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	Shares for Cash					
13	Authority to Issue Shares Pursuant to the BEE Scheme	Mgmt	For	For	For	
14	Approve Remuneration Policy	Mgmt	For	Against	Against	
15	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	
16	Authority to Repurchase Shares	Mgmt	For	For	For	
17	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	
18	Approve NEDs' Fees	Mgmt	For	For	For	
19	Authorisation of Legal Formalities	Mgmt	For	For	For	
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

RMB Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMH	CINS S6992P127	11/24/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Gerrit Ferreira	Mgmt	For	For	For
2	Re-elect Johan Burger	Mgmt	For	Against	Against
3	Re-elect Sonja Sebotsa	Mgmt	For	For	For
4	Re-elect Pat Goss	Mgmt	For	Against	Against
5	Re-elect Khehla Shubane	Mgmt	For	Against	Against
6	Elect Faffa Knoetze	Mgmt	For	For	For
7	Approve Remuneration Policy	Mgmt	For	Against	Against
8	General Authority to Issue Shares	Mgmt	For	For	For
9	Authority to Issue Shares for Cash	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Elect Audit and Risk Committee Member (Jan Dreyer)	Mgmt	For	Against	Against
12	Elect Audit and Risk Committee Member (Sonja Sebotsa)	Mgmt	For	Against	Against
13	Elect Audit and Risk Committee Member (Per Lagerstrom)	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Adopt New Memorandum	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Rockcastle Global Real Estate Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
ROCK	CINS V7688C103	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Mark Olivier	Mgmt	For	For	For
3	Elect Spiro Noussis	Mgmt	For	For	For
4	Elect Nick Matulovich	Mgmt	For	For	For
5	Elect Rory Kirk	Mgmt	For	For	For
6	Elect Yan Ng	Mgmt	For	For	For
7	Elect Andre van der Veer	Mgmt	For	For	For
8	Elect Barry L. Stuhler	Mgmt	For	For	For
9	Elect Karen Bodenstein	Mgmt	For	For	For
10	Elect Marek Noetzel	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Authority to Issue Share w/ or w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Rockcastle Global Real Estate Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
ROCK	CINS V7688C103	11/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mark Olivier	Mgmt	For	For	For
2	Elect Spiro Noussis	Mgmt	For	For	For
3	Elect Nick Matulovich	Mgmt	For	For	For
4	Elect Rory Kirk	Mgmt	For	For	For
5	Elect Yan Ng	Mgmt	For	For	For
6	Elect Andre van der Veer	Mgmt	For	For	For
7	Elect Barry L. Stuhler	Mgmt	For	For	For
8	Elect Karen Bodenstein	Mgmt	For	For	For
9	Elect Marek Noetzel	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	Abstain	Against
11	Authority to Set Auditor's Fees	Mgmt	For	Abstain	Against
12	Directors' Fees	Mgmt	For	For	For
13	Authority to Issue Authorised but Unissued Shares	Mgmt	For	Abstain	Against

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14	Authority to Issue Shares for Cash	Mgmt	For	For	For
15	Transaction of Other Business	Mgmt	For	Against	Against
16	Authority to Repurchase Shares	Mgmt	For	For	For

Royal Caribbean Cruises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RCL	CUSIP V7780T103	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Brock	Mgmt	For	For	For
2	Elect Richard D. Fain	Mgmt	For	For	For
3	Elect William L. Kimsey	Mgmt	For	For	For
4	Elect Maritza Gomez Montiel	Mgmt	For	For	For
5	Elect Ann S. Moore	Mgmt	For	For	For
6	Elect Eyal M. Ofer	Mgmt	For	For	For
7	Elect Thomas J. Pritzker	Mgmt	For	For	For
8	Elect William K. Reilly	Mgmt	For	For	For
9	Elect Bernt Reitan	Mgmt	For	For	For
10	Elect Vagn Sorensen	Mgmt	For	For	For
11	Elect Donald Thompson	Mgmt	For	For	For
12	Elect Arne Alexander Wilhelmsen	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For

Safaricom Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SCOM	CINS V74587102	09/02/2016	Voted		
Meeting Type	Country of Trade				
Annual	Kenya				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Approve Special Dividend	Mgmt	For	For	For
4	Elect Susan Mudhune	Mgmt	For	Against	Against
5	Elect Michael Joseph	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Sanlam Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SLM	CINS S7302C137	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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						Mgmt
1	Accounts and Reports	Mgmt	For	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For	For
3	Elect Paul Hanratty	Mgmt	For	For	For	For
4	Re-elect Manana Bakane-Tuoane	Mgmt	For	For	For	For
5	Re-elect Mohammed V. Moosa	Mgmt	For	For	For	For
6	Re-elect Sipho A. Nkosi	Mgmt	For	For	For	For
7	Re-elect Polelo (Lazarus) Zim	Mgmt	For	For	For	For
8	Re-elect Yaganthrie Ramiah	Mgmt	For	For	For	For
9	Elect Heinie Carl Werth	Mgmt	For	For	For	For
10	Elect Audit, Actuarial & Finance Committee Member (Anton D. Botha)	Mgmt	For	For	For	For
11	Elect Audit, Actuarial & Finance Committee Member (Paul Hanratty)	Mgmt	For	For	For	For
12	Elect Audit, Actuarial & Finance Committee Member (Karabo T. Nondumo)	Mgmt	For	For	For	For
13	Elect Audit, Actuarial & Finance Committee Member (Philip de Villiers Rademeyer)	Mgmt	For	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For	For
15	Ratify NEDs' Fees	Mgmt	For	For	For	For
16	General Authority to Issue Shares	Mgmt	For	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
18	Authorisation of Legal Formalities	Mgmt	For	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For	For
20	Approve Financial Assistance (Section 44)	Mgmt	For	For	For	For
21	Approve Financial Assistance (Section 45)	Mgmt	For	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For	For

Sappi Limited

Ticker Security ID:  
SAP CINS S73544108

Meeting Date  
02/08/2017

Meeting Status  
Voted

Meeting Type  
Annual

Country of Trade  
South Africa

Issue No. Description

Proponent Mgmt Rec

Vote Cast For/Against  
Mgmt

1	Accounts and Reports	Mgmt	For	For	For	For
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2	Re-elect Michael A. Fallon	Mgmt	For	For	For
3	Re-elect Deenadayalen (Len) Konar	Mgmt	For	For	For
4	Re-elect John D. McKenzie	Mgmt	For	For	For
5	Re-elect Glen T. Pearce	Mgmt	For	For	For
6	Elect Audit Committee Member (Deenadayalen Konar)	Mgmt	For	For	For
7	Elect Audit Committee Member (Michael Fallon)	Mgmt	For	For	For
8	Elect Audit Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
9	Elect Audit Committee Member (Karen Osar)	Mgmt	For	For	For
10	Elect Audit Committee Member (Robertus Renders)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Issue Shares Pursuant to Incentive Plan	Mgmt	For	For	For
13	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For

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Sasol Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SOL	CUSIP 803866300	11/25/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Re-elect Colin Beggs	Mgmt	For
2	Re-elect Henk Dijkgraaf	Mgmt	For
3	Re-elect JJ Njeke	Mgmt	For
4	Re-elect Bongani Nqwababa	Mgmt	For
5	Re-elect Peter Robertson	Mgmt	For
6	Elect Stephen Cornell	Mgmt	For
7	Elect Manuel Cuambe	Mgmt	For
8	Elect Paul Victor	Mgmt	For
9	Appointment of Auditor	Mgmt	For
10	Elect Audit Committee Member (Colin Beggs)	Mgmt	For
11	Elect Audit Committee Member (Nomgando)	Mgmt	For

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12	Matyumza) Elect Audit Committee Member (Imogen Mkhize)	Mgmt	For	For	For
13	Elect Audit Committee Member (Johnson Njeke)	Mgmt	For	For	For
14	Elect Audit Committee Member (Stephen Westwell)	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For
16	Approve Long-Term Incentive Plan	Mgmt	For	For	For
17	Authority to Issue Shares Pursuant to the Long-Term Incentive Plan	Mgmt	For	For	For
18	Approve NEDs' Fees	Mgmt	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For
20	Amendments to Memorandum (Clause 9.1)	Mgmt	For	For	For
21	Amendments to Memorandum (Clause 22.2)	Mgmt	For	For	For
22	Amendment to Memorandum (Clause 23.1.12)	Mgmt	For	For	For
23	General Authority to Repurchase Shares	Mgmt	For	For	For
24	Specific Authority to Repurchase Shares	Mgmt	For	For	For

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### SEMAFO Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMF	CINS 816922108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Terence F. Bowles	Mgmt	For	For	For
3	Elect Benoit Desormeaux	Mgmt	For	For	For
4	Elect Flore Konan	Mgmt	For	For	For
5	Elect Jean Lamarre	Mgmt	For	For	For
6	Elect John LeBoutillier	Mgmt	For	For	For
7	Elect Gilles Masson	Mgmt	For	For	For
8	Elect Lawrence I. McBrearty	Mgmt	For	For	For
9	Elect Tertius Zongo	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendment to Shareholder Rights Plan	Mgmt	For	For	For

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12	Advance Notice Provision	Mgmt	For	For	For
13	Advisory Resolution on Approach to Executive Compensation	Mgmt	For	For	For

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Shoprite Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SHP	CINS S76263102	10/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Anna Mokgokong	Mgmt	For	For	For
4	Re-elect Johannes Basson	Mgmt	For	For	For
5	Re-elect Jimmy Fouche	Mgmt	For	For	For
6	Re-elect Joseph Rock	Mgmt	For	For	For
7	Elect Audit and Risk Committee member (Johannes Basson)	Mgmt	For	For	For
8	Elect Audit and Risk Committee member (Jacobus Louw)	Mgmt	For	Against	Against
9	Elect Audit and Risk Committee member (Jimmy Fouche)	Mgmt	For	For	For
10	Elect Audit and Risk Committee member (Joseph Rock)	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Authorisation of Legal Formalities	Mgmt	For	For	For
14	APPROVE REMUNERATION POLICY	Mgmt	For	Against	Against
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
17	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Amendments to Memorandum (Clauses 9.3 to 9.6)	Mgmt	For	For	For
20	Amendments to Memorandum (Clause	Mgmt	For	For	For



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21	15) Amendments to Memorandum (Clauses 1.2.24, 1.2.25 and 48)	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve Transaction	Mgmt	For	Against	Against
2	Authority to Increase Authorised Capital	Mgmt	For	Against	Against
3	Amendment to Memorandum	Mgmt	For	Against	Against
4	Authority to Issue Shares Pursuant to Transaction (Section 41)	Mgmt	For	Against	Against
5	Authority to Issue Shares Pursuant to the Proposed Rights Offer	Mgmt	For	Against	Against
6	Waiver of Mandatory Offer	Mgmt	For	Against	Against
7	Authority to Issue Shares for Cash	Mgmt	For	For	For

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Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CUSIP 825724206	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Chris Chadwick	Mgmt	For	For	For
3	Re-elect Robert CHAN Tze Leung	Mgmt	For	For	For
4	Re-elect Timothy Cumming	Mgmt	For	For	For
5	Re-elect Charl Keyter	Mgmt	For	For	For
6	Re-elect Sello Moloko	Mgmt	For	For	For
7	Elect Audit Committee Member (Keith Rayner)	Mgmt	For	For	For
8	Elect Audit Committee Member (Rick Menell)	Mgmt	For	For	For
9	Elect Audit Committee Member (Nkosemntu Nika)	Mgmt	For	For	For
10	Elect Audit Committee Member (Sue van der Merwe)	Mgmt	For	For	For
11	General Authority to	Mgmt	For	For	For

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	Issue				
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve 2017 Share Plan	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Standard Bank Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SBK	CINS S80605140	05/26/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Geraldine Fraser-Moleketi	Mgmt	For	For	For
3	Elect Trix Kennealy	Mgmt	For	For	For
4	Elect Jacko Maree	Mgmt	For	For	For
5	Elect Nomgando Matyumza	Mgmt	For	For	For
6	Re-elect Andre Parker	Mgmt	For	For	For
7	Re-elect Swazi Tshabalala	Mgmt	For	For	For
8	Elect John Vice	Mgmt	For	For	For
9	Appointment of Auditor (KPMG)	Mgmt	For	For	For
10	Appointment of Auditor (PricewaterhouseCoopers)	Mgmt	For	For	For
11	General Authority to Issue Ordinary Shares	Mgmt	For	For	For
12	General Authority to Issue Preference Shares	Mgmt	For	Against	Against
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
15	Approve NEDs' Fees (NED)	Mgmt	For	For	For
16	Approve NEDs' Fees (International NED)	Mgmt	For	For	For
17	Approve NEDs' Fees (Directors' Affairs Committee Member)	Mgmt	For	For	For
18	Approve NEDs' Fees (Risk and Capital Management Committee Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Risk and Capital	Mgmt	For	For	For

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	Management Committee Member)					
20	Approve NEDs' Fees (Remuneration Committee Chairman)	Mgmt	For	For	For	
21	Approve NEDs' Fees (Remuneration Committee Member)	Mgmt	For	For	For	
22	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For	
23	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For	
24	Approve NEDs' Fees (Audit Committee Chairman)	Mgmt	For	For	For	
25	Approve NEDs' Fees (Audit Committee Member)	Mgmt	For	For	For	
26	Approve NEDs' Fees (IT Committee Chairman)	Mgmt	For	For	For	
27	Approve NEDs' Fees (IT Committee Member)	Mgmt	For	For	For	
28	Approve NEDs' Fees (Model Approval Committee Chairman)	Mgmt	For	For	For	
29	Approve NEDs' Fees (Model Approval Committee Member)	Mgmt	For	For	For	
30	Approve NEDs' Fees (Ad Hoc Meeting Attendance)	Mgmt	For	For	For	
31	Authority to Repurchase Ordinary Shares	Mgmt	For	For	For	
32	Authority to Repurchase Preference Shares	Mgmt	For	For	For	
33	Approve Financial Assistance	Mgmt	For	For	For	
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Steinhoff International Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SNH	CINS N8248H102	03/14/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Netherlands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
2	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Accounts and Reports	Mgmt	For	TNA	N/A

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5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Ratification of Management Acts	Mgmt	For	TNA	N/A
8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
9	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
10	Elect Claas E. Daun	Mgmt	For	TNA	N/A
11	Elect Bruno E. Steinhoff	Mgmt	For	TNA	N/A
12	Elect Christo Wiese	Mgmt	For	TNA	N/A
13	Elect Jayendra Naidoo	Mgmt	For	TNA	N/A
14	Supervisory Board Fees	Mgmt	For	TNA	N/A
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	TNA	N/A
16	Authority to Suppress Preemptive Rights	Mgmt	For	TNA	N/A
17	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
18	Appointment of Auditor	Mgmt	For	TNA	N/A
19	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
20	Non-Voting Agenda Item	N/A	N/A	TNA	N/A

Talaat Moustafa Group (TMG) Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
TMGH	CINS M8763H108	03/25/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Board Changes	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Telkom SA SOC Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TKG	CINS S84197102	08/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Jabu Mabuza	Mgmt	For	For	For
2	Re-elect Navin Kapila	Mgmt	For	For	For
3	Re-elect Itumeleng	Mgmt	For	For	For

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4	Re-elect Kgaboesele Kholeka W. Mzondeki	Mgmt	For	For	For
5	Elect Audit Committee Member (Itumeleng Kgaboesele)	Mgmt	For	For	For
6	Elect Audit Committee Member (Kholeka Mzondeki)	Mgmt	For	For	For
7	Elect Audit Committee Member (Louis von Zeuner)	Mgmt	For	For	For
8	Elect Audit Committee Member (Thembisa Dingaan)	Mgmt	For	For	For
9	Elect Audit Committee Member (Rex Tomlinson)	Mgmt	For	For	For
10	Appointment of Auditor (Ernst & Young)	Mgmt	For	For	For
11	Appointment of Joint Auditor (Nkonki)	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For

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The Bidvest Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BVT	CINS S1201R162	11/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	Abstain	Against
2	Elect Hans Peter Meijer	Mgmt	For	For	For
3	Re-elect Brian Joffe	Mgmt	For	For	For
4	Re-lect Anthony W. Dawe	Mgmt	For	For	For
5	Re-elect Nompumelelo T. Madisa	Mgmt	For	For	For
6	Re-elect Sibongile Masinga	Mgmt	For	For	For
7	Elect Audit Committee Member (Eric Diack)	Mgmt	For	For	For
8	Elect Audit Committee Member (Sibongile Masinga)	Mgmt	For	For	For
9	Elect Audit Committee	Mgmt	For	Against	Against

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	Member (Nigel Payne)					
10	Approve Remuneration Policy (Base Pay)	Mgmt	For	For	For	For
11	Approve Remuneration Policy (Short-Term Incentives)	Mgmt	For	For	For	For
12	Approve Remuneration Policy (Long-Term Incentives)	Mgmt	For	For	For	For
13	General Authority to Issue Shares	Mgmt	For	For	For	For
14	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
15	Approve Allocation to Distribute Share Capital or Premium	Mgmt	For	For	For	For
16	Authority to Create and Issue Convertible Debentures	Mgmt	For	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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The Foschini Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TFG	CINS S29260155	09/06/2016	Voted			
Meeting Type	Country of Trade					
Annual	South Africa					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Appointment of Auditor	Mgmt	For	For	For	
3	Re-elect Samuel (Sam) E. Abrahams	Mgmt	For	For	For	
4	Re-elect Michael Lewis	Mgmt	For	For	For	
5	Re-elect Fatima Abrahams	Mgmt	For	For	For	
6	Elect Graham H. Davin	Mgmt	For	For	For	
7	Election of Audit Committee Member (Sam Abrahams)	Mgmt	For	For	For	
8	Election of Audit Committee Member (Tumi Makgabo-Fiskerstrand)	Mgmt	For	For	For	
9	Election of Audit Committee Member (Eddy Oblowitz)	Mgmt	For	For	For	

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10	Election of Audit Committee Member (Nomahlubi Simamane)	Mgmt	For	For	For
11	Election of Audit Committee Member (David Friedland)	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For

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The Spar Group Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
SPP	CINS S8050H104	02/07/2017	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Re-elect Mziwakhe P. Madi	Mgmt	For		For	For
2	Re-elect Harish K. Mehta	Mgmt	For		For	For
3	Appointment of Auditor	Mgmt	For		For	For
4	Elect Audit Committee Member (Chris F. Wells)	Mgmt	For		For	For
5	Elect Audit Committee Member (Harish K. Mehta)	Mgmt	For		For	For
6	Elect Audit Committee Member (Marang Mashologu)	Mgmt	For		For	For
7	Authority to Issue Shares Pursuant to the Employee Share Trust	Mgmt	For		For	For
8	Authority to Issue Shares Pursuant to the Conditional Share Plan	Mgmt	For		For	For
9	Amendments to Memorandum	Mgmt	For		For	For
10	Approve Financial Assistance	Mgmt	For		For	For
11	Approve NEDs' Fees	Mgmt	For		For	For
12	Approve Remuneration Policy	Mgmt	For		For	For

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Tiger Brands Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
TBS	CINS S84594142	02/21/2017	Voted			
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Emma Mashilwane	Mgmt	For	For	For
2	Elect Kevin A. Hedderwick	Mgmt	For	For	For
3	Elect Lawrence Mac Dougall	Mgmt	For	For	For
4	Re-elect Maya Makanjee	Mgmt	For	For	For
5	Re-elect Rob Nisbet	Mgmt	For	For	For
6	Re-elect Makhup Nyama	Mgmt	For	For	For
7	Elect Audit Committee Member (Rob Nisbet)	Mgmt	For	For	For
8	Elect Audit Committee Member (Emma Mashilwane)	Mgmt	For	For	For
9	Elect Audit Committee Member (Yunus Suleman)	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	Against	Against
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	Approve Financial Assistance	Mgmt	For	For	For
14	Approve NEDs' Fees (NEDs)	Mgmt	For	For	For
15	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
16	Approve NEDs' Fees (Deputy Chairman)	Mgmt	For	For	For
17	Approve NEDs' Fees (Committees)	Mgmt	For	For	For
18	Approve NEDs' Fees (Special Meetings and Extraordinary Work)	Mgmt	For	For	For
19	Approve NEDs' Fees (Non-resident NED)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

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Truworths International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TRU	CINS S8793H130	11/03/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Tony Taylor	Mgmt	For	For	For
3	Re-elect Rob Dow	Mgmt	For	For	For
4	Re-elect Khutso Mampeule	Mgmt	For	For	For
5	Elect Doug Dare	Mgmt	For	For	For
6	Authority to Issue Shares for Cash	Mgmt	For	For	For
7	Authority to	Mgmt	For	For	For



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	Repurchase Shares					
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Approve NEDs' Fees	Mgmt	For	For	For	
10	Elect Audit Committee Member (Michael Thompson)	Mgmt	For	For	For	
11	Elect Audit Committee Member (Rob Dow)	Mgmt	For	For	For	
12	Elect Audit Committee Member (Roderick Sparks)	Mgmt	For	For	For	
13	Approve Remuneration Policy	Mgmt	For	For	For	
14	Approve Social and Ethics Committee Report	Mgmt	For	For	For	
15	Elect Social and Ethics Committee Member (Michael Thompson)	Mgmt	For	For	For	
16	Elect Social and Ethics Committee Member (Thandi Ndlovu)	Mgmt	For	For	For	
17	Elect Social and Ethics Committee Member (David Pfaff)	Mgmt	For	For	For	
18	Approve Financial Assistance	Mgmt	For	For	For	

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Tullow Oil Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
TLW	CINS G91235104	04/05/2017	Voted			
Meeting Type		Country of Trade				
Ordinary		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approve Rights Issue	Mgmt	For	For	For	
2	Authority to Issue Shares (Rights Issue)	Mgmt	For	For	For	
3	Disapplication of Preemption Rights (Rights Issue)	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Tullow Oil Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
TLW	CINS G91235104	04/26/2017	Voted			
Meeting Type		Country of Trade				
Annual		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Tutu Agyare	Mgmt	For	For	For
5	Elect Mike Daly	Mgmt	For	For	For
6	Elect Anne Drinkwater	Mgmt	For	For	For
7	Elect Aidan Heavey	Mgmt	For	For	For
8	Elect Stephen Lucas	Mgmt	For	For	For
9	Elect Angus McCoss	Mgmt	For	For	For
10	Elect Paul McDade	Mgmt	For	For	For
11	Elect Ian Springett	Mgmt	For	For	For
12	Elect Jeremy R. Wilson	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Amendments to the Tullow Incentive Plan	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
19	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

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Vodacom Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
VOD	CINS S9453B108	07/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Till Streichert	Mgmt	For	For	For
3	Elect Marten Pieters	Mgmt	For	For	For
4	Re-elect Serpil Timuray	Mgmt	For	For	For
5	Re-elect John W.L. Otty	Mgmt	For	For	For
6	Re-elect Phillip J. Moleketi	Mgmt	For	For	For
7	Re-elect Mohamed S.A. Joosub	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	For	For
10	Elect Audit, Risk and Compliance Committee Member (David	Mgmt	For	For	For

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11	Brown) Elect Audit, Risk and Compliance Committee Member (Phillip Moleketi)	Mgmt	For	For	For
12	Elect Audit, Risk and Compliance Committee Member (Bafeleang Mabelane)	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Approve Financial Assistance	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Woolworths Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WHL	CINS S98758121	11/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Peter Bacon	Mgmt	For	For	For
2	Re-elect Reeza Isaacs	Mgmt	For	For	For
3	Re-elect Ian Moir	Mgmt	For	For	For
4	Re-elect Stuart Rose	Mgmt	For	Against	Against
5	Re-elect Simon Susman	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Audit Committee Member (Patrick Allaway)	Mgmt	For	For	For
8	Elect Audit Committee Member (Peter Bacon)	Mgmt	For	For	For
9	Elect Audit Committee Member (Zarina Bassa)	Mgmt	For	Against	Against
10	Elect Audit Committee Member (Hubert Brody)	Mgmt	For	For	For
11	Elect Audit Committee Member (Andrew Higginson)	Mgmt	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For
13	Ratify NEDs' Fees (Zarina Bassa)	Mgmt	For	For	For
14	Ratify NEDs' Fees (Hubert Brody)	Mgmt	For	For	For
15	Increase NEDs' Fees	Mgmt	For	For	For
16	Amendments to Memorandum	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Approve Financial Assistance	Mgmt	For	For	For
19	Authority to Issue	Mgmt	For	For	For

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Shares Pursuant to Scheme					
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Zenith Bank plc					
Ticker	Security ID:	Meeting Date		Meeting Status	
ZENITHBANK	CINS V9T871109	03/22/2017		Voted	
Meeting Type		Country of Trade			
Annual		Nigeria			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Umar Ahmed	Mgmt	For	For	For
5	Elect Olusola Oladipo	Mgmt	For	For	For
6	Elect Chukuka S. Enwemeka	Mgmt	For	For	For
7	Elect Alhaji B. Tela	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
9	Election of Statutory Audit Committee Members	Mgmt	For	For	For
10	Capital Restructure	Mgmt	For	Abstain	Against
11	Directors' Fees	Mgmt	For	For	For
12	Amendments to Articles (Capital Restructure)	Mgmt	For	Abstain	Against
Fund Name : VanEck Vectors Agribusiness ETF					

AGCO Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
AGCO	CUSIP 001084102	04/27/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roy V. Armes	Mgmt	For	For	For
2	Elect Michael C. Arnold	Mgmt	For	For	For
3	Elect P. George Benson	Mgmt	For	For	For
4	Elect Wolfgang Deml	Mgmt	For	For	For
5	Elect George E. Minnich	Mgmt	For	For	For
6	Elect Martin H. Richenhagen	Mgmt	For	For	For
7	Elect Gerald L. Shaheen	Mgmt	For	For	For
8	Elect Mallika Srinivasan	Mgmt	For	For	For
9	Elect Hendrikus Visser	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Agrum Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
AGU	CUSIP 008916108	05/02/2017		Voted	

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Maura J. Clark	Mgmt	For	For	For
1.2	Elect David C. Everitt	Mgmt	For	For	For
1.3	Elect Russell K. Girling	Mgmt	For	For	For
1.4	Elect Russell J. Horner	Mgmt	For	For	For
1.5	Elect Miranda C. Hubbs	Mgmt	For	For	For
1.6	Elect Charles V. Magro	Mgmt	For	For	For
1.7	Elect A. Anne McLellan	Mgmt	For	For	For
1.8	Elect Derek G. Pannell	Mgmt	For	For	For
1.9	Elect Mayo M. Schmidt	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Agrium Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGU	CUSIP 008916108	11/03/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

Archer-Daniels-Midland Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ADM	CUSIP 039483102	05/04/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Boeckmann	Mgmt	For	For	For
2	Elect Terrell K. Crews	Mgmt	For	For	For
3	Elect Pierre Dufour	Mgmt	For	For	For
4	Elect Donald E. Felsing	Mgmt	For	For	For
5	Elect Suzan F. Harrison	Mgmt	For	For	For
6	Elect Juan R. Luciano	Mgmt	For	For	For
7	Elect Patrick J. Moore	Mgmt	For	Against	Against
8	Elect Francisco Sanchez	Mgmt	For	For	For
9	Elect Debra A. Sandler	Mgmt	For	For	For
10	Elect Daniel Shih	Mgmt	For	For	For
11	Elect Kelvin R. Westbrook	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Bakkafrost P/F

Ticker	Security ID:	Meeting Date	Meeting Status
BAKKA	CINS K4002E115	04/07/2017	Take No Action
Meeting Type		Country of Trade	

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Annual Issue No.	Description	Norway Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Election of Presiding Chair	Mgmt	For	TNA	N/A
6	Report of the Board of Directors	Mgmt	For	TNA	N/A
7	Accounts and Reports	Mgmt	For	TNA	N/A
8	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
9	Election of Directors	Mgmt	For	TNA	N/A
10	Elect Runi M. Hansen as Chair	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee	Mgmt	For	TNA	N/A
13	Nomination Committee Fees	Mgmt	For	TNA	N/A
14	Appointment of Auditor	Mgmt	For	TNA	N/A
15	Amendments to Articles	Mgmt	For	TNA	N/A
16	Remuneration Guidelines	Mgmt	For	TNA	N/A
17	Transaction of Other Business	Mgmt	For	TNA	N/A
18	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Balchem Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
BCPC	CUSIP 057665200	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David B. Fischer	Mgmt	For	For	For
1.2	Elect Perry W. Premdas	Mgmt	For	For	For
1.3	Elect John Y. Televantos	Mgmt	For	For	For
2	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Bunge Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BG	CUSIP G16962105	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ernest G. Bachrach	Mgmt	For	For	For
2	Elect Enrique H. Boilini	Mgmt	For	For	For

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3	Elect Carol M. Browner	Mgmt	For	For	For
4	Elect Paul Cornet de Ways-Ruart	Mgmt	For	For	For
5	Elect Andrew A. Ferrier	Mgmt	For	For	For
6	Elect Andreas Fibig	Mgmt	For	For	For
7	Elect Kathleen Hyle	Mgmt	For	For	For
8	Elect L. Patrick Lupo	Mgmt	For	For	For
9	Elect John E. McGlade	Mgmt	For	For	For
10	Elect Soren Schroder	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Non-Employee Directors Equity Incentive Plan	Mgmt	For	For	For

CF Industries Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CF	CUSIP 125269100	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert C. Arzbaeher	Mgmt	For	For	For
2	Elect William Davisson	Mgmt	For	For	For
3	Elect Stephen A. Furbacher	Mgmt	For	For	For
4	Elect Stephen J. Hagge	Mgmt	For	For	For
5	Elect John D. Johnson	Mgmt	For	For	For
6	Elect Robert G. Kuhbach	Mgmt	For	For	For
7	Elect Anne P. Noonan	Mgmt	For	For	For
8	Elect Edward A. Schmitt	Mgmt	For	For	For
9	Elect Theresa E Wagler	Mgmt	For	For	For
10	Elect W. Anthony Will	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For

China Huishan Dairy Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6863	CINS G2117A106	08/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Peter KAN Yu	Mgmt	For	Against	Against

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	Leung					
6	Elect SONG Kungang	Mgmt	For	Against	Against	
7	Elect GU Ruixia	Mgmt	For	For	For	
8	Elect TSUI Kei Pang	Mgmt	For	For	For	
9	Directors' Fees	Mgmt	For	For	For	
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
12	Authority to Repurchase Shares	Mgmt	For	For	For	
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against	

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CNH Industrial N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
CNHI	CUSIP N20944109	04/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Elect Sergio Marchionne	Mgmt	For	For	For
5	Elect Richard Tobin	Mgmt	For	For	For
6	Elect Mina Gerowin	Mgmt	For	For	For
7	Elect Suzanne Heywood	Mgmt	For	For	For
8	Elect Leo W. Houle	Mgmt	For	For	For
9	Elect Peter Kalantzis	Mgmt	For	For	For
10	Elect John Lanaway	Mgmt	For	For	For
11	Elect Silke Christina Scheiber	Mgmt	For	For	For
12	Elect Guido Tabellini	Mgmt	For	For	For
13	Elect Jacqueline Tammenoms Bakker	Mgmt	For	For	For
14	Elect Jacques Theurillat	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Directors' Fees	Mgmt	For	For	For

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Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DE	CUSIP 244199105	02/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Samuel R. Allen	Mgmt	For	For	For
2	Elect Crandall C. Bowles	Mgmt	For	For	For
3	Elect Vance D. Coffman	Mgmt	For	For	For
4	Elect Alan C. Heuberger	Mgmt	For	For	For



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5	Elect Dipak C. Jain	Mgmt	For	For	For
6	Elect Michael O. Johanns	Mgmt	For	For	For
7	Elect Clayton M. Jones	Mgmt	For	For	For
8	Elect Brian M. Krzanich	Mgmt	For	For	For
9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sherry M. Smith	Mgmt	For	For	For
11	Elect Dmitri L. Stockton	Mgmt	For	For	For
12	Elect Sheila G. Talton	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

Felda Global Ventures Holdings Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
FGV	CINS Y2477B108	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Yahaya Abd Jabar	Mgmt	For	Against	Against
3	Elect Omar Salim	Mgmt	For	Against	Against
4	Elect Mohamed Suffian Awang	Mgmt	For	For	For
5	Directors' Fees for FY 2016	Mgmt	For	For	For
6	Directors' Fees for FY 2017	Mgmt	For	For	For
7	Directors' Benefits for FY 2017	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

First Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EB5	CINS Y2560F107	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ciliandra	Mgmt	For	Against	Against

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	Fangiono					
4	Elect HEE Theng Fong	Mgmt	For	Against	Against	
5	Elect TAN Seow Kheng	Mgmt	For	Against	Against	
6	Directors' Fees	Mgmt	For	For	For	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	

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FMC Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
FMC	CUSIP 302491303	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Pierre R. Brondeau	Mgmt	For	For	For
2	Elect Eduardo E. Cordeiro	Mgmt	For	For	For
3	Elect G. Peter D'Aloia	Mgmt	For	For	For
4	Elect C. Scott Greer	Mgmt	For	For	For
5	Elect K Lynne Johnson	Mgmt	For	For	For
6	Elect Dirk A. Kempthorne	Mgmt	For	For	For
7	Elect Paul J. Norris	Mgmt	For	For	For
8	Elect Margareth Ovrum	Mgmt	For	Against	Against
9	Elect Robert C. Pallash	Mgmt	For	For	For
10	Elect William H. Powell	Mgmt	For	For	For
11	Elect Vincent R. Volpe Jr.	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Amendment to the Incentive Compensation and Stock Plan	Mgmt	For	For	For

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Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect LEW Syn Pau	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0530	CINS G3968F137	05/29/2017	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of Office Premises Lease	Mgmt	For	For	For
4	Approval of Goldin Dining Lease	Mgmt	For	For	For

Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0530	CINS G3968F137	09/02/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	JV Agreement	Mgmt	For	For	For

Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0530	CINS G3968F137	11/01/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Joint Venture	Mgmt	For	For	For

Goldin Financial Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0530	CINS G3968F137	11/29/2016	Voted

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Meeting Type		Country of Trade			
Annual		Bermuda			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect HUANG Xiaojian	Mgmt	For	For	For
5	Elect ZHOU Xiaojun	Mgmt	For	For	For
6	Elect HOU Qin	Mgmt	For	For	For
7	Elect GAO Min	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Idexx Laboratories, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IDXX	CUSIP 45168D104	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rebecca M. Henderson	Mgmt	For	For	For
2	Elect Lawrence D. Kingsley	Mgmt	For	For	For
3	Elect Sophie V. Vandebroek	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Incitec Pivot Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IPL	CINS Q4887E101	12/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-Elect Kathryn J. Fagg	Mgmt	For	For	For
3	Equity Grant (MD/CEO James Fazzino)	Mgmt	For	For	For
4	Amendments to Constitution	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For

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IOI Corporation Berhad					
Ticker	Security ID:	Meeting Date	Meeting Status		
IOICORP	CINS Y41763106	10/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Karownakaran @ Karunakaran a/l Ramasamy	Mgmt	For	Against	Against
2	Elect LIM Tuang Ooi	Mgmt	For	Against	Against
3	Elect LEE Shin Cheng	Mgmt	For	For	For
4	Elect Peter CHIN Fah Kui	Mgmt	For	Against	Against
5	Directors' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Mandate for Recurrent Related Party Transactions	Mgmt	For	For	For

Israel Chemicals Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
ICL	CUSIP M5920A109	01/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Johanan Locker	Mgmt	For	For	For
2	Elect Avisar Paz	Mgmt	For	For	For
3	Elect Aviad Kaufman	Mgmt	For	For	For
4	Elect Ovadia Eli	Mgmt	For	For	For
5	Elect Geoffery E. Merszei	Mgmt	For	For	For
6	Elect Shimon Eckhaus	Mgmt	For	For	For
7	Elect Ron Moskovitz	Mgmt	For	For	For
8	Elect Sagi Kabla	Mgmt	For	For	For
9	Equity Grant to Unaffiliated Directors	Mgmt	For	For	For
10	Equity Grant to Affiliated Directors	Mgmt	For	For	For
11	Assignment of Compensation to Controlling Shareholders	Mgmt	For	For	For
12	Declaration of Personal Interest	Mgmt	N/A	Against	N/A
13	If you are an Interest Holder as defined in Section 1 of the Securities Law, 1968,	Mgmt	N/A	Against	N/A

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	vote FOR. Otherwise, vote against.					
14	Appointment of Auditor	Mgmt	For	For	For	
<hr/>						
Israel Chemicals Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
ICL	CUSIP M5920A109	08/29/2016		Voted		
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Compensation Policy	Mgmt	For	Against	Against	
2	Declaration of Controlling Ownership	Mgmt	N/A	For	N/A	
3	Declaration of Material Interest	Mgmt	N/A	For	N/A	
4	Elect Johanan Locker	Mgmt	For	For	For	
5	Compensation Terms and Equity Grant of Executive Chairman	Mgmt	For	Against	Against	
6	Equity Grant of CEO	Mgmt	For	Against	Against	
7	Declaration of Controlling Ownership	Mgmt	N/A	For	N/A	
8	Declaration of Material Interest	Mgmt	N/A	For	N/A	
<hr/>						
K + S AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
SDF	CINS D48164129	05/10/2017		Take No Action		
Meeting Type	Country of Trade					
Annual	Germany					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
7	Ratification of Management Board Acts	Mgmt	For	TNA	N/A	
8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A	
9	Appointment of Auditor	Mgmt	For	TNA	N/A	
10	Elect Thomas Kolbl to the Supervisory Board	Mgmt	For	TNA	N/A	
11	Amendments to Articles Regarding Invitations to General Meetings	Mgmt	For	TNA	N/A	
12	Amendments to Articles Regarding Supervisory	Mgmt	For	TNA	N/A	

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13	Board Meetings Amendments to Articles Regarding Supervisory Board Compensation	Mgmt	For	TNA	N/A
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Kernel Holding SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
KER	CINS L5829P109	12/12/2016	Take No Action			
Meeting Type	Country of Trade					
Annual	Luxembourg					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Presentation of Board and Auditor Reports	Mgmt	For	TNA	N/A	
2	Consolidated Accounts and Reports	Mgmt	For	TNA	N/A	
3	Accounts and Reports	Mgmt	For	TNA	N/A	
4	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
5	Ratification of Board Acts	Mgmt	For	TNA	N/A	
6	Elect Andrzej Danilczuk	Mgmt	For	TNA	N/A	
7	Resignation of Director	Mgmt	For	TNA	N/A	
8	Elect Nathalie Bachich	Mgmt	For	TNA	N/A	
9	Elect Sergei Shibaev	Mgmt	For	TNA	N/A	
10	Elect Anastasia Usachova	Mgmt	For	TNA	N/A	
11	Elect Victoriia Lukyanenko	Mgmt	For	TNA	N/A	
12	Elect Yuriy Kovalchuk	Mgmt	For	TNA	N/A	
13	Elect Kostiantyn Lytvynskyi	Mgmt	For	TNA	N/A	
14	Independent Directors' Fees	Mgmt	For	TNA	N/A	
15	Executive Directors' Fees	Mgmt	For	TNA	N/A	
16	Ratification of Auditor's Acts	Mgmt	For	TNA	N/A	
17	Appointment of Auditor	Mgmt	For	TNA	N/A	
18	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	

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Komipharm International Co., Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
041960	CINS Y4934W105	03/24/2017	Voted			
Meeting Type	Country of Trade					
Annual	Korea, Republic of					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against	
2	Directors' Fees	Mgmt	For	For	For	
3	Corporate Auditors' Fees	Mgmt	For	For	For	

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Kuala Lumpur Kepong Berhad			
Ticker	Security ID:	Meeting Date	Meeting Status

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KLK	CINS Y47153104	02/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect LEE Hau Hian	Mgmt	For	Against	Against
3	Elect YEOH Eng Khoon	Mgmt	For	For	For
4	Elect QUAH Poh Keat	Mgmt	For	For	For
5	Elect Raja Muhammad Alias bin Raja Muhammad Ali	Mgmt	For	For	For
6	Elect KWOK Kian Hai	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For

Kubota Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6326	CINS J36662138	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Masatoshi Kimata	Mgmt	For	Against	Against
3	Elect Toshihiro Kubo	Mgmt	For	For	For
4	Elect Shigeru Kimura	Mgmt	For	For	For
5	Elect Kenshiroh Ogawa	Mgmt	For	For	For
6	Elect Yuichi Kitao	Mgmt	For	For	For
7	Elect Satoshi Iida	Mgmt	For	For	For
8	Elect Masato Yoshikawa	Mgmt	For	For	For
9	Elect Yuzuru Matsuda	Mgmt	For	For	For
10	Elect Kohichi Ina	Mgmt	For	For	For
11	Elect Masao Morishita as Alternate Statutory Auditor	Mgmt	For	For	For
12	Bonus	Mgmt	For	For	For
13	Restricted Share Plan	Mgmt	For	For	For

Leroy Seafood Group ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
LSG	CINS R4279D108	05/23/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Agenda	Mgmt	For	TNA	N/A
5	Remuneration	Mgmt	For	TNA	N/A



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	Guidelines (Advisory)				
6	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Nomination Committee Fees	Mgmt	For	TNA	N/A
9	Audit Committee Fees	Mgmt	For	TNA	N/A
10	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
11	Elect Britt Katrine Drivenes	Mgmt	For	TNA	N/A
12	Elect Hege Charlotte Bakken	Mgmt	For	TNA	N/A
13	Elect Didrik Munch	Mgmt	For	TNA	N/A
14	Elect Karoline Mogster	Mgmt	For	TNA	N/A
15	Stock Split	Mgmt	For	TNA	N/A
16	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
17	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A

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Marine Harvest ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
MHG	CINS R2326D113	06/01/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
5	Agenda	Mgmt	For	TNA	N/A
6	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
9	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
10	Remuneration Guidelines (Binding)	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
14	Elect Ole Eirik Leroy	Mgmt	For	TNA	N/A
15	Elect Lisbet Naero	Mgmt	For	TNA	N/A
16	Elect Orjan Svanevik	Mgmt	For	TNA	N/A
17	Nomination Committee	Mgmt	For	TNA	N/A

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18	Quarterly Dividend Distribution	Mgmt	For	TNA	N/A
19	Authority to Distribute Dividends	Mgmt	For	TNA	N/A
20	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	TNA	N/A
21	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
22	Authority to Issue Convertible Debt Instruments	Mgmt	For	TNA	N/A
23	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	01/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dwight M. Barns	Mgmt	For	For	For
2	Elect Gregory H. Boyce	Mgmt	For	For	For
3	Elect David L. Chicoine	Mgmt	For	For	For
4	Elect Janice L. Fields	Mgmt	For	For	For
5	Elect Hugh Grant	Mgmt	For	For	For
6	Elect Arthur H. Harper	Mgmt	For	For	For
7	Elect Laura K. Ipsen	Mgmt	For	For	For
8	Elect Marcos Marinho Lutz	Mgmt	For	For	For
9	Elect C. Steven McMillan	Mgmt	For	For	For
10	Elect Jon R. Moeller	Mgmt	For	For	For
11	Elect George H. Poste	Mgmt	For	For	For
12	Elect Robert J. Stevens	Mgmt	For	Against	Against
13	Elect Patricia Verduin	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Approval of Performance Goals Under and Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Risks of Glyphosate	ShrHoldr	Against	Against	For

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Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status
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MON	CUSIP 61166W101	12/13/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

Mosaic Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
MOS	CUSIP 61945C103	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nancy E. Cooper	Mgmt	For	For	For
2	Elect Gregory L. Ebel	Mgmt	For	For	For
3	Elect Timothy S. Gitzel	Mgmt	For	For	For
4	Elect Denise C. Johnson	Mgmt	For	For	For
5	Elect Emery N. Koenig	Mgmt	For	For	For
6	Elect Robert L. Lumpkins	Mgmt	For	For	For
7	Elect William T. Monahan	Mgmt	For	For	For
8	Elect James C. O'Rourke	Mgmt	For	For	For
9	Elect James L. Popowich	Mgmt	For	For	For
10	Elect David T. Seaton	Mgmt	For	For	For
11	Elect Steven M. Seibert	Mgmt	For	For	For
12	Elect Kelvin W. Westbrook	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Neogen Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NEOG	CUSIP 640491106	10/06/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William T. Boehm	Mgmt	For	For	For
1.2	Elect Jack C. Parnell	Mgmt	For	For	For
1.3	Elect James P. Tobin	Mgmt	For	For	For
1.4	Elect James C. Borel	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

NH Foods Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
2282	CINS J4929Q102	06/28/2017	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	Japan Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Share Consolidation	Mgmt	For	For	For
2	Elect Juichi Suezawa	Mgmt	For	For	For
3	Elect Yoshihide Hata	Mgmt	For	For	For
4	Elect Kazunori Shinohara	Mgmt	For	For	For
5	Elect Kohji Kawamura	Mgmt	For	For	For
6	Elect Hiroji Ohkoso	Mgmt	For	For	For
7	Elect Katsumi Inoue	Mgmt	For	For	For
8	Elect Tetsuhiro Kitoh	Mgmt	For	For	For
9	Elect Hajime Takamatsu	Mgmt	For	For	For
10	Elect Toshiko Katayama	Mgmt	For	For	For
11	Elect Iwao Taka	Mgmt	For	For	For

Nufarm Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NUF	CINS Q7007B105	12/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Donald G. McGauchie	Mgmt	For	For	For
4	Re-elect Toshikazu Takasaki	Mgmt	For	For	For
5	Equity Grant (MD/CEO Greg Hunt)	Mgmt	For	For	For
6	Renew Proportional Takeover Provisions	Mgmt	For	For	For

OCI N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
OCI	CINS N6667A111	06/08/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Netherlands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
2	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Accounts and Reports; Allocation of Profits	Mgmt	For	TNA	N/A
6	Ratification of Executive Directors Acts	Mgmt	For	TNA	N/A
7	Ratification of Non-Executive Directors Acts	Mgmt	For	TNA	N/A
8	Elect Nassef Sawiris to the Board of Directors	Mgmt	For	TNA	N/A
9	Elect Salman Butt to the Board of	Mgmt	For	TNA	N/A

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10	Directors Elect Anjia Montijn to the Board of Directors	Mgmt	For	TNA	N/A
11	Elect Sipko N. Schat to the Board of Directors	Mgmt	For	TNA	N/A
12	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	TNA	N/A
13	Authority to Suppress Preemptive Rights	Mgmt	For	TNA	N/A
14	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
15	Appointment of Auditor	Mgmt	For	TNA	N/A
16	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
17	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	01/16/2017	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Charter	Mgmt	For	Abstain	Against
4	Interim Dividend	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Abstain	Against
6	Related Party Transactions	Mgmt	For	Abstain	Against
7	Related Party Transactions	Mgmt	For	Abstain	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	05/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Igor D. Antoshin	Mgmt	N/A	Abstain	N/A
6	Elect Maksim V. Volkov	Mgmt	N/A	For	N/A
7	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
8	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
9	Elect Yuriy N. Krugovykh	Mgmt	N/A	Abstain	N/A
10	Elect Vladimir S. Litvinenko	Mgmt	N/A	Abstain	N/A
11	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A
12	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A
13	Elect James B. Rogers	Mgmt	N/A	For	N/A

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14	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
15	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A
16	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
17	Elect Alexander F. Sharabaiko	Mgmt	N/A	Abstain	N/A
18	Elect Ekaterina V. Viktorova	Mgmt	For	For	For
19	Elect Galina A. Kalinina	Mgmt	For	For	For
20	Elect Pavel N. Sinitza	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	07/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Amendments to Charter	Mgmt	For	Abstain	Against
2	Interim Dividend	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	10/03/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Amendments to General Meeting Regulations	Mgmt	For	Abstain	Against
2	Amendments to Board of Directors Regulations	Mgmt	For	Abstain	Against
3	Allocation of Retained Earnings/Dividends	Mgmt	For	For	For
4	Related Party Transactions (Supplementary Guarantee Agreement no.4)	Mgmt	For	Abstain	Against
5	Related Party Transactions (Supplementary Guarantee Agreement no.1)	Mgmt	For	Abstain	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pilgrim's Pride Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PPC	CUSIP 72147K108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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						Mgmt
1.1	Elect Gilberto Tomazoni	Mgmt	For	For	For	For
1.2	Elect Joesley Mendonca Batista	Mgmt	For	Withhold	Against	Against
1.3	Elect Wesley Mendonca Batista	Mgmt	For	For	For	For
1.4	Elect William W. Lovette	Mgmt	For	For	For	For
1.5	Elect Andre Nogueira de Souza	Mgmt	For	For	For	For
1.6	Elect Wallim Cruz de Vasconcellos Junior	Mgmt	For	For	For	For
1.7	Elect David E. Bell	Mgmt	For	For	For	For
1.8	Elect Michael L. Cooper	Mgmt	For	For	For	For
1.9	Elect Charles Macaluso	Mgmt	For	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	For
4	Ratification of Auditor	Mgmt	For	For	For	For
5	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	N/A	For	N/A	N/A
6	Shareholder Proposal Regarding Board Diversity	ShrHoldr	N/A	For	N/A	N/A
7	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	N/A	Against	N/A	N/A

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Platform Specialty Products Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PAH	CUSIP 72766Q105	06/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Martin E. Franklin	Mgmt	For	For	For
2	Elect Rakesh Sachdev	Mgmt	For	For	For
3	Elect Ian G.H. Ashken	Mgmt	For	Against	Against
4	Elect Nicolas Berggruen	Mgmt	For	Against	Against
5	Elect Michael F. Goss	Mgmt	For	Against	Against
6	Elect Ryan Israel	Mgmt	For	Against	Against
7	Elect E. Stanley O'Neal	Mgmt	For	For	For
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For

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Potash Corporation of Saskatchewan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
POT	CUSIP 73755L107	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1.1	Elect Christopher M. Burley	Mgmt	For	For	For
1.2	Elect Donald G. Chynoweth	Mgmt	For	For	For
1.3	Elect John W. Estey	Mgmt	For	For	For
1.4	Elect Gerald W. Grandey	Mgmt	For	For	For
1.5	Elect C. Steven Hoffman	Mgmt	For	For	For
1.6	Elect Alice D. Laberge	Mgmt	For	For	For
1.7	Elect Consuelo E. Madere	Mgmt	For	For	For
1.8	Elect Keith G. Martell	Mgmt	For	For	For
1.9	Elect Aaron W. Regent	Mgmt	For	For	For
1.10	Elect Jochen E. Tilk	Mgmt	For	For	For
1.11	Elect Zoe A. Yujnovich	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Potash Corporation of Saskatchewan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
POT	CUSIP 73755L107	11/03/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

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PPB Group Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
PPB	CINS Y70879104	05/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		Malaysia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Directors' Fees for Fiscal Year 2016	Mgmt	For	For	For
3	Directors' Benefits for Fiscal Year 2017-18	Mgmt	For	For	For
4	Elect LIM Soon Huat	Mgmt	For	Against	Against
5	Elect Ahmad Riza Basir	Mgmt	For	Against	Against
6	Elect OH Siew Nam	Mgmt	For	Against	Against
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Related Party Transactions with PGEO Group Sdn Bhd	Mgmt	For	For	For
10	Related Party Transactions with Kuok Brothers Sdn Bhd	Mgmt	For	For	For
11	Authority to	Mgmt	For	For	For



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Repurchase and  
Reissue  
Shares

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PT Charoen Pokphand Indonesia Tbk		Meeting Date		Meeting Status	
Ticker	Security ID:	05/23/2017		Voted	
CPIN	CINS Y71207164				
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Resignation of Herman Sugianto	Mgmt	For	For	For

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Rumo S.A.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/26/2017		Voted	
RAIL3	CINS P8S114104				
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Policy	Mgmt	For	Against	Against
3	Supervisory Council's Fees	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Publication of Company Notices	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Rumo S.A.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/26/2017		Voted	
RAIL3	CINS P8S114104				
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	Against	Against
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Directors	Mgmt	For	N/A	N/A
8	Election of Board Member Representative of Minority Shareholders	Mgmt	For	Abstain	Against
9	Supervisory Council Size	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Elect Luiz Carlos Nannini	Mgmt	For	For	For

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13	Elect Paulo Henrique Wanick Mattos	Mgmt	For	For	For
14	Elect Thiago Costa Jacinto	Mgmt	For	For	For
15	Elect Marcelo Curti	Mgmt	For	For	For
16	Elect Joel Benedito Junior	Mgmt	For	For	For
17	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sanderson Farms, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SAFM	CUSIP 800013104	02/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Lampkin Butts	Mgmt	For	For	For
1.2	Elect Beverly Hogan	Mgmt	For	For	For
1.3	Elect Phil K. Livingston	Mgmt	For	For	For
1.4	Elect Joe F. Sanderson, Jr.	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Shareholder Proposal Regarding Use of Antibiotics	ShrHolder	Against	Against	For

Sirius Minerals Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SXX	CINS G6768Q104	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Thomas Staley	Mgmt	For	For	For
3	Elect Russell Scrimshaw	Mgmt	For	For	For
4	Elect Chris Fraser	Mgmt	For	For	For
5	Elect Elizabeth Noel Harwerth	Mgmt	For	For	For
6	Elect Keith Clarke	Mgmt	For	For	For
7	Elect Louise Hardy	Mgmt	For	For	For
8	Elect John Hutton	Mgmt	For	For	For
9	Elect Jane Lodge	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	Authorisation of	Mgmt	For	For	For

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	Political Donations					
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights (Specified Capital investment)	Mgmt	For	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For	For
17	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For	For
18	Amendments to Articles	Mgmt	For	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Sociedad Quimica y Minera de Chile SA - SQM						
Ticker	Security ID:	Meeting Date		Meeting Status		
SQM-A	CUSIP 833635105	04/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Annual Report and Account Inspectors' Report	Mgmt	For	For	For	
3	Appointment of Auditor	Mgmt	For	For	For	
4	Appointment of Account Inspectors	Mgmt	For	For	For	
5	Presentation of Report on Related Party Transactions	Mgmt	For	For	For	
6	Investment and Finance Policy	Mgmt	For	For	For	
7	Allocation of Profits/Dividends	Mgmt	For	For	For	
8	Dividend Policy	Mgmt	For	For	For	
9	Board of Directors' Expenditures	Mgmt	For	Abstain	Against	
10	Directors' Fees	Mgmt	For	Against	Against	
11	Matters Regarding the Directors' Committee	Mgmt	For	Abstain	Against	
12	Transaction of Other Business	Mgmt	N/A	Against	N/A	
13	Election of Directors	Mgmt	For	Abstain	Against	

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Syngenta AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
SYNN	CUSIP 87160A100	06/26/2017		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Accounts and Reports	Mgmt	For	For	For
2	Compensation Report	Mgmt	For	For	For
3	Ratification of Board and Management Acts	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Elect Gunnar Brock	Mgmt	For	For	For
6	Elect Michel Demare	Mgmt	For	For	For
7	Elect Eveline Saupper	Mgmt	For	For	For
8	Elect Jurg Witmer	Mgmt	For	For	For
9	Elect Jianxin Ren as Board Chair	Mgmt	For	For	For
10	Elect Hongbo Chen	Mgmt	For	For	For
11	Elect Olivier de Clermont-Tonnerre	Mgmt	For	For	For
12	Elect Dieter Gericke	Mgmt	For	For	For
13	Elect Jurg Witmer as Compensation Committee Member	Mgmt	For	For	For
14	Elect Olivier de Clermont-Tonnerre as Compensation Committee Member	Mgmt	For	For	For
15	Elect Dieter Gericke as Compensation Committee Member	Mgmt	For	For	For
16	Board Compensation	Mgmt	For	For	For
17	Executive Compensation	Mgmt	For	Against	Against
18	Appointment of Independent Proxy	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For
20	Transaction of Other Business	Mgmt	Against	Against	For

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Taiwan Fertilizer Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1722	CINS Y84171100	06/14/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	Taiwan				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
5	Amendments to Procedural Rules: Election of Directors and Supervisors	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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The Andersons, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANDE	CUSIP 034164103	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patrick E. Bowe	Mgmt	For	For	For
1.2	Elect Michael J. Anderson	Mgmt	For	For	For
1.3	Elect Gerard M Anderson	Mgmt	For	For	For
1.4	Elect Catherine M. Kilbane	Mgmt	For	For	For
1.5	Elect Robert J. King, Jr.	Mgmt	For	For	For
1.6	Elect Ross W. Manire	Mgmt	For	For	For
1.7	Elect Donald L. Mennel	Mgmt	For	For	For
1.8	Elect Patrick S. Mullin	Mgmt	For	For	For
1.9	Elect John T. Stout, Jr.	Mgmt	For	For	For
1.10	Elect Jacqueline F. Woods	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

The Toro Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TTC	CUSIP 891092108	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeffrey M. Etinger	Mgmt	For	For	For
1.2	Elect Katherine J. Harless	Mgmt	For	For	For
1.3	Elect Michael J. Hoffman	Mgmt	For	For	For
1.4	Elect D. Christian Koch	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Tongaat Hulett Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TON	CINS S85920130	07/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Jenitha John	Mgmt	For	For	For
3	Re-elect Rachel P	Mgmt	For	For	For

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4	Kupara Re-elect Nonhlanha Mjoli-Mncube	Mgmt	For	For	For
5	Elect Audit and Compliance Committee Member (Jenitha John)	Mgmt	For	For	For
6	Elect Audit and Compliance Committee Member (Stephen Beesley)	Mgmt	For	For	For
7	Elect Audit and Compliance Committee Member (Fatima Jakoet)	Mgmt	For	For	For
8	Elect Audit and Compliance Committee Member (Rachel Kupara)	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For
11	General Authority to Issue Shares	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For

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Tractor Supply Company

Ticker	Security ID:	Meeting Date	Meeting Status
TSCO	CUSIP 892356106	05/09/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Cynthia T. Jamison	Mgmt	For
1.2	Elect Johnston C. Adams	Mgmt	For
1.3	Elect Peter D. Bewley	Mgmt	For
1.4	Elect Ramkumar Krishnan	Mgmt	For
1.5	Elect George MacKenzie	Mgmt	For
1.6	Elect Edna K. Morris	Mgmt	For
1.7	Elect Mark J. Weikel	Mgmt	For
1.8	Elect Gregory A. Sandfort	Mgmt	For
2	Ratification of Auditor	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For

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Treasury Wine Estates Limited

Ticker	Security ID:	Meeting Date	Meeting Status
TWE	CINS Q9194S107	11/10/2016	Voted

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Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Paul Rayner	Mgmt	For	For	For
3	Re-elect Warwick Every-Burns	Mgmt	For	For	For
4	Re-elect Peter Hearl	Mgmt	For	For	For
5	Elect Lauri Shanahan	Mgmt	For	For	For
6	Renew Proportional Takeover Provision	Mgmt	For	For	For
7	REMUNERATION REPORT	Mgmt	For	For	For
8	Equity Grant (MD/CEO Michael Clarke)	Mgmt	For	For	For
9	Approve Increase in NEDs' Fee Cap	Mgmt	For	Against	Against

Tyson Foods, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSN	CUSIP 902494103	02/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John H. Tyson	Mgmt	For	For	For
2	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For
3	Elect Mike Beebe	Mgmt	For	For	For
4	Elect Mikel A. Durham	Mgmt	For	For	For
5	Elect Tom Hayes	Mgmt	For	For	For
6	Elect Kevin M. McNamara	Mgmt	For	For	For
7	Elect Cheryl S. Miller	Mgmt	For	For	For
8	Elect Brad T. Sauer	Mgmt	For	For	For
9	Elect Jeffrey K. Schomburger	Mgmt	For	For	For
10	Elect Robert Thurber	Mgmt	For	For	For
11	Elect Barbara A. Tyson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	Against	For	Against

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Uralkaliy PAO (Uralkali JSC)						
Ticker	Security ID:	Meeting Date		Meeting Status		
URKA	CINS X9519W108	08/29/2016		Voted		
Meeting Type		Country of Trade				
Special		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Major Transaction	Mgmt	For	For	For	
3	Related Party Transactions (JSC URALCHEM)	Mgmt	For	For	For	
4	Related Party Transactions (JSC Voskresensk Mineral Fertilizers)	Mgmt	For	For	For	
5	Related Party Transactions (JSC VSMPO-AVISMA)	Mgmt	For	For	For	
6	Related Party Transactions (JSC Voskresensk Mineral Fertilizers)	Mgmt	For	For	For	
7	Related Party Transactions (JSC URALCHEM)	Mgmt	For	For	For	
8	Related Party Transactions (JSC VSMPO-AVISMA)	Mgmt	For	For	For	
9	Related Party Transactions (D&O Insurance)	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
Uralkaliy PAO (Uralkali JSC)						
Ticker	Security ID:	Meeting Date		Meeting Status		
URKA	CINS X9519W108	09/27/2016		Voted		
Meeting Type		Country of Trade				
Special		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Meeting Procedures	Mgmt	For	For	For	
3	Early Termination of Board Powers	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Elect Dmitry V. Konyaev	Mgmt	For	Abstain	Against	
6	Elect Dmitry A. Lobyak	Mgmt	For	Abstain	Against	
7	Elect Luc Marc J. Maene	Mgmt	For	For	For	
8	Elect Dmitry A. Mazepin	Mgmt	For	Abstain	Against	
9	Elect Robert J. Margetts	Mgmt	For	For	For	
10	Elect Dmitry V. Osipov	Mgmt	For	Abstain	Against	
11	Elect Paul J. Ostling	Mgmt	For	For	For	
12	Elect Dimitry V. Tatyatin	Mgmt	For	Abstain	Against	
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
15	Early Termination of Audit Commission	Mgmt	For	For	For	



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	Powers					
16	Elect Irina A. Grinkevich	Mgmt	For	For	For	For
17	Elect Andrey V. Yermizin	Mgmt	For	For	For	For
18	Elect Maria A. Kuzmina	Mgmt	For	For	For	For
19	Elect Irina V. Razumova	Mgmt	For	For	For	For
20	Elect Yaroslava I. Serdyuk	Mgmt	For	For	For	For

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Uralkaliy PAO (Uralkali JSC)

Ticker	Security ID:	Meeting Date	Meeting Status		
URKA	CINS X9519W108	12/22/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions (Uralkali Trading SIA)	Mgmt	For	For	For
3	Major Transactions (JSC Uralkaliy-Tecnology)	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	Abstain	Against
5	Amendments to General Meeting Regulations	Mgmt	For	Abstain	Against
6	Amendments to Board Regulations	Mgmt	For	Abstain	Against
7	Amendments to Regulations on Board Remuneration	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Wilmar International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
F34	CINS Y9586L109	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect KUOK Khoon Hong	Mgmt	For	Against	Against
5	Elect KWAH Thiam Hock	Mgmt	For	Against	Against
6	Elect TAY Kah Chye	Mgmt	For	For	For
7	Elect KUOK Khoon Hua	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
10	Authority to Grant Shares Under Wilmar Executives Share	Mgmt	For	Against	Against

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	Option Scheme				
	2009				
11	Related Party Transactions	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For

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Yara International ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
YAR	CINS R9900C106	05/11/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Opening of Meeting; Agenda	Mgmt	For	TNA	N/A
6	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Remuneration Guidelines	Mgmt	For	TNA	N/A
9	Presentation of Corporate Governance Report	Mgmt	For	TNA	N/A
10	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
14	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Zoetis Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ZTS	CUSIP 98978V103	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory Norden	Mgmt	For	For	For
2	Elect Louise M. Parent	Mgmt	For	For	For
3	Elect Robert W. Scully	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Fund Name : VanEck Vectors BDC Income ETF

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American Capital, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ACAS	CUSIP 02503Y103	12/15/2016	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
3	Right to Adjourn Meeting	Mgmt	For	For	For
4	Elect Mary C. Baskin	Mgmt	For	For	For
5	Elect Neil M. Hahl	Mgmt	For	For	For
6	Elect Philip R. Harper	Mgmt	For	For	For
7	Elect Stan Lundine	Mgmt	For	For	For
8	Elect Kristen L. Manos	Mgmt	For	For	For
9	Elect Susan K. Nestegard	Mgmt	For	For	For
10	Elect Kenneth D. Peterson, Jr.	Mgmt	For	For	For
11	Elect Alvin N. Puryear	Mgmt	For	For	For
12	Elect Malon Wilkus	Mgmt	For	For	For
13	Elect David G. Richards	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

Apollo Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AINV	CUSIP 03761U106	08/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Elliot Stein, Jr.	Mgmt	For	For	For
1.2	Elect Bradley J. Wechsler	Mgmt	For	For	For
1.3	Elect James C. Zelter	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Apollo Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AINV	CUSIP 03761U106	08/04/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to issue common stock below NAV	Mgmt	For	For	For

Ares Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Arougheti	Mgmt	For	For	For
2	Elect Ann Torre Bates	Mgmt	For	For	For
3	Elect Steven B. McKeever	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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Ares Capital Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	05/22/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock	Mgmt	For	For	For

Ares Capital Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
ARCC	CUSIP 04010L103	12/15/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger (Sub NAV Issuance)	Mgmt	For	For	For
2	Merger (NASDAQ Compliance)	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

BlackRock Capital Investment Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John R. Baron	Mgmt	For	For	For
1.2	Elect Jerrold B. Harris	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

BlackRock Capital Investment Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
BKCC	CUSIP 092533108	05/05/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Common Stock at a Discount to NAV	Mgmt	For	For	For

Capital Southwest Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
CSWC	CUSIP 140501107	07/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph B. Armes	Mgmt	For	For	For
1.2	Elect David R. Brooks	Mgmt	For	For	For
1.3	Elect Bowen S. Diehl	Mgmt	For	For	For
1.4	Elect Jack D. Furst	Mgmt	For	For	For
1.5	Elect T. Duane Morgan	Mgmt	For	Withhold	Against
1.6	Elect William R. Thomas	Mgmt	For	Withhold	Against
1.7	Elect John H. Wilson	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For

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3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
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Fifth Street Finance Corp.

Ticker	Security ID:	Meeting Date	Meeting Status			
FSC	CUSIP 31678A103	03/20/2017	Voted			
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Approval of a New Investment Advisory Agreement	Mgmt	For		Against	Against

Fifth Street Finance Corp.

Ticker	Security ID:	Meeting Date	Meeting Status			
FSC	CUSIP 31678A103	04/27/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patrick J. Dalton	Mgmt	For		For	For
1.2	Elect Brian S. Dunn	Mgmt	For		Withhold	Against
1.3	Elect Byron J. Haney	Mgmt	For		Withhold	Against
2	Ratification of Auditor	Mgmt	For		For	For

FS Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
FSIC	CUSIP 302635107	07/13/2016	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gregory P. Chandler	Mgmt	For		Withhold	Against
1.2	Elect Michael C. Forman	Mgmt	For		For	For
1.3	Elect Barry H. Frank	Mgmt	For		For	For
1.4	Elect Michael J. Heller	Mgmt	For		Withhold	Against
2	Authority to Sell Shares Below Net Asset Value	Mgmt	For		For	For

Gladstone Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
GLAD	CUSIP 376535100	02/09/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michela A. English	Mgmt	For		For	For
1.2	Elect Anthony W. Parker	Mgmt	For		For	For
2	Ratification of Auditor	Mgmt	For		For	For

Gladstone Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
GAIN	CUSIP 376546107	08/04/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Paul W. Adelgren	Mgmt	For	For	For
1.2	Elect David Gladstone	Mgmt	For	For	For
1.3	Elect John H. Outland	Mgmt	For	For	For
2	Authority to Issue Common Stock Below NAV	Mgmt	For	For	For

Goldman Sachs BDC Inc

Ticker	Security ID:	Meeting Date	Meeting Status
GSBD	CUSIP 38147U107	09/29/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jaime Adila	Mgmt	For	For	For
2	Elect Richard P. Strubel	Mgmt	For	For	For
3	Elect Katherine Uniacke	Mgmt	For	For	For
4	Issuance of Common Stock	Mgmt	For	For	For

Goldman Sachs BDC, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GSBD	CUSIP 38147U107	05/19/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ross J. Kari	Mgmt	For	For	For
2	Elect Ann B. Lane	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Golub Capital BDC, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GBDC	CUSIP 38173M102	02/07/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Golub	Mgmt	For	For	For
2	Elect Anita P. Rosenberg	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Hercules Capital Inc

Ticker	Security ID:	Meeting Date	Meeting Status
HTGC	CUSIP 427096508	07/07/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Manuel A. Henriquez	Mgmt	For	For	For
2	Elect Joseph A. Hoffman	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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Main Street Capital Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
MAIN	CUSIP 56035L104	05/01/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Michael Appling, Jr.	Mgmt	For	For	For	
2	Elect Joseph E. Canon	Mgmt	For	For	For	
3	Elect Arthur L. French	Mgmt	For	For	For	
4	Elect J. Kevin Griffin	Mgmt	For	For	For	
5	Elect John E. Jackson	Mgmt	For	For	For	
6	Elect Brian E. Lane	Mgmt	For	For	For	
7	Elect Stephen B. Solcher	Mgmt	For	For	For	
8	Elect Vincent D. Foster	Mgmt	For	For	For	
9	Ratification of Auditor	Mgmt	For	For	For	
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against	

Medley Capital Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
MCC	CUSIP 58503F106	02/14/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Brook Taube	Mgmt	For	For	For	
1.2	Elect Karin Hirtler-Garvey	Mgmt	For	For	For	
1.3	Elect John E. Mack	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	

Monroe Capital Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
MRCC	CUSIP 610335101	06/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Jeffrey A. Golman	Mgmt	For	Withhold	Against	
1.2	Elect Jorde M. Nathan	Mgmt	For	For	For	
1.3	Elect Aaron D. Peck	Mgmt	For	Withhold	Against	
2	Issuance of Common Stock Below Net Asset Value	Mgmt	For	For	For	

New Mountain Finance Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
NMFC	CUSIP 647551100	04/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Steven B. Klinsky	Mgmt	For	Withhold	Against	
1.2	Elect Kurt J.	Mgmt	For	For	For	

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1.3	Wolfgruber Elect Rome G. Arnold	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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Newtek Business Services Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NEWT	CUSIP 652526203	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard Salute	Mgmt	For	For	For
1.2	Elect Salvatore Mulia	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
<hr/>					
PennantPark Companies					
Ticker	Security ID:	Meeting Date	Meeting Status		
PNNT;PFLT	CUSIP 70806A106	02/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Arthur H. Penn	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
<hr/>					
PennantPark Companies					
Ticker	Security ID:	Meeting Date	Meeting Status		
PNNT;PFLT	CUSIP 708062104	02/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Marshall Brozost	Mgmt	For	Withhold	Against
1.2	Elect Samuel L. Katz	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
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Prospect Capital Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
PSEC	CUSIP 74348T102	12/02/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Barry III	Mgmt	For	For	For
2	Elect Eugene S. Stark	Mgmt	For	For	For
3	Authority to Sell Common Stock Below NAV	Mgmt	For	For	For
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Solar Capital Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SLRC	CUSIP 83413U100	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bruce Spohler	Mgmt	For	Withhold	Against
1.2	Elect Steven Hochberg	Mgmt	For	For	For
2	Authority to Sell	Mgmt	For	For	For





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Vote for Election of Directors						
3	Ratification of Auditor	Mgmt	For	TNA	N/A	
4	Shareholder Proposal Regarding Termination of Investment Advisory Agreement	ShrHoldr	Against	TNA	N/A	
5	Right to Adjourn Meeting	Mgmt	For	TNA	N/A	

TICC Capital Corp.

Ticker	Security ID:	Meeting Date	Meeting Status			
TICC	CUSIP 87244T109	09/02/2016	Voted			
Meeting Type	Country of Trade					
Proxy Contest	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect T. Kelley Millet	Mgmt	N/A	For	N/A	
2	Adoption of Majority Vote for Election of Directors	Mgmt	N/A	For	N/A	
3	Ratification of Auditor	Mgmt	N/A	For	N/A	
4	Shareholder Proposal Regarding Termination of Investment Advisory Agreement	ShrHoldr	N/A	For	N/A	
5	Right to Adjourn Meeting	Mgmt	N/A	Against	N/A	

TPG Specialty Lending Inc

Ticker	Security ID:	Meeting Date	Meeting Status			
TSLX	CUSIP 87265K102	05/18/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Joshua Easterly	Mgmt	For	For	For	
1.2	Elect Michael Fishman	Mgmt	For	Withhold	Against	
2	Ratification of Auditor	Mgmt	For	For	For	

TPG Specialty Lending Inc

Ticker	Security ID:	Meeting Date	Meeting Status			
TSLX	CUSIP 87265K102	05/18/2017	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Issuance of Common Stock	Mgmt	For	For	For	

Triangle Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
TCAP	CUSIP 895848109	05/03/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect E. Ashton Poole	Mgmt	For	For	For	
2	Elect Steven C. Lilly	Mgmt	For	Against	Against	
3	Elect Garland S.	Mgmt	For	For	For	

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	Tucker, III					
4	Elect W. McComb Dunwoody	Mgmt	For	For	For	
5	Elect Mark M. Gambill	Mgmt	For	For	For	
6	Elect Benjamin S. Goldstein	Mgmt	For	Against	Against	
7	Elect Mark F. Mulhern	Mgmt	For	For	For	
8	Elect Simon B. Rich, Jr.	Mgmt	For	For	For	
9	Authority to Sell Shares Below Net Asset Value	Mgmt	For	For	For	
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against	
12	Approval of the Omnibus Incentive Plan	Mgmt	For	For	For	

Fund Name : VanEck Vectors Biotech ETF

Acadia Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
ACAD	CUSIP 004225108	06/13/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1.1	Elect James M. Daly	Mgmt	For	For	For	
1.2	Elect Edmund P. Harrigan, M.D.	Mgmt	For	Withhold	Against	
2	Amendment to the 2010 Equity Incentive Plan	Mgmt	For	Against	Against	
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
5	Ratification of Auditor	Mgmt	For	For	For	

Alexion Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
ALXN	CUSIP 015351109	05/10/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect Felix Baker	Mgmt	For	For	For	
2	Elect David R. Brennan	Mgmt	For	For	For	
3	Elect M. Michele Burns	Mgmt	For	For	For	
4	Elect Christopher J. Coughlin	Mgmt	For	For	For	
5	Elect Ludwig N. Hantson	Mgmt	For	For	For	
6	Elect John T. Mollen	Mgmt	For	For	For	
7	Elect R. Douglas Norby	Mgmt	For	For	For	

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8	Elect Alvin S. Parven	Mgmt	For	For	For
9	Elect Andreas Rummelt	Mgmt	For	For	For
10	Elect Ann M. Veneman	Mgmt	For	For	For
11	Approval of the 2017 Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Against	Against	For

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Alkermes plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ALKS	CUSIP G01767105	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Paul J. Mitchell	Mgmt	For	For	For
2	Elect Richard F. Pops	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendment to the 2011 Stock Option and Incentive Plan	Mgmt	For	For	For
6	Authority to Issue Shares with Preemptive Rights	Mgmt	For	For	For
7	Right to Adjourn Meeting	Mgmt	For	For	For
8	Authority to Issue Shares without Preemptive Rights	Mgmt	For	For	For

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Allergan plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AGN	CUSIP G0177J108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nesli Basgoz	Mgmt	For	For	For
2	Elect Paul M. Bisaro	Mgmt	For	For	For
3	Elect James H. Bloem	Mgmt	For	For	For
4	Elect Christopher W. Bodine	Mgmt	For	For	For
5	Elect Adriane M. Brown	Mgmt	For	For	For
6	Elect Christopher J. Coughlin	Mgmt	For	For	For

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7	Elect Catherine M. Klema	Mgmt	For	For	For
8	Elect Peter J. McDonnell	Mgmt	For	For	For
9	Elect Patrick J. O'Sullivan	Mgmt	For	For	For
10	Elect Brenton L. Saunders	Mgmt	For	For	For
11	Elect Ronald R. Taylor	Mgmt	For	For	For
12	Elect Fred G. Weiss	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Approval of performance goals under the 2017 Annual Incentive Compensation Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Alnylam Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ALNY	CUSIP 02043Q107	05/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Michael W. Bonney	Mgmt	For
2	Elect John M. Maraganore	Mgmt	For
3	Elect Paul R. Schimmel	Mgmt	For
4	Elect Phillip A. Sharp	Mgmt	For
5	Amendment to the 2009 Stock Incentive Plan	Mgmt	For
6	Amendment to the 2004 Employee Stock Purchase Plan	Mgmt	For
7	Advisory Vote on Executive Compensation	Mgmt	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
9	Ratification of Auditor	Mgmt	For

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AMGEN Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
AMGN	CUSIP 031162100	05/19/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Elect David Baltimore	Mgmt	For	For	For
2	Elect Robert A. Bradway	Mgmt	For	For	For
3	Elect Francois de Carbonnel	Mgmt	For	For	For
4	Elect Robert A. Eckert	Mgmt	For	For	For
5	Elect Greg C. Garland	Mgmt	For	For	For
6	Elect Fred Hassan	Mgmt	For	For	For
7	Elect Rebecca M. Henderson	Mgmt	For	For	For
8	Elect Frank C. Herringer	Mgmt	For	For	For
9	Elect Charles M. Holley	Mgmt	For	For	For
10	Elect Tyler Jacks	Mgmt	For	For	For
11	Elect Ellen J. Kullman	Mgmt	For	For	For
12	Elect Ronald D. Sugar	Mgmt	For	For	For
13	Elect R. Sanders Williams	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

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Biogen Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BIIB	CUSIP 09062X103	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alexander J. Denner	Mgmt	For	For	For
2	Elect Caroline D. Dorsa	Mgmt	For	For	For
3	Elect Nancy L. Leaming	Mgmt	For	For	For
4	Elect Richard C. Mulligan	Mgmt	For	For	For
5	Elect Robert W. Pangia	Mgmt	For	For	For
6	Elect Stelios Papadopoulos	Mgmt	For	For	For
7	Elect Brian S. Posner	Mgmt	For	For	For
8	Elect Eric K. Rowinsky	Mgmt	For	For	For
9	Elect Lynn Schenk	Mgmt	For	For	For
10	Elect Stephen A. Sherwin	Mgmt	For	For	For
11	Elect Michel Vounatsos	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the 2017 Omnibus Equity Plan	Mgmt	For	For	For

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Biomarin Pharmaceutical Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
BMRN	CUSIP 09061G101	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jean-Jacques Bienaime	Mgmt	For	For	For
1.2	Elect Willard H. Dere	Mgmt	For	For	For
1.3	Elect Michael Grey	Mgmt	For	For	For
1.4	Elect Elaine J. Heron	Mgmt	For	For	For
1.5	Elect V. Bryan Lawlis, Jr.	Mgmt	For	For	For
1.6	Elect Alan J. Lewis	Mgmt	For	For	For
1.7	Elect Richard A. Meier	Mgmt	For	For	For
1.8	Elect David Pyott	Mgmt	For	For	For
1.9	Elect Dennis J. Slamon	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approve 2017 Equity Incentive Plan	Mgmt	For	For	For
6	Increase of Authorized Common Stock and Technical Amendments to Certificate of Incorporation	Mgmt	For	Against	Against

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### bluebird bio, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BLUE	CUSIP 09609G100	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nick Leschly	Mgmt	For	For	For
2	Elect Mark Vachon	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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### Celgene Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CELG	CUSIP 151020104	06/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert J. Hugin	Mgmt	For	For	For
1.2	Elect Mark J. Alles	Mgmt	For	For	For
1.3	Elect Richard W. Barker	Mgmt	For	For	For
1.4	Elect Michael W. Bonney	Mgmt	For	For	For
1.5	Elect Michael D. Casey	Mgmt	For	For	For
1.6	Elect Carrie S. Cox	Mgmt	For	For	For
1.7	Elect Michael A. Friedman	Mgmt	For	For	For

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1.8	Elect Julia A. Haller	Mgmt	For	For	For
1.9	Elect Gilla S. Kaplan	Mgmt	For	For	For
1.10	Elect James J. Loughlin	Mgmt	For	For	For
1.11	Elect Ernest Mario	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2008 Stock Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Shareholder Proposal Regarding Voting Disclosure	ShrHoldr	Against	Against	For

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Charles River Laboratories International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRL	CUSIP 159864107	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Foster	Mgmt	For	For	For
2	Elect Robert J. Bertolini	Mgmt	For	For	For
3	Elect Stephen D. Chubb	Mgmt	For	For	For
4	Elect Deborah T. Kochevar	Mgmt	For	For	For
5	Elect George E. Massaro	Mgmt	For	For	For
6	Elect George M. Milne, Jr.	Mgmt	For	Against	Against
7	Elect C. Richard Reese	Mgmt	For	For	For
8	Elect Craig B. Thompson	Mgmt	For	For	For
9	Elect Richard F. Wallman	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Approval of the Incentive Compensation Plan	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Against	Against	For

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Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILD	CUSIP 375558103	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Cogan	Mgmt	For	For	For
2	Elect Kelly A. Kramer	Mgmt	For	For	For



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3	Elect Kevin E. Lofton	Mgmt	For	For	For
4	Elect John C. Martin	Mgmt	For	For	For
5	Elect John F. Milligan	Mgmt	For	For	For
6	Elect Nicholas G. Moore	Mgmt	For	For	For
7	Elect Richard J. Whitley	Mgmt	For	For	For
8	Elect Gayle E. Wilson	Mgmt	For	For	For
9	Elect Per Wold-Olsen	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Amendment to the 2004 Equity Incentive Plan	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Illumina, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ILMN	CUSIP 452327109	05/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Caroline Dorsa	Mgmt	For	For	For
2	Elect Robert S. Epstein	Mgmt	For	For	For
3	Elect Philip W. Schiller	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Elimination of Supermajority Voting Requirements	Mgmt	For	For	For

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Incyte Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
INCY	CUSIP 45337C102	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Julian C. Baker	Mgmt	For	For	For
1.2	Elect Jean-Jacques Bienaime	Mgmt	For	Withhold	Against
1.3	Elect Paul A. Brooke	Mgmt	For	For	For
1.4	Elect Paul J. Clancy	Mgmt	For	For	For
1.5	Elect Wendy L. Dixon	Mgmt	For	For	For

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1.6	Elect Paul A. Friedman	Mgmt	For	Withhold	Against
1.7	Elect Herve Hoppenot	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Intercept Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICPT	CUSIP 45845P108	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Srinivas Akkaraju	Mgmt	For	For	For
1.2	Elect Luca Benatti	Mgmt	For	For	For
1.3	Elect Daniel M. Bradbury	Mgmt	For	For	For
1.4	Elect Paolo Fundaro	Mgmt	For	For	For
1.5	Elect Keith Gottesdiener	Mgmt	For	For	For
1.6	Elect Mark Pruzanski	Mgmt	For	For	For
1.7	Elect Gino Santini	Mgmt	For	For	For
1.8	Elect Glenn Sblendorio	Mgmt	For	For	For
1.9	Elect Daniel Welch	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Intercept Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICPT	CUSIP 45845P108	07/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Srinivas Akkaraju	Mgmt	For	For	For
1.2	Elect Luca Benatti	Mgmt	For	For	For
1.3	Elect Daniel M. Bradbury	Mgmt	For	For	For
1.4	Elect Paolo Fundaro	Mgmt	For	For	For
1.5	Elect Keith Gottesdiener	Mgmt	For	For	For
1.6	Elect Mark Pruzanski	Mgmt	For	For	For
1.7	Elect Gino Santini	Mgmt	For	For	For
1.8	Elect Glenn P. Sblendorio	Mgmt	For	For	For
1.9	Elect Daniel G. Welch	Mgmt	For	Withhold	Against
2	Increase of Authorized Common Stock	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Ionis Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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IONS	CUSIP 462222100	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Spencer R. Berthelsen	Mgmt	For	Withhold	Against
1.2	Elect B. Lynne Parshall	Mgmt	For	For	For
1.3	Elect Joseph H. Wender	Mgmt	For	For	For
2	Amendment to the 2011 Equity Incentive Plan	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Ratification of Auditor	Mgmt	For	For	For

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Neurocrine Biosciences, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NBIX	CUSIP 64125C109	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kevin C. Gorman	Mgmt	For	For	For
1.2	Elect Gary A. Lyons	Mgmt	For	For	For
1.3	Elect Alfred W. Sandroek, Jr.	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2011 Equity Incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

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Qiagen N.V.					
Ticker	Security ID:	Meeting Date	Meeting Status		
QGEN	CUSIP N72482123	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Management Board Acts	Mgmt	For	For	For
3	Ratification of Supervisory Board Acts	Mgmt	For	For	For
4	Elect Stephane Bancel	Mgmt	For	For	For
5	Elect Hakan Bjorklund	Mgmt	For	For	For
6	Elect Metin Colpan	Mgmt	For	For	For
7	Elect Manfred Karobath	Mgmt	For	Against	Against
8	Elect Ross L. Levine	Mgmt	For	For	For

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9	Elect Elaine Mardis	Mgmt	For	For	For
10	Elect Lawrence A. Rosen	Mgmt	For	For	For
11	Elect Elizabeth E. Tallett	Mgmt	For	For	For
12	Elect Peer Schatz	Mgmt	For	For	For
13	Elect Roland Sackers	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

Qiagen N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEN	CUSIP N72482107	10/26/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Synthetic Share Repurchase; Capital Repayment	Mgmt	For	For	For

Quintiles IMS Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
Q	CUSIP 74876Y101	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jonathan J. Coslet	Mgmt	For	For	For
1.2	Elect Michael J. Evanisko	Mgmt	For	For	For
1.3	Elect Dennis B. Gillings	Mgmt	For	For	For
1.4	Elect Ronald A. Rittenmeyer	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Approval of the 2017 Incentive and Stock Award Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Quintiles Transnational Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
Q	CUSIP 74876Y101	09/22/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Merger	Mgmt	For	For	For
2	Issuance of Shares for Merger	Mgmt	For	For	For
3	Reincorporation	Mgmt	For	For	For
4	Increase of Authorized Common Stock	Mgmt	For	For	For
5	Elimination of Supermajority Requirement	Mgmt	For	For	For
6	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
7	Right to Adjourn Meeting	Mgmt	For	For	For

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Regeneron Pharmaceuticals, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
REGN	CUSIP 75886F107	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bonnie L. Bassler	Mgmt	For	For	For
2	Elect N. Anthony Coles	Mgmt	For	For	For
3	Elect Joseph L. Goldstein	Mgmt	For	Against	Against
4	Elect Christine A. Poon	Mgmt	For	Against	Against
5	Elect P. Roy Vagelos	Mgmt	For	For	For
6	Elect Huda Y. Zoghbi	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Amendment to the 2014 Long-Term Incentive Plan	Mgmt	For	Against	Against
9	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

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Seattle Genetics, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SGEN	CUSIP 812578102	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David W. Gryska	Mgmt	For	For	For
1.2	Elect John A. Orwin	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Tesaro Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
TSRO	CUSIP 881569107	05/11/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Leon O. Moulder, Jr.	Mgmt	For	For	For
1.2	Elect Mary Lynne Hedley	Mgmt	For	For	For
1.3	Elect David Mott	Mgmt	For	For	For
1.4	Elect Lawrence M. Alleva	Mgmt	For	For	For
1.5	Elect James O. Armitage	Mgmt	For	For	For
1.6	Elect Earl M. Collier, Jr.	Mgmt	For	For	For
1.7	Elect Gary A. Nicholson	Mgmt	For	For	For
1.8	Elect Arnold L. Oronsky	Mgmt	For	For	For
1.9	Elect Kavita Patel	Mgmt	For	For	For
1.10	Elect Beth Seidenberg	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

United Therapeutics Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
UTHR	CUSIP 91307C102	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Raymond Dwek	Mgmt	For	For	For
2	Elect Christopher Patusky	Mgmt	For	For	For
3	Elect Tommy G. Thompson	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For	For	For

Vertex Pharmaceuticals Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
VRTX	CUSIP 92532F100	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Alan M. Garber	Mgmt	For	For	For
1.2	Elect Margaret G. McGlynn	Mgmt	For	For	For
1.3	Elect William D. Young	Mgmt	For	For	For
2	Repeal of Classified Board	Mgmt	For	For	For
3	Amendment to the 2013 Stock and Option Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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7	Compensation Shareholder Proposal Regarding Simple Majority Vote	ShrHolder	Against	For	Against
8	Shareholder Proposal Regarding Lobbying Report	ShrHolder	Against	For	Against

Fund Name : VanEck Vectors Brazil Small-Cap ETF

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Adecoagro S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGRO	CUSIP L00849106	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For
2	Approval of 2016 Accounts	Mgmt	For	For	For
3	Allocation of 2016 Results	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Approval of 2016 Directors Fees	Mgmt	For	For	For
6	Approval of 2017 Directors Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Abstain	Against
8	Elect Mariano Bosch	Mgmt	For	For	For
9	Elect Plinio Villares Musetti	Mgmt	For	For	For
10	Elect Daniel C. Gonzalez	Mgmt	For	For	For
11	Elect James David Anderson	Mgmt	For	For	For
12	Elect Marcelo Vieira	Mgmt	For	For	For
13	Elect Walter M. Sanchez	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For

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10	Election of Board Member(s) Representative(s) of Minority Shareholders	Mgmt	For	N/A	N/A
11	Remuneration Policy	Mgmt	For	Against	Against

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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	09/13/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition (100% of Altar Empreendimentos e Participacoes S.A.)	Mgmt	For	For	For
4	Acquisition (0.48% of Shopping Leblon)	Mgmt	For	For	For

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Aliansce Shopping Centers

Ticker	Security ID:	Meeting Date	Meeting Status		
ALSC3	CINS P0161M109	11/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Board Size	Mgmt	For	For	For
4	Elect Thomas J. McDonald	Mgmt	For	For	For
5	Ratify Increase in Share Capital	Mgmt	For	For	For
6	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

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Alpargatas S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALPA4	CINS P0246W106	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Elect Marcos Reinaldo Severino Peters to the Supervisory Council as Representative of	Mgmt	N/A	For	N/A



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	Preferred Shareholders				
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Alupar Investimentos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP11	CINS POR676126	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Election of Board Member Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
11	Election of Board Member Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Alupar Investimentos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP11	CINS POR676126	04/25/2017	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For

Alupar Investimentos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP11	CINS POR676126	12/07/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Rogerio Paulo Calderon Peres	Mgmt	For	For	For
4	Elect Silvani Alves Pereira	Mgmt	For	For	For
5	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

Alupar Investimentos S.A.

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Ticker	Security ID:	Meeting Date	Meeting Status		
ALUP11	CINS P0R676100	12/07/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Rogerio Paulo Calderon Peres	Mgmt	For	For	For
4	Elect Silvani Alves Pereira	Mgmt	For	For	For
5	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

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Arezzo Industria e Comercio SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
ARZZ3	CINS P04508100	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Management Accounts	Mgmt	For	For	For
5	Financial Statements	Mgmt	For	For	For
6	Capital Expenditure Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Board Size	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Election of Directors	Mgmt	For	For	For
12	Election of Board Member Representative of Minority Shareholders	Mgmt	For	N/A	N/A
13	Election of Chair and Vice Chair of the Board of Directors	Mgmt	For	For	For
14	Remuneration Report	Mgmt	For	Against	Against

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Arezzo Industria e Comercio SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
ARZZ3	CINS P04508100	04/28/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 3 (Registered Address)	Mgmt	For	For	For
4	Amendments to Article 5 (Reconciliation of	Mgmt	For	For	For

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5	Share Capital) Authorisation of Legal Formalities	Mgmt	For	For	For	
<hr/>						
Arezzo Industria e Comercio SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
ARZZ3	CINS P04508100	06/23/2017		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Adoption of Restricted Stock Plan	Mgmt	For	Against	Against	
<hr/>						
Atento S.A						
Ticker	Security ID:	Meeting Date		Meeting Status		
ATTO	CUSIP L0427L105	05/31/2017		Voted		
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approve Management Report of Company Accounts	Mgmt	For	For	For	
2	Approval of the Individual Accounts	Mgmt	For	For	For	
3	Approval of Allocation of Annual Results	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Discharge Members of the Board	Mgmt	For	For	For	
6	Approve Appointment of David Garner, to Fill Board Vacancy	Mgmt	For	For	For	
7	Elect Francisco Tosta Valim Filho	Mgmt	For	For	For	
8	Elect Melissa Bethell	Mgmt	For	For	For	
9	Elect Devin O'Reilly	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Renumeration of Directors of the Company	Mgmt	For	For	For	
12	Amendments to Articles	Mgmt	For	Abstain	Against	
<hr/>						
B2W - Companhia Digital						
Ticker	Security ID:	Meeting Date		Meeting Status		
BTOW3	CINS P19055113	03/25/2017		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	

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	(Reconciliation of Share Capital)				
3	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
4	Increase in Authorized Capital	Mgmt	For	Against	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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B2W - Companhia Digital						
Ticker	Security ID:	Meeting Date		Meeting Status		
BTOW3	CINS P19055113	04/27/2017		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Consolidation of Articles	Mgmt	For	For	For	

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B2W - Companhia Digital						
Ticker	Security ID:	Meeting Date		Meeting Status		
BTOW3	CINS P19055113	04/27/2017		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Election of Directors	Mgmt	For	For	For	
7	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
8	Remuneration Policy	Mgmt	For	Against	Against	

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BR Properties S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
BRPR3	CINS P1909V120	04/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Capital Expenditure Budget	Mgmt	For	For	For	
6	Remuneration Policy	Mgmt	For	For	For	

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BR Properties S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
BRPR3	CINS P1909V120	10/31/2016		Voted		
Meeting Type		Country of Trade				
Special		Brazil				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of Stock Option Plan	Mgmt	For	For	For
4	Approval of Restricted Stock Option Plan	Mgmt	For	For	For
5	Amendments to Article 12 (Board of Directors)	Mgmt	For	For	For
6	Merger Agreements (BRPR III; BRPR V; BRPR VIII; BRPR X; BRPR XVII; BRPR XXII; BRPR XXIV; BRPR XXV; and, BRPR XXVII)	Mgmt	For	For	For
7	Ratification of Appointment of Appraiser (BRPR III; BRPR V; BRPR VIII; BRPR X; BRPR XVII; BRPR XXII; BRPR XXIV; BRPR XXV; and, BRPR XXVII)	Mgmt	For	For	For
8	Valuation Reports (BRPR III; BRPR V; BRPR VIII; BRPR X; BRPR XVII; BRPR XXII; BRPR XXIV; BRPR XXV; and, BRPR XXVII)	Mgmt	For	For	For
9	Merger by Absorption (BRPR III)	Mgmt	For	For	For
10	Merger by Absorption (BRPR V)	Mgmt	For	For	For
11	Merger by Absorption (BRPR VIII)	Mgmt	For	For	For
12	Merger by Absorption (BRPR X)	Mgmt	For	For	For
13	Merger by Absorption (BRPR XVII)	Mgmt	For	For	For
14	Merger by Absorption (BRPR XXII)	Mgmt	For	For	For
15	Merger by Absorption (BRPR XXIV)	Mgmt	For	For	For
16	Merger by Absorption (BRPR XXV)	Mgmt	For	For	For
17	Merger by Absorption (BRPR XXVII)	Mgmt	For	For	For

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18	Merger Agreement (BRPR 53)	Mgmt	For	For	For
19	Ratification of Appointment of Appraiser (BRPR 53)	Mgmt	For	For	For
20	Valuation Report (BRPR 53)	Mgmt	For	For	For
21	Merger by Absorption (BRPR 53)	Mgmt	For	For	For
22	Authorisation of Legal Formalities	Mgmt	For	For	For

Bradespar S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BRAP4	CINS P1808W104	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Aloisio Macario Ferreira de Souza as Representative of Preferred Shareholders	Mgmt	For	For	For
8	Elect Andre Leal Faoro as Representative of Preferred Shareholders	Mgmt	For	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Elect Luiz Eduardo Nobre Borges as Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
12	Elect Andre Eduardo Dantas as Representative of Preferred Shareholders	Mgmt	N/A	For	N/A

Centro De Image Diagnosticos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AALR3	CINS P2380S107	04/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	N/A	N/A
10	Election of Board Member Representative of Minority Shareholders	Mgmt	For	Abstain	Against
11	Remuneration Policy	Mgmt	For	For	For

Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status		
HGTX3	CINS P25849160	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Directors	Mgmt	For	Against	Against
8	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
9	Remuneration Policy	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cia Hering

Ticker	Security ID:	Meeting Date	Meeting Status		
HGTX3	CINS P25849160	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capitalisation of Reserves w/o Share Issuance	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status		
CSMG3	CINS P28269101	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	Against	Against
4	Donation to Subsidiary (COPANOR)	Mgmt	For	For	For
5	Charitable Donations	Mgmt	For	For	For

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	(Military Police of Minas Gerais State)					
6	Charitable Donations (State Military Cabinet)	Mgmt	For	For	For	
7	Authorise the Board of Directors to Amend Agreements	Mgmt	For	For	For	

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status		
CSMG3	CINS P28269101	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	Against	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Capital Expenditure Budget	Mgmt	For	For	For
7	Publication of Company Notices	Mgmt	For	For	For
8	Board Size; Supervisory Council Size	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Election of Directors	Mgmt	For	N/A	N/A
12	Election of Gustavo Rocha Gattass as Representative of Minority Shareholders	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Election of Supervisory Council	Mgmt	For	Abstain	Against
16	Elect Adriano Cives Seabra as Representative of Minority Shareholders	Mgmt	N/A	For	N/A

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status		
CSMG3	CINS P28269101	07/07/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Authority to Issue Debt Instruments	Mgmt	For	For	For

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Companhia de Saneamento de Minas Gerais - COPASA MG

Ticker	Security ID:	Meeting Date	Meeting Status		
CSMG3	CINS P28269101	09/13/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Increase in Authorised Capital; Capitalisation of Reserves	Mgmt	For	For	For
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For
5	Amend Dividend Distribution Date	Mgmt	For	For	For
6	Dividend Policy	Mgmt	For	For	For

Companhia Energetica de Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CESP6	CINS P25784193	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Elect Adriano Cives Seabra to the Board as Representative of Preferred Shareholders	Mgmt	For	For	For
7	Elect Charles Rene Lebarbenchon to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	For	For	For

CVC Brasil Operadora E Agencia De Viagens S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CVCB3	CINS P3R154102	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Capital Expenditure Budget	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	04/28/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Merger by Absorption	Mgmt	For	For	For	
5	Elect Silvio J. Genesini as Vice Chair	Mgmt	For	For	For	
6	Board Size	Mgmt	For	For	For	
7	Matching Shares Plan	Mgmt	For	For	For	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Elect Luis Otavio Saliba Furtado	Mgmt	For	N/A	N/A	
11	Elect Eduardo Saggioro	Mgmt	For	For	For	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	06/02/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Acquisition (Check In Participacoes Ltda.)	Mgmt	For	For	For	
4	Long-Term Incentive Plans	Mgmt	For	Against	Against	
5	Election of Directors	Mgmt	For	For	For	
6	Ratify Updated Global Remuneration Amount	Mgmt	For	Against	Against	
7	Authorisation of Legal Formalities	Mgmt	For	For	For	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CVCB3	CINS P3R154102	06/02/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Articles (Board Size)	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	

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5	(Board of Directors) Authorisation of Legal Formalities	Mgmt	For	For	For	
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CVC Brasil Operadora E Agencia De Viagens S.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CVCB3	CINS P3R154102	10/20/2016		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
5	Stock Option Plan	Mgmt	For	Against	Against	
6	Amendment to the Fourth Stock Option Plan	Mgmt	For	Against	Against	
7	Amendment to the Fifth Stock Option Plan	Mgmt	For	Against	Against	
8	Election of Directors	Mgmt	For	For	For	
<hr/>						
Cyrela Brazil Realty SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
CYRE3	CINS P34085103	01/24/2017		Voted		
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Article 31 (Executive Board)	Mgmt	For	For	For	
4	Amendments to Article 37 (Company Representatives)	Mgmt	For	For	For	
5	Consolidation of Articles	Mgmt	For	For	For	
<hr/>						
Cyrela Brazil Realty SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
CYRE3	CINS P34085103	04/28/2017		Voted		
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Remuneration Report	Mgmt	For	For	For	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
<hr/>						
Cyrela Brazil Realty SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
CYRE3	CINS P34085103	04/28/2017		Voted		
Meeting Type	Country of Trade					

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Co-Chair)	Mgmt	For	For	For
3	Election of Co-Chair	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For
5	Extend Stock Option Plan	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
DTEX3	CINS P3593G146	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Directors	Mgmt	For	For	For
8	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
9	Remuneration Policy	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Duratex S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
DTEX3	CINS P3593G146	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Co-chair)	Mgmt	For	For	For
3	Consolidation of Articles	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ecorodovias Infraestrutura e Logistica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOR3	CINS P3661R107	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For

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3	Capital Expenditure Budget	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ecorodovias Infraestrutura e Logistica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOR3	CINS P3661R107	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Interim Financial Statements	Mgmt	For	For	For
4	Authority to Reduce Share Capital	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Alternate Directors	Mgmt	For	For	For
8	Authorisation of Legal Formalities	Mgmt	For	For	For

Ecorodovias Infraestrutura e Logistica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOR3	CINS P3661R107	10/13/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Joao Francisco Rached de Oliveira	Mgmt	For	For	For

Eletropaulo Metropolitana Eletricidade Sao Paulo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELPL4	CINS P3R10G191	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Elect Daniel Carlin Epstein as Alternate Board Member Representing Preferred Shareholders	Mgmt	For	For	For
7	Elect Ana Maria Loureiro Recart as	Mgmt	N/A	For	N/A

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	Supervisory Council Member Representative of Preferred Shareholders				
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	Against	Against
9	Remuneration Policy	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	09/08/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendment to Stock Option Plan	Mgmt	For	Against	Against
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Andre Ferreira Martins Assumpcao	Mgmt	For	For	For

Even Construtora e Incorporadora S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EVEN3	CINS P3904U107	11/28/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 20 (Board's Competencies)	Mgmt	For	For	For
4	Amendments to Article 17 (Election of Board Members)	Mgmt	For	Against	Against

Ez Tec Empreendimentos E Participacoes S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
EZTC3	CINS P3912H106	04/28/2017	Voted		

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Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Directors	Mgmt	For	For	For
8	Election of Board Member Representative of Minority Shareholders	Mgmt	For	N/A	N/A
9	Remuneration Report	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fleury SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FLRY3	CINS P418BW104	04/12/2017	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
4	Amendments to Articles (Executive Board)	Mgmt	For	For	For
5	Amendments to Article 21 (CFO Responsibilities)	Mgmt	For	For	For
6	Amendments to Article 33 (Dividends and Interest on Capital)	Mgmt	For	For	For
7	Consolidation of Articles	Mgmt	For	For	For

Fleury SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FLRY3	CINS P418BW104	04/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Dividends	Mgmt	For	For	For
7	Allocation of Profits	Mgmt	For	For	For
8	Board Size	Mgmt	For	For	For

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9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Directors	Mgmt	For	For	For
11	Remuneration Policy	Mgmt	For	For	For

Fleury SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FLRY3	CINS P418BW104	07/25/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Stock Option Plan	Mgmt	For	For	For

FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
PARC3	CINS P4R92B100	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Remove Aristoteles Alves Menezes Junior; Elect Gustavo de Moraes Fernandes	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
PARC3	CINS P4R92B100	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

FPC Par Corretora De Seguros S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
PARC3	CINS P4R92B100	05/03/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Fernando Carlos Borges de Melo Filho as Board Chair	Mgmt	For	For	For



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FPC Par Corretora De Seguros S.A						
Ticker	Security ID:	Meeting Date	Meeting Status			
PARC3	CINS P4R92B100	09/01/2016	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Interim Dividend	Mgmt	For	For	For	
4	Election of Board Chairman	Mgmt	For	For	For	
5	Election of Directors	Mgmt	For	Abstain	Against	

FPC Par Corretora De Seguros S.A						
Ticker	Security ID:	Meeting Date	Meeting Status			
PARC3	CINS P4R92B100	12/01/2016	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Interim Dividend	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Election of Directors	Mgmt	For	For	For	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos						
Ticker	Security ID:	Meeting Date	Meeting Status			
BRCR11	CINS P458C1107	04/13/2017	Voted			
Meeting Type	Country of Trade					
Bond	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	

Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos						
Ticker	Security ID:	Meeting Date	Meeting Status			
BRCR11	CINS P458C1107	04/13/2017	Voted			
Meeting Type	Country of Trade					
Ordinary	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Election of Monitoring Committee	Mgmt	For	Abstain	Against	

Fundo Invest Imobiliario FII BTG Pactual Fundo de Fundos						
Ticker	Security ID:	Meeting Date	Meeting Status			
BRCR11	CINS P458C1107	10/13/2016	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Article 10 (Risks)	Mgmt	For	For	For	
3	Amendments to Article	Mgmt	For	For	For	

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4	10 (Fund Manager) Amendments to Article 11 (Technical Analysis)	Mgmt	For	For	For
5	Amendments to Article 12 (Fund Management Services)	Mgmt	For	For	For
6	Amendments to Article 14 (Fund Participation)	Mgmt	For	For	For
7	Amendments to Article 16 (Listing of Fund Subscriptions)	Mgmt	For	For	For
8	Amendments to Article 18 (Participation Quotas)	Mgmt	For	For	For
9	Amendments to Article 22 (Fund Manager's Responsibility)	Mgmt	For	For	For
10	Amendments to Article 26 (Fund Manager Fees)	Mgmt	For	For	For
11	Amendments to Article 41 (Fund Subscribers' Representative)	Mgmt	For	For	For
12	Amendments to Article 49 (Arbitration)	Mgmt	For	For	For
13	Consolidation of Articles	Mgmt	For	For	For
14	Maintain Fund Management Fee Calculation	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANIM3	CINS P4611H116	03/21/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Antonoaldo Grangeon Trancoso Neves	Mgmt	For	For	For
4	Elect Henrique Teixeira Alvares	Mgmt	For	For	For

Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANIM3	CINS P4611H116	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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3	Amendments to Article 3 (Registered Address)	Mgmt	For	For	For
4	Amendments to Article 12 (Board Size)	Mgmt	For	For	For
5	Amendments to Article 12 (Alternate Board Members)	Mgmt	For	For	For
6	Consolidation of Article	Mgmt	For	For	For

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Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
ANIM3	CINS P4611H116	04/26/2017	Voted

Meeting Type	Country of Trade
Annual	Brazil

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Remuneration Policy	Mgmt	For	Against	Against
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Ana Maria Falleiros dos Santos Diniz D'Avila	Mgmt	For	For	For
11	Elect Antonoaldo Grangeon Trancoso Neves	Mgmt	For	For	For
12	Elect Daniel Faccini Castanho	Mgmt	For	For	For
13	Elect Daniel Krepel Goldberg	Mgmt	For	For	For
14	Elect Flavia Buarque de Almeida	Mgmt	For	For	For
15	Elect Henrique Teixeira Alvares	Mgmt	For	For	For
16	Elect Marcelo Battistella Bueno	Mgmt	For	For	For
17	Elect Ryon Cassio Braga	Mgmt	For	For	For
18	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
19	Request Establishment of a Supervisory Council	Mgmt	N/A	For	N/A

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Gaec Educacao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
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ANIM3	CINS P4611H116	10/31/2016	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amend Article 3 (Registered Address)	Mgmt	For	For	For	
4	Amendment to Article 21 (Strategic Committee)	Mgmt	For	For	For	
5	Amend Article 12 (Board Size)	Mgmt	For	For	For	
6	Consolidation of Articles	Mgmt	For	For	For	
7	Adopt Cumulative Voting Process for the Election of Director(s)	Mgmt	N/A	Abstain	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Elect Ryon Cassio Braga	Mgmt	For	Against	Against	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Election of Alternate Board Member	Mgmt	For	For	For	
12	Cast Votes (Where Cumulative Voting Process is Adopted)	Mgmt	N/A	Abstain	N/A	
13	Request the Establishment of a Supervisory Council	Mgmt	N/A	For	N/A	
14	Election of Supervisory Council Member Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A	
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Grendene SA

Ticker	Security ID:	Meeting Date	Meeting Status			
GRND3	CINS P49516100	04/10/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Publication of Company Notices	Mgmt	For	For	For	

Grendene SA

Ticker	Security ID:	Meeting Date	Meeting Status			
GRND3	CINS P49516100	04/10/2017	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	Against	Against

Iguatemi Empresa de Shopping Centers S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
IGTA3	CINS P5352J104	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For
5	Remuneration Policy	Mgmt	For	Against	Against
6	Establishment of Supervisory Council	Mgmt	N/A	For	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Iochpe-Maxion SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MYPK3	CINS P58749105	01/09/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendment to Article 6 (Increase in Authorised Capital)	Mgmt	For	For	For
4	Amendments to Article 27 (Board of Directors)	Mgmt	For	For	For

Iochpe-Maxion SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MYPK3	CINS P58749105	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	For	For
9	Election of Minority Representative(s) to	Mgmt	For	N/A	N/A

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	the Board of Directors					
10	Ratify Adjustments to Global Remuneration Amount for 2015	Mgmt	For	Abstain	Against	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JSLG3	CINS P6065E101	04/27/2017	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Remuneration Policy	Mgmt	For	For	For

JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JSLG3	CINS P6065E101	04/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Election of Directors	Mgmt	For	For	For
7	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

JSL S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JSLG3	CINS P6065E101	08/31/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Spin-off Reports (Movida Participacoes S.A and Movida Gestao e Terceirizacao de Frotas S.A.)	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser (Movida Participacoes)	Mgmt	For	For	For
5	Valuation Report (Movida Participacoes)	Mgmt	For	For	For

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6	Merger by Absorption (Movida Participacoes)	Mgmt	For	For	For
7	Ratification of Appointment of Appraiser (Movida GTF)	Mgmt	For	For	For
8	Valuation Report (Movida GTF)	Mgmt	For	For	For
9	Merger by Absorption (Movida GTF)	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/13/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	Against	Against

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/28/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Jose Afonso Bicalho Beltrao da Silva	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Request Establishment of a Supervisory Council	Mgmt	N/A	For	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Election of Supervisory Council	Mgmt	For	N/A	N/A
8	Elect Raphael Manhaes Martins to the	Mgmt	For	For	For

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	Supervisory Council as Representative of Minority Shareholders					
9	Remuneration Report	Mgmt	For	Against	Against	
10	Supervisory Council's Fees	Mgmt	For	For	For	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	12/12/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval of Restated Financial Statements for 2015	Mgmt	For	For	For

Light SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LIGT3	CINS P63529104	12/14/2016	Voted		
Meeting Type		Country of Trade			
Special		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Giles Carriconde Azevedo	Mgmt	For	For	For

Linx S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
LINX3	CINS P6S933101	04/07/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Election of Directors	Mgmt	For	Against	Against
9	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
10	Remuneration Policy	Mgmt	For	For	For



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Linx S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
LINX3	CINS P6S933101	04/07/2017	Voted			
Meeting Type	Country of Trade					
Special	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Amend Article 2 (Relocation of Company's Head Office)	Mgmt	For	For	For	
5	Amend Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For	
6	Amend Article 26 (Management Board Terms)	Mgmt	For	For	For	
7	Consolidation of Articles	Mgmt	For	For	For	
Magazine Luiza SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
MGLU3	CINS P6425Q109	04/20/2017	Voted			
Meeting Type	Country of Trade					
Annual	Brazil					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Board Size	Mgmt	For	For	For	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Election of Directors	Mgmt	For	For	For	
10	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
13	Election of Supervisory Council	Mgmt	For	Abstain	Against	
14	Elect Eduardo Christovam Galdi Mestieri as Representative of Minority Shareholders to the Supervisory Council	Mgmt	N/A	For	N/A	
15	Remuneration Policy	Mgmt	For	Against	Against	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Magazine Luiza SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
MGLU3	CINS P6425Q109	04/20/2017		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Cancellation of Stock Option Plan	Mgmt	For	For	For	
3	Restricted Share Plan	Mgmt	For	Against	Against	
4	Ratify Updated Global Remuneration Amount for 2016	Mgmt	For	For	For	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Magnesita Refratarios SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
MAGG3	CINS P6426L158	04/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Election of Supervisory Council	Mgmt	For	For	For	
9	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Election of Directors	Mgmt	For	Against	Against	
13	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A	
14	Remuneration Policy	Mgmt	For	For	For	
15	Supervisory Council's Fees	Mgmt	For	For	For	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Magnesita Refratarios SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
MAGG3	CINS P6426L158	04/28/2017		Voted		
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Cancellation of Treasury Shares	Mgmt	For	For	For
4	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For	For	For

Magnesita Refratarios SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGG3	CINS P6426L158	08/08/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Ratify Co-option of Octavio Cortes Pereira Lopes; Ratification of Appointment of Chairman and Vice Chairman	Mgmt	For	For	For
4	Authority to Reduce Share Capital	Mgmt	For	For	For
5	Amendments to Articles (Share Capital Reconciliation)	Mgmt	For	For	For

Magnesita Refratarios SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGG3	CINS P6426L158	08/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For

Mahle Metal Leve SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LEVE3	CINS P6528U106	04/27/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Policy	Mgmt	For	Against	Against
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mahle Metal Leve SA

Ticker	Security ID:	Meeting Date	Meeting Status
LEVE3	CINS P6528U106	04/27/2017	Voted

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Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Capital Expenditure Budget	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Election of Directors	Mgmt	For	N/A	N/A	
9	Elect Mauro Gentile Rodrigues da Cunha as Representative of Minority Shareholders	Mgmt	For	For	For	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Election of Supervisory Council	Mgmt	For	Abstain	Against	
13	Elect Mario Probst to Supervisory Council as Representative of Minority Shareholders	Mgmt	N/A	For	N/A	
14	Supervisory Council Fees	Mgmt	For	For	For	
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Marcopolo SA

Ticker	Security ID:	Meeting Date	Meeting Status			
POMO4	CINS P64331112	03/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Elect Egon Handel to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	For	For	For	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Marfrig Global Foods S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
MRFG3	CINS P64386116	04/28/2017	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Election of Directors	Mgmt	For	For	For
6	Approve Recasting of Votes for Amended Slate	Mgmt	N/A	Against	N/A
7	Allocate Votes for Cumulative Voting	Mgmt	N/A	Against	N/A
8	Elect Marcos Antonio Molina dos Santos	Mgmt	N/A	Abstain	N/A
9	Elect Marcia Aparecida Pascoal Marcal dos Santos	Mgmt	N/A	Abstain	N/A
10	Elect Alain Emilie Henry Martinet	Mgmt	N/A	Abstain	N/A
11	Elect Rodrigo Marcal Filho	Mgmt	N/A	Abstain	N/A
12	Elect Antonio Maciel Neto	Mgmt	N/A	Abstain	N/A
13	Elect Carlos Geraldo Langoni	Mgmt	N/A	Abstain	N/A
14	Elect David G. McDonald	Mgmt	N/A	Abstain	N/A
15	Elect Marcelo Maia de Azevedo Correa	Mgmt	N/A	Abstain	N/A
16	Elect Ian David Hill	Mgmt	N/A	Abstain	N/A
17	Elect Roberto Faldini	Mgmt	N/A	Abstain	N/A
18	Elect Ernesto Lozardo	Mgmt	N/A	Abstain	N/A
19	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
20	Approve Recasting of Votes for Amended Supervisory Council Slate	Mgmt	N/A	Against	N/A
21	Remuneration Policy	Mgmt	For	Against	Against

Marfrig Global Foods S.A.

Ticker	Security ID:	Meeting Date		Meeting Status	
MRFG3	CINS P64386116	07/04/2016		Voted	
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For

Marfrig Global Foods S.A.

Ticker	Security ID:	Meeting Date		Meeting Status	
MRFG3	CINS P64386116	10/31/2016		Voted	
Meeting Type	Country of Trade				
Special	Brazil				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Merger Agreement	Mgmt	For	For	For
3	Ratification of Appointment of Appraiser	Mgmt	For	For	For
4	Valuation Report	Mgmt	For	For	For
5	Merger by Absorption (MFB Marfrig Frigorificos Brasil)	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Marfrig Global Foods S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
MRF3	CINS P64386116	11/07/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Roberto Faldini	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Metalurgica Gerdau SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GOAU4	CINS P4834C118	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Election of Board Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
7	Elect Wilton de Medeiros Daher to the Supervisory Council as Representative of Preferred Shareholders	Mgmt	N/A	For	N/A

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### Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	03/31/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger by Absorption (Mato Grosso Bovinos)	Mgmt	For	For	For

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	S.A.)				
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger Agreement	Mgmt	For	For	For
7	Increase in Authorised Capital	Mgmt	For	Against	Against
8	Amendments to Article 19	Mgmt	For	For	For
9	Consolidation of Articles	Mgmt	For	For	For
10	Stock Option Plan	Mgmt	For	Against	Against
11	Authorisation of Legal Formalities	Mgmt	For	For	For

Minerva SA

Ticker	Security ID:	Meeting Date	Meeting Status		
BEEF3	CINS P6831V106	03/31/2017	Voted		
Meeting Type		Country of Trade			
Annual		Brazil			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Election of Directors	Mgmt	For	For	For
8	Establish Supervisory Council	Mgmt	N/A	For	N/A
9	Supervisory Council Size	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Election of Supervisory Council	Mgmt	For	For	For
13	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
14	Remuneration Report	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Modec Incorporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6269	CINS J4636K109	03/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Toshiroh Miyazaki	Mgmt	For	For	For
3	Elect Teruhisa Konishi	Mgmt	For	For	For

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4	Elect Minoru Sawada	Mgmt	For	For	For
5	Elect Shinsuke Minoda	Mgmt	For	For	For
6	Elect Shinsuke Nippo	Mgmt	For	For	For
7	Elect Yoshio Kometani	Mgmt	For	For	For
8	Elect Katsuya Natori	Mgmt	For	For	For
9	Elect Shigenobu Aikyoh	Mgmt	For	Against	Against
10	Elect Hiroshi Kanno	Mgmt	For	For	For
11	Elect Katsunori Aikyoh	Mgmt	For	For	For
12	Elect Kenji Seto	Mgmt	For	For	For
13	Elect Yoshihiro Katoh	Mgmt	For	For	For
14	Elect Kazumi Inoue	Mgmt	For	For	For
15	Bonus	Mgmt	For	For	For

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	01/30/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Special Dividend	Mgmt	For	For	For

MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	04/28/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	Against	Against
4	Amendments to Article 5 (Capitalisation of Reserves w/o Share Issuance)	Mgmt	For	For	For
5	Amendments to Article 6 (Increase in Authorised Capital)	Mgmt	For	Against	Against
6	Amendments to Article 9 (General Shareholders' Meeting)	Mgmt	For	For	For
7	Amendments to Article 10 (Shareholders' Meeting Participation)	Mgmt	For	For	For
8	Amendments to Article 15 (Board of Directors)	Mgmt	For	For	For
9	Amendments to Article 21 (Directors' Responsibilities)	Mgmt	For	For	For
10	Amendments to Article 22 (Board Chair)	Mgmt	For	For	For
11	Amendments to Article 23 (Executive Committee)	Mgmt	For	For	For



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12	Amendments to Article 24 (Executive Directors)	Mgmt	For	For	For
13	Amendments to Article 28 (Executive Directors' Responsibilities)	Mgmt	For	For	For
14	Amendments to Article 33 (Financial Statements)	Mgmt	For	For	For
15	Amendments to Articles (Renumbering and Technical)	Mgmt	For	For	For
16	Consolidation of Articles	Mgmt	For	For	For

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MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Capital Expenditure Budget	Mgmt	For	For	For
7	Remuneration Policy	Mgmt	For	For	For
8	Elect Rubens Menin Teixeira de Souza	Mgmt	For	For	For
9	Elect Marcos Alberto Cabaleiro Fernandez	Mgmt	For	For	For
10	Elect Rafael Nazareth Menin Teixeira de Souza	Mgmt	For	Against	Against
11	Elect Paulo Eduardo Rocha Brant	Mgmt	For	For	For
12	Elect Marco Aurelio de Vasconcelos Cancado	Mgmt	For	For	For
13	Elect Sinai Waisberg	Mgmt	For	For	For
14	Elect Betania Tanure de Barros	Mgmt	For	For	For

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MRV Engenharia e Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVE3	CINS P6986W107	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Paulo Eduardo	Mgmt	For	For	For

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4	Rocha Brant Minutes	Mgmt	For	For	For
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Odontoprev SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ODPV3	CINS P7344M104	03/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Capital Expenditure Budget	Mgmt	For	For	For
6	Remuneration Report	Mgmt	For	For	For
7	Establishment and Election of Supervisory Council	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Supervisory Council	Mgmt	For	For	For
11	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A

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Oi S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
OIBR4	CINS P73531108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Losses/Dividends	Mgmt	For	For	For
4	Remuneration Policy	Mgmt	For	Against	Against
5	Election of Directors	Mgmt	For	For	For
6	Election of Supervisory Council	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRML3	CINS P7922A118	02/24/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approve Second Valuation Report	Mgmt	N/A	For	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Appoint Ernst & Young Assessoria Financeira Ltda.	Mgmt	For	N/A	N/A
6	Appoint Alternative Appraiser Nominated by Shareholders	Mgmt	For	Abstain	Against
7	Set Appraiser Fees	Mgmt	N/A	For	N/A
8	Set Deadline for Second Valuation Report	Mgmt	N/A	For	N/A

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRML3	CINS P7922A118	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Election of Directors	Mgmt	For	For	For
7	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
8	Remuneration Policy	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRML3	CINS P7922A118	07/08/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Jose Alberto de Paula Torres Lima	Mgmt	For	For	For
4	Update Administrators' Aggregate Remuneration	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Prumo Logistica S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
PRML3	CINS P7922A118	11/25/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Appoint Brasil Plural S.A. Banco Multiplo	Mgmt	For	N/A	N/A
5	Appoint BR Partners Assessoria Financeira Ltda.	Mgmt	For	For	For
6	Appoint Ernst & Young Assessoria Financeira	Mgmt	For	N/A	N/A
7	Delisting	Mgmt	For	For	For
8	Category A Deregistration	Mgmt	For	For	For

QGEF Participacoes SA

Ticker	Security ID:	Meeting Date	Meeting Status		
QGEF3	CINS P7920K100	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Management Report on Financial Statements	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For
7	Establish Supervisory Council	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Supervisory Council; Fees	Mgmt	For	For	For
11	Election of Supervisory Council Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
12	Supervisory Council Fees	Mgmt	For	For	For

Qualicorp SA

Ticker	Security ID:	Meeting Date	Meeting Status		
QUAL3	CINS P7S21H105	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Board Size	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Election of Directors	Mgmt	For	Against	Against
7	Remuneration Policy	Mgmt	For	Against	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ser Educacional S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SEER3	CINS P8T84T100	04/25/2017	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Election of Board Member Representative of Minority Shareholders	Mgmt	For	N/A	N/A
11	Installation of Supervisory Council	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Election of Supervisory Council	Mgmt	For	Against	Against
15	Elect Supervisory Council Member as Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
16	Remuneration Policy	Mgmt	For	For	For

Slc Agricola S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SLCE3	CINS P8711D107	04/26/2017	Voted
Meeting Type	Country of Trade		
Annual	Brazil		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	Against	Against
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Election of Board Member(s)	Mgmt	For	N/A	N/A

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Representative of  
Minority  
Shareholders

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### Slc Agricola S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
SLCE3	CINS P8711D107	09/01/2016	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Spin-off and Merger Agreement	Mgmt	For
4	Ratification of Appointment of Appraiser	Mgmt	For
5	Valuation Report	Mgmt	For
6	Merger by Absorption (Planorte)	Mgmt	For

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### Smiles SA

Ticker	Security ID:	Meeting Date	Meeting Status
SMLE3	CINS P8T12E100	03/17/2017	Voted
Meeting Type	Country of Trade		
Special	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Amendments to Articles (Reconciliation of Share Capital)	Mgmt	For

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### Smiles SA

Ticker	Security ID:	Meeting Date	Meeting Status
SMLE3	CINS P8T12E100	03/17/2017	Voted
Meeting Type	Country of Trade		
Annual	Brazil		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Remuneration Policy	Mgmt	For
6	Board Size	Mgmt	For
7	Non-Voting Agenda Item	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A
9	Election of Directors	Mgmt	For
10	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For
11	Non-Voting Meeting Note	N/A	N/A
12	Non-Voting Meeting Note	N/A	N/A

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### Smiles SA

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Ticker	Security ID:	Meeting Date	Meeting Status		
SMLE3	CINS P8T12E100	06/30/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approve Merger	Mgmt	For	For	For
4	Ratify Ernst & Young and Cabrera Assessoria as Independent Firms to Appraise Proposed Transaction	Mgmt	For	For	For
5	Approve Independent Firm's Appraisals	Mgmt	For	For	For
6	Approve Merger and Subsequent Extinction of Company	Mgmt	For	For	For
7	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

Smiles SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SMLE3	CINS P8T12E100	11/25/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Fabio Bruggioni	Mgmt	For	For	For
5	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For
6	Amendments to Article 13 (Election of Board Members)	Mgmt	For	Against	Against
7	Amendments to Article 18 (Management Board)	Mgmt	For	For	For

Sonda SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SONDA	CINS P87262104	04/21/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Chile				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits; Dividend Policy	Mgmt	For	For	For
3	Election of Directors; Directors' Fees;	Mgmt	For	Abstain	Against

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	Directors' Committee Fees and Budget					
4	Presentation of Report on Directors' Committee Activities and Expenditures	Mgmt	For	For	For	
5	Presentation of Report on Related Party Transactions	Mgmt	For	For	For	
6	Appointment of Auditor and Risk Rating Agency	Mgmt	For	For	For	
7	Publication of Company Notices	Mgmt	For	For	For	
8	Presentation of Report of Shareholder Communication Costs	Mgmt	For	For	For	
9	Transaction of Other Business	Mgmt	For	Against	Against	

TOTVS S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
TOTS3	CINS P92184103	04/20/2017	Voted			
Meeting Type		Country of Trade				
Annual		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Capital Expenditure Budget	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	

TOTVS S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
TOTS3	CINS P92184103	04/20/2017	Voted			
Meeting Type		Country of Trade				
Special		Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Elect Henrique Andrade Trinckquel Filho	Mgmt	For	For	For	
5	Elect Maria Leticia de Freitas Costa	Mgmt	For	For	For	
6	Elect Gilberto Mifano	Mgmt	For	For	For	
7	Remuneration Policy	Mgmt	For	For	For	
8	Capitalization of Reserves w/o Share Issuance	Mgmt	For	For	For	
9	Increase in Authorized Capital	Mgmt	For	For	For	



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Tupy SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPY3	CINS P9414P108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Board Size	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Election of Directors	Mgmt	For	For	For
10	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
11	Election of Chair and Vice Chair	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Election of Supervisory Council	Mgmt	For	Abstain	Against
15	Elect Joao Augusto Monteiro to Supervisory Council as Representative of Minority Shareholders	Mgmt	N/A	For	N/A
16	Remuneration Policy	Mgmt	For	For	For

Tupy SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPY3	CINS P9414P108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Article 2 (Closing of Company Branch)	Mgmt	For	For	For
4	Consolidation of Articles	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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(Valid)

Ticker	Security ID:	Meeting Date	Meeting Status
VLID3	CINS P9656C112	04/28/2017	Voted
Meeting Type	Country of Trade		
Special	Brazil		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capitalisation of Reserves for Bonus Share Issuance	Mgmt	For	For	For
3	Amendments to Article 33 (Supervisory Council)	Mgmt	For	For	For
4	Amendments to Articles (Remuneration Committee)	Mgmt	For	For	For
5	Amendments to Articles (Audit Committee)	Mgmt	For	For	For
6	Consolidation of Articles	Mgmt	For	For	For
7	Long-Term Incentive Share Plan	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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(Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	04/28/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Elect Sidney Levy	Mgmt	N/A	Abstain	N/A
6	Elect Marcilio Marques Moreira	Mgmt	N/A	For	N/A
7	Elect Luiz Mauricio Leuzinger	Mgmt	N/A	For	N/A
8	Elect Guilherme Affonso Ferreira	Mgmt	N/A	Abstain	N/A
9	Elect Claudio Almeida Prado	Mgmt	N/A	For	N/A
10	Elect Regis Lemos de Abreu Filho	Mgmt	N/A	For	N/A
11	Elect Bruno Constantino Alexandre dos Santos	Mgmt	N/A	For	N/A
12	Remuneration Policy	Mgmt	For	For	For
13	Elect Diogo Lisa de Figueiredo	Mgmt	N/A	For	N/A
14	Elect Vanderlei da Rosa	Mgmt	N/A	For	N/A
15	Elect Murici dos Santos	Mgmt	N/A	For	N/A
16	Supervisory Council's Fees	Mgmt	For	For	For

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17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A.

(Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	05/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Capitalisation of Reserves for Bonus Share Issuance	Mgmt	For	For	For
5	Amendments to Article 33 (Supervisory Council)	Mgmt	For	For	For
6	Amendments to Article 21 (Remuneration Committee)	Mgmt	For	For	For
7	Amendments to Article 20 (Audit Committee)	Mgmt	For	For	For
8	Consolidation of Articles	Mgmt	For	For	For

Valid Solucoes e Servicos de Seguranca em Meios de Pagamento e Identificacao S.A.

(Valid)

Ticker	Security ID:	Meeting Date	Meeting Status		
VLID3	CINS P9656C112	12/09/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For

Via Varejo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
VVAR11	CINS P9783A153	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Board Size	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Election of Directors	Mgmt	For	For	For

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7	Election of Board Member(s) Representative of Minority Shareholders	Mgmt	For	N/A	N/A
8	Election of Board Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Election of Supervisory Council	Mgmt	For	For	For
12	Election of Marcel Cecchi Vieira to the Supervisory Council Member Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
13	Election of Supervisory Council Member(s) Representative of Preferred Shareholders	Mgmt	N/A	Abstain	N/A
14	Remuneration Policy	Mgmt	For	Against	Against
15	Supervisory Council's Fees	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Via Varejo S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
VVAR3	CINS P9783A153	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles (Preferred Shares)	Mgmt	For	For	For
4	Reorganization / Acquisition	Mgmt	For	For	For
5	Stock Option Plan	Mgmt	For	For	For
6	Restated Financial Statements	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For

Wiz Solucoes E Corretagem De Seguros S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
WIZS3	CINS P98806105	05/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition of Quotas of Finanseg Administracao e Corretagem de Seguros	Mgmt	For	Abstain	Against
4	Interim Dividend	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

Fund Name : VanEck Vectors CEF Municipal Income ETF

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AllianceBernstein National Municipal Income Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status
AFB	CUSIP 01864U106	03/29/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect William H. Foulk, Jr.	Mgmt	For	For	For
1.2	Elect Carol C. McMullen	Mgmt	For	For	For
1.3	Elect Robert M. Keith	Mgmt	For	For	For

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BlackRock Investment Quality Municipal Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BKN	CUSIP 09247D105	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

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BlackRock Long-Term Municipal Advantage Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BTA	CUSIP 09250B103	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

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BlackRock Muni Intermediate Duration Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status
MUI	CUSIP 09253X102	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect Michael J.	Mgmt	For	For	For
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1.2	Castellano Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock Muniassets Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MUA	CUSIP 09254J102	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

BlackRock Municipal 2018 Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BPK	CUSIP 09248C106	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

BlackRock Municipal 2020 Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
BKK	CUSIP 09249X109	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

BlackRock Municipal 2030 Target Term Trust

Ticker	Security ID:	Meeting Date	Meeting Status
BTT	CUSIP 09257P105	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

**BlackRock Municipal Bond Trust**

Ticker	Security ID:	Meeting Date	Meeting Status		
BBK	CUSIP 09249H104	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

**BlackRock Municipal Income Quality Trust**

Ticker	Security ID:	Meeting Date	Meeting Status		
BYM	CUSIP 092479104	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

**BlackRock Municipal Income Trust**

Ticker	Security ID:	Meeting Date	Meeting Status		
BFK	CUSIP 09248F109	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

**BlackRock Municipal Income Trust II**

Ticker	Security ID:	Meeting Date	Meeting Status		
BLE	CUSIP 09249N101	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect Catherine A. Lynch	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect Barbara G. Novick	Mgmt	For	For	For

BlackRock Munienhanced Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MEN	CUSIP 09253Y100	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniHoldings Fund II Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MUH	CUSIP 09253P109	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

BlackRock MuniHoldings Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MHD	CUSIP 09253N104	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For



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1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Investment Quality Fund

Ticker Security ID: Meeting Date Meeting Status  
MFL CUSIP 09254P108 07/26/2016 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Castellano	Mgmt	For	For	For
2	Elect Richard E. Cavanagh	Mgmt	For	For	For
3	Elect Cynthia L. Egan	Mgmt	For	For	For
4	Elect Jerrold B. Harris	Mgmt	For	For	For
5	Elect R. Glenn Hubbard	Mgmt	For	For	For
6	Elect Catherine A. Lynch	Mgmt	For	For	For
7	Elect Barbara G. Novick	Mgmt	For	For	For
8	Elect John M. Perlowski	Mgmt	For	For	For
9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Quality Fund II Inc

Ticker Security ID: Meeting Date Meeting Status  
MUE CUSIP 09254C107 07/26/2016 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniHoldings Quality Fund Inc

Ticker Security ID: Meeting Date Meeting Status  
MUS CUSIP 09254A101 07/26/2016 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For

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1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock Munivest Fund II Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MVT	CUSIP 09253T101	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniVest Fund, Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MVF	CUSIP 09253R105	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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BlackRock MuniYield Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MYD	CUSIP 09253W104	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For

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1.9	Elect Karen P. Robards	Mgmt	For	For	For
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BlackRock MuniYield Investment Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
MYF	CUSIP 09254R104	07/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Castellano	Mgmt	For	For	For
2	Elect Richard E. Cavanagh	Mgmt	For	For	For
3	Elect Cynthia L. Egan	Mgmt	For	For	For
4	Elect Jerrold B. Harris	Mgmt	For	For	For
5	Elect R. Glenn Hubbard	Mgmt	For	For	For
6	Elect Catherine A. Lynch	Mgmt	For	For	For
7	Elect Barbara G. Novick	Mgmt	For	For	For
8	Elect John M. Perlowski	Mgmt	For	For	For
9	Elect Karen P. Robards	Mgmt	For	For	For
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BlackRock MuniYield Quality Fund II Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
MQT	CUSIP 09254G108	07/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For
<hr/>					
BlackRock MuniYield Quality Fund III Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
MYI	CUSIP 09254E103	07/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For	For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For	For	For
1.3	Elect Cynthia L. Egan	Mgmt	For	For	For
1.4	Elect Jerrold B. Harris	Mgmt	For	For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For	For	For
1.6	Elect Catherine A. Lynch	Mgmt	For	For	For
1.7	Elect Barbara G. Novick	Mgmt	For	For	For
1.8	Elect John M. Perlowski	Mgmt	For	For	For
1.9	Elect Karen P. Robards	Mgmt	For	For	For

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### BlackRock MuniYield Quality Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status
MQY	CUSIP 09254F100	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Castellano	Mgmt	For
		For	For
1.2	Elect Richard E. Cavanagh	Mgmt	For
		For	For
1.3	Elect Cynthia L. Egan	Mgmt	For
		For	For
1.4	Elect Jerrold B. Harris	Mgmt	For
		For	For
1.5	Elect R. Glenn Hubbard	Mgmt	For
		For	For
1.6	Elect Catherine A. Lynch	Mgmt	For
		For	For
1.7	Elect Barbara G. Novick	Mgmt	For
		For	For
1.8	Elect John M. Perlowski	Mgmt	For
		For	For
1.9	Elect Karen P. Robards	Mgmt	For
		For	For

### Deutsche Municipal Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status
KTF	CUSIP 25160C106	09/30/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Henry P. Becton, Jr.	Mgmt	For
		For	For
1.2	Elect William R. McClayton	Mgmt	For
		For	For
1.3	Elect Jean Gleason Stromberg	Mgmt	For
		For	For

### Dreyfus Municipal Bond Infrastructure Fund

Ticker	Security ID:	Meeting Date	Meeting Status
DMB	CUSIP 26203D101	08/09/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect J. Charles Cardona	Mgmt	For
		For	For
1.2	Elect Robin A. Melvin	Mgmt	For
		For	For

### Dreyfus Municipal Income, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
DMF	CUSIP 26201R102	06/20/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Joseph S. DiMartino	Mgmt	For
		For	For
1.2	Elect Isabel P. Dunst	Mgmt	For
		Withhold	Against

### Dreyfus Strategic Municipal Bond Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status
DSM	CUSIP 26202F107	06/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against

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1.1	Elect Burton N. Wallack	Mgmt	For	For	Mgmt For
1.2	Elect Benaree Pratt Wiley	Mgmt	For	For	For
<hr/>					
Dreyfus Strategic Municipals Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
LEO	CUSIP 261932107	06/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ehud Houminer	Mgmt	For	For	For
1.2	Elect Gordon J. Davis	Mgmt	For	For	For
<hr/>					
Eaton Vance Municipal Bond Fund					
Ticker	Security ID:	Meeting Date	Meeting Status		
EIM	CUSIP 27827X101	07/21/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Scott E. Eston	Mgmt	For	For	For
1.2	Elect Thomas E. Faust Jr.	Mgmt	For	For	For
1.3	Elect Cynthia E. Frost	Mgmt	For	For	For
<hr/>					
Eaton Vance Municipal Bond Fund II					
Ticker	Security ID:	Meeting Date	Meeting Status		
EIV	CUSIP 27827K109	07/21/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Scott E. Eston	Mgmt	For	For	For
1.2	Elect Thomas E. Faust Jr.	Mgmt	For	For	For
1.3	Elect Cynthia E. Frost	Mgmt	For	For	For
<hr/>					
Eaton Vance Municipal Income 2028 Term Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
ETX	CUSIP 27829U105	11/17/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Scott E. Eston	Mgmt	For	For	For
1.2	Elect Thomas E. Faust, Jr.	Mgmt	For	For	For
1.3	Elect Cynthia E. Frost	Mgmt	For	For	For
1.4	Elect Scott E. Wennerholm	Mgmt	For	For	For
<hr/>					
Eaton Vance Municipal Income Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
EVN	CUSIP 27826U108	03/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark R. Fetting	Mgmt	For	For	For
1.2	Elect Helen Frame	Mgmt	For	For	For

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1.3	Peters Elect Harriett Tee Taggart	Mgmt	For	For	For
<hr/>					
Eaton Vance National Municipal Opportunities Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
EOT	CUSIP 27829L105	01/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Cynthia E. Frost	Mgmt	For	For	For
1.2	Elect William H. Park	Mgmt	For	For	For
1.3	Elect Susan J. Sutherland	Mgmt	For	For	For
1.4	Elect Harriett Tee Taggart	Mgmt	For	For	For
<hr/>					
Invesco Mun Income Opportunities Trust II					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMT	CUSIP 46133G107	08/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For
<hr/>					
Invesco Mun Income Opportunities Trust II					
Ticker	Security ID:	Meeting Date	Meeting Status		
IQT	CUSIP 46132P108	08/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For
<hr/>					
Invesco Municipal Income Opportunities Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
PIA	CUSIP 46132X101	08/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Prema Mathai-Davis	Mgmt	For	For	For
1.4	Elect Raymond Stickel, Jr.	Mgmt	For	For	For
<hr/>					
Invesco Municipal Opportunity Trust					
Ticker	Security ID:	Meeting Date	Meeting Status		
VMO	CUSIP 46132C107	08/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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						Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For	For

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Invesco Municipal Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
VKQ	CUSIP 46131J103	08/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For

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Invesco Trust for Investment Grade Municipals

Ticker	Security ID:	Meeting Date	Meeting Status		
VGM	CUSIP 46131M106	08/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For

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Invesco Trust For Investment Grade New York Municipals

Ticker	Security ID:	Meeting Date	Meeting Status		
VPV	CUSIP 46132E103	08/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Albert R. Dowden	Mgmt	For	For	For
1.2	Elect Eli Jones	Mgmt	For	For	For
1.3	Elect Raymond Stickel, Jr.	Mgmt	For	For	For

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MainStay DefinedTerm Municipal Opportunities Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
MMD	CUSIP 56064K100	09/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Susan B. Kerley	Mgmt	For	For	For
1.2	Elect Peter Meenan	Mgmt	For	For	For
1.3	Elect John A. Weisser, Jr.	Mgmt	For	For	For

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MFS High Income Municipal Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
CXE	CUSIP 59318D104	10/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Maureen R.	Mgmt	For	For	For

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	Goldfarb					
1.2	Elect Robert J. Manning	Mgmt	For	For	For	
1.3	Elect Maryanne L. Roepke	Mgmt	For	For	For	
<hr/>						
MFS Municipal Income Trust						
Ticker	Security ID:	Meeting Date	Meeting Status			
MFM	CUSIP 552738106	10/06/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1.1	Elect Maureen R. Goldfarb	Mgmt	For	For	For	
1.2	Elect Robert J. Manning	Mgmt	For	For	For	
1.3	Elect Maryanne L. Roepke	Mgmt	For	For	For	
<hr/>						
Neuberger Berman Intermediate Municipal Fund Inc						
Ticker	Security ID:	Meeting Date	Meeting Status			
NBH	CUSIP 64124P101	09/07/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1.1	Elect Faith Colish	Mgmt	For	For	For	
1.2	Elect Michael J. Cosgrove	Mgmt	For	For	For	
1.3	Elect Deborah C. McLean	Mgmt	For	For	For	
1.4	Elect Tom D. Seip	Mgmt	For	For	For	
<hr/>						
Nuveen AMT-Free Municipal Income Fund						
Ticker	Security ID:	Meeting Date	Meeting Status			
NEA	CUSIP 670657105	08/19/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For	
1.2	Elect Carole E. Stone	Mgmt	For	For	For	
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For	
2	Issuance of Common Stock and Preferred Shares	Mgmt	For	For	For	
<hr/>						
Nuveen Enhanced AMT-Free Municipal Credit Opportunities Fund						
Ticker	Security ID:	Meeting Date	Meeting Status			
NVG	CUSIP 67071L106	08/03/2016	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For	
1.2	Elect Carole E. Stone	Mgmt	For	For	For	
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For	
<hr/>						
Nuveen Enhanced Municipal Value Fund						
Ticker	Security ID:	Meeting Date	Meeting Status			
NEV	CUSIP 67074M101	08/03/2016	Voted			
Meeting Type	Country of Trade					



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Annual		United States		Vote Cast	For/Against Mgmt
Issue No.	Description	Proponent	Mgmt Rec		
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

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### Nuveen Enhanced Quality Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NAD	CUSIP 67066V101	07/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For
1.2	Elect Carole E. Stone	Mgmt	For	For	For
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Issuance of Common Stock and Preferred Shares	Mgmt	For	For	For

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### Nuveen Enhanced Quality Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NZF	CUSIP 67070X101	08/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For
1.2	Elect Carole E. Stone	Mgmt	For	For	For
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For

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### Nuveen Floating Rate Income Opportunity Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JRO	CUSIP 670677103	04/06/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect David J. Kundert	Mgmt	For	For	For
1.3	Elect John K. Nelson	Mgmt	For	For	For
1.4	Elect Terence J. Toth	Mgmt	For	For	For

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### Nuveen Investment Quality Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NQM	CUSIP 67062E103	08/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For

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1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganization	Mgmt	For	For	For

Nuveen Municipal High Income Opportunity Fund 2

Ticker	Security ID:	Meeting Date	Meeting Status		
NMZ	CUSIP 670682103	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Judith M. Stockdale	Mgmt	For	For	For
1.2	Elect Carole E. Stone	Mgmt	For	For	For
1.3	Elect Margaret L. Wolff	Mgmt	For	For	For

Nuveen Municipal Market Opportunity Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NMO	CUSIP 67062W103	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganization	Mgmt	For	For	For

Nuveen Municipal Value Fund Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NUV	CUSIP 670928100	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

Nuveen Performance Plus Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPP	CUSIP 67062P108	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For

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1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganiza tion	Mgmt	For	For	For

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Nuveen Premier Municipal Income Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPF	CUSIP 670988104	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganiza tion	Mgmt	For	For	For

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Nuveen Premium Income Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NPI	CUSIP 67062T100	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganiza tion	Mgmt	For	For	For

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Nuveen Premium Income Municipal Fund II

Ticker	Security ID:	Meeting Date	Meeting Status		
NPM	CUSIP 67063W102	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganiza tion	Mgmt	For	For	For

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Nuveen Select Quality Municipal Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
NQS	CUSIP 670973106	08/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William Adams IV	Mgmt	For	For	For
1.2	Elect Jack B. Evans	Mgmt	For	For	For
1.3	Elect David J. Kundert	Mgmt	For	For	For
1.4	Elect John K. Nelson	Mgmt	For	For	For
1.5	Elect Judith M. Stockdale	Mgmt	For	For	For
1.6	Elect Carole E. Stone	Mgmt	For	For	For
1.7	Elect Terence J. Toth	Mgmt	For	For	For
1.8	Elect Margaret L. Wolff	Mgmt	For	For	For
2	Restructuring/Reorganization	Mgmt	For	For	For

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Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status		
NXP	CUSIP 67062F100	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

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Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status		
NXQ	CUSIP 67063C106	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

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Nuveen Select Tax-Free Income Portfolio

Ticker	Security ID:	Meeting Date	Meeting Status		
NXR	CUSIP 67063X100	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

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Nuveen Tax-Advantaged Dividend Growth Fund

Ticker	Security ID:	Meeting Date	Meeting Status
NUW	CUSIP 670695105	08/03/2016	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William C. Hunter	Mgmt	For	For	For
1.2	Elect Judith M. Stockdale	Mgmt	For	For	For
1.3	Elect Carole E. Stone	Mgmt	For	For	For
1.4	Elect Margaret L. Wolff	Mgmt	For	For	For

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Pimco Municipal Income Fund					
Ticker	Security ID:	Meeting Date		Meeting Status	
PMF	CUSIP 72200R107	12/19/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Deborah A. DeCotis	Mgmt	For	For	For

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Pimco Municipal Income Fund II					
Ticker	Security ID:	Meeting Date		Meeting Status	
PML	CUSIP 72200W106	12/19/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Deborah A. DeCotis	Mgmt	For	For	For

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Pimco Municipal Income Fund III					
Ticker	Security ID:	Meeting Date		Meeting Status	
PMX	CUSIP 72201A103	12/19/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bradford K. Gallagher	Mgmt	For	For	For

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Pioneer Municipal High Income Advantage Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
MAV	CUSIP 723762100	06/13/2017		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of a New Management Agreement	Mgmt	For	For	For

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Pioneer Municipal High Income Advantage Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
MAV	CUSIP 723762100	09/20/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Lisa M. Jones	Mgmt	For	For	For
1.2	Elect Lorraine H. Monchak	Mgmt	For	For	For

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### Pioneer Municipal High Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status
MHI	CUSIP 723763108	06/13/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Approval of a New Management Agreement	Mgmt	For
		For	For

### Pioneer Municipal High Income Trust

Ticker	Security ID:	Meeting Date	Meeting Status
MHI	CUSIP 723763108	09/20/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1.1	Elect Lisa M. Jones	Mgmt	For
		For	For
1.2	Elect Lorraine H. Monchak	Mgmt	For
		For	For

### Putnam Managed Municipal Income Trust.

Ticker	Security ID:	Meeting Date	Meeting Status
PCF	CUSIP 746823103	04/28/2017	Voted
Meeting Type	Country of Trade		
Proxy Contest	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Board Size (Fix Number of Trustees at 12)	Mgmt	For
		For	For
2.1	Elect Liaquat Ahamed	Mgmt	For
		For	For
2.2	Elect Ravi Akhoury	Mgmt	For
		For	For
2.3	Elect Barbara M. Baumann	Mgmt	For
		For	For
2.4	Elect Jameson A. Baxter	Mgmt	For
		For	For
2.5	Elect Katinka Domotorffy	Mgmt	For
		For	For
2.6	Elect Catharine Bond Hill	Mgmt	For
		For	For
2.7	Elect Paul L. Joskow	Mgmt	For
		For	For
2.8	Elect Kenneth R. Leibler	Mgmt	For
		For	For
2.9	Elect Robert L. Reynolds	Mgmt	For
		For	For
2.10	Elect Manoj P. Singh	Mgmt	For
		For	For

### Putnam Municipal Opportunities Trust

Ticker	Security ID:	Meeting Date	Meeting Status
PMO	CUSIP 746922103	04/28/2017	Voted
Meeting Type	Country of Trade		
Proxy Contest	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For
		For	For
2.1	Elect Liaquat Ahamed	Mgmt	For
		For	For
2.2	Elect Ravi Akhoury	Mgmt	For
		For	For
2.3	Elect Barbara M. Baumann	Mgmt	For
		For	For
2.4	Elect Jameson A. Baxter	Mgmt	For
		For	For
2.5	Elect Katinka	Mgmt	For
		For	For

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2.6	Domotorffy Elect Catharine Bond Hill	Mgmt	For	For	For
2.7	Elect Paul L. Joskow	Mgmt	For	For	For
2.8	Elect Kenneth R. Leibler	Mgmt	For	For	For
2.9	Elect Robert L. Reynolds	Mgmt	For	For	For
2.10	Elect Manoj P. Singh	Mgmt	For	For	For

Western Asset Managed Municipals Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MMU	CUSIP 95766M105	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Daniel P. Cronin	Mgmt	For	For	For
1.2	Elect Eileen A. Kamerick	Mgmt	For	For	For

Western Asset Mortgage Defined Opportunity Fund Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MTT	CUSIP 95768A109	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Paolo M. Cucchi	Mgmt	For	For	For
1.2	Elect Leslie H. Gelb	Mgmt	For	For	For
1.3	Elect Jane E. Trust	Mgmt	For	For	For

Western Asset Municipal High Income Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MHF	CUSIP 95766N103	02/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert D. Agdern	Mgmt	For	For	For
1.2	Elect William R. Hutchinson	Mgmt	For	For	For
1.3	Elect Eileen A. Kamerick	Mgmt	For	For	For

Western Asset Municipal Partners Fund Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNP	CUSIP 95766P108	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Daniel P. Cronin	Mgmt	For	For	For
1.2	Elect Paolo M. Cucchi	Mgmt	For	For	For
Fund Name : VanEck Vectors ChinaAMC CSI 300 ETF					

Agricultural Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1288	CINS Y00289101	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Investment Budget	Mgmt	For	For	For
7	Elect LIAO Luming	Mgmt	For	For	For
8	Elect HUANG Zhenzhong	Mgmt	For	For	For
9	Elect WANG Xingchun as Supervisor	Mgmt	For	Against	Against
10	Directors' and Supervisors' Fees for 2015	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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Aier EYE Hospital Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300015	CINS Y0029J108	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Social Responsibility Report	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Increase in Authorized Capital and Amendments to Articles	Mgmt	For	For	For
10	Supervisors' Report	Mgmt	For	For	For
11	Supervisors' Fees	Mgmt	For	For	For
12	Approval of Tender Offer to Acquire Clinica Baviera, S.A.	Mgmt	For	For	For
13	Approval of Debt Financing	Mgmt	For	Against	Against
14	Board Authorization to Implement Tender Offer	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	For	For
16	Approval of Line of Credit	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Aier EYE Hospital Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300015	CINS Y0029J108	11/30/2016	Voted
Meeting Type	Country of Trade		



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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect CHEN Bang	Mgmt	For	For	For
3	Elect LI Li	Mgmt	For	For	For
4	Elect GUO Hongwei	Mgmt	For	For	For
5	Elect HAN Zhong	Mgmt	For	For	For
6	Elect WANG Jianping	Mgmt	For	For	For
7	Elect ZHANG Zhihong	Mgmt	For	Against	Against
8	Elect ZHENG Yuanmin	Mgmt	For	For	For
9	Elect SUN Jian	Mgmt	For	Against	Against
10	Elect ZHANG Shaoyu	Mgmt	For	For	For

Aier EYE Hospital Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300015	CINS Y0029J108	12/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Share Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Pricing Determination Date, Issue Price and Pricing Principle	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Arrangement of Accumulated Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Analysis Report on Scheme for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Fund Raising for Private Placement	Mgmt	For	For	For
15	Conditional Equity Transfer Agreement #1	Mgmt	For	For	For
16	Conditional Equity Transfer Agreement #2	Mgmt	For	For	For
17	Conditional Equity Transfer Agreement #3	Mgmt	For	For	For
18	Conditional Share	Mgmt	For	For	For

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	Subscription Agreement					
19	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For	
20	Shareholder Return Plan	Mgmt	For	For	For	
21	Verification of the Evaluation Institution and Fairness of Pricing for Equity Transactions	Mgmt	For	For	For	
22	Fairness of Equity Price for Acquisitions	Mgmt	For	For	For	
23	Audit and Evaluation Reports	Mgmt	For	For	For	
24	Diluted Immediate Return Measures	Mgmt	For	For	For	
25	Commitments by Various Entities Regarding Diluted Immediate Returns	Mgmt	For	For	For	
26	Waiver of Tender Offer Obligation	Mgmt	For	For	For	
27	Establishment of Special Account for Proceeds	Mgmt	For	For	For	
28	Constitution of Procedural Rules: Management Policy for Proceeds	Mgmt	For	For	For	
29	Board Authorization to Implement Private Placement	Mgmt	For	For	For	

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Air China Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
753	CINS Y002A6138	01/23/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity Period of Non-Public A Share Issue	Mgmt	For	For	For	
2	Extension of Board Authorization	Mgmt	For	For	For	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Air China Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
753	CINS Y002A6138	01/23/2017	Voted			
Meeting Type	Country of Trade					
Other	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity Period of Non-Public	Mgmt	For	For	For	

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	A Share Issue					
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	03/30/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	05/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
8	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Elect WANG Xiaokang	Mgmt	For	For	For
10	Elect LIU Deheng	Mgmt	For	For	For

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	08/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Zhengang as Supervisor	Mgmt	For	Against	Against

Air China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
753	CINS Y002A6138	12/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Continuing Connected Transactions	Mgmt	For	For	For

Aisino Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600271	CINS Y0017G124	04/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Abstain	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Termination of Share Issuance for Asset Purchase and Withdrawal of Application Materials	Mgmt	For	For	For
10	Signing of Termination Agreement	Mgmt	For	For	For

Aisino Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600271	CINS Y0017G124	09/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Purchase	Mgmt	For	For	For
2	Transaction Counterparty	Mgmt	For	For	For
3	Object of Transaction	Mgmt	For	For	For
4	Transaction Price	Mgmt	For	For	For
5	Transaction Plan	Mgmt	For	For	For
6	Stock Type and Par Value	Mgmt	For	For	For
7	Issuance Price	Mgmt	For	For	For
8	Size of Issuance	Mgmt	For	For	For
9	Subscription Method	Mgmt	For	For	For
10	Purpose of Raised Funds	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Lock-up Period	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Accumulated Retained Profits Arrangement	Mgmt	For	For	For
15	Attribution of Profits and Losses During Transitional Period	Mgmt	For	For	For
16	Restructuring Non-constitution of Related Party Transaction	Mgmt	For	For	For
17	Transaction Non-constitution of Back-door	Mgmt	For	For	For

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18	Listing Transaction is Compliant with Relevant Provisions in the Management Measures on Major Assets Restructuring	Mgmt	For	For	For
19	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
20	Conditional Agreements Relating to the Transaction	Mgmt	For	For	For
21	Profit Compensation Agreement	Mgmt	For	For	For
22	Conditional Agreements Relating to the Share Issuance	Mgmt	For	For	For
23	Asset Purchase Report	Mgmt	For	For	For
24	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	For	For
25	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
26	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
27	Board Authorization to Implement Asset Purchase	Mgmt	For	For	For

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Aisino Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status	
600271	CINS Y0017G124	12/19/2016	Voted	
Meeting Type	Country of Trade			
Special	China			
Issue No.	Description	Proponent	Mgmt Rec	
			Vote Cast	
			For/Against Mgmt	
1	Participants and Scope	Mgmt	For	For
2	Volume of Shares	Mgmt	For	For
3	Allocation Status	Mgmt	For	For
4	Validity Period, Grant Date, Lock-up Period and Unlocking Period	Mgmt	For	For
5	Granting Price of Restricted Stocks	Mgmt	For	For
6	Conditions for Granting and Unlocking	Mgmt	For	For
7	Regulations	Mgmt	For	For
8	Adjustment Measures	Mgmt	For	For

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	and Procedures					
9	Obligations and Rights of Parties	Mgmt	For	For	For	
10	Incentive and Change Mechanisms	Mgmt	For	For	For	
11	Accounting Treatment	Mgmt	For	For	For	
12	Implementation Procedures	Mgmt	For	For	For	
13	Principals of Repurchasing and Cancellation	Mgmt	For	For	For	
14	Incentive Name List for Stock Plan	Mgmt	For	For	For	
15	Constitution of Procedural Rules: Implementation of Restricted Stock Incentive Plan	Mgmt	For	For	For	
16	Board Authorization to Implement Stock Plan	Mgmt	For	For	For	
17	Elect ZHANG Shaojun as Supervisor	Mgmt	For	Against	Against	

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Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status
002292	CINS Y2927R109	01/06/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Eligibility for Private Placement	Mgmt	For
			For
			For
2	Pricing Principles and Issue Price	Mgmt	For
			For
			For
3	Amount of Proceeds and Size of Issuance	Mgmt	For
			For
			For
4	Use of Proceeds	Mgmt	For
			For
			For
5	Validity of Resolution	Mgmt	For
			For
			For
6	Preliminary Plan of Private Placement	Mgmt	For
			For
			For
7	Report on Deposit and Use of Raised Funds	Mgmt	For
			For
			For
8	Feasibility Report on Use of Proceeds	Mgmt	For
			For
			For
9	Board Authorization to Implement Private Placement	Mgmt	For
			For
			For
10	Approval of Dilution Measures for Share Issuance	Mgmt	For
			For
			For

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### Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status
002292	CINS Y2927R109	04/21/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Annual Report and Summary	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Directors' Report	Mgmt	For
5	Supervisors' Report	Mgmt	For
6	Allocation of Profits/Dividends	Mgmt	For
7	Appointment of Auditor	Mgmt	For
8	Special Report on Use of Previously Raised Funds	Mgmt	For

### Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status
002292	CINS Y2927R109	06/12/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Approval of Line of Credit	Mgmt	For
2	Authority to Give Guarantees	Mgmt	For
3	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For
			Abstain
			Against

### Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status
002292	CINS Y2927R109	07/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect YANG Jianping	Mgmt	For
2	Elect CHOU Jianzhong	Mgmt	For
3	Elect TAN Yan	Mgmt	For
4	Elect CAI Dongqing	Mgmt	For
5	Elect CAI Xiaodong	Mgmt	For
6	Elect CAO Yongqiang	Mgmt	For
7	Elect YANG Rui	Mgmt	For
8	Directors' Fees	Mgmt	For
9	Elect CAI Xianfang as Supervisor	Mgmt	For
			Against
			Against
10	Supervisors' Fees	Mgmt	For
11	Authority to Issue Short-term Financing Bills	Mgmt	For

### Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status
002292	CINS Y2927R109	11/01/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Controlled Subsidiary	Mgmt	For	For	For
2	Authority to Give Guarantees Associated Company	Mgmt	For	For	For
3	INCREASE OF BANK CREDIT QUOTA	Mgmt	For	For	For
4	Company's Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Par Value and Issuance Price	Mgmt	For	For	For
8	Bond Duration	Mgmt	For	For	For
9	Issuance Targets	Mgmt	For	For	For
10	Arrangement for Placement to Shareholders	Mgmt	For	For	For
11	Methods of Interest and Principal Payment	Mgmt	For	For	For
12	Interest Rate and Determination Method	Mgmt	For	For	For
13	Guarantee Arrangement	Mgmt	For	For	For
14	Purpose of Raised Funds	Mgmt	For	For	For
15	Repurchase and Redemption Options	Mgmt	For	For	For
16	Listing Market	Mgmt	For	For	For
17	Repayment Guarantees Method	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N125	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Loss Recovery Proposals	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Directors' and Supervisors'	Mgmt	For	Abstain	Against



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Remuneration Standards					
8	Renewal of Liability Insurance	Mgmt	For	Abstain	Against
9	Authority to Give Guarantees by Chalco Shandong to Shandong Advanced Material	Mgmt	For	For	For
10	Authority to Give Guarantees to Chalco Hong Kong and Subsidiaries	Mgmt	For	For	For
11	Authority to Give Guarantees by the Company and Chalco Shandong to Xinghua Technology	Mgmt	For	For	For
12	Authority to Give Guarantees by the Chalco Trading to Chalco Trading Hong Kong	Mgmt	For	For	For
13	Authority to Give Guarantees (Ningxia Energy and Subsidiaries)	Mgmt	For	For	For
14	Acquisition	Mgmt	For	For	For
15	Authority to Issue Debt Instruments	Mgmt	For	For	For
16	Authority to Issue Overseas Bonds	Mgmt	For	For	For
17	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N125	09/06/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Increase in Guarantee Amount	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Anhui Conch Cement Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0914	CINS Y01373110	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Anxin Trust Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600816	CINS Y0140X101	02/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Work Report	Mgmt	For	For	For
2	2016 Supervisory Committee	Mgmt	For	For	For
3	2016 Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2016 Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Auditor	Mgmt	For	For	For
8	Statement Regarding Guarantees	Mgmt	For	For	For
9	Work Report of Independent Directors	Mgmt	For	For	For
10	Shareholder Return Plan	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules: Shareholder General Meetings	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
14	Amendments to Procedural Rules: Supervisory Committee	Mgmt	For	Abstain	Against

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Anxin Trust Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600816	CINS Y0140X101	11/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period	Mgmt	For	For	For
2	Extension of Board Authorization Period	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	01/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Pricing Base Date, Issue Price and Pricing Principle	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Amount and Purpose of Proceeds	Mgmt	For	For	For
4	Preliminary Plan of Private Placement	Mgmt	For	For	For
5	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
6	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
7	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

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Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	02/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Project Financing by Bank Acceptance Bills	Mgmt	For	For	For
2	Adjustments to Planned Investments	Mgmt	For	For	For
3	Time Extension for Completion of Investment Projects	Mgmt	For	For	For

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Aurora Optoelectronics Co., Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	03/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	To Harbin Aurora Optoelectronic Technology Co., Ltd	Mgmt	For	For	For
2	To Harbin Qiuguan	Mgmt	For	For	For

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	Optoelectronics Technology Co., Ltd				
3	To Qitaihe Aurora Optoelectronic Technology Co., Ltd	Mgmt	For	For	For

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Aurora Optoelectronics Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	03/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Supervisors' Report	Mgmt	For	For	For

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Aurora Optoelectronics Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
600666	CINS Y81169107	04/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees to Haerbin Aurora Optoelectronics Technology Co., Ltd.	Mgmt	For	For	For
3	Authority to Receive Guarantees from Actual Controller	Mgmt	For	For	For
4	Haerbin Aurora Optoelectronics Technology Co., Ltd. Applying Line of Credit from China Construction Bank	Mgmt	For	For	For
5	Haerbin Aurora Optoelectronics	Mgmt	For	For	For

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	Technology Co., Ltd. Applying Line of Credit from Shanghai Pudong Development Bank					
6	Haerbin Liuxia Optoelectronics Technology Co., Ltd. Applying Line of Credit from Shanghai Pudong Development Bank	Mgmt	For	For	For	For
7	Authority to Issue Medium-term Notes	Mgmt	For	For	For	For
8	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For	For
9	Management Authorization to Investment Related Matters	Mgmt	For	For	For	For

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Aurora Optoelectronics Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600666	CINS Y81169107	06/01/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval of Loans from the de facto Controller by a Subsidiary	Mgmt	For	For	For	
3	Approval of Entrusted Loans and Guarantees to a Subsidiary	Mgmt	For	For	For	

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Aurora Optoelectronics Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600666	CINS Y81169107	08/04/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Transfer of Joint Venture	Mgmt	For	For	For	
2	Approval of Line of Credit	Mgmt	For	For	For	
3	Authority to Give Guarantees For Subsidiary Trade Finance Credit	Mgmt	For	For	For	
4	Authority to Give Guarantees For Subsidiary Comprehensive Credit	Mgmt	For	For	For	

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Aurora Optoelectronics Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
600666	CINS Y81169107	09/12/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For
2	Stock Type and Par Value	Mgmt	For
3	Method and Date of Issuance	Mgmt	For
4	Issuance Targets	Mgmt	For
5	Pricing Base Date, Issuance Price and Pricing Principals	Mgmt	For
6	Size of Issuance	Mgmt	For
7	Subscription Method	Mgmt	For
8	Lock-up Period	Mgmt	For
9	Listing Market	Mgmt	For
10	Amount and Purpose of Raised Funds	Mgmt	For
11	Accumulated Retained Profits	Mgmt	For
12	Validity Period	Mgmt	For
13	Pre-plan for Private Placement	Mgmt	For
14	Feasibility Report on Use of Raised Funds	Mgmt	For
15	SPECIAL REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For
17	Approval of Commitments of Management for Dilution Measures	Mgmt	For
18	Shareholder Returns Plan	Mgmt	For
19	Board Authorization to Implement Private Placement	Mgmt	For
20	Authority to Give Guarantees	Mgmt	For

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Aurora Optoelectronics Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
600666	CINS Y81169107	11/30/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	China Guangfa Bank Loans	Mgmt	For

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2	Bank of Communications Line of Credit	Mgmt	For	For	For
3	China Minsheng Bank Line of Credit	Mgmt	For	For	For
4	China Merchants Bank Line of Credit	Mgmt	For	For	For

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AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	01/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For

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AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Shareholder Returns Plan	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	2016 Related Party Transactions	Mgmt	For	For	For
9	2017 Related Party Transactions	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
12	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For

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AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	05/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect Non-Independent	Mgmt	For	For	For

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	Director: HAN Shupeng					
3	Elect Non-Independent Director: YANG Weijun	Mgmt	For	For	For	
4	Elect Non-Independent Director: CONG Chunyi	Mgmt	For	For	For	
5	Elect LIU Hao	Mgmt	For	Against	Against	
6	Elect MA Chuanli	Mgmt	For	Against	Against	

AVIC Aero-Engine Controls Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000738	CINS Y6203U108	11/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	LIU Hao	Mgmt	For	For	For
2	WU Guijiang	Mgmt	For	For	For
3	MA Chuanli	Mgmt	For	For	For
4	QIN Haibo	Mgmt	For	Against	Against
5	YANG Weijun	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Project Termination	Mgmt	For	For	For

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	02/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Xuanmin	Mgmt	For	For	For
2	Elect HE Shengqiang	Mgmt	For	For	For
3	Elect LI Guangxing	Mgmt	For	For	For
4	Elect LI Shouze	Mgmt	For	For	For
5	Elect HE Yi	Mgmt	For	For	For
6	Elect WANG Guangya	Mgmt	For	For	For
7	Elect ZHUANG Renmin	Mgmt	For	For	For
8	Elect ZHOU Kai	Mgmt	For	For	For
9	Elect FU Baoxin	Mgmt	For	For	For
10	Elect CHEN Ximin	Mgmt	For	Against	Against
11	Elect YANG Xiuyun	Mgmt	For	Against	Against
12	Elect YANG Weiqiao	Mgmt	For	Against	Against
13	Elect LI Yuping	Mgmt	For	For	For
14	Elect YANG Naiding	Mgmt	For	For	For
15	Elect WANG Bin	Mgmt	For	Against	Against
16	Elect CHEN Changfu	Mgmt	For	For	For

Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For



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6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Shareholder Return Plan	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	2017 Related Party Transactions	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

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### Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	06/29/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Supplementary Financial Service Agreement	Mgmt	For	Against	Against
2	Signing of New Machine Production Framework Agreement	Mgmt	For	For	For

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### Avic Aircraft Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000768	CINS Y9723E109	11/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Related Party Transactions	Mgmt	For	For	For
2	Related Party Transactions Framework Agreement	Mgmt	For	For	For

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### AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	01/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement of Shares	Mgmt	For	For	For
2	Type and Par Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Target Subscribers and Subscription Method	Mgmt	For	For	For
5	Issue Price and Pricing Basis	Mgmt	For	For	For
6	Issue Size	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Distribution Arrangement of	Mgmt	For	For	For

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	Undistributed Earnings				
10	Listing Market	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement of Shares	Mgmt	For	For	For
13	Subscription Agreement	Mgmt	For	For	For
14	Related Party Transactions in Connection with Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	Abstain	Against
19	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	2016 Related Party Transactions	Mgmt	For	For	For
22	2017 Related Party Transactions	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For
24	2017 Financing Limit and Authorization to Sign Related Agreement	Mgmt	For	For	For
25	Adjustment on Construction Matters of Raised Funds Investment Project and Management Data Center Project	Mgmt	For	For	For

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AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status
600893	CINS Y9730A108	04/06/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Independent Directors' Report	Mgmt	For
5	Accounts and Reports	Mgmt	For
6	Allocation of Profits/Dividends	Mgmt	For
7	Report on Deposit and	Mgmt	For

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	Use of Raised Funds				
8	Directors' Fees	Mgmt	For	For	For
9	Actual Execution of 2016 Related Party Transactions	Mgmt	For	For	For
10	Related Party Transactions Framework Agreement with De Facto Controller	Mgmt	For	For	For
11	Financial Budget	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Change of Company Name	Mgmt	For	For	For
14	Amendment to Articles	Mgmt	For	For	For

AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	08/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Committee Adjustments	Mgmt	For	For	For
2	Elect HUANG Xingdong	Mgmt	For	For	For
3	Elect YANG Xianfang	Mgmt	For	For	For
4	Elect PENG Jianwu	Mgmt	For	For	For
5	Elect CHEN Rui	Mgmt	For	Against	Against
6	Elect YAO Yuhai	Mgmt	For	For	For

AVIC Aviation Engine Corporation PLC.

Ticker	Security ID:	Meeting Date	Meeting Status		
600893	CINS Y9730A108	10/27/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Members of Committees of the Board	Mgmt	For	Against	Against
2	Elect ZHANG Minsheng as Director	Mgmt	For	For	For

AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	05/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Internal Control Audit	Mgmt	For	For	For

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	Report					
9	Directors' Fees	Mgmt	For	For	For	
10	Corporate Social Responsibility Report	Mgmt	For	For	For	
11	Fees and Budget for Board of Directors	Mgmt	For	Abstain	Against	
12	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
13	Related Party Transactions	Mgmt	For	For	For	
14	Realization of Performance Target for Acquired Assets	Mgmt	For	For	For	
15	Amendments to Articles of Incorporation	Mgmt	For	For	For	
16	Authority for CATIC International Leasing Co.,Ltd. to Give Guarantee to its Subsidiaries	Mgmt	For	Against	Against	
17	Employee Stock Ownership Plan and Relevant Management System	Mgmt	For	For	For	
18	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For	
19	Approval to Write off Remaining Assets from Shanghai Beiya Realson	Mgmt	For	For	For	
20	Shareholder Returns Plan	Mgmt	For	For	For	
21	Supervisors' Report	Mgmt	For	For	For	
22	Elect GE Sen	Mgmt	For	Against	Against	
23	Elect ZHENG Qiang	Mgmt	For	For	For	
24	Elect YAN Lingxi	Mgmt	For	For	For	
25	Elect LIU Guangyun	Mgmt	For	Against	Against	
26	Elect YIN Xingmin	Mgmt	For	For	For	
27	Elect SUN Qixiang	Mgmt	For	For	For	
28	Elect WANG Jianxin	Mgmt	For	For	For	
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	09/06/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	Abstain	Against

AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600705	CINS Y07709101	09/20/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Project Company	Mgmt	For	For	For
2	Authority to Give Guarantees for Subsidiary	Mgmt	For	For	For
3	Elect LU Daen as Director	Mgmt	For	For	For

### AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HU Chuangjie as Supervisor	Mgmt	For	Against	Against

### AVIC Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600705	CINS Y07709101	12/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes and Super Short-term	Mgmt	For	For	For
3	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
4	Account Receivable Factoring Business	Mgmt	For	For	For

### Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600038	CINS Y2981F108	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Internal Control Audit	Mgmt	For	For	For

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9	Report Independent Directors' Report	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect TANG Jun	Mgmt	For	For	For
13	Elect DU Juan	Mgmt	For	For	For
14	Elect ZHANG Xian	Mgmt	For	For	For
15	Elect HU Xiaofeng	Mgmt	For	Against	Against
16	Elect XU Peihui	Mgmt	For	Against	Against

Avic Helicopter Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600038	CINS Y2981F108	06/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect QU Jingwen	Mgmt	For	For	For
2	Elect LI Xueqin	Mgmt	For	For	For

Bank Of Beijing Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601169	CINS Y06958113	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Type and Par Value	Mgmt	For	For	For
3	Issuance Methods	Mgmt	For	For	For
4	Issuance Targets and Subscription Methods	Mgmt	For	For	For
5	Pricing Reference Date, Issue Price and Pricing Principle	Mgmt	For	For	For
6	Issuance Size	Mgmt	For	For	For
7	Amount and Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Markets	Mgmt	For	For	For
10	Distribution Arrangement of Undistributed Earnings	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Signing of Shares Subscription	Mgmt	For	For	For

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16	Agreement Amendments to Articles Regarding Private Placement	Mgmt	For	For	For
17	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
18	Dilution Measures for Private Placement	Mgmt	For	For	For
19	Board Authorization to Implement Private Placement	Mgmt	For	For	For
20	Establishment of Special Account for Raised Funds	Mgmt	For	For	For
21	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
22	Shareholder Returns Plan	Mgmt	For	For	For
23	Formulation of 2017-2019 Capital Management Plan	Mgmt	For	For	For
24	Directors' Report	Mgmt	For	For	For
25	Supervisors' Report	Mgmt	For	For	For
26	Amendments to Articles	Mgmt	For	Abstain	Against
27	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
28	Constitution of Methods for Nomination and Election of Supervisors	Mgmt	For	Abstain	Against
29	Accounts and Reports	Mgmt	For	For	For
30	Financial Budget	Mgmt	For	For	For
31	Allocation of Profits/Dividends	Mgmt	For	For	For
32	Appointment of Auditor	Mgmt	For	For	For
33	Special Report on Related Party Transactions	Mgmt	For	For	For
34	Approval of Line of Credit to Beijing State-Owned Assets Management Co., Ltd.	Mgmt	For	For	For
35	Approval of Line of Credit to China Hengtian Group Co., Ltd.	Mgmt	For	For	For
36	Approval of Line of Credit to Beijing Energy Investment Group Co., Ltd	Mgmt	For	For	For

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Bank Of Beijing Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601169	CINS Y06958113	07/15/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Yan	Mgmt	For	For	For
2	Elect LIU Hongyu	Mgmt	For	For	For
3	Elect GAO Ge	Mgmt	For	For	For
4	Elect GAO Jinbo	Mgmt	For	For	For
5	Elect QU Qiang	Mgmt	For	For	For

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Bank Of Beijing Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
601169	CINS Y06958113	11/15/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Green Financial Bonds	Mgmt	For	For	For

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Bank of China Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
3988	CINS Y13905107	06/29/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Investment Budget	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect CHEN Siqing	Mgmt	For	For	For
9	Elect ZHANG Xiangdong	Mgmt	For	For	For
10	Elect XIAO Lihong	Mgmt	For	For	For
11	Elect WANG Xiaoya	Mgmt	For	For	For
12	Elect ZHAO Jie	Mgmt	For	For	For
13	Authority to Issue Bonds	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

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Bank of China Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
3988	CINS Y13905107	11/18/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Xiquan as Supervisor	Mgmt	For	Against	Against
2	Elect REN Deqi as Director	Mgmt	For	For	For



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3	Elect GAO Yingxin as Director	Mgmt	For	For	For
4	Elect Angela Chao as Director	Mgmt	For	For	For
5	Directors' and Supervisor Remuneration Distribution Plan	Mgmt	For	For	For
6	Establishment of Charity Foundation	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Fixed Assets Investment Plan	Mgmt	For	For	For
8	Directors' Fees for 2015	Mgmt	For	For	For
9	Supervisors' Fees for 2015	Mgmt	For	For	For
10	Elect SONG Guobin	Mgmt	For	For	For
11	Elect Raymond WOO Chin Wan	Mgmt	For	For	For
12	Elect CAI Hongping	Mgmt	For	For	For
13	Elect WANG Xueqing as Supervisor	Mgmt	For	Against	Against
14	Elect HE Zhaobin	Mgmt	For	For	For

Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	10/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Overseas Listing of Bocom International Holdings Company Limited	Mgmt	For	For	For
2	Compliance with Overseas Listing Regulations	Mgmt	For	For	For
3	Commitment of Maintaining Separate Listing	Mgmt	For	For	For

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4	Status Prospect of Sustainable Profitability	Mgmt	For	For	For
5	Assured Entitlement of H-shares to Current Shareholders	Mgmt	For	For	For

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Bank Of Communications Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3328	CINS Y06988110	10/28/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assured Entitlement of H-shares to Current Shareholders	Mgmt	For	For	For

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601009	CINS Y0698E109	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2016 Related Party Transactions	Mgmt	For	For	For
6	2017 Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Appointment of Internal Control Auditor	Mgmt	For	Against	Against
9	Amendments to Procedural Rules: Independent Supervisors	Mgmt	For	Abstain	Against
10	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
11	Evaluation Report of Supervisors' Performance	Mgmt	For	For	For
12	Evaluation Report of Senior Management's Performance	Mgmt	For	For	For
13	Authority to Issue Financial Bonds	Mgmt	For	For	For
14	Authorization to Implement Bonds Issuance	Mgmt	For	For	For
15	Asset Management Plan	Mgmt	For	For	For
16	Elect Non-Independent Director: HU	Mgmt	For	For	For

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17	Shengrong Elect Non-Independent Director: SHU Xingnong	Mgmt	For	For	For
18	Elect Non-Independent Director: ZHU Gang	Mgmt	For	For	For
19	Elect Non-Independent Director: ZHOU Wenkai	Mgmt	For	For	For
20	Elect Non-Independent Director: YANG Bohao	Mgmt	For	For	For
21	Elect Non-Independent Director: GU Yunchan	Mgmt	For	For	For
22	Elect Non-Independent Director: CHEN Zheng	Mgmt	For	For	For
23	Elect Non-Independent Director: XU Yimin	Mgmt	For	For	For
24	Elect Independent Director: ZHU Zengjin	Mgmt	For	For	For
25	Elect Independent Director: CHEN Donghua	Mgmt	For	Against	Against
26	Elect Independent Director: LIU Ailian	Mgmt	For	For	For
27	Elect Independent Director: XIAO Binqing	Mgmt	For	For	For
28	Elect Non-Independent Supervisor: PU Baoying	Mgmt	For	For	For
29	Elect Non-Independent Supervisor: WANG Hua	Mgmt	For	For	For
30	Elect Independent Supervisor: ZHU Qiuya	Mgmt	For	For	For
31	Elect Independent Supervisor: SHEN Yongjian	Mgmt	For	For	For
32	Elect Independent Supervisor: LUO Zhihui	Mgmt	For	For	For

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Bank Of Nanjing Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601009	CINS Y0698E109	11/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Credit Asset Securitization	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules:	Mgmt	For	Abstain	Against

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	Allowance Policy of Independent Directors				
4	Amendments to Procedural Rules: Allowance Policy of Outside Supervisors	Mgmt	For	Abstain	Against

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	01/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Issuance of Convertible Bonds	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Issuance of Convertible Bonds	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	02/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Elect Non-independent Directors and Independent Directors	Mgmt	For	For	For
2	Approval to Elect Supervisors	Mgmt	For	For	For
3	Elect YU Weiye	Mgmt	For	For	For
4	Elect WEI Xuemei	Mgmt	For	For	For
5	Elect ZHU Ninghai	Mgmt	For	For	For
6	Elect CHEN Yongming	Mgmt	For	For	For
7	Elect LI Rucheng	Mgmt	For	For	For
8	Elect SONG Hanping	Mgmt	For	For	For
9	Elect CHEN Guanghua	Mgmt	For	For	For
10	Elect XU Lixun	Mgmt	For	For	For
11	Elect LU Huayu	Mgmt	For	For	For
12	Elect LUO Mengbo	Mgmt	For	For	For
13	Elect LUO Weikai	Mgmt	For	For	For
14	Elect FENG Peijiong	Mgmt	For	For	For
15	Elect YANG Xiaoping	Mgmt	For	For	For
16	Elect FU Jianhua	Mgmt	For	For	For
17	Elect FU Jijun	Mgmt	For	For	For
18	Elect BEN Shenglin	Mgmt	For	For	For
19	Elect ZHANG Jixiang	Mgmt	For	For	For
20	Elect GENG Hong	Mgmt	For	For	For
21	Elect XU Liming	Mgmt	For	For	For
22	Elect PU Yiwei	Mgmt	For	For	For
23	Elect SHU Guoping	Mgmt	For	For	For

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24	Elect HU Songsong	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to the Management Measures for Related Party Transactions	Mgmt	For	Abstain	Against
7	Report of Related Party Transactions Implementation in 2016 and Arrangements for 2017	Mgmt	For	For	For
8	2017 Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Liability Insurance	Mgmt	For	Abstain	Against
11	Directors' Fees	Mgmt	For	For	For
12	Supervisors' Fees	Mgmt	For	For	For
13	Chairman and Vice Chairman's Fees	Mgmt	For	For	For
14	Chairman of the Board of Supervisors' Fees	Mgmt	For	For	For
15	Supervisors' Report	Mgmt	For	For	For
16	Supervisors' Performance Evaluation Report	Mgmt	For	For	For
17	Directors' Performance Evaluation Report	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	Abstain	Against
19	Eligibility for Private Placement	Mgmt	For	For	For
20	Security Type and Par Value	Mgmt	For	For	For
21	Method of Issuance	Mgmt	For	For	For
22	Amount and Use of the Raised	Mgmt	For	For	For

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	Fund				
23	Issuing Price and Pricing Principle	Mgmt	For	For	For
24	Issuing Size	Mgmt	For	For	For
25	Issuance Targets and Subscription	Mgmt	For	For	For
26	Lock-Up Period	Mgmt	For	For	For
27	Listing Market	Mgmt	For	For	For
28	Arrangement for Accumulated Retained Profits Before the Non-Public Offering	Mgmt	For	For	For
29	The Valid Period of the Resolution	Mgmt	For	For	For
30	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
31	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
32	Signing of Conditional Shares Subscription Agreement	Mgmt	For	For	For
33	Related Party Transactions Regarding Private Placement	Mgmt	For	For	For
34	Board Authorization to Implement Private Placement	Mgmt	For	For	For
35	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
36	Change in Address and Amendment to Article	Mgmt	For	For	For
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	09/14/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Ninghai	Mgmt	For	For	For

Bank of Shanghai Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601229	CINS Y0R98R107	06/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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	Profits/Dividends				
5	Approval of Performance Evaluation Report for Directors	Mgmt	For	For	For
6	Approval of Performance Evaluation Report for Supervisors	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Extension of Validity of Resolution for Issuance of H Shares and Initial Public Offering	Mgmt	For	For	For
9	Board Authorization to Implement the Extension of Validity for Issuance of H Shares and Initial Public Offering	Mgmt	For	For	For
10	Eligibility for Private Placement	Mgmt	For	For	For
11	Type of Preferred Shares	Mgmt	For	For	For
12	Issuance Amount and Size	Mgmt	For	For	For
13	Issuance Method	Mgmt	For	For	For
14	Issuance Targets	Mgmt	For	For	For
15	Par Value and Issuance Price	Mgmt	For	For	For
16	Duration	Mgmt	For	For	For
17	Allocation of Profits for Shareholders of Preferred Shares	Mgmt	For	For	For
18	Mandatory Conversion Clause	Mgmt	For	For	For
19	Conditional Redemption Clause	Mgmt	For	For	For
20	Restriction and Restore Clauses for Voting Power	Mgmt	For	For	For
21	Order and Method of Liquidation	Mgmt	For	For	For
22	Ranking Arrangement	Mgmt	For	For	For
23	Guarantee Arrangement	Mgmt	For	For	For
24	Transfer and Transaction Arrangements	Mgmt	For	For	For
25	Use of Proceeds	Mgmt	For	For	For
26	Validity of Resolution	Mgmt	For	For	For
27	Authorization Issues	Mgmt	For	For	For
28	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
29	Approval of Dilution Measures for Private Placement of	Mgmt	For	For	For

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	Preferred Shares				
30	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
31	Assets Management Plan	Mgmt	For	For	For
32	Shareholder Returns Plan	Mgmt	For	For	For
33	Authority to Issue Written-down Qualified Secondary Capital Bonds	Mgmt	For	For	For
34	Amendments to Articles	Mgmt	For	For	For
35	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
36	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
37	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
38	Elect Non-Independent Director: JIN Yu	Mgmt	For	For	For
39	Elect Non-Independent Director: HU Youlian	Mgmt	For	For	For
40	Elect Non-Independent Director: JIANG Hong	Mgmt	For	For	For
41	Elect Non-Independent Director: YE Jun	Mgmt	For	Against	Against
42	Elect Non-Independent Director: YING Xiaoming	Mgmt	For	For	For
43	Elect Non-Independent Director: Juan Manuel San RomanLopez (SHENG Ruhuan)	Mgmt	For	Against	Against
44	Elect Non-Independent Director: CHEN Wuyuan	Mgmt	For	Against	Against
45	Elect Non-Independent Director: ZHUANG Zhe	Mgmt	For	For	For
46	Elect Non-Independent Director: LI Chaokun	Mgmt	For	For	For
47	Elect Non-Independent Director: HUANG Xubin	Mgmt	For	Against	Against
48	Elect Non-Independent Director: GUO Xizhi	Mgmt	For	For	For
49	Elect Independent	Mgmt	For	For	For



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	Director: WAN Jianhua					
50	Elect Independent Director: GUAN Tao	Mgmt	For	For	For	For
51	Elect Independent Director: SUN Zheng	Mgmt	For	For	For	For
52	Elect Independent Director: XU Jianxin	Mgmt	For	For	For	For
53	Elect Independent Director: GONG Fangxiong	Mgmt	For	For	For	For
54	Elect Independent Director: SHEN Guoquan	Mgmt	For	For	For	For
55	Elect Independent Supervisor: YUAN Zhigang	Mgmt	For	For	For	For
56	Elect Independent Supervisor: GE Ming	Mgmt	For	For	For	For

Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600019	CINS Y0698U103	02/24/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect ZOU Jixin	Mgmt	For		For	For
2	Elect ZHANG Jingang	Mgmt	For		For	For
3	Elect ZHAO Changxu	Mgmt	For		For	For
4	Elect ZHANG Kehua	Mgmt	For		For	For
5	Elect ZHU Yonghong	Mgmt	For		Against	Against
6	Elect YU Hansheng	Mgmt	For		Against	Against
7	Refinement in Standards of Restricted Shares Incentive Plan	Mgmt	For		For	For

Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
600019	CINS Y0698U103	05/23/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Directors' Report	Mgmt	For		For	For
3	Supervisors' Report	Mgmt	For		For	For
4	Annual Report	Mgmt	For		For	For
5	Accounts and Reports	Mgmt	For		For	For
6	Allocation of Profits/Dividends	Mgmt	For		For	For
7	Remuneration of Directors, Supervisors and Senior Management in 2016	Mgmt	For		For	For

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8	Financial Budget	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Authority to Issue Corporate Bonds	Mgmt	For	For	For
13	Amendments to Articles (Shareholder Proposal)	Mgmt	For	For	For

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Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	08/08/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU An as Director	Mgmt	For	For	For
2	Elect ZHANG Helei as Supervisor	Mgmt	For	Against	Against

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Baoshan Iron and Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600019	CINS Y0698U103	10/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Absorption and Merger	Mgmt	For	For	For
2	Principals of Merger	Mgmt	For	For	For
3	Method of Merger	Mgmt	For	For	For
4	Targets for Share Swap	Mgmt	For	For	For
5	Stock Type and Par Value	Mgmt	For	For	For
6	Swap Price and Swap Ratio	Mgmt	For	For	For
7	Protection Mechanism for Dissenting Shareholders Baoshan Iron & Steel	Mgmt	For	For	For
8	Protection Mechanism for Dissenting Shareholders of Wuhan Iron and Steel	Mgmt	For	For	For
9	Staff Resettlement	Mgmt	For	For	For
10	Settlement of Assets	Mgmt	For	For	For
11	Listing Arrangement for Shares to be Issued	Mgmt	For	For	For
12	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
13	Liability for Breach of Contract	Mgmt	For	For	For

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14	Effective Conditions for Agreement on Absorption and Merger	Mgmt	For	For	For
15	Validity Period of Resolution	Mgmt	For	For	For
16	Conditional Agreements Regarding Absorption and Merger	Mgmt	For	For	For
17	Whether the Absorption and Merger Constitutes a Related Party Transactions	Mgmt	For	For	For
18	Report on Absorption and Merger	Mgmt	For	For	For
19	Appointment of Intermediary Institutions Related to Merger	Mgmt	For	For	For
20	Diluted Immediate Returns due to Merger and Associated Filing Measures	Mgmt	For	For	For
21	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
22	Board Authorization to Implement Absorption and Merger	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For
24	Authorization to Optimize Performance Indicator Evaluations and Calculation Rules for the Company's Restricted Stock Incentive Plan	Mgmt	For	For	For

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### BBMG Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
2009	CINS Y076A3113	08/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For
3	Share Subscription	Mgmt	For	For	For
4	Profit Compensation Agreement	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

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Beijing Capital Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	01/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect ZHANG JUN	Mgmt	For	For	For
2	Elect SUN SHAOLIN	Mgmt	For	For	For
3	Elect ZHANG MENG	Mgmt	For	For	For
4	Elect WANG RUILIN	Mgmt	For	Against	Against
5	Elect LIU HUIBIN	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	03/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Authority to Issue Asset-backed Securities	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	04/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Authority to Set Internal Control Auditor's Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Appointment of Internal Control Auditor	Mgmt	For	For	For
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For
12	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	04/21/2017	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuing Price and Pricing Benchmark Date	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Accumulated Retained Profits Arrangements	Mgmt	For	For	For
10	Validity Period	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Private Placement Pre-plan	Mgmt	For	For	For
13	Feasibility Analysis on Use of Previously Raised Funds	Mgmt	For	For	For
14	SPECIAL REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
15	Filing Measures for Diluted Immediate Returns	Mgmt	For	For	For
16	Director and Senior Management Commitments Regarding Dilution	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For

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### Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	06/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Bin as Non-Independent Director	Mgmt	For	For	For
2	Approval to Offer Entrusted Loan	Mgmt	For	For	For

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### Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600008	CINS Y07716106	06/19/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Dilution Measures for Private Placement (2nd Revision)	Mgmt	For	For	For
2	Commitment by Directors and Management Regarding Dilution Measures	Mgmt	For	For	For
3	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
4	Self-Examination Report on Real Estate Business	Mgmt	For	For	For
5	Director and Management's Commitment to Real Estate Business Report	Mgmt	For	For	For

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	07/04/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Elect SHI Xiangchen as Director	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	09/07/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Placement of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Issuance Targets and Issuance Method	Mgmt	For	For	For
5	Bond Duration and Type	Mgmt	For	For	For
6	Interest Rate and Interest Payment Method	Mgmt	For	For	For
7	Method of Issuance	Mgmt	For	For	For
8	Guarantee Clauses	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For

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10	Transfer Arrangement	Mgmt	For	For	For
11	Repayment Guarantee Measures	Mgmt	For	For	For
12	Underwriting Method	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Board Authorization to Implement Issuance	Mgmt	For	For	For

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Beijing Capital Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600008	CINS Y07716106	09/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in a PPP Project	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	03/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Fuzhou Capital Development Ruitai Real Estates Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Beijing Capital Yixin Zhiye Development Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Fuzhou Capital Development Zhonggeng Investment Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees to Fuzhou Zhonggeng Real Estates Development Co., Ltd.	Mgmt	For	For	For
5	Approval of Line of Credit	Mgmt	For	For	For
6	Authority to Give Guarantees to Beijing Capital Development Cuncao Pension Service Co., Ltd.	Mgmt	For	For	For
7	Authority to Give Guarantees for Loan of RMB 1.567 billions	Mgmt	For	For	For
8	Authority to Give	Mgmt	For	For	For

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	Guarantees for Loan of RMB 729 millions				
9	Authority to Give Guarantees for Loan of RMB 320 millions	Mgmt	For	For	For
10	Authority to Issue Debt Financing Plan	Mgmt	For	For	For
11	Board Authorization to Implement Debt Financing Plan	Mgmt	For	For	For
12	Authority to Issue Medium-term Notes	Mgmt	For	For	For
13	Board Authorization to Implement the Issuance of Medium-term Notes	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Directors Report	Mgmt	For	For	For
3	Supervisors Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Evaluation Report	Mgmt	For	For	For
7	Internal Control Audit Report	Mgmt	For	For	For
8	Social Responsibility Report	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Special Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	Guarantee Matters	Mgmt	For	Against	Against
12	Guarantee Matters for Beijing Capital Development	Mgmt	For	Against	Against
13	Related Party Transactions	Mgmt	For	For	For
14	Authority to Give Guarantees for Beijing Urban Development	Mgmt	For	Against	Against
15	Authority to Give Guarantees for Beijing Capital Development	Mgmt	For	Against	Against



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16	Authority to Give Guarantees for Dalian Zhongjia Real Estate Development	Mgmt	For	Against	Against
17	Elect WANG Hongbin	Mgmt	For	For	For

Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	05/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Capital Zhongyang Zhengtai	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Beijing Capital Jinmao	Mgmt	For	Against	Against

Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	06/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Entrusted Loan	Mgmt	For	For	For
2	Authority to Give Guarantees (1.567 billion loan)	Mgmt	For	Against	Against
3	Authority to Give Guarantees (729 million loan)	Mgmt	For	Against	Against
4	Approval of Issuance of Asset-Backed Securities	Mgmt	For	For	For
5	Board Authorization to Implement Plan and Asset Support of Home Purchase Balance Payment	Mgmt	For	For	For

Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	09/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Shoukai Yixin Property Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Beijing	Mgmt	For	For	For

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	Shoukai Zhuzhong Antai Property Co., Ltd.				
3	Amendments to Articles	Mgmt	For	For	For
4	Authority to Give Guarantees to Taiyuan Shoukai Longtai Property Co., Ltd.	Mgmt	For	For	For
5	Authority to Give Guarantees to Fuzhou Zhonggeng Real Estate Development Co., Ltd.	Mgmt	For	For	For
6	Allocation of Interim Profits/Dividends	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	11/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Guangzhou Junliang Real Estate Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Shoukai Jinmao Real Estate (Hangzhou) Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Fuzhou Zhongding Investment Co., Ltd.	Mgmt	For	For	For
4	Change of Application Conditions for Loans of Fuzhou Zhongding Investment Co., Ltd.	Mgmt	For	For	For
5	Change of Conditions for Loans of Shoukai Longtai Real Estate Co., Ltd.	Mgmt	For	For	For

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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	12/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Issuance of Perpetual Medium-term Debt Instruments	Mgmt	For	For	For

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3	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
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Beijing Capital Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600376	CINS Y0772K104	12/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Board Meetings	Mgmt	For	For	For
3	Authority to Give Guarantees for a Loan	Mgmt	For	For	For
4	Authority to Give Guarantees for Another Loan	Mgmt	For	For	For
5	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
6	Authority to Issue Corporate Bonds	Mgmt	For	For	For
7	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For
8	Elect LI Yan	Mgmt	For	For	For
9	Elect SHANGGUAN Qing	Mgmt	For	For	For
10	Elect YANG Ye	Mgmt	For	For	For
11	Elect BAI Tao as Independent Director	Mgmt	For	For	For
12	Elect PAN Wen as Supervisor	Mgmt	For	Against	Against

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SHAO Genhuo	Mgmt	For	For	For
2	XUE Suwen	Mgmt	For	For	For
3	ZHANG Lizhong	Mgmt	For	For	For
4	FENG Yujun	Mgmt	For	For	For
5	CHEN Lei	Mgmt	For	For	For
6	Elect JI Weiguo as Supervisor	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002385	CINS Y0772N108	03/06/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment with Proprietary Funds	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	03/20/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	04/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval of Chairman's Authority	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Approval to Provide Financial Aids	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	05/15/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Dabeinong Commerce	Mgmt	For	For	For
2	Authority to Give Guarantees to Beizhen Dabeinong	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	08/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Authority to Give Guarantees	Mgmt	For	For	For
Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	10/17/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
Beijing Dabeinong Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002385	CINS Y0772N108	12/08/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Approval to Offer Financial Aid	Mgmt	For	For	For
3	Board Authorization to Implement Investment Activities	Mgmt	For	For	For
Beijing Enlight Media Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300251	CINS Y0773B103	05/12/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
Beijing Enlight Media Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300251	CINS Y0773B103	07/11/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Authority to Issue Short Term Commercial Papers	Mgmt	For	For	For
3	Authority to Issue Super Short Term Commercial Papers	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300251	CINS Y0773B103	11/21/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
2	Amendments to Use of Proceeds	Mgmt	For	For	For
3	Working Capital Replenishment	Mgmt	For	For	For
4	Investment in Wealth Management Products	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300251	CINS Y0773B103	12/05/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Set up of Beijing Zhongguancun Bank	Mgmt	For	For	For
2	Initiators Agreement for Bank Establishment	Mgmt	For	For	For
3	Commitments Regarding Capital Source	Mgmt	For	For	For
4	Commitments Regarding Absence of Illegal Related Party Transactions	Mgmt	For	For	For
5	Commitments for Zhongguancun Bank	Mgmt	For	For	For
6	Disposal of Financial Assets	Mgmt	For	For	For

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Beijing Gehua Catv Network Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600037	CINS Y07715108	04/21/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Beijing Jetsen Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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300182	CINS Y07734109	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Elect ZHU Wei as Independent Director	Mgmt	For	For	For
12	Elect YUE Yang	Mgmt	For	For	For
13	Elect YOU You	Mgmt	For	For	For

Beijing Jingneng Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600578	CINS Y0771L103	07/04/2016	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
	Mgmt For Abstain Against				
	Treatment System of Major Events				
14	Amendments to Rules on Implementation of Cumulative Voting System	Mgmt	For	Abstain	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Approval of Entrusted Loans	Mgmt	For	For	For
9	2016 Daily Related Party Transactions	Mgmt	For	For	For
10	Financial Service Agreement with BEIH Finance Co., Ltd.	Mgmt	For	For	For
11	Financial Leasing with Shenzhen Jingneng Financial Leasing Co., Ltd.	Mgmt	For	For	For
12	Financial Leasing with Beijing Jingneng Yuanshen Financial Leasing Co., Ltd.	Mgmt	For	For	For

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13	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
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Beijing Jingneng Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600578	CINS Y0771L103	09/01/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Adjustment Mechanism for Issuance Price	Mgmt	For	For	For
2	Adjustment to Issuance Price of Matching Fund	Mgmt	For	For	For
3	Commitment Letter by Controller	Mgmt	For	For	For

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Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	04/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Draft and Summary of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Authorization to the Board to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Statements	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For



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12	Approval of Line of Credit	Mgmt	For	For	For
13	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For
14	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
15	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
16	Issuance Size	Mgmt	For	For	For
17	Par Value and Issuance Price	Mgmt	For	For	For
18	Issuance Target	Mgmt	For	For	For
19	Maturity and Type	Mgmt	For	For	For
20	Interest Rate	Mgmt	For	For	For
21	Guarantee Methods	Mgmt	For	For	For
22	Issuance Methods	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Set-up of Raised Funds Account and Special Account	Mgmt	For	For	For
25	Repayment Guarantee Measures	Mgmt	For	For	For
26	Trading and Circulation of Bonds	Mgmt	For	For	For
27	Validity of Resolution	Mgmt	For	For	For
28	Board Authorization to Implement Bonds Issuance	Mgmt	For	For	For
29	Elect ZHOU Shaoni as Independent Director	Mgmt	For	For	For
30	Shareholder Returns Plan	Mgmt	For	For	For
31	Elect JIN Jian as Non-Independent Director	Mgmt	For	For	For
32	Elect LIU Weijie as Non-Independent Director	Mgmt	For	For	For
33	Amendments to Articles	Mgmt	For	For	For

Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300070	CINS Y0772Q101	05/03/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Related Party Transactions with Xinjiang Originwater	Mgmt	For

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	Environmental Resources Co., Ltd.				
7	Related Party Transactions with Tianjin Baoxing Water Conservancy Construction Management Co., Ltd	Mgmt	For	For	For
8	Related Party Transactions with Xinjiang New Water Technology Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Wuhan Sanzhen Industry Holdings Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Hunan Heyuan Water Environmental Technology Co., Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Wuhan Water Environmental Technology Co., Ltd.	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Early Termination of Guarantees to Yunnan Shuiwu Industry Investment Development Co., Ltd.	Mgmt	For	For	For
14	Early Termination of Guarantees to Beijing Jiuan Construction & Investment Group Co., Ltd.	Mgmt	For	For	For
15	Early Termination of Guarantees to Qingdao Water Originwater Desalination Co., Ltd.	Mgmt	For	For	For
16	Early Termination of Guarantees to Originwater Hong Kong Environmental Protection Co., Ltd.	Mgmt	For	For	For
17	Early Termination of Part of Guarantees to Originwater Hong Kong Environmental Protection Co., Ltd.	Mgmt	For	For	For

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18	Authority to Give Guarantees to Yongjia Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
19	Authority to Give Guarantees to Ningbo Bixing Environmental Protection Technology Co., Ltd	Mgmt	For	For	For
20	Authority to Give Guarantees to Zhuji Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
21	Authority to Give Guarantees to Shantou Originwater Environmental Technology Co., Ltd.	Mgmt	For	For	For
22	Authority to Give Guarantees to Beijing Jiuan Construction & Investment Group Co., Ltd.	Mgmt	For	For	For
23	Authority to Give Guarantees to Beijing Bitong Taima Water Environmental Governance Co., Ltd.	Mgmt	For	For	For
24	Authority to Give Guarantees to Yan'an Liangye Environmental Technology Co., Ltd.	Mgmt	For	For	For
25	Authority to Give Guarantees to Fukang Kefa Renewable Water Co., Ltd.	Mgmt	For	For	For
26	Authority to Give Guarantees to Qingdao Water Originwater Desalination Co., Ltd.	Mgmt	For	For	For
27	Authority to Give Guarantees to Urumqi Midong Kefa Renewable Water Co., Ltd.	Mgmt	For	For	For
28	Authority to Give Guarantees to Beijing Originwater Boda Water Technology Co., Ltd.	Mgmt	For	For	For
29	Authority to Give Guarantees to	Mgmt	For	For	For

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Kuancheng Originwater  
Environmental  
Protection Co.,  
Ltd.

Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
300070	CINS Y0772Q101	11/11/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For	
3	Authority to Give Guarantees to Beijing Jiuan Construction and Investment Group Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees to Originwater Hong Kong Environmental Protection Co., Ltd.	Mgmt	For	For	For	
5	Authority to Give Guarantees to Qinhuangdao Originwater Environment Technology Co., Ltd.	Mgmt	For	For	For	
6	Authority to Give Guarantees to Inner Mongolia Chunyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For	
7	Authority to Give Guarantees to Xinjiang Kunlun New Water Source Technology Co., Ltd.	Mgmt	For	For	For	
8	Elect FANG Hao as Director	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	
10	Authority to Give Guarantees to Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For	
11	Authority to Give Extended Period of Guarantees Provided For Wuxi Liyang Membrane Technology	Mgmt	For	For	For	

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12	Co., Ltd. Authority to Give Guarantees to Beijing Originwater Water Purification Engineering Technology Co., Ltd.	Mgmt	For	For	For
<hr/>					
Beijing Originwater Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300070	CINS Y0772Q101	12/05/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For
2	Signing of Agreement for the Establishment	Mgmt	For	For	For
3	Report on Commitment to Authenticity of Sources of Funding	Mgmt	For	For	For
4	No Violation to Related Party Transactions	Mgmt	For	For	For
5	Sixteen Commitments to Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For
<hr/>					
Beijing Originwater Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300070	CINS Y0772Q101	12/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Yiyang GuoKai Originwater Technology Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Shawan Originwater Technology Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Xian Originwater Technology Co., Ltd.	Mgmt	For	For	For
4	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
5	Authority to Issue Super Short-term Commercial	Mgmt	For	For	For

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Paper

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	04/06/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	05/11/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Issuance Methods	Mgmt	For	For	For
4	Issuance Base, Ratio and Size	Mgmt	For	For	For
5	Pricing Basis and Issuance Price	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Issuance Timing	Mgmt	For	For	For
9	Underwriting Methods	Mgmt	For	For	For
10	Allocation of Undistributed Cumulative Profits	Mgmt	For	For	For
11	Resolution Validity	Mgmt	For	For	For
12	Listing and Trading of Securities	Mgmt	For	For	For
13	Preliminary Plan for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Analytical Report for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
16	Dilution Measures for Issuance of Shares w/	Mgmt	For	For	For

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	Preemptive Rights				
17	Commitments to Dilution Measures	Mgmt	For	For	For
18	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
19	Shareholder Returns Plan	Mgmt	For	For	For
20	Board Authorization to Implement Share Issuance	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	05/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees to Sanju Environment (Hong Kong) Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Daqing Sanju Energy Purification Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees to Hebei Huachen Petrochemical Co., Ltd.	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	12/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Application for Line of Credit	Mgmt	For	For	For
3	Account Receivable Factoring Business	Mgmt	For	For	For
4	Authority to Give Guarantees to Shenyang Sanju Kaite Catalyst Co., Ltd. for Line of Credit from Bank	Mgmt	For	For	For
5	Authority to Give Guarantees to Danqing Sanju Energy	Mgmt	For	For	For

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	Purification Co., Ltd. for Line of Credit from Banks				
6	Authority to Give Guarantees to Hebei Huachen Petrochemical Ltd. for Line of Credit from Banks	Mgmt	For	For	For
7	Authority to Give Guarantees to Xiaoyishi Sanju Pengfei New Energy Co., Ltd for Line of Credit from Bank	Mgmt	For	For	For
8	Increase in Registered Capital and Amendments to Articles	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002153	CINS Y0772L102	02/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Terminate an Investment Project and to Supplement Working Capital with Remaining Proceeds	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002153	CINS Y0772L102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect YE JinFu as Independent Director	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
002153	CINS Y0772L102	07/15/2016	Voted
Meeting Type	Country of Trade		
Special	China		



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Shaohua	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd

Ticker Security ID: Meeting Date Meeting Status  
 002153 CINS Y0772L102 09/09/2016 Voted

Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose of Plan	Mgmt	For	For	For
2	Participants	Mgmt	For	For	For
3	Quantity, Source and and Type of Underlying Stocks	Mgmt	For	For	For
4	Granting Status of Options	Mgmt	For	For	For
5	Exercise Price, Grant Date, Vesting Period, Exercise Date, and Lock-up Period of Stock Options	Mgmt	For	For	For
6	Basis for Determining Exercise Price	Mgmt	For	For	For
7	Exercise Requirements	Mgmt	For	For	For
8	Procedures for Granting	Mgmt	For	For	For
9	Adjustment Methods and Procedures for Stock Options	Mgmt	For	For	For
10	Accounting Treatment	Mgmt	For	For	For
11	Obligations and Rights of the Company and Participants	Mgmt	For	For	For
12	Measures to to Implement Changes	Mgmt	For	For	For
13	Resolving Mechanisms for Disputes	Mgmt	For	For	For
14	Procedures for Cancellation and Withdrawal	Mgmt	For	For	For
15	Disclosure of Stock Options	Mgmt	For	For	For
16	Other Provisions of Plan	Mgmt	For	For	For
17	Constitution of Procedural Rules: Management Rules for Stock Option Plan	Mgmt	For	For	For
18	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd  
 Ticker Security ID: Meeting Date Meeting Status  
 002153 CINS Y0772L102 11/28/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	LI Zhongchu	Mgmt	For	For	For
2	LAI Deyuan	Mgmt	For	For	For
3	LI Diankun	Mgmt	For	For	For
4	LI Shaohua	Mgmt	For	For	For
5	ZOU Xiaojie	Mgmt	For	For	For
6	LIU Danping	Mgmt	For	For	For
7	ZHU Jinmei	Mgmt	For	For	For
8	GUO Ming	Mgmt	For	Against	Against
9	ZHANG Guangjie	Mgmt	For	For	For

Beijing Tong Ren Tang Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600085 CINS Y0771B105 06/26/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Signing of Purchase Framework Agreement	Mgmt	For	For	For
8	Signing of Sales Framework Agreement	Mgmt	For	For	For
9	Expansion in Business Scope and Amendments to Article	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300002 CINS Y0775T102 01/19/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of the Company's Business Scope	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300002 CINS Y0775T102 05/16/2017 Voted  
 Meeting Type Country of Trade

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Replenishment of Working Capital with Raised Funds	Mgmt	For	For	For
9	Management System on Entrusted Assets Management	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect YANG Kaicheng as Director	Mgmt	For	For	For

Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Give Guarantees for Credit Line	Mgmt	For	Against	Against
11	Authority to Give Guarantees for Acquisition of Creditor's Right	Mgmt	For	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600485	CINS Y07723102	07/20/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Change of Name and Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600485	CINS Y07723102	08/05/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

  

1	Eligibility For Public Issuance of Corporate Bonds	Mgmt	For	For	For
2	Issue Size	Mgmt	For	For	For
3	Issuance Targets and Arrangement for Placement	Mgmt	For	For	For
4	Issuance Method	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate and Determination Method	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Guarantee Clauses	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Underwriting	Mgmt	For	For	For
11	Valid Period Of Resolution	Mgmt	For	For	For
12	Board Authorization to Implement Public Issuance of Bonds	Mgmt	For	For	For
13	REPAYMENT GUARANTEE MEASURES FOR THE PUBLIC ISSUANCE OF CORPORATE BONDS	Mgmt	For	For	For
14	Eligibility for Private Placement of Bond I	Mgmt	For	For	For
15	Issue Size	Mgmt	For	For	For
16	Issuance Targets and Arrangement for Placement	Mgmt	For	For	For
17	Issuance Method	Mgmt	For	For	For
18	Bond Duration	Mgmt	For	For	For
19	Interest Rate and Determination Method	Mgmt	For	For	For
20	Use of Proceeds	Mgmt	For	For	For
21	Guarantee Clauses	Mgmt	For	For	For

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22	Listing Place	Mgmt	For	For	For
23	Underwriting	Mgmt	For	For	For
24	Validity Period For Resolution	Mgmt	For	For	For
25	Board Authorization to Implement Private Placement of Bonds I	Mgmt	For	For	For
26	Repayment Guarantee Measures For the Non-Public Issuance of Corporate Bonds I	Mgmt	For	For	For
27	Eligibility for Private Placement of Bond II	Mgmt	For	For	For
28	Issue Size	Mgmt	For	For	For
29	Issuance Targets and Arrangement for Placement	Mgmt	For	For	For
30	Issuance Method	Mgmt	For	For	For
31	Bond Duration	Mgmt	For	For	For
32	Interest Rate and Determination Method	Mgmt	For	For	For
33	Use of Proceeds	Mgmt	For	For	For
34	Guarantee Clauses	Mgmt	For	For	For
35	Listing Place	Mgmt	For	For	For
36	Underwriting	Mgmt	For	For	For
37	Validity Period For Resolution	Mgmt	For	For	For
38	Board Authorization to Implement Private Placement of Bonds II	Mgmt	For	For	For
39	Repayment Guarantee Measures For the Non-Public Issuance of Corporate Bonds II	Mgmt	For	For	For
40	Authority to Give Guarantees	Mgmt	For	For	For
41	Establishment of Administrative Measures for Proceeds Raised From Corporate Bonds	Mgmt	For	For	For

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
600485 CINS Y07723102 08/24/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees For Bank Credit By Subsidiary	Mgmt	For	Against	Against
2	Authority to Give Guarantees For	Mgmt	For	Against	Against

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Financing of Project  
 By  
 Subsidiary  
 3 Authority to Give Mgmt For Against Against  
 Guarantees For  
 Financing of Project  
 By  
 Jovius

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Beijing Xinwei Telecom Technology Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600485 CINS Y07723102 09/23/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Elect LI Zhuocheng	Mgmt	For	For	For
4	Elect CHENG Zongzhi	Mgmt	For	For	For

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Beijing Xinwei Telecom Technology Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600485 CINS Y07723102 10/10/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Target of Issuance and Method of Subscription	Mgmt	For	For	For
5	Issue Price and Pricing Principle	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Listing Market	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	11/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Guarantee Arrangement	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	11/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Bank Financing	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Loan Financing	Mgmt	For	Against	Against

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Beijing Xinwei Telecom Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600485	CINS Y07723102	12/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees for Application to Bank of Shanghai for Credit	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Application to Bank of Ningbo for Loans	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Cambodia Xinwei	Mgmt	For	Against	Against
5	Authority to Give Guarantees for	Mgmt	For	Against	Against

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	WIAfrica Uganda				
6	Self-inspection Report on Real Estate Businesses for Private Placement Issuance	Mgmt	For	For	For

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Internal Control Self-Assessment Report	Mgmt	For	For	For
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Adjustment to the Conversion Price of Convertible Corporate Bonds	Mgmt	For	For	For
13	Board Authorization to Implement Changes in Conversion Price	Mgmt	For	For	For
14	Employee Restricted Shares Plan	Mgmt	For	For	For
15	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
16	Assessment Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
17	Elect ZHAO Wenquan	Mgmt	For	For	For
18	Elect XIONG Jian	Mgmt	For	For	For
19	Elect ZHENG Hong	Mgmt	For	For	For
20	Elect ZHANG Dong	Mgmt	For	For	For
21	Elect JIANG Xiliang	Mgmt	For	For	For
22	Elect ZHAO Xinge	Mgmt	For	For	For
23	Elect JIN Yan	Mgmt	For	Against	Against
24	Elect YAN Yan	Mgmt	For	Against	Against
25	Elect XU Donggen	Mgmt	For	For	For



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26	Elect FENG Xiao	Mgmt	For	For	For
27	Elect SONG Dan	Mgmt	For	For	For
28	Elect ZHU Yunyang	Mgmt	For	Against	Against

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BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	10/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For
3	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
4	Amendments to Procedural Rules: Raised Fund Management Measures	Mgmt	For	For	For
5	Application for Line of Credit	Mgmt	For	For	For

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BOE Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000725	CINS Y0920M101	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports and 2017 Business Plan	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Approval of Borrowing and Line of Credit	Mgmt	For	Against	Against
8	Investment in Principal-Protected Wealth Management Products	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Elect SUN Yun as Non-Independent Director	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	Against	Against

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BOE Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000725	CINS Y0920M101	08/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Price	Mgmt	For	For	For
2	Type and Amount of Shares to be Repurchased	Mgmt	For	For	For
3	Total Amount and Source of Funds	Mgmt	For	For	For
4	Time Limit	Mgmt	For	For	For
5	Method of Repurchase	Mgmt	For	For	For
6	Period of Right Divestiture	Mgmt	For	For	For
7	Disposal of Shares	Mgmt	For	For	For
8	Valid Period	Mgmt	For	For	For
9	Board Authorization to Implement Share Repurchase	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Elect LI Xuan	Mgmt	For	For	For

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BOE Technology Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000725	CINS Y0920M101	12/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Heifei BOE Display Technology Co., Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees to Ordos Yuansheng Optoelectronics Co., Ltd.	Mgmt	For	For	For
3	Investment in the Establishment of Mianyang 6th Generation AMOLED Production Line Project	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For
3	Elect WEN Anmin as	Mgmt	For	For	For

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Director

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Bohai Financial Investment Holding Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000415	CINS Y9722D102	03/06/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Major Asset Restructuring	Mgmt	For	For	For	
2	Transaction Method	Mgmt	For	For	For	
3	Transaction Object	Mgmt	For	For	For	
4	Transaction Pricing and Evaluation	Mgmt	For	For	For	
5	Source of Acquisition Fund	Mgmt	For	For	For	
6	Validity Period	Mgmt	For	For	For	
7	Ratification of Non-constitution of Related Party Transaction	Mgmt	For	For	For	
8	Major Asset Purchase Report and Summary	Mgmt	For	For	For	
9	Purchase and Sale Agreement	Mgmt	For	For	For	
10	Transaction Compliance with Article 4 of Regulations	Mgmt	For	For	For	
11	Completeness of Documentation	Mgmt	For	For	For	
12	Verification on Differences in Accounting Standards	Mgmt	For	For	For	
13	Verification on Differences in Accounting Standards for Recent Financial Period	Mgmt	For	For	For	
14	Valuation Report	Mgmt	For	For	For	
15	Statement on Transaction and Pricing Basis	Mgmt	For	For	For	
16	Board Authorization to Implement Transaction	Mgmt	For	For	For	

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Bohai Financial Investment Holding Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000415	CINS Y9722D102	03/20/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendment to Borrowing Powers	Mgmt	For	Against	Against	

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3	Adjustment to Guarantees Limit	Mgmt	For	Against	Against
4	Adjustment to Related Party Transactions	Mgmt	For	For	For
5	Increase in Subsidiaries' Capital	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Evaluation Report	Mgmt	For	For	For
7	Provision for Asset Depreciation	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Approval of Borrowing Authority to Give Guarantees	Mgmt	For	Against	Against
10		Mgmt	For	Against	Against
11	Signing of Trusteeship Operation Agreement with Related Party	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	05/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHUO Yiqun as Non-Independent Director	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	07/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Acquire Airplane Leasing Assets	Mgmt	For	For	For
2	Approval to Sign Acquisition Agreements regarding	Mgmt	For	For	For

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	Airplane Leasing Assets					
3	Board Authorization to Implement Asset Acquisition	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Bohai Financial Investment Holding Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000415	CINS Y9722D102	08/26/2016		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Assets Purchase Via Share Offering, Cash Payment and Raising Auxiliary Funds	Mgmt	For	For	For	
2	Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Transaction Scheme Essentials	Mgmt	For	For	For	
3	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Transaction Counterpart	Mgmt	For	For	For	
4	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Underlying Assets	Mgmt	For	For	For	
5	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Transaction Method	Mgmt	For	For	For	
6	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Transaction Price and Pricing Basis	Mgmt	For	For	For	
7	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Pricing Basis, Pricing Base Date and Issuing Price	Mgmt	For	For	For	
8	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Stock Type and Par Value	Mgmt	For	For	For	
9	Scheme for Assets Acquisition Via Share	Mgmt	For	For	For	

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	Offering and Cash Payment: Issuance Targets and Issuing Volume				
10	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Lock-up Period Arrangement	Mgmt	For	For	For
11	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Arrangement For transitional Period	Mgmt	For	For	For
12	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Listing Place	Mgmt	For	For	For
13	Scheme for Assets Acquisition Via Share Offering and Cash Payment: Disposal of Accumulated Retained Profits	Mgmt	For	For	For
14	Scheme for Auxiliary Funds Raising: Stock Type and Par Value	Mgmt	For	For	For
15	Scheme for Auxiliary Funds Raising: Method of Issuance	Mgmt	For	For	For
16	Scheme for Auxiliary Funds Raising: Issuance Price	Mgmt	For	For	For
17	Scheme for Auxiliary Funds Raising: Issuance Volume	Mgmt	For	For	For
18	Scheme for Auxiliary Funds Raising: Use of Proceeds	Mgmt	For	For	For
19	Scheme for Auxiliary Funds Raising: Lock-up Period	Mgmt	For	For	For
20	Scheme for Auxiliary Funds Raising: Listing Place	Mgmt	For	For	For
21	Scheme for Auxiliary Funds Raising: Disposal of Accumulated Retained Profits	Mgmt	For	For	For
22	Scheme for Assets Acquisition Via Share Offering, Cash and	Mgmt	For	For	For

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	Auxiliary Funds				
	Raising: Valid				
	Period of				
	Resolution				
23	Related Party Transactions: Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising	Mgmt	For	For	For
24	Related Party Transactions Report on Assets Acquisition with Cash and Via Share Offering and Raising Auxiliary Funds	Mgmt	For	For	For
25	Conditional Agreement Regarding Asset Acquisition	Mgmt	For	For	For
26	Audit Report, Review Report and Evaluation Report For Asset Restructuring	Mgmt	For	For	For
27	Board Authorization to Implement Asset Acquisition	Mgmt	For	For	For
28	Appointment of Intermediary Institutions Related to Acquisition Transaction	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	09/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision for Asset Depreciation	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Related Party Transactions Regarding Airplane Transfer and Leasing Business	Mgmt	For	For	For
4	Adjustment to 2016 Estimate of Related Party Transactions	Mgmt	For	For	For

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Bohai Financial Investment Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000415	CINS Y9722D102	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue	Mgmt	For	For	For

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2	Medium-term Notes Authority to Issue Perpetual Notes	Mgmt	For	For	For
<hr/>					
Bohai Financial Investment Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000415	CINS Y9722D102	12/23/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Borrowing Powers	Mgmt	For	Against	Against
3	Amendment to Guarantee Limit	Mgmt	For	Against	Against
4	Amendment to Relate Party Transaction Caps	Mgmt	For	For	For
5	Relinquishment of Preemptive Right of Equity in Jubao Internet Science and Technology Shenzhen	Mgmt	For	For	For
6	Elect JIN Ping as Director	Mgmt	For	For	For
7	Elect CHENG Xiao Yun as Supervisor	Mgmt	For	Against	Against
<hr/>					
By-Health Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300146	CINS Y2924V103	03/20/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Work Report of the Board of Directors	Mgmt	For	For	For
2	Work Report of the Board of Supervisors	Mgmt	For	For	For
3	Financial Resolution	Mgmt	For	For	For
4	Financial Budget Report	Mgmt	For	For	For
5	2016 Annual Report and Summary	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Report on the Deposit and Usage of Raised Funds	Mgmt	For	For	For
9	Special Auditor Statement on Fund Usage	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Modification of Use of Raised	Mgmt	For	For	For



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12	Funds Authority to Purchase Wealth Management Products	Mgmt	For	For	For
13	Shareholder Return Plan for 2017 to 2019	Mgmt	For	For	For
14	Election of Supervisors	Mgmt	For	Against	Against
<hr/>					
By-Health Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300146	CINS Y2924V103	04/27/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For
2	Decrease of Restricted Capital and Amendments to Articles	Mgmt	For	For	For
<hr/>					
By-Health Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300146	CINS Y2924V103	11/04/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Restricted Stock Incentive Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Management Measures for the Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Board Authorization to Implement Stock Option Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
<hr/>					
BYD Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1211	CINS Y1045E107	06/06/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees					
8	Provision of Guarantee by the Group	Mgmt	For	Against	Against	
9	Guarantees to External Company in Respect of Sales of New Energy Vehicles, New Energy Forklifts and New Technological Products	Mgmt	For	Against	Against	
10	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For	
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
12	Authority to Issue Shares w/o Preemptive Rights of BYD Electronic (International) Company Limited	Mgmt	For	Against	Against	
13	Investment in Wealth Management Products	Mgmt	For	For	For	
14	Authority to Issue Short to Medium-Term Debt Financing Instruments	Mgmt	For	For	For	

BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1211	CINS Y1045E107	11/01/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Declare Interim Dividends	Mgmt	For	For	For	
3	Change of Business Scope and Amendment to Articles	Mgmt	For	For	For	
4	Further Change of Business Scope and Amendment to Articles	Mgmt	For	For	For	

CECEP Wind-Power Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
601016	CINS Y12351105	09/28/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Eligibility to Issue Corporate	Mgmt	For	For	For	

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	Bonds				
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Target of Issuance and Placement to Shareholders	Mgmt	For	For	For
5	Maturity	Mgmt	For	For	For
6	Interest Rate and Interest Payment	Mgmt	For	For	For
7	Method of Issuance	Mgmt	For	For	For
8	Guarantees	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Debt Repayment	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
14	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
15	Amendments to Working Rules: Independent Director	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	For	For

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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	03/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
2	Type	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Repayment of Interests	Mgmt	For	For	For
8	Period of Conversion	Mgmt	For	For	For
9	Determination of Adjustment to Conversion Price	Mgmt	For	For	For
10	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
11	Determination of Amount of Converted Shares	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Attribution of Related Dividends For	Mgmt	For	For	For

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	Conversion Years				
15	Issuance Targets and Method	Mgmt	For	For	For
16	Arrangement for Placement to Shareholders	Mgmt	For	For	For
17	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
18	Use of Raised Funds	Mgmt	For	For	For
19	Guarantee Matters	Mgmt	For	For	For
20	Deposit and Management of Raised Funds	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Preliminary Plan to Issue Corporate Bonds	Mgmt	For	For	For
23	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
24	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
25	Shareholder Returns Plan	Mgmt	For	For	For
26	Board Authorization to Implement Corporate Bonds Issuance	Mgmt	For	For	For
27	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
28	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For

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Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	04/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	2016 Risk Control Indicator Report	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Report on Management Performance and	Mgmt	For	For	For

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Remuneration		N/A	N/A	N/A	N/A
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
Changjiang Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000783	CINS Y1314J100	06/27/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HAN Jianmin as Independent Director	Mgmt	For	For	For
Changjiang Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000783	CINS Y1314J100	07/01/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YOU Xigui	Mgmt	For	For	For
Changjiang Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000783	CINS Y1314J100	08/25/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 INTERIM RISK CONTROL INDICATOR REPORT	Mgmt	For	For	For
2	Adjustment to Company's 2016 Risk Preference and Business Scale Authorization	Mgmt	For	For	For
3	Size of Issuance and Method	Mgmt	For	Against	Against
4	Type of Debt Financing	Mgmt	For	Against	Against
5	Duration of Debt Financing	Mgmt	For	Against	Against
6	Interest Rate	Mgmt	For	Against	Against
7	Guarantee and other Credit Enhancing Arrangements	Mgmt	For	Against	Against
8	Purpose of Raised Funds	Mgmt	For	Against	Against
9	Issuance Price	Mgmt	For	Against	Against
10	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	Against	Against
11	Repayment Guarantees Measures	Mgmt	For	Against	Against
12	List of Financing Instruments	Mgmt	For	Against	Against
13	Validity Period	Mgmt	For	Against	Against
14	Mandate Matters for Debt Issuance	Mgmt	For	Against	Against
15	Compliance with	Mgmt	For	For	For

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	Circular					
16	Scheme of Listing	Mgmt	For	For	For	For
17	Commitment of Company on Maintaining Status of Independent Listing	Mgmt	For	For	For	For
18	Statement on Sustained Profitability and Prospects	Mgmt	For	For	For	For
19	Board Authorization to Implement Listing	Mgmt	For	For	For	For
20	Amendments to Articles	Mgmt	For	For	For	For

Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	12/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
3	Establishment of Subsidiary	Mgmt	For	For	For
4	Capital Increase in a Subsidiary	Mgmt	For	For	For
5	Increase of Business Partners in Industrial Fund Business	Mgmt	For	For	For
6	Elect TANG Guliang	Mgmt	For	For	For
7	Elect YUAN Xiaobin	Mgmt	For	For	For
8	Elect WEN Xiaojie	Mgmt	For	For	For
9	Elect WANG Ying	Mgmt	For	For	For
10	Elect CUI Shaohua	Mgmt	For	For	For
11	Elect DAI Minyun	Mgmt	For	For	For
12	Elect YOU Xigui	Mgmt	For	For	For
13	Elect XIAO Hongjiang	Mgmt	For	Against	Against
14	Elect JIN Caijiu	Mgmt	For	For	For
15	Elect MENG Wenbo	Mgmt	For	For	For
16	Elect CHEN Jia	Mgmt	For	For	For
17	Elect DENG Hui	Mgmt	For	For	For
18	Elect SHEN Xiaolin	Mgmt	For	Against	Against
19	Elect QU Dingyuan	Mgmt	For	For	For
20	Elect DENG Tao	Mgmt	For	For	For
21	Elect CUI Daqiao	Mgmt	For	Against	Against

Changjiang Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000783	CINS Y1314J100	12/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Risk Preference Authorization	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For

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3	Directors, Supervisors and Officers Liability Insurance	Mgmt	For	For	For
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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	01/18/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	Against	Against

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	03/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect LU Guangshan	Mgmt	For	For	For
3	Elect ZHANG Kunhui	Mgmt	For	For	For
4	Elect LI Juwen	Mgmt	For	For	For
5	Elect CHEN Yuanming	Mgmt	For	For	For
6	Elect ZHU Xiaowen	Mgmt	For	For	For
7	Elect LIU Hui	Mgmt	For	For	For
8	Elect CHEN Guanjun	Mgmt	For	For	For
9	Elect YANG Youhong	Mgmt	For	For	For
10	Elect XIONG Huagang	Mgmt	For	For	For
11	Elect CHEN Andi	Mgmt	For	For	For
12	Elect LIU Hongbo	Mgmt	For	For	For
13	Elect WANG Yawei	Mgmt	For	Against	Against
14	Elect GU Rongfang	Mgmt	For	Against	Against
15	Elect ZHANG Yuan	Mgmt	For	For	For

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China Avionics Systems Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600372	CINS Y44456104	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Statement on Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Internal Control Evaluation Report	Mgmt	For	For	For
10	Internal Control Audit Report	Mgmt	For	For	For
11	Social Responsibility	Mgmt	For	For	For

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12	Report Independent Directors' Report	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	Against	Against
14	Amendment to Articles	Mgmt	For	For	For
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China Baoan Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000009	CINS Y1394W104	01/06/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Authority to Issue Super-short Term Commercial Paper	Mgmt	For	For	For
3	Intra-company Contracts	Mgmt	For	For	For
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China Baoan Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000009	CINS Y1394W104	06/22/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Issue Medium-Term Notes	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Approval of Incremental Bonus Allocation	Mgmt	For	For	For
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China Citic Bank Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0998	CINS Y1434M108	02/07/2017		Voted	
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type	Mgmt	For	For	For
2	Size	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Term	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Method and Timing of Interest Payment	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of	Mgmt	For	For	For



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	Conversion Price				
9	Downward Adjustment to Conversion Price	Mgmt	For	For	For
10	Method of Determining the Number of Shares for Conversion	Mgmt	For	For	For
11	Entitlement to Dividend of the Year of Conversion	Mgmt	For	For	For
12	Terms of Redemption	Mgmt	For	For	For
13	Terms of Sale Back	Mgmt	For	For	For
14	Method of Issuance and Target Subscribers	Mgmt	For	For	For
15	Subscription Arrangement for Existing Shareholders	Mgmt	For	For	For
16	Convertible Bondholders and Meetings of Convertible Bondholders	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantee and Security	Mgmt	For	For	For
19	Validity Period of Resolution	Mgmt	For	For	For
20	Proposal on Condition of Issuance of A Share Convertible Corporate Bond	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0998	CINS Y1434M108	02/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Type	Mgmt	For	For	For
3	Size	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Term	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Method and Timing of Interest Payment	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
10	Downward Adjustment to Conversion Price	Mgmt	For	For	For

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11	Method of Determining the Number of Shares for Conversion	Mgmt	For	For	For
12	Entitlement to Dividend of the Year of Conversion	Mgmt	For	For	For
13	Terms of Redemption	Mgmt	For	For	For
14	Terms of Sale Back	Mgmt	For	For	For
15	Method of Issuance and Target Subscribers	Mgmt	For	For	For
16	Subscription Arrangement for Existing Shareholders	Mgmt	For	For	For
17	Convertible Bondholders and Meetings of Convertible Bondholders	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Guarantee and Security	Mgmt	For	For	For
20	Validity Period of Resolution	Mgmt	For	For	For
21	Proposal on Condition of Issuance of A Share Convertible Corporate Bond	Mgmt	For	For	For
22	Feasibility Report of Use of Proceeds	Mgmt	For	For	For
23	Report on Use of Previously Raised Proceeds for 2015	Mgmt	For	For	For
24	Dilution of Immediate Returns and Remedial Measures	Mgmt	For	For	For
25	Board Authorization to Implement Issuance and Listing of A Share Convertible Corporate Bonds	Mgmt	For	For	For
26	Amendments to Procedural Rules for Directors' Meetings	Mgmt	For	For	For
27	Report on Use of Previously Raised Proceeds for 2016	Mgmt	For	For	For

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China Citic Bank Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0998	CINS Y1434M108	05/26/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	2017 Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Report on 2016 Related Party Transactions	Mgmt	For	For	For
9	Elect ZHU Gaoming	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

China Communications Construction Company

Ticker	Security ID:	Meeting Date	Meeting Status		
1800	CINS Y1R36A107	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	Abstain	Against
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For
9	Authority to Issue Debt Instruments	Mgmt	For	For	For

China Construction Bank Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0939	CINS Y1397N119	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 Fixed Asset Investment Plan	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Elect Malcolm C.	Mgmt	For	For	For

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	McCarthy as Director				
9	Elect FENG Bing as Director	Mgmt	For	For	For
10	Elect ZHU Hailin as Director	Mgmt	For	For	For
11	Elect WU Min as Director	Mgmt	For	For	For
12	Elect ZHANG Qi as Director	Mgmt	For	For	For
13	Elect GUO You as Supervisor	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Authority to Issue Debt Instruments	Mgmt	For	For	For
16	Amendment to Articles	Mgmt	For	For	For
17	Amendments to Rules of Procedures for General Meeting	Mgmt	For	For	For
18	Amendments to Procedural Rules Regarding the Board	Mgmt	For	For	For
19	Amendments to Procedural Rules Regarding Supervisory Board	Mgmt	For	For	For

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantee	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect WAN Min	Mgmt	For	For	For
10	Elect HUANG Xiaowen	Mgmt	For	For	For
11	Elect XU Zunwu	Mgmt	For	For	For
12	Elect MA Jianhua	Mgmt	For	For	For
13	Elect WANG Haimin	Mgmt	For	For	For
14	Elect ZHANG Wei	Mgmt	For	For	For
15	Elect FENG Bomng	Mgmt	For	For	For
16	Elect ZHANG Wei	Mgmt	For	For	For
17	Elect CHEN Dong	Mgmt	For	Against	Against

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18	Elect Philip YANG Liang-Yee	Mgmt	For	For	For
19	Elect WU Dawei	Mgmt	For	For	For
20	Elect ZHOU Zhonghui	Mgmt	For	For	For
21	Elect Kenneth KOO C.K.	Mgmt	For	For	For
22	Elect TEO Siong Seng	Mgmt	For	For	For
23	Elect FU Xiangyang	Mgmt	For	For	For
24	Elect HAO Wenyi	Mgmt	For	For	For
25	Elect MENG Yan	Mgmt	For	For	For
26	Elect ZHANG Jianping	Mgmt	For	For	For

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	08/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles and Rules of Procedures of General Meeting and Board of Directors	Mgmt	For	For	For
3	Amendments to Rules of Procedures of Supervisory Committee	Mgmt	For	For	For
4	Change in Company Name	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Merger/Acquisition	Mgmt	For	For	For

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China COSCO Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	12/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Master General Service Agreement	Mgmt	For	For	For
2	Master Vessel Service Agreement	Mgmt	For	For	For
3	Master Container Service Agreement	Mgmt	For	For	For
4	Master Seamen Leasing Service Agreement	Mgmt	For	For	For
5	Freight Forwarding Master Agreement	Mgmt	For	For	For
6	Master Port Services Agreement	Mgmt	For	For	For
7	Master Premises Leasing Agreement	Mgmt	For	For	For
8	Financial Services Agreement	Mgmt	For	For	For
9	Master Vessel and Container Asset	Mgmt	For	For	For

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	Services Agreement				
10	Trademark Licence Agreement	Mgmt	For	For	For
11	Elect WANG Haimin	Mgmt	For	For	For
12	Elect ZHANG Wei	Mgmt	For	For	For
13	Elect FENG Boming	Mgmt	For	For	For
14	Elect ZHANG Wei	Mgmt	For	Against	Against
15	Elect CHEN Dong	Mgmt	For	For	For
16	Elect MA Jianhua	Mgmt	For	For	For
17	Elect HAO Wenyi as Supervisor	Mgmt	For	For	For

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China CSSC Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600150	CINS Y1414Q103	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Against	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Entrustment to Conduct Capital Management Business	Mgmt	For	For	For

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China CSSC Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600150	CINS Y1414Q103	08/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect WANG Qi	Mgmt	For	For	For
3	WANG Yongliang	Mgmt	For	For	For
4	Elect CHEN Zhili	Mgmt	For	Against	Against
5	Elect PENG Weihua	Mgmt	For	Against	Against

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China CSSC Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600150	CINS Y1414Q103	11/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

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China Eastern Airlines Corporation Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0670	CINS Y1407D101	01/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Disposal	Mgmt	For	For	For
China Eastern Airlines Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0670	CINS Y1407D101	06/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Domestic and International Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Rules of Procedures for General Meeting	Mgmt	For	For	For
China Eastern Airlines Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0670	CINS Y1407D101	10/27/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For
2	Financial Services Renewal Agreement	Mgmt	For	For	For
3	Catering Services Renewal Agreement	Mgmt	For	For	For
China Everbright Bank Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
6818	CINS Y1477U116	02/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Share Type	Mgmt	For	For	For
2	Issuance Size	Mgmt	For	For	For
3	Par Value and Issuance	Mgmt	For	For	For

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	Price				
4	Method of Issuance	Mgmt	For	For	For
5	Duration	Mgmt	For	For	For
6	Target Investors	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Terms for Distribution of Dividends	Mgmt	For	For	For
9	Terms for Mandatory Conversion	Mgmt	For	For	For
10	Terms for Optional Redemption	Mgmt	For	For	For
11	Restrictions on Voting Rights	Mgmt	For	For	For
12	Restoration of Voting Rights	Mgmt	For	For	For
13	Sequence of Settlement and Method of Liquidation	Mgmt	For	For	For
14	Rating Arrangements	Mgmt	For	For	For
15	Guarantee Arrangement	Mgmt	For	For	For
16	Use of Proceeds	Mgmt	For	For	For
17	Transfer Arrangements	Mgmt	For	For	For
18	Effective Period of Resolution	Mgmt	For	For	For
19	Board Authorization to Implement the Preference Share Issuance	Mgmt	For	For	For
20	Impact of Returns Dilutions Arising from Issuance of Preference Shares	Mgmt	For	For	For
21	Shareholder Return Plan	Mgmt	For	Abstain	Against
22	Amendments to Articles	Mgmt	For	For	For
23	Elect CAI Yunge	Mgmt	For	For	For
24	Elect LIU Chong	Mgmt	For	For	For
25	Related Party Transactions: Non-Public Issuance of Preference Shares	Mgmt	For	For	For
26	Connected Transaction: Subscription of Domestic Convertible Bonds	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6818	CINS Y1477U116	02/28/2017	Voted
Meeting Type		Country of Trade	
Other		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Share Type	Mgmt	For
2	Issuance Size	Mgmt	For
3	Par Value and Issuance Price	Mgmt	For
4	Method of Issuance	Mgmt	For
5	Duration	Mgmt	For
6	Target Investors	Mgmt	For



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7	Lock-up Period	Mgmt	For	For	For
8	Terms for Distribution of Dividends	Mgmt	For	For	For
9	Terms for Mandatory Conversion	Mgmt	For	For	For
10	Terms for Optional Redemption	Mgmt	For	For	For
11	Restrictions on Voting Rights	Mgmt	For	For	For
12	Restoration of Voting Rights	Mgmt	For	For	For
13	Sequence of Settlement and Method of Liquidation	Mgmt	For	For	For
14	Rating Arrangements	Mgmt	For	For	For
15	Guarantee Arrangement	Mgmt	For	For	For
16	Use of Proceeds	Mgmt	For	For	For
17	Transfer Arrangements	Mgmt	For	For	For
18	Effective Period of Resolution	Mgmt	For	For	For
19	Board Authorization to Implement the Preference Share Issuance	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	06/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 Fixed Asset Investment Budget	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Standard on Directors' Fees for 2016	Mgmt	For	For	For
8	Standard on Supervisors' Fees for 2016	Mgmt	For	For	For
9	Class and par value	Mgmt	For	For	For
10	Method of issue	Mgmt	For	For	For
11	Target subscribers	Mgmt	For	For	For
12	Issue size	Mgmt	For	For	For
13	Method of subscription	Mgmt	For	For	For
14	Benchmark date	Mgmt	For	For	For
15	Issue price	Mgmt	For	For	For
16	Lock-up period	Mgmt	For	For	For
17	Place of listing	Mgmt	For	For	For
18	Arrangement for accumulated profits	Mgmt	For	For	For

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19	Use of proceeds	Mgmt	For	For	For
20	Validity period	Mgmt	For	For	For
21	Amendments to Articles (H Share Issue)	Mgmt	For	For	For
22	Share Subscription Agreements (China Everbright Group Limited)	Mgmt	For	For	For
23	Share Subscription Agreements (Overseas Chinese Town Enterprises Company)	Mgmt	For	For	For
24	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
25	Ratification of Board Acts (H Share Issue)	Mgmt	For	For	For
26	Connected Transactions (H Share Issue)	Mgmt	For	For	For
27	Report on Use of Proceeds from Previous Issuance	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	06/20/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and par value	Mgmt	For	For	For
2	Method of issue	Mgmt	For	For	For
3	Target subscribers	Mgmt	For	For	For
4	Issue size	Mgmt	For	For	For
5	Method of subscription	Mgmt	For	For	For
6	Benchmark date	Mgmt	For	For	For
7	Issue price	Mgmt	For	For	For
8	Lock-up period	Mgmt	For	For	For
9	Place of listing	Mgmt	For	For	For
10	Arrangement for accumulated profits	Mgmt	For	For	For
11	Use of proceeds	Mgmt	For	For	For
12	Validity period	Mgmt	For	For	For
13	Amendments to Articles (H Share Issue)	Mgmt	For	For	For
14	Ratification of Board Acts (H Share Issue)	Mgmt	For	For	For

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China Everbright Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6818	CINS Y1477U116	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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1	Issuance of Qualified Tier-2 Capital Instruments	Mgmt	For	For	Mgmt For
2	Authority to Issue Financial Bonds	Mgmt	For	For	For
3	Establishment of Separate Legal Entity for Credit Card Business	Mgmt	For	For	For
4	Elect WANG Ligu as Director	Mgmt	For	For	For
5	Elect WANG Zhe as Supervisor	Mgmt	For	For	For
6	Supervisors' Fees	Mgmt	For	For	For
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China First Heavy Industries Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601106	CINS Y148AA106	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect LIU Mingzhong as Director	Mgmt	For	For	For
<hr/>					
China First Heavy Industries Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601106	CINS Y148AA106	10/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Subscribers and Subscription Method	Mgmt	For	For	For
5	Pricing Principles, Pricing Base Day and Issuance Price of Offering Shares	Mgmt	For	For	For
6	Volume of Issuance	Mgmt	For	For	For
7	Investment of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Exchange	Mgmt	For	For	For
10	Arrangement for Accumulated Profits	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Private Placement Plan	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For

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14	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
15	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
16	Conditional Share Subscription Agreements	Mgmt	For	For	For
17	Board Authorization for Private Placement	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	01/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperative Agreement on Cooperation, Development, Construction and Management	Mgmt	For	For	For
2	Supplementary Agreement To PPP Project Cooperative Agreement	Mgmt	For	For	For
3	Authority to Give Guarantees for Renewable Entrusted Loans	Mgmt	For	Against	Against
4	Authority to Give Guarantees	Mgmt	For	Against	Against
5	Authorization to Project Development Management	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	02/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions for Purchase of Wealth Management Products	Mgmt	For	For	For
3	Board Authorization for Purchase of Wealth Management Products	Mgmt	For	For	For
4	Proposal Authorizing Subsidiary to Approve	Mgmt	For	Against	Against

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	the Amount of External Guarantee (Outlined in 4th Meeting of the 6th Board of Directors)					
5	Signing of Cooperation Agreement and Supplementary Agreement for Development in Chengdu	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	For	For	
7	PROVISION OF ENTRUSTED LOANS	Mgmt	For	For	For	
8	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against	
9	Proposal Authorizing the Subsidiary to Approve the Amount of External Guarantee (The 5th Meeting of the 6th Board of Directors)	Mgmt	For	Against	Against	

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	03/02/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Issue Medium-Term Notes	Mgmt	For	For	For
3	Authority to Issue Asset Backed Securities	Mgmt	For	For	For
4	Authority for Secondary Issuance of Asset Backed Securities	Mgmt	For	For	For
5	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against
6	External Investment	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	04/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Signing of Development Cooperation Agreement	Mgmt	For	For	For

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3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Independent Directors' Fees	Mgmt	For	For	For
9	Board Authorization to Examine Expansion Plan	Mgmt	For	For	For
10	Board Authorization to Purchase Operational Use Land	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	Against	Against
12	Supervisors' Report	Mgmt	For	For	For
13	Signing of Supplementary Agreement of Cooperative Expansion Plan	Mgmt	For	For	For
14	Authority to Issue Overseas Bonds	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	05/03/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	05/16/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600340	CINS Y98912101	06/08/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of PPP Project Cooperation Agreements	Mgmt	For	For	For
2	Agreements on Development and	Mgmt	For	For	For

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3	Construction in Xinzheng Board Authorization regarding Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	06/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Signing of Strategic Cooperation Agreement	Mgmt	For	For	For

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	07/11/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Project Contracts	Mgmt	For	For	For
2	Cooperation Agreement	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	08/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Framework Agreement	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	08/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Authority to Issue Asset-Backed Security	Mgmt	For	For	For
3	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
4	Authority to Give	Mgmt	For	Against	Against

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5	Authorized Guarantees Authority to Give Guarantees to Affiliated Company	Mgmt	For	Against	Against
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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Term of Bond	Mgmt	For	For	For
4	Interest Rates	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Guarantee Clause	Mgmt	For	For	For
7	Redemption or Resale Provisions	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Target of Subscription	Mgmt	For	For	For
10	Underwriting Method	Mgmt	For	For	For
11	Credit and Guarantee Measures	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
14	Creation of Special Account for Raised Funds	Mgmt	For	For	For

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600340	CINS Y98912101	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Signing of Cooperation Agreement	Mgmt	For	For	For
3	Related Party Transactions Regarding External Investment	Mgmt	For	For	For
4	Guarantees for Subordinate Companies	Mgmt	For	Abstain	Against
5	Authority to Give Mortgage Guarantees	Mgmt	For	Abstain	Against

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China Fortune Land Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600340	CINS Y98912101	10/13/2016	Voted



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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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China Fortune Land Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	11/15/2016		Voted	
600340 CINS Y98912101		Country of Trade			
Meeting Type		China			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Strategic Cooperation Agreement with China Bohai Bank Co., Ltd.	Mgmt	For	For	For
2	Strategic Cooperation Agreement with Postal Savings Bank of China Hebei Branch	Mgmt	For	For	For
3	PPP Project Cooperation Agreement For the Development and Construction of Industry Town	Mgmt	For	For	For
4	Cooperation Agreement for PPP Project Wuhan Industry Town and Supplemental Agreement	Mgmt	For	For	For
5	Deposit and Settlement Transactions with Bank of Langfang Co., Ltd.	Mgmt	For	Against	Against
6	Constitution of Procedural Rules: Management Policy for Use of Proceeds	Mgmt	For	For	For

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China Fortune Land Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	12/01/2016		Voted	
600340 CINS Y98912101		Country of Trade			
Meeting Type		China			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cooperative Agreement	Mgmt	For	For	For
2	Connected Transactions for Cooperative Agreement	Mgmt	For	For	For

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China Fortune Land Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	12/19/2016		Voted	
600340 CINS Y98912101		Country of Trade			
Meeting Type		China			
Special		China			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Financial Bills	Mgmt	For	For	For
2	Authority to Give Guarantees (Discussed on 92nd Board Meeting)	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Wholly-owned Subsidiaries	Mgmt	For	Against	Against
4	Authority to Issue Medium-term Notes	Mgmt	For	For	For
5	Authority to Issue Corporate Bond by Subsidiary	Mgmt	For	For	For
6	Authority to Give Guarantees to Corporate Bond Issuance of Subsidiary	Mgmt	For	Against	Against
7	Authority to Give Guarantees by Subsidiary (Discussed on 93rd Board Meeting)	Mgmt	For	Against	Against
8	Acquisition Agreement	Mgmt	For	Abstain	Against
9	Elect WANG Wenxue	Mgmt	For	For	For
10	Elect GUO Shaozeng	Mgmt	For	For	For
11	Elect MENG Jing	Mgmt	For	For	For
12	Elect HU Xuewen	Mgmt	For	For	For
13	Elect ZHAO Hongjing	Mgmt	For	For	For
14	Elect ZHU Wuxiang	Mgmt	For	Against	Against
15	Elect ZHANG Qifeng	Mgmt	For	Against	Against
16	Elect DUAN Zhongpeng	Mgmt	For	Against	Against
17	Elect CHANG Dongjuan	Mgmt	For	Against	Against
18	Elect ZHANG Yi	Mgmt	For	For	For

China Galaxy Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6881	CINS Y1R92J117	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 Capital Expenditure Budget	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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9	Authority to Give Guarantees	Mgmt	For	For	For
10	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Amendments to Board Authorization	Mgmt	For	Against	Against
12	Securities and Financial Product Transactions and Services Framework Agreement	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	02/07/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Shandong Expressway Jitai East Line Expressway Co. Ltd.	Mgmt	For	For	For
2	Acquisition of Shandong Hi-Speed Judan Expressway Co. Ltd.	Mgmt	For	For	For
3	Acquisition of Shandong Hi-Speed Zaohe Expressway Co. Ltd.	Mgmt	For	For	For
4	Investment and Establishment of Sichuan Gezhouba Batong Wan Expressway Co. Ltd.	Mgmt	For	For	For

China Gezhouba Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600068	CINS Y1495L114	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Financial Services Agreement	Mgmt	For	Against	Against

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9	Related Party Transactions	Mgmt	For	Against	Against
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
600297	CINS Y1964K100	02/10/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for 2016 Private Placement	Mgmt	For	For	For
2	Extension of Validity of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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China Grand Automotive Services Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
600297	CINS Y1964K100	05/22/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Company Authorization to Give Guarantees	Mgmt	For	Against	Against
9	Management Authorization to Examine and Approve Financial Activities within the 2017 Quota.	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

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China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
601118	CINS Y1497E100	05/04/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Annual Report	Mgmt	For	For	For
2	Directors Report	Mgmt	For	For	For
3	Supervisors Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Provision for Asset Depreciation	Mgmt	For	For	For
8	Financial Budget Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Financing Quota	Mgmt	For	For	For
11	Financial Derivatives Investment	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Amendments to Procedural Rules: External Guarantee System	Mgmt	For	For	For
14	Elect LI Baoyou	Mgmt	For	For	For

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### China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	06/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against Mgmt		
1	Extension of Validity of Resolution In Connection with Private Placement	Mgmt	For	For	For
2	Board Authorization to Implement Private Placement	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For

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### China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	08/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against Mgmt		
1	Appointment of Auditor	Mgmt	For	For	For

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### China Hainan Rubber Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601118	CINS Y1497E100	09/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against Mgmt		
1	External Investment and Related Party Transaction	Mgmt	For	For	For
2	Equity Transfer and Related Party Transaction	Mgmt	For	For	For

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3	Elect CAI Jinhe as Supervisor	Mgmt	For	Against	Against
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China Hainan Rubber Industry Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
601118 CINS Y1497E100 12/29/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Procedural Rules: Administrative Measures for Guarantees	Mgmt	For	Abstain	Against

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China High-Speed Railway Technology Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000008 CINS Y4444K104 01/23/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Elect XU Hanming as Non-Independent Director	Mgmt	For	For	For
2	FORMULATION OF 2020 STRATEGIC PLAN	Mgmt	For	For	For

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China High-Speed Railway Technology Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000008 CINS Y4444K104 03/30/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Amendments to Articles	Mgmt	For	For	For
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China High-Speed Railway Technology Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000008 CINS Y4444K104 05/12/2017 Voted  
Meeting Type Country of Trade  
Annual China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Elect JIANG Pengcheng as Supervisor	Mgmt	For	Against	Against

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China High-Speed Railway Technology Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
000008 CINS Y4444K104 06/12/2017 Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value, Issuing Price And Issuing Volume	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Interest Rate And Its Determination Method	Mgmt	For	For	For
5	Issuance Method	Mgmt	For	For	For
6	Issuance Targets And Arrangement For Placement To Shareholders	Mgmt	For	For	For
7	Guarantee Arrangement	Mgmt	For	For	For
8	Underwriting Method	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Listing Arrangement	Mgmt	For	For	For
12	Redemption or Resale Provisions	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Issuance Duration	Mgmt	For	For	For
17	Interest Rate and Its Determination Method	Mgmt	For	For	For
18	Issuing Method	Mgmt	For	For	For
19	Targets of Issuance	Mgmt	For	For	For
20	Guarantee Arrangement	Mgmt	For	For	For
21	Underwriting Method	Mgmt	For	For	For
22	Use of Proceeds	Mgmt	For	For	For
23	Validity of Resolution	Mgmt	For	For	For
24	Board Authorization to Implement Commercial Papers Issuance	Mgmt	For	For	For

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China International Marine Containers (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2039	CINS Y1457J115	06/09/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	The Number of Shares	Mgmt	For	For	For
2	The Pricing of Shares	Mgmt	For	For	For
3	The Validity Period	Mgmt	For	For	For
4	Revised Version of Proposal on the Non-public Issuance of A	Mgmt	For	For	For

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5	Shares Extension of Validity Period of Authorization (A Share Offering)	Mgmt	For	For	For
China International Marine Containers (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2039	CINS Y1457J115	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Feasibility Analysis Report on the Use of Proceeds from the Non-public Issuance of A-Shares	Mgmt	For	For	For
2	Report On Use of Proceeds From Previous Fund Raising Activities	Mgmt	For	For	For
3	Dilution of Current Returns by the Non-public Issuance of A Shares and the Remedial Measures	Mgmt	For	For	For
4	Undertakings of Management for Dilution Measures	Mgmt	For	For	For
5	Number of Shares	Mgmt	For	For	For
6	Issue price, Price Determination Date and Pricing Principle	Mgmt	For	For	For
7	Validity Period of Resolution	Mgmt	For	For	For
8	Revised Plan of Non-public Issuance of A Shares	Mgmt	For	For	For
9	Extension of Validity Period of Board Authorization Regarding Non-public Issuance of A Shares	Mgmt	For	For	For
10	Directors' Report	Mgmt	For	For	For
11	Supervisors' Report	Mgmt	For	For	For
12	Annual Report	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Provisions for Asset Impairments	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Banking Facility and	Mgmt	For	Against	Against



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17	Project Guarantee for Subsidiaries Credit Guarantee Provided by CIMC Skyspace and its Subsidiaries for the Mortgage Loans of Commercial Property Purchasers	Mgmt	For	Against	Against
18	Credit Guarantee provided by CIMC Vehicle to Distributors and Customers	Mgmt	For	Against	Against
19	Application of External Guarantee Provided by CIMC Finance Company	Mgmt	For	Against	Against
20	Credit Guarantee provided by C&C Trucks to Distributors and Customers	Mgmt	For	Against	Against
21	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China International Marine Containers (Group) Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
2039	CINS Y1457J115	12/20/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect LV Shengzhou as Supervisor	Mgmt	For	Against	Against	

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China International Travel Service Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
601888	CINS Y149A3100	02/15/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2	Appointment of Internal Control Auditor	Mgmt	For	For	For	
3	Signing of Financial Service Agreement	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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China International Travel Service Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
601888	CINS Y149A3100	03/07/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	

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2	Amendments to Procedural Rules: Board of Supervisors	Mgmt	For	For	For
3	Elect NIE Zhongquan as Supervisor	Mgmt	For	Against	Against
4	Elect LI Gang	Mgmt	For	For	For
5	Elect PENG Hui	Mgmt	For	For	For
6	Elect LI Renzhi	Mgmt	For	For	For
7	Elect CHEN Xianjun	Mgmt	For	For	For
8	Elect ZHANG Rungang	Mgmt	For	For	For
9	Elect WANG Bin	Mgmt	For	For	For
10	Elect LIU Yan	Mgmt	For	For	For

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China International Travel Service Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601888 CINS Y149A3100 04/12/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment Plan	Mgmt	For	Abstain	Against
2	Financial Budget	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Appointment of Internal Control Auditor	Mgmt	For	For	For

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China International Travel Service Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601888 CINS Y149A3100 05/23/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 GUARANTEE PLAN	Mgmt	For	For	For

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China Life Insurance Company Ltd.

Ticker Security ID: Meeting Date Meeting Status  
2628 CINS Y1477R220 05/31/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Elect LIU Huimin	Mgmt	For	For	For
7	Elect YIN Zhaojun	Mgmt	For	For	For

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8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Framework Agreement and Annual Caps: Chongqing International Trust Inc.	Mgmt	For	For	For
10	Framework Agreement and Annual Caps: China Life Investment Holding Co. Ltd.	Mgmt	For	For	For
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China Life Insurance Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2628	CINS Y1477R220	12/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	13th Five-Year Development Plan	Mgmt	For	For	For
2	Change of Auditor for US Form 20-F	Mgmt	For	For	For
3	Company Framework Agreement and Pension Company Framework Agreement	Mgmt	For	For	For
4	CLIC Framework Agreement and CLP&C Framework Agreement	Mgmt	For	For	For
5	Framework Agreement for Daily Connected Transactions with China Guangfa Bank	Mgmt	For	For	For

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China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3968	CINS Y14896107	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Report on Duty Performance of	Mgmt	For	For	For

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8	Directors Report on Duty Performance of Supervisors	Mgmt	For	For	For
9	Duty Performance Report and Cross-Evaluation of Independent Directors	Mgmt	For	For	For
10	Duty Performance Report and Cross-Evaluation of External Supervisors	Mgmt	For	For	For
11	Report on Duty Performance of Senior Management	Mgmt	For	For	For
12	Report on 2016 Related Party Transactions	Mgmt	For	For	For
13	Adjustment to Mandate for Issue of Financial Bonds	Mgmt	For	Against	Against
14	Type and Amount	Mgmt	For	For	For
15	Duration	Mgmt	For	For	For
16	Method of Issue	Mgmt	For	For	For
17	Issue Target	Mgmt	For	For	For
18	Nominal Value and Issue Price	Mgmt	For	For	For
19	Lock-Up Period	Mgmt	For	For	For
20	Dividend Distribution Terms	Mgmt	For	For	For
21	Terms of Conditional Redemption	Mgmt	For	For	For
22	Terms of Mandatory Conversion	Mgmt	For	For	For
23	Restriction on Voting Rights	Mgmt	For	For	For
24	Restoration of Voting Rights	Mgmt	For	For	For
25	Distribution of Assets and Liquidation Matters	Mgmt	For	For	For
26	Rating Arrangements	Mgmt	For	For	For
27	Guarantee	Mgmt	For	For	For
28	Use of Proceeds	Mgmt	For	For	For
29	Transferability	Mgmt	For	For	For
30	Regulatory Compliance	Mgmt	For	For	For
31	Effective Period	Mgmt	For	For	For
32	Preference Share Relationship	Mgmt	For	For	For
33	Authorisation Matters	Mgmt	For	For	For
34	Type and Amount	Mgmt	For	For	For
35	Duration	Mgmt	For	For	For
36	Method of Issue	Mgmt	For	For	For
37	Issue Target	Mgmt	For	For	For
38	Nominal Value and Issue Price	Mgmt	For	For	For
39	Lock-Up Period	Mgmt	For	For	For

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40	Dividend Distribution Terms	Mgmt	For	For	For
41	Terms of Conditional Redemption	Mgmt	For	For	For
42	Terms of Mandatory Conversion	Mgmt	For	For	For
43	Restriction on Voting Rights	Mgmt	For	For	For
44	Restoration of Voting Rights	Mgmt	For	For	For
45	Distribution of Assets and Liquidation Matters	Mgmt	For	For	For
46	Rating Arrangements	Mgmt	For	For	For
47	Guarantee	Mgmt	For	For	For
48	Use of Proceeds	Mgmt	For	For	For
49	Transferability	Mgmt	For	For	For
50	Regulatory Compliance	Mgmt	For	For	For
51	Effective Period	Mgmt	For	For	For
52	Preference Share Relationship	Mgmt	For	For	For
53	Authorisation	Mgmt	For	For	For
54	Related Party Transactions (COSCO Shipping Financial Holdings Co. Ltd)	Mgmt	For	For	For
55	Share Subscription Agreement	Mgmt	For	For	For
56	Dilution Measures of Current Returns	Mgmt	For	For	For
57	Capital Management Plan 2017-2019	Mgmt	For	For	For
58	Shareholders' Return Plan 2017-2019	Mgmt	For	For	For
59	Report on Use of Proceeds from Previous Issuance	Mgmt	For	For	For
60	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
61	Amendments to Articles	Mgmt	For	For	For
62	Amendments to Procedural Rules	Mgmt	For	For	For

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China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3968	CINS Y14896107	05/26/2017	Voted		
Meeting Type	Other	Country of Trade			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Type and Amount	Mgmt	For	For	For
2	Duration	Mgmt	For	For	For
3	Method of Issue	Mgmt	For	For	For
4	Issue Target	Mgmt	For	For	For

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5	Nominal Value and Issue Price	Mgmt	For	For	For
6	Lock-Up Period	Mgmt	For	For	For
7	Dividend Distribution Terms	Mgmt	For	For	For
8	Terms of Conditional Redemption	Mgmt	For	For	For
9	Terms of Mandatory Conversion	Mgmt	For	For	For
10	Restriction on Voting Rights	Mgmt	For	For	For
11	Restoration of Voting Rights	Mgmt	For	For	For
12	Distribution of Assets and Liquidation Matters	Mgmt	For	For	For
13	Rating Arrangements	Mgmt	For	For	For
14	Guarantee	Mgmt	For	For	For
15	Use of Proceeds	Mgmt	For	For	For
16	Transferability	Mgmt	For	For	For
17	Regulatory Compliance	Mgmt	For	For	For
18	Effective Period	Mgmt	For	For	For
19	Preference Share Relationship	Mgmt	For	For	For
20	Authorisation Matters	Mgmt	For	For	For
21	Type and Amount	Mgmt	For	For	For
22	Duration	Mgmt	For	For	For
23	Method of Issue	Mgmt	For	For	For
24	Issue Target	Mgmt	For	For	For
25	Nominal Value and Issue Price	Mgmt	For	For	For
26	Lock-Up Period	Mgmt	For	For	For
27	Dividend Distribution Terms	Mgmt	For	For	For
28	Terms of Conditional Redemption	Mgmt	For	For	For
29	Terms of Mandatory Conversion	Mgmt	For	For	For
30	Restriction on Voting Rights	Mgmt	For	For	For
31	Restoration of Voting Rights	Mgmt	For	For	For
32	Distribution of Assets and Liquidation Matters	Mgmt	For	For	For
33	Rating Arrangements	Mgmt	For	For	For
34	Guarantee	Mgmt	For	For	For
35	Use of Proceeds	Mgmt	For	For	For
36	Transferability	Mgmt	For	For	For
37	Regulatory Compliance	Mgmt	For	For	For
38	Effective Period	Mgmt	For	For	For
39	Preference Share Relationship	Mgmt	For	For	For
40	Authorisation	Mgmt	For	For	For

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China Merchants Bank Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3968	CINS Y14896107	11/04/2016	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Grant of H-Share Appreciation Rights	Mgmt	For	For	For
2	Independent Directors' Remuneration	Mgmt	For	For	For
3	External Supervisors' Remuneration	Mgmt	For	For	For

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### China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601872	CINS Y15039129	03/17/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Services Agreement	Mgmt	For	For	For
2	Provisions for Asset Depreciation	Mgmt	For	For	For

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### China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601872	CINS Y15039129	05/31/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Liability Insurance	Mgmt	For	For	For
9	Related Party Transactions with You Lian Ship Factory (Shekou) Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Unipecc Singapore etc.	Mgmt	For	For	For
11	Related Party Transactions with China Merchants Energy Trading Co., Ltd.	Mgmt	For	For	For
12	Related Party Transactions with China Merchants Hoi Tung Trading Co., Ltd. and its Subsidiaries	Mgmt	For	For	For
13	Related Party Transactions with Sinotrans and CSC	Mgmt	For	For	For

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	Holdings Co., Ltd.				
14	Approval of Line of Credit	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Investment in Wealth Management Products with Proprietary Funds	Mgmt	For	For	For
17	Investment in Wealth Management Products with Idle Raised Capital	Mgmt	For	For	For
18	Authority to Give Guarantees	Mgmt	For	Against	Against
19	Provision of Financial Aid to China VLCC	Mgmt	For	For	For
20	Elect SU Xingang as Non-independent Director	Mgmt	For	For	For
21	Elect XIE Zhenglin as Non-independent Director	Mgmt	For	For	For
22	Elect YAO Ping as Non-independent Director	Mgmt	For	For	For
23	Elect XIE Chunlin as Non-independent Director	Mgmt	For	For	For
24	Elect SU Jian as Non-independent Director	Mgmt	For	For	For
25	Elect TIAN Xiaoyan as Non-independent Director	Mgmt	For	For	For
26	Elect LIU Weiwu as Non-independent Director	Mgmt	For	For	For
27	Elect WANG Yongxin as Non-independent Director	Mgmt	For	For	For
28	Elect ZHANG Liang as Independent Director	Mgmt	For	For	For
29	Elect QU Yimin as Independent Director	Mgmt	For	For	For
30	Elect WU Shuxiong as Independent Director	Mgmt	For	For	For
31	Elect FENG Daoxiang as Independent Director	Mgmt	For	For	For
32	Elect CAO Hong as Supervisor	Mgmt	For	Against	Against
33	Elect LIU Yingjie as Supervisor	Mgmt	For	For	For

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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601872	CINS Y15039129	09/30/2016	Voted



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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Related Party Transactions with Sinotrans Group	Mgmt	For	For	For	
2	Authority to Give Counter Guarantees	Mgmt	For	For	For	
3	Framework Agreement and Related Party Transactions with China Merchants Energy Trading Co., Ltd.	Mgmt	For	For	For	
4	Independent Directors' Fees	Mgmt	For	For	For	
5	Investment in Wealth Management Products	Mgmt	For	For	For	
6	Authority to Give Guarantees for Two Long-term Transport Agreements	Mgmt	For	For	For	

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China Merchants Energy Shipping Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
601872	CINS Y15039129	11/30/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Approval of Borrowing	Mgmt	For	For	For	
2	Investment in Wealth Management Products	Mgmt	For	For	For	

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China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
6099	CINS Y14904109	05/26/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Independent Directors' Report	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	2017 Investment Budget	Mgmt	For	For	For	
8	Related Party Transactions (China Merchants Bank Co. Ltd.)	Mgmt	For	Against	Against	
9	Related Party Transactions (China Merchants Group	Mgmt	For	Abstain	Against	

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	Ltd.)					
10	Related Party Transactions (China Merchants Fund Management Ltd.)	Mgmt	For	Abstain	Against	
11	Related Party Transactions (COSCO Shipping Corporation Ltd.)	Mgmt	For	Abstain	Against	
12	Related Party Transactions (Other Parties)	Mgmt	For	Abstain	Against	
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
14	Elect SU Min	Mgmt	For	For	For	
15	Elect XIONG Xianliang	Mgmt	For	For	For	
16	Elect SU Jian	Mgmt	For	For	For	
17	Elect WANG Yan	Mgmt	For	For	For	
18	Elect PENG Lei	Mgmt	For	For	For	
19	Elect XIONG Jiantao	Mgmt	For	For	For	
20	Elect HUANG Jian	Mgmt	For	For	For	
21	Elect WANG Daxiong	Mgmt	For	For	For	
22	Elect CHEN Zhigang	Mgmt	For	For	For	
23	Elect XIANG Hua	Mgmt	For	For	For	
24	Elect XIAO Houfa	Mgmt	For	For	For	
25	Elect HUO Da	Mgmt	For	For	For	
26	Elect XIONG Wei	Mgmt	For	For	For	
27	Elect HU Honggao	Mgmt	For	For	For	
28	Elect WONG Ti	Mgmt	For	For	For	
29	Elect Linda ZHOU Lei as Supervisor	Mgmt	For	For	For	
30	Elect LI Xiaofei as Supervisor	Mgmt	For	Against	Against	
31	Elect WANG Zhangwei as Supervisor	Mgmt	For	For	For	
32	Elect MA Yunchun as Supervisor	Mgmt	For	Against	Against	
33	Elect FANG Xiaobing as Supervisor	Mgmt	For	Against	Against	
34	Elect ZHANG Zehong as Supervisor	Mgmt	For	For	For	
35	Type	Mgmt	For	Against	Against	
36	Issue Size	Mgmt	For	Against	Against	
37	Issuer	Mgmt	For	Against	Against	
38	Maturity	Mgmt	For	Against	Against	
39	Interest Rate	Mgmt	For	Against	Against	
40	Security	Mgmt	For	Against	Against	
41	Use of Proceeds	Mgmt	For	Against	Against	
42	Issue Price	Mgmt	For	Against	Against	
43	Issue Target	Mgmt	For	Against	Against	
44	Listing	Mgmt	For	Against	Against	
45	Guarantee Measures	Mgmt	For	Against	Against	
46	Validity Period	Mgmt	For	Against	Against	
47	Authorisation	Mgmt	For	Against	Against	

China Merchants Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600999	CINS Y14904109	09/13/2016	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	Framework Agreement for Trade and Service of Securities	Mgmt	For	For	For
3	Independent Directors' Fees	Mgmt	For	For	For
4	Adjustment to Project Investment Plan	Mgmt	For	For	For

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China Merchants Shekou Industrial Zone Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
001979	CINS Y14907102	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Related Party Transactions Regarding Deposits and Loans	Mgmt	For	Against	Against
9	2017 Guarantee Quota for Subsidiaries	Mgmt	For	Against	Against
10	Approval to Offer Loans	Mgmt	For	For	For
11	Changes of Projects Funded with Raised Funds	Mgmt	For	For	For
12	Authorization regarding Connected Transactions of Joint Investment	Mgmt	For	For	For
13	Purchase of Liability Insurance for Directors, Supervisors, and Senior Management	Mgmt	For	For	For
14	Amendments to External Donation Management Measures	Mgmt	For	For	For
15	Amendments to Connected Transaction Management System	Mgmt	For	For	For
16	Amendments to Raised Funds Management	Mgmt	For	For	For

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17	System Authority to Give Guarantees	Mgmt	For	Against	Against
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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	02/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Hongwei	Mgmt	For	For	For
2	Elect LU Zhiqiang	Mgmt	For	For	For
3	Elect LIU Yonghao	Mgmt	For	For	For
4	Elect SHI Yuzhu	Mgmt	For	For	For
5	Elect WU Di	Mgmt	For	Against	Against
6	Elect YAO Dafeng	Mgmt	For	For	For
7	Elect SONG Chunfeng	Mgmt	For	For	For
8	Elect TIAN Zhiping	Mgmt	For	For	For
9	Elect WENG Zhenjie	Mgmt	For	For	For
10	Elect LIU Jipeng	Mgmt	For	Against	Against
11	Elect LI Hancheng	Mgmt	For	For	For
12	Elect XIE Zhichun	Mgmt	For	For	For
13	Elect CHENG Hoi-chuen	Mgmt	For	Against	Against
14	Elect PENG Xuefeng	Mgmt	For	For	For
15	Elect LIU Ningyu	Mgmt	For	For	For
16	Elect HONG Qi	Mgmt	For	Against	Against
17	Elect LIANG Yutang	Mgmt	For	Against	Against
18	Elect ZHENG Wanchun	Mgmt	For	For	For
19	Elect WANG Hang	Mgmt	For	For	For
20	Elect ZHANG Bo	Mgmt	For	For	For
21	Elect LU Zhongnan	Mgmt	For	For	For
22	Elect WANG Yugui	Mgmt	For	For	For
23	Elect BAO Jiming	Mgmt	For	For	For
24	Elect CHENG Guoqi	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Extension of Validity Period of Domestic Preference Shares Issuance	Mgmt	For	For	For

China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	02/20/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Hongwei	Mgmt	For	For	For
2	Elect LU Zhiqiang	Mgmt	For	For	For
3	Elect LIU Yonghao	Mgmt	For	For	For
4	Elect SHI Yuzhu	Mgmt	For	For	For
5	Elect WU Di	Mgmt	For	Against	Against
6	Elect YAO Dafeng	Mgmt	For	For	For
7	Elect SONG Chunfeng	Mgmt	For	For	For
8	Elect TIAN Zhiping	Mgmt	For	For	For
9	Elect WENG Zhenjie	Mgmt	For	For	For
10	Elect LIU Jipeng	Mgmt	For	Against	Against
11	Elect LI Hancheng	Mgmt	For	For	For
12	Elect XIE Zhichun	Mgmt	For	For	For
13	Elect CHENG Hoi-chuen	Mgmt	For	Against	Against
14	Elect PENG Xuefeng	Mgmt	For	For	For

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15	Elect	LIU Ningyu	Mgmt	For	For	For
16	Elect	HONG Qi	Mgmt	For	Against	Against
17	Elect	LIANG Yutang	Mgmt	For	Against	Against
18	Elect	ZHENG Wanchun	Mgmt	For	For	For
19	Elect	WANG Hang	Mgmt	For	For	For
20	Elect	ZHANG Bo	Mgmt	For	For	For
21	Elect	LU Zhongnan	Mgmt	For	For	For
22	Elect	WANG Yugui	Mgmt	For	For	For
23	Elect	BAO Jiming	Mgmt	For	For	For
24	Elect	CHENG Guoqi	Mgmt	For	For	For
25	Amendments to Articles		Mgmt	For	For	For
26	Extension of Validity		Mgmt	For	For	For
	Period of Domestic					
	Preference Shares					
	Issuance					

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	02/20/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect ZHANG Hongwei	Mgmt	For	For	For
2	Elect LU Zhiqiang	Mgmt	For	For	For
3	Elect LIU Yonghao	Mgmt	For	For	For
4	Elect SHI Yuzhu	Mgmt	For	For	For
5	Elect WU Di	Mgmt	For	Against	Against
6	Elect YAO Dafeng	Mgmt	For	For	For
7	Elect SONG Chunfeng	Mgmt	For	For	For
8	Elect TIAN Zhiping	Mgmt	For	For	For
9	Elect WENG Zhenjie	Mgmt	For	For	For
10	Elect LIU Jipeng	Mgmt	For	Against	Against
11	Elect LI Hancheng	Mgmt	For	For	For
12	Elect XIE Zhichun	Mgmt	For	For	For
13	Elect CHENG Hoi-chuen	Mgmt	For	Against	Against
14	Elect PENG Xuefeng	Mgmt	For	For	For
15	Elect LIU Ningyu	Mgmt	For	For	For
16	Elect HONG Qi	Mgmt	For	Against	Against
17	Elect LIANG Yutang	Mgmt	For	Against	Against
18	Elect ZHENG Wanchun	Mgmt	For	For	For
19	Elect WANG Hang	Mgmt	For	For	For
20	Elect ZHANG Bo	Mgmt	For	For	For
21	Elect LU Zhongnan	Mgmt	For	For	For
22	Elect WANG Yugui	Mgmt	For	For	For
23	Elect BAO Jiming	Mgmt	For	For	For
24	Elect CHENG Guoqi	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Extension of Validity	Mgmt	For	For	For
	Period of Domestic				
	Preference Shares				
	Issuance				

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	06/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For

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3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Authority to Declare Interim Dividends	Mgmt	For	For	For
5	2017 Annual Budgets	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Issuance of A Shares Convertible Bonds: Type	Mgmt	For	For	For
10	Issue Size	Mgmt	For	For	For
11	Par Value and Issue Price	Mgmt	For	For	For
12	Term	Mgmt	For	For	For
13	Interest Rate	Mgmt	For	For	For
14	Method and Timing of Interest Payment	Mgmt	For	For	For
15	Conversion Period	Mgmt	For	For	For
16	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
17	Downward Adjustment to Conversion Price	Mgmt	For	For	For
18	Determination of Number of Shares for Conversion	Mgmt	For	For	For
19	Dividend Entitlements	Mgmt	For	For	For
20	Terms of Redemption	Mgmt	For	For	For
21	Terms of Sale Back	Mgmt	For	For	For
22	Method of Issue and Target Investors	Mgmt	For	For	For
23	Subscription Arrangement for Existing A Share Holders	Mgmt	For	For	For
24	Convertible Bond Holders' Rights, Obligations and Meetings	Mgmt	For	For	For
25	Use of Proceeds	Mgmt	For	For	For
26	Guarantee and Security	Mgmt	For	For	For
27	Validity Period	Mgmt	For	For	For
28	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
29	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For
30	Use of Proceeds from Previous Issue	Mgmt	For	For	For
31	Ratification of Board Acts	Mgmt	For	For	For
32	Capital Management	Mgmt	For	For	For

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	Plan 2017-2019				
33	Authority to Issue Debt Instruments	Mgmt	For	For	For
34	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1988	CINS Y1495M104	06/16/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of A Share Convertible Bonds: Type	Mgmt	For	For	For
2	Issue Size	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Term	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Method and Timing of Interest Payment	Mgmt	For	For	For
7	Conversion Period	Mgmt	For	For	For
8	Determination and Adjustment of Conversion Price	Mgmt	For	For	For
9	Downward Adjustment to Conversion Price	Mgmt	For	For	For
10	Determination of Number of Shares for Conversion	Mgmt	For	For	For
11	Dividend Entitlements	Mgmt	For	For	For
12	Terms of Redemption	Mgmt	For	For	For
13	Terms of Sale Back	Mgmt	For	For	For
14	Method of Issue and Target Investors	Mgmt	For	For	For
15	Subscription Arrangement for Existing A Share Holders	Mgmt	For	For	For
16	Convertible Bond Holders' Rights, Obligations and Meetings	Mgmt	For	For	For
17	Use of Proceeds	Mgmt	For	For	For
18	Guarantee and Security	Mgmt	For	For	For
19	Validity Period	Mgmt	For	For	For
20	Ratification of Board Acts	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Minsheng Banking Corp., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
1988	CINS Y1495M104	10/28/2016	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Jipeng	Mgmt	For	For	For
2	Elect LI Hancheng	Mgmt	For	For	For
3	Elect XIE Zhichun	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	04/14/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Cooperation Arrangement	Mgmt	For	For	For
4	Board Authorization of Investment Cooperation	Mgmt	For	For	For
5	Liability Insurance	Mgmt	For	For	For
6	Introducing New Shareholders to BHR	Mgmt	For	For	For
7	Plan for Distribution of Accumulated Profits	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	06/28/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Financial Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	2017 Budget Report	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against
10	Authority to Issue Bonds in Overseas Market	Mgmt	For	For	For



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11	Approval to Commence Hedging Business	Mgmt	For	For	For
12	Authority to Declare Interim and Quarterly Dividends	Mgmt	For	For	For
13	Authority to Repurchase H Shares	Mgmt	For	For	For
14	Legal Compliance for Corporate Bonds Issue	Mgmt	For	For	For
15	Issue Size	Mgmt	For	For	For
16	Par Value and Issue Price	Mgmt	For	For	For
17	Type and Maturity	Mgmt	For	For	For
18	Interest Rate	Mgmt	For	For	For
19	Issue Methods	Mgmt	For	For	For
20	Offering Arrangement for Shareholders	Mgmt	For	For	For
21	Terms on Redemption or Repurchase	Mgmt	For	For	For
22	Guarantee Arrangement	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Protective Measures for Repayment	Mgmt	For	For	For
25	Listing Venue	Mgmt	For	For	For
26	Term of Validity	Mgmt	For	For	For
27	Ratification of Board Acts (Corporate Bonds Issue)	Mgmt	For	For	For
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
2	Major Asset Purchase (Niobium-Phosphates)	Mgmt	For	For	For
3	Report Regarding Asset Purchase	Mgmt	For	For	For
4	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
5	Article 4 Compliance	Mgmt	For	For	For
6	Article 5 Compliance	Mgmt	For	For	For
7	Related Party Certification	Mgmt	For	For	For
8	Ratification of Board Acts	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3993	CINS Y1503Z113	09/23/2016	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
2	Major Asset Purchase (Copper-Cobalt)	Mgmt	For	For	For
3	Report Regarding Asset Purchase	Mgmt	For	For	For
4	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
5	Article 4 Compliance	Mgmt	For	For	For
6	Article 5 Compliance	Mgmt	For	For	For
7	Related Party Certification	Mgmt	For	For	For
8	Ratification of Board Acts	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Non-public Issuance of A Shares	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Issue Price and Pricing Principle	Mgmt	For	For	For
4	Number of Shares	Mgmt	For	For	For
5	Target Subscribers	Mgmt	For	For	For
6	Method of Subscriptions	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Use of Proceeds From Fund Raising	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For
13	Related Party Transactions: Non-Public Issuance of A Shares	Mgmt	For	For	For
14	Feasibility Report on Use of Proceed	Mgmt	For	For	For

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15	Report on Use of Proceeds from Previous Raised Funds	Mgmt	For	For	For
16	Board Authorization to Implement Share Issuance	Mgmt	For	For	For
17	Shareholder Return Plan	Mgmt	For	Abstain	Against
18	Risk Alert and Remedial Measures for Dilution of Current Returns	Mgmt	For	For	For
19	Undertaking by Controlling Shareholders for Dilution of Current Returns	Mgmt	For	For	For
20	Undertaking by Directors and Senior Management for Dilution of Current Returns	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value	Mgmt	For	For	For
2	Issue Price and Pricing Principle	Mgmt	For	For	For
3	Number of Shares	Mgmt	For	For	For
4	Target Subscribers	Mgmt	For	For	For
5	Method of Subscriptions	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Use of Proceeds From Fund Raising	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For
12	Board Authorization to Implement Share Issuance	Mgmt	For	For	For

China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601985	CINS Y1507R109	06/09/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Independent Directors' Fees	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Approval of Investment Plan	Mgmt	For	For	For
10	Annual Report	Mgmt	For	For	For
11	Amendments to Management System for Guarantees	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Adjustment to Preliminary Design Budget of San Men Nuclear Project	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
16	Elect ZHANG Tao as Non-Independent Director	Mgmt	For	Against	Against
17	Elect LIU Bin as Non-Independent Director	Mgmt	For	For	For
18	Elect YU Jinhui as Non-Independent Director	Mgmt	For	For	For
19	Elect XIAO Feng as Non-Independent Director	Mgmt	For	For	For
20	Elect SHI Shuli as Non-Independent Director	Mgmt	For	For	For
21	Elect ZHANG Zhonglu	Mgmt	For	Against	Against
22	Elect HU Qinfang	Mgmt	For	Against	Against

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China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601985	CINS Y1507R109	09/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect LUAN Tao as Supervisor	Mgmt	For	Against	Against

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China National Nuclear Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status

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601985	CINS Y1507R109	11/17/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Supplemental Financial Services Agreement	Mgmt	For	For	For

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China Northern Rare Earth (Group)		High-Tech Co., Ltd.			
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	05/05/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Approval of Line of Credit	Mgmt	For	For	For
10	Amendments to Management Measures on Financing Guarantee	Mgmt	For	Abstain	Against
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Shareholder Returns Plan for Years from 2017 to 2019	Mgmt	For	Abstain	Against
13	Financial Services Agreement	Mgmt	For	Against	Against
14	Intra-company Contract to Purchase Raw Earth Ore	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Elect Yin Jianwei	Mgmt	For	Against	Against
18	Elect GU Ming	Mgmt	For	Against	Against
19	Elect CHEN Jianli	Mgmt	For	For	For

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China Northern Rare Earth (Group)		High-Tech Co., Ltd.			
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	05/15/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions with Inner Mongolia BaoTou Steel Union and BaoTou Steel	Mgmt	For	For	For

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Mining

China Northern Rare Earth (Group) High-Tech Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600111	CINS Y40840103	09/06/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Size of Issuance	Mgmt	For	For	For	
3	Par Value and Issuance Price	Mgmt	For	For	For	
4	Bond Type and Maturity	Mgmt	For	For	For	
5	Interest Rate	Mgmt	For	For	For	
6	Guarantee Arrangement	Mgmt	For	For	For	
7	Use of Raised Funds	Mgmt	For	For	For	
8	Method of Issuance	Mgmt	For	For	For	
9	Redemption or Resale Provisions	Mgmt	For	For	For	
10	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For	
11	Underwriting Method	Mgmt	For	For	For	
12	Listing Market	Mgmt	For	For	For	
13	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For	
14	Validity Period	Mgmt	For	For	For	
15	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For	
16	Authority to Give Guarantees	Mgmt	For	For	For	
17	Amendments to Articles	Mgmt	For	For	For	
18	Elect WANG Zhancheng as Director	Mgmt	For	For	For	
19	Elect XING Bin as Supervisor	Mgmt	For	Against	Against	

China Northern Rare Earth (Group) High-Tech Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600111	CINS Y40840103	11/23/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval of Line of Credit	Mgmt	For	For	For	

China Nuclear Energy Technology Corporation Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
601611	CINS Y1518T102	05/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	Abstain	Against
7	Approval of Financing Plan	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Related Party Transactions	Mgmt	For	For	For
10	Financial Services Agreement	Mgmt	For	For	For
11	Investment Plan	Mgmt	For	Abstain	Against
12	Appointment of Auditor	Mgmt	For	For	For
13	2016 Directors' Fees	Mgmt	For	For	For
14	2017 Directors' Fees	Mgmt	For	For	For
15	2016 and 2017 Supervisors' Fees	Mgmt	For	For	For
16	Termination of Projects and Replenish Working Capital with Raised Proceeds	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For

China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002119	07/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect QI Meisheng	Mgmt	For	For	For
4	Elect DONG Weiliang	Mgmt	For	For	For
5	Elect XIE Weizhi	Mgmt	For	For	For

China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002119	12/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Master Services Framework Agreement	Mgmt	For	For	For
2	Amendments to Connected Transactions Decision-making Mechanism	Mgmt	For	For	For
3	Elect LV Bo	Mgmt	For	For	For
4	Elect LI Feilong	Mgmt	For	For	For

China Pacific Insurance Group

Ticker	Security ID:	Meeting Date	Meeting Status
2601	CINS Y1505Z111	06/09/2017	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report of A Shares	Mgmt	For	For	For
4	Annual Report of H Shares	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Due Diligence Report	Mgmt	For	For	For
9	Performance Report of Independent Directors	Mgmt	For	For	For
10	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Amendments to Measures on Related Party Transactions	Mgmt	For	For	For
12	Development Plan for 2017-2019	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Amendments to Procedural Rules	Mgmt	For	For	For
15	Elect KONG Qingwei	Mgmt	For	For	For
16	Elect HUO Lianhong	Mgmt	For	For	For
17	Elect WANG Jian	Mgmt	For	Against	Against
18	Elect WANG Tayu	Mgmt	For	For	For
19	Elect KONG Xiangqing	Mgmt	For	For	For
20	Elect ZHU Kebing	Mgmt	For	For	For
21	Elect SUN Xiaoning	Mgmt	For	For	For
22	Elect WU Junhao	Mgmt	For	Against	Against
23	Elect CHEN Xuanmin	Mgmt	For	For	For
24	Elect BAI Wei	Mgmt	For	For	For
25	Elect Carmelo LEE Ka Sze	Mgmt	For	Against	Against
26	Elect LAM Chi Kuen	Mgmt	For	For	For
27	Elect ZHOU Zhonghui	Mgmt	For	For	For
28	Elect GAO Shanwen	Mgmt	For	For	For
29	Elect ZHANG Xinmei	Mgmt	For	For	For
30	Elect LIN Lichun	Mgmt	For	Against	Against
31	Elect ZHOU Zhuping	Mgmt	For	Against	Against
32	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Petroleum & Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	cins Y15010112	06/28/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assured Entitlement to Holders of H Shares	Mgmt	For	For	For



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	of the Company for Overseas Listing of Sinopec Marketing Co., Ltd.					
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
<hr/>						
China Petroleum & Chemical Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
0386	cins Y15010112	06/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For	
6	Authority to Declare Interim Dividends	Mgmt	For	For	For	
7	Authority to Issue Debt Instruments	Mgmt	For	Against	Against	
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
9	Elect LI Yunpeng as Director	Mgmt	For	For	For	
10	Elect ZHAO Dong as Supervisor	Mgmt	For	Against	Against	
11	Amendments to Articles and Rules of Procedure for Board Meetings	Mgmt	For	For	For	
12	Plan of Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For	
13	Compliance of Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For	
14	Undertaking of Maintaining Independent Listing Status of the Company	Mgmt	For	For	For	
15	Description of Sustained Profitability and Prospects	Mgmt	For	For	For	
16	Board Authorization to Deal with Overseas Listing of Sinopec Marketing Co.,	Mgmt	For	For	For	

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17	Ltd. Assured Entitlement to Holders of H Shares of the Company for Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Railway Construction Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1186	CINS Y1508P102	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Transfer of Equity Interest	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Set External Auditor's Fees	Mgmt	For	For	For
10	Authority to Set Internal Control Auditor's Fees	Mgmt	For	For	For
11	Appointment of External Auditor	Mgmt	For	For	For
12	Directors' and Supervisors' Fees	Mgmt	For	For	For
13	Amendments to Rules of Procedures for Board	Mgmt	For	For	For
14	Registration and Issuance of Debt Instruments	Mgmt	For	Against	Against
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Railway Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0390	CINS Y1509D108	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For

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3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Appointment of Internal Control Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Abstain	Against
11	Amendment to Salary Management Measures of Directors and Supervisors	Mgmt	For	For	For
12	Directors' and Supervisors' Fees	Mgmt	For	For	For
13	Liability Insurance	Mgmt	For	For	For
14	Authority to Issue Asset Backed Securities	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
16	Amendments to Articles	Mgmt	For	For	For
17	Elect LI Changjin	Mgmt	For	Against	Against
18	Elect ZHANG Zongyan	Mgmt	For	For	For
19	Elect ZHOU Mengbo	Mgmt	For	For	For
20	Elect ZHANG Xian	Mgmt	For	For	For
21	Elect GUO Peizhang	Mgmt	For	For	For
22	Elect WEN Baoman	Mgmt	For	For	For
23	Elect ZHENG Qingzhi	Mgmt	For	For	For
24	Elect CHUNG Shui Ming Timpson	Mgmt	For	Against	Against
25	Elect MA Zonglin	Mgmt	For	For	For
26	Elect LIU Chengjun	Mgmt	For	Against	Against
27	Elect CHEN Wenxin	Mgmt	For	Against	Against

China Resources Sanjiu Medical and Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000999	CINS Y7503B105	08/22/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Equity Aquisition	Mgmt	For
			Vote Cast
			For/Against
			Mgmt
			For

China Security & Fire Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600654	CINS Y7681S102	02/28/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt N/A
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	A Subsidiary to Give Performance Guarantees for Its Wholly-Owned Subsidiary	Mgmt	For	Against	Against
3	A Wholly-Owned Subsidiary to Give Guarantees for Its Wholly-Owned Subsidiary for Bank Credit Application	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Credit Application	Mgmt	For	Against	Against

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China Security & Fire Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600654	CINS Y7681S102	03/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Project Agreement	Mgmt	For	For	For
2	Extension of Phase One of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Security & Fire Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600654	CINS Y7681S102	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	Against	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Termination of 2016 Employee Stock Option Incentive Plan	Mgmt	For	For	For
8	COMPLETION RESULTS OF 2016 PROFIT COMMITMENT	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	Against	Against
10	Disposal of Compensation Shares	Mgmt	For	For	For
11	Board Authorization to	Mgmt	For	For	For

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	Implement Share Repurchase and Cancellation or Share Donation					
12	Election of WANG Lei as Director	Mgmt	For	For	For	
<hr/>						
China Security & Fire Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600654	CINS Y7681S102	12/23/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Give Guarantees For Subsidiary Application for Credit	Mgmt	For	Against	Against	
3	Approval of Line of Credit	Mgmt	For	For	For	
4	Extension for Performance of Undertakings	Mgmt	For	For	For	
5	Elect NONG Xiaodong	Mgmt	For	For	For	
<hr/>						
China Shenhua Energy Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1088	CINS Y1504C121	06/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Final Dividend	Mgmt	For	For	For	
5	Allocation of Special Dividend	Mgmt	For	For	For	
6	Directors' and Supervisors' Fees	Mgmt	For	For	For	
7	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	
8	Authority to Issue Debt Instruments	Mgmt	For	For	For	
9	Elect LING Wen	Mgmt	For	For	For	
10	Elect HAN Jianguo	Mgmt	For	For	For	
11	Elect LI Dong	Mgmt	For	For	For	
12	Elect ZHAO Jibin	Mgmt	For	For	For	
13	Elect Maria TAM Wai Chu	Mgmt	For	Against	Against	
14	Elect JIANG Bo	Mgmt	For	For	For	
15	Elect Christina ZHONG Yingjie	Mgmt	For	For	For	
16	Elect ZHAI Richeng	Mgmt	For	For	For	

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17	Elect ZHOU Dayu	Mgmt	For	Against	Against
China Shenhua Energy Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1088	CINS Y1504C121	06/23/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Final Dividend	Mgmt	For	For	For
5	Allocation of Special Dividend	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Debt Instruments	Mgmt	For	For	For
9	Elect LING Wen	Mgmt	For	For	For
10	Elect HAN Jianguo	Mgmt	For	For	For
11	Elect LI Dong	Mgmt	For	For	For
12	Elect ZHAO Jibin	Mgmt	For	For	For
13	Elect Maria TAM Wai Chu	Mgmt	For	Against	Against
14	Elect JIANG Bo	Mgmt	For	For	For
15	Elect Christina ZHONG Yingjie	Mgmt	For	For	For
16	Elect ZHAI Richeng	Mgmt	For	For	For
17	Elect ZHOU Dayu	Mgmt	For	Against	Against

China Shipbuilding Industry Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601989	CINS Y1504X109	01/23/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

China Shipbuilding Industry Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
601989	CINS Y1504X109	04/24/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Commitment regarding Capital Injection by the Controlling Shareholder	Mgmt	For	For	For
2	Related Party	Mgmt	For	For	For

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Transactions (Setting  
up a  
Company)

China Shipbuilding Industry Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
601989	CINS Y1504X109	06/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For	
7	Related Party Transactions on Product Purchase and Sale	Mgmt	For	For	For	
8	Related Party Transactions on Service Provision	Mgmt	For	For	For	
9	Related Party Transactions on Deposit and Loan	Mgmt	For	Against	Against	
10	Related Party Transactions on Entrusted Loans	Mgmt	For	For	For	
11	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against	
12	Authority to Give Guarantees to Related Parties	Mgmt	For	Against	Against	
13	Adjustment to Independent Directors' Fees	Mgmt	For	For	For	
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

China Shipbuilding Industry Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
601989	CINS Y1504X109	08/18/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Stock Type and Par Value	Mgmt	For	For	For	
3	Method and Date of Issuance	Mgmt	For	For	For	

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4	Issuance Targets	Mgmt	For	For	For
5	Subscription Method	Mgmt	For	For	For
6	Issuing Price and Pricing Principal	Mgmt	For	For	For
7	Issuing Volume	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Feasibility Analysis for Raised Funds	Mgmt	For	For	For
15	Use of Previously Raised Funds	Mgmt	For	For	For
16	Conditional Share Subscription Agreement with China Shipbuilding Co., Ltd.	Mgmt	For	For	For
17	Conditional Share Subscription Agreement with Dalian Shipbuilding Capital Co., Ltd.	Mgmt	For	For	For
18	Conditional Share Subscription Agreement with Wuhan Wuchuan Investment Holding Co., Ltd.	Mgmt	For	For	For
19	Board Authorization for Private Placement	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	Abstain	Against
21	Shareholder Return Plan (2016-2018)	Mgmt	For	For	For
22	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
23	CHANGE OF PROJECTS INVESTED WITH RAISED FUNDS	Mgmt	For	For	For
24	Replenishment of Working Capital with Raised Funds	Mgmt	For	For	For

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China Shipbuilding Industry Group Power Co Ltd			
Ticker	Security ID:	Meeting Date	Meeting Status
600482	CINS Y2481T103	01/23/2017	Voted
Meeting Type		Country of Trade	
Special		China	



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis for Determining Plan Participants	Mgmt	For	For	For
2	Source, Number and Distribution of Underlying Stocks	Mgmt	For	For	For
3	Validity Period, Granting Date, Waiting Period, Vesting Date, Exercising Period and Lock-up Period	Mgmt	For	For	For
4	Exercise Price and Determination Method	Mgmt	For	For	For
5	Granting, Exercising, Cancellation and Restriction on Incomes	Mgmt	For	For	For
6	Methods and Procedures for Adjusting the Plan	Mgmt	For	For	For
7	Methods and Accounting Treatment for Stock Options	Mgmt	For	For	For
8	Procedures for Implementing the Plan, Granting and Exercising Stock Options	Mgmt	For	For	For
9	Rights and Obligations of the Company and Plan Participants	Mgmt	For	For	For
10	Treatment Under Special Situations	Mgmt	For	For	For
11	Alteration and Termination of Plan	Mgmt	For	For	For
12	Mechanism for Resolving Disputes	Mgmt	For	For	For
13	Other Matters	Mgmt	For	For	For
14	Constitution of Procedural Rules: Management Measures for Stock Options Incentive Plan	Mgmt	For	For	For
15	Constitution of Procedural Rules: Appraisal of Stock Option Incentive Plan	Mgmt	For	For	For
16	Board Authorization to Implement Stock Option Incentive	Mgmt	For	For	For

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17	Plan	Appointment of Auditor	Mgmt	For	For	For
China Shipbuilding Industry Group Power Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600482	CINS Y2481T103	03/21/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
7	Ratify 2016 Related Party Transactions	Mgmt	For	For	For	
8	2017 Related Party Sales Transactions	Mgmt	For	For	For	
9	2017 Related Party Purchase Transactions	Mgmt	For	For	For	
10	2017 Related Party Deposit and Loan Business Transactions	Mgmt	For	For	For	
11	2017 Other Related Party Transactions	Mgmt	For	For	For	
12	Directors' and Supervisors' Fees	Mgmt	For	For	For	
13	Independent Directors' Report	Mgmt	For	For	For	
14	Approval of 2017 Guarantees Limit	Mgmt	For	Against	Against	
China Shipbuilding Industry Group Power Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600482	CINS Y2481T103	04/21/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Set-up of a Joint Venture	Mgmt	For	For	For	
2	Elect HUA Wei as Non-Independent Director	Mgmt	For	For	For	
3	Elect SHEN Yusheng	Mgmt	For	Against	Against	
4	Elect CAO Mingjiang	Mgmt	For	Against	Against	
China Shipbuilding Industry Group Power Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
600482	CINS Y2481T103	12/27/2016		Voted		

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For	
2	Increase in Capital for a Subsidiary	Mgmt	For	For	For	
3	Independent Directors' Fees	Mgmt	For	For	For	

China Shipping Container Lines Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status		
2866	CINS Y1513C112	09/06/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect CHEN Dong	Mgmt	For	For	For	
2	Change in Company Name	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	

China Shipping Container Lines Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status		
2866	CINS Y1513C112	12/29/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	Against	Against	
2	Class and Par Value of Shares	Mgmt	For	Against	Against	
3	Method and Time of Issuance	Mgmt	For	Against	Against	
4	Subscribers	Mgmt	For	Against	Against	
5	Price Determination Date, Issue Price and Pricing Principles	Mgmt	For	Against	Against	
6	Size of Issuance and Method of Subscription	Mgmt	For	Against	Against	
7	Lock-up Period	Mgmt	For	Against	Against	
8	Listing Market	Mgmt	For	Against	Against	
9	Amount and Use of Proceeds	Mgmt	For	Against	Against	
10	Distribution of Profit prior to Private Placement	Mgmt	For	Against	Against	
11	Validity of Resolution	Mgmt	For	Against	Against	
12	Preliminary Plan on Private Placement	Mgmt	For	Against	Against	
13	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against	
14	Exemption from	Mgmt	For	For	For	

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	Preparation of Report on Use of Proceeds from Previous Fund Raising					
15	Conditional Share Subscription Agreement With China Ocean Shipping (Group) Company	Mgmt	For	Against	Against	
16	Related Party Transactions Involved in Private Placement	Mgmt	For	Against	Against	
17	Exemption of China Shipping From Making A Tender Offer	Mgmt	For	Against	Against	
18	Shareholder Return Plan (2016-2018)	Mgmt	For	For	For	
19	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against	
20	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against	
21	Amendments to Articles	Mgmt	For	For	For	
22	Special Mandate for Private Placement	Mgmt	For	Against	Against	
23	Whitewash Wavier	Mgmt	For	Against	Against	
24	Special Transactions for Private Placement	Mgmt	For	Against	Against	
25	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against	
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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China Shipping Container Lines Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
2866	CINS Y1513C112	12/29/2016		Voted		
Meeting Type	Country of Trade					
Other	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Class and Par Value of Shares	Mgmt	For	Against	Against	
2	Method and Time of Issuance	Mgmt	For	Against	Against	
3	Subscribers	Mgmt	For	Against	Against	
4	Price Determination Date, Issue Price and Pricing Principles	Mgmt	For	Against	Against	
5	Size of Issuance and Method of Subscription	Mgmt	For	Against	Against	

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6	Lock-up Period	Mgmt	For	Against	Against
7	Listing Market	Mgmt	For	Against	Against
8	Amount and Use of Proceeds	Mgmt	For	Against	Against
9	Distribution of Profit prior to Private Placement	Mgmt	For	Against	Against
10	Validity of Resolution	Mgmt	For	Against	Against
11	Preliminary Plan on Private Placement	Mgmt	For	Against	Against
12	Conditional Share Subscription Agreement With China Ocean Shipping (Group) Company	Mgmt	For	Against	Against
13	Special Transactions for Private Placement	Mgmt	For	Against	Against
14	Special Mandate for Private Placement	Mgmt	For	Against	Against
15	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1055	CINS Y1503W110	06/30/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For
7	Authority to Give Guarantees	Mgmt	For
8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For
9	Authority to Issue Debt Instruments	Mgmt	For
10	Aircraft Finance Lease Framework Agreement	Mgmt	For

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### China Southern Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1055	CINS Y1503W110	12/16/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Services Framework Agreement	Mgmt	For	For	For
2	Acquisition of Aircraft	Mgmt	For	For	For

China Spacesat Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600118	CINS Y1500D107	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Financial Services Agreement of Deposit and Loan	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Elect FANG Shili as Supervisor	Mgmt	For	Against	Against
11	Elect GE Yujun	Mgmt	For	For	For
12	Elect SONG Haifeng	Mgmt	For	For	For

China Spacesat Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600118	CINS Y1500D107	08/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Xiaojin as Director	Mgmt	For	For	For
2	Elect ZHAO Xiaojin as Supervisor	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For

China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For

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4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Investment Budget	Mgmt	For	Abstain	Against
9	Appointment of Auditor	Mgmt	For	For	For
10	Appointment of Internal Control Auditor	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	For	For
13	Allocation of 2017 and 2018 Preferred Stock Dividends	Mgmt	For	Abstain	Against
14	2017 DOMESTIC BONDS ISSUANCE PLAN	Mgmt	For	Abstain	Against
15	2017 OVERSEAS BONDS ISSUANCE PLAN	Mgmt	For	Abstain	Against

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	06/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Approval of Changes in Employee Restricted Shares Plan	Mgmt	For	For	For

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China State Construction Engineering Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601668	CINS Y1R16Z106	12/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Purpose of Plan	Mgmt	For	For	For
2	Basis and Scope for Determining Participants	Mgmt	For	For	For
3	Incentive Instruments, Source and Size of Target Shares	Mgmt	For	For	For
4	Granting Status of Shares	Mgmt	For	For	For
5	Validity Period, Lock-up Period and Unlocking Period of Restricted Shares	Mgmt	For	For	For
6	Grant Date and Grant Price of Restricted Shares	Mgmt	For	For	For
7	Conditions of Granting	Mgmt	For	For	For

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	and Unlocking Restricted Shares				
8	Non-Negotiable and Lock-up Regulations of Restricted Shares	Mgmt	For	For	For
9	Adjustment Methods and Procedures of Restricted Shares	Mgmt	For	For	For
10	Procedures for Granting and Unlocking Restricted Shares	Mgmt	For	For	For
11	Accounting Treatment for Restricted Shares	Mgmt	For	For	For
12	Obligations and Rights of Company and Participants	Mgmt	For	For	For
13	Treatment Under Special Conditions	Mgmt	For	For	For
14	Management, Amendment and Termination of Plan	Mgmt	For	For	For
15	Resolving Mechanism for Dispute	Mgmt	For	For	For
16	Board Authorization to Implement Restricted Shares Plan	Mgmt	For	For	For
17	List of Incentive Targets In 2nd Term Restricted Shares Plan and Status of Grant	Mgmt	For	For	For
18	Elect WANG Xiangming as Director	Mgmt	For	For	For

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China United Network Communications Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600050	CINS Y15117107	05/09/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Election of Directors(Slate) and Board Authorization to Fix the Directors'	Mgmt	For	For	For



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	Fee for China Unicom (Hong Kong)					
9	Board Authorization to Repurchase Shares China Unicom (Hong Kong)	Mgmt	For	For	For	
10	Board Authorization to Issue Shares China Unicom (Hong Kong)	Mgmt	For	Against	Against	
11	EXTENSION OF TRADING SUSPENSION	Mgmt	For	For	For	

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China United Network Communications Limited

Ticker Security ID: Meeting Date Meeting Status  
600050 CINS Y15117107 12/16/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Change of Company Address	Mgmt	For	For	For

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China Vanke Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
2202 CINS Y77421116 06/30/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect YU Liang	Mgmt	For	For	For
8	Elect LIN Maode	Mgmt	For	For	For
9	Elect XIAO Min	Mgmt	For	For	For
10	Elect CHEN Xianjun	Mgmt	For	For	For
11	Elect SUN Shengdian	Mgmt	For	For	For
12	Elect WANG Wenjin	Mgmt	For	For	For
13	Elect ZHANG Xu	Mgmt	For	For	For
14	Elect KANG Dian	Mgmt	For	For	For
15	Elect LIU Shuwei	Mgmt	For	For	For
16	Elect Johnny NG Kar Ling	Mgmt	For	For	For
17	Elect LI Qiang	Mgmt	For	For	For
18	Elect XIE Dong as Supervisor	Mgmt	For	For	For
19	Elect ZHENG Ying as Supervisor	Mgmt	For	Against	Against

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China XD Electric Company Limited

Ticker Security ID: Meeting Date Meeting Status

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601179	CINS Y1565A105	11/17/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Remuneration Management System for Directors, Supervisors, and Senior Executives	Mgmt	For	For	For

China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600900	CINS Y1516Q142	03/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For
3	Renewal of Financial Services Agreement	Mgmt	For	For	For
4	ZHANG Biyi	Mgmt	For	For	For
5	WEN Bingyou	Mgmt	For	For	For
6	Election of HUANG Lixin as Supervisor	Mgmt	For	Against	Against

China Yangtze Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600900	CINS Y1516Q142	05/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Appointment of Internal Control Auditor	Mgmt	For	For	For
7	Elect XIA Ying as Supervisor	Mgmt	For	Against	Against

Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	03/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit and Authority	Mgmt	For	For	For

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to Give  
Guarantees

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Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Independent Directors' Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	Abstain	Against
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Approval to Terminate Investment Projects and to Supplement Working Capital with Remaining Proceeds	Mgmt	For	For	For

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Chinese Universe Publishing And Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600373	CINS Y4445Q100	09/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Appointment of Internal Control Auditor	Mgmt	For	For	For
3	Elect WU Weidong as Supervisor	Mgmt	For	Against	Against
4	Elect XIA Yufeng	Mgmt	For	For	For
5	Elect WU Di	Mgmt	For	For	For

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Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	2017 INVESTMENT PLAN	Mgmt	For	For	For
8	2017 FINANCING PLAN	Mgmt	For	For	For
9	Independent Directors' Fees	Mgmt	For	For	For
10	Signing of Financial	Mgmt	For	Against	Against

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	Services Agreement with China South Industries Group Finance Co., Ltd.					
11	Signing of Financial Services Agreement with Chang'an Automobile Finance Co., Ltd.	Mgmt	For	Against	Against	
12	Proposal for Engine Production Capacity Construction Project	Mgmt	For	For	For	
13	Liquidation and Cancellation of Shanghai Chang'an Automobile Engineering Technology Co., Ltd.	Mgmt	For	For	For	

Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	08/02/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Charitable Donations	Mgmt	For	For	For

Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000625	CINS Y1584K100	08/31/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objective	Mgmt	For	For	For
2	Administrative Organization	Mgmt	For	For	For
3	Plan Participants	Mgmt	For	For	For
4	Source, Number and Distribution of Underlying Stocks	Mgmt	For	For	For
5	Validity Period, Grant Date, Waiting Period, Vesting Date and Lock-up Period	Mgmt	For	For	For
6	Exercise Price and Method of Determination	Mgmt	For	For	For
7	Conditions for Grant and Exercise	Mgmt	For	For	For
8	Methods and Procedures for Plan Adjustment	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For

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	for Stock Options				
10	Procedures for Grant and Exercise	Mgmt	For	For	For
11	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
12	Treatment Under Unusual Circumstances	Mgmt	For	For	For
13	Other Matters	Mgmt	For	For	For
14	Constitution of Procedural Rules: Appraisal Management Measures for Stock Option Plan Implementation	Mgmt	For	For	For
15	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For
16	Related Party Transactions for Stock Option Plan	Mgmt	For	For	For

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Chongqing Changan Automobile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000625	CINS Y1584K100	11/15/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Automobile Capacity Project	Mgmt	For
2	Appointment of Auditor	Mgmt	For
3	Appointment of Internal Control Auditor	Mgmt	For
4	Absorption and Merger of Hebei Commercial and Nanjing Chuanyu	Mgmt	For
5	Amendments to Articles	Mgmt	For
6	Amendments to Administrative Measures for Related Party Transactions	Mgmt	For
			Abstain
			Against

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Chongqing Sokon Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601127	CINS Y1586E102	02/06/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Eligibility For Private Placement of A	Mgmt	For
			For

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	Shares					
2	Stock Class and Par Value	Mgmt	For	For	For	
3	Size of Issuance and Method of Subscription	Mgmt	For	For	For	
4	Target Subscribers	Mgmt	For	For	For	
5	Issuance Method	Mgmt	For	For	For	
6	Pricing Base Day, Issuance Price and Pricing Principles	Mgmt	For	For	For	
7	Arrangements For Lock-Up Period	Mgmt	For	For	For	
8	Listing Market	Mgmt	For	For	For	
9	Use of Proceeds	Mgmt	For	For	For	
10	Distribution of Accumulated Profits Prior To Issuance	Mgmt	For	For	For	
11	Validity of Resolution	Mgmt	For	For	For	
12	Preliminary Plan of Private Placement	Mgmt	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
15	Stock Subscription Agreement for Private Placement With Chongqing Sokon Holding Co., Ltd.	Mgmt	For	For	For	
16	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For	
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
18	Shareholder Returns Plan	Mgmt	For	For	For	
19	Amendments to Articles	Mgmt	For	For	For	
20	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	
21	Smart Car Development Project	Mgmt	For	For	For	

Chongqing Sokon Industrial Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
601127 CINS Y1586E102 04/10/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors'	Mgmt	For	For	For

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	Report				
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Approval of Line of Credit	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Capital Increase for Wholly Owned Subsidiary	Mgmt	For	For	For
12	Elect ZHANG Xinghai	Mgmt	For	For	For
13	Elect ZHANG Xingli	Mgmt	For	For	For
14	Elect MA Jianchang	Mgmt	For	For	For
15	Elect LIU Changdong	Mgmt	For	For	For
16	Elect CEN Yuanchuan	Mgmt	For	For	For
17	Elect ZHANG Zhenping	Mgmt	For	For	For
18	Elect FU Yuwu	Mgmt	For	For	For
19	Elect LIU Bin	Mgmt	For	For	For
20	Elect LIU Kaixiang	Mgmt	For	For	For
21	Elect ZHANG Xingming	Mgmt	For	Against	Against
22	Elect LI Ming	Mgmt	For	For	For

Chongqing Sokon Industrial Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601127	CINS Y1586E102	04/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
3	Type of Bonds to be Issued	Mgmt	For	For	For
4	Issuing Volume	Mgmt	For	For	For
5	Par Value and Issuing Price	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Time and Method of Repaying the Principal and Interest	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Adjustment to the Conversion Price	Mgmt	For	For	For
11	Downward Adjustment to the Conversion Price	Mgmt	For	For	For
12	Method for Determining the Number of	Mgmt	For	For	For

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	Shares				
13	Redemption Provision	Mgmt	For	For	For
14	Resale Provision	Mgmt	For	For	For
15	Attribution of Stock Dividends for Conversion Years	Mgmt	For	For	For
16	Issuance Targets and Method	Mgmt	For	For	For
17	Arrangement for Placement to Shareholders	Mgmt	For	For	For
18	Bondholders Meetings	Mgmt	For	For	For
19	Purpose of Raised Fund	Mgmt	For	For	For
20	Guarantee	Mgmt	For	For	For
21	Management of the Raised Fund and the Deposit Account	Mgmt	For	For	For
22	Valid Period	Mgmt	For	For	For
23	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
24	Feasibility Report on Funds to be Raised from Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
25	Diluted Returns and Measures for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
26	Rules Governing the Convertible Bondholders Meeting	Mgmt	For	For	For
27	Establishment of Special Account for Raised Funds	Mgmt	For	For	For
28	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For

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CITIC Guoan Information Industry Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000839 CINS Y1636B108 01/23/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Bond Issuance	Mgmt	For	For	For
2	Issuance Body	Mgmt	For	For	For
3	Issuing Size	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Issuance Targets and Arrangement for Placement to	Mgmt	For	For	For



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	Shareholders				
6	Par Value and Issue Price	Mgmt	For	For	For
7	Duration of Bond	Mgmt	For	For	For
8	Interest Rate and Method of Repayment of Principal and Interest	Mgmt	For	For	For
9	Underlying Stocks and Conversion Period	Mgmt	For	For	For
10	Guarantee Measures	Mgmt	For	For	For
11	Purpose of Proceeds	Mgmt	For	For	For
12	Initial Conversion Price	Mgmt	For	For	For
13	Method of Transfer By Listing	Mgmt	For	For	For
14	Other Matters	Mgmt	For	For	For
15	Special Accounts for Proceeds and Debt Repayment	Mgmt	For	For	For
16	Validity of Resolution	Mgmt	For	For	For
17	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Business Cooperation Agreement	Mgmt	For	For	For

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CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000839	CINS Y1636B108	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	China CITIC Bank for Provision of Customer Services and Outsource Services	Mgmt	For	For	For
10	Xincheng Life Insurance Co. Ltd. for Cooperative Call Center Business	Mgmt	For	For	For
11	China CITIC Bank for Provision of Corporate Message Distribution Services	Mgmt	For	For	For

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12	China CITIC Bank for Provision of Technology Consulting and Services	Mgmt	For	For	For
13	Guoan First City (Xianghe) Culture Tourism Development Co. Ltd. for Provision of Technology Consulting and Services	Mgmt	For	For	For
14	OTV Cloud Internet Technology (Shanghai) Co., Ltd. for Provision of System Integration, Software and Hardware Services	Mgmt	For	For	For
15	Shandong Radio & TV Network Weihai Co., Ltd. for Provision of Technology Services, OTT Value-Added Services, and Cache Flow Services	Mgmt	For	For	For
16	Changan Guoan Broadcasting TV Broadband Internet Co., Ltd. for Provision of Technology Services, OTT Value-Added Services, and Cache Flow Services	Mgmt	For	For	For
17	Liuyang Guoan Radio & TV Broadband Internet Co., Ltd. for Provision of Technology Services, OTT Value-Added Services, and Cache Flow Services	Mgmt	For	For	For
18	Hubei Broadcasting & Television Information Network Co Ltd. for Provision of Technology Services, OTT Value-Added Services, and Cache Flow Services	Mgmt	For	For	For
19	Chongqing Cable Television Network Co., Ltd. for Provision of Technology Services and OTT Value-Added	Mgmt	For	For	For

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	Services.				
20	Henan Cable Television Network Co., Ltd. for Provision of Technology Services, and OTT Value-Added Services	Mgmt	For	For	For
21	Shandong Broadcasting Network Weihai Co., Ltd. for Provision of Marketing Services	Mgmt	For	For	For
22	Changsha Guoan Radio & TV Broadband Internet Co., Ltd. for Provision of Marketing Services	Mgmt	For	For	For
23	Hubei Broadcasting & Television Information Network Co Ltd. for Provision of Marketing Services	Mgmt	For	For	For
24	Chongqing Cable Television Network Co., Ltd. for Provision of Marketing Services	Mgmt	For	For	For
25	Henan Cable Television Network Group Co Ltd. for Provision of Marketing Services	Mgmt	For	For	For
26	Aiwan Investment Corporation for Provision of Financial Consulting Services	Mgmt	For	For	For
27	CITIC Network Company for Provision of Communication and Satellite Channel Rental Services	Mgmt	For	For	For
28	CITIC Construction Co., Ltd. for Provision of Communication and Satellite Channel Rental Services	Mgmt	For	For	For
29	Approval of Excessive 2017 Related Party Transactions Amount	Mgmt	For	For	For
30	Authority to Give Guarantees to CITIC Guoan Information Technology Co., Ltd.	Mgmt	For	Against	Against

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31	Authority to Give Guarantees to CITIC Guoan MGL Power Technology Co., Ltd.	Mgmt	For	Against	Against
32	Authority to Give Guarantees to the subsidiary of CITIC Guoan MGL Power Technology Co., Ltd.	Mgmt	For	Against	Against
33	Amendments to Articles	Mgmt	For	For	For
34	Sale of Assets and Change in Subsidiary's Ownership	Mgmt	For	For	For

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CITIC Guoan Information Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000839	CINS Y1636B108	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assets Acquisition	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For

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CITIC Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601608	CINS Y163AK103	03/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YIN Tian as Independent Director	Mgmt	For	For	For

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CITIC Heavy Industries Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601608	CINS Y163AK103	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Fees	Mgmt	For	For	For
8	Financial Budget	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Control Auditor	Mgmt	For	For	For

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12	Investment in Wealth Management Products	Mgmt	For	For	For
13	Approval of Line of Credit	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

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### Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	01/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Securities and Financial Product Transactions and Services Framework Agreement with CITIC Group	Mgmt	For	For	For

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### Citic Securities Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6030	CINS Y1639N109	06/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Investment Amount for Proprietary Business for 2017	Mgmt	For	For	For
7	Issuing Entity, Size of Issuance and Method of Issuance	Mgmt	For	For	For
8	Type of Debt Financing Instruments	Mgmt	For	For	For
9	Term of Debt Financing Instruments	Mgmt	For	For	For
10	Interest Rate of the Debt Financing Instruments	Mgmt	For	For	For
11	Security and Other Arrangements	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Issuing Price	Mgmt	For	For	For
14	Targets of Issue and the Placement Arrangements of the RMB Debt Financing	Mgmt	For	For	For
15	Listing of the Debt Financing	Mgmt	For	For	For

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16	Validity Period of the Resolutions Passed	Mgmt	For	For	For
17	Authoriaation Granted to the Board for the Issuances of the Onshore and Offshore Corporate Debt Financing Instruments	Mgmt	For	For	For
18	Connected Transactions with the Issuance of Debt Financing Instruments	Mgmt	For	For	For
19	Approve Amount for Business of Securitization	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
21	Amendments to Articles	Mgmt	For	For	For
22	Directors' and Supervisors' Fees	Mgmt	For	For	For
23	Connected Transactions with CITIC Group	Mgmt	For	For	For
24	Connected Transactions with Subsidiaries	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	01/12/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	03/24/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for RMB 15 Billion in Financing	Mgmt	For	For	For
2	Application for RMB 1 Billion for Financing	Mgmt	For	For	For
3	2016 Related Party Transactions	Mgmt	For	For	For
4	2017 Related Party Transactions Estimate	Mgmt	For	For	For
5	2017 Related Party	Mgmt	For	For	For

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Transactions

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	05/12/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	06/30/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	09/07/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	12/06/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Company Name	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For

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Cofco Tunhe Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600737	CINS Y9722G105	12/14/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	XIA Linghe	Mgmt	For	For	For

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3	LI Fengchun	Mgmt	For	For	For
4	XIAO Jianping	Mgmt	For	For	For
5	CHEN Qianzheng	Mgmt	For	For	For
6	GE Changyin	Mgmt	For	For	For
7	ZHU Jianlin	Mgmt	For	For	For
8	GU Yurong	Mgmt	For	For	For
9	LI Baojiang	Mgmt	For	For	For
10	LI Dan	Mgmt	For	For	For
11	TONG Jinghan	Mgmt	For	Against	Against
12	HOU Wenrong	Mgmt	For	For	For
13	LI Honggang	Mgmt	For	For	For

Cofco Tunhe Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600737	CINS Y9722G105	12/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Option Incentive Plan	Mgmt	For	For	For
2	Adjustment to List of Plan Participants for Stock Option Incentive Plan	Mgmt	For	For	For
3	Amendments to Procedural Rules: Implementation and Appraisal in Respect of Stock Option Plan	Mgmt	For	For	For
4	Amendments to Procedural Rules: Management Measures for Stock Option Incentive Plan	Mgmt	For	For	For
5	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For

COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2866	CINS Y1513C112	01/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Rules of Procedures for Board of Directors	Mgmt	For	For	For
2	Amendments to Rules of Procedures for Board of Supervisors	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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2866	CINS Y1513C112	06/05/2017	Voted			
Meeting Type	Country of Trade					
Other	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Method and Time of Issuance	Mgmt	For	For	For	
2	Target Subscribers	Mgmt	For	For	For	
3	Issue Price and Pricing Principle	Mgmt	For	For	For	
4	Size of Issuance	Mgmt	For	For	For	
5	Lock-up Period	Mgmt	For	For	For	
6	Use of Proceeds	Mgmt	For	For	For	
7	Validity Period	Mgmt	For	For	For	
8	Resolution Regarding A Share Issuance	Mgmt	For	For	For	
9	Share Subscription Agreement	Mgmt	For	For	For	
10	Connected Transactions	Mgmt	For	For	For	
11	Resolution of Special Mandate	Mgmt	For	For	For	

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COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
2866	CINS Y1513C112	06/05/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adjustment to Non-public Issuance of A Shares	Mgmt	For	For	For	
2	Method and Time of Issuance	Mgmt	For	For	For	
3	Target Subscribers	Mgmt	For	For	For	
4	Issue Price and Pricing Principle	Mgmt	For	For	For	
5	Size of Issuance	Mgmt	For	For	For	
6	Lock-up Period	Mgmt	For	For	For	
7	Use of Proceeds	Mgmt	For	For	For	
8	Validity Period	Mgmt	For	For	For	
9	Resolution Regarding A Share Issuance	Mgmt	For	For	For	
10	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
11	Share Subscription Agreement	Mgmt	For	For	For	
12	Connected Transactions	Mgmt	For	For	For	
13	Resolution of Special Mandate	Mgmt	For	For	For	
14	Ratification of Board Acts: Revised Proposed Non-Public Issuance of A Shares	Mgmt	For	For	For	
15	Waive COSCO SHIPPING's	Mgmt	For	For	For	

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	Obligation to Make General Offer of Securities				
16	Dilution of Current Returns by the Non-public Issuance of A Shares and the Remedial Measures	Mgmt	For	For	For
17	Application for Bank Loans from China Bohai Bank Co., Ltd by COSCO SHIPPING Leasing Co., Ltd.	Mgmt	For	For	For

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COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2866	CINS Y1513C112	06/20/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of PRC Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Appointment of Internal Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Assignment and Novation Agreements	Mgmt	For	For	For
13	Capital Contribution Letter of Intent	Mgmt	For	For	For
14	Provisions of Guarantees	Mgmt	For	Against	Against

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COSCO SHIPPING Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2866	CINS Y1513C112	12/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Procedural Rules of General Meetings	Mgmt	For	Abstain	Against
3	Master Vessel Charter Agreement	Mgmt	For	For	For
4	Master Operating Lease Services Agreement	Mgmt	For	For	For
5	Master Finance Lease Services Agreement	Mgmt	For	For	For
6	Master Factoring Services Agreement	Mgmt	For	For	For
7	Master Vessel Services Agreement	Mgmt	For	For	For
8	Master Containers Services Agreement	Mgmt	For	For	For
9	Master Containers Services Agreement	Mgmt	For	For	For
10	Master Financial Services Agreement	Mgmt	For	For	For
11	Florens Finance Financial Services Agreement	Mgmt	For	For	For
12	Master CS Finance Financial Services Agreement	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

COSCO SHIPPING Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1919	CINS Y1455B114	06/20/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Shipbuilding Assignment Agreement	Mgmt	For	For	For

CRRC Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1766	CINS Y1516S106	06/20/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	Against	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	External Directors' Remuneration	Mgmt	For	For	For
10	Guarantee for Wuhu Rail Project	Mgmt	For	Against	Against
11	Authority to Issue Debt Instruments	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Elect SUN Yongcai	Mgmt	For	For	For
14	Elect XU Zongxiang	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

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CSSC Offshore & Marine Engineering (Group) Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0317 CINS Y1824H115 05/26/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Abstain	Against

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CSSC Offshore & Marine Engineering (Group) Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0317 CINS Y1824H115 08/10/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Rules of Procedure for the Supervisory Committee	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	Abstain	Against
3	Elect CHEN Liping	Mgmt	For	For	For
4	Elect CHEN Ji	Mgmt	For	For	For
5	Elect WU Guangjun as Supervisor	Mgmt	For	For	For

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CSSC Offshore & Marine Engineering (Group) Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0317 CINS Y1824H115 10/18/2016 Voted

Meeting Type Country of Trade  
Special China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Supplemental Agreement (II) to 2014-2016 Framework Agreement	Mgmt	For	For	For
2	2017-2019 Framework Agreement	Mgmt	For	For	For

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Daqin Railway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601006	CINS Y1997H108	05/19/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	APPLICATION FOR REGISTRATION OF MULTI-TYPE DEBT FINANCING INSTRUMENTS	Mgmt	For	For	For
7	Board Authorization to Apply for Registration of Multi-Type Debt Financing Instruments	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Reappointment of Internal Control Auditor	Mgmt	For	For	For
10	Elect ZHAO Chunlei	Mgmt	For	For	For
11	Elect YU Meng	Mgmt	For	For	For
12	Elect GUAN Bolin	Mgmt	For	For	For
13	Elect HUANG Songqing	Mgmt	For	Against	Against
14	Elect TIAN Huimin	Mgmt	For	For	For
15	Elect TIAN Yunshan	Mgmt	For	For	For
16	Elect LI Menggang	Mgmt	For	For	For
17	Elect YANG Wandong	Mgmt	For	For	For
18	Elect ZAN Zhihong	Mgmt	For	For	For
19	Elect CHEN Lei	Mgmt	For	For	For
20	Elect ZHENG Jirong	Mgmt	For	Against	Against
21	Elect YANG Jie	Mgmt	For	Abstain	Against
22	Elect LIU Xingwu	Mgmt	For	Against	Against

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Daqin Railway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601006	CINS Y1997H108	12/30/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Intra-company Contracts/Control Agreements	Mgmt	For	For	For

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Datang International Power Generation Co., Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
0991	CINS Y20020122	08/29/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Disposal	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	For	For	

Datang International Power Generation Co., Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
0991	CINS Y20020122	10/27/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Financial Cooperation Agreement	Mgmt	For	For	For	
2	Directors' and Supervisors' Allowances	Mgmt	For	For	For	

Datang International Power Generation Co., Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
0991	CINS Y20020122	12/29/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Financial Services Agreement and Amendments to Articles	Mgmt	For	Against	Against	
3	Elect LIU Jizhen	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	

DHC Software Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002065	CINS Y0771R100	02/09/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect XUE Xiangdong	Mgmt	For	For	For	
2	Elect LU Bo	Mgmt	For	For	For	
3	Elect LI Jianguo	Mgmt	For	For	For	
4	Elect YANG Jian	Mgmt	For	For	For	
5	Elect ZHENG Xiaoqing	Mgmt	For	For	For	
6	Elect XU Deli	Mgmt	For	For	For	
7	Elect FAN Yushun	Mgmt	For	For	For	
8	Elect LIN Zhong	Mgmt	For	For	For	
9	Elect LI Yan	Mgmt	For	For	For	
10	Elect LUAN Dalong	Mgmt	For	For	For	
11	Elect GUO Yujie as Supervisor	Mgmt	For	Against	Against	

DHC Software Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			

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002065	CINS Y0771R100	05/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

DHC Software Company Limited					
Ticker Security ID:		Meeting Date		Meeting Status	
002065 CINS Y0771R100		08/03/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short Term Commercial Papers	Mgmt	For	For	For

DHC Software Company Limited					
Ticker Security ID:		Meeting Date		Meeting Status	
002065 CINS Y0771R100		09/12/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

DHC Software Company Limited					
Ticker Security ID:		Meeting Date		Meeting Status	
002065 CINS Y0771R100		12/05/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For
2	Signing of Agreement for the Establishment	Mgmt	For	For	For
3	Report on Commitment to Authenticity of Sources of Funding	Mgmt	For	For	For
4	No Violation to Related Party Transactions	Mgmt	For	For	For
5	Sixteen Commitments to Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For

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Digital China Information Service Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000555	CINS Y2943B104	04/25/2017	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions with Digital China Holdings Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Digital China Group Co., Ltd.	Mgmt	For	For	For
10	Authority to Give Guarantees for a Branch Office (Credit to China Merchants Bank)	Mgmt	For	Against	Against
11	Authority to Give Guarantees (Credit to China CITIC Bank)	Mgmt	For	Against	Against
12	Authority to Give Guarantees (Credit to Industrial and Commercial Bank of China)	Mgmt	For	Against	Against
13	Authority to Give Guarantees (Credit to China Construction Bank)	Mgmt	For	Against	Against
14	Authority to Give Guarantees (Credit to Bank of Beijing)	Mgmt	For	Against	Against
15	Authority to Give Guarantees (Credit to Ping An Bank)	Mgmt	For	Against	Against
16	Authority to Give Guarantees (Credit to Industrial Bank)	Mgmt	For	Against	Against
17	Authority to Give Guarantees for a subsidiary (Credit to China Merchants Bank)	Mgmt	For	Against	Against



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18	Authority to Give Guarantees (Credit to Bank of Nanjing)	Mgmt	For	Against	Against
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Digital China Information Service Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000555	CINS Y2943B104	12/29/2016	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles - Change in Authorized Capital	Mgmt	For	For	For
3	Elect FEI Jianjiang	Mgmt	For	For	For
4	Eligibility for Bond Issuance	Mgmt	For	For	For
5	Issuance Size	Mgmt	For	For	For
6	Par Value Issuance Price	Mgmt	For	For	For
7	Issuance Targets	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Bond Type and Bond Duration	Mgmt	For	For	For
10	Interest Rate and Repayment of Principal and Interest	Mgmt	For	For	For
11	Adjustment Measures	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Guarantee Clauses	Mgmt	For	For	For
14	Repayment of Guarantee Measures	Mgmt	For	For	For
15	Listing Place	Mgmt	For	For	For
16	Validity Period of Resolution	Mgmt	For	For	For
17	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
18	Authority to Give Guarantees For Credit Lines of Two Subsidiaries From Bank of Beijing	Mgmt	For	Against	Against
19	Authority to Give Guarantees For Credit Lines From Guangdong Development Bank	Mgmt	For	Against	Against
20	Authority to Give Guarantees For Subsidiary Credit Line From DBS Bank	Mgmt	For	Against	Against
21	Authority to Give Guarantees For Subsidiary Credit Line From CITIC	Mgmt	For	Against	Against

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22	Bank Authority to Give Guarantees For Subsidiary Credit Line From ICBC	Mgmt	For	Against	Against
23	Authority to Give Guarantees For Credit Lines of Two Subsidiaries From Bank of Shanghai	Mgmt	For	Against	Against
24	Extension of Validity Period for Non-public Issuance of Shares	Mgmt	For	For	For
25	Board Authorization to Implement Extension of Private Placement	Mgmt	For	For	For
26	Application to China Securities Regulator Commission for Resumption of Non-public Offering of Shares	Mgmt	For	For	For

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Dongfang Electric Co Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
1072	CINS Y20958115	10/18/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect ZOU Lei	Mgmt	For	For	For	

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Dongxing Securities Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
601198	CINS Y209G1100	03/07/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Establishment of Wholly-owned Company	Mgmt	For	For	For	
2	Adjustment to Interest Rates of Entrusted Loans	Mgmt	For	For	For	
3	WEI Qinghua	Mgmt	For	For	For	
4	TAN Shihao	Mgmt	For	For	For	
5	YIN Jianmin	Mgmt	For	For	For	
6	QIN Bin	Mgmt	For	For	For	
7	JIANG Yueming	Mgmt	For	For	For	
8	SHAO Xiaoyi	Mgmt	For	For	For	
9	TU Xuanxuan	Mgmt	For	For	For	
10	ZHANG Zhen	Mgmt	For	For	For	
11	ZHU Wuxiang	Mgmt	For	For	For	
12	HAN Jianmin	Mgmt	For	For	For	
13	ZHENG Zhenlong	Mgmt	For	For	For	
14	ZHANG Wei	Mgmt	For	For	For	

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15	XU Xiangyang	Mgmt	For	Against	Against
16	YE Shuyu	Mgmt	For	Against	Against
17	WU Qiaohui	Mgmt	For	For	For
18	LUO Xiaoping	Mgmt	For	For	For

Dongxing Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601198	CINS Y209G1100	06/14/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Amendment to Articles	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Securities Investment Scale	Mgmt	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Amendments to Procedural Rules for Supervisory Board Meetings	Mgmt	For	Abstain	Against
11	Elect LI Shuning as Director	Mgmt	For	For	For

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	02/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Service Agreement with Dongxu Group Finance Co., Ltd.	Mgmt	For	For	For

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	03/31/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Investment Project	Mgmt	For	For	For

Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	04/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	06/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	06/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
2	Proposal for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
3	Transaction Method, Underlying Assets, and Participants	Mgmt	For	For	For
4	Transaction Price and Pricing Method	Mgmt	For	For	For
5	Payment of Transaction Price	Mgmt	For	For	For
6	Type and Par Value of Issued Stocks	Mgmt	For	For	For
7	Issuance Method, Targets and Subscription Method	Mgmt	For	For	For
8	Pricing Method, Benchmark Date and Issuance Price	Mgmt	For	For	For
9	Amount of Issuance	Mgmt	For	For	For
10	Cash Payment	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Contract Obligation for Ownership Transfer of Relevant	Mgmt	For	For	For

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	Assets and Duties for Breach of Contract				
13	Ownership and Settlement of Profits/Losses during Transition Period	Mgmt	For	For	For
14	Arrangement of Lock-Up Period	Mgmt	For	For	For
15	Compensation for Profits and Test for Value Decrease	Mgmt	For	For	For
16	Arrangements for Staffs	Mgmt	For	For	For
17	Allocation of Cumulative Undistributed Profits	Mgmt	For	For	For
18	Validity of Resolution	Mgmt	For	For	For
19	Issuance Stock Class and Par Value for Auxiliary Funds Raising	Mgmt	For	For	For
20	Issuance Method, Targets, and Subscription Method for Auxiliary Funds Raising	Mgmt	For	For	For
21	Pricing Basis, Benchmark Date and Issuance Price for Auxiliary Funds Raising	Mgmt	For	For	For
22	Amount of Auxiliary Funds Raising	Mgmt	For	For	For
23	Amount of Stock Issued for Auxiliary Funds Raising	Mgmt	For	For	For
24	Use of Proceeds	Mgmt	For	For	For
25	Lock-Up Period for Auxiliary Funds Raising	Mgmt	For	For	For
26	Listing Market for Auxiliary Funds Raising	Mgmt	For	For	For
27	Allocation of Cumulative Undistributed Profits	Mgmt	For	For	For
28	Validity of Resolution	Mgmt	For	For	For
29	Report (Draft) on Related Party Transaction Regarding Assets Acquisition by Stock and Auxiliary Funds Raising and its Summary	Mgmt	For	For	For
30	Constitution of Related Party Transaction	Mgmt	For	For	For

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31	Non-Constitution of Major Asset Reorganization and Backdoor Listing	Mgmt	For	For	For
32	Signing of Assets Acquisition by Stock and Cash Agreements	Mgmt	For	For	For
33	Signing of Conditional Supplementary Agreements for Assets Acquisition by Stock and Cash Agreements	Mgmt	For	For	For
34	Signing of Conditional Profits Compensation Agreement	Mgmt	For	For	For
35	Signing of Conditional Supplementary Agreement for Profits Compensation	Mgmt	For	For	For
36	Signing of Private Placement Subscription Agreement	Mgmt	For	For	For
37	Compliance with No.11 of Public Companies' Major Asset Reorganization Administrative Measures and No.4 of Public Companies' Major Reorganization Rules	Mgmt	For	For	For
38	Compliance with No.43 of Public Companies' Major Asset Reorganization Administrative Measures	Mgmt	For	For	For
39	Approval of Independence of Appraisal Institution, Reasonableness of Appraisal Assumption, Relevance of Appraisal Method and Objective, and Fairness of Appraisal Value	Mgmt	For	For	For
40	Approval of Audit Report, Review Report, and Asset Appraisal Report	Mgmt	For	For	For
41	Approval of Basis for Pricing, Fairness and Reasonableness	Mgmt	For	For	For
42	Dilution Measures for Assets Acquisition by Stock and Auxiliary Funds	Mgmt	For	For	For

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43	Raising Commitment by Controlling Shareholders, De Facto Controller on Dilution Measures for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
44	Commitment by Directors and Senior Management on Dilution Measures for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
45	Board Authorization to Implement Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	07/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Give Guarantees I	Mgmt	For	For	For
2	Authority to Give Guarantees II	Mgmt	For	For	For
3	Elect LI Zhaoting	Mgmt	For	For	For
4	Elect WANG Lipeng	Mgmt	For	For	For
5	Elect GONG Xin	Mgmt	For	For	For
6	Elect ZHOU Bo	Mgmt	For	For	For
7	Elect LU Guihua	Mgmt	For	For	For
8	Elect ZHANG Shuangcai	Mgmt	For	For	For
9	Elect HAN Zhiguo	Mgmt	For	For	For
10	Independent Directors' Fees	Mgmt	For	For	For
11	Elect GUO Chunlin	Mgmt	For	For	For
12	Elect XU Lingzhi	Mgmt	For	Against	Against
13	Elect CHEN Dewei	Mgmt	For	Against	Against

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000413	CINS Y7789C116	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Dongxu Optoelectronic Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000413	CINS Y7789C116	12/26/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Validity Period of Shareholder Undertaking	Mgmt	For	For	For
2	Capital Increase in Wholly-owned Subsidiary	Mgmt	For	For	For
3	Supplemental Agreement to the Entrusted Management Agreement	Mgmt	For	For	For

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Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600804	CINS Y13067106	03/31/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Pricing Basis Date	Mgmt	For	For	For
5	Issuance Price and Pricing Benchmark	Mgmt	For	For	For
6	Issuance Amount	Mgmt	For	For	For
7	Issuance Targets and Subscription Method	Mgmt	For	For	For
8	Lock-Up Period	Mgmt	For	For	For
9	Amount and Use of Raised Funds	Mgmt	For	For	For
10	Arrangement of Accumulated Undistributed Profits	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Preliminary Plan for Private Placement (Revision)	Mgmt	For	For	For
14	Shenzhen Pengbo Industrial Group Co., Ltd	Mgmt	For	For	For
15	Beijing Tonglingtong Telecommunication Technology Co., Ltd.	Mgmt	For	For	For
16	Signing of Conditional Share Subscription	Mgmt	For	For	For



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17	Agreement with Pengbo Signing of Conditional Share Subscription Agreement with Beijing Tonglingtong	Mgmt	For	For	For
18	Feasibility Report on Use of Raised Funds	Mgmt	For	For	For
19	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
20	Commitments of Management for Dilution Measures	Mgmt	For	For	For
21	No Obligation to Report on Use of Raised Funds	Mgmt	For	For	For
22	Shareholder Return Plan	Mgmt	For	For	For
23	Board Authorization to Implement Private Placement	Mgmt	For	For	For
24	Authority for Subsidiary to Issue Bonds	Mgmt	For	For	For
25	Authority to Give Guarantees to Subsidiary	Mgmt	For	Against	Against
26	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
27	Approval of Line of Credit and Authority to Give Guarantees	Mgmt	For	Against	Against
28	Amendments to Article	Mgmt	For	For	For

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Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600804	CINS Y13067106	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Annual Report	Mgmt	For
6	Appointment of Auditor	Mgmt	For

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Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600804	CINS Y13067106	06/29/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Management System for External Investment	Mgmt	For	Abstain	Against
3	Joint Venture	Mgmt	For	Abstain	Against

Dr. Peng Telecom and Media Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600804	CINS Y13067106	12/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Subscribers and Subscription Method	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Coupon Rate	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Method of Underwriting	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Safeguard Measures for Debt Repayment	Mgmt	For	For	For
11	Guarantees	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization	Mgmt	For	For	For
14	Authority to Issue Medium Term Notes	Mgmt	For	For	For
15	Board Authorization for Medium-term Note Issuance	Mgmt	For	For	For

East Money Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	01/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect Director: QI Shi	Mgmt	For	For	For
3	Elect Director: TAO Tao	Mgmt	For	For	For
4	Elect Director: CHEN Kai	Mgmt	For	For	For
5	Elect Director: LU Wei	Mgmt	For	For	For
6	Elect Independent Director: YAN Jie	Mgmt	For	For	For
7	Elect Independent Director: TIAN Ling	Mgmt	For	For	For
8	Elect BAO Yiqing	Mgmt	For	Against	Against
9	Elect YE Lu	Mgmt	For	For	For

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East Money Information Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	03/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Eligibility for Issuance of Convertible Bonds	Mgmt	For	For	For
10	Type of Securities	Mgmt	For	For	For
11	Issuing Volume	Mgmt	For	For	For
12	Par Value and Issuing Price	Mgmt	For	For	For
13	Bond Duration	Mgmt	For	For	For
14	Interest Rate	Mgmt	For	For	For
15	Time and Method for Repaying the Interest	Mgmt	For	For	For
16	Conversion Period	Mgmt	For	For	For
17	Determination of and Adjustment to Conversion Price	Mgmt	For	For	For
18	Downward Adjustment Provision on Conversion Price	Mgmt	For	For	For
19	Method for Determining the Amount of Converted Shares	Mgmt	For	For	For
20	Redemption Provision	Mgmt	For	For	For
21	Resale Provision	Mgmt	For	For	For
22	Attribution of Related Stock Dividends for Conversion Years	Mgmt	For	For	For
23	Issuance Targets and Method	Mgmt	For	For	For
24	Arrangement for Placment to Shareholders	Mgmt	For	For	For
25	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
26	Purpose of Raised Fund	Mgmt	For	For	For
27	Guarantee	Mgmt	For	For	For
28	Depository of the Raised Fund	Mgmt	For	For	For

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29	Validity Period	Mgmt	For	For	For
30	Preliminary Plan to Issue Corporate Bonds	Mgmt	For	For	For
31	Analysis Report on Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
32	Feasibility Analysis Report on Use of Proceeds	Mgmt	For	For	For
33	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
34	Approval of Dilution Measures	Mgmt	For	For	For
35	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
36	Shareholder Returns Plan	Mgmt	For	For	For
37	Procedural Rules for Convertible Bondholders Meeting	Mgmt	For	For	For

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East Money Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300059	CINS Y2234B102	08/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	01/11/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Working Capital Replenishment	Mgmt	For	For	For
2	Establishment of Forex Hedging Business	Mgmt	For	For	For
3	Authority to Give Guarantees to Zhengzhou Fenghetong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Changsha Eternal Asia Supply Chain Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to	Mgmt	For	Against	Against

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	Shenzhen Zhuoyou Data Technology Co., Ltd.				
6	Authority to Give Guarantees to Beijing Zhuoyou Yunzhi Technology Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Jiangsu Yisite Weier Supply Chain Management Co., Ltd. for Loans with Bank of Nanjing	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Jiangsu Yisite Weier Supply Chain Management Co., Ltd. for Loans with Bank of Jiangsu	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Shenzhen Yushang Micro-Financing Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Ganzhou Yushang Micro-Financing Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd.	Mgmt	For	Against	Against
12	Establishment of a Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Hangzhou Wanhong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Fujian Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Chongqing Yifei	Mgmt	For	Against	Against

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	Liquor Marketing Co., Ltd.					
4	Authority to Give Guarantees to Jiangsu Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Guangxi Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
6	Authority to Receive Guarantees from a Wholly-Owned Subsidiary	Mgmt	For	For	For	
7	Authority to Give Guarantees to Shenzhen Yushang Micro Financing Co., Ltd.	Mgmt	For	Against	Against	
8	Explanation for Definition of "Within This Year" As Appears in Board Resolution	Mgmt	For	For	For	
9	Financial Cooperation with Bank and Related Guarantees	Mgmt	For	Against	Against	
10	Adjustment to Name of Credit-Granting Bank	Mgmt	For	For	For	
11	Investment in Establishment of Chongqing Kangousheng Trade Co., Ltd.	Mgmt	For	For	For	
12	Investment in Establishment of Shenzhen Yiqiao Medical Supply Chain Management Co., Ltd.	Mgmt	For	For	For	
13	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For	
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	03/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Authority to Give Guarantees to Guangxi Yiyatong Deep Supply Chain Management Co.,	Mgmt	For	Against	Against

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2	Ltd Authority to Give Guarantees to Shenzhen City before the sea Yatong supply chain Limited	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Henan Yiyatong Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Hunan Xin Group Supply Chain Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Qianhai Shenzhen Yu Suppliers Factoring Co. Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees in Regards to Application to China Construction Bank Corporation	Mgmt	For	Against	Against
7	Authority to Give Guarantees in Regards to Application to Bank of China Limited	Mgmt	For	Against	Against
8	Investment In the Set Up of a Company	Mgmt	For	For	For
9	Capital Increase of a Wholly-owned Subsidiary	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	04/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Shanghai New Century Daily Chemicals Co., Ltd	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Eternal (Jiangsu) Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Eternal (Fujian) Supply Chain Management Co,. Ltd for Line of Credit	Mgmt	For	Against	Against

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	from Xiamen International Bank				
4	Authority to Give Guarantees to Eternal (Fujian) Supply Chain Management Co., Ltd for Line of Credit from Industrial Bank Co., Ltd	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Fujian Yishengtong Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Eternal Shanghai Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Yiran (Tianjian) International Trade Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Eternal Asia (S) Pte. Ltd	Mgmt	For	Against	Against
10	Authority to Give Guarantees for a Financial Cooperation with Bank of China	Mgmt	For	Against	Against
11	Authority to Give Guarantees for a Financial Cooperation with China Construction Bank	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd for Transaction on Shenzhen CMB Qianhai Financial Asset Exchange	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd for	Mgmt	For	Against	Against



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	Transaction on Shenzhen Qianhai Financial Asset Exchange					
14	Authority to Issue Long-term Notes	Mgmt	For	For	For	
15	A Wholly-Owned Subsidiary's Transfer of Partial Equity	Mgmt	For	For	For	
16	Capital Reduction of Eternal Shenzhen Qianhai Supply Chain Management Co., Ltd.	Mgmt	For	For	For	
17	Approval to Establish Bangbu Yiran Supply Chain Management Co., Ltd	Mgmt	For	For	For	
18	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002183	CINS Y2346W101	04/24/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Eternal Shenzhen Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Eternal Shanghai Shenniu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Eternal Shanghai Ruiwei for Line of Credit from Bank of Ningbo	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Eternal Shanghai Ruiwei for Line of Credit from Bank of China	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Henan Yima Food Co., Ltd.	Mgmt	For	Against	Against	
6	Authority to Give Guarantees to Eternal Liaoning Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
7	Authority to Give	Mgmt	For	Against	Against	

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	Guarantees for Financial Cooperation with Industrial Bank					
8	Authority to Give Guarantees for Financial Cooperation with Bank of Jiangxi	Mgmt	For	Against	Against	
9	Authority to Give Guarantees for Financial Cooperation with Bank of Zhengzhou	Mgmt	For	Against	Against	
10	Authority to Give Guarantees to Eternal Shandong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
11	Capital Injection	Mgmt	For	For	For	
12	Extension of Validity of Private Placement	Mgmt	For	For	For	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Internal Self-Assessment Report	Mgmt	For	For	For
7	Financial Derivative Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Authority to Give Guarantees to Chengdu Eternal Asia Fairy Lake Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Zhejiang Eternal Asia Yongrun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Zhejiang Eternal Asia Yongrun Supply Chain Management Co., Ltd. (Shaoxing Branch)				
14	Authority to Give Guarantees to Fujian Yi Shengtong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Jiangxi Eternal Asia Shendu Supply Chain Management Co., Ltd. for Industrial Bank Loan	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Jiangxi Eternal Asia Shendu Supply Chain Management Co., Ltd. for Beijing Bank Loan	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Zhang Jia Gang Free Tax Zone Shen Jie International Trading Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Dalian Wangda Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Chongqing Yi Hetian Food Marketing Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Chongqing Yi Fei Liquor Marketing Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Tianjin Jia Shi Commerce Co., Ltd.	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Beijing Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Hubei Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Shenzhen Qianhai Yu Shang Factoring Co., Ltd.				
25	Establishment of Shenzhen Xinxu Supply Chain Co., Ltd.	Mgmt	For	For	For
26	Establishment of Dandong Yi Ruitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For
27	Establishment of Dongguan Shun Ju Commerce Co., Ltd.	Mgmt	For	For	For
28	Establishment of Zhejiang Yi Yuan Hong Lei Supply Chain Management Co., Ltd.	Mgmt	For	For	For
29	Establishment of Shanxi Huize Supply Chain Management Co., Ltd.	Mgmt	For	For	For
30	Investment in Kunming Yue Xin Trading Co., Ltd.	Mgmt	For	For	For
31	Investment Increase in Shanghai Eternal Asia Xing Sheng Supply Chain Management Co., Ltd.	Mgmt	For	For	For
32	Investment Increase in Guangxi Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
33	Investment Increase in Guangxi Eternal Asia Daze Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	05/25/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Financial Cooperation and Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Investment Increase by a Subsidiary in Another Subsidiary	Mgmt	For	For	For	
3	Establishment of a Company by a Subsidiary	Mgmt	For	For	For	

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4	Confirmation of Size of Line of Credit	Mgmt	For	For	For
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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	06/12/2017	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Financial Cooperation and Guarantees to Hunan Sanxiang Bank Co., Ltd.	Mgmt	For	Against	Against
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2	Authority to Give Guarantees to Subsidiaries for Line of Credit from China Minsheng Bank Co., Ltd., Wuhan Branch	Mgmt	For	Against	Against
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3	Authority to Give Guarantees to Shaoxing Jishi Internet Technology Co., Ltd., for Line of Credit	Mgmt	For	Against	Against
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4	Authority to Give Guarantees to Seven Subsidiaries for Line of Credit from Qishang Bank Co., Ltd.	Mgmt	For	Against	Against
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5	Approval for Shanxi Eternal Supply Chain Management Co., Ltd. to Set Up Gansu Yilong Commerce Co., Ltd.	Mgmt	For	For	For
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6	A Subsidiary's Equity Transfer and the Company's Increase of Capital	Mgmt	For	For	For
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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	06/19/2017	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
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2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
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3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Independent Directors	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/04/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For
2	Authority to Give Guarantees for Shanxi Yi Xin De Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Line of Credit	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Line of Credit from Bank of Beijing	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Line of Credit from Xiamen Bank	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Line of Credit from Xiamen Bank	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Line of Credit from Chinese Construction Bank	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Line of Credit from Xiamen Bank	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Line of Credit from China Merchants	Mgmt	For	Against	Against

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10	Bank Authority to Give Guarantees for Inter-bank Borrowing	Mgmt	For	Against	Against
11	Adjust Banks for Line of Credit	Mgmt	For	For	For
12	Establishment of Shenzhen Qianhai Jin Yi Tong Gold and Jewelry Supply Chain Co., Ltd.	Mgmt	For	For	For
13	Establishment of Hunan God Partners Business Management Co., Ltd.	Mgmt	For	For	For
14	Establishment of Dalian Eternal Asia Xin Hui Logistics Co., Ltd.	Mgmt	For	For	For
15	Establishment of Hangzhou Eternal Asia Logistics Co., Ltd.	Mgmt	For	For	For
16	Investment Increase in a Wholly-owned Subsidiary.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Fuxin Eternal Asia Jiufu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Hainan Eternal Asia Lianshun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Jiangsu Xinpeng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Anhui Eternal Asia Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Hefei Jinyan Food Co., Ltd.				
6	Authority to Give Guarantees to Anhui Yihe Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Anhui Yicheng Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Anhui Haoshun Trade Development Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Anhui Yimei Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Zhoukou Shijin Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Chengdu Eternal Asia Xian Hu Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Eternal Asia Pte. Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Shenzhen Anxinyuan Trade Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Huizhou Anxinyuan Industrial Co., Ltd.	Mgmt	For	Against	Against
16	Establishment of Guangzhou Eternal Asia Cold Chain Supply Chain Co., Ltd.	Mgmt	For	For	For
17	Establishment of Anhui Yixin Deep Supply Chain Management Co., Ltd.	Mgmt	For	For	For
18	Establishment of Jilin	Mgmt	For	For	For



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19	Shunfu Supply Cahin Management Co., Ltd. Investment in Shanghai Century Daily Chemicals Co., Ltd.	Mgmt	For	For	For
20	Establishment of Jilin Shunfu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
21	Establishment of Chongqing Yuxin Food Co., Ltd.	Mgmt	For	For	For
22	Establishment of Li Bridge Supply Chain Management Co., Ltd.	Mgmt	For	For	For
23	Establishment of Lishisheng Xing International Business Group Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	08/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Shenyang Huibang Supply Chain Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Loans Applied to at the Shenzhen Branch of the Bank of Communications Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Loans Applied to at the Hua Xia Bank Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Loans Applied to at the Bao Shang Bank Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Loans Applied to At Guangzhou Ligen Small Reloan Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Loans	Mgmt	For	Against	Against

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	Applied to at the Shenzhen Branch of the Bank of Communications Co., Ltd.				
7	Authority to Give Guarantees for Loans Applied to at Ping An Bank Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Operational Guarantees	Mgmt	For	Against	Against
9	Authority to Provide Guarantees for Loans Applied for at Harbin Bank Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Provide Guarantees for Loans Applied for at Industrial Bank Co., Ltd.	Mgmt	For	Against	Against
11	Authority for the Provision of Guarantees by Shanghai Eternal Asia Supply Chain Co., Ltd.	Mgmt	For	Against	Against
12	Authority for the Provision of Guarantees for Loans from Fubon Bank of China Co., Ltd.	Mgmt	For	Against	Against
13	Authority for the Provision of Guarantees for Transaction with First Capital Securities Co., Ltd.	Mgmt	For	Against	Against
14	Joint Investment in the Setup of Shishengcing International Business Group	Mgmt	For	For	For
15	Joint Investment in the Setup of Fuzhou Hongruida Trading Co., Ltd.	Mgmt	For	For	For
16	Joint Investment in the Setup of Quanzhou Hongjin Trading Co., Ltd.	Mgmt	For	For	For
17	Joint Investment in the Setup of Ruian City Gonghele Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Xinjiang Jiaheng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd. For Line of Credit of RMB 60 million	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd. For Line of Credit of RMB 30 million	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Shenzhen Yu Shang Microfinance Co., Ltd. For Line of Credit of RMB 120 million	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Chongqing Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Guizhou Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Shenyang Eternal Asia ZeQing Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Shenyang Huibang Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Sichuan Jiaheng Food Co.,	Mgmt	For	Against	Against

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	Ltd.				
10	Authority to Give Guarantees to Hunan Xin Wu Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Shandong Yi Fang Yuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shandong Yi Mei Tang Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Ji An XinSheng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Anhui Yi He Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Anhui Yi Mei Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Anhui Yi Cheng Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to HeFei Jinyan Food Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Anhui Hao Shun Trading Development Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Anhui Shendu Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Xi Xiang An Trading Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Xinxiang Yi Feng Supply Chain	Mgmt	For	Against	Against

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	Management Co., Ltd.				
22	Authority to Give Guarantees to Luoyang Yihui Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Kaifeng Yitong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Shangqiu Tian Yi Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
25	Authority to Give Guarantees to Nanyang Chaoran Yi Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
26	Authority to Give Guarantees to Henan Yi Ma Food Co., Ltd.	Mgmt	For	Against	Against
27	Authority to Give Guarantees to Anyang Guang Cheng Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
28	Authority to Give Guarantees to Guizhou Eternal Asia Shendu Supply Chain Management Co., Ltd. For Credit Applied to Shanghai Pudong Development Bank	Mgmt	For	Against	Against
29	Authority to Give Guarantees to Shenyang ZeQing Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
30	Authority to Give Guarantees to Shanxi Shendu Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
31	Authority to Give Guarantees to Shenzhen Qianhai Yushang Factoring Co., Ltd.	Mgmt	For	Against	Against
32	Authority to Give	Mgmt	For	Against	Against

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33	Guarantees to Shenzhen Yu Shang Microfinace Co., Ltd. For Line of Credit of RMB 100 million Authority to Give	Mgmt	For	Against	Against
34	Guarantees to Shenzhen Yu Shang Microfinace Co., Ltd. For Financing Cooperation Authority to Give	Mgmt	For	Against	Against
35	Guarantees to Ganzhou Yu Shang Microfiance Co., Ltd. Establishment of	Mgmt	For	For	For
36	Guangzhou Eternal Asia Supply Chain Co., Ltd. Establishment of	Mgmt	For	For	For
37	Qianhai Xintong Construction Supply Chain Co., Ltd. Establishment of	Mgmt	For	For	For
38	Hainan Eternal Asia Xian Yi Mei Chain Management Co., Ltd. Establishment of	Mgmt	For	For	For
39	Shaoguan Yueteng Supply Chain Management Co., Ltd. Amendments to Articles	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	10/10/2016		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Pingdingshan Chengyi Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Henan Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Shanghai Eternal Asia Supply Chain	Mgmt	For	Against	Against	

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	Management Co., Ltd. for Line of Credit from Hang Seng Bank				
4	Authority to Give Guarantees to Shanghai Eternal Asia Supply Chain Management Co., Ltd. from Bank of Beijing	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Luoyang Luobai Yitong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Overseas Subsidiaries	Mgmt	For	Against	Against
7	Investment Increase In Shanghai Eternal Asia Longchuan Supply Chain Management Co., Ltd.	Mgmt	For	For	For
8	Investment Increase In Shanghai Eternal Asia Puaao Supply Chain Management Co., Ltd.	Mgmt	For	For	For
9	Investment Increase In Zhejiang Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
10	Investment Increase In Eternal Asia Yizhuang Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
11	Investment Increase In Lianyi Hong Kong Co., Ltd.	Mgmt	For	For	For
12	Investment Increase In Lianyi International Hong Kong Co., Ltd.	Mgmt	For	For	For
13	Establishment of Yunnan Eternal Asia Meishikang Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
14	Establishment of Chongqing Jiaqiao Business Co., Ltd.	Mgmt	For	For	For
15	Property Purchase	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	10/27/2016	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Hangzhou Wanhong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Jiaxing Yiyatong Yiyuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Guangdong Yun Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Jiangxi Yiyatong Depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Secretary of Guangxi Yat-depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shandong Eternal Asia-depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Depth Shandong Eternal Asia Supply Chain Management Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Hainan Yiya Tongshun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Shenzhen Yu-commerce Small Loans Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Shenzhen Yu Commerce Small Loans Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to	Mgmt	For	Against	Against



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	Shanghai Yi Yatong Supply Chain Co., Ltd.				
12	Authority to Give Guarantees to Zhangjiagang Bonded Area Shenjie International Trade Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Zhangjiagang Bonded Area Shenjie International Trade Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to KGI Commercial Bank Limited	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Shenzhen New Trade Co., Ltd.	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Huizhou City New Source Industrial Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees Mianyang Yilian WorldCom Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Lianyi Hong Kong Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Henan Yiyatong Depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Henan Yiyatong Depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
21	Increase Capital in Chongqing Yiyatong Chuan-Yu Cosmetics Co., Ltd.	Mgmt	For	For	For
22	Increase Capital of Qingdao Changzhuo Network Technology Co., Ltd.	Mgmt	For	For	For
23	Setup of Tianjin	Mgmt	For	For	For

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	Jiapeng Industrial Technology Development Co., Ltd.				
24	Setup of Nanjing Yatong depth Logistics Co., Ltd.	Mgmt	For	For	For
25	Setup of Jinlong Intelligent Technology (Jiangsu) Co., Ltd.	Mgmt	For	For	For
26	Setup of Wuhan Meili Business Development Co., Ltd	Mgmt	For	For	For
27	Setup of Anhui Lianhe Deep Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	11/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Shenzhen Anxinyuan Trading Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Shanghai External Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority for the Provision of Guarantees by Shenzhen External Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Correction of Name of Bank For Credit Line Application	Mgmt	For	For	For
5	Authority to Give Guarantees to Shenzhen Yushang Consumer Finance Co., Ltd.	Mgmt	For	Against	Against
6	Investment in Fuzhou Shengshi Hanggang Trading Co., Ltd.	Mgmt	For	For	For
7	Investment in Xuchang Meijiuhui Trading Co.,	Mgmt	For	For	For

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8	Ltd. Establishment of Shanghai Shidie Cosmetics Co., Ltd.	Mgmt	For	For	For
9	Establishment of Fuzhou Yongda Huitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For
10	Establishment of Puyang Huicheng Yitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For
11	Establishment of Shangqiu Xinyi Supply Chain Management Co., Ltd.	Mgmt	For	For	For
12	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	12/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authority to Issue Private Bonds	Mgmt	For	For	For
4	Board Authorization to Issue Short-term Financing Bills, Medium-term Notes and Private Bonds	Mgmt	For	For	For
5	Authority to Give Guarantees to Jiaxing Eternal Asia Yiyuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shenzhen Yushang Microfinance Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for a Financial Cooperation with China Construction	Mgmt	For	Against	Against

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8	Bank Establishment of Sichuan Junce Wine Industry Co., Ltd.	Mgmt	For	For	For
9	Establishment of Nantong Xinmengsheng Network Technology Co., Ltd.	Mgmt	For	For	For
10	Establishment of Liaoning Hele Jinkaida Supermarket Management Co., Ltd.	Mgmt	For	For	For
11	Establishment of Yunnan Yianyi Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
12	Establishment of Chengde Guotai Xiangrui Electric Appliance Sales Co., Ltd.	Mgmt	For	For	For
13	Establishment of Longyan Jingbo Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	First Phase of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement First Phase of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Authority to Give Guarantees for Transfer of Creditors Rights of Wholly-owned Subsidiary	Mgmt	For	Against	Against
4	Approval of Line of Credit	Mgmt	For	For	For
5	Approval of to Provide Guarantees to a Wholly-owned subsidiary's 2017 Line of Credit Application	Mgmt	For	Against	Against
6	Authority to Give Guarantees For	Mgmt	For	Against	Against

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	Wholly-owned Subsidiary's Line of Credit					
7	Authority to Give Guarantees For Subsidiary's Line of Credit From Bank of Communication	Mgmt	For	Against	Against	
8	Authority to Give Guarantees For Subsidiary's Loan	Mgmt	For	Against	Against	
9	Authority to Give Guarantees For Subsidiary's Comprehensive Line of Credit From China Citic Bank	Mgmt	For	Against	Against	
10	Increase in Capital of Subsidiary	Mgmt	For	For	For	
11	Joint Venture	Mgmt	For	For	For	
12	Establishment of a Subsidiary	Mgmt	For	For	For	

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601788	CINS Y2357S106	03/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GE Haijiao	Mgmt	For	For	For

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
06178	CINS Y2357S106	04/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Issuer, Size and Method	Mgmt	For	For	For
3	Type of Debt	Mgmt	For	For	For
4	Term of Debt	Mgmt	For	For	For
5	Interest Rate of Debt	Mgmt	For	For	For
6	Guarantee and Other Arrangements	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Issue Price	Mgmt	For	For	For
9	Targets of Issuance and Arrangements on Placement to Shareholders	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Safeguard Measures	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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Everbright Securities Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status
601788	CINS Y2357S106	05/24/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Elect WANG Hongyang as Supervisor	Mgmt	For
			Against
6	Related Party Transactions	Mgmt	For
7	Approval of 2017 Self-operating Business Scale	Mgmt	For
8	Appointment of Auditor	Mgmt	For
			Against
9	Non-Voting Meeting Note	N/A	N/A
			N/A

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Everbright Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601788	CINS Y2357S106	10/26/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Appointment of External Auditor	Mgmt	For
			For

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Fiberhome Telecommunication Technologies Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600498	CINS Y2482T102	05/15/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For
			For

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Fiberhome Telecommunication Technologies Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600498	CINS Y2482T102	05/26/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Independent Directors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Related Party Transactions	Mgmt	For
7	Annual Report	Mgmt	For

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Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000402	CINS Y2496E109	02/16/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect YANG Yang	Mgmt	For
			For
2	Elect BAI Li	Mgmt	For
			For
3	Elect ZHAO Zehui	Mgmt	For
			For
4	Elect LI Qian as Supervisor	Mgmt	For
			Against
			Against

Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000402	CINS Y2496E109	04/18/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Allocation of Profits/Dividends	Mgmt	For
			For
5	Annual Report	Mgmt	For
			For
6	Authority to Give Guarantees	Mgmt	For
			Against
			Against
7	Amendments to Articles	Mgmt	For
			For
			For

Financial Street Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000402	CINS Y2496E109	11/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For
			For
2	Authority to Issue Securities	Mgmt	For
			For
			For

First Capital Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002797	CINS Y2R9TR100	04/19/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Allocation of Profits/Dividends	Mgmt	For
			For
5	Annual Report	Mgmt	For
			For
6	Appointment of Auditor	Mgmt	For
			For
7	Approval of Investment Size	Mgmt	For
			For
8	Related Party Transactions with Huaxi Xinyu	Mgmt	For
			For
			For

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9	Investment Related Party Transactions with Beijing Capital Start-up Group	Mgmt	For	For	For
10	Related Party Transactions with Nengxing Holding Group	Mgmt	For	For	For
11	Related Party Transactions with Zhejiang Hangmin Industry Group	Mgmt	For	For	For
12	Related Party Transactions with Guangzhou Huangpu Longzhiquan Industry Group	Mgmt	For	For	For
13	Related Party Transactions with Yinhua Fund	Mgmt	For	For	For
14	Related Party Transactions with Beijing Yuanfuyuan Investment Management	Mgmt	For	For	For
15	Related Party Transactions with Others	Mgmt	For	For	For
16	Related Party Transactions with Joint Ventures	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Directors' Fees	Mgmt	For	For	For
19	Supervisors' Fees	Mgmt	For	For	For
20	Termination of Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For

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First Capital Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002797	CINS Y2R9TR100	06/12/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LONG Yifei as Independent Director	Mgmt	For	For	For
2	Management Team Authorization to Change Registered Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board	Mgmt	For	For	For



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### Meetings

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Focus Media Information Technology Co., Ltd.,					
Ticker	Security ID:	Meeting Date	Meeting Status		
002027	CINS Y29327106	05/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Investment in Wealth Management Products	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Procedural Rules: Shareholders General Meetings	Mgmt	For	Abstain	Against
10	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against

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Focus Media Information Technology Co., Ltd.,					
Ticker	Security ID:	Meeting Date	Meeting Status		
002027	CINS Y29327106	06/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Approval for the Subsidiary to Set Up Collective Capital Trust with Chengdu Rural Commercial Bank Co., Ltd.	Mgmt	For	For	For
3	Approval of Financial Aid for Subsidiary	Mgmt	For	For	For
4	Approval of Risk Investment	Mgmt	For	For	For
5	Adjustment to Line of Credit and Guarantees	Mgmt	For	Against	Against
6	Investment in Wealth Management Products Using Idle Capital	Mgmt	For	For	For

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Focus Media Information Technology Co., Ltd.,			
Ticker	Security ID:	Meeting Date	Meeting Status
002027	CINS Y29327106	12/28/2016	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	External Investment and Set Up of Wholly-Owned Subsidiary	Mgmt	For	For	For
2	Adjustments to General Credit Lines and Guarantees	Mgmt	For	Against	Against
3	Adjustments to Investment in Wealth Management Product	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules for Shareholders Meetings	Mgmt	For	Abstain	Against
6	Elect LIN Nan as Supervisor	Mgmt	For	Against	Against

Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601901	CINS Y261GX101	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	For	For
2	Elect FAN Zhongyuan as Director	Mgmt	For	For	For
3	Elect YANG Youhong as Independent Director	Mgmt	For	For	For

Founder Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601901	CINS Y261GX101	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors Performance and Fees	Mgmt	For	For	For
8	Supervisors Performance and Fees	Mgmt	For	For	For
9	Senior Management	Mgmt	For	For	For

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10	Performance and Fees	Approval of Line of Credit	Mgmt	For	For	For
<hr/> Founder Securities Company Limited Ticker Security ID: Meeting Date Meeting Status 601901 CINS Y261GX101 11/24/2016 Voted Meeting Type Country of Trade Special China Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt						
1	Amendments to Articles	Mgmt	For	For	For	For
2	GAO Li	Mgmt	For	For	For	For
3	HE Yagang	Mgmt	For	For	For	For
4	LIAO Hang	Mgmt	For	For	For	For
5	WANG Huiwen	Mgmt	For	For	For	For
6	CHE Lili	Mgmt	For	For	For	For
7	XU Angyang	Mgmt	For	For	For	For
8	YE Lin	Mgmt	For	For	For	For
9	LI Minggao	Mgmt	For	For	For	For
10	HU Tinghua	Mgmt	For	For	For	For
11	YONG Ping	Mgmt	For	Against	Against	Against
12	MA Nan	Mgmt	For	For	For	For
<hr/> Fujian Sunner Development Co., Ltd. Ticker Security ID: Meeting Date Meeting Status 002299 CINS ADPV13401 04/21/2017 Voted Meeting Type Country of Trade Annual China Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt						
1	Directors' Report	Mgmt	For	For	For	For
2	Supervisors' Report	Mgmt	For	For	For	For
3	Financial Budget	Mgmt	For	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For	For
5	Annual Report	Mgmt	For	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For	For
8	Related Party Transactions	Mgmt	For	For	For	For
9	Directors' and Supervisors' Fees	Mgmt	For	For	For	For
10	Amendments to Articles	Mgmt	For	For	For	For
11	Shareholder Returns Plan	Mgmt	For	For	For	For
<hr/> Fujian Sunner Development Co., Ltd. Ticker Security ID: Meeting Date Meeting Status 002299 CINS ADPV13401 06/08/2017 Voted Meeting Type Country of Trade Special China Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt						
1	Scheme of Reorganization	Mgmt	For	For	For	For
2	Type and Par Value of Stocks	Mgmt	For	For	For	For
3	Issuance Targets and	Mgmt	For	For	For	For

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4	Methods Pricing Base, Benchmark Date and Issuance Price	Mgmt	For	For	For
5	Underlying Assets	Mgmt	For	For	For
6	Transaction Pricing Methods, Base and Transaction Price	Mgmt	For	For	For
7	Issuance Amount	Mgmt	For	For	For
8	Attribution of Profits/Losses for Underlying Assets during Benchmark Date and Delivery Date	Mgmt	For	For	For
9	Commitment and Compensation for Performance	Mgmt	For	For	For
10	Commitment and Compensation for Financial Bonus	Mgmt	For	For	For
11	Allocation of Undistributed Cumulative Profits	Mgmt	For	For	For
12	Lock-Up Period	Mgmt	For	For	For
13	Listing Market of New Issuance	Mgmt	For	For	For
14	Contract Obligation and Breach Responsibility for Transfer of Underlying Assets	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Related Party Transactions Regarding the Assets Acquisition	Mgmt	For	For	For
17	Eligibility for Assets Acquisition by Stock with respect to Applicable Regulation and Laws	Mgmt	For	For	For
18	Eligibility for Assets Acquisition by Stock with respect to No.4 Rule of Major and Significant Reorganization	Mgmt	For	For	For
19	Eligibility for Assets Acquisition by Stock with respect to No.43 Rule of Major and Significant Reorganization Administrative Measures	Mgmt	For	For	For

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20	Approval of Volatility of Stock Prices Not Reaching Standards in No.5 Rule of Information Disclosure and Notice for Public Companies	Mgmt	For	For	For
21	Report for Assets Acquisition by Stock	Mgmt	For	For	For
22	Signing of Conditional Assets Acquisition by Stock Agreement	Mgmt	For	For	For
23	Signing of Conditional Performance Commitment and Compensation Agreement	Mgmt	For	For	For
24	Signing of Conditional Supplementary Agreement for Assets Acquisition by Stock	Mgmt	For	For	For
25	Signing of Conditional Supplementary Agreement for Performance Commitment and Compensation	Mgmt	For	For	For
26	Approval of Comprehensiveness, Compliance, and Validity of Legal Documents Related to Legal Procedures of Assets Acquisition by Stock	Mgmt	For	For	For
27	Approval of Independence of Appraisal Institution, Rationality of Appraisal Assumptions, Relevancy of Appraisal Methods and Objectives, and Fairness of Appraisal Pricing	Mgmt	For	For	For
28	Approval of Related Audit Report, Preparation Review Report, and Appraisal Report	Mgmt	For	For	For
29	Approval of Pricing Base, Fairness and Rationality	Mgmt	For	For	For
30	Dilution Measures for Assets Acquisition by Stock	Mgmt	For	For	For

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31	Board Authorization to Implement Assets Acquisition by Stock	Mgmt	For	For	For
32	Board Authorization to Implement Waiver of Tender Offer Requirement	Mgmt	For	For	For
33	Transaction Not Constitutes with Article 13 Rule of Reorganization Listing of Major and Significant Assets Reorganization Administrative Measures for Public Companies	Mgmt	For	For	For
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Future Land Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601155	CINS Y267C9105	03/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Approval of Loans from Hong Kong Hongsheng Development Co., Ltd.	Mgmt	For	For	For
11	2017 INVESTMENT PLAN	Mgmt	For	For	For
12	Authority to Issue Debt Instruments	Mgmt	For	For	For
13	Extension of Validity of Resolution on Private Placement	Mgmt	For	For	For
14	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Authority to Give Guarantees	Mgmt	For	Against	Against
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Fuyao Glass Industry Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
3606	CINS Y26783103	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Elect Sun Yiqun	Mgmt	For	For	For
10	Authority to Give Guarantees (Jincken Glass Industry Shuangliao Co., Ltd.)	Mgmt	For	For	For
11	Authority to Give Guarantees (Fuyao Glass America Inc. from HSBC Bank USA)	Mgmt	For	For	For
12	Authority to Give Guarantees (Fuyao Glass America Inc. from JPMorgan Chase Bank)	Mgmt	For	For	For
13	Amendment to Articles	Mgmt	For	For	For
14	Authority to Issue Ultra Short-Term Financing Notes	Mgmt	For	For	For

GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	01/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect QIAO Baoping as Director	Mgmt	For	For	For
2	Elect XIE Jun as Supervisor	Mgmt	For	Against	Against

GD Power Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	05/04/2017	Voted		
Meeting Type	Country of Trade				

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	10/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture	Mgmt	For	For	For

GD Power Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600795	CINS Y2685C112	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset Acquisition	Mgmt	For	For	For

Gemdale Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
600383	CINS Y2685G105	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For
9	Approval of Management System for External Donation	Mgmt	For	For	For
10	Elect Non-Independent Director: LING Ke	Mgmt	For	For	For
11	Elect Non-Independent Director: HUANG Juncan	Mgmt	For	For	For
12	Elect Non-Independent	Mgmt	For	Against	Against



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	Director: CHEN Aihong					
13	Elect Non-Independent Director: CHEN Bi'an	Mgmt	For	For	For	
14	Elect Non-Independent Director: SUN Juyi	Mgmt	For	For	For	
15	Elect Non-Independent Director: XU Jiajun	Mgmt	For	For	For	
16	Elect Non-Independent Director: LIN Shengde	Mgmt	For	For	For	
17	Elect Non-Independent Director: YAO Dafeng	Mgmt	For	Against	Against	
18	Elect Non-Independent Director: WEI Chuanjun	Mgmt	For	For	For	
19	Elect Independent Director: BEI Duoguang	Mgmt	For	For	For	
20	Elect Independent Director: ZHANG Limin	Mgmt	For	For	For	
21	Elect Independent Director: CHEN Jin	Mgmt	For	For	For	
22	Elect Independent Director: WANG Tianguang	Mgmt	For	For	For	
23	Elect Independent Director: GAO Feng	Mgmt	For	For	For	
24	Elect HU Xiangqun	Mgmt	For	For	For	
25	Elect XU Qian	Mgmt	For	Against	Against	
26	Elect ZHOU Dan	Mgmt	For	Against	Against	

Gemdale Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
600383	CINS Y2685G105	12/06/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

GF Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1776	CINS Y270AF107	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For

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6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authorization of 2017 Proprietary Investment Quota	Mgmt	For	For	For
9	2017 Related Party Transactions	Mgmt	For	For	For
10	Elect SHANG Shuzhi	Mgmt	For	For	For
11	Elect LI Xiulin	Mgmt	For	For	For
12	Elect LIU Xuetao	Mgmt	For	For	For
13	Elect YANG Xiong	Mgmt	For	For	For
14	Elect TANG Xin	Mgmt	For	For	For
15	Elect CHAN Kalok	Mgmt	For	For	For
16	Elect LI Yanxi	Mgmt	For	For	For
17	Elect SUN Shuming	Mgmt	For	For	For
18	Elect LIN Zhihai	Mgmt	For	Against	Against
19	Elect QIN Li	Mgmt	For	Against	Against
20	Elect SUN Xiaoyan	Mgmt	For	For	For
21	Elect ZHAN Lingzhi	Mgmt	For	For	For
22	Elect TAN Yue	Mgmt	For	For	For
23	Elect GU Naikang	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	03/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Constitution of Procedural Rules: Venture Capital Investment Management	Mgmt	For	For	For
2	Acquisition of 9.8% Interest in Kopin Corporation	Mgmt	For	For	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	04/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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9	INTERNAL AUDIT SYSTEM	Mgmt	For	For	For
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GoerTek Inc.  
 Ticker Security ID: Meeting Date Meeting Status  
 002241 CINS Y27360109 10/20/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIANG Bin	Mgmt	For	For	For
2	Elect JIANG Long	Mgmt	For	For	For
3	Elect DUAN Huilu	Mgmt	For	For	For
4	Elect WANG Yongtian	Mgmt	For	For	For
5	Elect XIA Shan hong	Mgmt	For	For	For
6	Elect XIAO Xing	Mgmt	For	Against	Against
7	Elect WANG Tianmiao	Mgmt	For	For	For
8	Elect SUN Hongbin as Supervisor	Mgmt	For	Against	Against
9	Independent Directors' Fees	Mgmt	For	For	For
10	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
11	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
12	Amendments to Administrative Measures for Guarantees	Mgmt	For	Abstain	Against
13	Amendments to Administrative Measures for Related Party Transactions	Mgmt	For	Abstain	Against
14	Amendments to Procedural Rules for Supervisory Committee Meetings	Mgmt	For	Abstain	Against
15	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
16	Authority to Give Guarantees	Mgmt	For	For	For

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GoerTek Inc.  
 Ticker Security ID: Meeting Date Meeting Status  
 002241 CINS Y27360109 11/29/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Chengmin as Director	Mgmt	For	For	For

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Great Wall Motor Company Limited  
 Ticker Security ID: Meeting Date Meeting Status  
 2333 CINS Y28741109 05/11/2017 Voted  
 Meeting Type Country of Trade

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For

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### Great Wall Motor Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2333	CINS Y28741109	05/11/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Business Strategy for 2017	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect WEI Jian Jun	Mgmt	For	Against	Against
10	Elect WANG Feng Ying	Mgmt	For	For	For
11	Elect YANG Zhi Juan	Mgmt	For	For	For
12	Elect HE Ping	Mgmt	For	Against	Against
13	Elect MA Li Hui	Mgmt	For	Against	Against
14	Elect LI Wan Jun	Mgmt	For	For	For
15	Elect NG Chi Kit	Mgmt	For	For	For
16	Elect LUO Jin Li as Supervisor	Mgmt	For	For	For
17	Elect ZONG Yi Xiang as Supervisor	Mgmt	For	For	For
18	Authority to Repurchase H Shares	Mgmt	For	For	For
19	Merger by Absorption of Wholly-Owned Subsidiaries	Mgmt	For	For	For
20	Amendments to Articles: Change in Business Scope	Mgmt	For	For	For

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### Gree Electric Appliances, Inc. of Zhuhai

Ticker	Security ID:	Meeting Date	Meeting Status
000651	CINS Y2882R102	03/08/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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### Gree Electric Appliances, Inc. of Zhuhai

Ticker	Security ID:	Meeting Date	Meeting Status
000651	CINS Y2882R102	05/18/2017	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Foreign Exchange Transactions	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For

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Gree Electric Appliances, Inc. of Zhuhai					
Ticker	Security ID:	Meeting Date	Meeting Status		
000651	CINS Y2882R102	10/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Asset Purchase	Mgmt	For	Against	Against
2	Transaction Price and Pricing Basis	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Offering Objects	Mgmt	For	Against	Against
5	Issuance Price and Pricing Basis	Mgmt	For	Against	Against
6	Stock Class and Par Value	Mgmt	For	Against	Against
7	Volume of Issuance	Mgmt	For	Against	Against
8	Distribution of New Shares	Mgmt	For	Against	Against
9	Registration of Issued Shares	Mgmt	For	Against	Against
10	Ownership Transfer and Responsibilities	Mgmt	For	Against	Against
11	Attribution of Loss or Gain of Underlying Assets	Mgmt	For	Against	Against
12	Lock-up Period	Mgmt	For	Against	Against
13	Listing Market	Mgmt	For	Against	Against
14	Arrangement for Cumulative Retained Profits	Mgmt	For	Against	Against
15	Arrangement of Performance Commitments and Compensation	Mgmt	For	Against	Against
16	Excess Earnings Incentive	Mgmt	For	Against	Against
17	Validity Period of Resolution	Mgmt	For	Against	Against

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18	Lock-up Period	Mgmt	For	Against	Against
19	Arrangement of Performance Commitments and Compensation	Mgmt	For	Against	Against
20	Method of Issuance	Mgmt	For	Against	Against
21	Stock Class and Par Value	Mgmt	For	Against	Against
22	Issuance Price and Pricing Basis	Mgmt	For	Against	Against
23	Amount of Supporting Funds	Mgmt	For	Against	Against
24	Volume of Share Issuance	Mgmt	For	Against	Against
25	Subscribers and Methods and Subscription	Mgmt	For	Against	Against
26	Use of Raising Supporting Funds	Mgmt	For	Against	Against
27	Lock-up Period	Mgmt	For	Against	Against
28	Listing Market	Mgmt	For	Against	Against
29	Arrangement for Cumulative Retained Profits	Mgmt	For	Against	Against
30	Validity Period	Mgmt	For	Against	Against
31	Amount of Supporting Fund	Mgmt	For	Against	Against
32	Volume of Share of Issuance	Mgmt	For	Against	Against
33	Subscribers and Method of Subscription	Mgmt	For	Against	Against
34	Use of Raising Supporting Funds	Mgmt	For	Against	Against
35	Proposal Regarding Whether Fund Raising Constitutes a Related Party Transaction	Mgmt	For	Against	Against
36	Draft of Asset Purchase via Share Issuance and Raising of Auxiliary Fund	Mgmt	For	Against	Against
37	Approval Transaction Does not Constitute a Back Door Listing	Mgmt	For	Against	Against
38	Conditional Agreements Regarding Acquisition	Mgmt	For	Against	Against
39	Signing of Conditional Agreements Regarding Share Offering and Compensation Agreements	Mgmt	For	Against	Against
40	Conditional Share Subscription Agreements with	Mgmt	For	Against	Against

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	Specific Objects				
41	Supplementary Agreement to Conditional Share Subscription Agreements	Mgmt	For	Against	Against
42	Conditional Share Subscription Agreements with Employee Stock Ownership Plan	Mgmt	For	Against	Against
43	Transactions Compliance with Article 4 of Provisions Concerning Significant Asset Restructuring	Mgmt	For	Against	Against
44	Eligibility for Related Conditions of Implementation Asset Purchase	Mgmt	For	Against	Against
45	Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	Against	Against
46	Approval of Audit Report, Appraisal Report and Profit Forecast Report	Mgmt	For	Against	Against
47	Statement Regarding Completeness and Compliance of Asset Purchase Documentation and Legal Procedure	Mgmt	For	Against	Against
48	Board Authorization to Implement Asset Purchase and Related Transactions	Mgmt	For	Against	Against
49	Amendment of Employee Stock Ownership Plan and its Summary	Mgmt	For	Against	Against
50	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against
51	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
52	Shareholder Return Plan	Mgmt	For	For	For
53	Amendments to Articles	Mgmt	For	For	For
54	Proposal on Feasibility Study	Mgmt	For	Against	Against

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	Report Concerning Investment Projects from Supporting Funds				
55	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

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Greenland Holdings Group Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
600606	CINS Y7686C102	02/17/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity Period	Mgmt	For	For	For	
2	Board Authorization for the Extension of Validity Period	Mgmt	For	For	For	

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Greenland Holdings Group Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
600606	CINS Y7686C102	05/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	Abstain	Against	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Approval of Investment Amount on Real Estate Project	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	Against	Against	
9	Elect XU Sunqing as Non-Independent Director	Mgmt	For	For	For	
10	Elect QUAN Zhuowei as Non-Independent Director	Mgmt	For	For	For	
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Greenland Holdings Group Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
600606	CINS Y7686C102	11/21/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Approval of Borrowing	Mgmt	For	For	For	

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GRG Banking Equipment Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		



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002152	CINS Y2889S103	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
10	Elect MO Dongcheng as Supervisor	Mgmt	For	Against	Against
11	Elect XING Liangwen as Independent Director	Mgmt	For	For	For
12	Elect HUANG Yuezhen as Non-Independent Director	Mgmt	For	For	For
13	Elect CHEN Chuntian as Non-Independent Director	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000712	CINS Y2930D105	01/09/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Business Scope	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
4	Participant in Investment and Setup of Insurance Company	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000712	CINS Y2930D105	07/04/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LAN Yongqiang	Mgmt	For	For	For

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Guangdong Golden Dragon Development Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000712	CINS Y2930D105	09/12/2016		Voted	

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Line of Credit	Mgmt	For	For	For
2	Application for Loan	Mgmt	For	For	For

Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	02/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017 INVESTMENT FRAMEWORK AND FINANCING PLAN	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Related Party Transactions	Mgmt	For	For	For
4	Elect SUN Jian as Independent Director	Mgmt	For	For	For

Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	05/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against

Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	06/21/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HAN Shifa	Mgmt	For	Against	Against
2	Elect HU Jinsong	Mgmt	For	For	For
3	Elect LIN Faxian	Mgmt	For	Against	Against
4	Elect LIU Changjin	Mgmt	For	For	For
5	Elect LI Bingxue	Mgmt	For	For	For
6	Elect SONG Dongsheng	Mgmt	For	For	For
7	Elect WANG Jianjun	Mgmt	For	For	For
8	Elect ZHAO Qiang	Mgmt	For	For	For

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9	Elect HU Benyuan	Mgmt	For	For	For
10	Elect MA Fengyun	Mgmt	For	Against	Against
11	Elect SUN Jian	Mgmt	For	For	For
12	Elect ZHANG Weimin	Mgmt	For	For	For
13	Elect LI Jianghong	Mgmt	For	For	For
14	Elect LIANG Xiao	Mgmt	For	For	For
15	Elect WANG Tao	Mgmt	For	Against	Against
16	Elect YIN Dongmei	Mgmt	For	Against	Against
17	Eligibility for Private Placement	Mgmt	For	For	For
18	Size of Issuance	Mgmt	For	For	For
19	Amount and Use of Proceeds	Mgmt	For	For	For
20	Preliminary Plan for Private Placement (2nd Draft)	Mgmt	For	For	For
21	Feasibility Report for Use of Proceeds (2nd Draft)	Mgmt	For	For	For
22	Dilution Measures for Private Placement	Mgmt	For	For	For
23	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	09/09/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Elect SHE Zhongguo	Mgmt	For	Against	Against

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Guanghui Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600256	CINS Y9724D100	12/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Extension of Validity of Resolution on Private Placement	Mgmt	For	For	For
3	Board Authorization to Implement 2015 Private Placement	Mgmt	For	For	For
4	Elect LIN Faxian as Director	Mgmt	For	Against	Against

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Guangshen Railway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
0525	CINS Y2930P116	06/15/2017	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect WU Yong	Mgmt	For	Against	Against
8	Elect Hu Lingling	Mgmt	For	Against	Against
9	Elect LUO Qing	Mgmt	For	For	For
10	Elect SUN Jing	Mgmt	For	For	For
11	Elect YU Zhiming	Mgmt	For	For	For
12	Elect CHEN Jianping	Mgmt	For	For	For
13	Elect CHEN Song	Mgmt	For	For	For
14	Elect JIA Jianmin	Mgmt	For	For	For
15	Elect WANG Yunting	Mgmt	For	For	For
16	Elect LIU Mengshu	Mgmt	For	Against	Against
17	Elect CHEN Shaohong	Mgmt	For	For	For
18	Elect SHEN Jiancong	Mgmt	For	For	For
19	Elect LI Zhiming	Mgmt	For	Against	Against
20	Directors' and Supervisors' Fees	Mgmt	For	For	For
21	Amendments to Articles of Association	Mgmt	For	For	For

Guangshen Railway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0525	CINS Y2930P116	12/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Comprehensive Services Framework Agreement	Mgmt	For	For	For

Guangxi Wuzhou Zhongheng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600252	CINS Y2930E103	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Investment Management Plan	Mgmt	For	For	For
8	Approval of Donations	Mgmt	For	For	For

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	in 2017					
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
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Guangxi Wuzhou Zhongheng Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600252	CINS Y2930E103	09/08/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Cancellation of Authority to Sell Shares of Sealand Securities Co., Ltd. After End of Lock-up Period	Mgmt	For	For	For	For
2	Amendments to Articles	Mgmt	For	For	For	For
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Guangxi Wuzhou Zhongheng Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600252	CINS Y2930E103	09/29/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Related Party Transactions: Joint Investment in Establishment of Company	Mgmt	For	For	For	For
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Guangxi Wuzhou Zhongheng Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600252	CINS Y2930E103	12/27/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect RONG Xianbo	Mgmt	For	For	For	For
2	Elect OUYANG Jingbo	Mgmt	For	For	For	For
3	Elect CUI Weiwei	Mgmt	For	For	For	For
4	Elect LI Xiaoqiang	Mgmt	For	For	For	For
5	Elect CHEN Ming	Mgmt	For	For	For	For
6	Elect FU Wenfa	Mgmt	For	For	For	For
7	Elect WANG Hua	Mgmt	For	For	For	For
8	Elect WANG Zhengtao	Mgmt	For	For	For	For
9	Elect XIE Shisong	Mgmt	For	For	For	For
10	Elect LIU Mingliang as Supervisor	Mgmt	For	For	For	For
11	Elect CHEN Haibo as Supervisor	Mgmt	For	Against	Against	Against
<hr/>						
Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
0874	CINS Y2932P114	06/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Financial Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget Report	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Application for Bank Loans	Mgmt	For	For	For
12	Approval of Entrusted Loans	Mgmt	For	For	For
13	Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For
14	Utilization of Proceeds from Internal Fund	Mgmt	For	For	For
15	Continuing Connected Transactions for 2017	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
17	Elect LI Chuyuan	Mgmt	For	For	For
18	Elect CHEN Mao	Mgmt	For	For	For
19	Elect LIU Juyan	Mgmt	For	For	For
20	Elect CHENG Ning	Mgmt	For	For	For
21	Elect NI Yidong	Mgmt	For	Against	Against
22	Elect WU Changhai	Mgmt	For	Against	Against
23	Elect WANG Wenchu	Mgmt	For	For	For
24	Elect CHU Xiaoping	Mgmt	For	For	For
25	Elect JIANG Wenqi	Mgmt	For	For	For
26	Elect WONG Hin Wing	Mgmt	For	For	For
27	Elect WANG Weihong	Mgmt	For	For	For
28	Elect XIAN Jiexiong	Mgmt	For	Against	Against
29	Elect GAO Yanzhu	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
0874	CINS Y2932P114	11/11/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Authority to Declare Special Dividends	Mgmt	For	For	For	

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Guangzhou Development Group Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600098	CINS Y29312108	09/14/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	01/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Addition of Vice Chairman Position and Amendments to Articles	Mgmt	For	For	For
2	Elect GU Yuanqin as Director	Mgmt	For	For	For

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Extension of Performance Period and Increase of Performance Commitments	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	07/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Haizhou	Mgmt	For	For	For
2	Elect CHEN Huasheng	Mgmt	For	For	For
3	Elect HUANG Yuezheng	Mgmt	For	For	For
4	Elect YU Qingsong	Mgmt	For	For	For
5	Elect BAI Ziwu	Mgmt	For	For	For
6	Elect YANG Wenfeng	Mgmt	For	For	For
7	Elect LI Fei	Mgmt	For	For	For

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8	Elect LI Jinyi	Mgmt	For	For	For
9	Elect WAN Liangyong	Mgmt	For	For	For
10	Elect ZHU Lixin	Mgmt	For	For	For
11	Elect MO Dongcheng	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	11/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compliance of Asset Acquisition with the Relevant Laws and Regulations	Mgmt	For	For	For
2	Scheme for Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Overall Plan	Mgmt	For	For	For
3	Scheme for Assets Acquisition Via Share Offering and Cash: Transaction Counterpart	Mgmt	For	For	For
4	Scheme for Assets Acquisition Via Share Offering and Cash: Underlying Assets	Mgmt	For	For	For
5	Scheme for Assets Acquisition Via Share Offering and Cash: Transaction Price of Underlying Assets	Mgmt	For	For	For
6	Scheme for Assets Acquisition Via Share Offering and Cash: Payment Method	Mgmt	For	For	For
7	Scheme for Assets Acquisition Via Share Offering and Cash: Cash Payment Arrangement	Mgmt	For	For	For
8	Scheme for Assets Acquisition Via Share	Mgmt	For	For	For



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	Offering and Cash: Share Offering Arrangement				
9	Scheme for Assets Acquisition Via Share Offering and Cash: Arrangement for Lock-Up Period	Mgmt	For	For	For
10	Scheme for Assets Acquisition Via Share Offering and Cash: Performance Commitment	Mgmt	For	For	For
11	Scheme for Assets Acquisition Via Share Offering and Cash: Performance Compensation	Mgmt	For	For	For
12	Scheme for Assets Acquisition Via Share Offering and Cash: Ending Impairment Test	Mgmt	For	For	For
13	Scheme for Assets Acquisition Via Share Offering and Cash: Performance-based Award	Mgmt	For	For	For
14	Scheme for Assets Acquisition Via Share Offering and Cash: Accumulated Retained Profits of Prior Years	Mgmt	For	For	For
15	Scheme for Assets Acquisition Via Share Offering and Cash: Arrangement of Profits and Losses During Transitional Period	Mgmt	For	For	For
16	Share Offering to Specific Parties for Auxiliary Funds Raising: Amount of Funds to Be Raised	Mgmt	For	For	For
17	Share Offering to Specific Parties for Auxiliary Funds Raising: Use of Proceeds	Mgmt	For	For	For
18	Share Offering to Specific Parties for Auxiliary Funds Raising: Issuance Targets	Mgmt	For	For	For
19	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share	Mgmt	For	For	For

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	Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Stock Type and Par Value				
20	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Issuing Price and Pricing Basis	Mgmt	For	For	For
21	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Issuing Volume	Mgmt	For	For	For
22	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Arrangement for Lock-Up Period	Mgmt	For	For	For
23	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Disposal of Accumulated Retained Profits	Mgmt	For	For	For
24	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Listing Place	Mgmt	For	For	For
25	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and	Mgmt	For	For	For

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	Auxiliary Funds Raising: Validity of Resolution				
26	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
27	Transaction Constituting Related Party Transactions	Mgmt	For	For	For
28	Agreements Signing In Relation To Transaction	Mgmt	For	For	For
29	Report on Assets Acquisition and Related Party Transactions	Mgmt	For	For	For
30	Approval of Dilution Measures for Assets Acquisition	Mgmt	For	For	For
31	Board Authorization to Implement Assets Acquisition	Mgmt	For	For	For

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	12/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-Term Commercial Papers	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Arrangement For Placement to Shareholders	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Issuance Method	Mgmt	For	For	For
7	Bond Duration	Mgmt	For	For	For
8	Interest Rate and Its Method of Determination	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Guarantees	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Eligibility For Issuance of Corporate Bonds	Mgmt	For	For	For
14	Board Authority For Issuance of Corporate Bonds	Mgmt	For	For	For

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Guizhou Bailing Group Pharmaceutical Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002424	CINS Y2945M108	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Elect Non-independent Director: JIANG Wei	Mgmt	For	Against	Against
9	Elect Non-independent Director: JIANG Yong	Mgmt	For	For	For
10	Elect Non-independent Director: NIU Min	Mgmt	For	Against	Against
11	Elect Non-independent Director: CHEN Pei	Mgmt	For	For	For
12	Elect Non-independent Director: KUANG Xunhua	Mgmt	For	Against	Against
13	Elect Independent Director: WANG Hong	Mgmt	For	For	For
14	Elect Independent Director: LU Jing	Mgmt	For	For	For
15	Elect Independent Director: ZOU Haifeng	Mgmt	For	For	For
16	Elect Independent Director: ZHONG Guoyue	Mgmt	For	For	For
17	Elect WANG Xiaodong	Mgmt	For	Against	Against
18	Elect XIA Wen	Mgmt	For	For	For
19	Elect LI Huifang	Mgmt	For	Against	Against

Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions with Shenzhen Investment Holdings Co.,Ltd. and	Mgmt	For	For	For

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	its controlled companies				
7	Related Party Transactions with China Resources SZITIC Trust Co., Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Hongta Tobacco (Group) Co., Ltd. and Yunnan Hehe (Group) Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Penghua Fund Management Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Other Entities	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Approval of Size of Investment in 2017	Mgmt	For	For	For
14	Extension of Validity of Resolution on Issuance of Shares	Mgmt	For	For	For
15	Extension of Board Authorization on Issuance of Shares	Mgmt	For	For	For
16	Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status	
002736	CINS Y295A2103	12/23/2016	Voted	
Meeting Type		Country of Trade		
Special		China		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast For/Against Mgmt
1	Election of Independent Director	Mgmt	For	For For

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Guotai Junan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601211	CINS Y2R614107	03/13/2017	Voted
Meeting Type		Country of Trade	
Annual		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	International Group and Affiliated Entities	Mgmt	For	For	For
7	Shenzhen Investment Holdings and Affiliated Entities	Mgmt	For	For	For
8	Affiliated Entities of Directors, Supervisors and Senior Executives	Mgmt	For	For	For
9	Affiliated Natural Persons	Mgmt	For	For	For
10	Extensions of Validity of Resolution and Board Authorization to Implement Issuance of Corporate Bonds	Mgmt	For	For	For
11	Increase in External Donations	Mgmt	For	For	For

Guotai Junan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601211	CINS Y2R614107	10/24/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility to Create New Share Class	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Issuance Date	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Pricing Method	Mgmt	For	For	For
7	Issuance Targets	Mgmt	For	For	For
8	Offering Principals	Mgmt	For	For	For
9	Transfer of State-owned Shares	Mgmt	For	For	For
10	Change in Company Mode	Mgmt	For	For	For
11	Report on Previously Raised Funds	Mgmt	For	For	For
12	Plan for Raised Funds through New Share Class Creation	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Board Authorization to	Mgmt	For	For	For

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	Implement New Share Class Creation				
15	Scheme for Distribution of Accumulated Retained Profits Before New Share Class Creation	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For
17	Amendment to Procedural Rules: Shareholder General Meetings	Mgmt	For	For	For
18	Amendment to Procedural Rules: Board Meetings	Mgmt	For	For	For
19	Amendments to Procedural Rules: Supervisors Committee	Mgmt	For	For	For
20	Indemnification of Directors/Officers	Mgmt	For	For	For
21	Elect LIU Ying as Director	Mgmt	For	For	For
22	Elect LI Gangwei Independent Director	Mgmt	For	For	For

Guoxuan High-tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	04/07/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds in 2016	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect CAO Jianshe	Mgmt	For	For	For
13	Elect Steven CAI as Director	Mgmt	For	For	For
14	Elect Lei GUANG as Director	Mgmt	For	For	For

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15	Termination of Private Placement	Mgmt	For	For	For
16	Eligibility for Issuance of Shares w/ Preemptive Rights	Mgmt	For	Against	Against
17	Stock Type and Par Value	Mgmt	For	Against	Against
18	Issuance Method	Mgmt	For	Against	Against
19	Basis, Ratio and Amount of Issuance	Mgmt	For	Against	Against
20	Pricing Principle and Issuance Price	Mgmt	For	Against	Against
21	Issuance Targets	Mgmt	For	Against	Against
22	Allocation Plan of Accumulated Undistributed Profits	Mgmt	For	Against	Against
23	Issuance Time	Mgmt	For	Against	Against
24	Subscription Method	Mgmt	For	Against	Against
25	Use of Proceeds	Mgmt	For	Against	Against
26	Validity of Resolution	Mgmt	For	Against	Against
27	Trading and Circulation of Bonds	Mgmt	For	Against	Against
28	Preliminary Plan for Share Issuance	Mgmt	For	Against	Against
29	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
30	Report on Use of Previously Raised Funds	Mgmt	For	For	For
31	Indemnification Arrangements and Commitment for Diluted Current Earnings	Mgmt	For	Against	Against
32	Board Authorization to Implement Share Issuance	Mgmt	For	Against	Against
33	Shareholder Returns Plan	Mgmt	For	For	For

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Guoxuan High-tech Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	03/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Adoption of Administrative Measures for External Guarantees	Mgmt	For	For	For
3	Amendments to Procedural Rules for Director	Mgmt	For	Abstain	Against



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4	Meetings Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
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Guoxuan High-tech Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F102	12/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Zhen	Mgmt	For	For	For
2	Elect HU Jianglin	Mgmt	For	For	For
3	Elect WANG Yong	Mgmt	For	For	For
4	Elect QIU Weidong	Mgmt	For	For	For
5	Elect YANG Xulai	Mgmt	For	For	For
6	Elect WANG Zhitai	Mgmt	For	For	For
7	Elect SHENG Yang	Mgmt	For	For	For
8	Elect QIAO Yun	Mgmt	For	For	For
9	Elect PENG Ming as Supervisor	Mgmt	For	For	For
10	Elect LI Yan as Supervisor	Mgmt	For	Against	Against

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Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	02/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance Size and Method	Mgmt	For	For	For
2	Issuance Targets	Mgmt	For	For	For
3	Interest Rate and Its Determination Method	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Principles and Interest Repayments	Mgmt	For	For	For
6	Redemption or Resale Provision	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Listing Exchange	Mgmt	For	For	For
9	Guarantee Matters	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Authorization Matters	Mgmt	For	For	For
13	Issuance Size and Method	Mgmt	For	For	For
14	Issuance Targets	Mgmt	For	For	For
15	Arrangement for Placement to Shareholders	Mgmt	For	For	For
16	Interest Rate and Its Determination Method	Mgmt	For	For	For

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17	Bond Duration	Mgmt	For	For	For
18	Use of Raised Funds	Mgmt	For	For	For
19	Method of Repayment	Mgmt	For	For	For
20	Listing Market	Mgmt	For	For	For
21	Redemption or Resale Provisions	Mgmt	For	For	For
22	Repayment Guarantee Measures	Mgmt	For	For	For
23	Validity Period of Resolution	Mgmt	For	For	For
24	Authorization Matters	Mgmt	For	For	For

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Remuneration and Appraisal of Directors	Mgmt	For	For	For
8	Remuneration and Appraisal of Supervisors	Mgmt	For	For	For
9	Remuneration and Appraisal of Management Officers	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000728	CINS Y0771H102	07/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Relationship between Subscriber and Issuer	Mgmt	For	For	For
5	Size of Issuance and Amount of Raised Funds	Mgmt	For	For	For
6	Issuance Price and Pricing Principal	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For

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8	Purpose of Raised Funds	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Distribution of Accumulated Retained Profits	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preliminary Plan for Private Issuance	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
14	Conditional Share Subscription Agreements	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
17	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000728	CINS Y0771H102	08/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Revision of Employee Stock Ownership Plan	Mgmt	For
			For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For
			For
			For

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000728	CINS Y0771H102	09/09/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For
			For
			For

Guoyuan Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000728	CINS Y0771H102	12/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt
1	Non-Independent Director: CAI Yong	Mgmt	For	For	For
2	Non-Independent Director: XU Bin	Mgmt	For	For	For
3	Non-Independent Director: YU Shixin	Mgmt	For	For	For
4	Non-Independent Director: ZHANG Yan	Mgmt	For	For	For
5	Non-Independent Director: BAO Xianghua	Mgmt	For	For	For
6	Non-Independent Director: CHEN Yanhua	Mgmt	For	For	For
7	Non-Independent Director: ZHANG Feifei	Mgmt	For	For	For
8	Independent Director: REN Mingchuan	Mgmt	For	For	For
9	Independent Director: LU Wei	Mgmt	For	For	For
10	Independent Director: YANG Mianzhi	Mgmt	For	For	For
11	Independent Director: ZHOU Shihong	Mgmt	For	For	For
12	Elect ZHU Chuheng	Mgmt	For	Against	Against
13	Elect WU Fusheng	Mgmt	For	Against	Against
14	Elect XU Yuliang	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	04/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees to Controlled	Mgmt	For	Against	Against

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	Subsidiaries				
10	Authority to Give Guarantees to Related Parties	Mgmt	For	Against	Against
11	Introduction Plan for Planes	Mgmt	For	For	For
12	Independent Directors' Report	Mgmt	For	For	For
13	Appointment of Internal Control Auditor	Mgmt	For	For	For
14	Issuance of Medium-term Notes	Mgmt	For	For	For
15	Issuance of Super and Short-term Commercial Papers	Mgmt	For	For	For
16	Report on Selling Planes	Mgmt	For	For	For
17	Increase in Registered Capital	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	06/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Fei as Non-Independent Director	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	07/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Resolution for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization for Private Placement	Mgmt	For	For	For
3	Fortune Wings Points Purchase Agreement	Mgmt	For	For	For
4	Exclusive Franchise Agreement for Non-airline Business of Fortune Wings Club	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	08/03/2016	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture	Mgmt	For	For	For
2	Capital Increase In Subsidiary	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	10/18/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Super and Short Term Commercial Paper	Mgmt	For	For	For
2	Signing of General Contract	Mgmt	For	For	For
3	Subsidiary Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For
4	Cancellation of Investment Plan	Mgmt	For	For	For
5	Capital Injection	Mgmt	For	Abstain	Against
6	Amendments to Articles	Mgmt	For	For	For

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	11/23/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Related Party Transactions	Mgmt	For	For	For
2	Elect XIE Haoming	Mgmt	For	For	For
3	Elect MOU Weigang	Mgmt	For	Against	Against
4	Elect WANG Shaoping	Mgmt	For	For	For
5	Elect SUN Jianfeng	Mgmt	For	For	For
6	Elect XU Jingzhang	Mgmt	For	For	For
7	Elect DENG Tianlin	Mgmt	For	For	For
8	Elect LIN Zeming	Mgmt	For	For	For
9	Elect LI Jing	Mgmt	For	For	For
10	Elect FENG Jun	Mgmt	For	Against	Against
11	Elect GENG Lei	Mgmt	For	Against	Against

Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600221	CINS Y2981E119	12/13/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Issuance of Bonds By Yunnan Xiang Peng Aviation Limited	Mgmt	For	For	For

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	Liability Company				
3	Issuance of Medium-term Notes By Chang'an Aviation Company Limited	Mgmt	For	For	For
4	Sale of Assets	Mgmt	For	For	For
5	Establishment of Joint Venture	Mgmt	For	For	For
6	Capital Increase in China Xinhua Airlines Group Co., Ltd.	Mgmt	For	For	For
7	Capital Increase in Chang'an Aviation Company Limited	Mgmt	For	For	For
8	Investment in Establishment of Chuangyuan Cabin Service Investment Partnership	Mgmt	For	For	For
9	Issuance of Medium-term Notes by Yunnan Xiang Peng Aviation Limited Liability Company	Mgmt	For	For	For

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Hainan Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600221	CINS Y2981E119	12/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Size of Issuance	Mgmt	For	For	For
2	Issuance Targets and Method	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Placement to Shareholders	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Payment of Principal and Interests	Mgmt	For	For	For
8	Guarantees	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Redemption Or Resale Provisions	Mgmt	For	For	For
11	Listing Arrangement	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Authorizations	Mgmt	For	For	For
15	Capital Increase and Share Expansion in a Subsidiary	Mgmt	For	For	For

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Haitong Securities Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
6837	CINS Y7443K108	06/06/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assured Entitlement to Holders of H Shares of the Company for the Spin-off and Overseas Listing of Haitong UniTrust International Leasing Corporation	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Haitong Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
6837	CINS Y7443K108	06/06/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Investment Asset Allocation	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Overseas Listing of Haitong UniTrust International Leasing Corporation	Mgmt	For	For	For
11	Issuer	Mgmt	For	For	For
12	Place of Listing	Mgmt	For	For	For
13	Type of Shares to be Issued	Mgmt	For	For	For
14	Par Value	Mgmt	For	For	For
15	Target Subscribers	Mgmt	For	For	For
16	Timing of Issuance	Mgmt	For	For	For
17	Method of Issuance	Mgmt	For	For	For
18	Size of Issuance	Mgmt	For	For	For
19	Pricing Methodology	Mgmt	For	For	For
20	Application for Outstanding Unlisted Foreign Shares to be Converted to H Shares	Mgmt	For	For	For
21	Maintain Independent Listing Status after Listing of Haitong UniTrust	Mgmt	For	For	For



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22	International Leasing Corporation Prospect of Sustainable Profitability	Mgmt	For	For	For
23	Ratification of Board Acts in Relating to Listing	Mgmt	For	For	For
24	Assured Entitlement to Holders of H Shares of the Company for the Spin-off and Overseas Listing of Haitong UniTrust	Mgmt	For	For	For
25	International Leasing Corporation Amendments to Articles; the rule of Procedure for Board Meeting and the Rules of Procedure for Supervisory Committee	Mgmt	For	For	For
26	Authority to Issue A/H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
27	Elect WU Yuezhou	Mgmt	For	For	For
<hr/>					
Haitong Securities Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
6837	CINS Y7443K108	09/23/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect ZHOU Jie	Mgmt	For	For	For
3	Elect XU Jianguo	Mgmt	For	For	For
4	Amendments to Articles and Rules of Procedure for Shareholders' General Meetings	Mgmt	For	For	For
5	Type	Mgmt	For	For	For
6	Term	Mgmt	For	For	For
7	Interest Rate	Mgmt	For	For	For
8	Issuer, Issue Size and Issue Method	Mgmt	For	For	For
9	Issue Price	Mgmt	For	For	For
10	Security and Other Credit Enhancement Arrangements	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Issue Target and Arrangements on Placement	Mgmt	For	For	For
13	Guarantee Measures for Repayment	Mgmt	For	For	For
14	Listing of Debt	Mgmt	For	For	For

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	Financing Instruments				
15	Validity Period of Resolution	Mgmt	For	For	For
16	Authorisation for Issuance of Offshore Debt Financing Instruments	Mgmt	For	For	For
17	Elect LAM Lee G.	Mgmt	For	Against	Against

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Security Type	Mgmt	For	For	For
3	Issuance Size	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Duration of Convertible Bonds	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Term and Method for Repayment of Principal and Interest	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination of Conversion Shares when the Number Less Than One Share	Mgmt	For	For	For
10	Determination and	Mgmt	For	For	For

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	Adjustment to Conversion Price					
11	Downward Adjustment Clause to the Conversion Price	Mgmt	For	For	For	
12	Redemption Clause	Mgmt	For	For	For	
13	Sale-back Clause	Mgmt	For	For	For	
14	Attribution of Stock Dividend during the Conversion Year	Mgmt	For	For	For	
15	Issuance Method and Targets	Mgmt	For	For	For	
16	Arrangement for Original Shareholders	Mgmt	For	For	For	
17	Bondholder Meetings	Mgmt	For	For	For	
18	Use of Proceeds	Mgmt	For	For	For	
19	Guarantees	Mgmt	For	For	For	
20	Accounts for Raised Funds	Mgmt	For	For	For	
21	Validity of Resolution	Mgmt	For	For	For	
22	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	
23	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
24	Dilution Measures for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	
25	Constitution of Procedural Rules for Bondholder Meetings	Mgmt	For	For	For	
26	No Requirement to Compile Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	
27	Shareholder Returns Plan	Mgmt	For	For	For	
28	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	
29	Joint Venture	Mgmt	For	Abstain	Against	
30	Elect LIU Ning	Mgmt	For	For	For	
31	Elect XIE Jiawei	Mgmt	For	For	For	

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002008	CINS Y3063F107	10/31/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect GAO Yunfeng	Mgmt	For
			Vote Cast
			For
			For/Against
			Mgmt
			For

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2	Elect ZHANG Jianqun	Mgmt	For	For	For
3	Elect LU Qitao	Mgmt	For	For	For
4	Elect MA Shengli	Mgmt	For	For	For
5	Elect HU Dianjun	Mgmt	For	For	For
6	Elect FAN Jianping	Mgmt	For	Against	Against
7	Elect HUANG Yaying	Mgmt	For	Against	Against
8	Elect QIU Daliang	Mgmt	For	Against	Against
9	Elect GUO Jinlong	Mgmt	For	Against	Against
10	Elect WANG Lei	Mgmt	For	For	For
11	Elect CHEN Junya	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For

Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	CINS Y3038Z105	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002415	CINS Y3038Z105	12/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HONG Tianfeng	Mgmt	For	For	For
2	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
3	Authorization for the Board of Directors to Implement Commercial Paper Issuance	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Increase in Comprehensive Credit for 2016	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	For	For

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7	Financial Service Agreement with CETC Finance Co., Ltd.	Mgmt	For	Against	Against
8	Participants and Basis for Selection	Mgmt	For	For	For
9	Incentive Instruments and Source, Type and Volume of Target Stock	Mgmt	For	For	For
10	Allocation of Restricted Stock	Mgmt	For	For	For
11	Granting of Restricted Stocks	Mgmt	For	For	For
12	Unlocking of Restricted Stock	Mgmt	For	For	For
13	Conditions for Granting and Unlocking	Mgmt	For	For	For
14	Adjustment Methods and Procedures for Restricted Stock	Mgmt	For	For	For
15	Earnings of Incentive Participants	Mgmt	For	For	For
16	Obligations and Rights of the Company and Incentive Participants	Mgmt	For	For	For
17	Treatment Under Special Conditions	Mgmt	For	For	For
18	Impact on Company Operating Performance	Mgmt	For	For	For
19	Formulation, Approval, Revision and Termination of Plan	Mgmt	For	For	For
20	Revised Draft and Summary	Mgmt	For	For	For
21	Constitution of Procedural Rules: Appraisal Rules for Implementation of Restricted Stock Plan	Mgmt	For	For	For
22	Authorization to Board of Directors to Implement Restricted Stock Plan	Mgmt	For	For	For

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Henan Shuanghui Investment and Development Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000895 CINS Y3121R101 04/27/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against

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					Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

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Henan Shuanghui Investment and Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000895	CINS Y3121R101	09/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Financial Service Agreement	Mgmt	For	For	For
4	Waiver of Mandatory Takeover Requirements	Mgmt	For	For	For

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Hesteel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	01/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	Against	Against

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Hesteel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000709	CINS Y85343104	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Daily Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Removal of WANG Hongren from the Board of Directors	Mgmt	For	For	For
9	Elect WANG Xindong as Director	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules for	Mgmt	For	Abstain	Against

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Shareholder  
Meetings

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Hesteel Company Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	09/02/2016		Voted	
000709	CINS Y85343104	Country of Trade		China	
Meeting Type		Country of Trade		China	
Special		Country of Trade		China	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Issuance Targets and Method	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Interest Rate and its Determination Method	Mgmt	For	For	For
6	Purpose of Raised Funds	Mgmt	For	For	For
7	Underwriting Method	Mgmt	For	For	For
8	Listing Place	Mgmt	For	For	For
9	Guarantees Clauses	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Board Authorization	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For

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Hesteel Company Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	10/10/2016		Voted	
000709	CINS Y85343104	Country of Trade		China	
Meeting Type		Country of Trade		China	
Special		Country of Trade		China	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Green Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value and Size of Issuance	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Interest Rate and Repayment of Principal and Payment of Interest	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Guarantee Arrangement	Mgmt	For	For	For
7	Purpose of Raised Funds	Mgmt	For	For	For
8	Issuance Targets	Mgmt	For	For	For
9	Underwriting Method and Listing Arrangement	Mgmt	For	For	For
10	Company Credit and Guarantee Measures for Debt	Mgmt	For	For	For
11	Board Authorization	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For

Hesteel Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status
000709	CINS Y85343104	11/16/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Termination of Implementation of Private Placement Scheme 2015	Mgmt	For
			For
			For

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Hisense Electric Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
600060	CINS Y32263108	06/29/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Annual Report	Mgmt	For
			For
2	Directors' Report	Mgmt	For
			For
3	Supervisors' Report	Mgmt	For
			For
4	Accounts and Reports	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	Related Party Transactions	Mgmt	For
			Against
			Against
7	Appointment of Auditor	Mgmt	For
			For
8	Approval of Entrusted Wealth Management	Mgmt	For
			For
9	Liability Insurance	Mgmt	For
			For
10	Amendments to Articles	Mgmt	For
			For
11	Related Party Transactions with Haixin Kelong	Mgmt	For
			For
12	Elect LIU Xin as Supervisor	Mgmt	For
			Against
			Against
13	Non-Voting Meeting Note	N/A	N/A
			N/A
			N/A

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Hisense Electric Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
600060	CINS Y32263108	09/13/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Increase in Entrusted Wealth Management	Mgmt	For
			For
			For

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Hithink RoyalFlush Information Network Co., Ltd			
Ticker	Security ID:	Meeting Date	Meeting Status
300033	CINS Y3181P102	01/16/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	SECURITIES INVESTMENT WITH IDLE SELF-OWNED FUNDS	Mgmt	For
			For
			For



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2 DERIVATIVES INVESTMENT Mgmt For For For  
WITH IDLE SELF-OWNED  
FUNDS

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Hithink RoyalFlush Information Network Co., Ltd  
 Ticker Security ID: Meeting Date Meeting Status  
 300033 CINS Y3181P102 03/03/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Hithink RoyalFlush Information Network Co., Ltd  
 Ticker Security ID: Meeting Date Meeting Status  
 300033 CINS Y3181P102 12/21/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	YI Zheng	Mgmt	For	For	For
2	YE Qiongjiu	Mgmt	For	For	For
3	WU Qiang	Mgmt	For	For	For
4	ZHU Feng	Mgmt	For	For	For
5	WANG Jin	Mgmt	For	For	For
6	YU Haomiao	Mgmt	For	For	For
7	YAO Xianguo	Mgmt	For	For	For
8	LIU Lijian	Mgmt	For	For	For
9	YU Erniu	Mgmt	For	For	For
10	GUO Xin	Mgmt	For	Against	Against
11	XIA Wei	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Hua Xia Bank Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600015 CINS Y37467118 03/29/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Capital Injection for Huaxia Financial Leasing Co., Ltd.	Mgmt	For	For	For
2	LIN Zhiyong	Mgmt	For	For	For
3	ZHANG Wei	Mgmt	For	For	For
4	LI Minji	Mgmt	For	For	For
5	ZHANG Jianhua	Mgmt	For	For	For

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Hua Xia Bank Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 600015 CINS Y37467118 05/24/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2016 Bonus Issue from Capital Reserve	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Abstain	Against
9	Approval of Credit Line for Shougang Corporation and its related parties	Mgmt	For	For	For
10	Approval of Credit Line for People's Insurance Company of China and its related parties	Mgmt	For	For	For
11	Approval of Credit Line for Yingda International Holdings Group and its related parties	Mgmt	For	For	For
12	Approval of Credit Line for Huaxia Financial Leasing Co., Ltd.	Mgmt	For	For	For
13	Evaluation Report on the Performance of the Board and its Members by the Supervisory Committee	Mgmt	For	For	For
14	Evaluation Report on the Performance of Supervisors by the Supervisory Committee	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Amendments to Procedural Rules of Board Meetings	Mgmt	For	For	For

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Huadian Power International Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1071	CINS Y3738Y119	10/25/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect ZHAO Jianguo	Mgmt	For	For	For	

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Huadian Power International Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
1071	CINS Y3738Y119	12/29/2016		Voted		

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Purchase of Coal from China Huadian	Mgmt	For	For	For	
3	Provision of Engineering Equipments, Systems, Products and Engineering and Construction Contracting Projects	Mgmt	For	For	For	
4	Sale of Coal and Provision of Services	Mgmt	For	For	For	
5	Elect TIAN Hongbao	Mgmt	For	For	For	

Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000963	CINS Y3740R102	04/27/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	China Grand Enterprises	Mgmt	For	For	For	
7	Huadong Group and Other Parties	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Authority to Give Guarantees	Mgmt	For	For	For	
10	External Directors' and Independent Directors' Fees	Mgmt	For	For	For	
11	Supervisors' Fees	Mgmt	For	For	For	
12	Amendments to Articles	Mgmt	For	For	For	
13	Elect YANG Lan as Independent Director	Mgmt	For	For	For	
14	Elect ZHU Liang as Supervisor	Mgmt	For	Against	Against	

Huadong Medicine Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000963	CINS Y3740R102	12/05/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect KANG Wei as Director	Mgmt	For	For	For	

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Hualan Biological Engineering, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
002007	CINS Y3737H125	04/27/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Investment in Wealth Management Products	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules: Shareholder Meeting	Mgmt	For	Abstain	Against
11	Elect MA Chaoyuan	Mgmt	For	Against	Against
12	Elect CAI Linlin	Mgmt	For	For	For

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Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
0902	CINS Y3744A113	11/30/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For

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Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6886	CINS Y37426106	06/21/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Related Party Transactions (Jiangsu Guoxin Investment Group)	Mgmt	For	For	For
8	Related Party Transactions (Jiangsu Communications Holding Co. Ltd)	Mgmt	For	For	For
9	Related Party Transactions (Other	Mgmt	For	For	For

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	Parties)					
10	2017 Proprietary Investment Budget	Mgmt	For	For	For	
11	Elect XU Feng	Mgmt	For	For	For	
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
13	Revision of Working System (Independent Directors)	Mgmt	For	For	For	
14	Authority to Give Guarantees	Mgmt	For	For	For	
15	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For	
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
17	Compliance of Issuance of A Shares	Mgmt	For	For	For	
18	Type and nominal value	Mgmt	For	For	For	
19	Method and time of issuance	Mgmt	For	For	For	
20	Number of issuance	Mgmt	For	For	For	
21	Issuance price and pricing principle	Mgmt	For	For	For	
22	Target subscribers and method of subscription	Mgmt	For	For	For	
23	Lock-up period	Mgmt	For	For	For	
24	Amount and use of proceeds	Mgmt	For	For	For	
25	Distribution of profit	Mgmt	For	For	For	
26	Listing of A Shares	Mgmt	For	For	For	
27	Validity period	Mgmt	For	For	For	
28	Feasibility Analysis on Use of Proceeds	Mgmt	For	For	For	
29	Report on Use of Proceeds of Previous Issuance	Mgmt	For	For	For	
30	Dilution of Current Returns and Remedial Measures	Mgmt	For	For	For	
31	Authority to Issue Debt Instruments	Mgmt	For	For	For	
32	Ratification of Board Acts	Mgmt	For	For	For	
33	Amendments to Articles	Mgmt	For	For	For	
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6886	CINS Y37426106	09/13/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1 Authority to Give Mgmt For For For  
Guarantees

Huatai Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
6886	CINS Y37426106	12/21/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
2	Amendments to the	Mgmt	For
	Rules of		
	Procedures		
3	Elect ZHOU Yi	Mgmt	For
4	Elect PU Baoying	Mgmt	For
5	Elect GAO Xu	Mgmt	For
6	Elect CHEN Ning	Mgmt	For
7	Elect SUN Hongning	Mgmt	For
8	Elect XU Qing	Mgmt	For
9	Elect ZHOU Yong	Mgmt	For
10	Elect CHEN Chuanming	Mgmt	For
11	Elect LIU Hongzhong	Mgmt	For
12	Elect LEE Chi Ming	Mgmt	For
13	Elect YANG Xiongsheng	Mgmt	For
14	Elect LIU Yan	Mgmt	For
15	Elect WANG Huiqing	Mgmt	For
16	Elect DU Wenyi	Mgmt	For
17	Elect LIU Zhihong	Mgmt	For
18	Elect YU Yimin	Mgmt	For

Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000793	CINS Y3R42R108	01/23/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	XUE Guoqing	Mgmt	For
2	ZHU Liang	Mgmt	For
3	ZHU Jinling	Mgmt	For
4	HUANG Yongguo	Mgmt	For
5	ZHANG Huili	Mgmt	For
6	SHI Haina	Mgmt	For
7	YIN Donglin	Mgmt	For
8	XU Yongsheng	Mgmt	For

Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000793	CINS Y3R42R108	03/16/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Authority to Issue	Mgmt	For
	Medium-Term		
	Notes		

Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
000793	CINS Y3R42R108	03/31/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset Selling Agreement	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Implementation Plan for Performance Commitment and Share Compensation of 2013 Major Assets Restructuring	Mgmt	For	For	For
7	Implementation Plan for Performance Commitment and Share Compensation of 2014 Major Assets Restructuring	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Board Authorization to Implement Amendments to Articles	Mgmt	For	For	For

Huawen Media Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000793	CINS Y3R42R108	07/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HUANG Hui	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	01/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit from China Merchants Bank	Mgmt	For	For	For
2	Guarantee for Company	Mgmt	For	For	For

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	by De Facto Controller				
3	Approval of Borrowing by a Subsidiary	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	04/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	04/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Plan for Performance Deficiency	Mgmt	For	For	For
2	Authority to Repurchase Shares	Mgmt	For	For	For
3	Board Authorization to Implement Compensation Plan	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect SHENG Xitai as Independent Director	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against



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1	Sale of Part of Ownership in a Subsidiary	Mgmt	For	For	Mgmt For
Huayi Brothers Media Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	07/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Wholly-owned Subsidiary	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
Huayi Brothers Media Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	09/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset-backed Beneficiary Trust Plan	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
Huayi Brothers Media Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	09/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture	Mgmt	For	Abstain	Against
Huayi Brothers Media Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	09/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Bond Type and Duration	Mgmt	For	For	For
4	Interest Rate and Method of Determination	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Targets of Issuance	Mgmt	For	For	For
7	Shareholder Placement Arrangement	Mgmt	For	For	For
8	Guarantees	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Underwriting and Issuing	Mgmt	For	For	For

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11	Method Redemption or Resale Provisions	Mgmt	For	For	For
12	Repayment Guarantee Measures	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	09/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal of Financial Assets	Mgmt	For	Abstain	Against

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	10/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Receive Guarantees from a Wholly-owned Subsidiary	Mgmt	For	For	For
3	Approval of a Wholly-owned Subsidiary's Application of Loans	Mgmt	For	For	For
4	Authority to Give Guarantees to a Wholly-owned Subsidiary	Mgmt	For	For	For
5	Approval for the Company's Controller To Provide Guarantees For Huayi Brothers International Co., Ltd.	Mgmt	For	For	For
6	Approval of a Controlled Subsidiary's Line of Credit	Mgmt	For	For	For
7	Authority to Give Guarantees to a Controlled Subsidiary	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	11/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	11/24/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan Adjustment	Mgmt	For	For	For
2	Application for Line of Credit	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Huayu Automotive Systems Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600741	CINS Y3750U102	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Authority to Give Guarantees to Huayu Kohl Schmidt Aluminum Technology Co., Ltd	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Appointment of Internal Control Auditor	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

Hubei Biocause Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000627	CINS Y3746X103	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For

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3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	2016 BONUS ISSUE FROM CAPITAL RESERVE	Mgmt	For	For	For
7	Authority to Set Fees for Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Appointment of Financial Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For

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Hubei Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000883	CINS Y3747A102	09/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger by Absorption	Mgmt	For	For	For
2	Amendment to Guarantees	Mgmt	For	For	For

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Hubei Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000883	CINS Y3747A102	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Change of Counter-guarantee Agreement	Mgmt	For	For	For
4	Elect LI Shaoping as Supervisor	Mgmt	For	Against	Against

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000917	CINS Y37672105	02/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size and Method of Issuance	Mgmt	For	For	For
3	Targets of Issuance	Mgmt	For	For	For
4	Bond Duration	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For

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6	Use of Proceeds	Mgmt	For	For	For
7	Guarantees	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Validity of Resolution	Mgmt	For	For	For
11	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000917 CINS Y37672105 05/11/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Appointment of Internal Control Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Replenish Idle Proceeds to Working Capital	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000917 CINS Y37672105 08/11/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect LONG Qiuyun	Mgmt	For	For	For
3	Elect PENG Yi	Mgmt	For	For	For
4	Elect YIN Zhike	Mgmt	For	For	For
5	Elect YUAN Chuxian	Mgmt	For	For	For
6	Elect MAO Xiaoping	Mgmt	For	For	For
7	Elect LIU Pingchun	Mgmt	For	For	For
8	Elect ZHU Dezhen	Mgmt	For	For	For
9	Elect LIU Hai Tao	Mgmt	For	For	For
10	Elect XU Liping	Mgmt	For	For	For
11	Elect LIU Shabai	Mgmt	For	Against	Against
12	Elect WU Zheming	Mgmt	For	For	For
13	Amendment to Guarantees	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
000917 CINS Y37672105 12/07/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give	Mgmt	For	For	For

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	Guarantees to Wholly-owned Subsidiaries				
2	Authority to Issue Medium-Term Notes	Mgmt	For	For	For
3	Authority to Issue Short-Term Commercial Papers	Mgmt	For	For	For
4	Authority to Give Guarantees to Subsidiaries	Mgmt	For	For	For

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Hunan TV and Broadcast Intermediary Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000917	CINS Y37672105	12/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Transaction	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2017-2019 Three-Year Financial Investment Plan	Mgmt	For	For	For
2	Capital Injection into a Wholly-owned Subsidiary	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	Abstain	Against
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Accounts and Reports	Mgmt	For	For	For
5	Internal Control Self-Assessment Report	Mgmt	For	Abstain	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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Hundsun Technologies Inc., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	08/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Capital Injection of a Company	Mgmt	For	For	For
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Hundsun Technologies Inc., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600570	CINS Y3041V109	09/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Fund	Mgmt	For	For	For
2	Approval of Land Auction and Project Construction	Mgmt	For	For	For
3	Elect HUANG Hao	Mgmt	For	For	For
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Iflytek Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	01/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Qingfeng	Mgmt	For	For	For
2	Elect LIU Xin	Mgmt	For	For	For
3	Elect WANG Bing	Mgmt	For	For	For
4	Elect CHEN Tao	Mgmt	For	For	For
5	Elect WU Xiaoru	Mgmt	For	For	For
6	Elect HU Yu	Mgmt	For	For	For
7	Elect NIE Xiaolin	Mgmt	For	For	For
8	Elect Shu Huaying	Mgmt	For	For	For
9	Elect ZHANG Benzhaoyao	Mgmt	For	For	For
10	Elect ZHAO Huifang	Mgmt	For	For	For
11	Elect LIU Jianhua	Mgmt	For	For	For
12	Elect GAO Ling Ling	Mgmt	For	Against	Against
13	Elect ZHANG Lan	Mgmt	For	Against	Against
<hr/>					
Iflytek Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	02/08/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose of Plan	Mgmt	For	For	For
2	Administrative Organization	Mgmt	For	For	For
3	Basis for Determining Plan Participants and Scope	Mgmt	For	For	For
4	Source, Quantity and Allocation of Shares	Mgmt	For	For	For
5	Validity Period, Grant Date, Lock Up Period, and Arrangement for Unlocking	Mgmt	For	For	For
6	Grant Price of Restricted Stock and Basis for Determination	Mgmt	For	For	For
7	Conditions for	Mgmt	For	For	For

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8	Granting and Unlocking Adjustment Methods and Procedures for Incentive Plan	Mgmt	For	For	For
9	Accounting Treatment for Incentive Plan	Mgmt	For	For	For
10	Related Procedures for Incentive Plan	Mgmt	For	For	For
11	Obligation and Rights for the Company and Incentive Participants	Mgmt	For	For	For
12	Measures to Address Changes in the Company or Incentive Participants	Mgmt	For	For	For
13	Principles of Repurchase and Cancellation for Restricted Stock	Mgmt	For	For	For
14	Constitution of Procedural Rules: Implementing Restricted Stock Incentive Plan	Mgmt	For	For	For
15	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For
17	Use of Idle Funds to Implement Cash Management	Mgmt	For	For	For

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Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	04/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors', Supervisors', and Executives' Remuneration	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	2017 Related Party Transactions	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For

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Iflytek Co., Ltd.



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Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	06/02/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Absorption and Merger with Shanghai Xunfei Ruiyuan Information Technology Co., Ltd.	Mgmt	For	For	For
2	Continuation in Xunfei Jiecheng Equity Acquisition	Mgmt	For	For	For
3	Change in Project Implementing Subject	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	08/24/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Industrial & Commercial Bank Of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	06/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Fixed Asset Investment Plan	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Elect YE Donghai	Mgmt	For	For	For
9	Elect MEI Yingchun	Mgmt	For	For	For
10	Elect DONG Shi	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

Industrial & Commercial Bank Of China

Ticker	Security ID:	Meeting Date	Meeting Status		
1398	CINS Y3990B104	11/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect GU Shu	Mgmt	For	For	For
3	Elect Sheila C. Bair	Mgmt	For	For	For

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4	2015 Payment Plan of Remuneration to Directors and Supervisors	Mgmt	For	For	For
5	Elect WANG Jingdong	Mgmt	For	For	For

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Industrial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601166	CINS Y3990D100	05/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Duty Performance Report of Directors	Mgmt	For	For	For
4	Duty Performance Report of Supervisors	Mgmt	For	For	For
5	Duty Performance Report of Directors and Senior Management Members	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Issue Bonds	Mgmt	For	For	For
11	Elect LIN Tengjiao as Director	Mgmt	For	For	For
12	Change in Registered Capital	Mgmt	For	For	For

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Industrial Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601166	CINS Y3990D100	08/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Amount and Purpose of Raised Funds	Mgmt	For	For	For
5	Issuing Price	Mgmt	For	For	For
6	Issuing Volume	Mgmt	For	For	For
7	Issuance Targets and Subscription	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For

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10	Listing Market	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Report on Previously Raised Funds	Mgmt	For	For	For
13	Feasibility Report on Funds to be Raised from Private Placement	Mgmt	For	For	For
14	Related Party Transactions for Private Placement	Mgmt	For	For	For
15	Diluted Immediate Returns for Private Placement and Filling Measures	Mgmt	For	For	For
16	Medium-term Shareholders Return Plan (2016-2018)	Mgmt	For	For	For
17	Medium-term Capital Management Plan (2016-2018)	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Industrial Bank Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
601166 CINS Y3990D100 12/19/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Jianping	Mgmt	For	For	For
2	Elect CHEN Yichao	Mgmt	For	For	For
3	Elect FU Anping	Mgmt	For	For	For
4	Elect HAN Jingwen	Mgmt	For	For	For
5	Elect XI Xinghua	Mgmt	For	For	For
6	Elect TAO Yiping	Mgmt	For	For	For
7	Elect CHEN Jinguang	Mgmt	For	For	For
8	Elect XUE Hefeng	Mgmt	For	For	For
9	Elect CHEN Xinjian	Mgmt	For	For	For
10	Elect Paul M. Theil	Mgmt	For	For	For
11	Elect ZHU Qing	Mgmt	For	For	For
12	Elect LIU Shiping	Mgmt	For	For	For
13	Elect SU Xijia	Mgmt	For	For	For
14	Elect LIN Hua	Mgmt	For	For	For
15	Elect XU Yiyun	Mgmt	For	For	For
16	Elect HE Xudong	Mgmt	For	For	For
17	Elect PENG Jinguang	Mgmt	For	For	For
18	Elect LI Ruoshan	Mgmt	For	For	For
19	Elect BEN Shenglin	Mgmt	For	For	For
20	Elect XIA Dawei	Mgmt	For	For	For
21	Amendments to Procedural Rules: Connected Transaction Management Measures	Mgmt	For	For	For
22	Related Party Transactions	Mgmt	For	For	For

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23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
24	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Industrial Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601377	CINS Y3994T101	06/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of 2017 Securities Investment Scale	Mgmt	For	For	For
8	Authorization for Debt Financing Scale	Mgmt	For	For	For
9	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
10	Employee Stock Ownership Plan	Mgmt	For	Against	Against
11	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against
12	Elect CAI Lvshui as Non-Independent Director	Mgmt	For	For	For
13	Elect XIA Jinliang as Non-Independent Director	Mgmt	For	For	For
14	Elect SUN Zheng as Independent Director	Mgmt	For	For	For
15	Elect CAO Genxing	Mgmt	For	Against	Against

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Inner Mongolia Baotou Steel Union Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600010	CINS Y4084T100	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Annual Report	Mgmt	For	For	For
5	Financial Budgets	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Abstain	Against

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9	Shareholder Returns Plan	Mgmt	For	For	For
10	Approval of Production Plan	Mgmt	For	Abstain	Against
11	Approval of Investment Plan	Mgmt	For	Abstain	Against
12	Appointment of Auditor	Mgmt	For	For	For
13	Approval of Line of Credit	Mgmt	For	For	For
14	Signing of Concentrate Related Party Transaction Agreement	Mgmt	For	For	For
15	Signing of Coke Purchase Agreement	Mgmt	For	Abstain	Against
16	Signing of Financial Services Agreement	Mgmt	For	Against	Against
17	Independent Directors' Report	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Independent Directors' Fees	Mgmt	For	For	For
20	Trusteeship of Subsidiaries' Equity	Mgmt	For	For	For
21	Elect WEI Lingshi	Mgmt	For	For	For
22	Elect SUN Guolong	Mgmt	For	For	For
23	Elect WANG Shengping	Mgmt	For	For	For
24	Elect LI Degang	Mgmt	For	For	For
25	Elect ZHAO Dianqing	Mgmt	For	For	For
26	Elect LIU Zhihong	Mgmt	For	For	For
27	Elect BAI Yutan	Mgmt	For	Against	Against
28	Elect HU Jing	Mgmt	For	For	For
29	Elect SONG Longtang	Mgmt	For	For	For
30	Elect Tian LIANG	Mgmt	For	For	For
31	Elect ZHANG Shichao	Mgmt	For	For	For
32	Elect ZHENG Dong	Mgmt	For	For	For
33	Elect SHI Hongwei	Mgmt	For	For	For
34	Elect DONG Fang	Mgmt	For	For	For
35	Elect WU Zhenping	Mgmt	For	For	For
36	Elect HAO Runbao	Mgmt	For	For	For
37	Elect ZHOU Yuanping	Mgmt	For	Against	Against

Inner Mongolia Baotou Steel Union Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600010	CINS Y4084T100	12/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustments to Development Method of a Project	Mgmt	For	For	For
2	Elect TIAN Liang as Non-Independent Director	Mgmt	For	For	For

Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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601216	CINS Y40887104	06/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Extension of Business License	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Elect HUANG Hui	Mgmt	For	For	For
12	Elect ZHAI Xiaofeng	Mgmt	For	For	For
13	Elect ZHANG Haisheng	Mgmt	For	For	For
14	Elect ZHANG Jie	Mgmt	For	Against	Against
15	Elect SHENG Jiemin	Mgmt	For	For	For
16	Elect XIE Xiaoyan	Mgmt	For	For	For
17	Elect WANG Yong	Mgmt	For	For	For
18	Elect DU Jiangbo	Mgmt	For	Against	Against
19	Elect ZHANG Hai	Mgmt	For	For	For

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Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	11/16/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Inner Mongolia Junzheng Energy and Chemical Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601216	CINS Y40887104	12/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restructuring of Wholly-owned Subsidiaries	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y408DG116	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	2017 OPERATION POLICY	Mgmt	For	Abstain	Against

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AND INVESTMENT PLAN					
5	2016 Financial Reports and 2017 Financial Budget Plan	Mgmt	For	Abstain	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Elect PAN Gang	Mgmt	For	For	For
8	Elect LIU Chunhai	Mgmt	For	For	For
9	Elect HU Liping	Mgmt	For	For	For
10	Elect WANG Xiaogang	Mgmt	For	For	For
11	Elect YAN Junrong	Mgmt	For	For	For
12	Elect ZHANG Junping	Mgmt	For	For	For
13	Elect GAO Debu	Mgmt	For	For	For
14	Elect GAO Hong	Mgmt	For	For	For
15	Elect ZHANG Xinling	Mgmt	For	For	For
16	Elect LU Gang	Mgmt	For	For	For
17	Elect XIAO Bin	Mgmt	For	For	For
18	Elect ZHAN Yiwen	Mgmt	For	Against	Against
19	Elect PENG Heping	Mgmt	For	Against	Against
20	Directors' Fees	Mgmt	For	For	For
21	Supervisors' Fees	Mgmt	For	For	For
22	Independent Directors' Report	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For
25	Authority to Register and Issue Medium-term Notes and Short-term Commercial Papers	Mgmt	For	For	For
26	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For

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Inner Mongolia Yili Industrial Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600887	CINS Y40847108	12/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Options Plan and Restricted Shares Plan	Mgmt	For	For	For
2	Adoption of Procedural Rules for the Implementation of Stock Option and Restricted Share Plans	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Option and Restricted Share Plans	Mgmt	For	For	For

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Inspur Electronic Information Industry Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
000977	CINS Y51704107	02/10/2017		Voted	
	Meeting Type	Country of Trade			
	Special	China			
1	Elect ZHANG Lei	Mgmt	For	For	For
2	Elect WANG Endong	Mgmt	For	For	For
3	Elect PANG Songtao	Mgmt	For	For	For
4	Elect YUAN Anjun	Mgmt	For	For	For
5	Elect HAN Chuanmo	Mgmt	For	Against	Against
6	Elect CHEN Zhijun	Mgmt	For	For	For
7	Elect WANG Chunsheng	Mgmt	For	Against	Against
8	Elect CHEN Bin	Mgmt	For	For	For
9	Independent Directors' Fees	Mgmt	For	For	For

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Inspur Electronic Information Industry Co., Ltd.					
	Ticker Security ID:	Meeting Date		Meeting Status	
	000977 CINS Y51704107	05/16/2017		Voted	
	Meeting Type	Country of Trade			
	Annual	China			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Additions to 2016 Related Party Transactions	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Approval of Accrual of Bad Debts	Mgmt	For	For	For
13	Foreign Exchange Transactions	Mgmt	For	For	For
14	Authority to Implement Banking Business	Mgmt	For	For	For
15	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

Inspur Electronic Information Industry Co., Ltd.					
	Ticker Security ID:	Meeting Date		Meeting Status	
	000977 CINS Y51704107	12/16/2016		Voted	
	Meeting Type	Country of Trade			



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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Stock Issuance	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Basis, Ratio and Amount of Issuance	Mgmt	For	For	For
5	Pricing Principle and Rights Issuance Price	Mgmt	For	For	For
6	Placement Targets	Mgmt	For	For	For
7	Use of Raised Funds	Mgmt	For	For	For
8	Issuance Date	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Accumulated Profits Distribution Plan	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Trading and Circulation of Bonds	Mgmt	For	For	For
13	Pre-plan for Share Issuance	Mgmt	For	For	For
14	Feasibility Report	Mgmt	For	For	For
15	Board Authorization to Implement Issuance	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
17	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
18	Report on Previously Raised Funds	Mgmt	For	For	For
19	Report on Previously Raised Funds as of September 30, 2016	Mgmt	For	For	For

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Jiangsu Bicon Pharmaceutical Listed Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
002411	CINS Y4441M103	06/28/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval for a Wholly-owned Subsidiary to Acquire Equity in Bicon Baichuang Medicine (Henan) Co., Ltd.	Mgmt	For	For	For
2	Elect LEI Pingsen as	Mgmt	For	For	For

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Non-Independent  
Director

Jiangsu Broadcasting Cable Information Network Corp Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600959	CINS Y4S3B1104	05/23/2017		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	Abstain	Against	
3	Annual Report	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Financial Budget	Mgmt	For	For	For	
6	Budget of Fixed Assets Investment Project	Mgmt	For	Abstain	Against	
7	Related Party Transactions	Mgmt	For	For	For	
8	Amendments to Articles	Mgmt	For	For	For	
9	Amendments to Management System for Related Party Transactions	Mgmt	For	For	For	
10	Elect GAO Shunqing as Supervisor	Mgmt	For	Against	Against	
11	Board Authorization to Implement Changes in Business Registration	Mgmt	For	For	For	
12	Appointment of Auditor	Mgmt	For	For	For	
13	Elect WANG Guozhong as Non-Independent Director	Mgmt	For	For	For	
14	Elect GUO Wang as Non-Independent Director	Mgmt	For	For	For	
Jiangsu Hengrui Medicine Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600276	CINS Y4446S105	04/07/2017		Voted		
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor and Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For	
7	Elect GUO Yimin	Mgmt	For	For	For	
8	Amendments to Articles	Mgmt	For	For	For	
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Jiangsu Kangde Xin Composite Material Co.,Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 03/09/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Strategic Cooperation Agreement	Mgmt	For	For	For
3	Extension of Guarantee Period	Mgmt	For	For	For

Jiangsu Kangde Xin Composite Material Co.,Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 07/11/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Guarantees to Shangdong Sishui Kangde Xin Composite Materials Co., Ltd.	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jiangsu Kangde Xin Composite Material Co.,Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 11/22/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Investment Project	Mgmt	For	For	For
2	Authority to Issue Medium Term Notes	Mgmt	For	For	For

Jiangsu Kangde Xin Composite Material Co.,Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002450 CINS Y0772X106 12/02/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Jiangsu Kangde Xin Composite Material Co.,Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
002450	CINS Y0772X106	12/30/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Modification of Project Implementation	Mgmt	For
			For
			For

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Jiangsu Phoenix Publishing and Media Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601928	CINS Y444CW109	06/02/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Annual Report	Mgmt	For
			For
4	Accounts and Reports	Mgmt	For
			For
5	Financial Budget	Mgmt	For
			For
6	Allocation of Profits/Dividends	Mgmt	For
			For
7	Signing of Financial Services Agreement	Mgmt	For
			For
8	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For
			For
9	Related Party Transactions	Mgmt	For
			For
10	Independent Directors' Report	Mgmt	For
			For
11	Appointment of Auditor	Mgmt	For
			For
12	Amendments to Articles	Mgmt	For
			For

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Jiangsu Phoenix Publishing and Media Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601928	CINS Y444CW109	11/21/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
			For

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Jiangsu Protruly Vision Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600074	CINS Y62035103	05/10/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Annual Report	Mgmt	For
			For
2	Directors' Report	Mgmt	For
			For
3	Supervisors' Report	Mgmt	For
			For
4	Internal Control Self-Assessment Report	Mgmt	For
			For
5	Accounts and Reports	Mgmt	For
			For
6	Appointment of Auditor	Mgmt	For
			For

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	and Authority to Set Fees				
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

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Jiangsu Protruly Vision Technology Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600074	CINS Y62035103	08/15/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect JIU Haolin	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	
3	Approval of Capital Injection	Mgmt	For	For	For	
4	Approval of Founding of Shenzhen Protruly Investment Holding Co., Ltd.	Mgmt	For	For	For	

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Jiangsu Protruly Vision Technology Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600074	CINS Y62035103	10/13/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Acquisition of Equity	Mgmt	For	For	For	
2	Proposal to Found Investment Fund	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Amendments to Procedural Rules: External Investments	Mgmt	For	Abstain	Against	

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Jiangsu Protruly Vision Technology Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600074	CINS Y62035103	12/01/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Election of Independent Directors	Mgmt	For	For	For	
2	Expansion of Business Scope	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Amendments to Procedural Rules: Board of Directors	Mgmt	For	Abstain	Against	
5	Amendments to Procedural Rules: Board of	Mgmt	For	Abstain	Against	

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6	Supervisors Amendments to Procedural Rules: Management Policy for Related Party Transactions	Mgmt	For	Abstain	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002304	CINS Y444AE101	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect WANG Kai as Non-Independent Director	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	Abstain	Against

Jiangsu Zhongnan Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000961	CINS Y4451G103	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Wenchang Zhongnan Real Estate Development Co., Ltd	Mgmt	For	For	For
2	Authority to Give Guarantees to Nantong Jiyi Real Estate Co., Ltd	Mgmt	For	For	For
3	Authority to Give Guarantees to Hangzhou Zhongnan Yujin Real Estate Co., Ltd	Mgmt	For	For	For
4	Establishment of PPP Project	Mgmt	For	For	For

Jiangsu Zhongtian Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600522	CINS Y444E8100	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For

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2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Annual Report Summary	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Accounts and Reports	Mgmt	For	For	For
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Senior Executives' Fees	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	Against	Against
14	Change to Business Scope	Mgmt	For	For	For
15	Amendments to Articles 13	Mgmt	For	For	For
16	Change to Company's Registered Capital	Mgmt	For	For	For
17	Amendments to Articles 6	Mgmt	For	For	For
18	Amendments to Articles 18	Mgmt	For	For	For
19	Amendments to Articles 19	Mgmt	For	For	For
20	IMPLEMENTATION OF 2016 INCENTIVE REMUNERATION FOR SENIOR MANAGEMENT	Mgmt	For	For	For

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Jiangxi Copper Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C118	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of PRC and International Auditors and	Mgmt	For	Against	Against
6	Authority to Set Fees Resignation of Independent Director: ZHANG Weidong	Mgmt	For	For	For
7	Elect ZHOU Donghua; Directors'	Mgmt	For	For	For

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Fees

Jiangxi Copper Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C118	07/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Fulfillment of Conditions of Non-public Issuance of A Shares	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Method of Issue	Mgmt	For	For	For
4	Subscribers	Mgmt	For	For	For
5	Method of Subscription	Mgmt	For	For	For
6	Issue Price	Mgmt	For	For	For
7	Number of Shares	Mgmt	For	For	For
8	Lock-up Period and Place of Listing	Mgmt	For	For	For
9	Purpose of the Proceeds	Mgmt	For	For	For
10	Distribution of Profit	Mgmt	For	For	For
11	Relationship between A Share Issue and H Share Issue	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Execution of Conditional Subscription Agreement	Mgmt	For	For	For
14	Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For	For
15	Connected Transaction	Mgmt	For	For	For
16	Ratification of Board Acts	Mgmt	For	For	For
17	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
18	Report on Use of Proceeds from Previous Fundraising	Mgmt	For	For	For
19	Remedial Measures regarding Dilution on Current Returns	Mgmt	For	For	For
20	Undertakings of Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For	For
21	Dividend Distribution Plan	Mgmt	For	For	For
22	Waiver of JCC's Obligation	Mgmt	For	For	For
23	Whitewash Waiver	Mgmt	For	For	For



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24	Special Deal	Mgmt	For	For	For
25	Fulfillment of Conditions of Issuance of Corporate Bonds	Mgmt	For	For	For
26	Nominal Value, Issue Price and Size	Mgmt	For	For	For
27	Target Subscribers	Mgmt	For	For	For
28	Term and Types	Mgmt	For	For	For
29	Interest Rate	Mgmt	For	For	For
30	Guarantee	Mgmt	For	For	For
31	Method of Issuance	Mgmt	For	For	For
32	Use of Proceeds	Mgmt	For	For	For
33	Measures for Safeguarding Repayment	Mgmt	For	For	For
34	Place of Listing	Mgmt	For	For	For
35	Board Authority	Mgmt	For	For	For
36	Validity	Mgmt	For	For	For
37	Resignation of Executive Director: LIU Fangyun	Mgmt	For	For	For
38	Resignation of Executive Director: GAN Chengjiu	Mgmt	For	For	For
39	Resignation of Executive Director: SHI Jialiang	Mgmt	For	For	For
40	Resignation of Independent Director: DENG Hui	Mgmt	For	For	For
41	Resignation of Independent Director: Qiu Guanzhou	Mgmt	For	For	For
42	Resignation of Supervisor: Wu Jinxing	Mgmt	For	For	For
43	Resignation of Supervisor: WAN Sujuan	Mgmt	For	For	For
44	Elect WANG Bo	Mgmt	For	For	For
45	Elect WU Jinxing	Mgmt	For	For	For
46	Elect WU Yuneng	Mgmt	For	For	For
47	Elect SUN Chuanyao	Mgmt	For	For	For
48	Elect LIU Erfei	Mgmt	For	For	For
49	Elect LIAO Shengsen as Supervisor	Mgmt	For	Against	Against
50	Elect ZHANG Jianhua as Supervisor	Mgmt	For	Against	Against
51	Notification of Lin Jinliang's resignation as employee representative supervisor	Mgmt	For	For	For
52	Notification of Zeng	Mgmt	For	For	For

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Min's election as  
employee  
representative  
supervisor

Jiangxi Copper Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C118	07/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Fulfillment of Conditions of Non-public Issuance of A Shares	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Method of Issue	Mgmt	For	For	For
4	Subscribers	Mgmt	For	For	For
5	Method of Subscription	Mgmt	For	For	For
6	Issue Price	Mgmt	For	For	For
7	Number of Shares	Mgmt	For	For	For
8	Lock-up Period and Place of Listing	Mgmt	For	For	For
9	Purpose of the Proceeds	Mgmt	For	For	For
10	Distribution of Profit	Mgmt	For	For	For
11	Relationship between A Share Issue and H Share Issue	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Execution of Conditional Subscription Agreement	Mgmt	For	For	For
14	Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For	For
15	Connected Transaction	Mgmt	For	For	For
16	Ratification of Board Acts	Mgmt	For	For	For
17	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
18	Report on Use of Proceeds from Previous Fundraising	Mgmt	For	For	For
19	Remedial Measures regarding Dilution on Current Returns	Mgmt	For	For	For
20	Undertakings of Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For	For
21	Dividend Distribution Plan	Mgmt	For	For	For

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22	Waiver of JCC's Obligation	Mgmt	For	For	For
23	Whitewash Waiver	Mgmt	For	For	For
24	Special Deal	Mgmt	For	For	For
25	Fulfillment of Conditions of Issuance of Corporate Bonds	Mgmt	For	For	For
26	Nominal Value, Issue Price and Size	Mgmt	For	For	For
27	Target Subscribers	Mgmt	For	For	For
28	Term and Types	Mgmt	For	For	For
29	Interest Rate	Mgmt	For	For	For
30	Guarantee	Mgmt	For	For	For
31	Method of Issuance	Mgmt	For	For	For
32	Use of Proceeds	Mgmt	For	For	For
33	Measures for Safeguarding Repayment	Mgmt	For	For	For
34	Place of Listing	Mgmt	For	For	For
35	Board Authority	Mgmt	For	For	For
36	Validity	Mgmt	For	For	For
37	Resignation of Executive Director: LIU Fangyun	Mgmt	For	For	For
38	Resignation of Executive Director: GAN Chengjiu	Mgmt	For	For	For
39	Resignation of Executive Director: SHI Jialiang	Mgmt	For	For	For
40	Resignation of Independent Director: DENG Hui	Mgmt	For	For	For
41	Resignation of Independent Director: Qiu Guangzhou	Mgmt	For	For	For
42	Resignation of Supervisor: Wu Jinxing	Mgmt	For	For	For
43	Resignation of Supervisor: WAN Sujuan	Mgmt	For	For	For
44	Elect WANG Bo	Mgmt	For	For	For
45	Elect WU Jinxing	Mgmt	For	For	For
46	Elect WU Yuneng	Mgmt	For	For	For
47	Elect SUN Chuanyao	Mgmt	For	For	For
48	Elect LIU Erfei	Mgmt	For	For	For
49	Elect LIAO Shengsen as Supervisor	Mgmt	For	Against	Against
50	Elect ZHANG Jianhua as Supervisor	Mgmt	For	Against	Against
51	Notification of Lin Jinliang's resignation as employee	Mgmt	For	For	For

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representative  
supervisor  
52 Notification of Zeng Min's election as employee representative supervisor  
Mgmt For For For

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Jiangxi Copper Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0358 CINS Y4446C118 07/18/2016 Voted  
Meeting Type Country of Trade  
Other China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value	Mgmt	For	For	For
2	Method of Issue	Mgmt	For	For	For
3	Subscribers	Mgmt	For	For	For
4	Method of Subscription	Mgmt	For	For	For
5	Issue Price	Mgmt	For	For	For
6	Number of Shares	Mgmt	For	For	For
7	Lock-up Period and Place of Listing	Mgmt	For	For	For
8	Purpose of the Proceeds	Mgmt	For	For	For
9	Distribution of Profit	Mgmt	For	For	For
10	Relationship between A Share Issue and H Share Issue	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Execution of Conditional Subscription Agreement	Mgmt	For	For	For
13	Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For	For
14	Connected Transaction	Mgmt	For	For	For
15	Ratification of Board Acts	Mgmt	For	For	For
16	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
17	Remedial Measures regarding Dilution on Current Returns	Mgmt	For	For	For
18	Undertakings of Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For	For
19	Dividend Distribution Plan	Mgmt	For	For	For
20	Special Deal	Mgmt	For	For	For

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Jihua Group Corporation Limited

Ticker Security ID: Meeting Date Meeting Status  
601718 CINS Y444B4102 03/22/2017 Voted  
Meeting Type Country of Trade

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Period of Validity for Private Placement	Mgmt	For	For	For

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### Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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### Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	06/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Overseas Subsidiary	Mgmt	For	For	For
2	Authority to Give Guarantees for Subsidiary Loans	Mgmt	For	For	For

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### Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	08/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For

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### Jihua Group Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601718	CINS Y444B4102	12/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Disposal of Old Factory Land Usage at Companies 3542 and 45	Mgmt	For	For	For

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3	Disposal of Old Factory Land Usage at Huajin Company	Mgmt	For	For	For
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Jilin Aodong Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000623	CINS Y4451E108	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Jilin Aodong Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000623	CINS Y4451E108	07/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For

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Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	01/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Working Capital Loan	Mgmt	For	For	For
2	Authority to Give Guarantees for Overseas Financing	Mgmt	For	For	For
3	2017 Technology and Equipment Investment Plan	Mgmt	For	For	For

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Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors Report	Mgmt	For	For	For
2	Supervisors Report	Mgmt	For	For	For
3	Annual Report and	Mgmt	For	For	For

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Summary						
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	For	For	
7	Appointment of Auditor and Internal Control Firm	Mgmt	For	For	For	
8	Replenishment of Working Capital with Surplus Raised Funds	Mgmt	For	For	For	

Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601958	CINS Y44498106	05/31/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect CHENG Fangfang	Mgmt	For
2	Elect MA Baoping	Mgmt	For
3	Elect ZHANG Jixiang	Mgmt	For
4	Elect MA Jiancheng	Mgmt	For
5	Elect WANG Xiaoming	Mgmt	For
6	Elect OU Shiqin	Mgmt	For
7	Elect LIU Haibin	Mgmt	For
8	Elect TIAN Gaoliang	Mgmt	For
9	Elect YANG Rong	Mgmt	For
10	Elect YANG Weiqiao	Mgmt	For
11	Elect LIU Gang	Mgmt	For
12	Elect SHEN Zhanxin	Mgmt	For
13	Elect ZHANG Xiaodong	Mgmt	For

Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601958	CINS Y44498106	10/17/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect CHENG Fangfang as Director	Mgmt	For

Jointown Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600998	CINS Y444BD102	12/15/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For
2	Stock Type and Par Value	Mgmt	For
3	Method of Issuance	Mgmt	For
4	Issuance Targets and Issuance Volume	Mgmt	For

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5	Issuance Price and Pricing Principal	Mgmt	For	For	For
6	Subscription Method	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Feasibility Report	Mgmt	For	For	For
13	Report on Previously Raised Funds	Mgmt	For	For	For
14	Preplan for Private Placement	Mgmt	For	For	For
15	Conditional Agreement for Subscription of Private Placement Shares	Mgmt	For	For	For
16	Shareholder Returns Plan (2016-2018)	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
18	Connected Transaction Involved in Private Placement	Mgmt	For	For	For
19	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Amendments to Articles and Procedural Rules: General Shareholder Meetings	Mgmt	For	For	For

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Juneyao Airlines Co Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
603885	CINS Y446EY108	05/22/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	Against	Against	
9	Directors' and Senior Management's Fees	Mgmt	For	For	For	
10	Supervisors' Fees	Mgmt	For	For	For	



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11	Connected Transaction regarding Equity Transfer	Mgmt	For	For	For
12	Supplementary Agreement on Equity Transfer	Mgmt	For	For	For

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Juneyao Airlines Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603885	CINS Y446EY108	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Declare Interim Dividends	Mgmt	For	For	For
3	Amendments to Use of Proceeds	Mgmt	For	For	For

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Juneyao Airlines Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603885	CINS Y446EY108	11/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Capital Increase in a Subsidiary	Mgmt	For	For	For

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Kangde Xin Composite Material Group Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' and Supervisors' Fees	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Approval of Risk Investment Using Idle	Mgmt	For	For	For

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12	Funds Management System for Risk Investment	Mgmt	For	For	For
13	Supervisors' Report	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kangmei Pharmaceutical Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 600518 CINS Y2930H106 05/10/2017 Voted  
 Meeting Type Country of Trade  
 Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Allocation of Dividends of Preferred Stocks	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
12	Amendments to Articles Regrading Business Scope (1)	Mgmt	For	For	For
13	Amendments to Articles Regrading Business Scope (2)	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 002470 CINS Y7684A108 03/15/2017 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 002470 CINS Y7684A108 05/18/2017 Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Internal Control Self-Assessment Report	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Approval of Line of Credit	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approve Use of Idle Raised Funds to Conduct Cash Management	Mgmt	For	For	For
13	Approve Use of Idle Own Funds to Conduct Cash Management	Mgmt	For	For	For
14	Adoption of Employee Stock Purchase Plan	Mgmt	For	Against	Against
15	Adoption of Management System for Employee Share Purchase Plan	Mgmt	For	Against	Against
16	Board Authorization to Implement Employee Share Purchase Plan	Mgmt	For	Against	Against

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	06/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect YANG Yan as Supervisor	Mgmt	For	Against	Against
3	Elect WAN Lianbu	Mgmt	For	For	For
4	Elect ZHANG Xiaoyi	Mgmt	For	For	For
5	Elect GAO Yiwu	Mgmt	For	For	For
6	Elect CHEN Hongkun	Mgmt	For	For	For
7	Elect CUI Bin	Mgmt	For	For	For
8	Elect WANG Rong	Mgmt	For	For	For
9	Elect WANG Xiaofeng	Mgmt	For	For	For
10	Elect ZHU Zuqiang	Mgmt	For	For	For
11	Elect LV Xiaofeng	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002470	CINS Y7684A108	08/12/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002470	CINS Y7684A108	11/18/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Kweichow Moutai Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600519	CINS Y5070V116	05/22/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Increasing Product Sales by Subsidiaries to Related Parties	Mgmt	For	For	For
10	Approval of Sales of Products by Subsidiaries to Related Parties	Mgmt	For	For	For
11	Renewal of Trademark License Agreement	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kweichow Moutai Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600519	CINS Y5070V116	09/20/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Elect XU Dingbo	Mgmt	For	For	For
3	Elect ZHANG Jingzhong	Mgmt	For	For	For

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Leo Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002131	CINS Y9892V106	02/27/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees and Credit for 2017	Mgmt	For	For	For
2	Proposal Regarding Award Fulfillment	Mgmt	For	For	For
3	Authority to Give Guarantees to Leo Aggregation Advertising Co., Ltd.	Mgmt	For	For	For

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Leo Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002131	CINS Y9892V106	04/21/2017	Voted
Meeting Type		Country of Trade	
Annual		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on 2016 Fiscal Year Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Special Report on Fund Occupied by Controlling Shareholder and Other Related Parties	Mgmt	For	For	For
8	Appointment of Auditor and Summary of the Annual Auditing Report	Mgmt	For	For	For
9	Elect WANG Chengbin as Independent Director	Mgmt	For	For	For
10	Elect ZHENG Xiaodong as Non-Independent Director	Mgmt	For	For	For
11	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
12	Type of Bonds to be Issued	Mgmt	For	For	For
13	Issuing Volume	Mgmt	For	For	For
14	Par Value and Issuing	Mgmt	For	For	For

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	Price				
15	Bond Duration	Mgmt	For	For	For
16	Interest Rate	Mgmt	For	For	For
17	Time and Method of Repaying the Principal and Interest	Mgmt	For	For	For
18	Conversion Period	Mgmt	For	For	For
19	Method for Determining the Number of Shares	Mgmt	For	For	For
20	Adjustment of the Conversion Price	Mgmt	For	For	For
21	Downward Adjustment to the Conversion Price	Mgmt	For	For	For
22	Redemption Provision	Mgmt	For	For	For
23	Resale Provision	Mgmt	For	For	For
24	Attribution of Related Stock Dividends for Conversion Years	Mgmt	For	For	For
25	Issuance Targets and Method	Mgmt	For	For	For
26	Bondholders Meetings	Mgmt	For	For	For
27	Guarantee Matters	Mgmt	For	For	For
28	Purpose of Raised Fund	Mgmt	For	For	For
29	Management of the Raised Fund and the Deposit Account	Mgmt	For	For	For
30	Valid Period	Mgmt	For	For	For
31	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
32	Feasibility Report on Funds to be Raised from Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
33	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
34	Diluted Returns, Measures, and Relevant Commitments for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
35	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
36	Acquisition of Minority Interest in Controlled Subsidiary	Mgmt	For	For	For
37	Approval of Audit Report, Appraisal Report and Profit	Mgmt	For	For	For

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	Forecast Report in Acquisition					
38	Asset Depreciation Test for Major Assets Restructuring	Mgmt	For	For	For	
39	Establishment of Insurance Company with Related Parties	Mgmt	For	For	For	
40	Amendments to Articles	Mgmt	For	For	For	

Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	02/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Confirmation on 2016 Routine Related Party Transactions	Mgmt	For	For	For
2	Approval to Increase Routine Related Party Transactions	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
6	Subscription of Huaxia Insurance	Mgmt	For	For	For
7	Subscription of Leran Investment	Mgmt	For	For	For
8	Transfer of Equity Stake in a Controlled Subsidiary	Mgmt	For	For	For
9	Waiver of Preemptive Rights to Capital Increase and Equity Transfer	Mgmt	For	For	For
10	Amendments to Administrative Measures for Guarantees	Mgmt	For	Abstain	Against

Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of	Mgmt	For	For	For

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7	Profits/Dividends Audited Financial Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of 2016 Additional Related Party Transactions	Mgmt	For	For	For
10	Approval of 2017 Projected Related Party Transactions	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Elect LIU Shuqing as Director	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For
14	Temporary Replenish Working Capital with Idle Funds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval to Postpone Senior Executives' and Employees' Share Increasing Plan	Mgmt	For	For	For
17	Elect ZHENG Lu as Independent Director	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	08/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Issuance Method	Mgmt	For	For	For
6	Maturity	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Validity Period	Mgmt	For	For	For
9	Market Location	Mgmt	For	For	For
10	Board Authorization	Mgmt	For	For	For
11	Amendments to Procedural Rules: Raised Capital Management	Mgmt	For	Abstain	Against
12	Related Party Transactions	Mgmt	For	For	For

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Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Director's Report	Mgmt	For	For	For
2	Supervisor's Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Application for Financing	Mgmt	For	For	For
9	Purchase Short Term Wealth Management	Mgmt	For	For	For
10	Purchase of Wealth Management Products from Related Party	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Liaoning Chengda Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	05/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	Against	Against
2	Extension of Board Authorization for Private Placement	Mgmt	For	Against	Against
3	Size of Issuance	Mgmt	For	Against	Against
4	Targets of Issuance	Mgmt	For	Against	Against
5	Issue Price and Pricing Basis	Mgmt	For	Against	Against
6	Size and Purpose of Raised Funds	Mgmt	For	Against	Against
7	Amendments to Preliminary Plan for Private Placement	Mgmt	For	Against	Against
8	Termination of Subscription Contract with a Company	Mgmt	For	For	For
9	Approval of Dilution	Mgmt	For	Against	Against

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Measures for Share Issuance					
10	Authority to Issue Debt Instruments	Mgmt	For	For	For

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Liaoning Chengda Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600739	CINS Y5279J104	12/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Luxin Venture Capital Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600783	CINS Y5S47U100	04/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GUO Quanzhao	Mgmt	For	For	For
2	Approval for Wholly-owned Subsidiary to Develop Market Maker Business	Mgmt	For	For	For

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Luxin Venture Capital Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600783	CINS Y5S47U100	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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Luxin Venture Capital Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600783	CINS Y5S47U100	07/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in Wholly-owned Subsidiary to Conduct Overseas Investment	Mgmt	For	For	For
2	Approval of Industrial Fund	Mgmt	For	For	For

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3	Approval of Industrial Fund II	Mgmt	For	For	For
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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002475	CINS Y7744X106	03/22/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Proposal on the Change of the Use of Some Proceeds	Mgmt	For
			For
2	Authority to Give Guarantees	Mgmt	For
			For

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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002475	CINS Y7744X106	05/10/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Annual Report	Mgmt	For
			For
5	Appointment of Auditor	Mgmt	For
			For
6	Allocation of Profits/Dividends	Mgmt	For
			For
7	Amendments to Articles	Mgmt	For
			For
8	Authority to Give Guarantees	Mgmt	For
			For
9	Shareholder Returns Plan	Mgmt	For
			For

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Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002475	CINS Y7744X106	11/08/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For
			For
2	Amendments to Articles	Mgmt	For
			For

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Luzhou Laojiao Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000568	CINS Y5347R104	05/26/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Annual Report	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For

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6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Luzhou Laojiao Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000568	CINS Y5347R104	09/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Share Type and Par Value	Mgmt	For	For	For
3	Method and Date of issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issue Price and Pricing Principle	Mgmt	For	For	For
6	Issuing Volume	Mgmt	For	For	For
7	Locking Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Accumulated Retained Profits Arrangement	Mgmt	For	For	For
11	Valid Period of Resolution	Mgmt	For	For	For
12	Private Placement Plan	Mgmt	For	For	For
13	Eligibility for Private Placement to Specific Parties	Mgmt	For	For	For
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
15	Approval of Share Subscription Agreements	Mgmt	For	For	For
16	Shareholder Returns Plan	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
19	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
20	Amendments to Articles	Mgmt	For	For	For
21	Administrative Measures For Use of Raised Fund	Mgmt	For	Abstain	Against

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22	Elect YING Hanjie	Mgmt	For	For	For
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Meihua Holdings Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	01/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules: Shareholder General Meetings	Mgmt	For	For	For
4	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For
5	Adoption of Employee Stock Options Plan	Mgmt	For	For	For
6	Constitution of Procedural Rules: Management Measures for 2017 First Phase Employee Stock Ownership	Mgmt	For	For	For
7	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
8	WANG Aijun	Mgmt	For	For	For
9	HE Jun	Mgmt	For	For	For
10	LIANG Yubo	Mgmt	For	For	For
11	LUO Qinghua	Mgmt	For	For	For
12	GUO Chunming	Mgmt	For	For	For
13	CHANG Libin	Mgmt	For	Against	Against
14	CUI Lizhi	Mgmt	For	For	For

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Meihua Holdings Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600873	CINS Y9719G100	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

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Metallurgical Corporation of China Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		

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1618	CINS Y5949Y101	06/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Directors' and Supervisors' Fees	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Increase in Authorized Capital	Mgmt	For	For	For	
10	Mutual Supply of Comprehensive Raw Materials, Products and Services Agreement	Mgmt	For	For	For	

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### Metallurgical Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
1618	CINS Y5949Y101	08/23/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect YANG Aizhong as Supervisor	Mgmt	For	Against	Against	
3	Authority to Issue Renewable Corporate Bonds	Mgmt	For	For	For	
4	Authority to Issue Corporate Bonds	Mgmt	For	For	For	

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### Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000333	CINS Y6S40V103	04/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	Abstain	Against	
4	Accounts and Reports	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Employee Stock Options Plan	Mgmt	For	For	For	
8	Set-Up of Assessment Methods for Employee	Mgmt	For	For	For	

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	Stock Options Plan				
9	Board Authorization to Implement Stock Options Plan	Mgmt	For	For	For
10	Employee Restricted Shares Plan	Mgmt	For	For	For
11	Set-Up of Assessment Methods for Employee Restricted Shares Plan	Mgmt	For	For	For
12	Board Authorization to Implement Restricted Shares Plan	Mgmt	For	For	For
13	Authority to Give Guarantees to Finance Company	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Guangdong Refrigeration	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Hualing Refrigeration	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Kaili Refrigeration	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Guangdong Kitchen Appliances	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Weite Vaccum	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Cleaning Appliances	Mgmt	For	Against	Against
20	Authority to Give Guarantees to HAVC Equipments	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Commercial Air-conditioners	Mgmt	For	Against	Against
22	Authority to Give Guarantees to SIIX Electronics	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Home Appliances	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Electric Appliances	Mgmt	For	Against	Against
25	Authority to Give Guarantees to Kitchen and Toilet Appliances	Mgmt	For	Against	Against

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26	Authority to Give Guarantees to Drinking Machine	Mgmt	For	Against	Against
27	Authority to Give Guarantees to Qinghu Water Purifier	Mgmt	For	Against	Against
28	Authority to Give Guarantees to Environmental Appliances	Mgmt	For	Against	Against
29	Authority to Give Guarantees to Meizhi Refrigeration	Mgmt	For	Against	Against
30	Authority to Give Guarantees to Meizhi Precision	Mgmt	For	Against	Against
31	Authority to Give Guarantees to Guangdong Welling	Mgmt	For	Against	Against
32	Authority to Give Guarantees to Welling Cleaning	Mgmt	For	Against	Against
33	Authority to Give Guarantees to Ningbo Union Resource Supply	Mgmt	For	Against	Against
34	Authority to Give Guarantees to Welling Materials	Mgmt	For	Against	Against
35	Authority to Give Guarantees to Kaizhao Commercial Trade	Mgmt	For	Against	Against
36	Authority to Give Guarantees to Wuhu Refrigeration	Mgmt	For	Against	Against
37	Authority to Give Guarantees to Wuhu Meizhi	Mgmt	For	Against	Against
38	Authority to Give Guarantees to Hefei Refrigerator	Mgmt	For	Against	Against
39	Authority to Give Guarantees to Hubei Refrigerator	Mgmt	For	Against	Against
40	Authority to Give Guarantees to Hefei Hualing	Mgmt	For	Against	Against
41	Authority to Give Guarantees to Guangzhou Hualing Refrigerator	Mgmt	For	Against	Against
42	Authority to Give Guarantees to Hefei HAVC	Mgmt	For	Against	Against
43	Authority to Give Guarantees to Hefei Meilianbo	Mgmt	For	Against	Against
44	Authority to Give	Mgmt	For	Against	Against



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	Guarantees to Wuhu Kitchen and Toilet				
45	Authority to Give Guarantees to Wuhu Kitchen	Mgmt	For	Against	Against
46	Authority to Give Guarantees to Anhui Meizhi Refrigeration	Mgmt	For	Against	Against
47	Authority to Give Guarantees to Anhui Meizhi Precision	Mgmt	For	Against	Against
48	Authority to Give Guarantees to Wuhu Welling	Mgmt	For	Against	Against
49	Authority to Give Guarantees to Ande Zhilian	Mgmt	For	Against	Against
50	Authority to Give Guarantees to Hefei Washing Machine	Mgmt	For	Against	Against
51	Authority to Give Guarantees to Jiangsu Cleaning	Mgmt	For	Against	Against
52	Authority to Give Guarantees to Guiya Lighting	Mgmt	For	Against	Against
53	Authority to Give Guarantees to Chongqing General	Mgmt	For	Against	Against
54	Authority to Give Guarantees to Zhejiang Meizhi	Mgmt	For	Against	Against
55	Authority to Give Guarantees to Midea International Holding	Mgmt	For	Against	Against
56	Authority to Give Guarantees to Midea International Business	Mgmt	For	Against	Against
57	Authority to Give Guarantees to BVI	Mgmt	For	Against	Against
58	Authority to Give Guarantees to Midea Refrigeration	Mgmt	For	Against	Against
59	Authority to Give Guarantees to Capo Macow	Mgmt	For	Against	Against
60	Authority to Give Guarantees to Welling Hong Kong	Mgmt	For	Against	Against
61	Authority to Give Guarantees to Midea Investment Development	Mgmt	For	Against	Against

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62	Authority to Give Guarantees to Main Power Electrical Factory Ltd	Mgmt	For	Against	Against
63	Authority to Give Guarantees to Midea Singapore	Mgmt	For	Against	Against
64	Authority to Give Guarantees to Toshiba Home Appliances	Mgmt	For	Against	Against
65	Authority to Give Guarantees to Home Appliances (Vietnam)	Mgmt	For	Against	Against
66	Authority to Give Guarantees to Springer Carrier Ltda. and Climazon Industrial Ltda.	Mgmt	For	Against	Against
67	Authority to Give Guarantees to Carrier (Chile) S.A.	Mgmt	For	Against	Against
68	Authority to Give Guarantees to Midea Netherlands	Mgmt	For	Against	Against
69	Authority to Give Guarantees to Midea Air-conditioners (US)	Mgmt	For	Against	Against
70	Authority to Give Guarantees to Indonesia Joint Venture	Mgmt	For	Against	Against
71	Authority to Give Guarantees to Midea Malaysia	Mgmt	For	Against	Against
72	Authority to Give Guarantees to Midea Canada	Mgmt	For	Against	Against
73	Authority to Give Guarantees to Midea Mexico	Mgmt	For	Against	Against
74	Authority to Give Guarantees to Midea Russia	Mgmt	For	Against	Against
75	Authority to Give Guarantees to Midea Electrics Netherlands B.V.	Mgmt	For	Against	Against
76	Authority to Give Guarantees to Midea India Private Limited	Mgmt	For	Against	Against
77	Authority to Give Guarantees to Midea Thailand	Mgmt	For	Against	Against
78	Authority to Give Guarantees to Misr	Mgmt	For	Against	Against

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	Refrigeration and Air-conditioning Manufacturing Co.					
79	Authority to Give Guarantees to Concepcion Midea INC	Mgmt	For	Against	Against	
80	Investment in Foreign Exchange Derivatives	Mgmt	For	For	For	
81	Proxy Wealth Management Using Idle Funds	Mgmt	For	For	For	
82	Amendments to Articles	Mgmt	For	For	For	
83	Amendments to Procedural Rules: Shareholder Meeting	Mgmt	For	Abstain	Against	
84	Appointment of Auditor	Mgmt	For	Against	Against	
85	Amendments to Articles	Mgmt	For	For	For	
86	Elect YIN Bitong as Non-Independent Director	Mgmt	For	For	For	
87	Elect ZHU Fengtao as Non-Independent Director	Mgmt	For	For	For	

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Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000333	CINS Y6S40V103	09/19/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect GU Yanmin as Director	Mgmt	For	For	For	
2	Midea Investment Development Co., Ltd	Mgmt	For	For	For	
3	Midea Carrier Egypt Miraco Co., Ltd	Mgmt	For	For	For	

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Midea Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
000333	CINS Y6S40V103	11/17/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Short-term Financing Bills and Medium-term Notes	Mgmt	For	For	For	

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Muyuan Foodstuff Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002714	CINS Y6149B107	02/08/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt For
1	Approval to Set Up a Subsidiary in Shuangliao City	Mgmt	For	For	For
2	Approval to Set Up a Subsidiary in Yongji City	Mgmt	For	For	For
3	Approval to Set Up a Subsidiary in Daixian City	Mgmt	For	For	For
4	Approval to Set Up a Subsidiary in Ningling City	Mgmt	For	For	For
5	Authority to Give Guarantees	Mgmt	For	For	For
6	Capital Injection Into Tongxu Muyuan Farming Co., Ltd	Mgmt	For	For	For
7	Capital Injection Into Taikang Muyuan Farming Co., Ltd	Mgmt	For	For	For
8	Capital Injection Into Shangshui Muyuan Farming Co., Ltd	Mgmt	For	For	For

Muyuan Foodstuff Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	02/22/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Fourth Amendment to Private Placement	Mgmt	For	For	For
2	Fourth Amendment to Preliminary Plan of Private Placement	Mgmt	For	For	For
3	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
4	Conditional Supplementary Agreement to Share Subscription	Mgmt	For	For	For
5	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
6	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
7	Cancellation of Investment in Poverty Alleviation Fund	Mgmt	For	For	For

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Muyuan Foodstuff Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	04/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Set Up a Subsidiary at Lindian County	Mgmt	For	For	For
2	Approval to Set Up a Subsidiary at Mingshui County	Mgmt	For	For	For
3	Approval to Set Up a Subsidiary at Tongyu County	Mgmt	For	For	For
4	Approval to Set Up a Subsidiary at Fuxin Mongol Autonomous County	Mgmt	For	For	For
5	Approval to Set Up a Subsidiary at Horqin Left Middle Banner	Mgmt	For	For	For
6	Approval to Set Up a Subsidiary at Jarud Banner	Mgmt	For	For	For
7	Approval to Set Up a Subsidiary at Mengcheng County	Mgmt	For	For	For
8	Approval of Borrowing and Related Party Transactions	Mgmt	For	For	For
9	Establishment of Management System: Donations	Mgmt	For	For	For
10	Establishment of Management System: Provision of Financial Support	Mgmt	For	For	For

Muyuan Foodstuff Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Routine Related Party Transactions	Mgmt	For	For	For
7	Approval of Line of	Mgmt	For	For	For

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8	Credit Entrusted Assets Management	Mgmt	For	For	For
9	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Directors', Supervisors' and Senior Executives Fees	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Signing of Construction Contract and Related Party Transactions with Henan Guochang Architecture and Engineering Co., Ltd.	Mgmt	For	For	For
14	Investment in Bank Wealth Management Products	Mgmt	For	For	For

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Muyuan Foodstuff Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	05/25/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Special	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Eligibility for Private Placement with Preemptive Rights	Mgmt	For	Against	Against
2	Type and Volume	Mgmt	For	Against	Against
3	Issuance Method and Targets	Mgmt	For	Against	Against
4	Par Value and Issuing Price	Mgmt	For	Against	Against
5	Nominal Dividend Yield and Determining Principle	Mgmt	For	Against	Against
6	Profit Distribution	Mgmt	For	Against	Against
7	Provision on Repurchase	Mgmt	For	Against	Against
8	Restriction on Restoration of Voting Rights	Mgmt	For	Against	Against
9	Sequence and Method for Repayment	Mgmt	For	Against	Against
10	Credit Rating and Track Rating	Mgmt	For	Against	Against
11	Guarantee Method and Principals	Mgmt	For	Against	Against
12	Arrangement for Listing and Trading	Mgmt	For	Against	Against

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13	Purpose of Raised Fund	Mgmt	For	Against	Against
14	Valid Period of Resolution	Mgmt	For	Against	Against
15	Approval of Preliminary Plan of Private Placement with Preemptive Right	Mgmt	For	Against	Against
16	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	Against	Against
17	Commitments by Directors and Senior Management on Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	Against	Against
18	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
19	Amendments to Articles	Mgmt	For	Against	Against
20	Amendments to Procedural Rules	Mgmt	For	Against	Against
21	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
22	Shareholder Returns Plan	Mgmt	For	For	For
23	Board Authorization to Implement Private Placement with Preemptive Rights	Mgmt	For	Against	Against

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Muyuan Foodstuff Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002714	CINS Y6149B107	06/13/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Special	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Authorization for Debt Issuance	Mgmt	For	For	For
3	Eligibility for Issuance of Green Bonds	Mgmt	For	For	For
4	Authority to Issue Green Bonds	Mgmt	For	For	For
5	Authorization for Green Bond Issuance	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Alteration of Raised Fund Project	Mgmt	For	For	For

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### Investment

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NARI Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600406	CINS Y6S99Q112	01/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Company Address and Articles of Association	Mgmt	For	For	For

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NARI Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600406	CINS Y6S99Q112	03/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

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NARI Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600406	CINS Y6S99Q112	05/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	Abstain	Against
6	Annual Report	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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NARI Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600406	CINS Y6S99Q112	12/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For

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Neusoft Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
600718	CINS Y6280M102	01/05/2017	Voted		



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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Termination of Private Placement	Mgmt	For	For	For	
2	Subsidiary Equity Incentive Agreement	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	

Neusoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
600718	CINS Y6280M102	05/05/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Independent Directors' Report	Mgmt	For	For	For	
6	Accounts and Reports	Mgmt	For	For	For	
7	Allocation of Profits/Dividends	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	For	For	
10	Purchase of Raw Materials	Mgmt	For	For	For	
11	Sale of Products	Mgmt	For	For	For	
12	Provision of Services and Labor	Mgmt	For	For	For	
13	Receipt of Services and Labor	Mgmt	For	For	For	
14	Signing of Related Party Transaction Agreement	Mgmt	For	For	For	
15	Signing of Introduction of Investment Agreement	Mgmt	For	For	For	
16	Signing of Purchase and Sale Agreement	Mgmt	For	For	For	
17	Elect LIU Jiren	Mgmt	For	For	For	
18	Elect WANG Yongfeng	Mgmt	For	For	For	
19	Elect CHEN Ximin	Mgmt	For	For	For	
20	Elect SHI Yecheng	Mgmt	For	For	For	
21	Elect YUZUO MEICHE	Mgmt	For	For	For	
22	Elect XU Hongli	Mgmt	For	For	For	
23	Elect WANG Wei	Mgmt	For	For	For	
24	Elect DENG Feng	Mgmt	For	For	For	
25	Elect LIU Shulian	Mgmt	For	For	For	
26	Elect TU Gengfeng	Mgmt	For	For	For	
27	Elect ZANGTIAN Zhenwu	Mgmt	For	Against	Against	
28	Elect ZHANG Hong	Mgmt	For	Against	Against	

New China Life Insurance Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	04/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect XIONG Lianhua	Mgmt	For	For	For
3	Elect PENG Yulong	Mgmt	For	For	For
4	Fees of Supervisory Board Chair	Mgmt	For	For	For
5	13th Five-Year Development Plan	Mgmt	For	For	For
6	Authority to Issue Domestic Debt Instruments	Mgmt	For	For	For
7	Authority to Issue Overseas Debt Instruments	Mgmt	For	For	For

New China Life Insurance Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1336	CINS Y625A4107	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report of Directors' Performance	Mgmt	For	For	For
8	Report of Independent Non-executive Directors' Performance	Mgmt	For	For	For
9	Elect GENG Jianxin	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Elect Anke D'Angelo	Mgmt	For	Against	Against
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

New Hope Liuhe Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
5	Amendments to Articles	Mgmt	For	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For	For
7	Elect YANG Fang	Mgmt	For	Against	Against	Against
8	Authority to Give Guarantees for Financing	Mgmt	For	For	For	For
9	Related Party Transactions	Mgmt	For	For	For	For
10	Authority to Give Guarantees to Subsidiaries	Mgmt	For	For	For	For

New Hope Liuhe Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000876	CINS Y7933V101	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect DENG Feng	Mgmt	For	For	For

Ningbo Port Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601018	CINS Y6298E100	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect GONG LIMING	Mgmt	For	For	For
2	Elect CHEN Guoping	Mgmt	For	For	For

Ningbo Port Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601018	CINS Y6298E100	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Supervisors' Fees	Mgmt	For	For	For
6	2016 Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	2017 Financial Budget	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Approval of debt financing	Mgmt	For	For	For
11	Elect MAO Jianhong	Mgmt	For	For	For
12	Elect GONG Liming	Mgmt	For	For	For
13	Elect CHU Bin	Mgmt	For	For	For
14	Elect JIANG Yipeng	Mgmt	For	For	For
15	Elect CHEN Guopan	Mgmt	For	For	For
16	Elect JIN Xing	Mgmt	For	For	For
17	Elect ZHENG Shaoping	Mgmt	For	For	For
18	Elect CHEN Zhiang	Mgmt	For	For	For
19	Elect XU Yongbin	Mgmt	For	For	For

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20	Elect YANG Wu	Mgmt	For	For	For
21	Elect ZHANG Sigang	Mgmt	For	For	For
22	Elect LV Jing	Mgmt	For	For	For
23	Elect HU Xinger	Mgmt	For	Against	Against
24	Elect YUAN Haiping	Mgmt	For	Against	Against
25	Elect CAI Linlin	Mgmt	For	For	For
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Ningbo Port Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601018	CINS Y6298E100	09/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules for Director Meetings	Mgmt	For	For	For
2	Change of Company Name	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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### Ningbo Port Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601018	CINS Y6298E100	12/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MAO Jianhong	Mgmt	For	For	For

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### Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	03/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: LI Fuchun	Mgmt	For	For	For
2	Elect Non-Independent Director: CUI Wei	Mgmt	For	For	For
3	Elect Non-Independent Director: HE Junyan	Mgmt	For	For	For
4	Elect Non-Independent Director: SONG Shanglong	Mgmt	For	For	For
5	Elect Non-Independent Director: SUN Xiaofeng	Mgmt	For	For	For
6	Elect Non-Independent Director: LIU Shusen	Mgmt	For	For	For
7	Elect Non-Independent Director: TAI Ge	Mgmt	For	For	For
8	Elect Non-Independent Director: CUI Xuebin	Mgmt	For	For	For

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9	Elect Independent Director: SONG Bai	Mgmt	For	For	For
10	Elect Independent Director: HE Qiang	Mgmt	For	For	For
11	Elect Independent Director: LONG Hong	Mgmt	For	For	For
12	Elect Independent Director: DU Jie	Mgmt	For	For	For
13	Elect Independent Director: JI Feng	Mgmt	For	For	For
14	Elect YANG Shucai	Mgmt	For	Against	Against
15	Elect TANG Zhiping	Mgmt	For	Against	Against
16	Elect WANG Huamin	Mgmt	For	For	For
17	Elect TIAN Kuiwu	Mgmt	For	For	For
18	Elect GUO Yan	Mgmt	For	For	For
19	Elect HE Jianfen	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

Northeast Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000686	CINS Y6411E102	08/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Li Wei	Mgmt	For	For	For
2	Elect Cui Xuebin	Mgmt	For	For	For

Oceanwide Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000046	CINS Y8345D101	08/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Capital of a Company	Mgmt	For	For	For

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Oceanwide Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	09/19/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Wholly-owned Subsidiary	Mgmt	For	Against	Against
2	Authority to Give Loan Guarantees	Mgmt	For	Against	Against
3	Size of Issuance	Mgmt	For	Against	Against
4	Issuance Targets and Method	Mgmt	For	Against	Against
5	Bond Type and Duration	Mgmt	For	Against	Against
6	Interest Rate	Mgmt	For	Against	Against
7	Guarantee Method	Mgmt	For	Against	Against
8	Purpose of Raised Funds	Mgmt	For	Against	Against
9	Raised Fund Special Account	Mgmt	For	Against	Against
10	Repayment Guarantee Measures	Mgmt	For	Against	Against
11	Trading and Circulation of Bonds	Mgmt	For	Against	Against
12	Validity Period of Resolution	Mgmt	For	Against	Against
13	Board Authorization for Corporate Bond Issuance	Mgmt	For	Against	Against

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Oceanwide Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000046	CINS Y8345D101	11/15/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Mandate for Portfolio Investment	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Debt Restructuring	Mgmt	For	Against	Against

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Offshore Oil Engineering Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600583	CINS Y6440J106	02/17/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIN Xiaojian	Mgmt	For	For	For

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Offshore Oil Engineering Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600583	CINS Y6440J106	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Elect LU Bo	Mgmt	For	For	For
8	Elect JIN Xiaojian	Mgmt	For	For	For
9	Elect LIN Yaosheng	Mgmt	For	For	For
10	Elect MENG Jun	Mgmt	For	Against	Against
11	Elect QIU Xiaohua	Mgmt	For	For	For
12	Elect GUO Tao	Mgmt	For	For	For
13	Elect HUANG Yongjin	Mgmt	For	For	For
14	Elect WEI Junchao	Mgmt	For	Against	Against
15	Elect ZHAO Yanbo	Mgmt	For	For	For

Offshore Oil Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600583	CINS Y6440J106	11/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LU Bo as Director	Mgmt	For	For	For
2	Elect QIU Xiaohua as Director	Mgmt	For	For	For

Orient Securities Company Limited (aka DFZQ)

Ticker	Security ID:	Meeting Date	Meeting Status		
03958	CINS Y6S79P100	04/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Satisfaction of Non-Public Issuance of A Shares	Mgmt	For	For	For
2	Issuance Method	Mgmt	For	For	For
3	Class and Par Value	Mgmt	For	For	For
4	Issuance Price and Pricing Principles	Mgmt	For	For	For
5	Target Subscribers and Methods for Subscription	Mgmt	For	For	For
6	Number of Shares	Mgmt	For	For	For
7	Lock-up Period for Proposed Issuance	Mgmt	For	For	For
8	Amount and Use of Proceeds	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For

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10	Treatment of the Undistributed Profit Retained Prior to Issuance	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Proposal of Non-public Issuance of A Shares (Revised)	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For
15	Related Party Transactions: Issuance of A shares	Mgmt	For	For	For
16	Subscription Agreement with Shenergy (Group) Company Limited	Mgmt	For	For	For
17	Subscription Agreement with Shanghai Haiyan Investment Management Company Limited	Mgmt	For	For	For
18	Subscription Agreement with Shanghai United Media Group	Mgmt	For	For	For
19	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For
20	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
21	Shareholder Returns	Mgmt	For	For	For
22	Issue Size	Mgmt	For	Against	Against
23	Type	Mgmt	For	Against	Against
24	Term	Mgmt	For	Against	Against
25	Interest Rate and Basis of Determination	Mgmt	For	Against	Against
26	Issuance Method and Target	Mgmt	For	Against	Against
27	Use of Proceeds	Mgmt	For	Against	Against
28	Authorization Matters	Mgmt	For	Against	Against
29	Validity Period of Resolution	Mgmt	For	Against	Against

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Orient Securities Company Limited (aka DFZQ)					
Ticker	Security ID:	Meeting Date		Meeting Status	
03958	CINS Y6S79P100	04/14/2017		Voted	
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Issuance Method	Mgmt	For	For	For
2	Class and Par Value	Mgmt	For	For	For
3	Issuance Price and Pricing Principles	Mgmt	For	For	For
4	Target Subscribers and Methods for Subscription	Mgmt	For	For	For
5	Number of Shares	Mgmt	For	For	For
6	Lock-up Period for Proposed Issuance	Mgmt	For	For	For
7	Amount and Use of Proceeds	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Treatment of the Undistributed Profit Retained Prior to Issuance	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Proposal of Non-public Issuance of A Shares (Revised)	Mgmt	For	For	For
12	Subscription Agreement with Shenergy (Group) Company Limited	Mgmt	For	For	For
13	Subscription Agreement with Shanghai Haiyan Investment Management Company Limited	Mgmt	For	For	For
14	Subscription Agreement with Shanghai United Media Group	Mgmt	For	For	For
15	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For

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Orient Securities Company Limited (aka DFZQ)						
Ticker	Security ID:	Meeting Date		Meeting Status		
600958	CINS Y6S79P100	06/05/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	2017 Self-operating Scale of the Company	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Connected Transactions with Shenergy (Group) Co., Ltd. and its	Mgmt	For	For	For	

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	related companies				
9	Connected Transactions with other related companies	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For

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Orient Securities Company Limited (aka DFZQ)

Ticker	Security ID:	Meeting Date	Meeting Status		
3958	CINS Y6S79P100	11/08/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XU Jianguo as Director	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Amendments to Articles of Association and Procedural Rules for Shareholder Meetings	Mgmt	For	For	For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	01/20/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of External Investment	Mgmt	For	Abstain	Against

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	02/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect XU DABIN	Mgmt	For	Against	Against
2	Elect SUN JUANXIA	Mgmt	For	For	For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	04/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension on Resumption of Trading on Major Asset Restructuring	Mgmt	For	For	For

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Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Repurchase and Cancel Shares	Mgmt	For	For	For
9	Board Authorization to Implement Repurchase or Donation of Shares	Mgmt	For	For	For
10	Decrease in Authorized Capital	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	08/11/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reduction in Authorized Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Ourpalm Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	10/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DENG Pan	Mgmt	For	For	For
2	Elect HU Bin	Mgmt	For	For	For
3	Elect YAO Wenzhe	Mgmt	For	For	For
4	Elect LI Haosheng	Mgmt	For	For	For
5	Elect HUANG Yingchun	Mgmt	For	For	For
6	Elect WU Linguang	Mgmt	For	For	For
7	Elect LI Renyu	Mgmt	For	For	For
8	Elect LEI Jiasu	Mgmt	For	For	For
9	Elect JIANG Zhaodong	Mgmt	For	For	For
10	Elect QI Huimin	Mgmt	For	Against	Against
11	Elect YU Long	Mgmt	For	For	For
12	Constitution of Procedural Rules: Management Rules for Remuneration and Performance of Directors, Supervisors and Officers	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

Pangang Group Vanadium Titanium & Resources Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
000629	CINS Y6724S103	07/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect ZHANG Dade	Mgmt	For	For	For
2	Elect DUAN Xiangdong	Mgmt	For	For	For
3	Elect ZHANG Zhijie	Mgmt	For	For	For
4	Elect CHEN Yong	Mgmt	For	For	For
5	Elect MA Lianyong	Mgmt	For	For	For
6	Elect ZENG Xianbin	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Elect ZHANG Qiang	Mgmt	For	For	For
9	Elect JI Li	Mgmt	For	Against	Against
10	Elect YAN Hong	Mgmt	For	For	For
11	Elect SHEN Changchun	Mgmt	For	Against	Against
12	Elect YANG Dong	Mgmt	For	Against	Against
13	Elect XIAO Mingxiong	Mgmt	For	For	For

Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Approval of Financing Amount	Mgmt	For	For	For
9	Authority to Give Guarantees to Subsidiaries	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Customers	Mgmt	For	Against	Against
11	Signing of Product Purchase Agreement	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Authority to Issue Medium-term Notes	Mgmt	For	For	For
15	Board or Chairman Authorization to Implement Medium-term Notes Issuance	Mgmt	For	For	For
16	Authority to Issue Debt Financing	Mgmt	For	For	For

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	Instruments				
17	Board or Chairman Authorization to Implement Issuance of Debt Financing Instrument	Mgmt	For	For	For
18	Elect PANG Qinghua	Mgmt	For	For	For
19	Elect WANG Yusheng	Mgmt	For	For	For
20	Elect WU Cheng	Mgmt	For	For	For
21	Elect HE Lixin	Mgmt	For	For	For
22	Elect HE Jingyun	Mgmt	For	For	For
23	Elect SUN Zhixin	Mgmt	For	For	For
24	Elect CHEN Xiguang	Mgmt	For	Against	Against
25	Elect LIU Bin	Mgmt	For	For	For
26	Elect YANG Xiaoguang	Mgmt	For	For	For
27	Elect ZHANG Yi	Mgmt	For	For	For
28	Elect SHI Huasan	Mgmt	For	For	For
29	Elect GAO Zhiqian	Mgmt	For	For	For
30	Elect WANG Du	Mgmt	For	For	For
31	Elect SU Min	Mgmt	For	For	For
32	Elect WANG Dong	Mgmt	For	For	For
33	Elect XU Yanping	Mgmt	For	Against	Against
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pangda Automobile Trade Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601258	CINS Y708BH101	09/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Issue Ultra Short-Term Financing Bonds	Mgmt	For	For	For
3	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

People.cn Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
603000	CINS Y6788E107	12/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect WANG Yibiao	Mgmt	For	For	For
4	Elect NIU Yibing	Mgmt	For	For	For
5	Elect ZHANG Zhong	Mgmt	For	For	For
6	Elect HU Xijin	Mgmt	For	For	For
7	Elect YU Qingchu	Mgmt	For	For	For
8	Elect LUO Hua	Mgmt	For	For	For
9	Elect TANG Weihong	Mgmt	For	For	For
10	Elect SONG Liyun	Mgmt	For	For	For
11	Elect LI Xiang	Mgmt	For	For	For
12	Elect SHI Dandan	Mgmt	For	For	For
13	Elect LIU Kaixiang	Mgmt	For	For	For
14	Elect TU Zipei	Mgmt	For	For	For
15	Elect TANG Ning	Mgmt	For	For	For

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16	Elect SONG Guangmao	Mgmt	For	Against	Against
<hr/>					
Petrochina Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0857	CINS Y6883Q138	06/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Declare Interim Dividends	Mgmt	For	For	For
7	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	Against	Against
8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Issue Debt Instruments	Mgmt	For	For	For
10	Elect WANG Yilin	Mgmt	For	Against	Against
11	Elect WANG Dongjin	Mgmt	For	For	For
12	Elect YU Baocai	Mgmt	For	Against	Against
13	Elect LIU Yuezhen	Mgmt	For	Against	Against
14	Elect LIU Hongbin	Mgmt	For	For	For
15	Elect HOU Qijun	Mgmt	For	For	For
16	Elect DUAN Liangwei	Mgmt	For	For	For
17	Elect QIN Weizhong	Mgmt	For	For	For
18	Elect LIN Boqiang	Mgmt	For	Against	Against
19	Elect ZHANG Biyi	Mgmt	For	Against	Against
20	Elect Elsie LEUNG Oi Sie	Mgmt	For	For	For
21	Elect Tatsuhito Tokuchi	Mgmt	For	For	For
22	Elect Simon P. Henry	Mgmt	For	For	For
23	Elect XU Wenrong	Mgmt	For	Against	Against
24	Elect ZHANG Fengshan	Mgmt	For	For	For
25	Elect JIANG Lifu	Mgmt	For	For	For
26	Elect LU Yaozhong	Mgmt	For	Against	Against
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Petrochina Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0857	CINS Y6883Q138	10/20/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Jianhua	Mgmt	For	Against	Against
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Ping An Bank Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000001	CINS Y6896T103	06/29/2017		Voted	
Meeting Type		Country of Trade			

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2016 Related Party Transactions and Management System	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Composition of the Board of Supervisors	Mgmt	For	For	For
10	Elect Shareholder Representative Supervisor: CHE Baoguo	Mgmt	For	For	For
11	Elect ZHOU Jianguo	Mgmt	For	For	For
12	Elect LUO Xiangdong	Mgmt	For	For	For
13	Elect CHU Yiyun	Mgmt	For	For	For
14	Elect GUO Shibang	Mgmt	For	For	For
15	Elect YAO Guiping	Mgmt	For	For	For
16	Authority to Issue Overseas Bonds	Mgmt	For	For	For

Ping An Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000001	CINS Y6896T103	11/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Structure of the Board of Directors	Mgmt	For	For	For
2	Elect CHEN Xinying	Mgmt	For	For	For
3	Elect YAO Bo	Mgmt	For	Against	Against
4	Elect YE Sulan	Mgmt	For	For	For
5	Elect CAI Fangfang	Mgmt	For	For	For
6	Elect GUO Jian	Mgmt	For	For	For
7	Elect XIE Yonglin	Mgmt	For	For	For
8	Elect HU Yuefei	Mgmt	For	For	For
9	Elect ZHAO Jichen	Mgmt	For	For	For
10	Elect CAI Lifeng	Mgmt	For	For	For
11	Elect WANG Chunhan	Mgmt	For	For	For
12	Elect WANG Songqi	Mgmt	For	For	For
13	Elect HAN Xiaojing	Mgmt	For	For	For
14	Elect GUO Tianyong	Mgmt	For	For	For
15	Elect YANG Rusheng	Mgmt	For	For	For

Ping An Insurance (Group) Company of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2318	CINS Y6898C116	06/16/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect OUYANG Hui	Mgmt	For	For	For
8	Adoption of Autohome Inc. Share Incentive Plan	Mgmt	For	Against	Against
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
10	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For

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Ping An Insurance (Group) Company of China, Ltd.

Ticker Security ID: Meeting Date Meeting Status  
2318 CINS Y6898C116 08/15/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Review of Overseas Listing Proposal of Ping An Securities	Mgmt	For	For	For
2	Compliance with Relevant Provisions	Mgmt	For	For	For
3	Undertaking to Maintain Independent Listing Status	Mgmt	For	For	For
4	Sustainable Profitability Statement and Prospect	Mgmt	For	For	For
5	Authorization	Mgmt	For	For	For
6	Adjustment of Remuneration of Non-executive Directors	Mgmt	For	For	For
7	Adjustment of Remuneration of Non-employee Representative Supervisors	Mgmt	For	Abstain	Against
8	Provision of Assured Entitlement to H Shareholders	Mgmt	For	For	For

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Ping An Insurance (Group) Company of China, Ltd.

Ticker Security ID: Meeting Date Meeting Status  
2318 CINS Y6898C116 08/15/2016 Voted

Meeting Type Country of Trade



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Other Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlement to H Shareholders	Mgmt	For	For	For

Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	03/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For

Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	2017 INVESTMENT PLAN	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Give External Guarantees	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval to Receive Loans and Guarantees from China Poly Group Corp.	Mgmt	For	Against	Against
10	Related Party Transactions with Poly Finance Co., Ltd.	Mgmt	For	Against	Against
11	Related Party Transactions with Joint Ventures and Affiliated Companies	Mgmt	For	For	For

Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	08/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scope and Plan Participants	Mgmt	For	For	For
2	Number, Source and Type of	Mgmt	For	For	For

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	Stocks				
3	Grant Condition	Mgmt	For	For	For
4	Validity Period, Granting Date, Vesting Date and Lock-up Period	Mgmt	For	For	For
5	Exercise Price and Determining Method	Mgmt	For	For	For
6	Conditions for Granting and Exercising	Mgmt	For	For	For
7	Methods and Procedures for Plan Adjustment	Mgmt	For	For	For
8	Accounting Treatment	Mgmt	For	For	For
9	Procedures for Company to Grant and Exercise Options	Mgmt	For	For	For
10	Rights and Obligations for the Company and Participants	Mgmt	For	For	For
11	Treatment under Special Circumstances and Termination	Mgmt	For	For	For
12	Board Authorization to Implement Stock Purchase Plan	Mgmt	For	For	For
13	Constitution of Procedural Rules: Appraisal of Incentive Plan	Mgmt	For	For	For
14	Constitution of Procedural Rules: Management Measures for Incentive Plan	Mgmt	For	For	For

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Poly Real Estate Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600048	CINS Y6987R107	12/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Xing Yi as Non-Independent Director	Mgmt	For	For	For
2	Amendments to Procedural Rules: Meetings of Board of Directors	Mgmt	For	Abstain	Against
3	Shareholder Returns Plan	Mgmt	For	For	For

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Power Construction Corporation of China, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601669	CINS Y7999Z103	06/05/2017	Voted

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Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Financial Budget	Mgmt	For	Abstain	Against	
7	2017 INVESTMENT PLAN	Mgmt	For	Abstain	Against	
8	2017 Guarantee Plan	Mgmt	For	Against	Against	
9	Financing Budget	Mgmt	For	Abstain	Against	
10	Related Party Transactions	Mgmt	For	For	For	
11	Appointment of Financial Auditor and Internal Control Auditor	Mgmt	For	For	For	
12	Authority to Give Guarantees for a Shanty Town Restructuring Project in Xichang	Mgmt	For	Against	Against	
13	Authority to Give Guarantees for another Shanty Town Restructuring Project in Xichang	Mgmt	For	Against	Against	
14	Authority to Give Guarantees for a PPP Project in Chengliang Industrial Park	Mgmt	For	Against	Against	
15	Authority to Give Guarantees for the third Shanty Town Restructuring Project in Xichang	Mgmt	For	Against	Against	
16	Independent Directors' Fees	Mgmt	For	For	For	
17	Directors' Fees in 2016	Mgmt	For	For	For	
18	Directors' Fees in 2017	Mgmt	For	For	For	
19	Supervisors' Fees	Mgmt	For	For	For	
20	Approval to Increase Authorized Capital	Mgmt	For	For	For	
21	Amendments to Articles	Mgmt	For	For	For	
22	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For	
23	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For	
24	Amendments to Procedural Rules:	Mgmt	For	Abstain	Against	

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Management System for Related Party Transactions						
25	Outline of the Company's 13th Five-year Development Strategy	Mgmt	For	Abstain	Against	
<hr/>						
Power Construction Corporation of China, Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
601669	CINS Y7999Z103	07/29/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Share Type and Par Value	Mgmt	For	For	For	
3	Offering Method and Period	Mgmt	For	For	For	
4	Target Investors and Subscription Method	Mgmt	For	For	For	
5	Issuing Price	Mgmt	For	For	For	
6	Size of Issuance	Mgmt	For	For	For	
7	Use of Proceeds	Mgmt	For	For	For	
8	Lock-up Period	Mgmt	For	For	For	
9	Listing Place	Mgmt	For	For	For	
10	Distribution Arrangement for Undistributed Profits	Mgmt	For	For	For	
11	Validity Resolution of Period	Mgmt	For	For	For	
12	Preliminary Plan of Private Placement	Mgmt	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
16	Shareholder Returns Plan	Mgmt	For	For	For	
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	
18	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For	
19	Self-inspection Report on Real Estate Business	Mgmt	For	For	For	
20	Shareholders'	Mgmt	For	For	For	

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21	Commitment Letter on Real Estate Business Directors and Management's	Mgmt	For	For	For
22	Commitment Letter on Real Estate Business Supervisors'	Mgmt	For	For	For
23	Commitment Letter on Real Estate Business Dividend Plan for Preferred Shares	Mgmt	For	For	For
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Power Construction Corporation of China, Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601669	CINS Y7999Z103	12/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect MA Li as Supervisor	Mgmt	For	Against	Against
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Qingdao Haier Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600690	CINS Y7166P102	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Internal Control Audit Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Foreign Exchange Derivative Transactions	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Guarantees Management System	Mgmt	For	For	For
13	Amendments to Management System for Investment	Mgmt	For	For	For
14	Amendments to Independent Directors System	Mgmt	For	For	For
15	Constitution of Management System for Foreign Exchange	Mgmt	For	For	For

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Derivative Transactions					
16	Supervisors' Report	Mgmt	For	For	For
Qinghai Salt Lake Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors and Senior Management's Fees	Mgmt	For	For	For
6	Related Party Transactions with Sinochem Chemicals Fertilizer Co., Ltd.	Mgmt	For	For	For
7	Related Party Transactions with Qinghai Minguang Coal Industry Sale Co., Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Qinghai Muli Coal Industry Development Group Co., Ltd.	Mgmt	For	For	For
9	Approval of Borrowing Authority to Give Guarantees and Financial Support	Mgmt	For	Against	Against
10		Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Elect WANG Xingfu	Mgmt	For	For	For
13	Elect CAO Daling	Mgmt	For	For	For
14	Elect TAN Hengde	Mgmt	For	For	For
15	Elect XIE Kangmin	Mgmt	For	For	For
16	Elect FENG Mingwei	Mgmt	For	For	For
17	Elect WU Wenhao	Mgmt	For	For	For
18	Elect GUO Hongbin	Mgmt	For	For	For
19	Elect DONG Chunming	Mgmt	For	For	For
20	Elect WANG Xiaofeng	Mgmt	For	For	For
21	Elect WANG Jianling	Mgmt	For	For	For
22	Elect HONG Le	Mgmt	For	For	For
23	Elect YANG Zhiyu	Mgmt	For	For	For
24	Elect HAN Zengyan	Mgmt	For	For	For
25	Elect LIU Feng	Mgmt	For	Against	Against
26	Elect LI Zhiqiang	Mgmt	For	For	For
27	Elect YANG Yong	Mgmt	For	Against	Against
28	Elect SUN Yingbo	Mgmt	For	Against	Against
29	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Qinghai Salt Lake Industry Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		

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000792	CINS Y7170U105	08/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application For Bank Loan	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

### Qinghai Salt Lake Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000792	CINS Y7170U105	11/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GUO Hongbin	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	01/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Nanjing China Europe Shun Properties Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Liaocheng Rongsheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees Chongqing Rongsheng Xinyu Real Estate Development Co., Ltd	Mgmt	For	Against	Against
4	Authority to Give Guarantees Zhejiang Rongsheng billion Xin Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Zhejiang Rongsheng a Hong Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	03/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt For
1	Authority to Issue Debt Instruments	Mgmt	For	For	
2	Board Authorization to Implement Debt Instrument Issuance	Mgmt	For	For	For
3	Authority to Give Guarantees for Nanjing Rongsheng Shengtai Real Estate Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Shandong Rongfa Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Langfang Development Zone Rongsheng Real Estate Development Co., Ltd	Mgmt	For	Against	Against
6	Election of CHEN Jinhai as Director	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	04/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Liaocheng Risesun	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Shenyang Risesun	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Huangshan Risesun	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Sizhonghuilian	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Hebei Risesun	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	05/10/2017	Voted
Meeting Type		Country of Trade	
Annual		China	



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Audited Financial Report and Audit Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Financial Statements	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Handan Risesun Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenyang Risesun Jinxiu Tiandi Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Changsha Rongxiang Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Langfang Development District Risesun Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Shenyang Rongsheng Real Estate Development	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Rongsheng (Wuhu) Real Estate	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Bengbu Rongsheng Dingye Real Estate	Mgmt	For	Against	Against

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4	Development Authority to Give Guarantees to Chongqing Rongsheng Xinyu Real Estate Development	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Nanjing Rong Yu Property	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Nanjing Rong Ting Property	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Jinan Glory Real Estate Development	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Jinan Rongfeng Real Estate Development	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Nanjing Rongsheng Real Estate	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Tangshan Rongsheng Real Estate Development	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Langfang Xieyi Landscaping Engineering	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Hebei Rongsheng Xingcheng Investment	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Rongsheng (Xuzhou) Real Estate Development	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	07/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Nanjing Risesun Property Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Nanjing Risesun Shengjing Property Co., Ltd.	Mgmt	For	Against	Against

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3	Authority to Give Guarantees to Risesun Xuzhou Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Langfang Xieyi Landscaping Projects Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Henan Rongyou Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	08/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuance Volume	Mgmt	For	For	For
5	Issuance Targets and Subscription Method	Mgmt	For	For	For
6	Pricing Base Date and Issuance Price	Mgmt	For	For	For
7	Lock-up Period Arrangements	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Amount and Use of Raised Funds	Mgmt	For	For	For
10	Distribution Plan for Accumulated Retained Profits Previous to Issuance	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
14	Influence on Main Financial Indicators by Diluted Immediate Returns	Mgmt	For	For	For
15	Commitments of the Company's Controlling Shareholders,	Mgmt	For	For	For

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	Directors, and Senior Management for Implementation of Dilution Measures					
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
17	Special Report for Previously Raised Funds	Mgmt	For	For	For	
18	Plan for Shareholders Profit Return (2016-2018)	Mgmt	For	For	For	

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RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	08/29/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Set up Plan for Balance Payments for House Purchases	Mgmt	For	For	For	
2	Provision of Discrepancy Credit	Mgmt	For	For	For	
3	Board Authorization to Implement Balance Payment Plan for House Purchases	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	For	For	

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RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	10/14/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For	
2	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For	
3	Authority to Give Guarantees for Xianghe Varytown Industry Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees for Tangshan Riseun Real Estate Development Co., Ltd.	Mgmt	For	For	For	
5	Authority to Give	Mgmt	For	For	For	

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Guarantees for Xuzhou  
Rongkai Property Co.,  
Ltd.

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RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	11/01/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Election of Huang Yuhua as Independent Director	Mgmt	For	For	For	
2	Authority to Give Guarantees to Nanjing Risesun Property Co., Ltd.	Mgmt	For	For	For	
3	Authority to Give Guarantees to Nanjing Rongting Property Co., Ltd.	Mgmt	For	For	For	
4	Authority to Give Guarantees to Nanjing Rongyu Property Co., Ltd.	Mgmt	For	For	For	
5	Authority to Give Guarantees to Jinan Rongfeng Property Co., Ltd.	Mgmt	For	For	For	
6	Authority to Give Guarantees to Jinan Rongyao Real Estate Development Co., Ltd.	Mgmt	For	For	For	

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RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	12/23/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to RongSheng KangLu Investment Co., Ltd.	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to HuangShang RongSheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to TangShan RongSheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against	

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4	Authority to Give Guarantees to Changsha RongCheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
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SAIC Motor Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600104	CINS Y7443N102	05/25/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Independent Directors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Replenish Working Capital with Remaining Raised Funds	Mgmt	For	For	For
8	Authority to Give Guarantees for Shanghai GM Auto Financing Co., Ltd	Mgmt	For	For	For
9	Authority for Global Chexiang Auto Leasing Co., Ltd to Give Guarantees to its Subsidiaries	Mgmt	For	For	For
10	Authority for Huayu Auto Systems Co., Ltd. to Give Guarantees to its Subsidiaries	Mgmt	For	For	For
11	Authority for Subsidiaries of Huayu Auto Systems Co., Ltd. to Give Guarantees to its Subsidiaries	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Appointment of Internal Control Auditor	Mgmt	For	For	For

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SAIC Motor Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600104	CINS Y7443N102	12/01/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Resolution For Private	Mgmt	For	For	For

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2	Placement Extension of Board Authorization for Validity Period for Private Placement	Mgmt	For	For	For
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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors Report	Mgmt	For	For	For
2	Supervisors Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	09/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Raised Fund Management	Mgmt	For	Abstain	Against

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Sanan Optoelectronics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600703	CINS Y7478M102	12/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Second Term of Employee Stock Purchase Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600031	CINS Y75268105	03/30/2017	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Investment Project	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600031	CINS Y75268105	06/28/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Approval of Mortgage and Finance Leasing Business	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For
12	Approval of Financial Derivative Business	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Appointment of Internal Control Auditor	Mgmt	For	For	For
15	Independent Directors' Report	Mgmt	For	Abstain	Against
16	Cancellation of Part of Stock Options and Restricted Shares	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600031	CINS Y75268105	11/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis For Determining Plan Participants and Scope	Mgmt	For	For	For
2	Source, Size and Distribution of Stock Options and Restricted Shares	Mgmt	For	For	For



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3	Validity, Grant Date, Waiting And Lock-Up Period, Exercise Date and Unlocking Date	Mgmt	For	For	For
4	Exercise Price, and Granting Price and Method of Determination	Mgmt	For	For	For
5	Exercising and Unlocking Conditions	Mgmt	For	For	For
6	Methods and Procedures for Adjustments	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Implementation Procedures	Mgmt	For	For	For
9	Rights and Obligations For Company and Participants	Mgmt	For	For	For
10	Treatment Under Unusual Situations of Company and Participants	Mgmt	For	For	For
11	Principles of Repurchase and Cancellation	Mgmt	For	For	For
12	Management Measures On Implementation and Appraisal of Plan	Mgmt	For	For	For
13	Board Authorization to Implement the Stock Options and Restricted Shares Plan	Mgmt	For	For	For

Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	11/07/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Basis For Determining Plan Participants and Scope	Mgmt	For	For	For
2	Source, Size and Distribution of Stock Options and Restricted Shares	Mgmt	For	For	For
3	Validity, Grant Date, Waiting And Lock-Up Period, Exercise Date and Unlocking Date	Mgmt	For	For	For
4	Exercise Price, and Granting Price and Method of Determination	Mgmt	For	For	For

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5	Exercising and Unlocking Conditions	Mgmt	For	For	For
6	Methods and Procedures for Adjustments	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Implementation Procedures	Mgmt	For	For	For
9	Rights and Obligations For Company and Participants	Mgmt	For	For	For
10	Treatment Under Unusual Situations of Company and Participants	Mgmt	For	For	For
11	Principles of Repurchase and Cancellation	Mgmt	For	For	For
12	Management Measures On Implementation and Appraisal of Plan	Mgmt	For	For	For
13	Board Authorization to Implement the Stock Options and Restricted Shares Plan	Mgmt	For	For	For

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Sany Heavy Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600031	CINS Y75268105	12/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset Transfer	Mgmt	For	For	For
2	Related Party Transactions for Transfer Account Receivables	Mgmt	For	For	For
3	Related Party Transactions for Transfer Account Receivables for Second Subsidiary	Mgmt	For	For	For

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SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For

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7	Related Party Transactions	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Board Authorization for Essence Securities to Issue Debt Financing Instrument	Mgmt	For	For	For
11	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

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SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	06/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DUAN Wenwu as Non-Independent Director	Mgmt	For	For	For
2	Elect ZOU Baozhong as Non-Independent Director	Mgmt	For	For	For
3	Elect MEI Cun as Non-Independent Director	Mgmt	For	For	For
4	Elect LI Wenxin as Supervisor	Mgmt	For	Against	Against

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SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	07/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Constitution of Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
3	Constitution of Procedural Rules for Board Meetings	Mgmt	For	For	For
4	Constitution of Procedural Rules for Supervisor Board Meetings	Mgmt	For	For	For
5	Constitution of Independent Director System	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Directors' and Supervisors'	Mgmt	For	For	For

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Fees						
8	Elect	SHI Hongxiang	Mgmt	For	For	For
9	Elect	YE Baishou	Mgmt	For	Against	Against
10	Elect	ZHU Yaobin	Mgmt	For	For	For
11	Elect	WU Weiwei	Mgmt	For	For	For
12	Elect	ZHANG Xiaowei	Mgmt	For	For	For
13	Elect	CHEN Zhisheng	Mgmt	For	For	For
14	Elect	HE Zhufeng	Mgmt	For	For	For
15	Elect	QU Xiaohui	Mgmt	For	For	For
16	Elect	CUI Liguo	Mgmt	For	For	For
17	Elect	LU Jun	Mgmt	For	For	For
18	Elect	YI Shiren	Mgmt	For	Against	Against
19	Elect	YIN Shujun	Mgmt	For	Against	Against

SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For

SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	12/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Eligibility for Private Placement Shares	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Stock Class and Par Value	Mgmt	For	For	For
5	Subscribers and Method of Subscription	Mgmt	For	For	For
6	Pricing Principals and Issuance Price	Mgmt	For	For	For
7	Volume of Issuance	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Arrangement for Cumulative Profits Prior to Private Placement	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Plan for Private Placement	Mgmt	For	For	For
14	Feasibility Analysis Report for Use of Proceeds	Mgmt	For	For	For
15	Related Party	Mgmt	For	For	For

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	Transactions for				
	Private Placement				
16	Conditional Subscription Agreements	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
19	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
20	Shareholder Return Plan	Mgmt	For	For	For
21	Election of ZHANG Xiaoman as Independent Director	Mgmt	For	For	For

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SDIC Essence (Holdings) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600061	CINS Y8045P107	12/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Equity	Mgmt	For	For	For
2	Conditional Equity Transfer Agreement with Controlling Shareholder	Mgmt	For	For	For
3	Independence of Evaluation Institution, Rationality of Evaluation Hypothesis, Correlation Between Evaluation Method and Objective and Fairness of Evaluation Pricing	Mgmt	For	For	For
4	Audit Report and Evaluation Report	Mgmt	For	For	For
5	Related Party Transactions: Loans	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Elect JI Xiaolong as Independent Director	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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600886	CINS Y3746G100	01/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Renewable Corporate Bonds	Mgmt	For	For	For
2	Par Value, Size and Method of Issuance	Mgmt	For	For	For
3	Issuance Targets and Arrangement for Shareholders	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Interest Rate and Determination Method	Mgmt	For	For	For
6	Interest Deferred Payment Arrangement	Mgmt	For	For	For
7	Limit of Interest Deferred Payment	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Listing Arrangement	Mgmt	For	For	For
10	Guarantee Arrangement	Mgmt	For	For	For
11	Underwriting Method	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	03/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	06/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to	Mgmt	For	Abstain	Against

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	Procedural Rules: Supervisory Board Meetings				
5	Amendments to Work System for Independent Directors	Mgmt	For	Abstain	Against
6	Elect ZHANG Bo as Supervisor	Mgmt	For	Against	Against

SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	06/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	2017 Operation Plan	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	Abstain	Against
7	Remuneration Committee's Report	Mgmt	For	For	For

SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	07/06/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect DAI Gang as Supervisor	Mgmt	For	Against	Against

SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	07/28/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to BOWL	Mgmt	For	For	For
2	Authority to Give Guarantees to ICOL	Mgmt	For	For	For

SDIC Power Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600886	CINS Y3746G100	08/11/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance Size and	Mgmt	For	For	For

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	Method				
2	Issuance Targets	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Use of Proceeds	Mgmt	For	For	For
5	Listing Arrangement	Mgmt	For	For	For
6	Guarantee Arrangement	Mgmt	For	For	For
7	Valid Period of Resolution	Mgmt	For	For	For
8	Repayment Guarantee Measures	Mgmt	For	For	For
9	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
10	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For

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SDIC Power Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600886	CINS Y3746G100	09/08/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HU Gang	Mgmt	For	For	For
2	Elect LUO ShaoXiang	Mgmt	For	For	For
3	Elect ZHU Jiwei	Mgmt	For	For	For
4	Elect GUO Qigang	Mgmt	For	For	For
5	Elect FENG Sujing	Mgmt	For	For	For
6	Elect ZOU Baozhong	Mgmt	For	For	For
7	Elect ZENG Ming	Mgmt	For	For	For
8	Elect SHAO Luwei	Mgmt	For	For	For
9	Elect HUANG Huixin	Mgmt	For	For	For
10	Elect DAI Gang	Mgmt	For	For	For
11	Elect DING Xiaoliang	Mgmt	For	Against	Against

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000750	CINS Y2941X108	02/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Proposal Regarding Forging of Company Seal	Mgmt	For	For	For

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000750	CINS Y2941X108	04/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For



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7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Proprietary Fund Investment Scale and Risk Limitation	Mgmt	For	For	For

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Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000750	CINS Y2941X108	07/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Share Allocation	Mgmt	For	For	For
2	Share Type and Par Value	Mgmt	For	For	For
3	Issuing Method	Mgmt	For	For	For
4	Placement Base, Ratio and Size	Mgmt	For	For	For
5	Pricing Principle and Price	Mgmt	For	For	For
6	Issuing Target	Mgmt	For	For	For
7	Distribution Arrangement for Accumulated Profits	Mgmt	For	For	For
8	Issuing Period	Mgmt	For	For	For
9	Underwriting Method	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Listing Place	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Board Authorization to Implement Share Allocation	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
17	Issuing Entity	Mgmt	For	Against	Against
18	Size of Issuance and Issuing Method	Mgmt	For	Against	Against
19	Types	Mgmt	For	Against	Against
20	Maturities	Mgmt	For	Against	Against
21	Interest Rates	Mgmt	For	Against	Against
22	Issuing Price	Mgmt	For	Against	Against
23	Use of Proceeds	Mgmt	For	Against	Against
24	Issuing Target	Mgmt	For	Against	Against
25	Listing Place	Mgmt	For	Against	Against
26	Guarantee Repayment Measures	Mgmt	For	Against	Against
27	Ratings	Mgmt	For	Against	Against

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28	Validity Period of Resolution	Mgmt	For	Against	Against
29	Authorization Matters	Mgmt	For	Against	Against

Sealand Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000750	CINS Y2941X108	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Registered Capital of a Subsidiary	Mgmt	For	For	For

Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	02/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Second Tranche of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization for Employee Stock Ownership Plan	Mgmt	For	For	For
3	Constitution of Procedural Rules: Formulation of Management Rules for the Second Tranche of Employee Stock Ownership Plan	Mgmt	For	For	For

Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	09/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect JIA Yanyan	Mgmt	For	For	For
2	Elect KANG Jian	Mgmt	For	For	For
3	Elect LI Xu	Mgmt	For	For	For
4	Elect SHANGGUAN Yongqiang	Mgmt	For	For	For
5	Elect WANG Pei	Mgmt	For	For	For
6	Elect ZHU Jianlin	Mgmt	For	For	For
7	Elect LI Xielin	Mgmt	For	For	For
8	Elect WANG Chunxia	Mgmt	For	Against	Against
9	Elect DENG Nan	Mgmt	For	For	For
10	Directors' Supervisors' and Senior Management Fees	Mgmt	For	For	For

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
000503	CINS Y29815100	11/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issue Price and Pricing Principles	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Size and Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Accumulated Retained Profits Arrangement	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Exemption to Report on Use of Raised Funds	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
17	Approval of Commitments of	Mgmt	For	For	For

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Management for  
Filing  
Measures

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Searainbow Holding Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
000503	CINS Y29815100	12/21/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Transfer of Jincui Technology Co., Ltd.	Mgmt	For	For	For
2	Transfer of Beijing Yaotong	Mgmt	For	For	For
3	Transfer of Chongqing Weihong	Mgmt	For	For	For

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Shaanxi Coal Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601225	CINS Y7679D109	06/21/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Against	Against
6	Appointment of Auditor	Mgmt	For	For	For

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Shan Dong Dong-E E-Jiao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000423	CINS Y7689C109	05/23/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fee	Mgmt	For	For	For
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Directors, Supervisors and Officers Liability Insurance	Mgmt	For	For	For

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Shandong Gold Mining Co. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	05/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Veladero and Signing of Purchase Agreement	Mgmt	For	For	For
2	Authentication Report of Accounting Policy Adjustments Regarding the Acquisition	Mgmt	For	For	For
3	Extension of Stock Trading Suspension	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	04/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Investment Plan	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Appointment of Internal Auditor	Mgmt	For	For	For
12	Internal Control Self-Assessment Report	Mgmt	For	For	For
13	Social Responsibility Report	Mgmt	For	For	For
14	Signing of Financial Services Agreement	Mgmt	For	Against	Against
15	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
16	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For

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Shandong Gold Mining Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600547	CINS Y76831109	06/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Subsidiary to Borrow from Oversea Banks	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Subsidiary in Respect of its Financing	Mgmt	For	For	For

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Shandong Iron & Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600022	CINS Y7677W109	11/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Equity	Mgmt	For	For	For
2	Elect ZHENG Dong as Independent Director	Mgmt	For	Abstain	Against

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002195	CINS Y7683P106	03/31/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Investment in Wealth Management Products with Idle Raised Funds	Mgmt	For	For	For
8	Investment in Wealth Management Products with Idle Proprietary Funds	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Election of Supervisory Board	Mgmt	For	Against	Against

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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002195	CINS Y7683P106	10/27/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002195	CINS Y7683P106	11/14/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Source, Size and Distribution	Mgmt	For	For	For
2	Valid Period, Grant Date, Locking and Vesting Period	Mgmt	For	For	For
3	Grant Price and Determination Method	Mgmt	For	For	For
4	Vesting Conditions	Mgmt	For	For	For
5	Procedures for Adjustment	Mgmt	For	For	For
6	Accounting Treatment	Mgmt	For	For	For
7	Procedures for Grant and Vesting	Mgmt	For	For	For
8	Rights and Obligations of the Company and Participants	Mgmt	For	For	For
9	Alternation and Termination of Plan	Mgmt	For	For	For
10	Repurchase and Cancellation	Mgmt	For	For	For
11	Measures for Implementation and Appraisal of Restricted Share Incentive Plan	Mgmt	For	For	For
12	Board Authorization to Implement Restricted Share Incentive Plan	Mgmt	For	For	For
13	Amendments to Use of Proceeds	Mgmt	For	For	For
14	Size and Method	Mgmt	For	For	For
15	Issuance Targets	Mgmt	For	For	For
16	Type and Duration	Mgmt	For	For	For
17	Par Value and Issue Price	Mgmt	For	For	For
18	Interest Rate	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Guarantee Clauses	Mgmt	For	For	For
21	Principal and Interests Repayment Method	Mgmt	For	For	For
22	Listing Place	Mgmt	For	For	For

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23	Underwriting Method	Mgmt	For	For	For
24	Valid Period of Resolution	Mgmt	For	For	For
25	Eligibility for Bond Issuance	Mgmt	For	For	For
26	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002195	CINS Y7683P106	12/19/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up of Equity Investment Fund	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Shanghai Bailian Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600827	CINS Y7682E110	06/20/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Transfer of Equity Stake	Mgmt	For	For	For
9	Acquisition of Equity Stake	Mgmt	For	For	For
10	Equity Pledge for Credit Line Application by Nanjing Tangshan Bailian Aote Laisi Business Co., Ltd.	Mgmt	For	For	For
11	Authority to Give Guarantees for Shanghai World Expo Bailian Commercial Co., Ltd.	Mgmt	For	For	For
12	Issuance of Short-term Commercial Papers	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Elect XU Ziyang	Mgmt	For	For	For



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15	Elect ZHENG Xiaoyun	Mgmt	For	For	For
16	Elect CHENG Dali	Mgmt	For	For	For
17	Elect LIU Dali	Mgmt	For	For	For
18	Elect YANG Aguo	Mgmt	For	Against	Against
19	Elect TAO Qing	Mgmt	For	Against	Against

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Shanghai Bairun Investment Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002568	CINS Y76853103	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Internal Control Self-Assessment Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Commitments of Major Assets Restructuring and Performance Compensation	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules of Shareholder Meetings	Mgmt	For	Abstain	Against
12	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
13	Amendments to Treatment System of Major Events	Mgmt	For	Abstain	Against
14	Amendments to Rules on Implementation of Cumulative Voting System	Mgmt	For	Abstain	Against
15	Authority to Repurchase and Cancel Shares, and Change Commercial and Industrial Registration	Mgmt	For	Abstain	Against

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Shanghai Chengtou Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600649	CINS Y7689R106	03/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against

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2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Proposal to Elect Board of Directors in Advance	Mgmt	For	For	For
11	Proposal to Elect Supervisory Board in Advance	Mgmt	For	For	For
12	JI Guanglin	Mgmt	For	For	For
13	Elect JIN Jianmin	Mgmt	For	For	For
14	Elect QUAN Zhuowei	Mgmt	For	For	For
15	Elect SU Kai	Mgmt	For	For	For
16	Elect CHEN Shuai	Mgmt	For	For	For
17	Elect SUN Changyu	Mgmt	For	For	For
18	Elect SHENG Leiming	Mgmt	For	For	For
19	Elect YAN Jie	Mgmt	For	For	For
20	Elect XUE Tao	Mgmt	For	For	For
21	Elect GONG Dafu	Mgmt	For	Against	Against
22	Elect GAO Chao	Mgmt	For	For	For

Shanghai Chengtou Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600649	CINS Y7689R106	09/14/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Extension of Board Authorization to Restructuring	Mgmt	For
			For
			For
2	Elect LU Jiancheng	Mgmt	For
			For
			For

Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600170	CINS Y7680W104	04/19/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
			For
			For
2	Supervisors' Report	Mgmt	For
			For
			For
3	Independent Directors' Report	Mgmt	For
			For
			For
4	Annual Report	Mgmt	For
			For
			For
5	Accounts and Reports	Mgmt	For
			For
			For
6	Allocation of Profits/Dividends	Mgmt	For
			For
			For
7	Amendments to Articles	Mgmt	For
			For
			For

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8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Investment Plan	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Election of Director: BIAN Jiajun	Mgmt	For	For	For

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### Shanghai Construction Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600170	CINS Y7680W104	08/08/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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### Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	05/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assets Acquisition by Issuance of Shares: Parties to the Transaction	Mgmt	For	For	For
2	Assets Acquisition by Issuance of Shares: Transaction Subjects	Mgmt	For	For	For
3	Assets Acquisition by Issuance of Shares: Basis of Pricing and Transaction Price	Mgmt	For	For	For
4	Assets Acquisition by Issuance of Shares: Arrangement for Profit or Loss	Mgmt	For	For	For
5	Assets Acquisition by Issuance of Shares: Procedures for Transfer of Ownership and Liability for Breach of Contract	Mgmt	For	For	For
6	Assets Acquisition by Issuance of Shares: Class and Nominal Value of Shares	Mgmt	For	For	For
7	Assets Acquisition by Issuance of Shares: Target	Mgmt	For	For	For

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8	Subscribers Assets Acquisition by Issuance of Shares: Method of Issuance and Subscription for Shares	Mgmt	For	For	For
9	Pricing Benchmark Date, Basis of Pricing and Issue Price Assets Acquisition by Issuance of Shares:	Mgmt	For	For	For
10	Assets Acquisition by Issuance of Shares: Number of Shares	Mgmt	For	For	For
11	Assets Acquisition by Issuance of Shares: Lock-Up Period	Mgmt	For	For	For
12	Assets Acquisition by Issuance of Shares: Listing Market	Mgmt	For	For	For
13	Assets Acquisition by Issuance of Shares: Treatment For Undistributed Retained Earnings	Mgmt	For	For	For
14	Assets Acquisition by Issuance of Shares: Validity of Resolutions	Mgmt	For	For	For
15	Issuance of Shares For Supporting Funds Raising: Class and Nominal Value of Shares	Mgmt	For	For	For
16	Issuance of Shares For Supporting Funds Raising: Target Subscribers and Methods of Subscription	Mgmt	For	For	For
17	Issuance of Shares For Supporting Funds Raising: Issue Price	Mgmt	For	For	For
18	Issuance of Shares For Supporting Funds Raising: Number of Shares	Mgmt	For	For	For
19	Issuance of Shares For Supporting Funds Raising: Use of Proceeds	Mgmt	For	For	For
20	Issuance of Shares For Supporting Funds Raising: Lock-Up Period	Mgmt	For	For	For

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21	Issuance of Shares For Supporting Funds Raising: Listing Market	Mgmt	For	For	For
22	Issuance of Shares For Supporting Funds Raising: Validity of Resolutions	Mgmt	For	For	For
23	Report For Assets Acquisition By Issuance of Shares and Supporting Funds Raising (Connected Transaction) By Shanghai Electric Group Company Limited (Draft)	Mgmt	For	For	For
24	Execution of Assets Acquisition by Issuance of Shares Agreement with Conditions Precedent	Mgmt	For	For	For
25	Execution of Profit Compensation Agreement with Conditions Precedent	Mgmt	For	For	For
26	Execution of Share Subscription Agreement with Conditions Precedent	Mgmt	For	For	For
27	Possible Dilution of Current Earnings Per Share and Remedial Measures	Mgmt	For	For	For
28	Board Authorization To Implement Transaction	Mgmt	For	For	For
29	Report On Use of Proceeds From Previous Fund Raising Activities	Mgmt	For	For	For
30	Related Party Transactions Involving Assets Acquisition by Issuance of Shares and Supporting Funds Raising	Mgmt	For	For	For
31	Independence of Appraisal Firms, Reasonableness of Appraisal Assumptions, Relevance Between Appraisal Methodology and Purpose, and Fairness of Valuation	Mgmt	For	For	For
32	Audit and Appraisal	Mgmt	For	For	For

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33	Reports Compliance with Relevant Laws and Regulations of Assets Acquisition	Mgmt	For	For	For
34	Compliance with Clause 4 Under Requirements Regulating Material Asset Reorganizations	Mgmt	For	For	For
35	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
Shanghai Electric Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
2727	CINS Y76824112	05/08/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Assets Acquisition by Issuance of Shares: Parties to the Transaction	Mgmt	For	For	For
2	Assets Acquisition by Issuance of Shares: Transaction Subjects	Mgmt	For	For	For
3	Assets Acquisition by Issuance of Shares: Basis of Pricing and Transaction Price	Mgmt	For	For	For
4	Assets Acquisition by Issuance of Shares: Arrangement for Profit or Loss	Mgmt	For	For	For
5	Assets Acquisition by Issuance of Shares: Procedures for Transfer of Ownership and Liability for Breach of Contract	Mgmt	For	For	For
6	Assets Acquisition by Issuance of Shares: Class and Nominal Value of Shares	Mgmt	For	For	For
7	Assets Acquisition by Issuance of Shares: Target Subscribers	Mgmt	For	For	For
8	Assets Acquisition by Issuance of Shares: Method of Issuance and Subscription for Shares	Mgmt	For	For	For
9	Pricing Benchmark Date, Basis of Pricing and Issue	Mgmt	For	For	For

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	Price Assets				
	Acquisition by				
	Issuance of				
	Shares:				
10	Assets Acquisition by	Mgmt	For	For	For
	Issuance of Shares:				
	Number of				
	Shares				
11	Assets Acquisition by	Mgmt	For	For	For
	Issuance of Shares:				
	Lock-Up				
	Period				
12	Assets Acquisition by	Mgmt	For	For	For
	Issuance of Shares:				
	Listing				
	Market				
13	Assets Acquisition by	Mgmt	For	For	For
	Issuance of Shares:				
	Treatment For				
	Undistributed				
	Retained				
	Earnings				
14	Assets Acquisition by	Mgmt	For	For	For
	Issuance of Shares:				
	Validity of				
	Resolutions				
15	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Class and				
	Nominal Value of				
	Shares				
16	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Target				
	Subscribers and				
	Methods of				
	Subscription				
17	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Issue				
	Price				
18	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Number of				
	Shares				
19	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Use of				
	Proceeds				
20	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Lock-Up				
	Period				
21	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Listing				
	Market				
22	Issuance of Shares For	Mgmt	For	For	For
	Supporting Funds				
	Raising: Validity of				
	Resolutions				
23	Report For Assets	Mgmt	For	For	For

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	Acquisition By Issuance of Shares and Supporting Funds Raising (Connected Transaction) By Shanghai Electric Group Company Limited (Draft)					
24	Execution of Assets Acquisition by Issuance of Shares Agreement with Conditions Precedent	Mgmt	For	For	For	
25	Execution of Profit Compensation Agreement with Conditions Precedent	Mgmt	For	For	For	
26	Execution of Share Subscription Agreement with Conditions Precedent	Mgmt	For	For	For	
27	Possible Dilution of Current Earnings Per Share and Remedial Measures	Mgmt	For	For	For	
28	Board Authorization To Implement Transaction	Mgmt	For	For	For	
29	Report On Use of Proceeds From Previous Fund Raising Activities	Mgmt	For	For	For	
30	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
<hr/>						
Shanghai Electric Group Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
2727	CINS Y76824112	06/29/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	
7	Directors' and Supervisors' Fees	Mgmt	For	For	For	
8	Liability Insurance	Mgmt	For	For	For	
9	Authority to Give Guarantees (Shanghai Electric Wind	Mgmt	For	For	For	



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10	Power) Authority to Give Guarantees (SEC-KSB Nuclear Pumps & Valves)	Mgmt	For	For	For
11	Authority to Give Guarantees (Shanghai Electric Heavy Machinery Milling)	Mgmt	For	For	For
12	Authority to Give Guarantees (Shanghai Electric Heavy Machinery Casting Forging)	Mgmt	For	For	For
13	Authority to Give Guarantees (Shanghai Fujikura Cable Co., Ltd.)	Mgmt	For	For	For
14	Authority to Give Guarantees (BA Assembly & Turnkey Systems GmbH)	Mgmt	For	For	For
15	Authority to Give Guarantees (Shanghai Electric (Group) Corporation)	Mgmt	For	For	For

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Shanghai Electric Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
2727	CINS Y76824112	12/29/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For	For
3	Framework Deposit Agreement	Mgmt	For	For	For	For
4	Framework Loan Agreement	Mgmt	For	For	For	For
5	MESMEE Framework Purchase Agreement	Mgmt	For	For	For	For

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
600021	CINS Y7T829116	02/28/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For	For

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
600021	CINS Y7T829116	05/08/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of Borrowing	Mgmt	For	Against	Against
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
12	Elect WANG Yundan	Mgmt	For	For	For
13	Elect WANG Huaiming	Mgmt	For	For	For
14	Elect WANG Jiangong	Mgmt	For	Against	Against
15	Elect ZHANG Hongde	Mgmt	For	Against	Against
16	Elect ZHAO Fengyun	Mgmt	For	For	For
17	Elect NIE Yitao	Mgmt	For	For	For
18	Elect XU Lihong	Mgmt	For	For	For
19	Elect WANG Jintao	Mgmt	For	For	For
20	Elect YU Xinyang	Mgmt	For	For	For
21	Elect XU Yunren	Mgmt	For	For	For
22	Elect ZHU Zuoyun	Mgmt	For	For	For
23	Elect ZHAO Baoren	Mgmt	For	For	For
24	Elect LI Xiaoru	Mgmt	For	For	For
25	Elect TANG Yiwen	Mgmt	For	For	For
26	Elect ZHAO Yazhou	Mgmt	For	For	For
27	Elect TANG Qinhu	Mgmt	For	Against	Against
28	Elect QIU Lin	Mgmt	For	Against	Against
29	Elect LI Aijun	Mgmt	For	For	For

Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600021	CINS Y7T829116	06/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
2	Related Party Transactions in Connection with Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
3	Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
4	Compliance with No.4 Rule of Major Assets Reorganization	Mgmt	For	For	For

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5	Rules Compliance with No.43 Rule of Public Companies Major Assets Administrative Measures	Mgmt	For	For	For
6	Approval of Completeness, Compliance, and Effectiveness of Submitted Legal Documents	Mgmt	For	For	For
7	Approval of Related Audit Report and Asset Evaluation Report	Mgmt	For	For	For
8	Approval of Independence of Appraisal Institution, Reasonableness of Appraisal Assumptions, Relevance of Appraisal Methods and Objectives, and Fairness of Appraisal Price	Mgmt	For	For	For
9	Signing of Conditional Assets Acquisition by Stock and Auxiliary Funds Raising Supplementary Agreement and Performance Compensation Supplementary Agreement	Mgmt	For	For	For
10	Board Authorization to Implement Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
11	Dilution Measures for Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
12	Amendments to Management Measures for Raised Funds	Mgmt	For	Abstain	Against
13	Authority to Give Guarantees	Mgmt	For	For	For

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Shanghai Electric Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600021	CINS Y7T829116	12/16/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

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1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Asset Purchase Compliance with Relevant Laws	Mgmt	For	For	For
4	Overview	Mgmt	For	For	For
5	Transaction Counterpart	Mgmt	For	For	For
6	Transaction Object	Mgmt	For	For	For
7	Pricing Method, Consideration and Incentive Fund	Mgmt	For	For	For
8	IFC and ADB Tag-along Right	Mgmt	For	For	For
9	Mandatory Tender Offer	Mgmt	For	For	For
10	Payment Method of Consideration and Financing Arrangements	Mgmt	For	For	For
11	Transaction Structure	Mgmt	For	For	For
12	Report on Transaction	Mgmt	For	For	For
13	Signing of Agreements Related to Asset Purchase	Mgmt	For	For	For
14	Transactions Compliance with 4th Article in Provision of Major Asset Restructuring	Mgmt	For	For	For
15	Transactions Non-constitution of Related Party Transaction	Mgmt	For	For	For
16	Compliance and Completeness of Legal Documents and Proceedings	Mgmt	For	For	For
17	Evaluation Report for Asset Purchase	Mgmt	For	For	For
18	Verification Report on Difference in Accounting Policies	Mgmt	For	For	For
19	Independence of Evaluation, Rationality of Evaluation and Objective Fairness of Pricing	Mgmt	For	For	For
20	Board Authorization to Implement Transaction	Mgmt	For	For	For

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Shanghai Environment Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
601200	CINS Y7T82C101	06/30/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Related Party Transactions	Mgmt	For	For	For
3	Approval of Line of Credit	Mgmt	For	For	For
4	Constitution of Procedural Rules for Independent Directors	Mgmt	For	For	For
5	Constitution of Management System for External Guarantees	Mgmt	For	For	For
6	Constitution of Management System for Raised Funds	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Independent Directors' Fees and Adjustments to Directors and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	Against	Against

Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	06/29/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Estimates of Ongoing Related Party Transactions	Mgmt	For	For	For
8	Appraisal Results and Directors' Fees	Mgmt	For	For	For
9	Appraisal Program for 2017	Mgmt	For	For	For
10	Approval of Entrusted Loans	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	For	For
12	Approval to Dispose of Listed Shares and Securities	Mgmt	For	For	For
13	Authority to Issue Interbank Debt Instruments	Mgmt	For	For	For
14	Approve Compliance	Mgmt	For	For	For

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	with Conditions of Bonds Issuance				
15	Size and method of issuance	Mgmt	For	For	For
16	Bond Rate	Mgmt	For	For	For
17	Maturity	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Investors and Placing Arrangements	Mgmt	For	For	For
20	Guarantee	Mgmt	For	For	For
21	Provisions	Mgmt	For	For	For
22	Credit Standing	Mgmt	For	For	For
23	Underwriting	Mgmt	For	For	For
24	Listing	Mgmt	For	For	For
25	Validity	Mgmt	For	For	For
26	Board Authorisation to Implement Corporate Bonds	Mgmt	For	For	For
27	Adoption of Share Option Scheme	Mgmt	For	For	For
28	Amendments of Management System of Connected Transactions	Mgmt	For	For	For
29	Approve Renewal of Guarantee Quota	Mgmt	For	For	For
30	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	08/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WU Yifang	Mgmt	For	For	For
2	Compliance of Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For
3	Issuing Entity	Mgmt	For	For	For
4	Place of Listing	Mgmt	For	For	For
5	Type of Securities to Be Issued	Mgmt	For	For	For
6	Target Subscribers	Mgmt	For	For	For
7	Listing Date	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Size of Issuance	Mgmt	For	For	For
10	Offer Price	Mgmt	For	For	For
11	Underwriting	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Undertaking of Maintaining Independent Listing Status of the	Mgmt	For	For	For

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	Company.				
14	Description of Sustainable Profitability and Prospects of the Group	Mgmt	For	For	For
15	Board Authority regarding Overseas Listing and Related Matters of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For
16	Provision of Assured Entitlements to H Shareholders Only in Connection with Spin-off and Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	08/31/2016	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provision of Assured Entitlements to H Shareholders Only in Connection with Spin-off and Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	09/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D117	12/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for General	Mgmt	For	For	For

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3	Meeting Amendments to Procedural Rules for Board	Mgmt	For	For	For
4	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For
5	Amendments to the CT Rules	Mgmt	For	For	For
6	Amendments to the Management System for Proceeds	Mgmt	For	For	For
7	Amendments to the Implementation Rules of Cumulative Voting System	Mgmt	For	For	For
8	Renewed Financial Services Agreement	Mgmt	For	Against	Against

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Shanghai International Airport Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600009	CINS Y7682X100	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For

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Shanghai International Port (Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600018	CINS Y7683N101	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	APPLICATION FOR 2017 DEBT FINANCING QUOTA	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Entrusted Management Agreement	Mgmt	For	For	For



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Shanghai International Port (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600018	CINS Y7683N101	06/26/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Shanghai International Port (Group) Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600018	CINS Y7683N101	09/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity Stake	Mgmt	For	For	For
2	Adjustment to Debt Financing Quota	Mgmt	For	Abstain	Against

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Shanghai Jin Jiang International Industrial Investment Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600650	CINS Y7688C118	04/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Amendments to Use of Proceeds	Mgmt	For	Abstain	Against

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Shanghai Jinjiang International Hotels Dev. Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600754	CINS Y7688C118	05/11/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Loans for a Wholly Owned Subsidiary	Mgmt	For	For	For

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Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
900932	CINS Y7686Q101	05/09/2017		Voted	

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	2017 Financing Plan	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Acceptance of Loans	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Directors' and Supervisors' Remuneration	Mgmt	For	For	For
12	Elect QIAO Wenjun as Independent Director	Mgmt	For	For	For
13	2017-2019 Shareholder Returns Plan	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
900932	CINS Y7686Q101	09/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Restructuring	Mgmt	For	For	For
2	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For
3	Object of Transaction, Transaction Counterparty and Transaction Method	Mgmt	For	For	For
4	Trading Price and Pricing Basis	Mgmt	For	For	For
5	Payment Method	Mgmt	For	For	For
6	Arrangement for Settlement of Underlying Assets	Mgmt	For	For	For
7	Attribution of Profits and Losses of Underlying Asset During the Transitional Period	Mgmt	For	For	For

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8	Arrangements for Persons Related to Assets	Mgmt	For	For	For
9	Arrangement for Signing of Agreements in Relation to Transaction	Mgmt	For	For	For
10	Agreements and Conditions of the Agreements	Mgmt	For	For	For
11	Liability for Breach of Contract	Mgmt	For	For	For
12	Valid Period of Resolution	Mgmt	For	For	For
13	Financial Statements and Evaluation Report for Transaction	Mgmt	For	For	For
14	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For
15	Related Party Transactions Involved in Asset Purchase	Mgmt	For	For	For
16	Major Asset Restructuring Constitutes Related Party Transaction	Mgmt	For	For	For
17	Relevant Agreements with Transaction Counter-parties	Mgmt	For	For	For
18	Compliance and Completeness of Legal Proceedings for Major Asset Restructuring and Effectiveness of the Legal Documents Submitted	Mgmt	For	For	For
19	Board Authorization to Implement Matters Regarding Major Asset Restructuring	Mgmt	For	For	For
20	Approval of Dilution Measures and Commitments for Asset Restructuring	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For
22	Elect LIU Jun as Supervisor	Mgmt	For	Against	Against
23	Elect LI Zuoqiang	Mgmt	For	For	For
24	Elect DENG Weili	Mgmt	For	For	For

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Shanghai Lujiazui Finance & Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
900932	CINS Y7686Q101	12/19/2016	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Eligibility for Major Assets	Mgmt	For	For	For	
2	Restructuring Compliance with Article 4 of the Provision Concerning the Regulation of Major Asset Restructuring	Mgmt	For	For	For	
3	Restructuring Does Not Constitute Related Party Transaction or Back Door Listing	Mgmt	For	For	For	
4	Approval of Self Inspection Report	Mgmt	For	For	For	
5	Target, Transaction Method and Transaction Counter-parties	Mgmt	For	For	For	
6	Transaction Price and Pricing Principles	Mgmt	For	For	For	
7	Method of Payment	Mgmt	For	For	For	
8	Liquidity Assistance	Mgmt	For	For	For	
9	Asset Delivery Time Arrangement	Mgmt	For	For	For	
10	Treatment of Loss or Gain During Transition Period	Mgmt	For	For	For	
11	Arrangement For Personnel	Mgmt	For	For	For	
12	Arrangement For Signing of Agreements and Capital	Mgmt	For	For	For	
13	Signing and Conditions of Agreements	Mgmt	For	For	For	
14	Liability for Breach of Contract	Mgmt	For	For	For	
15	Validity of Resolution	Mgmt	For	For	For	
16	Financial Statements and Assessment Reports	Mgmt	For	For	For	
17	Ratification of Fairness of Independence, Assumptions, Method and Pricing of Appraisal Report	Mgmt	For	For	For	
18	Draft Report and Summary Regarding Assets	Mgmt	For	For	For	

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19	Purchase Agreements in Relation To Transaction	Mgmt	For	For	For
20	Compliance and Completeness of Implementing Legal Proceedings, and Effectiveness of Legal Document Submitted	Mgmt	For	For	For
21	Approval of Dilution Measures for Assets Restructuring	Mgmt	For	For	For
22	Board Authorization to Implement Assets Restructuring	Mgmt	For	For	For

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Shanghai Oriental Pearl Media Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600637	CINS Y0875J103	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	2017 Related Party Transactions	Mgmt	For	For	For
10	Signing of Financial Services Agreement	Mgmt	For	For	For
11	Authority to Give Guarantees for Import and Export Business	Mgmt	For	For	For
12	Change in Company Name	Mgmt	For	For	For
13	Amendments to Company's Articles, Rules of Shareholder Meetings, and Rules of Board Meetings	Mgmt	For	For	For
14	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For

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Shanghai Oriental Pearl Media Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600637	CINS Y0875J103	11/11/2016	Voted
Meeting Type	Country of Trade		
Special	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Plan Participants	Mgmt	For	For	For
3	Source and Amount of Shares	Mgmt	For	For	For
4	Grant Price and Determination Method	Mgmt	For	For	For
5	Number of Shares	Mgmt	For	For	For
6	Validity Period, Granting Date, Lock-up Period, and Unlocking Period	Mgmt	For	For	For
7	Conditions for Granting and Unlocking	Mgmt	For	For	For
8	Granting and Unlocking	Mgmt	For	For	For
9	Income for Participants	Mgmt	For	For	For
10	Methods and Procedures for Adjusting the Amount and Price	Mgmt	For	For	For
11	Procedures on Implementing, Granting and Unlocking	Mgmt	For	For	For
12	Principle of Repurchase and Cancellation	Mgmt	For	For	For
13	Rights and Obligations for the Company and Participants	Mgmt	For	For	For
14	Alteration and Termination of Plan	Mgmt	For	For	For
15	Accounting Treatment for Plan and Impact on Company Performance	Mgmt	For	For	For
16	Mechanism for Settlement of Disputes Between Company and Plan Participants	Mgmt	For	For	For
17	Information Disclosure	Mgmt	For	For	For
18	Constitution of Procedural Rules: Restricted Stock Incentive Plan Management	Mgmt	For	For	For
19	Constitution of Procedural Rules: Implementation and Appraisal for Restricted Stock Incentive Plan	Mgmt	For	For	For
20	Board Authorization to Implement Restricted Stock	Mgmt	For	For	For

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21	Plan Constitution of Procedural Rules: Connected Transactions	Mgmt	For	For	For
22	Modification of Project Investment	Mgmt	For	For	For
23	Modification of Raised Fund Investment of Project	Mgmt	For	For	For
24	Partial Implementation of Raised Fund Investment Project	Mgmt	For	For	For
25	Use of Partial Idle Raised Funds to Purchase Wealth Management Funds and Projects	Mgmt	For	For	For
26	2016 DEBT FINANCING SCHEME	Mgmt	For	Abstain	Against
27	Eligibility for Issuance	Mgmt	For	For	For
28	Size of Issuance	Mgmt	For	For	For
29	Par Value and Issuance Price	Mgmt	For	For	For
30	Bond Type and Bond Duration	Mgmt	For	For	For
31	Interest Rate	Mgmt	For	For	For
32	Guarantee Arrangement	Mgmt	For	For	For
33	Purpose of Raised Funds	Mgmt	For	For	For
34	Method of Issuance	Mgmt	For	For	For
35	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
36	Underwriting Method	Mgmt	For	For	For
37	Listing Place	Mgmt	For	For	For
38	Guarantee Measures for Repayment of Corporate Bonds	Mgmt	For	For	For
39	Validity Period	Mgmt	For	For	For
40	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For
41	Amendments to Articles	Mgmt	For	For	For
42	Election of Supervisor	Mgmt	For	Against	Against

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Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S116	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	2017 Financial Budget	Mgmt	For	For	For

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6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Appointment of International and PRC Auditors	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Amendment to Commitment of Shares to Employee Share Ownership Committee	Mgmt	For	For	For
11	Authority to Issue Debt Instruments	Mgmt	For	For	For
12	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S116	10/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHOU Jun	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For

Shanghai Pudong Development Bank Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600000	CINS Y7689F102	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Non-Independent Director: GAO Guofu	Mgmt	For	For	For
8	Elect Non-Independent Director: FU Fan	Mgmt	For	For	For
9	Elect Independent Director: CHEN Weizhong	Mgmt	For	For	For
10	Elect SUN Wei	Mgmt	For	For	For
11	Authority to Issue Write-Down Secondary Bonds	Mgmt	For	For	For
12	Extension of	Mgmt	For	For	For



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Resolution Validity of Private Placement						
13	Board Authorization to Implement Extension of Validity	Mgmt	For	For	For	For

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Shanghai Pudong Development Bank Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
600000	CINS Y7689F102	06/28/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For	
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For	
4	Eligibility for Private Placement	Mgmt	For	For	For	
5	Issuance Price and Pricing Method	Mgmt	For	For	For	
6	Issuance Amount	Mgmt	For	For	For	
7	Validity of Resolution	Mgmt	For	For	For	
8	Preliminary Plan for Private Placement	Mgmt	For	For	For	
9	Related Party Transactions Regarding Private Placement	Mgmt	For	For	For	
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	
11	Signing of Conditional Supplementary Subscription Agreement	Mgmt	For	For	For	
12	Approval of Dilution Measures for Private Placement	Mgmt	For	For	For	
13	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For	

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Shanghai RAAS Blood Products Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002252	CINS Y7687Z100	05/04/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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						Mgmt
1	Accounts and Reports	Mgmt	For	For	For	For
2	Directors' Report	Mgmt	For	For	For	For
3	Supervisors' Report	Mgmt	For	For	For	For
4	Annual Report	Mgmt	For	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For	For
6	Related Party Transactions	Mgmt	For	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For	For
8	Increase in Registered Capital	Mgmt	For	For	For	For
9	Amendments to Articles	Mgmt	For	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002252	CINS Y7687Z100	09/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002252	CINS Y7687Z100	12/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

Shanghai Tunnel Engineering Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600820	CINS Y7692K106	06/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Board Authorization to Implement Continuous Related Party Transactions	Mgmt	For	For	For
7	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
8	Par Value and Issuance Scale	Mgmt	For	For	For
9	Maturity	Mgmt	For	For	For
10	Interest Rate and Payment Methods	Mgmt	For	For	For

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11	Issuance Methods	Mgmt	For	For	For
12	Guarantees Arrangement	Mgmt	For	For	For
13	Redemption or Resale Clause	Mgmt	For	For	For
14	Use of Raised Funds	Mgmt	For	For	For
15	Allocation of Issuance to Investors and Shareholders	Mgmt	For	For	For
16	Underwriting Methods and Listing Arrangements	Mgmt	For	For	For
17	Credit Conditions and Debt Service Coverage	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Board or Key Persons Authorization to Implement Issuance of Corporate Bonds	Mgmt	For	For	For
20	Set-Up of Raised Funds Account	Mgmt	For	For	For

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Shanghai Waigaoqiao Free Trade Zone Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600648	CINS Y7688F111	06/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval of Financing, Guarantee, Entrusted Loan and External Loan Plan	Mgmt	For	Against	Against
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Directors' and Supervisors' Fees	Mgmt	For	For	For
11	Board Authorization on Real Estate Project Reserve	Mgmt	For	For	For
12	Shareholder Returns Plan	Mgmt	For	For	For
13	Authority to Issue Medium-term Notes	Mgmt	For	For	For
14	Amendments to Projects Invested by Raised Funds	Mgmt	For	For	For

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Shanghai Zhangjiang Hi-tech Park Development Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
600895	CINS Y7699D105	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of 2017 Financing and Capital Management	Mgmt	For	For	For
7	Authority to issue Ultra Short-term Commercial Paper	Mgmt	For	For	For
8	Authority to Issue Medium-term Notes	Mgmt	For	For	For
9	Authority to Issue Private Targeted Debt Instruments	Mgmt	For	For	For

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### Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Senior Managers' Fees	Mgmt	For	For	For
7	Related transactions with Shanxi Finance Investment Holding Group Co., Ltd. and its affiliated companies	Mgmt	For	For	For
8	Related transactions with Taiyuan Iron and Steel (Group) Co., Ltd. and its affiliated companies	Mgmt	For	For	For
9	Related transactions with Shanxi International Power Group Co., Ltd. and its affiliated companies	Mgmt	For	For	For
10	Transactions with companies at which the Company's	Mgmt	For	For	For

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	directors, supervisors and senior managers serve as directors and senior managers				
11	Transactions with Deutsche Bank Co., Ltd. and its affiliated companies, and other transactions stipulated by relevant regulations	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For

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Shanxi Taigang Stainless Steel Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000825	CINS Y8481S102	10/18/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Xiangming	Mgmt	For	For	For
2	Elect ZHANG Zhifang	Mgmt	For	For	For
3	Elect CHAI Zhiyong	Mgmt	For	For	For
4	Elect HAN Zhentang	Mgmt	For	For	For
5	Elect GAO JIANBING	Mgmt	For	For	For
6	Elect XIE LI	Mgmt	For	For	For
7	Elect LI HUA	Mgmt	For	For	For
8	Elect WANG Guodong	Mgmt	For	For	For
9	Elect ZHANG Zhiming	Mgmt	For	For	For
10	Elect ZHANG Jichang	Mgmt	For	For	For
11	Elect LI Duan	Mgmt	For	For	For
12	Elect HAN Ruiping	Mgmt	For	Against	Against
13	Elect ZHANG Xiaodong	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Authority to Issue Super Short-term Commercial Paper	Mgmt	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000983	CINS Y7701C103	04/20/2017	Voted
Meeting Type		Country of Trade	
Annual		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Implementation of Related Party Transactions in 2016	Mgmt	For	For	For
7	Projection of Related	Mgmt	For	For	For

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Party Transactions in 2017						
8	Independent Directors' Report	Mgmt	For	For	For	For
9	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For	For

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Shanxi Xishan Coal and Electricity Power Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000983	CINS Y7701C103	05/09/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For	
2	Issuance Size	Mgmt	For	For	For	
3	Maturity	Mgmt	For	For	For	
4	Interest Rate and Repayment Methods	Mgmt	For	For	For	
5	Underwriting Methods and Use of Proceeds	Mgmt	For	For	For	
6	Issuance Targets	Mgmt	For	For	For	
7	Issuance Methods	Mgmt	For	For	For	
8	Guarantee Methods	Mgmt	For	For	For	
9	Debt Service Coverage	Mgmt	For	For	For	
10	Listing Market	Mgmt	For	For	For	
11	Validity of Resolution	Mgmt	For	For	For	
12	Board Authorization to Implement Issuance of Bonds	Mgmt	For	For	For	
13	Authority to Give Guarantees	Mgmt	For	For	For	

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Shenwan Hongyuan Group Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
000166	CINS Y774B4102	02/13/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Eligibility for Private Placement	Mgmt	For	For	For	
3	Stock Type and Par Value	Mgmt	For	For	For	
4	Method and Date of Issuance	Mgmt	For	For	For	
5	Targets of Issuance and Subscription Method	Mgmt	For	For	For	
6	Size of Issuance	Mgmt	For	For	For	
7	Issue Price and Pricing Principle	Mgmt	For	For	For	
8	Size and Use of Proceeds	Mgmt	For	For	For	

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9	Lock-up Period	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Arrangement of Accumulated Retained Profits	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Preliminary Plan for Private Placement	Mgmt	For	For	For
14	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Conditional Share Subscription Agreement	Mgmt	For	For	For
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
19	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Elect CHEN Jianmin as Director	Mgmt	For	Against	Against

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Shenwan Hongyuan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000166	CINS Y774B4102	04/19/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Annual Report	Mgmt	For
6	Approval of Board Authorization	Mgmt	For
7	Appointment of Auditor	Mgmt	For
8	Non-Voting Agenda Item	N/A	N/A

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Shenwan Hongyuan Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000166	CINS Y774B4102	06/05/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Eligibility for	Mgmt	For

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	Private Placement				
2	Type and Par Value	Mgmt	For	For	For
3	Issuance Method and Timing	Mgmt	For	For	For
4	Issuance Targets and Subscription Methods	Mgmt	For	For	For
5	Issuance Amount	Mgmt	For	For	For
6	Issuance Price and Pricing Principle	Mgmt	For	For	For
7	Amount and Use of Raised Funds	Mgmt	For	For	For
8	Lock-Up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Allocation of Cumulative Undistributed Profits	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preliminary Plan for the Adjustment to Private Placement	Mgmt	For	For	For
13	Feasibility Report on Raised Funds	Mgmt	For	For	For
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
15	Dilution Measures on Private Placement	Mgmt	For	For	For
16	Termination of Signing of Conditional Shares Subscription Agreement	Mgmt	For	For	For

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Shenzhen Agricultural Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000061	CINS Y7741H104	04/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CAI Ying	Mgmt	For	For	For
2	Elect HU Xianghai	Mgmt	For	For	For
3	Elect HE Weimin	Mgmt	For	For	For
4	Elect TAI Bing	Mgmt	For	For	For
5	Elect ZHANG Lei	Mgmt	For	For	For
6	Elect HUANG Shouyan	Mgmt	For	For	For
7	Elect ZHOU Wen	Mgmt	For	For	For
8	Elect CHEN Xiaohua	Mgmt	For	For	For
9	Elect LIU Luyu	Mgmt	For	For	For
10	Elect NINE Zhong	Mgmt	For	For	For
11	Elect ZHANG Zhiyong	Mgmt	For	For	For
12	Elect MEI Yuexin	Mgmt	For	For	For
13	Elect WANG Lina	Mgmt	For	For	For
14	Elect WANG Daohai	Mgmt	For	Against	Against
15	Elect LIU Mai	Mgmt	For	Against	Against



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Shenzhen Agricultural Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000061	CINS Y7741H104	05/15/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Approval to Offer Loans for Tianjian Construction Company	Mgmt	For	For	For
10	Approval to Offer Loans for Tianjian Investment Company	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000027	CINS Y7740R103	01/16/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation In Convertible Bonds Placement	Mgmt	For	For	For
2	Capital Increase in Huizhou Shenneng Investment Holding Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
000027	CINS Y7740R103	02/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Shichao	Mgmt	For	For	For
2	Application for Loan	Mgmt	For	Against	Against
3	Investment in Project Construction	Mgmt	For	For	For

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Shenzhen Energy Group Company Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
000027	CINS Y7740R103	03/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval To Subscribe H Shares	Mgmt	For	For	For
2	Capital Injection and Equity Acquisition	Mgmt	For	For	For

Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000027	CINS Y7740R103	08/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Pingyang	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Increase in Capital of a Subsidiary	Mgmt	For	For	For

Shenzhen Energy Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000027	CINS Y7740R103	11/16/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Ultra Short-term Financing Bills	Mgmt	For	For	For
2	Joint Ventures	Mgmt	For	For	For
3	Hydro-power Plant Project	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	07/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Placement to Shareholder Arrangements	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Par Value and Issuance Price	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Issuance Targets and Method	Mgmt	For	For	For

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8	Payment of Principal and Interest	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Underwriting Method	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For
14	Guarantee Measures for Corporate Bond Issuance	Mgmt	For	For	For

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Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	09/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Counter Guarantees	Mgmt	For	For	For

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Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	12/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Adoption of the Second Phrase of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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Shenzhen Infogem Technologies Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
300085	CINS Y7744Q101	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Elect ZHANG Xuejun	Mgmt	For	Against	Against
8	Elect HE Hua	Mgmt	For	For	For
9	Elect CHEN Xiangjun	Mgmt	For	Against	Against
10	Elect LI Jun	Mgmt	For	For	For
11	Elect FENG Jun	Mgmt	For	For	For

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12	Elect LIU Yi	Mgmt	For	For	For
13	Elect ZHENG Xueding	Mgmt	For	For	For
14	Elect GUO Fei	Mgmt	For	For	For
15	Elect HE Jian	Mgmt	For	For	For
16	Elect WANG Wanxin	Mgmt	For	For	For
17	Elect LI Wenzhen	Mgmt	For	Against	Against
18	Directors' Fees	Mgmt	For	For	For
19	Supervisors' Fees	Mgmt	For	For	For
20	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
21	Extension of Validity of Private Placement	Mgmt	For	For	For
22	Board Authorization to Implement Validity Extension of Private Placement	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	05/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Increase of Proprietary Funds to Invest in Wealth Management Products	Mgmt	For	For	For
9	Investment in Wealth Management Products by Idle Raised Funds	Mgmt	For	For	For
10	Replenishment of Working Capital with Raised Funds	Mgmt	For	For	For
11	Adjustment to Project Funded by Excessive Raised Funds	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	12/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt For
1	Third Phase Stock Option Incentive Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Management Measures for Implementation and Appraisal of Stock Option Plan	Mgmt	For	For	For
3	Board Authorization for Stock Option Plan Implementation	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Amendments to Procedural Rules: Financial Management System	Mgmt	For	Abstain	Against

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	02/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Jian	Mgmt	For	For	For
2	Elect DU Xuan	Mgmt	For	For	For
3	Elect LI Jieyi	Mgmt	For	For	For
4	Elect XU Minbo	Mgmt	For	For	For
5	Elect HUANG Yuxiang	Mgmt	For	For	For
6	Elect XIAO Youmei	Mgmt	For	For	For
7	Elect ZHANG Longfei	Mgmt	For	For	For
8	Elect CHEN Zhengxu	Mgmt	For	For	For
9	Elect LIU Ying	Mgmt	For	Against	Against
10	Elect LI Shicong	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	02/20/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Conditional Share Subscription Agreement	Mgmt	For	For	For
2	Related Party Transaction Involved in Termination of Conditional Share Subscription Agreement	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription	Mgmt	For	For	For

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	Method				
5	Pricing Principles	Mgmt	For	For	For
6	Size of Issuance	Mgmt	For	For	For
7	Lock-Up Period	Mgmt	For	For	For
8	Validity of Resolution	Mgmt	For	For	For
9	Preliminary Plan to Private Placement	Mgmt	For	For	For
10	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
11	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
12	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	03/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	04/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	06/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Issuance Scale	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Interest Rate and Determination Method	Mgmt	For	For	For
5	Bonds Maturity	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Repayment of Principal and Interest	Mgmt	For	For	For
8	Issuance Method	Mgmt	For	For	For

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9	Issuance Targets and Allocation to Shareholders	Mgmt	For	For	For
10	Redemption and Sale-back Clause	Mgmt	For	For	For
11	Guarantees	Mgmt	For	For	For
12	Debt Coverage Clauses	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Validity of Resolution	Mgmt	For	For	For
15	Reverse Guarantees provided by the Subsidiary to the Company	Mgmt	For	Against	Against
16	Board Authorization to Implement Bonds Issuance	Mgmt	For	For	For
17	Authority to Give Guarantees to Shenzhen Qipusheng Technology Co., Ltd.	Mgmt	For	Against	Against

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600446	CINS Y7742M102	06/29/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Directors' Fees	Mgmt	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600446	CINS Y7742M102	08/05/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Approval of Line of Credit	Mgmt	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600446	CINS Y7742M102	08/22/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Capital Increase in Controlled Subsidiary	Mgmt	For
2	Authority to Give	Mgmt	For

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Guarantees

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	11/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	11/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Shenzhen Kingdom Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600446	CINS Y7742M102	12/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	01/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Investment Project	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	03/08/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of Implementation Principal and Location of Projects	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Foreign-Exchange Derivative Transactions	Mgmt	For	For	For



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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 04/07/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 05/16/2017 Voted  
Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Shenzhen O-film Tech Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002456 CINS Y7744T105 07/11/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
2	Authority to Give Guarantees and Bank Credit	Mgmt	For	Against	Against
3	Objective of Plan	Mgmt	For	For	For
4	Administrator	Mgmt	For	For	For
5	Plan Participants	Mgmt	For	For	For
6	Plan Participants for Stock Reservation	Mgmt	For	For	For
7	Scope and List of	Mgmt	For	For	For

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	First Grant Participants and Results				
8	Source and Number of Shares	Mgmt	For	For	For
9	Validity Period, Grant Date, Lock-up Period, Unlocking Date, Restriction Period	Mgmt	For	For	For
10	Grant Price and Determination Method	Mgmt	For	For	For
11	Conditions for Granting and Unlocking	Mgmt	For	For	For
12	Methods and Procedures for Adjustments	Mgmt	For	For	For
13	Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
14	Accounting Treatment and Calculation of Fair Value	Mgmt	For	For	For
15	Procedures for Implementing Plan, Granting Rights and Interests, and Exercise and Unlocking for Plan Participants	Mgmt	For	For	For
16	Rights and Obligations for Company and Plan Participants	Mgmt	For	For	For
17	Alteration and Termination of Plan	Mgmt	For	For	For
18	Base and Scope for Plan Participants	Mgmt	For	For	For
19	Name List of Participants and Distribution Results	Mgmt	For	For	For
20	Source and Number of Underlying Stocks	Mgmt	For	For	For
21	Validity Period, Grant Date, Waiting Period and Vesting Date of Stock Option Incentive Plan	Mgmt	For	For	For
22	Exercise Price for Options and Determination Method	Mgmt	For	For	For
23	Conditions for Grant and	Mgmt	For	For	For

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24	Excercise Adjustment Method and Procedure of Plan	Mgmt	For	For	For
25	Accounting Treatment and Calculation of Fair Value of Restricted Shares	Mgmt	For	For	For
26	Draft of Plan, Procedures for Implementation, and Granting and Exercising Rights	Mgmt	For	For	For
27	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
28	Change and Termination of Stock Appreciation Right Plan	Mgmt	For	For	For
29	Constitution of Appraisal Management Measures for Stock Incentive Plan	Mgmt	For	For	For
30	Board Authorization to Implement Plan	Mgmt	For	For	For

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Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	08/29/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Issuance Volume	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Arrangement for Placement to Shareholders	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Bond Duration	Mgmt	For	For	For
8	Interest Rates and its Determination Method	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Guarantees	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Validity Period of Resolution	Mgmt	For	For	For
14	Authorization for	Mgmt	For	For	For

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Corporate Bond Issuance						
15	Authority to Give Guarantees	Mgmt	For	For	For	For
<hr/>						
Shenzhen O-film Tech Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002456	CINS Y7744T105	12/12/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles (1)	Mgmt	For	For	For	
2	Amendments to Articles (2)	Mgmt	For	For	For	
3	Authority to Give Guarantees	Mgmt	For	Against	Against	
4	Amendments to Administrative Measures for Raised Funds	Mgmt	For	For	For	
<hr/>						
Shenzhen Overseas Chinese Town Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
000069	CINS Y7742V102	05/03/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Directors' Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Independent Directors' Report	Mgmt	For	For	For	
6	Approval of Line of Credit	Mgmt	For	For	For	
7	Approval of Entrusted Loans	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	Against	Against	
9	Approval to Provide Financial Aids	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	
11	Independent Directors' Subsidies	Mgmt	For	Abstain	Against	
12	Authority to Repurchase Shares	Mgmt	For	For	For	
13	Amendments to Articles	Mgmt	For	Abstain	Against	
14	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against	
15	Equity Transfer	Mgmt	For	For	For	
<hr/>						
Shenzhen Overseas Chinese Town Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		

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000069	CINS Y7742V102	11/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ELECTION OF DIRECTOR: DUAN XIANNIAN	Mgmt	For	For	For
2	ELECTION OF DIRECTOR: WANG XIAOWEN	Mgmt	For	For	For
3	ELECTION OF DIRECTOR: WANG JIULING	Mgmt	For	For	For
4	ELECTION OF INDEPENDENT DIRECTOR: XU GANG	Mgmt	For	For	For
5	ELECTION OF INDEPENDENT DIRECTOR: YU HAILONG	Mgmt	For	Against	Against
6	ELECTION OF INDEPENDENT DIRECTOR: WU ANDI	Mgmt	For	For	For
7	ELECTION OF INDEPENDENT DIRECTOR: ZHOU JICHANG	Mgmt	For	For	For
8	Elect ZONG Jian	Mgmt	For	Against	Against
9	Elect YE Xiangyang	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

Shenzhen Salubris Pharmaceuticals Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002294	CINS Y77443102	12/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YE Chenghai	Mgmt	For	For	For
2	Elect Kevin YE Sing	Mgmt	For	Against	Against
3	Elect CAI Junfeng	Mgmt	For	For	For
4	Elect YE Yuyun	Mgmt	For	For	For
5	Elect WEN Zhongyi	Mgmt	For	For	For
6	Elect YANG Jianfeng	Mgmt	For	For	For
7	Elect HAN Wenjun	Mgmt	For	For	For
8	Elect HE Suying	Mgmt	For	For	For
9	Elect WANG Hongxin	Mgmt	For	For	For
10	Elect LI Aizhen	Mgmt	For	Against	Against
11	Elect TANG Ji	Mgmt	For	For	For
12	Independent Directors' Fees	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Independent Directors' Fees	Mgmt	For	For	For

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Shenzhen Zhongjin Lingnan Nonfemet Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000060	CINS Y77458100	05/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Elect YU Haibin	Mgmt	For	For	For
10	Elect QU Daokui	Mgmt	For	For	For
11	Elect WANG Hongyu	Mgmt	For	For	For
12	Elect SHI Zelin	Mgmt	For	For	For
13	Elect LIANG Bo	Mgmt	For	For	For
14	Elect ZHAO Qingdang	Mgmt	For	For	For
15	Elect SONG Tingfeng	Mgmt	For	For	For
16	Elect ZHU Xiangyang	Mgmt	For	For	For
17	Elect SANG Zigang	Mgmt	For	Against	Against
18	Elect QIU Yang	Mgmt	For	Against	Against
19	Elect LU Zuxiang	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sichuan Changhong Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600839	CINS Y7931Y107	07/04/2016	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Provision of Asset Impairment	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Loss Deposition	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	2016 Related Party Transactions	Mgmt	For	Against	Against
10	Approval to Increase Related Party Transactions for 2016	Mgmt	For	For	For
11	Authority to Give Guarantees to Controlled Subsidiaries	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Sichuan Changhong Group Finance Co., Ltd.	Mgmt	For	Against	Against
13	Financial Services Agreement with Sichuan Changhong Group Finance Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Changhong (Hong Kong) Trading Co., Ltd.	Mgmt	For	Against	Against
15	Foreign Exchange Transaction	Mgmt	For	For	For
16	Authority of Banknotes Pool Business	Mgmt	For	For	For
17	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
18	Elect ZHOU Jing as Independent Director	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sichuan Changhong Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600839	CINS Y7931Y107	10/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Change of Business Scope and Amendments	Mgmt	For	For	For

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to  
Articles

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Sichuan Changhong Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600839	CINS Y7931Y107	11/25/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Launch of Account Receivable Asset Securitization	Mgmt	For
			For
			For

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Sichuan Changhong Electric Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600839	CINS Y7931Y107	12/29/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Related Party Transactions	Mgmt	For
			For
2	Launch of Foreign Exchange Business	Mgmt	For
			For
3	Authority to Amend Guarantee Terms	Mgmt	For
			Against
			Against

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	05/18/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
			For
2	Supervisors' Report	Mgmt	For
			For
3	Accounts and Reports	Mgmt	For
			For
4	Allocation of Profits/Dividends	Mgmt	For
			For
5	2017 ANNUAL FINANCING WORK	Mgmt	For
			Abstain
			Against
6	Annual Report	Mgmt	For
			For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
8	Amendments to Articles	Mgmt	For
			Abstain
			Against

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Sichuan Chuantou Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	06/23/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect WANG Xiuping as Independent Director	Mgmt	For
			For
			For

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Sichuan Chuantou Energy Co., Ltd.



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Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	07/11/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect LIU Guoqiang	Mgmt	For
			For
			For

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Sichuan Chuantou Energy Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
600674	CINS Y7925C103	12/21/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect YANG Hong	Mgmt	For
			For
			For

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Sichuan Kelun Pharmaceutical Company Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
002422	CINS Y7931Q104	09/19/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For
			For
2	Issuance Size	Mgmt	For
			For
3	Bond Type and Duration	Mgmt	For
			For
4	Method of Issuance	Mgmt	For
			For
5	Interest Rate and Determination Method	Mgmt	For
			For
6	Guarantee	Mgmt	For
			For
7	Redemption or Resale Provisions	Mgmt	For
			For
8	Use of Proceeds	Mgmt	For
			For
9	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For
			For
10	Listing Arrangement	Mgmt	For
			For
11	Repayment Guarantee Measures	Mgmt	For
			For
12	Valid Period Of Resolution	Mgmt	For
			For
13	Board Authorization to Implement Debt Issuance	Mgmt	For
			For
14	Termination of Projects and Replenish Working Capital with Raised Proceeds	Mgmt	For
			For

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Sichuan Kelun Pharmaceutical Company Limited			
Ticker	Security ID:	Meeting Date	Meeting Status
002422	CINS Y7931Q104	11/14/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Implementation of Employee Restricted Shares Plan	Mgmt	For	For	For
2	Domestic and Overseas Administrative Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Implementation Bodies of Employee Restricted Shares Plan	Mgmt	For	For	For
4	Change of Use of Shares Repurchased as Source of Employee Restricted Shares Plan	Mgmt	For	For	For
5	Employee Restricted Shares Plan and Its Summary	Mgmt	For	For	For
6	Implementation and Appraisal Administrative Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
7	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions with De Facto Controller, Yongjin Investment, Yunnan International Trust, and Others	Mgmt	For	For	For
8	Related Party Transactions with Tsinghua Holdings Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with GFund Management Co., Ltd.	Mgmt	For	For	For

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10	etc. Related Party Transactions with directors, supervisors, senior management etc.	Mgmt	For	For	For
11	General Authority for Debt Financing	Mgmt	For	For	For
12	Related Party Transactions regarding Debt Financing	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	09/14/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Sinolink Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600109	CINS Y8004P106	11/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
3	Adjustment to Allowances of Independent Directors	Mgmt	For	For	For
4	Extension of Validity Period for Issuance of Shares	Mgmt	For	For	For

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Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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	Fees				
6	Authority to Issue Debt Financing Instruments	Mgmt	For	Against	Against
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Rules of Procedures for Board Meeting	Mgmt	For	For	For

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Sinopec Oilfield Service Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1033	CINS Y9841Z109	10/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participants and Scope of Participants	Mgmt	For	For	For
2	Source and Number of Underlying Shares	Mgmt	For	For	For
3	Validity Period, Grant Date, Vesting Period, Exercise Arrangements and Lock-up Provisions	Mgmt	For	For	For
4	Exercise Price and Basis of Determination	Mgmt	For	For	For
5	Grant and Exercise of Share Option	Mgmt	For	For	For
6	Mechanism and Procedures For Adjustment	Mgmt	For	For	For
7	Accounting Policy	Mgmt	For	For	For
8	Procedures of Proposed Grant and Exercise of Share Option	Mgmt	For	For	For
9	Respective Rights and Obligations of the Company and Participants	Mgmt	For	For	For
10	Solution for Special Circumstances	Mgmt	For	For	For
11	Amendments and Termination of Share Option Scheme	Mgmt	For	For	For
12	Dispute Resolution Mechanism	Mgmt	For	For	For
13	Adoption of Administrative Measures on A Share Option Scheme	Mgmt	For	For	For
14	Adoption of	Mgmt	For	For	For

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	Administrative Measures for Appraisal under A Share Option Scheme				
15	Board Authority to Implement Share Option Scheme	Mgmt	For	For	For

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Sinopec Shanghai Petrochemical Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0338	CINS Y80373114	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	2017 Financial Budget	Mgmt	For	For	For
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
7	Waiver of Pre-Emptive Rights	Mgmt	For	For	For
8	Independent Supervisors' Fees	Mgmt	For	Against	Against
9	Elect ZHAI Yalin	Mgmt	For	For	For
10	Elect FAN Qingyong	Mgmt	For	For	For
11	Elect ZHENG Yunrui	Mgmt	For	For	For
12	Elect CHOI Ting Ki	Mgmt	For	For	For
13	Amendment to the A Shares Option Incentive Scheme	Mgmt	For	For	For
14	Amendments to Articles and Rules of Procedure for Board Meetings	Mgmt	For	For	For
15	Elect WANG Zhiqing	Mgmt	For	For	For
16	Elect WU Haijun	Mgmt	For	For	For
17	Elect GAO Jinping	Mgmt	For	For	For
18	Elect JIN Qiang	Mgmt	For	For	For
19	Elect GUO Xiaojun	Mgmt	For	For	For
20	Elect ZHOU Meiyun	Mgmt	For	For	For
21	Elect LEI Dianwu	Mgmt	For	Against	Against
22	Elect MO Zhenglin	Mgmt	For	Against	Against
23	Elect ZHANG Yimin	Mgmt	For	Against	Against
24	Elect LIU Yunhong	Mgmt	For	For	For
25	Elect DU Weifeng	Mgmt	For	For	For
26	Elect PAN Fei	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sinopec Shanghai Petrochemical Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0338	CINS Y80373114	10/18/2016	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Mutual Product Supply and Sale Services Framework Agreement (2017-2019)	Mgmt	For	For	For
2	Comprehensive Services Framework Agreement (2017-2019)	Mgmt	For	For	For

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### Songcheng Performance Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	03/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and reports	Mgmt	For	For	For
3	Profit distribution plan	Mgmt	For	For	For
4	Annual report	Mgmt	For	For	For
5	Audit report	Mgmt	For	For	For
6	Directors' reports	Mgmt	For	For	For
7	Supervisors' reports	Mgmt	For	For	For
8	Appointment of audit firm	Mgmt	For	For	For
9	Repurchase and cancellation of the locked restricted shares	Mgmt	For	For	For
10	Elect YU Qinyi	Mgmt	For	For	For
11	Amendments to the company's articles of association	Mgmt	For	For	For
12	Eligibility for Private Placement	Mgmt	For	For	For
13	Stock Type and Par Value	Mgmt	For	For	For
14	Method and Time of Issuance	Mgmt	For	For	For
15	Issuance Targets	Mgmt	For	For	For
16	Issuance Price and Pricing Benchmark	Mgmt	For	For	For
17	Issuance Amount	Mgmt	For	For	For
18	Subscription Method	Mgmt	For	For	For
19	Lock-Up Period	Mgmt	For	For	For
20	Listing Market	Mgmt	For	For	For
21	Amount and Use of Raised Funds	Mgmt	For	For	For
22	Arrangement of Accumulated Undistributed Profits	Mgmt	For	For	For
23	Validity of Resolution	Mgmt	For	For	For
24	Preliminary Plan for Private	Mgmt	For	For	For

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25	Placement Feasibility Report on Use of Raised Funds	Mgmt	For	For	For
26	Analytical Report on Private Placement	Mgmt	For	For	For
27	Use of Previously Raised Funds	Mgmt	For	For	For
28	Shareholder Return Plan	Mgmt	For	For	For
29	Board Authorization to Implement Private Placement	Mgmt	For	For	For
30	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
31	Set-up of Deposit Account for Raised Funds	Mgmt	For	For	For
32	Amendments to Articles after Private Placement	Mgmt	For	Abstain	Against

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Songcheng Performance Development Co., Ltd.,

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	08/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HUANG Qiaoling	Mgmt	For	For	For
2	Elect HUANG Qiaolong	Mgmt	For	For	For
3	Elect ZHANG Xian	Mgmt	For	For	For
4	Elect ZHANG Jiankun	Mgmt	For	For	For
5	Elect LIU Yan	Mgmt	For	For	For
6	Elect SHANG Lingxia	Mgmt	For	For	For
7	Elect LAN Ke	Mgmt	For	For	For
8	Elect HE Siyuan	Mgmt	For	For	For
9	Elect FANG Dongbiao	Mgmt	For	For	For
10	ZHU Hualu	Mgmt	For	Against	Against
11	XU Jie	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules: Board of Directors	Mgmt	For	Abstain	Against
14	Directors', Supervisors', and Executives' Fees	Mgmt	For	For	For

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Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z117	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For

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3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Approval of Investment Quota	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Independent Directors' Fees	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

Soochow Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601555	CINS Y8074Z109	07/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds from Private Placement	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Arrangements regarding Placement to Existing Shareholder	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Interest Rate	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Listing Place	Mgmt	For	For	For
8	Guarantee Matters	Mgmt	For	For	For
9	Validity Period of Resolution	Mgmt	For	For	For
10	Board Authorization Matters	Mgmt	For	For	For
11	Repayment Guarantee Measures	Mgmt	For	For	For
12	Authority to Issue Short-term Corporate Bonds	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600369	CINS Y8103U105	03/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect LIAO Qingxuan	Mgmt	For	For	For
3	Elect LI Jianming	Mgmt	For	For	For
4	Elect WU Jian	Mgmt	For	For	For
5	Elect ZHANG Chunyong	Mgmt	For	For	For
6	Elect ZHANG Gang	Mgmt	For	For	For
7	Elect WAN Shubin	Mgmt	For	For	For
8	Elect ZHAO Rubing	Mgmt	For	For	For
9	Elect LUO Wei	Mgmt	For	For	For
10	Elect FU Daqing	Mgmt	For	For	For
11	Elect JIANG Hui	Mgmt	For	Against	Against
12	Elect LI Bo	Mgmt	For	For	For



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Southwest Securities Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600369	CINS Y8103U105	05/10/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Chongqing Yufu Asset Management Group Co., Ltd	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	China Construction Bank Investment Co., Ltd. and China Construction Investment Trust Co., Ltd.	Mgmt	For	For	For	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Chongqing Jiangbeizui Central Business District Investment Group Co., Ltd and Affiliated Companies	Mgmt	For	For	For	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Chongqing Urban Construction Investment (Group) Co., Ltd and Affiliated Companies	Mgmt	For	For	For	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
13	Chongqing Expressway Group Co., Ltd. and Affiliated Companies	Mgmt	For	For	For	
14	Other Transactions	Mgmt	For	For	For	
15	Annual Report	Mgmt	For	For	For	
16	Supervisors' Report	Mgmt	For	For	For	

Southwest Securities Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
600369	CINS Y8103U105	05/10/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Chongqing Yufu Asset Management Group Co., Ltd	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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7	China Construction Bank Investment Co., Ltd. and China Construction Investment Trust Co., Ltd.	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Chongqing Jiangbeizui Central Business District Investment Group Co., Ltd and Affiliated Companies	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Chongqing Urban Construction Investment (Group) Co., Ltd and Affiliated Companies	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Chongqing Expressway Group Co., Ltd. and Affiliated Companies	Mgmt	For	For	For
14	Other Transactions	Mgmt	For	For	For
15	Annual Report	Mgmt	For	For	For
16	Supervisors' Report	Mgmt	For	For	For

Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600369	CINS Y1584M106	08/03/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect WU Jian	Mgmt	For
2	Charitable Donations	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For

Southwest Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600369	CINS Y1584M106	11/25/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For

Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
601021	CINS Y8131G102	02/13/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Framework Agreement for Connected Transactions	Mgmt	For
2	WANG Zhenghua	Mgmt	For

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3	ZHANG Xiuzhi	Mgmt	For	For	For
4	YANG Suying	Mgmt	For	For	For
5	WANG Yu	Mgmt	For	For	For
6	WANG Zhijie	Mgmt	For	For	For
7	QIAN Shizheng	Mgmt	For	For	For
8	CHEN Naiwei	Mgmt	For	For	For
9	LU Chao	Mgmt	For	For	For
10	XU Guoping	Mgmt	For	Against	Against
11	TANG Fang	Mgmt	For	For	For

Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Against	Against
10	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For

Spring Airlines Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
601021	CINS Y8131G102	09/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Targets and Subscription Method	Mgmt	For	For	For
5	Issuing Volume	Mgmt	For	For	For
6	Pricing Base Date, Issuing Price and Pricing	Mgmt	For	For	For
7	Principal Lock-up Period and Listing Arrangement	Mgmt	For	For	For
8	Amount and Purpose of Raised Funds	Mgmt	For	For	For
9	Accumulated Retained	Mgmt	For	For	For

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	Profits and Arrangement Before Issuance				
10	Validity Period of Resolution	Mgmt	For	For	For
11	Pre-plan for Private Placement	Mgmt	For	For	For
12	Feasibility Report for Private Placement	Mgmt	For	For	For
13	Report on Previously Raised Funds	Mgmt	For	For	For
14	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
15	Board Authorization to Implement Private Placement	Mgmt	For	For	For
16	Plan Participants and Scope	Mgmt	For	For	For
17	Source, Number and Distribution of Restricted Stocks	Mgmt	For	For	For
18	Validity Period, Granting Date, Lock-up Period, Unlocking Date and Non-tradeable Period for Plan	Mgmt	For	For	For
19	Grant Price and Determination Method	Mgmt	For	For	For
20	Conditions for Granting and Unlocking	Mgmt	For	For	For
21	Methods and Procedures for Adjusting Plan	Mgmt	For	For	For
22	Accounting Treatment of Plan	Mgmt	For	For	For
23	Procedures on Implementation, Granting and Unlocking	Mgmt	For	For	For
24	Rights and Obligations of the Company and Plan Participants	Mgmt	For	For	For
25	Alteration and Termination of Plan	Mgmt	For	For	For
26	Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
27	Constitution of Procedural Rules: Appraisal Management	Mgmt	For	For	For

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Measures for Restricted Stock Incentive Plan						
28	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For	

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Suning Commerce Group Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
002024	CINS Y82211106	01/19/2017			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Modification of Project Investment	Mgmt	For	For	For	
2	Expansion of Business Scope	Mgmt	For	For	For	
3	Amendments to Articles of Association	Mgmt	For	For	For	

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Suning Commerce Group Co., Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
002024	CINS Y82211106	03/02/2017			Voted	
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Non-Independent Director: ZHANG Jindong	Mgmt	For	For	For	
2	Elect Non-Independent Director: SUN Weimin	Mgmt	For	Against	Against	
3	Elect Non-Independent Director: REN Jun	Mgmt	For	Against	Against	
4	Elect Non-Independent Director: MENG Xiangsheng	Mgmt	For	For	For	
5	Elect Non-Independent Director: ZHANG Yu	Mgmt	For	For	For	
6	Elect Non-Independent Director: YANG Guang	Mgmt	For	For	For	
7	Elect Independent Director: SHEN Houcai	Mgmt	For	For	For	
8	Elect Independent Director: LIU Shiping	Mgmt	For	For	For	
9	Elect Independent Director: FANG Xianming	Mgmt	For	For	For	
10	Elect LI Jianying	Mgmt	For	Against	Against	
11	Elect WANG Xiaoling	Mgmt	For	For	For	
12	Approval to Set Up A	Mgmt	For	For	For	

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13	Special Committee Amendments to Articles	Mgmt	For	For	For
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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value, Size and Method of Issuance	Mgmt	For	For	For
3	Targets of Issuance	Mgmt	For	For	For
4	Duration of Bond	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Listing Market	Mgmt	For	For	For
7	Guarantee Arrangement	Mgmt	For	For	For
8	Validity of Resolution	Mgmt	For	For	For
9	Repayment Guarantee Measures	Mgmt	For	For	For
10	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Previous Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of Wealth Management	Mgmt	For	For	For
10	Investment in Wealth Management Products Using Idle Funds	Mgmt	For	For	For
11	Approval of Risk Investment	Mgmt	For	For	For
12	Expansion of Business Scope	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

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Suning Commerce Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	11/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan for Transfer of Equity of Subsidiary	Mgmt	For	For	For
2	Proposal to Provide Financial Aid	Mgmt	For	For	For

Suning Universal Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000718	CINS Y8234T100	01/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For

Suning Universal Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000718	CINS Y8234T100	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Appointment of Internal Control Auditor	Mgmt	For	Against	Against
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Related Party Transactions	Mgmt	For	For	For
10	Termination of Asset Purchase	Mgmt	For	For	For

Sunshine City Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	01/03/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	COOPERATION WITH BANK OF JIAXING	Mgmt	For	Against	Against
3	Authority to Give Guarantees	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	01/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Amendments to Guarantee Provisions	Mgmt	For	Against	Against

Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	01/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Restructuring	Mgmt	For	For	For
2	Overall Plan of Transaction	Mgmt	For	For	For
3	Transaction Counterparts	Mgmt	For	For	For
4	Underlying Assets	Mgmt	For	For	For
5	Transaction Method	Mgmt	For	For	For
6	Transaction Consideration and Payment Method	Mgmt	For	For	For
7	Arrangement for the Profits and Losses of Underlying Asset During Transitional Period	Mgmt	For	For	For
8	Arrangement for Settlement of Underlying Assets	Mgmt	For	For	For
9	Disposal of Creditors Rights and Debts	Mgmt	For	For	For
10	Staff Arrangement Scheme	Mgmt	For	For	For
11	Major Asset Restructuring Non-constitution of Back-door Listing	Mgmt	For	For	For
12	Compliance with Article 4 of Regulations for Major Asset Restructuring	Mgmt	For	For	For
13	Report on Major Asset Purchase	Mgmt	For	For	For
14	Self-examination Report on Real Estate Business for Asset	Mgmt	For	For	For



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15	Restructuring Signing of Agreements Related to Asset Restructuring	Mgmt	For	For	For
16	Audit Report and Evaluation Report for Major Asset Restructuring	Mgmt	For	For	For
17	Independence of Evaluation Institution, Rationality of Evaluation, Correlation between Evaluation Method and Objectivity and Fairness of Pricing	Mgmt	For	For	For
18	Statement Regarding Board on Compliance and Completeness of Implementing Legal Proceedings and Effectiveness of Legal Documents for Major Asset Restructuring	Mgmt	For	For	For
19	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
20	Board Authorization to Implement Major Asset Restructuring	Mgmt	For	For	For

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Honghui Real Estate	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Zhenbaili Real Estate	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Fujingthai Properties	Mgmt	For	Against	Against
2	Authority to Give	Mgmt	For	Against	Against

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3	Guarantees to Fuzelong Real Estate Authority to Give Guarantees to Honghui Real Estate	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Huiderong Real Estate	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Huirong Xinyue Real Estate	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Leetenghui Real Estate	Mgmt	For	Against	Against
7	Authority to Receive Guarantees from Subsidiaries: RMB 1.05 Billion	Mgmt	For	Against	Against
8	Authority to Receive Guarantees from Subsidiaries: RMB 6.28 Billion	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	02/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Sunan Sunshine City Property (Suzhou) Co., Ltd	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Zhengzhou Xinlanguang Real Estate Development Co., Ltd	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhengzhou City Xutiansheng Real Estate Development Co., Ltd	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000671	CINS Y2653W108	03/15/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Fuyang Zhongda Real Estate	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Chengdu Zhezhongda Real Estate	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhongda Real Estate Shangyu Company	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Sunan Sunshine City Property Development	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Honghui Real Estate	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	03/30/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees: Fuzelong	Mgmt	For	Against	Against
2	Authority to Give Guarantees: Fuliteng	Mgmt	For	Against	Against
3	Authority to Give Guarantees: Fushan	Mgmt	For	Against	Against
4	Authority to Give Guarantees: Junlong	Mgmt	For	Against	Against
5	Authority to Give Guarantees: Xiamen Properties	Mgmt	For	Against	Against
6	Authority to Give Guarantees: Changsha Zhongfan Properties	Mgmt	For	Against	Against
7	Authority to Give Guarantees: Guangda Sunshine	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	04/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Non-independent Director: LIN Tengjiao	Mgmt	For	For	For
2	Elect Non-independent Director: HE Mei	Mgmt	For	For	For
3	Elect Non-independent Director: LIN Yihui	Mgmt	For	For	For
4	Elect Non-independent Director: LIAO Jianfeng	Mgmt	For	For	For
5	Elect Non-independent Director: ZHANG Zhichao	Mgmt	For	For	For
6	Elect Non-independent Director: MI Hongjun	Mgmt	For	For	For
7	Elect Independent Director: LU Xiaoma	Mgmt	For	For	For
8	Elect Independent Director: LIU Jingdong	Mgmt	For	For	For
9	Elect Independent Director: CHEN Hanwen	Mgmt	For	For	For
10	Elect WU Jie	Mgmt	For	Against	Against
11	Elect CHEN Chao	Mgmt	For	For	For
12	Authority to Give Guarantees to Beijing Fuliyuan Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Xian Lude Real Estate Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Fujian Sunshine Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Zhongda Nanchang Real Estate Group Co., Ltd	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Fuzhou Rongjin Xintai Real Estate Development Co., Ltd	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000671	CINS Y2653W108	04/17/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

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1	Authority to Give Guarantees for Subsidiary: Fujingtai Properties	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Subsidiary: New South City Real Estate (For Loan of RMB 400 million)	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Subsidiary: New South City Real Estate (For Loan of RMB 1 billion)	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Subsidiary: Changfeng Properties	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Directors', Supervisors', and Senior Executives' Fees	Mgmt	For	For	For
9	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Approval of Applying Financial Quota from Bank	Mgmt	For	Against	Against
11	Management Authorization to Bid Lands	Mgmt	For	For	For
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Fujian Honghui Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Guangdong Yitaowan Guofang Real Estate	Mgmt	For	Against	Against

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	Co., Ltd.				
15	Authority to Give Guarantees to Haizhende Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	06/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Joint Venture Investment Fund	Mgmt	For	For	For
2	Authority to Give Guarantees to Subsidiary: Fujian Honghui Real Estate	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Subsidiary: Xian Guozhong Xingcheng	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Subsidiary: Fujian Zhenyang Real Estate	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Subsidiary: Beijing Fuxing Sheng Real Estate	Mgmt	For	Against	Against
6	Authority for Subsidiary to Give Guarantees	Mgmt	For	Against	Against

Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	06/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Factoring Cooperation with Nuoya Commercial	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Xiamen Xiangyang	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Taiyuan Changfeng	Mgmt	For	Against	Against
4	Authority to Give Guarantees for	Mgmt	For	Against	Against

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5	Beijing Fuxingsheng Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	06/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Beijing Zhende Real Estate Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Jiangsu Zhongang Property Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Shanghai Xin Haoze Real Estate Co., Ltd.	Mgmt	For	Against	Against

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Sunshine City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000671	CINS Y2653W108	12/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Non-Public Offering of Corporate Bonds	Mgmt	For	For	For
2	Issuance Size and Method	Mgmt	For	For	For
3	Issuance Targets	Mgmt	For	For	For
4	Bond Maturity	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Guarantee Terms	Mgmt	For	For	For
7	Listing Market	Mgmt	For	For	For
8	Validity Period of Resolution	Mgmt	For	For	For
9	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
10	Amendments to Issuance of Commercial Papers	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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002081	CINS Y8315V107	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Draft Pool Business	Mgmt	For	For	For
9	Transfer of Financial Assets and Repurchase Business	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect SHI Guoping as Non-Independent Director	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002081	CINS Y8315V107	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Expansion of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
5	Constitution of Procedural Rules: Risk Investment	Mgmt	For	For	For
6	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For	Abstain	Against
7	Amendments to Procedural Rules: External Guarantee	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees for House Rental	Mgmt	For	For	For
9	Authority to Give Guarantees for Subsidiary	Mgmt	For	For	For
10	Approval of Guarantee Amount for	Mgmt	For	For	For



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11	Subsidiaries Authority to Give Guarantees to Gold Mantis Deluxe Science and Technology (Suzhou) Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees to Singapore Gold Mantis Pte. Ltd.	Mgmt	For	For	For
13	Elect ZHU Panying as Supervisor	Mgmt	For	Against	Against

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	04/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Progress of Asset Acquisition by Stock and Extension of Resuming Trading	Mgmt	For	For	For
2	Approval of Line of Credit	Mgmt	For	For	For
3	Approval to Implement Venture Capital	Mgmt	For	For	For
4	Elect BU Yong as Non-Independent Director	Mgmt	For	For	For

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Increase in Authorized Capital and Amendments to Articles	Mgmt	For	For	For

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Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	06/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect GAO Yugen	Mgmt	For	For	For
2	Elect QIAO Yi	Mgmt	For	For	For
3	Elect WU Jiafu	Mgmt	For	For	For
4	Elect BU Yong	Mgmt	For	For	For
5	Elect HUANG Peng	Mgmt	For	For	For
6	Elect FENG Chuan	Mgmt	For	For	For
7	Elect XU Yonghong	Mgmt	For	Against	Against
8	Elect CHEN Xi	Mgmt	For	For	For
9	Authority to Issue Short-term Commercial Papers and Medium-Term Notes	Mgmt	For	For	For
10	Authority to Medium-Term Notes	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	01/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Bond Issuance	Mgmt	For	For	For
2	Authority to Issue Debt Instruments	Mgmt	For	For	For
3	Board Authorization to Debt Issuance	Mgmt	For	For	For

Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Issue Commercial Paper	Mgmt	For	For	For
10	Amendments to Procedural Rules: Senior Management's Risk Compensation	Mgmt	For	For	For
11	Employee Stock Ownership	Mgmt	For	For	For

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12	Plan Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
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Tasly Pharmaceutical Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600535	CINS Y88318103	06/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees for Wholly-owned Subsidiary to Issue Oversea Bonds	Mgmt	For	For	For
3	Authority to Increase Guarantees for Wholly-owned Subsidiaries	Mgmt	For	For	For
4	Authority for Wholly-owned Subsidiary to Issue Oversea Short Term Bonds	Mgmt	For	For	For

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TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	04/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Register and Issue	Mgmt	For	For	For
3	Increase Investment Quota in Wealth Management Products	Mgmt	For	For	For
4	INVESTMENT IN THE CONSTRUCTION OF A PROJECT	Mgmt	For	For	For

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TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	05/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For

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6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Appointment of Auditor	Mgmt	For	For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	09/09/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Guarantee Amount	Mgmt	For	Against	Against

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	09/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Signing of Project Agreement	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	11/03/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Trade Suspension for Asset Reorganization	Mgmt	For	For	For

TCL Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y8549C107	12/21/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Bond Duration	Mgmt	For	For	For
4	Interest Rate and its Determination Method	Mgmt	For	For	For
5	Purpose of Raised Funds	Mgmt	For	For	For
6	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
7	Method of Issuance	Mgmt	For	For	For
8	Guarantee Method	Mgmt	For	For	For
9	Repayment Guarantee	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Board Authorization to Implement Corporate	Mgmt	For	For	For

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Bond  
Issuance

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	02/07/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	03/24/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-Term Notes	Mgmt	For	For	For

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	05/08/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Independent Directors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Hedging and Forward Foreign Exchange Transactions	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Issue Ultra Short-term Commercial Papers	Mgmt	For	For	For
11	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	05/11/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and	Mgmt	For	For	For

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### Use of Raised Funds

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	08/11/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Feng	Mgmt	For	For	For

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	09/20/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tebian Electric Apparatus Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600089	CINS Y8550D101	10/12/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility to Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Basis, Ratio and Amount of the Rights Issue	Mgmt	For	For	For
5	Rights Issue, Pricing Basis and Rights Issue Price	Mgmt	For	For	For
6	Placement Targets	Mgmt	For	For	For
7	Amount and Purpose of Raised Funds	Mgmt	For	For	For
8	Underwriting Method	Mgmt	For	For	For
9	Issuance Date	Mgmt	For	For	For
10	Distribution Plan of Accumulated Retained Profit Before Rights Issue	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Issuance of Shares w/ Preemptive Rights Pre-plan	Mgmt	For	For	For
13	Feasibility Report on the Use of Funds	Mgmt	For	For	For
14	Approval of Dilution	Mgmt	For	For	For

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Measures for Share Issuance						
15	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For	For
16	Board Authorization to Implement Share Issuance	Mgmt	For	For	For	For
17	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For	For

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The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601099	CINS Y6587L104	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Plan	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601099	CINS Y6587L104	06/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
3	Amendments to Use of Proceeds	Mgmt	For	For	For

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4	Extension of Validity of Issuance of Convertible Bonds	Mgmt	For	For	For
5	Extension of Board Authorization to Implement Issuance of Convertible Bonds	Mgmt	For	For	For

The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601099	CINS Y6587L104	07/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Boan	Mgmt	For	For	For
2	Elect HE Zhongze	Mgmt	For	For	For
3	Elect HUANG Huixin	Mgmt	For	For	For
4	Elect ZHENG Yanan	Mgmt	For	For	For
5	Elect ZHANG Xian	Mgmt	For	For	For
6	Elect YANG Zhifeng	Mgmt	For	For	For
7	Elect DING Ji	Mgmt	For	For	For
8	Elect ZHENG Yihua	Mgmt	For	Against	Against
9	Elect HUANG Jingbo	Mgmt	For	For	For
10	Constitution of Procedural Rules: External Investment Management System	Mgmt	For	Abstain	Against

The Pacific Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601099	CINS Y6587L104	09/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Report on Previously Raised Funds	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	ADJUSTMENT TO 2016 AUDIT FEE	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	01/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Abstain	Against
3	Related Party	Mgmt	For	Abstain	Against



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4	Transactions Investment in Project Construction	Mgmt	For	For	For
<hr/>					
Tianjin Zhonghuan Semiconductor Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Change in Use of Raised Funds	Mgmt	For	For	For
10	Authority to Issue Wealth Management Financing Tool	Mgmt	For	For	For
11	Authority to Issue Overseas Bonds	Mgmt	For	For	For
12	Elect QIN Yumao	Mgmt	For	For	For
13	Elect AN Yanqing	Mgmt	For	For	For
14	Elect ZHANG Changxu	Mgmt	For	For	For
<hr/>					
Tianqi Lithium Industries, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIANG Weiping	Mgmt	For	For	For
2	Elect WU Wei	Mgmt	For	For	For
3	Elect ZOU Jun	Mgmt	For	For	For
4	Elect JIANG Anqi	Mgmt	For	For	For
5	Elect DU Kunlun	Mgmt	For	For	For
6	Elect PAN Ying	Mgmt	For	For	For
7	Elect WEI Xianghui	Mgmt	For	For	For
8	YANG Qing	Mgmt	For	For	For
9	YAN Jin	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
<hr/>					
Tianqi Lithium Industries, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	03/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Supervisory Committee	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules: Independent Directors	Mgmt	For	Abstain	Against
6	Amendments to Procedural Rules: External Guarantee Management System	Mgmt	For	Abstain	Against
7	Amendments to Procedural Rules: Decision Making System for Related Party Transactions	Mgmt	For	Abstain	Against

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Tianqi Lithium Industries, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002466	CINS Y79327105	04/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Provisions for Asset Depreciation	Mgmt	For	For	For	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	For	For	
5	Supervisors' Report	Mgmt	For	For	For	
6	Directors' Report	Mgmt	For	For	For	
7	Annual Report	Mgmt	For	For	For	
8	Directors' and Supervisors' Fees	Mgmt	For	For	For	
9	Appointment of Auditor	Mgmt	For	For	For	
10	Related Party Transactions	Mgmt	For	For	For	
11	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against	
12	Auditor Appointment System	Mgmt	For	Abstain	Against	

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Tianqi Lithium Industries, Inc.			
Ticker	Security ID:	Meeting Date	Meeting Status
002466	CINS Y79327105	05/10/2017	Voted

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Sale of Equity Interests in a Joint Stock Company	Mgmt	For	For	For
2	Eligibility for Issuance of Stocks w/ Preemptive Rights	Mgmt	For	For	For
3	Type and Par Value	Mgmt	For	For	For
4	Issuance Methods	Mgmt	For	For	For
5	Issuance Base, Ratio and Size	Mgmt	For	For	For
6	Pricing Basis and Issuance Price	Mgmt	For	For	For
7	Issuance Target	Mgmt	For	For	For
8	Allocation of Undistributed Cumulative Profits	Mgmt	For	For	For
9	Date of Issuance	Mgmt	For	For	For
10	Underwriting Methods	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Preliminary Plan for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
17	Indemnification Arrangements for Diluted Current Earnings and Relevant Commitments	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For
19	Board Authorization to Implement Issuance of Shares	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600867	CINS Y8864T107	05/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Annual Report	Mgmt	For	For	For

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4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Fees of Chairman of Board of Directors	Mgmt	For	For	For
10	Fees of Chairman of Supervisory Board	Mgmt	For	For	For
11	Elect LI Yikui	Mgmt	For	For	For
12	Elect WANG Junye	Mgmt	For	Against	Against
13	Elect LENG Chunsheng	Mgmt	For	For	For
14	Elect LI Cong	Mgmt	For	For	For
15	Elect LI Fengqin	Mgmt	For	Against	Against
16	Elect CAO Fubo	Mgmt	For	For	For
17	Elect An Yaren	Mgmt	For	For	For
18	Elect SHI Wei	Mgmt	For	For	For
19	Elect WANG Yanming	Mgmt	For	For	For
20	Elect CHENG Jianqiu	Mgmt	For	For	For
21	Elect WANG Dianduo	Mgmt	For	Against	Against

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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600867	CINS Y8864T107	08/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Construction Projects	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Tonghua Dongbao Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600867	CINS Y8864T107	11/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Registered Capital and Articles of Association	Mgmt	For	For	For

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Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	03/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect YANG Jun	Mgmt	For	For	For
2	Elect GONG Huadong	Mgmt	For	For	For
3	Elect LI Wen	Mgmt	For	For	For
4	Elect XU Wuqi	Mgmt	For	For	For
5	Elect HU Xinfu	Mgmt	For	For	For
6	Elect JIANG Peijin	Mgmt	For	For	For
7	Elect DING Shiqi	Mgmt	For	For	For
8	Elect WU Heping	Mgmt	For	For	For
9	Elect PAN Lisheng	Mgmt	For	For	For
10	Elect WANG Chang	Mgmt	For	For	For
11	Elect WANG Li	Mgmt	For	For	For
12	Elect LIU Fanglai	Mgmt	For	For	For
13	Elect CHEN Mingyong	Mgmt	For	Against	Against
14	Elect WANG Nongsheng	Mgmt	For	Against	Against
15	Elect XIE Shuorong	Mgmt	For	Against	Against
16	Approval to Set Up a Wholly Owned Subsidiary	Mgmt	For	For	For
17	Approval of Project Construction	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000630	CINS Y0138F104	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Launch of Foreign Exchange Business	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	Against	Against
9	PROVISION FOR ASSETS IMPAIRMENT	Mgmt	For	For	For
10	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	2017 Estimated Related Party Transactions	Mgmt	For	Against	Against
12	2016 Exceeded Estimated Related Party Transactions	Mgmt	For	For	For
13	Continue Related Party Transactions with Tongling Nonferrous Metals Group Finance Co., Ltd.	Mgmt	For	Against	Against

Tongling Nonferrous Metals Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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000630	CINS Y0138F104	07/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Contingency of Private Placement	Mgmt	For	For	For
2	Approval of Revised Plan of Private Placement	Mgmt	For	For	For
3	Supplemental Agreements for Conditional Subscription Agreements of Private Placement	Mgmt	For	For	For
4	Related Party Transactions Involved in Private Placement	Mgmt	For	For	For

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Triangle Tyre Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601163	CINS Y89772100	06/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Independent Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Approval of Line of Credit and Borrowing	Mgmt	For	Against	Against
12	Independent Directors' Fees	Mgmt	For	For	For
13	Cash Management Quota	Mgmt	For	For	For
14	DING YUHUA	Mgmt	For	For	For
15	ZHANG TAO	Mgmt	For	For	For
16	SHAN GUOLING	Mgmt	For	For	For
17	LIN XIAOBIN	Mgmt	For	Against	Against
18	DING MU	Mgmt	For	For	For
19	CHI LEI	Mgmt	For	For	For
20	LIU FENG	Mgmt	For	For	For
21	FAN XUEJUN	Mgmt	For	For	For
22	FANG QIAOLING	Mgmt	For	For	For
23	WANG FENG	Mgmt	For	Against	Against
24	XING YONGJIAN	Mgmt	For	Against	Against
25	YANG LIQIN	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
600100	CINS Y8997R119	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Adjustment to Business Scope	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Appointment of Internal Control Auditor	Mgmt	For	For	For
10	Authority to Set Auditor's Fees	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	Against	Against
12	Authority to Give Guarantees	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Subsidiaries with over 70% Leverage Ratio	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Subsidiaries when Cumulative Guarantees Exceeding 50% of Net Assets	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Shenzhen Huarongtai, Tongfa Investment and their subsidiaries	Mgmt	For	Against	Against
16	Authorization of Chairman or President to Give Guarantees	Mgmt	For	Against	Against
17	Signing of Financing Services Agreement	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Yuxi Huakong	Mgmt	For	Against	Against
19	Authority to Give Guarantees for Overseas Bonds Issuance	Mgmt	For	Against	Against
20	Elect ZHAO Jing	Mgmt	For	For	For
21	Elect JIANG Yigang	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600100	CINS Y8997R119	06/23/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Amount of Funds for Cash Management	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600100	CINS Y8997R119	07/29/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Share Subscription in Private Placement of Shenzhen Huakong Seg Co., Ltd.	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600100	CINS Y8997R119	09/20/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Long and Medium-term Notes	Mgmt	For	For	For
2	Authority to Issue 5 Billion Commercial Paper	Mgmt	For	For	For
3	Capital Increase of Wholly-owned Subsidiary	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600100	CINS Y8997R119	11/28/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase of a Subsidiary	Mgmt	For	For	For

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Tsinghua Tongfang Co. Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
600100	CINS Y8997R119	12/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Transfer of Equity	Mgmt	For	For	For
2	CASH MANAGEMENT WITH TEMPORARILY IDLE SELF-OWNED FUNDS	Mgmt	For	For	For

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Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000826	CINS Y8076H107	05/12/2017	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
8	Report on Use of Previously Raised Funds	Mgmt	For	For	For
9	Expansion in Business Scope	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
12	Amendments to Management System for Related Party Transactions	Mgmt	For	Abstain	Against
13	Approval of Line of Credit	Mgmt	For	Against	Against
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Asset Securitization	Mgmt	For	For	For
16	Signing of Financial Services Agreement	Mgmt	For	For	For
17	Authority to Issue Medium-term Notes	Mgmt	For	For	For
18	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For
19	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
20	Related Party Transactions	Mgmt	For	For	For
21	Elect ZHANG Zhonghua as Non-Independent Director	Mgmt	For	For	For
22	Extension of Validity of Private	Mgmt	For	For	For

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23	Placement Board Authorization to Implement Extension of Validity	Mgmt	For	For	For
24	Capital Increase in Subsidiaries	Mgmt	For	For	For

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Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	08/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Long and Medium-term Notes	Mgmt	For	For	For
2	Application for General Line of Credit	Mgmt	For	For	For
3	Guarantees for Subsidiary for Fund	Mgmt	For	For	For
4	Guarantees for Subsidiary for Loan	Mgmt	For	For	For
5	Amendments to Procedural Rules: Board of Directors	Mgmt	For	Abstain	Against

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Tus-Sound Environmental Resources Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000826	CINS Y8076H107	12/16/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Private Placement Scheme	Mgmt	For	For	For
2	Revised Private Placement Pre-plan	Mgmt	For	For	For
3	Zhuhai Qidi Investment Management Co., Ltd.	Mgmt	For	For	For
4	Zhuhai inspiration Lvyuan Capital Management Limited	Mgmt	For	For	For
5	Tibet Clearing Asset Management Co., Ltd.	Mgmt	For	For	For
6	Nantong Jinxin Haohai Investment Center (limited partnership)	Mgmt	For	For	For
7	Tibet Sound Investment Holdings Limited	Mgmt	For	For	For

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8	Harvest Fund Management Limited	Mgmt	For	For	For
9	Huitianfu Fund Management Co., Ltd.	Mgmt	For	For	For
10	Bonbon Asset Management Limited	Mgmt	For	For	For
11	Termination of Share Subscription Agreement	Mgmt	For	For	For
12	Related Party Transactions involved in Private Placement	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
14	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
15	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
16	Authority to Issue Medium-term Notes	Mgmt	For	For	For
17	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For

Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	03/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: ZHAO Weiguo	Mgmt	For	For	For
2	Elect Non-Independent Director: REN Zhijun	Mgmt	For	For	For
3	Elect Non-Independent Director: GAO Qiquan	Mgmt	For	For	For
4	Elect Non-Independent Director: WANG Huixuan	Mgmt	For	For	For
5	Elect Independent Director: CHEN Jinzhan	Mgmt	For	For	For
6	Elect Independent Director: CHEN Xian	Mgmt	For	For	For
7	Elect Independent Director: WANG Liyan	Mgmt	For	For	For
8	Elect WANG Zhihua	Mgmt	For	For	For

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9	Elect ZHENG Bo	Mgmt	For	For	For
10	Directors' and Supervisors' Fees	Mgmt	For	For	For
11	Assets Acquisition	Mgmt	For	For	For

Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	04/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	05/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension Due to Assets Restructuring	Mgmt	For	For	For

Unisplendour Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000938	CINS Y8997B106	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor, Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority for Wholly-owned Subsidiaries to Give Guarantees to their Subsidiaries	Mgmt	For	For	For
9	Authority to Give Guarantees to Wholly-owned Subsidiaries	Mgmt	For	For	For

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10	Signing of Financial Services Agreement	Mgmt	For	For	For
11	Elect ZHAO Weiguo	Mgmt	For	For	For
12	Elect YU Yingtao	Mgmt	For	For	For
13	Elect ZENG Xuezhong	Mgmt	For	For	For
14	Elect WANG Hongtao	Mgmt	For	For	For
15	Elect LIN Gang	Mgmt	For	For	For
16	Elect ZHAO Mingsheng	Mgmt	For	For	For
17	Elect WANG Xinxin	Mgmt	For	For	For
18	Elect KANG Xufang	Mgmt	For	For	For
19	Elect ZHU Wuxiang	Mgmt	For	Against	Against

Unisplendour Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000938	CINS Y8997B106	12/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees for Credit Facilities	Mgmt	For	For	For
4	Authority to Give Guarantees for Manufacturer Application for Credit	Mgmt	For	For	For

Wan Xiang Qianchao Shares Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000559	CINS Y9505H106	05/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Public Issuance of Shares	Mgmt	For	Against	Against
3	Stock Type and Par Value	Mgmt	For	Against	Against
4	Method of Issuance	Mgmt	For	Against	Against
5	Basis, Ratio and Amount of the Rights Issue	Mgmt	For	Against	Against
6	Pricing Principle and Price	Mgmt	For	Against	Against
7	Placement Targets	Mgmt	For	Against	Against
8	Purpose of the Raised Funds	Mgmt	For	Against	Against
9	Issuance Date	Mgmt	For	Against	Against
10	Underwriting Method	Mgmt	For	Against	Against
11	Distribution Plan of the Accumulated Retained Profit Before Issuance	Mgmt	For	Against	Against

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12	Valid Period	Mgmt	For	Against	Against
13	Trading and Circulation	Mgmt	For	Against	Against
14	Preliminary Plan for Public Issuance of Shares	Mgmt	For	Against	Against
15	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
18	Board Authorization to Implement Shares Issuance	Mgmt	For	Against	Against
19	Shareholder Returns Plan for 2017 to 2019	Mgmt	For	For	For
20	Board Authorization to Amend the Articles of Associations	Mgmt	For	For	For

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WANDA CINEMA LINE CO. LTD

Ticker	Security ID:	Meeting Date	Meeting Status		
002739	CINS Y94885103	04/21/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Excessive Amount of 2016 Related Party Transactions	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Investment Plan	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Change in Company Name and Stock Name	Mgmt	For	For	For
13	Elect LIU Xiaobin as Non-Independent Director	Mgmt	For	For	For
14	Elect LV Suiqi as Independent Director	Mgmt	For	For	For
15	Amendments to Use of Proceeds	Mgmt	For	For	For

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Wangsu Science & Technology Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	03/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Major Asset Purchase	Mgmt	For	For	For
2	Overview of Scheme	Mgmt	For	For	For
3	Transaction Counterpart	Mgmt	For	For	For
4	Underlying Assets	Mgmt	For	For	For
5	Transaction Price	Mgmt	For	For	For
6	Source of Capital for the Transaction and Payment of Consideration	Mgmt	For	For	For
7	Pricing Method	Mgmt	For	For	For
8	Delivery of the Underlying Asset	Mgmt	For	For	For
9	Liabilities for Breach of Contract	Mgmt	For	For	For
10	Transactions Constitution of Major Asset Restructuring	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Compliance with Article 4 of Regulation for Major Asset Restructuring	Mgmt	For	For	For
13	Compliance with Article 11 of Regulation for Management Measures on Major Asset Restructuring	Mgmt	For	For	For
14	Major Asset Purchase Non-constitution of Related Party Transaction	Mgmt	For	For	For
15	Transaction Non-constitution of Back-Door Listing as Defined by Article 13 of the Management Measures for Major Asset Restructuring	Mgmt	For	For	For
16	Signing of Conditional Share Purchase Agreement	Mgmt	For	For	For
17	Statement regarding Completeness and Compliance of Legal Procedures for Major Asset Purchase	Mgmt	For	For	For
18	Asset Purchase Report	Mgmt	For	For	For

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19	Verification Report and Evaluation Report for the Transaction	Mgmt	For	For	For
20	Independence of Evaluation Institution, Rationality of Evaluation Hypothesis, Correlation Between Evaluation Method and Objective and Fairness of Evaluation Price	Mgmt	For	For	For
21	Statement on Pricing Basis and Fairness	Mgmt	For	For	For
22	Verification of the Company's Conditions Regarding Information Disclosure Regulations	Mgmt	For	For	For
23	Board Authorization for Asset Purchase	Mgmt	For	For	For
24	Risk Warning for Diluted Immediate Returns	Mgmt	For	For	For
25	Capital Increase of Wholly-owned Subsidiary	Mgmt	For	For	For
26	Authority to Give Guarantees	Mgmt	For	For	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Creation of New Share Class: H-Share Listing	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Pricing Method	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Issuance Date	Mgmt	For	For	For
8	Principals of Issuance	Mgmt	For	For	For
9	Company's Conversion to Overseas Listed Company	Mgmt	For	For	For
10	Raised Fund Usage Plan	Mgmt	For	For	For
11	Validity Period of Public Issuance and H-Share Listing	Mgmt	For	For	For



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12	Board Authorization for H-Share Listing	Mgmt	For	For	For
13	Scheme for Retained Profits	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Procedures Governing Shareholder General Meetings	Mgmt	For	Abstain	Against
16	Amendments to Procedural Rules: Procedures Governing Board Meetings	Mgmt	For	Abstain	Against
17	Amendments to Procedural Rules: Supervisor Meetings	Mgmt	For	Abstain	Against

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	04/27/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect LIU Chengyan	Mgmt	For	For	For
2	Elect HONG Ke	Mgmt	For	For	For
3	Elect CHU Minjian	Mgmt	For	For	For
4	Elect YAN Yongchun	Mgmt	For	For	For
5	Elect WANG Weisong	Mgmt	For	For	For
6	Elect LI Zhiping	Mgmt	For	For	For
7	Elect HUANG Siying	Mgmt	For	For	For
8	Elect ZHANG Haiyan	Mgmt	For	Against	Against
9	Elect XUAN Jun	Mgmt	For	For	For
10	External Directors' and Independent Directors' Fees	Mgmt	For	For	For
11	External Supervisors' Fees	Mgmt	For	For	For
12	Assets Acquisition	Mgmt	For	For	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	06/02/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Objective	Mgmt	For	For	For	
2	Participants and Scope	Mgmt	For	For	For	
3	Source, Number of Stocks and Distribution	Mgmt	For	For	For	
4	Price and Determining Method	Mgmt	For	For	For	
5	Valid Period, Granting Date, Lock-up Period, Unlocking date and Restrictions	Mgmt	For	For	For	
6	Conditions for Granting and Unlocking Restricted Stocks	Mgmt	For	For	For	
7	Method and Procedure for Adjusting Restricted Stock Incentive Plan	Mgmt	For	For	For	
8	Repurchase and Cancellation	Mgmt	For	For	For	
9	Accounting Treatment	Mgmt	For	For	For	
10	Implementation Procedure	Mgmt	For	For	For	
11	Rights and Obligations	Mgmt	For	For	For	
12	Treatment under Unusual Situations	Mgmt	For	For	For	
13	Implementation and Appraisal Management Measures for 2017 Restricted Stock Incentive Plan	Mgmt	For	For	For	
14	Authorization to the Board to Implement 2017 Restricted Stock Incentive Plan	Mgmt	For	For	For	
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
16	Amendments to Articles	Mgmt	For	Against	Against	
17	Arrangement of Minority Interest to be Signed with Shareholders of CDN-VIDEO	Mgmt	For	For	For	

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300017	CINS Y7689Q108	09/26/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Adjustment to the	Mgmt	For	For	For	

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2	Overseas CDN Project Replacement of Proceeds and Adjustment to Investment	Mgmt	For	For	For
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Wangsu Science & Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
300017	CINS Y7689Q108	12/30/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of 30% Equity in Shenzhen Green Cloud Technology Co., Ltd.	Mgmt	For	For	For
2	Acquisition of 100% Equity in Green Nebula Technology (Shenzhen) Co., Ltd.	Mgmt	For	For	For
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Wanhua Chemical Group Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600309	CINS Y9520G109	05/03/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Report on 2016 Investment Plan and 2017 Investment Plan	Mgmt	For	Abstain	Against
4	Annual Report	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Independent Directors' Report	Mgmt	For	For	For
7	Authority to Set Auditor Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Entrusted to Manage Hungary BorsodChem Company	Mgmt	For	For	For
11	Authority to Give Guarantees for Subsidiaries	Mgmt	For	Against	Against
12	Authority to Give Guarantees for Joint Venture	Mgmt	For	Against	Against
13	Amendment to Articles	Mgmt	For	For	For
14	Supervisors' Report	Mgmt	For	For	For
15	Elect CHEN Yun	Mgmt	For	Against	Against
16	Elect SUN Xiao	Mgmt	For	For	For

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17	Elect	TIAN Hongguang	Mgmt	For	Against	Against
18	Elect	LIAO Zengtai	Mgmt	For	For	For
19	Elect	LI Jiankui	Mgmt	For	For	For
20	Elect	DING Jiansheng	Mgmt	For	For	For
21	Elect	KOU Guangwu	Mgmt	For	For	For
22	Elect	GUO Xingtian	Mgmt	For	For	For
23	Elect	Simon MU Xinming	Mgmt	For	For	For
24	Elect	LIU Lixin	Mgmt	For	For	For
25	Elect	WANG Baotong	Mgmt	For	For	For
26	Elect	BAO Yongjian	Mgmt	For	For	For
27	Elect	ZHANG Xiaorong	Mgmt	For	For	For
28	Elect	ZHANG Wanbin	Mgmt	For	For	For

Wanxiang Doneed Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600371	CINS Y9505H106	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of Equity	Mgmt	For	Abstain	Against
2	Authority to Issue Short-term Commercial Paper	Mgmt	For	Abstain	Against
3	Authority to Issue Medium-term Notes	Mgmt	For	Abstain	Against
4	Election of Director	Mgmt	For	Abstain	Against
5	Amendments to Articles	Mgmt	For	Abstain	Against

Wanxiang Qianchao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000559	CINS Y9505H106	03/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against
2	Annual Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Financial Service Agreement	Mgmt	For	For	For
8	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For

Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000156	CINS Y9532N100	04/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

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Wasu Media Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000156	CINS Y9532N100	06/27/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Financial Budget	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Annual Report	Mgmt	For
7	Approval of Line of Credit	Mgmt	For
8	Related Party Transactions	Mgmt	For
9	Elect HU Xiaoming as Non-Independent Director	Mgmt	For

Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2338	CINS Y9531A117	02/08/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Absorption of Weichai Power Hydraulic Technology Co., Ltd.	Mgmt	For
2	Absorption of Weichai (Weifang) Medium-duty Diesel Engine Co., Ltd.	Mgmt	For

Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2338	CINS Y9531A117	06/08/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	2016 PROFIT DISTRIBUTION PLAN	Mgmt	For

Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2338	CINS Y9531A117	06/08/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Annual Report	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Final Financial Report	Mgmt	For
6	2017 FINANCIAL BUDGET REPORT	Mgmt	For

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7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
8	Appointment of Internal Control Auditor	Mgmt	For	For	For
9	Allocation of Interim Dividends	Mgmt	For	For	For
10	Amendments to Rules of Procedures for General Meeting	Mgmt	For	For	For
11	2016 Profit Distribution Plan	Mgmt	For	For	For
12	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For
14	Elect LI Hongwu	Mgmt	For	For	For
15	Elect YUAN Hongming	Mgmt	For	For	For
16	Elect YAN Jianbo	Mgmt	For	For	For

Weichai Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2338	CINS Y9531A117	10/31/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	External Investment of Subsidiaries	Mgmt	For
			For
2	Related Party Transactions for the Provision of Labor Services	Mgmt	For
			For
3	Related Party Transactions for the Provision of Kinetic Energy Services	Mgmt	For
			For
4	Related Party Transactions for the Procurement of Equipment	Mgmt	For
			For
5	Related Party Transactions for the Sale of Equipment	Mgmt	For
			For
6	Related Party Transactions for the Procurement of Equipment and Products	Mgmt	For
			For
7	Authority to Give Guarantees	Mgmt	For
			For

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002673	CINS Y9382Q104	05/17/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions with Shanxi Energy Group	Mgmt	For	For	For
6	Related Party Transactions with Shanxi Investment Group	Mgmt	For	For	For
7	Related Party Transactions with Western Trust	Mgmt	For	For	For
8	Related Party Transactions with Shanxi People Building	Mgmt	For	For	For
9	Related Party Transactions with Shanxi Qingshuichuan Energy	Mgmt	For	For	For
10	Related Party Transactions with Shanxi Jintaiheng Real Estate	Mgmt	For	For	For
11	Related Party Transactions with Shanxi Jinxin Industry	Mgmt	For	For	For
12	Related Party Transactions with Shanxi Qinlong Electric Power	Mgmt	For	For	For
13	Related Party Transactions with Shanxi Huashan Innovation Technology	Mgmt	For	For	For
14	Related Party Transactions with Macau Huashan Innovation International Economic Technology	Mgmt	For	For	For
15	Related Party Transactions with Shanxi Shanneng Investment	Mgmt	For	For	For
16	Related Party Transactions with Western Lide Fund	Mgmt	For	For	For
17	Related Party	Mgmt	For	For	For

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	Transactions with Bank of Chang'an					
18	Approval of Scale of the Company's Self-operated Businesses and Index of Maximum Loss Limit	Mgmt	For	For	For	
19	Appointment of Auditor	Mgmt	For	For	For	

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	06/29/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For	
3	Amendments to Management Measure for Online Voting System at Shareholder Meetings	Mgmt	For	For	For	
4	Shaanxi Fund Management Co., Ltd	Mgmt	For	For	For	
5	Changan Bank Co., Ltd	Mgmt	For	For	For	
6	Elect XIE Kai as Director	Mgmt	For	For	For	

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	09/27/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Adjustment to the Scale of the Company's Self-operated Businesses and Index of Maximum Loss Limit in 2016	Mgmt	For	For	For	

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002673	CINS Y9382Q104	11/09/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Related Party Transactions with Shaanxi Investment Group	Mgmt	For	For	For	



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2	Related Party Transactions with Chang An Bank	Mgmt	For	For	For
<hr/>					
Western Securities Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002673	CINS Y9382Q104	12/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection	Mgmt	For	For	For
<hr/>					
Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	02/09/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Authority to Issue Private Placement Notes	Mgmt	For	For	For
3	Approval of Borrowing	Mgmt	For	For	For
4	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Huaxi Mining Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Issue Corporate Bonds by a Subsidiary	Mgmt	For	For	For
7	Capital Increase by a Subsidiary	Mgmt	For	For	For
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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	03/16/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection into Huayuan New Energy Co., Ltd.	Mgmt	For	For	For
2	Introduction of Priority Investors	Mgmt	For	For	For
3	Investment and Establishment of Thermoelectricity United Gas Power Company	Mgmt	For	For	For

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4	Eligibility For Private Placement of Corporate Bonds	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Face Value and Price of Issuance	Mgmt	For	For	For
8	Target Subscribers and Placement Arrangements	Mgmt	For	For	For
9	Term of Bonds	Mgmt	For	For	For
10	Interests Rate and Payment of Interests	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Method of Underwriting	Mgmt	For	For	For
13	Guarantees	Mgmt	For	For	For
14	Listing Market	Mgmt	For	For	For
15	Credit Status and Safeguard Measures on Debt Repayment	Mgmt	For	For	For
16	Validity of Resolution	Mgmt	For	For	For
17	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
18	Authority to Give Guarantees to SDIC Nanyang Power Generation Co., Ltd.	Mgmt	For	Against	Against
19	Approval of Borrowing	Mgmt	For	For	For
20	Authority for Zhangjiagang Shazhou Electric Power Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Electric Power Co., Ltd.	Mgmt	For	Against	Against

Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	05/18/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	China				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For

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10	Supervisors' Fees	Mgmt	For	For	For
11	Application for Financing Leasing Loan From Guowang International Finance Leasing Co., Ltd	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
13	Authority for Huachen Electricity Co., Ltd. to Give Guarantees to Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
14	Authority for Huachen Electricity Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd. (280 million)	Mgmt	For	Against	Against
15	Authority for Zhangjiagang Shazhou Electric Power Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd. (300 million)	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Huaying (Huizhou Daya Bay) Petrochemical Wharf Storage Co., Ltd.	Mgmt	For	Against	Against
17	Authority for Huachen Electricity Co., Ltd. to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
18	Authority for Huachen Electricity Co., Ltd. to Give Guarantees to Zhangjiagang Shazhou Electric Power Co., Ltd	Mgmt	For	Against	Against
19	Authority for Huachen Electricity Co., Ltd. to Give Guarantees to Zhangjiagang Huaxing Electric Power Co., Ltd. (150 million)	Mgmt	For	Against	Against
20	Authority for Zhangjiagang Shazhou Electric Power Co., Ltd. to Give	Mgmt	For	Against	Against

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	Guarantees to Zhangjiagang Huaxing Electric Power Co., Ltd. (190 million)					
21	Authority to Give Guarantees to Huaying Petrochemical Co., Ltd.	Mgmt	For	Against	Against	
22	Authority to Give Guarantees to Huaxi Mining Co., Ltd	Mgmt	For	Against	Against	
23	Authority to Give Guarantees to Lingshi Yinyuan Coal Development Co., Ltd.	Mgmt	For	Against	Against	
24	Application for Financing Leasing Loan From Hualu International Finance Leasing Co., Ltd	Mgmt	For	Against	Against	
25	Approval for Hua Yan Logistics Limited to Apply for Loans	Mgmt	For	Against	Against	
26	Investment in Establishment of a Company	Mgmt	For	For	For	
27	Annual Report	Mgmt	For	For	For	
28	Authority to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd	Mgmt	For	Against	Against	

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Wintime Energy Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600157	CINS Y9649L104	06/12/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval to Receive Loans	Mgmt	For	Against	Against	
2	Authority to Give Guarantees for Zhangjiagang Shazhou Electric Power Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees for Zhangjiagang Huaxing Electric Power Co., Ltd.	Mgmt	For	Against	Against	

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Wintime Energy Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
600157	CINS Y9649L104	07/18/2016	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Zhong An Property Insurance Co., Ltd.	Mgmt	For	For	For
2	Establishment of Jiangsu Huaxing Heating Co., Ltd.	Mgmt	For	For	For
3	Approval of Line of Credit of RMB 300 million	Mgmt	For	For	For
4	Approval of Line of Credit of RMB 500 million	Mgmt	For	For	For
5	Authority to Give Guarantees to Huaxi Mining Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Lingshi Yinyuan Char Development Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Zhengzhou Yuzhong Energy LLC	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Zhangjiagang Shazhou Power Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Zhangjiagang Huaxing Power Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	08/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	08/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
6	Bond Duration	Mgmt	For	For	For
7	Interest Rate and Determination Method	Mgmt	For	For	For
8	Time-frame and Method of Principal and Interest Payment	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Underwriting Method	Mgmt	For	For	For
11	Guarantee Method	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For
16	Conditional Capital Expansion for Wholly-owned Subsidiary	Mgmt	For	For	For
17	Set up of Subsidiary in Qingdao	Mgmt	For	For	For

Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	09/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Declare Interim Dividends	Mgmt	For	For	For
2	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co.,Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhang Jia Gang Shazhou Electric Power Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Zhang Jia Gang Huaxin	Mgmt	For	Against	Against

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	Electric Power Co., Ltd.				
5	Authority to Give Guarantees to Shanxi Ling Shi Yin Yuan Xing Qing Coal Industry Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shanxi Qin Yuan Kang Wei Sen Da Yuan Coal Industry Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	09/26/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval for Subsidiary to Sell Shares of a Company	Mgmt	For	For	For
2	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Zhangjiagang Shazhou Electric Co., Ltd.	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	10/10/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Wholly-owned Subsidiary Participation in Bidding for Equity Stake	Mgmt	For	Against	Against

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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600157	CINS Y9649L104	11/15/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Co.,	Mgmt	For	Against	Against

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	Ltd.					
3	Authority for Subsidiary to Give Guarantees I	Mgmt	For	Against	Against	
4	Authority for Subsidiary to Give Guarantees II	Mgmt	For	Against	Against	
5	Authority to Accept Guarantees From Subsidiary	Mgmt	For	Against	Against	
6	Provision of Reciprocal Guarantees	Mgmt	For	Against	Against	
7	Authority to Give Guarantees to Lingshi Yinyuan Coal Development Co., Ltd.	Mgmt	For	Against	Against	
8	Authority to Give Guarantees to Guizhou Changding Shengyu Shale Gas Development Co., Ltd.	Mgmt	For	Against	Against	
9	Authority to Give Guarantees to Hunan Sangzhi Shale Gas Development Co., Ltd.	Mgmt	For	Against	Against	
10	Authority to Give Guarantees to Huaxing Electric Power Co., Ltd.	Mgmt	For	Against	Against	
11	Authority for Subsidiary to Give Guarantees III	Mgmt	For	Against	Against	
12	Elect XU Peizhong	Mgmt	For	For	For	
13	Elect WANG Jun	Mgmt	For	For	For	
14	Elect CHANG Shengqiu	Mgmt	For	For	For	
15	Elect WANG Guangxi	Mgmt	For	For	For	
16	Elect LI Haibin	Mgmt	For	For	For	
17	Elect DAI Wutang	Mgmt	For	For	For	
18	Elect WANG Chunhua	Mgmt	For	For	For	
19	Elect XING Hongmei	Mgmt	For	For	For	
20	Elect TU Weidong	Mgmt	For	Against	Against	
21	Elect WANG Zhongkun	Mgmt	For	For	For	

Wintime Energy Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	12/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of an Overseas Joint Venture Merger and Acquisition Fund	Mgmt	For	For	For



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Wintime Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600157	CINS Y9649L104	12/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Subsidiary Project Investment	Mgmt	For	For	For
2	Supplementary Agreement for Project Investment	Mgmt	For	For	For
3	Commitments and Compensation Agreement	Mgmt	For	For	For
4	Persons Acting in Concert Agreement	Mgmt	For	For	For
5	Application for Financial Leasing	Mgmt	For	For	For
6	Application for Secondary Financial Leasing	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees to Zhengzhou Yuzhong	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Zhoukou Lunda Power	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Zhengzhou Yuzhong Energy Limited	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Zhangjiagang Shazhou Power	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Zhangjiagang Huaxing Power	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Ruyang three Geely New Energy	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Zhangjiagang Huaxing Power Company	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Zhangjiagang Shazhou Power	Mgmt	For	Against	Against

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16	Company Authority to Give Guarantees to Huaxi Mining Company	Mgmt	For	Against	Against
17	Authority to Give Guarantees to Huaxi Mining Company	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Lingshi Development	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Shanxi Lingshi Coal Industry	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Xingqing Coal Industry	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Huaqiang Coal Company	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Shanxi Lingshi Hua Ying	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Ditch Coal Industry	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Shanxi Kangwei Group Mencius Valley Coal	Mgmt	For	Against	Against

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	02/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: SHI Yibing	Mgmt	For	For	For
2	Elect Non-Independent Director: PAN Zheng	Mgmt	For	For	For
3	Elect Non-Independent Director: LI Guangya	Mgmt	For	For	For
4	Elect Non-Independent Director: WANG Qing	Mgmt	For	For	For
5	Elect Independent Director: WANG Jianzhang	Mgmt	For	For	For
6	Elect Independent	Mgmt	For	For	For

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	Director: ZHU Hongchao				
7	Elect Independent Director: LI Bailing	Mgmt	For	For	For
8	Elect LOU Jialin	Mgmt	For	Against	Against
9	Elect ZONG Yuwei	Mgmt	For	Against	Against
10	Elect GENG Pingan	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
10	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
11	Amendments to Management System for Raised Funds	Mgmt	For	For	For
12	Amendments to System for Guarantees	Mgmt	For	For	For
13	Amendments to Decision System for Related Party Transactions	Mgmt	For	For	For
14	Directors, Independent Directors and Supervisors' Fees	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for	Mgmt	For	For	For

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	Issuance of Convertible Corporate Bonds				
2	Type of Securities to be Issued	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Price of Issuance	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method for Repayment of Interests	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment to Conversion Price	Mgmt	For	For	For
10	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
11	Determination of Number of Shares and Treatment for Remaining Bonds Unconverted	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Dividends Distribution	Mgmt	For	For	For
15	Targets of Issuance	Mgmt	For	For	For
16	Placement to Shareholders	Mgmt	For	For	For
17	Bondholders' Meetings	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Management of Raised Fund and Deposit Account	Mgmt	For	For	For
20	Guarantees	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
23	Demonstration Analysis on Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
26	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
27	Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
28	Board Authorization to Implement Issuance of	Mgmt	For	For	For

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Convertible Corporate  
Bonds

Wonders Information Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
300168	CINS Y9669S104	07/05/2016		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Assets Acquisition by Stock and Auxiliary Funds Raising Constituting Major Adjustment	Mgmt	For	For	For	
3	Overview of Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For	
4	Assets Acquisition by Stock: Stock Type, Par Value and Listing Market	Mgmt	For	For	For	
5	Assets Acquisition by Stock: Assets to be Acquired and Transaction Price	Mgmt	For	For	For	
6	Assets Acquisition by Stock: Issuance Targets and Method	Mgmt	For	For	For	
7	Assets Acquisition by Stock: Issue Price and Pricing Principle	Mgmt	For	For	For	
8	Assets Acquisition by Stock: Analysis of Rationality	Mgmt	For	For	For	
9	Assets Acquisition by Stock: Size of Issuance	Mgmt	For	For	For	
10	Assets Acquisition by Stock: Lock-Up Period	Mgmt	For	For	For	
11	Assets Acquisition by Stock: Profits and Losses During Transitional Period	Mgmt	For	For	For	
12	Auxiliary Funds Raising: Size of Funds to be Raised and Projects of Investment	Mgmt	For	For	For	
13	Auxiliary Funds Raising: Stock Type, Par Value and Listing Market	Mgmt	For	For	For	
14	Auxiliary Funds Raising: Issuance	Mgmt	For	For	For	

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	Targets and Subscription Method				
15	Auxiliary Funds Raising: Pricing Principle And Method	Mgmt	For	For	For
16	Auxiliary Funds Raising: Size of Issuance	Mgmt	For	For	For
17	Auxiliary Funds Raising: Lock-Up Period	Mgmt	For	For	For
18	Auxiliary Funds Raising: Validity of Resolutions	Mgmt	For	For	For
19	Report and Summary of Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
20	Statement on Pricing Basis and Rationality of Acquisition	Mgmt	For	For	For
21	Compliance with Article 43 of Provisions on Issues of Major Assets Restructuring	Mgmt	For	For	For
22	Compliance with Article 4 of Provisions on Issues of Listed Companies'	Mgmt	For	For	For
23	Audit Reports and Appraisal Reports For Acquisition	Mgmt	For	For	For
24	Conditional Agreement on Assets Acquisition and Share Subscription	Mgmt	For	For	For
25	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
26	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
27	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
28	Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	For	For
29	Share Price Variation Not Reaching the	Mgmt	For	For	For

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	Standard in Article 5 of Provisions on Issues of Listed Companies' Information Disclosure and Notification of Relevant Actions					
30	Board Authorization to Implement the Transaction	Mgmt	For	For	For	For
31	Modification of Auxiliary Fund Raising Usage	Mgmt	For	For	For	For
32	Feasibility Report for Use of Proceeds of Auxiliary Fund Raising	Mgmt	For	For	For	For
33	Supplementary Share Subscription Agreements	Mgmt	For	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees For Subsidiaries' Bank Credit	Mgmt	For	For	For
2	Authority to Give Guarantees For Subsidiary's Financing Lease	Mgmt	For	For	For
3	Authority to Give Counter Guarantees	Mgmt	For	For	For
4	Change in Use of Proceeds and Replenish Working Capital with Idle Funds	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	09/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Line of Credit	Mgmt	For	For	For
2	Financial Leasing Agreement	Mgmt	For	For	For

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3 Authority to Give Guarantees Mgmt For For For

Wuchan Zhongda Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600704	CINS Y9890U100	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Authority to Give Guarantees	Mgmt	For
			Against
			Against
7	Directors and Supervisors' Fees	Mgmt	For
			For
			For
8	Appointment of Auditor	Mgmt	For
9	Elect GU Guoda as Independent Director	Mgmt	For

Wuchan Zhongda Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600704	CINS Y9890U100	10/13/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Sale of Assets	Mgmt	For
2	Amendments to Articles	Mgmt	For

Wuhan Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600005	CINS Y97164100	09/12/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Resignation of Supervisor	Mgmt	For
			For

Wuhan Iron and Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
600005	CINS Y97164100	10/28/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Eligibility for Absorption and Merger	Mgmt	For
			For
2	Principals of Merger	Mgmt	For
3	Method of Merger	Mgmt	For
4	Targets for Share Swap	Mgmt	For
5	Stock Type and Par Value	Mgmt	For
6	Swap Price and Swap	Mgmt	For



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	Ratio				
7	Protection Mechanism for Dissenting Shareholders for Baoshan	Mgmt	For	For	For
8	Protection Mechanism for Dissenting Shareholders for Wuhan	Mgmt	For	For	For
9	Staff Resettlement	Mgmt	For	For	For
10	Settlement of Assets	Mgmt	For	For	For
11	Listing Arrangement for Issued Shares	Mgmt	For	For	For
12	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
13	Liability for Breach of Contract	Mgmt	For	For	For
14	Effective Conditions for Agreement on Absorption and Merger	Mgmt	For	For	For
15	Validity Period	Mgmt	For	For	For
16	Conditional Agreement on Merger	Mgmt	For	For	For
17	Constitution of Related Party Transaction	Mgmt	For	For	For
18	Report Draft for Merger Transaction	Mgmt	For	For	For
19	Transfer of Assets Plan	Mgmt	For	For	For
20	Appointment of Intermediary Institution	Mgmt	For	For	For
21	Scheme for Protection of Bondholders	Mgmt	For	For	For
22	Authorization to Implement Merger	Mgmt	For	For	For
23	Exemption of Controller Shareholder from Commitments	Mgmt	For	For	For
24	ELECTION OF SUPERVISORS	Mgmt	For	Against	Against

Wuliangye Yibin Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000858	CINS Y9718N106	04/21/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of	Mgmt	For	For	For

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	Profits/Dividends				
6	Election of Directors	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Authority to Extend Validity Period and Authorization Period for Private Placement	Mgmt	For	For	For

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Wuliangye Yibin Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
000858	CINS Y9718N106	11/18/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAN Shengdao	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	01/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect WANG Feiyue	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	04/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Purchase of raw materials or products	Mgmt	For	For	For
6	Sale of raw materials or products	Mgmt	For	For	For
7	Lease of house, equipment, or products	Mgmt	For	For	For
8	Permission for related party's use of trademark	Mgmt	For	For	For
9	Technology development with related party	Mgmt	For	For	For
10	Provision or receipt of service and labor	Mgmt	For	For	For
11	Operation on behalf of related party	Mgmt	For	For	For

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12	Preparation of accrued asset impairment	Mgmt	For	For	For
13	Evaluation of 2016 Performance Target due to Asset Acquisition	Mgmt	For	For	For
14	Annual Report	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Elect ZHOU Wei as Independent Director	Mgmt	For	For	For
17	Authority to Give Guarantees for Mortgage Business	Mgmt	For	Against	Against
18	Authority to Give Guarantees for Financing Leasing	Mgmt	For	Against	Against
19	Approval of Line of Credit	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000425	CINS Y9T17R112	09/28/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Placement to Shareholders	Mgmt	For	For	For
4	Type and Maturity	Mgmt	For	For	For
5	Interest Rates	Mgmt	For	For	For
6	Subscribers and Method of Issuance	Mgmt	For	For	For
7	Redemption or Resale Provision	Mgmt	For	For	For
8	Deferred Payment of Interests	Mgmt	For	For	For
9	Limits of Mandatory Interest Payment and Deferred Interest Payment	Mgmt	For	For	For
10	Use of Proceeds	Mgmt	For	For	For
11	Guarantees	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
15	Exemption of XCMG Construction Machinery Limited From Injecting Xuzhou	Mgmt	For	For	For

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	XCMG Excavator Machinery Co., Ltd. Into The Company				
16	Injection of Capital Into a Wholly-Owned Subsidiary	Mgmt	For	For	For
17	Injection of Capital Into XCMG Brazil Manufacture Co., Ltd. and Related Party Transaction	Mgmt	For	For	For

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XCMG Construction Machinery Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
000425	CINS Y9T17R112	12/29/2016	Voted			
	Meeting Type	Country of Trade				
	Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Eligibility for Non-public Issuance of A-share Offering	Mgmt	For	For	For	
2	Stock Type and Par Value	Mgmt	For	For	For	
3	Method and Period of Issuance	Mgmt	For	For	For	
4	Issuing Price and Pricing Principle	Mgmt	For	For	For	
5	Issuance Targets and Subscription Method	Mgmt	For	For	For	
6	Issuing Size	Mgmt	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	
8	Use of Raised Funds	Mgmt	For	For	For	
9	Listing Place	Mgmt	For	For	For	
10	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For	
11	Valid Period of Resolution	Mgmt	For	For	For	
12	Pre-plan for Non-public A Share Issuance	Mgmt	For	For	For	
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
14	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
15	Connected Transactions Regarding Non-public A share Offering	Mgmt	For	For	For	
16	Conditional Share Subscription Agreement	Mgmt	For	For	For	
17	Framework Agreement	Mgmt	For	For	For	
18	Approval of Dilution Measures for Share	Mgmt	For	For	For	

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19	Issuance Shareholder Returns Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For
22	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
23	Authority to Give Guarantees	Mgmt	For	For	For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
600153	CINS Y9721Y107	05/26/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Authority to Give Guarantees	Mgmt	For
			Against
7	Related Party Transactions	Mgmt	For
8	Signing Agreement on Long-term Lease of Office Space	Mgmt	For
9	Signing Agreement to Rent Shanghai CD International Building	Mgmt	For
10	Investment in Wealth Management Products	Mgmt	For
11	Appointment of Auditor	Mgmt	For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
600153	CINS Y9721Y107	09/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For
			For

Xiamen C&D Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
600153	CINS Y9721Y107	11/22/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Give	Mgmt	For
			For

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2	Guarantees Eligibility for Private Placement	Mgmt	For	For	For
3	Issuance Size	Mgmt	For	For	For
4	Issuance Method	Mgmt	For	For	For
5	Face Value and Issuance Price	Mgmt	For	For	For
6	Subscribers	Mgmt	For	For	For
7	Bond Term	Mgmt	For	For	For
8	Bond Rate	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Safeguard Measures for Debt Repayment	Mgmt	For	For	For
11	Guarantee Matters	Mgmt	For	For	For
12	Method of Underwriting	Mgmt	For	For	For
13	Validity Period	Mgmt	For	For	For
14	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For

Xiamen Tungsten Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600549	CINS Y6454T107	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Restricted Shares Plan	Mgmt	For	For	For
2	Assessment Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
10	Authority to Issue Medium-term Notes	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Authority to Give Guarantees by Controlled Subsidiary for its Wholly-owned Subsidiary	Mgmt	For	For	For
13	Investment in Wealth Management	Mgmt	For	For	For

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	Products				
14	Signing of Loan Agreement	Mgmt	For	For	For
15	Signing of Long-term Procurement Agreement	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Elect ZHOU Min	Mgmt	For	For	For
18	Elect XU Jisong	Mgmt	For	Against	Against
19	Elect ZHANG Zhen	Mgmt	For	Against	Against

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	04/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Authority to Adjust Mutual Guarantees Relationship	Mgmt	For	Against	Against

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	04/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600208	CINS Y9724T105	05/19/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Directors' and Supervisors' Fees	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600208	CINS Y9724T105	09/12/2016	Voted
Meeting Type		Country of Trade	

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to 2016 Guarantee Quota	Mgmt	For	Against	Against

Xinhu Zhongbao Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 600208 CINS Y9724T105 09/22/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
2	Size and Method of Issuance	Mgmt	For	For	For
3	Duration of Bond	Mgmt	For	For	For
4	Interest Rates	Mgmt	For	For	For
5	Guarantees	Mgmt	For	For	For
6	Provisions for Redemption or Resale	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Targets of Issuance and Placement to Shareholders	Mgmt	For	For	For
9	Listing Arrangement	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 600208 CINS Y9724T105 11/03/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 600208 CINS Y9724T105 12/05/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity in Jiangsu Xinhu Baohua Property Co., Ltd	Mgmt	For	For	For

Xinhu Zhongbao Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 600208 CINS Y9724T105 12/26/2016 Voted



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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Related Party Transactions: Participation In Rights Issue	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	02/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation in Land Restructuring	Mgmt	For	For	For
2	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
3	Transactions with China Three Gorges New Energy Co., Ltd.	Mgmt	For	For	For
4	Transactions with Xinjiang New Energy Co., Ltd.	Mgmt	For	For	For
5	Elect GAO Jianjun	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Dividends and Bonus Share Issue	Mgmt	For	For	For
2	Authority to Issue Bonds and Asset-Backed Securities	Mgmt	For	For	For
3	Amendments to Articles Regarding Issued/Registered Share Capital	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give	Mgmt	For	Abstain	Against

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	Guarantees (Letter)					
10	Authority to Give Guarantees	Mgmt	For	Abstain	Against	
11	Report on Use of Proceeds	Mgmt	For	For	For	
12	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	
13	Elect XIAO Hong as Supervisor	Mgmt	For	Against	Against	

Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	10/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in zPark Capital II	Mgmt	For	For	For
2	Elect Kelvin WONG Tin Yau; Approval of Directors' Fees	Mgmt	For	Against	Against

Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000778	CINS Y9722X108	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Financial Auditor	Mgmt	For	For	For
7	Appointment of Internal Control Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Xinxing Ductile Iron Pipes Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000778	CINS Y9722X108	09/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Board of	Mgmt	For	For	For

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		Directors			
3	Acquisition of Shares	Mgmt	For	Abstain	Against
<hr/>					
Xinxing Ductile Iron Pipes Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
000778	CINS Y9722X108	12/22/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For
2	Extension of Authorization Period for Private Placement	Mgmt	For	For	For
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Yanzhou Coal Mining Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1171	CINS Y97417110	03/10/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For
2	Provision of Loans to Yancoal International (Holding) Co., Ltd.	Mgmt	For	For	For
3	Extension of Repayment Date of Outstanding Loans	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Appointment of Overseas Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect KONG Xiangguo	Mgmt	For	For	For
<hr/>					
Yanzhou Coal Mining Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1171	CINS Y97417110	03/10/2017		Voted	
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For
<hr/>					
Yanzhou Coal Mining Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1171	CINS Y97417110	06/29/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Liability Insurance	Mgmt	For	For	For
8	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Acquisition	Mgmt	For	For	For
10	Finance Service Agreement	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approval of Domestic and Overseas Financing Businesses	Mgmt	For	For	For
13	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to H Repurchase Shares	Mgmt	For	For	For
15	Elect LI Xiyong	Mgmt	For	For	For
16	Elect LI Wei	Mgmt	For	For	For
17	Elect WU Xiangqian	Mgmt	For	For	For
18	Elect WU Yuxiang	Mgmt	For	For	For
19	Elect ZHAO Qingchun	Mgmt	For	For	For
20	Elect GUO Dechun	Mgmt	For	For	For
21	Elect KONG Xiangguo	Mgmt	For	For	For
22	Elect JIA Shaohua	Mgmt	For	For	For
23	Elect POON Chiu Kwok	Mgmt	For	Against	Against
24	Elect QI Anbang	Mgmt	For	For	For
25	Elect GU Shisheng as Supervisor	Mgmt	For	For	For
26	Elect ZHOU Hong as Supervisor	Mgmt	For	Against	Against
27	Elect MENG Qingjian as Supervisor	Mgmt	For	For	For
28	Elect ZHANG Ning as Supervisor	Mgmt	For	Against	Against

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	06/29/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Repurchase H Shares	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	08/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of A Shares	Mgmt	For	For	For
2	Class and Nominal Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Issue Price and Pricing Principle	Mgmt	For	For	For
5	Issue Size	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
9	Validity Period For Resolution	Mgmt	For	For	For
10	Place of Listing	Mgmt	For	For	For
11	Method of Subscription	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Non-Public Issuance of A Shares Plan	Mgmt	For	For	For
14	Board Authorization To Implement Non-Public Issuance of A Shares	Mgmt	For	For	For
15	Dilution of Immediate Return and Remedial Measures	Mgmt	For	For	For
16	Undertaking of Controlling Shareholder, Directors and Senior Management Regarding the Recovery of Immediate Return	Mgmt	For	For	For
17	Shareholders Return	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417110	08/19/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method and Time of Issuance	Mgmt	For	For	For
3	Issue Price and	Mgmt	For	For	For

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	Pricing Principle				
4	Issue Size	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
8	Validity Period of Resolution	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Method of Subscription	Mgmt	For	For	For
11	Plan For Private Placement	Mgmt	For	For	For

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Yingkou Port Liability Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600317	CINS Y8103G106	10/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For
2	Independent Directors' Fees	Mgmt	For	For	For
3	Elect LI Hezhong	Mgmt	For	For	For
4	Elect GONG Cheng	Mgmt	For	For	For
5	Elect ZHONG Weiliang	Mgmt	For	For	For
6	Elect WANG Lai	Mgmt	For	For	For
7	Elect SI Zheng	Mgmt	For	For	For
8	Elect YAO Ping	Mgmt	For	For	For
9	Elect CUI Beiqiang	Mgmt	For	For	For
10	Elect WANG Xiaodong	Mgmt	For	For	For
11	Elect DAI Dashuang	Mgmt	For	For	For
12	Elect ZHANG Xianzhi	Mgmt	For	For	For
13	Elect ZHANG Daming	Mgmt	For	For	For
14	Elect WANG Feng	Mgmt	For	For	For
15	Elect MAO Yulan	Mgmt	For	Against	Against
16	Elect YAN Shujun	Mgmt	For	For	For

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Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601933	CINS Y9841N106	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Rental and property management fees from related companies in 2016	Mgmt	For	For	For
7	Purchase of	Mgmt	For	For	For

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	commodities from a commercial trade company in 2016				
8	Purchase of commodities from a supply chain management company in 2016	Mgmt	For	For	For
9	Purchase of commodities from a trading company in 2016	Mgmt	For	For	For
10	Acceptance of services from a supply chain company in 2016	Mgmt	For	For	For
11	Sale of Commodities to a food company in 2016	Mgmt	For	For	For
12	Sale of Commodities to a supermarket company in 2016	Mgmt	For	For	For
13	Provision of services a supermarket company in 2016	Mgmt	For	For	For
14	Provision of commodities to Lianhua Supermarket Holdings Co., Ltd. in 2016	Mgmt	For	For	For
15	Rental and property management fees from Manning's supermarkets in 2016	Mgmt	For	For	For
16	Sale and purchase of commodities to or acceptance of services from a trading company in 2016	Mgmt	For	For	For
17	Rental, property management fees, and water and electricity rates from related companies in 2017	Mgmt	For	For	For
18	Purchase of commodities with subsidiaries from a commercial trading company in 2017	Mgmt	For	For	For
19	Purchase of commodities from a supply chain management company in 2017	Mgmt	For	For	For
20	Provision of	Mgmt	For	For	For

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	commodities and services to a food company in 2017				
21	Sale of commodities to or purchase of commodities and services with subsidiaries from a supermarket company in 2017	Mgmt	For	For	For
22	Sale of commodities to or purchase of commodities and services from a milk company in 2017	Mgmt	For	For	For
23	Rental, property management fees, and water and electricity rates from Manning's supermarkets in 2017	Mgmt	For	For	For
24	Purchase of commodities from a trading company in 2017	Mgmt	For	For	For
25	Provision of warehousing and transportation services to supply chain management company in 2017	Mgmt	For	For	For
26	Provision of commodities and services to, and acceptance of commodities and services from a trading company in 2017	Mgmt	For	For	For
27	Approval of Line of Credit and Borrowing	Mgmt	For	For	For
28	Directors' Fees	Mgmt	For	For	For
29	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
30	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
31	Elect CHEN Shengqiang as Director	Mgmt	For	For	For
32	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yonghui Superstores Co., Ltd.

Ticker Security ID:  
601933 CINS Y9841N106

Meeting Type

Meeting Date  
08/03/2016  
Country of Trade

Meeting Status  
Voted



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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect PANG Yiu Kai	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For

Yonghui Superstores Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
601933	CINS Y9841N106	10/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		

  

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Business Scope and Articles	Mgmt	For	For	For

Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600588	CINS Y9042R104	01/20/2017	Voted
Meeting Type	Country of Trade		
Special	China		

  

Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	Against	Against
2	Size of Issuance	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Interest Rates and Determination Method	Mgmt	For	Against	Against
5	Bond Duration	Mgmt	For	Against	Against
6	Purpose of Raised Funds	Mgmt	For	Against	Against
7	Listing Market	Mgmt	For	Against	Against
8	Validity Period	Mgmt	For	Against	Against
9	Guarantee Measures for Issuance of Corporate Bonds	Mgmt	For	Against	Against
10	Board Authorization for Issuance of Corporate Bonds	Mgmt	For	Against	Against

Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600588	CINS Y9042R104	04/07/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

  

Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Appointment of Internal Control	Mgmt	For	For	For

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	Auditor					
9	Directors' Fees	Mgmt	For	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For	For
11	Change in Authorized Capital	Mgmt	For	For	For	For
12	Amendments to Articles	Mgmt	For	For	For	For
13	Elect Non-Independent Director: WANG Wenjing	Mgmt	For	Against	Against	Against
14	Elect Non-Independent Director: GUO Xinping	Mgmt	For	Against	Against	Against
15	Elect Non-Independent Director: WU Zhengping	Mgmt	For	For	For	For
16	Elect Independent Director: HUANG Jinhui	Mgmt	For	For	For	For
17	Elect Independent Director: YU Yang	Mgmt	For	For	For	For
18	Elect Independent Director: WANG Guiya	Mgmt	For	For	For	For
19	Elect ZHANG Ke	Mgmt	For	Against	Against	Against
20	Elect GAO Zhiyong	Mgmt	For	For	For	For

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Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600588	CINS Y9042R104	06/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Determination and Range of Participants	Mgmt	For	For	For
2	Source, Amount and Allocation of Stocks	Mgmt	For	For	For
3	Validity, Grant Date, Waiting/Lock-Up Period, Exercise Date/Unlocking Date and Period	Mgmt	For	For	For
4	Exercise/Grant Price of Stock Options and Restricted Shares and Determination Methods	Mgmt	For	For	For
5	Vesting/Unlocking Conditions	Mgmt	For	For	For
6	Adjustment Methods and Procedures of the Plans	Mgmt	For	For	For
7	Accounting Treatments	Mgmt	For	For	For
8	Implementation, Grant and Vesting/Unlocking Procedures, Changes/Termination Procedures	Mgmt	For	For	For

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9	Rights and Obligation of the Company and Participants	Mgmt	For	For	For
10	Solution to Unexpected Changes in the Company and Participants	Mgmt	For	For	For
11	Disposal/Repurchase and Disposal Principle	Mgmt	For	For	For
12	Administrative Measures for Stock Options and Restricted Shares Plan	Mgmt	For	For	For
13	Board Authorization to Implement Employee Stock Options and Restricted Shares Plan	Mgmt	For	For	For

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Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600588	CINS Y9042R104	09/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Capital of Wholly-owned Subsidiary	Mgmt	For	For	For
2	Elect WANG Guiya	Mgmt	For	For	For

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Yonyou Network Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600588	CINS Y9042R104	11/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles and Revised Articles of Association	Mgmt	For	For	For
3	Establishment of Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For
4	Signing of Agreement for the Establishment	Mgmt	For	For	For
5	Report on Commitment to Authenticity of Sources of Funding	Mgmt	For	For	For
6	Non-occurrence of Prohibited Related Party Transactions	Mgmt	For	For	For
7	Sixteen Commitments to Beijing Zhongguancun	Mgmt	For	For	For

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Bank Co.,  
Ltd.

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Youngor Group Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/19/2017		Voted	
600177	CINS Y9858M108	Country of Trade			
Meeting Type		China			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Related Party Transactions for Deposit and Asset Management	Mgmt	For	Against	Against
8	Management Authorization to Adjust the Structure of Financial assets and to Purchase Assets Management Products	Mgmt	For	For	For
9	Management Authorization on Land Bidding	Mgmt	For	For	For
10	Chairman Authorization to Handle Bank Credit Application	Mgmt	For	For	For
11	Elect LI Rucheng	Mgmt	For	For	For
12	Elect LI Hanqiong	Mgmt	For	Against	Against
13	Elect QIAN Ping	Mgmt	For	For	For
14	Elect HU Gangao	Mgmt	For	For	For
15	Elect XU Qigang	Mgmt	For	For	For
16	Elect SHAO Hongfeng	Mgmt	For	For	For
17	Directors' Fees	Mgmt	For	For	For
18	Elect BAO Jiming	Mgmt	For	For	For
19	Elect LI Keling	Mgmt	For	For	For
20	Elect QIU Yun	Mgmt	For	For	For
21	Independent Directors' Fees	Mgmt	For	For	For
22	Elect LI Ruxiang	Mgmt	For	Against	Against
23	Elect LIU Jianting	Mgmt	For	Against	Against
24	Elect YU Minxia	Mgmt	For	For	For
25	Supervisors' Fees	Mgmt	For	For	For
26	Amendments to Articles	Mgmt	For	For	For
27	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For

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Youzu Interactive Co., Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	03/20/2017		Voted	
002174	CINS Y8315B101	Country of Trade			
Meeting Type					

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Application for Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Give Guarantees for Overseas Loans of Wholly-owned Subsidiaries	Mgmt	For	For	For

Youzu Interactive Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002174	CINS Y8315B101	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Statement on Use of Fund by Controlling Shareholder and Related Parties	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Supervisors' Report	Mgmt	For	For	For

Yunnan Baiyao Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000538	CINS Y9879F108	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits	Mgmt	For	For	For
7	Financial Budget	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	Against	Against
9	Elect WANG Jianhua	Mgmt	For	For	For
10	Elect QIU Xiaohua	Mgmt	For	For	For
11	Elect SONG Chengli	Mgmt	For	For	For
12	Elect ZHONG Jie	Mgmt	For	Against	Against
13	Elect YOU Guanghui	Mgmt	For	Against	Against

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Yunnan Baiyao Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
000538	CINS Y9879F108	10/18/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Application for Extension of Suspension of Trading	Mgmt	For
			For
			For

Zhejiang China Commodities City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600415	CINS Y98916102	04/19/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Financial Budget	Mgmt	For
6	Allocation of Profits/Dividends	Mgmt	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For
8	Issuance of Debt Instruments	Mgmt	For
9	Authority to Extend Validity Period for Bond Issuance	Mgmt	For
10	Non-Voting Meeting Note	N/A	N/A
			N/A
			N/A

Zhejiang China Commodities City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600415	CINS Y98916102	08/19/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Amendments to Articles	Mgmt	For
2	Directors' and Supervisors' Fees	Mgmt	For
3	Elect HUANG Ping as Supervisor	Mgmt	For
			Against
			Against

Zhejiang China Commodities City Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
600415	CINS Y98916102	12/16/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect ZHU Hang as Director	Mgmt	For
2	Elect JIN Xiaojie as Supervisor	Mgmt	For
			Against
			Against

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Zhejiang Chint Electrics Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
601877	CINS Y988AY103	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Against	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect LIU Shizhen as Non-Independent Director	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Determination Methods and Range of Participants	Mgmt	For	For	For
11	Source and Total Number of Shares	Mgmt	For	For	For
12	Grant Price and Determination Methods	Mgmt	For	For	For
13	Number of Granted Shares	Mgmt	For	For	For
14	Validity, Grant Date, Lock-Up Period, and Unlocking Period	Mgmt	For	For	For
15	Granting and Unlocking Conditions	Mgmt	For	For	For
16	Grant and Unlock of Shares	Mgmt	For	For	For
17	Income of Participants	Mgmt	For	For	For
18	Adjustment Methods and Procedures for the Amount and Price of Shares	Mgmt	For	For	For
19	Implementation, Grant and Unlocking Procedures	Mgmt	For	For	For
20	Repurchase and Disposal Rules	Mgmt	For	For	For
21	Power and Obligation of the Company and Participants	Mgmt	For	For	For
22	Changes and Termination of the Plan	Mgmt	For	For	For
23	Accounting Treatment Methods and Influence on Performance	Mgmt	For	For	For

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24	Solutions System for Relevant Conflicts	Mgmt	For	For	For
25	Disclosure of Information	Mgmt	For	For	For
26	Performance Measures for the Restricted Shares Plan	Mgmt	For	For	For
27	Board Authorization to Implement the Restricted Shares Plan	Mgmt	For	For	For
28	Approval for Noark Electrics (Shanghai) Co., Ltd. to Transfer Land	Mgmt	For	For	For
29	Authority to Give Guarantees	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	04/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection and Equity Transfer	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Foreign Exchange Transactions	Mgmt	For	For	For
10	Approval of Line of Credit and Financing	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Authority to Repurchase and Cancel Restricted Shares	Mgmt	For	For	For
13	Change in Registered Capital and Amendment	Mgmt	For	For	For



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	to Articles					
14	Employee Stock Ownership Plan	Mgmt	For	Against	Against	
15	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against	

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	05/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Public H Shares	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Issuance Date	Mgmt	For	Against	Against
5	Issuing Volume	Mgmt	For	Against	Against
6	Pricing Method	Mgmt	For	Against	Against
7	Issuance Targets	Mgmt	For	Against	Against
8	Issuing Principle	Mgmt	For	Against	Against
9	Listing Place	Mgmt	For	Against	Against
10	Company's Conversion into Oversea Fund Raising Limited Liability Company	Mgmt	For	Against	Against
11	Plan on Use of Raised Fund	Mgmt	For	Against	Against
12	Valid Period of Issuance of Public H Shares	Mgmt	For	Against	Against
13	Board Authorization to Implement Issuance of Public H Shares	Mgmt	For	Against	Against
14	Arrangement for Accumulated Retained Profits Before the Public Offering	Mgmt	For	Against	Against
15	Elect HUANG Siying as Independent Director	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	Against	Against
17	Authority to Give Guarantees	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	07/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
3	Board Authorization to Issue Short-term Financing Bills	Mgmt	For	For	For
4	Adjustment to Amount of Capital Increase	Mgmt	For	For	For
5	Joint Investment with a Controlling Shareholder	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	09/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	12/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Guarantee Scope	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	04/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
2	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
4	Approval of Line of Credit	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300133	CINS Y988B8109	05/16/2017	Voted

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Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Audit Report	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors', Supervisors', and Senior Executives' Fees	Mgmt	For	For	For
9	Provision for Asset Depreciation	Mgmt	For	For	For
10	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	Abstain	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	06/01/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Qualification and Range of Participants	Mgmt	For	For	For
2	Source, Amount and Allocation of Stock Options and Restricted Shares	Mgmt	For	For	For
3	Validity, Grant Date, Lock-Up Period, Unlocking Conditions and Restricted Sale Period	Mgmt	For	For	For
4	Exercise/Grant price and Their Determination Methods	Mgmt	For	For	For
5	Eligibility and Exercise Conditions for Participants of Stock Options, and Grant and Unlocking Conditions for Restricted Shares	Mgmt	For	For	For
6	Adjustment Methods and Procedures	Mgmt	For	For	For
7	Accounting Treatments	Mgmt	For	For	For
8	Implementation Procedures	Mgmt	For	For	For

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9	Powers and Obligation of Participants	Mgmt	For	For	For
10	Solutions to Changes in the Company and Participants	Mgmt	For	For	For
11	Assessment Measures of Stock Incentive Plans	Mgmt	For	For	For
12	Board Authorization to Implement Employee Stock Incentive Plans	Mgmt	For	For	For

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	10/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Use of Proceeds	Mgmt	For	For	For

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity	Mgmt	For	For	For

Zhejiang Longsheng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600352	CINS Y98918108	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Financial Budget	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Adjustment to Directors and Independent Directors' Fees	Mgmt	For	For	For
12	Adjustment to	Mgmt	For	For	For

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### Supervisors' Fees

Zhejiang Longsheng Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600352	CINS Y98918108	08/11/2016		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Stock Type and Par Value	Mgmt	For	For	For	
3	Method and Date of Issuance	Mgmt	For	For	For	
4	Issuance Targets and Subscription Method	Mgmt	For	For	For	
5	Size of Issuance	Mgmt	For	For	For	
6	Issuance Price and Pricing Principals	Mgmt	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	
8	Use of Raised Funds	Mgmt	For	For	For	
9	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For	
10	Listing Market	Mgmt	For	For	For	
11	Validity Period	Mgmt	For	For	For	
12	Preliminary Plan for Private Placement	Mgmt	For	For	For	
13	Feasibility Report on Use of Raised Funds	Mgmt	For	For	For	
14	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For	
15	Connected Transactions Involved in Private Placement	Mgmt	For	For	For	
16	Conditional Share Subscription Agreements	Mgmt	For	For	For	
17	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For	
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
19	Plan for Dividend Return (2016-2018)	Mgmt	For	For	For	
20	Authority to Issue Super and Short-term Commercial Paper	Mgmt	For	For	For	

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Zhejiang Longsheng Group Co., Ltd.  
 Ticker      Security ID:                      Meeting Date                      Meeting Status

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600352	CINS Y98918108	12/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
2	Addition of Lead Underwriter for Issuance of Short-term Commercial Paper	Mgmt	For	For	For

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Zhejiang Wanfeng Auto Wheel					
Ticker Security ID:		Meeting Date		Meeting Status	
002085 CINS Y9892K100		02/27/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CHEN Ailian	Mgmt	For	For	For
2	CHEN Bin	Mgmt	For	For	For
3	DONG Ruiping	Mgmt	For	For	For
4	LI Yun	Mgmt	For	For	For
5	ZHANG Yinfeng	Mgmt	For	For	For
6	BU Yong	Mgmt	For	For	For
7	YANG Haifeng	Mgmt	For	For	For
8	CHU Minhong	Mgmt	For	For	For
9	WANG Xiao	Mgmt	For	For	For
10	YANG Huihui	Mgmt	For	Against	Against
11	XU Zhiliang	Mgmt	For	For	For
12	YU Guangyao	Mgmt	For	For	For
13	Directors' and Supervisors' Fees	Mgmt	For	For	For
14	Remuneration for Board Chairman	Mgmt	For	For	For

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Zhejiang Wanfeng Auto Wheel					
Ticker Security ID:		Meeting Date		Meeting Status	
002085 CINS Y9892K100		03/08/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Zhejiang Wanfeng Auto Wheel					
Ticker Security ID:		Meeting Date		Meeting Status	
002085 CINS Y9892K100		05/09/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For

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6	Authority to Give Guarantees	Mgmt	For	For	For
7	2017 Loan Plan	Mgmt	For	Against	Against
8	Approval of Launching of Aluminum Ingots Hedging Business	Mgmt	For	For	For

Zhejiang Wanfeng Auto Wheel

Ticker	Security ID:	Meeting Date	Meeting Status		
002085	CINS Y9892K100	06/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Zhejiang Zheneng Electric Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600023	CINS Y9897X107	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Independent Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Annual Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

Zhejiang Zheneng Electric Power Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600023	CINS Y9897X107	05/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect TONG Yahui as Non-Independent Director	Mgmt	For	For	For
2	Elect SUN Weiheng as Non-Independent Director	Mgmt	For	For	For

Zhengzhou Yutong Bus Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
600066	CINS Y98913109	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Independent Directors'	Mgmt	For	For	For

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	Report					
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	Against	Against	
7	Annual Report	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Authority to Give Guarantees: Repurchase Liability	Mgmt	For	For	For	
10	Signing of Related Party Transaction Agreement	Mgmt	For	Against	Against	
11	Amendments to Management System for External Investment Management	Mgmt	For	Abstain	Against	
12	Authority to Issue Commercial Paper	Mgmt	For	For	For	
13	Amendments to Articles	Mgmt	For	Abstain	Against	
14	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against	
15	Elect TANG Yuxiang	Mgmt	For	For	For	
16	Elect NIU Bo	Mgmt	For	For	For	
17	Elect CAO Jianwei	Mgmt	For	For	For	
18	Elect YU Li	Mgmt	For	For	For	
19	Elect SUN Fengchun	Mgmt	For	For	For	
20	Elect LI Chunyan	Mgmt	For	For	For	
21	Elect ZHANG Fusheng	Mgmt	For	For	For	
22	Elect ZHAO Yong	Mgmt	For	For	For	
23	Elect ZHANG Tao	Mgmt	For	Against	Against	
24	Elect WANG Xiaofei	Mgmt	For	Abstain	Against	

Zhongjin Gold Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600489	CINS Y9890R107	06/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	Against	Against
7	Independent Directors' Report	Mgmt	For	For	For
8	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Authority to Give	Mgmt	For	For	For



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	Guarantees to Songxian Jinniu Co., Ltd.				
11	Authority to Give Guarantees to Zhngjin Songxian Songyuan Gold Smelting Co., Ltd.	Mgmt	For	For	For
12	Amendments to Articles (33th Meeting)	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
14	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
15	Constitution of Procedural Rules for Cumulative Voting	Mgmt	For	Abstain	Against
16	Amendments to Articles (29th Meeting)	Mgmt	For	For	For
17	Elect Non-Independent Director: SONG Xin	Mgmt	For	For	For
18	Elect Non-Independent Director: LIU Bing	Mgmt	For	For	For
19	Elect Non-Independent Director: WEI Shanfeng	Mgmt	For	For	For
20	Elect Non-Independent Director: SUN Lianzhong	Mgmt	For	For	For
21	Elect Non-Independent Director: YANG Qi	Mgmt	For	For	For
22	Elect Non-Independent Director: ZHAO Zhanguo	Mgmt	For	For	For
23	Elect Independent Director: ZHAI Minguo	Mgmt	For	For	For
24	Elect Independent Director: LIU Jipeng	Mgmt	For	For	For
25	Elect Independent Director: HU Shiming	Mgmt	For	For	For
26	Elect ZHU Shuhong	Mgmt	For	For	For
27	Elect WANG Xiaomei	Mgmt	For	Against	Against

Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	01/18/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt For
1	COMPLIANCE WITH RELEVANT LAWS AND REGULATIONS OF MAJOR ASSETS RESTRUCTURING	Mgmt	For	For	For
2	Scheme of Transaction	Mgmt	For	For	For
3	Transaction Counterpart and Underlying Assets	Mgmt	For	For	For
4	Assessment and Pricing of Underlying Assets	Mgmt	For	For	For
5	Payment Method of Transaction Consideration and Payment Arrangement	Mgmt	For	For	For
6	Attribution of Profits and Losses of Underlying Assets During Transitional Period	Mgmt	For	For	For
7	Validity Period	Mgmt	For	For	For
8	Subsidiary Participation in Capital Increase of a Company	Mgmt	For	For	For
9	Transaction Non-constitution of Related Party Transaction	Mgmt	For	For	For
10	Transaction Non-constitution of Back Door Listing	Mgmt	For	For	For
11	Compliance with 4th Article for Provision of Major Asset Restructuring	Mgmt	For	For	For
12	Signing of Condition Property Right Transaction Contract	Mgmt	For	For	For
13	Audit Report, Review Report and Evaluation Report for Transaction	Mgmt	For	For	For
14	Independence of Evaluation Institution, Rationality of Evaluation Hypothesis, and Objective Fairness of Evaluation Pricing	Mgmt	For	For	For
15	Compliance and Completeness of Implementing Legal Proceedings of Major	Mgmt	For	For	For

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	Asset Restructuring and Effectiveness of Submitted Legal Documents				
16	Filing Measures for Diluted Immediate Returns	Mgmt	For	For	For
17	Board Authorization to Implement Major Asset Restructuring	Mgmt	For	For	For
18	Report on Major Asset Restructuring	Mgmt	For	For	For
19	Amendments to Procedural Rules: Amendment to Securities Investment Management System	Mgmt	For	Abstain	Against
20	Cooperation Framework Agreement with Government Organization	Mgmt	For	For	For

Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	03/29/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Debt Instruments	Mgmt	For	For	For
3	Amendments to Company Name and Stock Abbreviation	Mgmt	For	For	For
4	Amendments to Business Scope	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Approval to Inject Capital into a Subsidiary	Mgmt	For	For	For

Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
8	Authority to Give	Mgmt	For	Against	Against

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9	Guarantees Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
10	Termination of Private Placement	Mgmt	For	For	For
11	Authority to Issue Overseas Bonds	Mgmt	For	For	For

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Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	08/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Strategic Agreement with PingAn Trust Co., Ltd.	Mgmt	For	For	For
2	Approval of Strategic Agreement with China Construction Fourth Engineering Division Co., Ltd.	Mgmt	For	For	For
3	Authority to Provide Guarantees	Mgmt	For	Against	Against

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Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	09/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	11/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Subsidiary Participation in Capital Increase of a Company	Mgmt	For	For	For

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Zhongtian Urban Development Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000540	CINS Y2943D100	12/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Development and Construction Cooperation Agreement	Mgmt	For	For	For

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With the People's  
Government

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Zijin Mining Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
2899	CINS Y9892H115	06/30/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Debt Financing	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Provision of Guarantee	Mgmt	For	For	For	
4	Directors' Report	Mgmt	For	For	For	
5	Independent Directors' Report	Mgmt	For	For	For	
6	Supervisors' Report	Mgmt	For	For	For	
7	Financial Report	Mgmt	For	For	For	
8	Annual Report	Mgmt	For	For	For	
9	Allocation of Profits/Dividends	Mgmt	For	For	For	
10	Directors' and Supervisors' Fees	Mgmt	For	For	For	
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Zijin Mining Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
2899	CINS Y9892H115	08/25/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For	
3	Formulation of Administrative Policy of Approval of the establishment of the Administrative Measures for Employee Stock Ownership Scheme	Mgmt	For	For	For	
4	Board Authorization to Implement Employee Stock Ownership Scheme	Mgmt	For	For	For	
5	Satisfaction of Conditions for Non-public Issuance of A Shares	Mgmt	For	For	For	

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6	Class and Nominal Value	Mgmt	For	For	For
7	Method and Time of Issuance	Mgmt	For	For	For
8	Issuing Objects and Methods of Subscription;	Mgmt	For	For	For
9	Subscription Price and Pricing Principles;	Mgmt	For	For	For
10	Number of Shares to Be Issued	Mgmt	For	For	For
11	Lock-up Period	Mgmt	For	For	For
12	Use of Proceeds Raised	Mgmt	For	For	For
13	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A Shares	Mgmt	For	For	For
14	Listing place	Mgmt	For	For	For
15	Validity period	Mgmt	For	For	For
16	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
17	Feasibility Report on the Use of Proceeds Raised in Non-public Issuance of A Shares	Mgmt	For	For	For
18	Not to Produce a Report on Use of Proceeds Previously Raised	Mgmt	For	For	For
19	Connected Transactions under Non-public Issuance of A Shares	Mgmt	For	For	For
20	Conditional Share subscription Agreement for Non-public Issuance of A Shares	Mgmt	For	For	For
21	Conditional Share Subscription Agreement for Non-public Issuance of A Shares with Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For
22	Dilutive Impact of Non-public Issuance of A Shares on Immediate Returns and Adoption of Recovery Measures	Mgmt	For	For	For
23	Undertakings of Directors and Senior Management of Company	Mgmt	For	For	For

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	in relation to Recovery Measures for Returns				
24	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For
25	Eligibility for Issuance of Renewable Corporate Bond	Mgmt	For	For	For
26	Issue Size and Nominal Value	Mgmt	For	For	For
27	Maturity	Mgmt	For	For	For
28	Interest Rate and Interest Determination Principles	Mgmt	For	For	For
29	Issuance Method	Mgmt	For	For	For
30	Guarantee Measures	Mgmt	For	For	For
31	Terms of Redemption	Mgmt	For	For	For
32	Terms of Interest Payments	Mgmt	For	For	For
33	Interest Payment Restrictions	Mgmt	For	For	For
34	Use of Proceeds	Mgmt	For	For	For
35	Target Subscribers and Arrangements For Existing Shareholders	Mgmt	For	For	For
36	Underwriting and Listing Arrangements	Mgmt	For	For	For
37	Repayment Safeguards	Mgmt	For	For	For
38	Validity of Resolution	Mgmt	For	For	For
39	Renewable Measures	Mgmt	For	For	For

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Zijin Mining Group Company Limited

Ticker Security ID: Meeting Date Meeting Status  
2899 CINS Y9892H115 08/25/2016 Voted

Meeting Type Country of Trade  
Other China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Nominal Value	Mgmt	For	For	For
2	Method and Time of Issuance	Mgmt	For	For	For
3	Issuing Objects and Methods of Subscription	Mgmt	For	For	For
4	Subscription Price and Pricing Principles	Mgmt	For	For	For
5	Number of Shares to Be Issued	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A	Mgmt	For	For	For

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	Shares				
9	Listing place	Mgmt	For	For	For
10	Validity period	Mgmt	For	For	For
11	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
12	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For

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Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H115	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Remuneration and Assessment of Directors and Supervisors	Mgmt	For	For	For
4	One-off Use of Personal Option Bonus Remuneration	Mgmt	For	For	For
5	Amendments to the Administrative Measures of Connected Transactions	Mgmt	For	For	For
6	Elect CHEN Jinghe	Mgmt	For	Against	Against
7	Elect LAN Fusheng	Mgmt	For	For	For
8	Elect ZOU Laichang	Mgmt	For	For	For
9	Elect LIN Hongfu	Mgmt	For	For	For
10	Elect FANG Qixue	Mgmt	For	For	For
11	Elect LIN Hongying	Mgmt	For	For	For
12	Elect LI Jian	Mgmt	For	Against	Against
13	Elect LU Shihua	Mgmt	For	For	For
14	Elect ZHU Guang	Mgmt	For	For	For
15	Elect Kenneth SIT Hoi Wah	Mgmt	For	For	For
16	Elect CAI Meifeng	Mgmt	For	For	For
17	Elect LIN Shuiqing	Mgmt	For	For	For
18	Elect XU Qiang	Mgmt	For	For	For
19	Elect FAN Wensheng	Mgmt	For	For	For

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Zoomlion Heavy Industry Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
000157	CINS Y1293Y102	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report (A Share)	Mgmt	For	For	For
6	Annual Report (H Share)	Mgmt	For	For	For
7	Allocation of	Mgmt	For	For	For



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8	Profits/Dividends Appointment of Domestic Auditor: Baker Tilly China	Mgmt	For	Against	Against
9	Appointment of International Auditor: KPMG	Mgmt	For	For	For
10	Remuneration Principle	Mgmt	For	For	For
11	Approval of Line of Credit	Mgmt	For	For	For
12	Authority to Give Guarantees for Financing Lease Business	Mgmt	For	For	For
13	Authority to Give Guarantees for Mortgage Business	Mgmt	For	For	For
14	Authority for a Subsidiary to Launch Confirmation Warehouse Business	Mgmt	For	For	For
15	Authority for another Subsidiary to Launch Confirmation Warehouse Business	Mgmt	For	For	For
16	Authority to Give Guarantees for Controlled Subsidiaries	Mgmt	For	For	For
17	Investment in Wealth Management Products	Mgmt	For	For	For
18	Authority to Launch Financial Derivatives Business	Mgmt	For	For	For
19	Disposal of Equity Stake	Mgmt	For	For	For

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ZTE Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0763	CINS Y0004F121	06/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	President's Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of PRC Auditor and Authority to Set Fees	Mgmt	For	For	For

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9	Appointment of Hong Kong Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Appointment of Internal Control Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Application to Bank of China Limited	Mgmt	For	For	For
12	Application to China Development Bank Corporation	Mgmt	For	For	For
13	Application for Investment Limits in Derivative Investment	Mgmt	For	For	For
14	Elect ZHAI Weidong	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
16	Amendments to Articles	Mgmt	For	For	For
17	Adoption of 2017 Share Option Incentive Scheme (Draft)	Mgmt	For	Against	Against
18	Adoption of 2017 Share Option Incentive Scheme Performance Appraisal System	Mgmt	For	Against	Against
19	Board Authority to Carry Out 2017 Share Option Incentive Scheme	Mgmt	For	Against	Against
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

ZTE Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0763	CINS Y0004F121	06/20/2017	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of 2017 Share Option Incentive Scheme (Draft)	Mgmt	For	Against	Against
2	Adoption of 2017 Share Option Incentive Scheme Performance Appraisal System	Mgmt	For	Against	Against
3	Board Authority to Carry Out 2017 Share Option Incentive Scheme	Mgmt	For	Against	Against
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fund Name : VanEck Vectors ChinaAMC SME-Chinext ETF

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Alpha Group						
Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	01/06/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Private Placement	Mgmt	For	For	For	
2	Pricing Principles and Issue Price	Mgmt	For	For	For	
3	Amount of Proceeds and Size of Issuance	Mgmt	For	For	For	
4	Use of Proceeds	Mgmt	For	For	For	
5	Validity of Resolution	Mgmt	For	For	For	
6	Preliminary Plan of Private Placement	Mgmt	For	For	For	
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
8	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	
9	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
10	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	

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Alpha Group						
Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	04/21/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Annual Report and Summary	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Directors' Report	Mgmt	For	For	For	
5	Supervisors' Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Special Report on Use of Previously Raised Funds	Mgmt	For	For	For	

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Alpha Group						
Ticker	Security ID:	Meeting Date	Meeting Status			
002292	CINS Y2927R109	06/12/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For	Abstain	Against

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	07/07/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Jianping	Mgmt	For	For	For
2	Elect CHOU Jianzhong	Mgmt	For	Against	Against
3	Elect TAN Yan	Mgmt	For	For	For
4	Elect CAI Dongqing	Mgmt	For	For	For
5	Elect CAI Xiaodong	Mgmt	For	For	For
6	Elect CAO Yongqiang	Mgmt	For	For	For
7	Elect YANG Rui	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Elect CAI Xianfang as Supervisor	Mgmt	For	Against	Against
10	Supervisors' Fees	Mgmt	For	For	For
11	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For

Alpha Group

Ticker	Security ID:	Meeting Date	Meeting Status		
002292	CINS Y2927R109	11/01/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Controlled Subsidiary	Mgmt	For	For	For
2	Authority to Give Guarantees Associated Company	Mgmt	For	For	For
3	INCREASE OF BANK CREDIT QUOTA	Mgmt	For	For	For
4	Company's Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Par Value and Issuance Price	Mgmt	For	For	For
8	Bond Duration	Mgmt	For	For	For
9	Issuance Targets	Mgmt	For	For	For
10	Arrangement for Placement to Shareholders	Mgmt	For	For	For
11	Methods of Interest and Principal	Mgmt	For	For	For

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12	Payment Interest Rate and Determination Method	Mgmt	For	For	For
13	Guarantee Arrangement	Mgmt	For	For	For
14	Purpose of Raised Funds	Mgmt	For	For	For
15	Repurchase and Redemption Options	Mgmt	For	For	For
16	Listing Market	Mgmt	For	For	For
17	Repayment Guarantees Method	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	01/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity of Resolution for Issuance of Convertible Bonds	Mgmt	For	For	For
2	Extension of Board Authorization to Implement Issuance of Convertible Bonds	Mgmt	For	For	For

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Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	02/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Elect Non-independent Directors and Independent Directors	Mgmt	For	For	For
2	Approval to Elect Supervisors	Mgmt	For	For	For
3	Elect YU Weiye	Mgmt	For	For	For
4	Elect WEI Xuemei	Mgmt	For	For	For
5	Elect ZHU Ninghai	Mgmt	For	For	For
6	Elect CHEN Yongming	Mgmt	For	For	For
7	Elect LI Rucheng	Mgmt	For	For	For
8	Elect SONG Hanping	Mgmt	For	For	For
9	Elect CHEN Guanghua	Mgmt	For	For	For
10	Elect XU Lixun	Mgmt	For	For	For
11	Elect LU Huayu	Mgmt	For	For	For
12	Elect LUO Mengbo	Mgmt	For	For	For
13	Elect LUO Weikai	Mgmt	For	For	For
14	Elect FENG Peijiong	Mgmt	For	For	For

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15	Elect YANG Xiaoping	Mgmt	For	For	For
16	Elect FU Jianhua	Mgmt	For	For	For
17	Elect FU Jijun	Mgmt	For	For	For
18	Elect BEN Shenglin	Mgmt	For	For	For
19	Elect ZHANG Jixiang	Mgmt	For	For	For
20	Elect GENG Hong	Mgmt	For	For	For
21	Elect XU Liming	Mgmt	For	For	For
22	Elect PU Yiwei	Mgmt	For	For	For
23	Elect SHU Guoping	Mgmt	For	For	For
24	Elect HU Songsong	Mgmt	For	For	For
25	Amendments to Articles	Mgmt	For	For	For
26	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002142	CINS Y0698G104	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to the Management Measures for Related Party Transactions	Mgmt	For	Abstain	Against
7	Report of Related Party Transactions Implementation in 2016 and Arrangements for 2017	Mgmt	For	For	For
8	2017 Related Party Transactions	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Liability Insurance	Mgmt	For	Abstain	Against
11	Directors' Fees	Mgmt	For	For	For
12	Supervisors' Fees	Mgmt	For	For	For
13	Chairman and Vice Chairman's Fees	Mgmt	For	For	For
14	Chairman of the Board of Supervisors' Fees	Mgmt	For	For	For
15	Supervisors' Report	Mgmt	For	For	For
16	Supervisors' Performance Evaluation Report	Mgmt	For	For	For
17	Directors' Performance Evaluation Report	Mgmt	For	For	For
18	Shareholder Returns	Mgmt	For	Abstain	Against

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19	Plan Eligibility for Private Placement	Mgmt	For	For	For
20	Security Type and Par Value	Mgmt	For	For	For
21	Method of Issuance	Mgmt	For	For	For
22	Amount and Use of the Raised Fund	Mgmt	For	For	For
23	Issuing Price and Pricing Principle	Mgmt	For	For	For
24	Issuing Size	Mgmt	For	For	For
25	Issuance Targets and Subscription	Mgmt	For	For	For
26	Lock-Up Period	Mgmt	For	For	For
27	Listing Market	Mgmt	For	For	For
28	Arrangement for Accumulated Retained Profits Before the Non-Public Offering	Mgmt	For	For	For
29	The Valid Period of the Resolution	Mgmt	For	For	For
30	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
31	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
32	Signing of Conditional Shares Subscription Agreement	Mgmt	For	For	For
33	Related Party Transactions Regarding Private Placement	Mgmt	For	For	For
34	Board Authorization to Implement Private Placement	Mgmt	For	For	For
35	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
36	Change in Address and Amendment to Article	Mgmt	For	For	For
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bank of Ningbo Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002142	CINS Y0698G104	09/14/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
1	Elect ZHU Ninghai	Mgmt	For
			Vote Cast
			For/Against Mgmt
			For

Baofeng Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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300431	CINS Y07770103	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' and Senior Executives' Fees	Mgmt	For	For	For
7	Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Amendment to Articles	Mgmt	For	For	For

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Baofeng Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300431	CINS Y07770103	08/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Joint Venture	Mgmt	For	For	For
3	Change of Business Scope	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

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Baofeng Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300431	CINS Y07770103	09/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Application for Line of Credit	Mgmt	For	For	For
3	Eligibility for Private Placement	Mgmt	For	For	For
4	Preplan for Private Placement	Mgmt	For	For	For
5	Stock Type and Par Value	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Pricing Base Date, Issuance Price and Pricing Principals	Mgmt	For	For	For
8	Targets of Issuance and Method of Subscription	Mgmt	For	For	For
9	Size of Issuance	Mgmt	For	For	For
10	Lock-up Period	Mgmt	For	For	For
11	Arrangement for	Mgmt	For	For	For



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	Accumulated Retained Profits				
12	Listing Market	Mgmt	For	For	For
13	Purpose of Raised Funds	Mgmt	For	For	For
14	Validity Period of Resolution	Mgmt	For	For	For
15	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
16	Analysis Report on Private Placement	Mgmt	For	For	For
17	Report for Use of Raised Funds	Mgmt	For	For	For
18	Diluted Immediate Returns for Private Placement	Mgmt	For	For	For
19	Board Authorization to Implement Private Placement	Mgmt	For	For	For
20	Special Account for Raised Funds	Mgmt	For	For	For
21	Waiver of Mandatory Takeover Requirement	Mgmt	For	For	For
22	Elect ZHAO Jun as Director	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SHAO Genhuo	Mgmt	For	For	For
2	XUE Suwen	Mgmt	For	For	For
3	ZHANG Lizhong	Mgmt	For	For	For
4	FENG Yujun	Mgmt	For	For	For
5	CHEN Lei	Mgmt	For	For	For
6	Elect JI Weiguo as Supervisor	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002385	CINS Y0772N108	03/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment with Proprietary Funds	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002385	CINS Y0772N108	03/20/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002385 CINS Y0772N108 04/21/2017 Voted  
Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval of Chairman's Authority	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Approval to Provide Financial Aids	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002385 CINS Y0772N108 05/15/2017 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Beijing Dabeinong Commerce	Mgmt	For	For	For
2	Authority to Give Guarantees to Beizhen Dabeinong	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002385 CINS Y0772N108 08/26/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Dabeinong Technology Group Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002385 CINS Y0772N108 10/17/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Beijing Dabeinong Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002385	CINS Y0772N108	12/08/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Approval to Offer Financial Aid	Mgmt	For	For	For
3	Board Authorization to Implement Investment Activities	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300251	CINS Y0773B103	05/12/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300251	CINS Y0773B103	07/11/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Authority to Issue Short Term Commercial Papers	Mgmt	For	For	For
3	Authority to Issue Super Short Term Commercial Papers	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
300251	CINS Y0773B103	11/21/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against
2	Amendments to Use of	Mgmt	For	For	For

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3	Proceeds Working Capital Replenishment	Mgmt	For	For	For
4	Investment in Wealth Management Products	Mgmt	For	For	For

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Beijing Enlight Media Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300251	CINS Y0773B103	12/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up of Beijing Zhongguancun Bank	Mgmt	For	For	For
2	Initiators Agreement for Bank Establishment	Mgmt	For	For	For
3	Commitments Regarding Capital Source	Mgmt	For	For	For
4	Commitments Regarding Absence of Illegal Related Party Transactions	Mgmt	For	For	For
5	Commitments for Zhongguancun Bank	Mgmt	For	For	For
6	Disposal of Financial Assets	Mgmt	For	For	For

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Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	01/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Receive Guarantees	Mgmt	For	For	For

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Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	01/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	Abstain	Against
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	04/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Restricted Stock Incentive Plan and Summary	Mgmt	For	For	For
2	Amendments to Procedural Rules: Implementation and Appraisal Management	Mgmt	For	For	For
3	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	05/31/2017		Voted	
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Independent Directors' Report	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authorization to Board Chairman on External Investment	Mgmt	For	For	For
11	Amendments to External Investment Management System	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	For	For
13	Approval to Receive Guarantees for Line of Credit	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	07/14/2016		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHAO Baoqing as Independent Director	Mgmt	For	For	For
2	CAPITAL INCREASE IN A WHOLLY-OWNED SUBSIDIARY	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date		Meeting Status	
300418	CINS Y0R7Z5109	08/03/2016		Voted	
Meeting Type	Country of Trade				
Special	China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Related Party Transactions - Acquisition	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300418 CINS Y0R7Z5109 08/22/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Validity Period for Private Placement	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300418 CINS Y0R7Z5109 09/01/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For
3	Approval of Loans	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300418 CINS Y0R7Z5109 09/19/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Borrowing	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300418 CINS Y0R7Z5109 10/11/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker Security ID: Meeting Date Meeting Status  
300418 CINS Y0R7Z5109 11/14/2016 Voted  
Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Kunlun Group Limited	Mgmt	For	For	For

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2	Authority to Give Guarantees to Beijing Kunlun Lexiang Network Technology Co., Ltd.	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	12/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HUANG Guoqiang as Director	Mgmt	For	For	For
2	Elect CHEN Hao as Independent Director	Mgmt	For	For	For
3	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
4	Authority for Public Issuance of Corporate Bonds	Mgmt	For	For	For
5	Eligibility for Private Placement of Corporate Bonds	Mgmt	For	For	For
6	Authority for Private Placement of Corporate Bonds	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	12/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture	Mgmt	For	For	For

Beijing Kunlun Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300418	CINS Y0R7Z5109	12/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Acquisition of 51% Interest in Beijing Xianlai Huyu Network Technology Co., Ltd.	Mgmt	For	Abstain	Against

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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002310	CINS Y0772D100	04/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Draft and Summary of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Authorization to the Board to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

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### Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	05/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Financial Statements	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	For	For
13	Authority to Issue Ultra Short-term Commercial Paper	Mgmt	For	For	For
14	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
15	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
16	Issuance Size	Mgmt	For	For	For
17	Par Value and Issuance Price	Mgmt	For	For	For
18	Issuance Target	Mgmt	For	For	For
19	Maturity and Type	Mgmt	For	For	For
20	Interest Rate	Mgmt	For	For	For
21	Guarantee Methods	Mgmt	For	For	For
22	Issuance Methods	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Set-up of Raised Funds Account and Special Account	Mgmt	For	For	For



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25	Repayment Guarantee Measures	Mgmt	For	For	For
26	Trading and Circulation of Bonds	Mgmt	For	For	For
27	Validity of Resolution	Mgmt	For	For	For
28	Board Authorization to Implement Bonds Issuance	Mgmt	For	For	For
29	Elect ZHOU Shaoni as Independent Director	Mgmt	For	For	For
30	Shareholder Returns Plan	Mgmt	For	For	For
31	Elect JIN Jian as Non-Independent Director	Mgmt	For	For	For
32	Elect LIU Weijie as Non-Independent Director	Mgmt	For	For	For
33	Amendments to Articles	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	08/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Options Plan	Mgmt	For	For	For
2	Constitution of Administrative Measures for Employee Stock Options Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Options Plan	Mgmt	For	For	For
4	Change of Business Scope	Mgmt	For	For	For
5	Amendments to Articles and Related Procedural Rules	Mgmt	For	For	For
6	Authority to Register Short-term Financing Bills	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	08/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HE Qiaone	Mgmt	For	For	For
2	Elect MA Zhegang	Mgmt	For	For	For
3	Elect CHEN Xingfu	Mgmt	For	For	For
4	Elect TANG Kai	Mgmt	For	For	For

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5	Elect ZHAO Dong	Mgmt	For	For	For
6	Elect ZHANG Cheng	Mgmt	For	For	For
7	Elect JIANG Li	Mgmt	For	For	For
8	Elect LIU Kaixiang	Mgmt	For	For	For
9	Elect SU Jinqi	Mgmt	For	For	For
10	Elect ZHANG Tao	Mgmt	For	For	For
11	Elect FANG Yi	Mgmt	For	Against	Against
12	Elect HE Lan	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	10/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Change of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Beijing Orient Landscape Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002310	CINS Y0772D100	12/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up of Beijing Zhongguancun Bank	Mgmt	For	For	For
2	Initiators Agreement for Establishment of Beijing Zhongguancun Bank	Mgmt	For	For	For
3	Commitments Regarding Capital Source for Bank Establishment	Mgmt	For	For	For
4	Statement on Absence of Illegal Related Party Transactions	Mgmt	For	For	For
5	Commitments for Established Bank	Mgmt	For	For	For

Beijing Originwater Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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6	Related Party Transactions with Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
7	Related Party Transactions with Tianjin Baoxing Water Conservancy Construction Management Co., Ltd	Mgmt	For	For	For
8	Related Party Transactions with Xinjiang New Water Technology Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Wuhan Sanzhen Industry Holdings Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Hunan Heyuan Water Environmental Technology Co., Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Wuhan Water Environmental Technology Co., Ltd.	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Early Termination of Guarantees to Yunnan Shuiwu Industry Investment Development Co., Ltd.	Mgmt	For	For	For
14	Early Termination of Guarantees to Beijing Jiuan Construction & Investment Group Co., Ltd.	Mgmt	For	For	For
15	Early Termination of Guarantees to Qingdao Water Originwater Desalination Co., Ltd.	Mgmt	For	For	For
16	Early Termination of Guarantees to Originwater Hong Kong Environmental Protection Co., Ltd.	Mgmt	For	For	For
17	Early Termination of Part of Guarantees to Originwater Hong Kong	Mgmt	For	For	For

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	Environmental Protection Co., Ltd.				
18	Authority to Give Guarantees to Yongjia Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
19	Authority to Give Guarantees to Ningbo Bixing Environmental Protection Technology Co., Ltd	Mgmt	For	For	For
20	Authority to Give Guarantees to Zhuji Originwater Environmental Technology Co., Ltd	Mgmt	For	For	For
21	Authority to Give Guarantees to Shantou Originwater Environmental Technology Co., Ltd.	Mgmt	For	For	For
22	Authority to Give Guarantees to Beijing Jiuan Construction & Investment Group Co., Ltd.	Mgmt	For	For	For
23	Authority to Give Guarantees to Beijing Bitong Taima Water Environmental Governance Co., Ltd.	Mgmt	For	For	For
24	Authority to Give Guarantees to Yan'an Liangye Environmental Technology Co., Ltd.	Mgmt	For	For	For
25	Authority to Give Guarantees to Fukang Kefa Renewable Water Co., Ltd.	Mgmt	For	For	For
26	Authority to Give Guarantees to Qingdao Water Originwater Desalination Co., Ltd.	Mgmt	For	For	For
27	Authority to Give Guarantees to Urumqi Midong Kefa Renewable Water Co., Ltd.	Mgmt	For	For	For
28	Authority to Give Guarantees to Beijing Originwater Boda Water Technology Co.,	Mgmt	For	For	For

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29	Ltd. Authority to Give Guarantees to Kuancheng Originwater Environmental Protection Co., Ltd.	Mgmt	For	For	For
<hr/>					
Beijing Originwater Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300070	CINS Y0772Q101	11/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authority to Give Guarantees to Beijing Jiuan Construction and Investment Group Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees to Originwater Hong Kong Environmental Protection Co., Ltd.	Mgmt	For	For	For
5	Authority to Give Guarantees to Qinhuangdao Originwater Environment Technology Co., Ltd.	Mgmt	For	For	For
6	Authority to Give Guarantees to Inner Mongolia Chunyuan Environmental Protection Technology Co., Ltd.	Mgmt	For	For	For
7	Authority to Give Guarantees to Xinjiang Kunlun New Water Source Technology Co., Ltd.	Mgmt	For	For	For
8	Elect FANG Hao as Director	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Authority to Give Guarantees to Xinjiang Originwater Environmental Resources Co., Ltd.	Mgmt	For	For	For
11	Authority to Give Extended Period of	Mgmt	For	For	For

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	Guarantees Provided For Wuxi Liyang Membrane Technology Co., Ltd.					
12	Authority to Give Guarantees to Beijing Originwater Water Purification Engineering Technology Co., Ltd.	Mgmt	For	For	For	
<hr/>						
Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300070	CINS Y0772Q101	12/05/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Establishment of Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For	
2	Signing of Agreement for the Establishment	Mgmt	For	For	For	
3	Report on Commitment to Authenticity of Sources of Funding	Mgmt	For	For	For	
4	No Violation to Related Party Transactions	Mgmt	For	For	For	
5	Sixteen Commitments to Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For	
<hr/>						
Beijing Originwater Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300070	CINS Y0772Q101	12/26/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Yiyang GuoKai Originwater Technology Co., Ltd.	Mgmt	For	For	For	
2	Authority to Give Guarantees to Shawan Originwater Technology Co., Ltd.	Mgmt	For	For	For	
3	Authority to Give Guarantees to Xian Originwater Technology Co., Ltd.	Mgmt	For	For	For	
4	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For	

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5 Authority to Issue Mgmt For For For  
 Super Short-term  
 Commercial  
 Paper

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300072 CINS Y0773K103 04/06/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300072 CINS Y0773K103 05/11/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

1	Eligibility for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Issuance Methods	Mgmt	For	For	For
4	Issuance Base, Ratio and Size	Mgmt	For	For	For
5	Pricing Basis and Issuance Price	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Issuance Timing	Mgmt	For	For	For
9	Underwriting Methods	Mgmt	For	For	For
10	Allocation of Undistributed Cumulative Profits	Mgmt	For	For	For
11	Resolution Validity	Mgmt	For	For	For
12	Listing and Trading of Securities	Mgmt	For	For	For
13	Preliminary Plan for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Analytical Report for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Feasibility Report for Use of	Mgmt	For	For	For

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16	Proceeds Dilution Measures for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Commitments to Dilution Measures	Mgmt	For	For	For
18	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
19	Shareholder Returns Plan	Mgmt	For	For	For
20	Board Authorization to Implement Share Issuance	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	05/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Authority to Give Guarantees to Sanju Environment (Hong Kong) Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Daqing Sanju Energy Purification Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees to Hebei Huachen Petrochemical Co., Ltd.	Mgmt	For	For	For

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Beijing Sanju Environmental Protection & New Materials Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	08/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Approval of Line of Credit	Mgmt	For	For	For
3	Authority to Amend Term of Direct Financing Instruments	Mgmt	For	For	For
4	Account Receivable Factoring Business	Mgmt	For	For	For
5	Authority to Give Guarantees to Wholly-owned Subsidiary	Mgmt	For	For	For



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6	Authority to Give Guarantees to Subsidiary	Mgmt	For	For	For
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Beijing Sanju Environmental Protection & New Materials Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300072	CINS Y0773K103	12/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Application for Line of Credit	Mgmt	For	For	For
3	Account Receivable Factoring Business	Mgmt	For	For	For
4	Authority to Give Guarantees to Shenyang Sanju Kaite Catalyst Co., Ltd. for Line of Credit from Bank	Mgmt	For	For	For
5	Authority to Give Guarantees to Danqing Sanju Energy Purification Co., Ltd. for Line of Credit from Banks	Mgmt	For	For	For
6	Authority to Give Guarantees to Hebei Huachen Petrochemical Ltd. for Line of Credit from Banks	Mgmt	For	For	For
7	Authority to Give Guarantees to Xiaoyishi Sanju Pengfei New Energy Co., Ltd for Line of Credit from Bank	Mgmt	For	For	For
8	Increase in Registered Capital and Amendments to Articles	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002153	CINS Y0772L102	02/13/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval to Terminate an Investment Project and to Supplement Working Capital with Remaining	Mgmt	For	For	For

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### Proceeds

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
002153	CINS Y0772L102	05/04/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	Abstain	Against
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect YE JinFu as Independent Director	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
002153	CINS Y0772L102	07/15/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Shaohua	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

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Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
002153	CINS Y0772L102	09/09/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Purpose of Plan	Mgmt	For	For	For
2	Participants	Mgmt	For	For	For
3	Quantity, Source and Type of Underlying Stocks	Mgmt	For	For	For
4	Granting Status of Options	Mgmt	For	For	For
5	Exercise Price, Grant Date, Vesting Period, Exercise Date, and Lock-up Period of Stock Options	Mgmt	For	For	For
6	Basis for Determining Exercise Price	Mgmt	For	For	For
7	Exercise Requirements	Mgmt	For	For	For
8	Procedures for Granting	Mgmt	For	For	For
9	Adjustment Methods and Procedures for Stock Options	Mgmt	For	For	For

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10	Accounting Treatment	Mgmt	For	For	For
11	Obligations and Rights of the Company and Participants	Mgmt	For	For	For
12	Measures to to Implement Changes	Mgmt	For	For	For
13	Resolving Mechanisms for Disputes	Mgmt	For	For	For
14	Procedures for Cancellation and Withdrawal	Mgmt	For	For	For
15	Disclosure of Stock Options	Mgmt	For	For	For
16	Other Provisions of Plan	Mgmt	For	For	For
17	Constitution of Procedural Rules: Management Rules for Stock Option Plan	Mgmt	For	For	For
18	Board Authorization to Implement Stock Option Incentive Plan	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For

Beijing Shiji Information Technology Co. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
002153	CINS Y0772L102	11/28/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	LI Zhongchu	Mgmt	For
2	LAI Deyuan	Mgmt	For
3	LI Diankun	Mgmt	For
4	LI Shaohua	Mgmt	For
5	ZOU Xiaojie	Mgmt	For
6	LIU Danping	Mgmt	For
7	ZHU Jinmei	Mgmt	For
8	GUO Ming	Mgmt	For
9	ZHANG Guangjie	Mgmt	For
			Against
			Against

Beijing Sinnet Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300383	CINS Y0R7V6101	04/11/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Annual Report	Mgmt	For
3	Directors' Report	Mgmt	For
4	Supervisors' Report	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Remuneration Incentive System for Directors and Supervisors	Mgmt	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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Beijing SL Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002038	CINS Y0773L119	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Use of Proprietary Funds for Short-term Low-risk Investment	Mgmt	For	For	For

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Beijing SPC Environment Protection Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002573	CINS Y0773P102	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Approval to Conduct Financial Leasing Business	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Provision of Entrusted Loans	Mgmt	For	For	For
12	Approval of Borrowing	Mgmt	For	For	For
13	Elect JIA Shuangyan as Director	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Beijing SPC Environment Protection Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002573	CINS Y0773P102	08/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHANG Kaiyuan	Mgmt	For	For	For
2	Elect ZHANG Genhua	Mgmt	For	For	For

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3	Elect AN Dejun	Mgmt	For	For	For
4	Elect WANG Yonghui	Mgmt	For	For	For
5	Elect LIU Chaoan	Mgmt	For	For	For
6	Elect XIAO Suining	Mgmt	For	For	For
7	Elect ZHANG Jianping	Mgmt	For	For	For
8	Elect GUI Songlei	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	WANG Yuemiao	Mgmt	For	Against	Against
11	ZHANG Yanqiu	Mgmt	For	For	For
12	Supervisors' Fees	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For

Beijing SPC Environment Protection Tech Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002573	CINS Y0773P102	11/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset Securitization	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Authority to Give Guarantees to Subsidiary	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	01/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change of the Company's Business Scope	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Replenishment of Working Capital with	Mgmt	For	For	For

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	Raised Funds					
9	Management System on Entrusted Assets Management	Mgmt	For	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For	For

Beijing Ultrapower Software Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300002	CINS Y0775T102	11/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect YANG Kaicheng as Director	Mgmt	For	For	For

Beijing Venustech Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	01/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Joint Investment in the Establishment of Equity Investment Fund	Mgmt	For	For	For

Beijing Venustech Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002439	CINS Y07764106	05/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	PERMANENT REPLENISHMENT OF WORKING CAPITAL WITH SURPLUS RAISED FUNDS	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Investment in Wealth Management Products	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	By-election of	Mgmt	For	Against	Against

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Supervisor: Elect  
ZHANG Miao as a  
Supervisor

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Beijing Venustech Inc.  
 Ticker Security ID: Meeting Date Meeting Status  
 002439 CINS Y07764106 10/17/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Elect ZHANG Yuan as Director Mgmt For For For

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Beijing Water Business Doctor Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300055 CINS Y07760104 02/16/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Amendments to Use of Proceeds Mgmt For For For

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Beijing Water Business Doctor Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300055 CINS Y07760104 05/11/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Annual Report Mgmt For For For  
 2 Financial Reports Mgmt For For For  
 3 Directors' Report Mgmt For For For  
 4 Supervisors' Report Mgmt For Abstain Against  
 5 Allocation of Profits/Dividends Mgmt For For For  
 6 Appointment of Auditor Mgmt For For For

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Beijing Water Business Doctor Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300055 CINS Y07760104 08/29/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Elect WANG Changrong Mgmt For For For  
 2 Elect WANG Jian Mgmt For For For

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BlueFocus Communication Group Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300058 CINS Y091AZ103 05/17/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Non-Voting Meeting Note N/A N/A N/A N/A  
 2 Accounts and Reports Mgmt For For For  
 3 Directors' Report Mgmt For For For  
 4 Supervisors' Report Mgmt For For For  
 5 Annual Report Mgmt For For For  
 6 Allocation of Profits/Dividends Mgmt For For For

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7	Profits/Dividends Directors and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Internal Control Self-Assessment Report	Mgmt	For	For	For
10	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Adjustment to the Conversion Price of Convertible Corporate Bonds	Mgmt	For	For	For
13	Board Authorization to Implement Changes in Conversion Price	Mgmt	For	For	For
14	Employee Restricted Shares Plan	Mgmt	For	For	For
15	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
16	Assessment Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
17	Elect ZHAO Wenquan	Mgmt	For	For	For
18	Elect XIONG Jian	Mgmt	For	For	For
19	Elect ZHENG Hong	Mgmt	For	For	For
20	Elect ZHANG Dong	Mgmt	For	For	For
21	Elect JIANG Xiliang	Mgmt	For	For	For
22	Elect ZHAO Xinge	Mgmt	For	For	For
23	Elect JIN Yan	Mgmt	For	Against	Against
24	Elect YAN Yan	Mgmt	For	Against	Against
25	Elect XU Donggen	Mgmt	For	For	For
26	Elect FENG Xiao	Mgmt	For	For	For
27	Elect SONG Dan	Mgmt	For	For	For
28	Elect ZHU Yunyang	Mgmt	For	Against	Against

BlueFocus Communication Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300058	CINS Y091AZ103	10/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Medium-term Notes	Mgmt	For	For	For
2	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For
3	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For



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4	Amendments to Procedural Rules: Raised Fund Management Measures	Mgmt	For	For	For
5	Application for Line of Credit	Mgmt	For	For	For

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By-Health Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300146	CINS Y2924V103	03/20/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Work Report of the Board of Directors	Mgmt	For	For	For
2	Work Report of the Board of Supervisors	Mgmt	For	For	For
3	Financial Resolution	Mgmt	For	For	For
4	Financial Budget Report	Mgmt	For	For	For
5	2016 Annual Report and Summary	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Report on the Deposit and Usage of Raised Funds	Mgmt	For	For	For
9	Special Auditor Statement on Fund Usage	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Modification of Use of Raised Funds	Mgmt	For	For	For
12	Authority to Purchase Wealth Management Products	Mgmt	For	For	For
13	Shareholder Return Plan for 2017 to 2019	Mgmt	For	For	For
14	Election of Supervisors	Mgmt	For	Against	Against

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By-Health Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300146	CINS Y2924V103	04/27/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For
2	Decrease of Restricted Capital and Amendments to Articles	Mgmt	For	For	For

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By-Health Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
300146	CINS Y2924V103	11/04/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	2016 Restricted Stock Incentive Plan	Mgmt	For	For	For	
2	Constitution of Procedural Rules: Management Measures for the Restricted Stock Incentive Plan	Mgmt	For	For	For	
3	Board Authorization to Implement Stock Option Plan	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	

BYD Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1211	CINS Y1045E107	06/06/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
8	Provision of Guarantee by the Group	Mgmt	For	Against	Against	
9	Guarantees to External Company in Respect of Sales of New Energy Vehicles, New Energy Forklifts and New Technological Products	Mgmt	For	Against	Against	
10	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For	
11	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
12	Authority to Issue Shares w/o Preemptive Rights of BYD Electronic (International) Company	Mgmt	For	Against	Against	

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13	Limited Investment in Wealth Management Products	Mgmt	For	For	For
14	Authority to Issue Short to Medium-Term Debt Financing Instruments	Mgmt	For	For	For

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BYD Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1211	CINS Y1045E107	11/01/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Declare Interim Dividends	Mgmt	For	For	For
3	Change of Business Scope and Amendment to Articles	Mgmt	For	For	For
4	Further Change of Business Scope and Amendment to Articles	Mgmt	For	For	For

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Cangzhou Mingzhu Plastic Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002108	CINS Y1099L106	04/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Adjustment in Independent Directors' Fees	Mgmt	For	For	For
11	Amendments to Procedural Rules: Compensation Incentive Plan	Mgmt	For	Abstain	Against
12	Amendments to Articles	Mgmt	For	For	For

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Cangzhou Mingzhu Plastic Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status
002108	CINS Y1099L106	05/10/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect LU Yujian as Independent Director	Mgmt	For
		For	For

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### Chaozhou Three-Circle(Group) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300408	CINS Y1R99A101	05/10/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor	Mgmt	For
7	Directors' and Supervisors' Fees	Mgmt	For
8	Elect ZHANG Wanzhen	Mgmt	For
9	Elect XIE Cansheng	Mgmt	For
10	Elect HUANG Xueyun	Mgmt	For
11	Elect LI Gang	Mgmt	For
12	Elect GU Qun	Mgmt	For
13	Elect HUANG Weikun	Mgmt	For
14	Elect XU Yejun	Mgmt	For
15	Elect LI Bo	Mgmt	Against
16	Elect ZHANG Xichong	Mgmt	For
17	Investment to Establish Joint Venture	Mgmt	For
18	Authority to Increase Guarantee Quota	Mgmt	For

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### Chengdu Santai Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002312	CINS Y1309L102	12/22/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Equity/Asset Transfer	Mgmt	For
3	Use of Temporarily Idle Funds to to Implement National Debt Reverse Repurchase Financing	Mgmt	For

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### China Aviation Optical-Electrical Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002179	CINS Y14228103	01/17/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For	For	For	
2	First Phase Restricted Stock Incentive Plan	Mgmt	For	For	For	
3	Amendments to Procedural Rules: Stock Option Incentive Management Measures	Mgmt	For	For	For	
4	Amendments to Procedural Rules: Implementation and Appraisal of Restricted Stocks Incentive Plan	Mgmt	For	For	For	
5	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For	

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China Aviation Optical-Electrical Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002179	CINS Y14228103	04/12/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Related Party Transactions	Mgmt	For	For	For	
6	Annual Report	Mgmt	For	For	For	
7	Financial Budget	Mgmt	For	For	For	
8	Amendments to Articles	Mgmt	For	For	For	
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For	
10	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For	
11	Amendments to Management System for Fund Raising	Mgmt	For	For	For	
12	Amendments to Management System for Write-off of Assets	Mgmt	For	Abstain	Against	

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China Aviation Optical-Electrical Technology Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	05/18/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Amendment to Articles	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Aviation Optical-Electrical Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002179	CINS Y14228103	09/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GUO Zeyi	Mgmt	For	For	For
2	Elect ZHAO Zhuo	Mgmt	For	For	For
3	Elect WANG Chaoyang	Mgmt	For	For	For
4	Elect HU Linping	Mgmt	For	For	For
5	Elect ZHAO Hejun	Mgmt	For	For	For
6	Elect SHI Yinjiang	Mgmt	For	For	For
7	Elect FU Guicui	Mgmt	For	For	For
8	Elect WANG Huilan	Mgmt	For	For	For
9	Elect LUO Qinghua	Mgmt	For	For	For
10	Elect WU Xingquan	Mgmt	For	For	For
11	Elect WU Yun	Mgmt	For	For	For
12	Elect WANG Jingyu	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Shareholder Returns Plan	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	01/09/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	01/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	03/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Listing of a	Mgmt	For	For	For

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Subsidiary

Da An Gene Co., Ltd. of Sun Yat-sen University						
Ticker	Security ID:	Meeting Date	Meeting Status			
002030	CINS Y1856D107	04/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors Report	Mgmt	For	For	For	
2	Supervisor Reports	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Related Party Transactions	Mgmt	For	For	For	
8	2017 FINANCIAL BUDGET	Mgmt	For	For	For	
9	Listing of Subsidiary	Mgmt	For	For	For	
10	Application of Subsidiary Listing	Mgmt	For	For	For	
11	Asset-backed Securitization Business	Mgmt	For	For	For	
12	Authority to Guarantees for Asset Securitization Business	Mgmt	For	For	For	
13	Authority to Give Guarantees for Factoring Financing Business	Mgmt	For	For	For	
14	Authority to Give Counter-Guarantee for Controlled Subsidiary	Mgmt	For	For	For	
15	Authority to Give Guarantee for Wholly-owned Subsidiary of Controlled Subsidiary	Mgmt	For	For	For	
16	Approval of Industrial Merger Fund	Mgmt	For	For	For	
17	Purchase of Wealth Management Products	Mgmt	For	For	For	
Da An Gene Co., Ltd. of Sun Yat-sen University						
Ticker	Security ID:	Meeting Date	Meeting Status			
002030	CINS Y1856D107	05/08/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Termination of the Transfer of Equity	Mgmt	For	For	For	

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Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	05/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval for a Joint-Stock Subsidiary to Transform and Apply for Listing	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	06/05/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transformation and Listing of a Subsidiary	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	08/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application of Sub-subsidiary for Listing	Mgmt	For	For	For
2	Application of Subsidiary for Listing	Mgmt	For	For	For
3	Capital Increase and Share Expansion of Cyagen Health Research Centre (Taicang) Co., Ltd.	Mgmt	For	For	For
4	Capital Increase and Share Expansion of Connaught Xin (Nantong) Medical Technology Co., Ltd.	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University					
Ticker	Security ID:	Meeting Date	Meeting Status		
002030	CINS Y1856D107	10/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Subsidiary's Listing Plan	Mgmt	For	For	For

Da An Gene Co., Ltd. of Sun Yat-sen University



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Ticker	Security ID:	Meeting Date	Meeting Status
002030	CINS Y1856D107	11/15/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against
			Mgmt
1	Amendments to Articles	Mgmt	For
			For
			For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002065	CINS Y0771R100	02/09/2017	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against
			Mgmt
1	Elect XUE Xiangdong	Mgmt	For
2	Elect LU Bo	Mgmt	For
3	Elect LI Jianguo	Mgmt	For
4	Elect YANG Jian	Mgmt	For
5	Elect ZHENG Xiaoqing	Mgmt	For
6	Elect XU Deli	Mgmt	For
7	Elect FAN Yushun	Mgmt	For
8	Elect LIN Zhong	Mgmt	For
9	Elect LI Yan	Mgmt	For
10	Elect LUAN Dalong	Mgmt	For
11	Elect GUO Yujie as Supervisor	Mgmt	For
			Against
			Against

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002065	CINS Y0771R100	05/22/2017	Voted
Meeting Type		Country of Trade	
Annual		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against
			Mgmt
1	Annual Report	Mgmt	For
2	Directors' Report	Mgmt	For
3	Supervisors' Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For
7	Non-Voting Meeting Note	N/A	N/A
			N/A
			N/A

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002065	CINS Y0771R100	08/03/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against
			Mgmt
1	Authority to Issue Short Term Commercial Papers	Mgmt	For
			For
			For

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DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002065	CINS Y0771R100	09/12/2016	Voted
Meeting Type		Country of Trade	
Special		China	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

DHC Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002065	CINS Y0771R100	12/05/2016	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For
2	Signing of Agreement for the Establishment	Mgmt	For	For	For
3	Report on Commitment to Authenticity of Sources of Funding	Mgmt	For	For	For
4	No Violation to Related Party Transactions	Mgmt	For	For	For
5	Sixteen Commitments to Beijing Zhongguancun Bank Co., Ltd.	Mgmt	For	For	For

Do-Fluoride Chemicals Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002407	CINS Y2090T106	02/08/2017	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LI Shijiang	Mgmt	For	For	For
2	Elect HOU Hongjun	Mgmt	For	For	For
3	Elect LI Yunfeng	Mgmt	For	For	For
4	Elect LI Lingyun	Mgmt	For	For	For
5	Elect HAN Shijun	Mgmt	For	For	For
6	Elect CHEN Yan	Mgmt	For	For	For
7	Elect LI Yingjiang	Mgmt	For	For	For
8	Elect LUO Binyuan	Mgmt	For	For	For
9	Elect HUANG Guobao	Mgmt	For	For	For
10	Elect ZHAO Shuangcheng	Mgmt	For	Against	Against
11	Elect MA Baoqun	Mgmt	For	Against	Against
12	Elect GAO Yonglin	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Do-Fluoride Chemicals Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002407	CINS Y2090T106	03/29/2017	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
5	Annual Report	Mgmt	For	For	For	
6	Approval of Line of Credit	Mgmt	For	For	For	
7	Disposal of Assets	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	Against	Against	
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Do-Fluoride Chemicals Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002407	CINS Y2090T106	04/10/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
East Money Information Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
300059	CINS Y2234B102	01/20/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Elect Director: QI Shi	Mgmt	For	For	For	
3	Elect Director: TAO Tao	Mgmt	For	For	For	
4	Elect Director: CHEN Kai	Mgmt	For	For	For	
5	Elect Director: LU Wei	Mgmt	For	For	For	
6	Elect Independent Director: YAN Jie	Mgmt	For	For	For	
7	Elect Independent Director: TIAN Ling	Mgmt	For	For	For	
8	Elect BAO Yiqing	Mgmt	For	Against	Against	
9	Elect YE Lu	Mgmt	For	For	For	
<hr/>						
East Money Information Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
300059	CINS Y2234B102	03/27/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Amendments to Articles	Mgmt	For	For	For	
8	Authority to Give Guarantees	Mgmt	For	For	For	
9	Eligibility for Issuance of Convertible Bonds	Mgmt	For	For	For	
10	Type of Securities	Mgmt	For	For	For	
11	Issuing Volume	Mgmt	For	For	For	
12	Par Value and Issuing Price	Mgmt	For	For	For	

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13	Bond Duration	Mgmt	For	For	For
14	Interest Rate	Mgmt	For	For	For
15	Time and Method for Repaying the Interest	Mgmt	For	For	For
16	Conversion Period	Mgmt	For	For	For
17	Determination of and Adjustment to Conversion Price	Mgmt	For	For	For
18	Downward Adjustment Provision on Conversion Price	Mgmt	For	For	For
19	Method for Determining the Amount of Converted Shares	Mgmt	For	For	For
20	Redemption Provision	Mgmt	For	For	For
21	Resale Provision	Mgmt	For	For	For
22	Attribution of Related Stock Dividends for Conversion Years	Mgmt	For	For	For
23	Issuance Targets and Method	Mgmt	For	For	For
24	Arrangement for Placement to Shareholders	Mgmt	For	For	For
25	Matters Regarding Bondholders Meetings	Mgmt	For	For	For
26	Purpose of Raised Fund	Mgmt	For	For	For
27	Guarantee	Mgmt	For	For	For
28	Depository of the Raised Fund	Mgmt	For	For	For
29	Validity Period	Mgmt	For	For	For
30	Preliminary Plan to Issue Corporate Bonds	Mgmt	For	For	For
31	Analysis Report on Public Issuance Convertible Corporate Bonds	Mgmt	For	For	For
32	Feasibility Analysis Report on Use of Proceeds	Mgmt	For	For	For
33	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
34	Approval of Dilution Measures	Mgmt	For	For	For
35	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
36	Shareholder Returns Plan	Mgmt	For	For	For
37	Procedural Rules for Convertible	Mgmt	For	For	For

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Bondholders  
Meeting

East Money Information Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
300059	CINS Y2234B102	08/15/2016		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	01/11/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Working Capital Replenishment	Mgmt	For	For	For	
2	Establishment of Forex Hedging Business	Mgmt	For	For	For	
3	Authority to Give Guarantees to Zhengzhou Fenghetong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Changsha Eternal Asia Supply Chain Co., Ltd.	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Shenzhen Zhuoyou Data Technology Co., Ltd.	Mgmt	For	Against	Against	
6	Authority to Give Guarantees to Beijing Zhuoyou Yunzhi Technology Co., Ltd.	Mgmt	For	Against	Against	
7	Authority to Give Guarantees to Jiangsu Yisite Weier Supply Chain Management Co., Ltd. for Loans with Bank of Nanjing	Mgmt	For	Against	Against	
8	Authority to Give Guarantees to Jiangsu Yisite Weier Supply Chain Management Co., Ltd. for Loans with Bank of Jiangsu	Mgmt	For	Against	Against	
9	Authority to Give Guarantees to Shenzhen Yushang	Mgmt	For	Against	Against	

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	Micro-Financing Co., Ltd.				
10	Authority to Give Guarantees to Ganzhou Yushang Micro-Financing Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd.	Mgmt	For	Against	Against
12	Establishment of a Company	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	02/06/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Hangzhou Wanhong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Fujian Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Chongqing Yifei Liquor Marketing Co., Ltd.	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Jiangsu Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Guangxi Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
6	Authority to Receive Guarantees from a Wholly-Owned Subsidiary	Mgmt	For	For	For	
7	Authority to Give Guarantees to Shenzhen Yushang Micro Financing Co., Ltd.	Mgmt	For	Against	Against	
8	Explanation for Definition of "Within	Mgmt	For	For	For	

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This Year" As Appears in Board Resolution						
9	Financial Cooperation with Bank and Related Guarantees	Mgmt	For	Against	Against	
10	Adjustment to Name of Credit-Granting Bank	Mgmt	For	For	For	
11	Investment in Establishment of Chongqing Kangousheng Trade Co., Ltd.	Mgmt	For	For	For	
12	Investment in Establishment of Shenzhen Yiqiao Medical Supply Chain Management Co., Ltd.	Mgmt	For	For	For	
13	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For	
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002183	CINS Y2346W101	03/02/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
1	Authority to Give Guarantees to Guangxi Yiyatong Deep Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Shenzhen City before the sea Yatong supply chain Limited	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Henan Yiyatong Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Hunan Xin Group Supply Chain Co., Ltd.	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Qianhai Shenzhen Yu Suppliers Factoring Co. Ltd.	Mgmt	For	Against	Against	
6	Authority to Give Guarantees in Regards to Application to	Mgmt	For	Against	Against	

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	China Construction Bank Corporation				
7	Authority to Give Guarantees in Regards to Application to Bank of China Limited	Mgmt	For	Against	Against
8	Investment In the Set Up of a Company	Mgmt	For	For	For
9	Capital Increase of a Wholly-owned Subsidiary	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002183	CINS Y2346W101	04/05/2017		Voted		
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Shanghai New Century Daily Chemicals Co., Ltd	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Eternal (Jiangsu) Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Eternal (Fujian) Supply Chain Management Co., Ltd for Line of Credit from Xiamen International Bank	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Eternal (Fujian) Supply Chain Management Co., Ltd for Line of Credit from Industrial Bank Co., Ltd	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Fujian Yishengtong Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
6	Authority to Give Guarantees to Eternal Shanghai Supply Chain Management Co., Ltd	Mgmt	For	Against	Against	
7	Authority to Give Guarantees to Yiran (Tianjian) International Trade	Mgmt	For	Against	Against	



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	Co., Ltd.				
8	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Eternal Asia (S) Pte. Ltd	Mgmt	For	Against	Against
10	Authority to Give Guarantees for a Financial Cooperation with Bank of China	Mgmt	For	Against	Against
11	Authority to Give Guarantees for a Financial Cooperation with China Construction Bank	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd for Transaction on Shenzhen CMB Qianhai Financial Asset Exchange	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Shenzhen Yushang Small Business Loans Co., Ltd for Transaction on Shenzhen Qianhai Financial Asset Exchange	Mgmt	For	Against	Against
14	Authority to Issue Long-term Notes	Mgmt	For	For	For
15	A Wholly-Owned Subsidiary's Transfer of Partial Equity	Mgmt	For	For	For
16	Capital Reduction of Eternal Shenzhen Qianhai Supply Chain Management Co., Ltd.	Mgmt	For	For	For
17	Approval to Establish Bangbu Yiran Supply Chain Management Co., Ltd	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	04/24/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees to Eternal Shenzhen Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
2	Authority to Give Guarantees to Eternal Shanghai Shenniu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
3	Authority to Give Guarantees to Eternal Shanghai Ruiwei for Line of Credit from Bank of Ningbo	Mgmt	For	Against	Against	Against
4	Authority to Give Guarantees to Eternal Shanghai Ruiwei for Line of Credit from Bank of China	Mgmt	For	Against	Against	Against
5	Authority to Give Guarantees to Henan Yima Food Co., Ltd.	Mgmt	For	Against	Against	Against
6	Authority to Give Guarantees to Eternal Liaoning Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
7	Authority to Give Guarantees for Financial Cooperation with Industrial Bank	Mgmt	For	Against	Against	Against
8	Authority to Give Guarantees for Financial Cooperation with Bank of Jiangxi	Mgmt	For	Against	Against	Against
9	Authority to Give Guarantees for Financial Cooperation with Bank of Zhengzhou	Mgmt	For	Against	Against	Against
10	Authority to Give Guarantees to Eternal Shandong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
11	Capital Injection	Mgmt	For	For	For	For
12	Extension of Validity of Private Placement	Mgmt	For	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Internal Self-Assessment Report	Mgmt	For	For	For
7	Financial Derivative Transactions	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Authority to Give Guarantees to Chengdu Eternal Asia Fairy Lake Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Zhejiang Eternal Asia Yongrun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Zhejiang Eternal Asia Yongrun Supply Chain Management Co., Ltd. (Shaoxing Branch)	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Fujian Yi Shengtong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Jiangxi Eternal Asia Shendu Supply Chain Management Co., Ltd. for Industrial Bank Loan	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Jiangxi Eternal Asia Shendu Supply Chain Management Co., Ltd. for Beijing Bank Loan	Mgmt	For	Against	Against

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17	Authority to Give Guarantees to Zhang Jia Gang Free Tax Zone Shen Jie International Trading Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Dalian Wangda Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Chongqing Yi Hetian Food Marketing Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Chongqing Yi Fei Liquor Marketing Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Tianjin Jia Shi Commerce Co., Ltd.	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Beijing Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Hubei Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Shenzhen Qianhai Yu Shang Factoring Co., Ltd.	Mgmt	For	Against	Against
25	Establishment of Shenzhen Xinxu Supply Chain Co., Ltd.	Mgmt	For	For	For
26	Establishment of Dandong Yi Ruitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For
27	Establishment of Dongguan Shun Ju Commerce Co., Ltd.	Mgmt	For	For	For
28	Establishment of Zhejiang Yi Yuan Hong Lei Supply Chain Management Co., Ltd.	Mgmt	For	For	For
29	Establishment of Shanxi Huize Supply Chain Management Co.,	Mgmt	For	For	For

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30	Ltd. Investment in Kunming Yue Xin Trading Co., Ltd.	Mgmt	For	For	For
31	Investment Increase in Shanghai Eternal Asia Xing Sheng Supply Chain Management Co., Ltd.	Mgmt	For	For	For
32	Investment Increase in Guangxi Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
33	Investment Increase in Guangxi Eternal Asia Daze Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	05/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Cooperation and Authority to Give Guarantees	Mgmt	For	Against	Against
2	Investment Increase by a Subsidiary in Another Subsidiary	Mgmt	For	For	For
3	Establishment of a Company by a Subsidiary	Mgmt	For	For	For
4	Confirmation of Size of Line of Credit	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	06/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Financial Cooperation and Guarantees to Hunan Sanxiang Bank Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Subsidiaries for Line of Credit from China Minsheng Bank Co., Ltd., Wuhan Branch	Mgmt	For	Against	Against
3	Authority to Give Guarantees to	Mgmt	For	Against	Against

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	Shaoxing Jishi Internet Technology Co., Ltd., for Line of Credit					
4	Authority to Give Guarantees to Seven Subsidiaries for Line of Credit from Qishang Bank Co., Ltd.	Mgmt	For	Against	Against	
5	Approval for Shanxi Eternal Supply Chain Management Co., Ltd. to Set Up Gansu Yilong Commerce Co., Ltd.	Mgmt	For	For	For	
6	A Subsidiary's Equity Transfer and the Company's Increase of Capital	Mgmt	For	For	For	

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	06/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
2	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Independent Directors	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules: Related Party Transactions	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	Against	Against

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	07/04/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenish Working Capital with Idle Funds	Mgmt	For	For	For

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2	Authority to Give Guarantees for Shanxi Yi Xin De Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Line of Credit	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Line of Credit from Bank of Beijing	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Line of Credit from Xiamen Bank	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Line of Credit from Xiamen Bank II	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Line of Credit from Chinese Construction Bank	Mgmt	For	Against	Against
8	Authority to Give Guarantees for Line of Credit from Xiamen Bank III	Mgmt	For	Against	Against
9	Authority to Give Guarantees for Line of Credit from China Merchants Bank	Mgmt	For	Against	Against
10	Authority to Give Guarantees for Inter-bank Borrowing	Mgmt	For	Against	Against
11	Adjust Banks for Line of Credit	Mgmt	For	For	For
12	Establishment of Shenzhen Qianhai Jin Yi Tong Gold and Jewelry Supply Chain Co., Ltd.	Mgmt	For	For	For
13	Establishment of Hunan God Partners Business Management Co., Ltd.	Mgmt	For	For	For
14	Establishment of Dalian Eternal Asia Xin Hui Logistics Co., Ltd.	Mgmt	For	For	For
15	Establishment of Hangzhou Eternal Asia	Mgmt	For	For	For

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16	Logistics Co., Ltd. Investment Increase in a Wholly-owned Subsidiary.	Mgmt	For	For	For
Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002183	CINS Y2346W101	07/22/2016		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Fuxin Eternal Asia Jiufu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Hainan Eternal Asia Lianshun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Jiangsu Xinpeng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Anhui Eternal Asia Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Hefei Jinyan Food Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Anhui Yihe Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Anhui Yicheng Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Anhui Haoshun Trade Development Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Anhui Yimei Deep Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Zhoukou	Mgmt	For	Against	Against



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	Shijin Deep Supply Chain Management Co., Ltd.				
11	Authority to Give Guarantees to Chengdu Eternal Asia Xian Hu Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Eternal Asia Pte. Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Shenzhen Anxinyuan Trade Co., Ltd.	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Huizhou Anxinyuan Industrial Co., Ltd.	Mgmt	For	Against	Against
16	Establishment of Guangzhou Eternal Asia Cold Chain Supply Chain Co., Ltd.	Mgmt	For	For	For
17	Establishment of Anhui Yixin Deep Supply Chain Management Co., Ltd.	Mgmt	For	For	For
18	Establishment of Jilin Shunfu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
19	Investment in Shanghai Century Daily Chemicals Co., Ltd.	Mgmt	For	For	For
20	Establishment of Jilin Shunfu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
21	Establishment of Chongqing Yuxin Food Co., Ltd.	Mgmt	For	For	For
22	Establishment of Li Bridge Supply Chain Management Co., Ltd.	Mgmt	For	For	For
23	Establishment of Lishisheng Xing International Business Group Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	08/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Shenyang Huibang Supply Chain Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Loans Applied to at the Shenzhen Branch of the Bank of Communications Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Loans Applied to at the Hua Xia Bank Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Loans Applied to at the Bao Shang Bank Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Loans Applied to At Guangzhou Ligen Small Reloan Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees for Loans Applied to at the Shenzhen Branch of the Bank of Communications Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for Loans Applied to at Ping An Bank Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Operational Guarantees	Mgmt	For	Against	Against
9	Authority to Provide Guarantees for Loans Applied for at Harbin Bank Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Provide Guarantees for Loans Applied for at Industrial Bank Co., Ltd.	Mgmt	For	Against	Against
11	Authority for the Provision of	Mgmt	For	Against	Against

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	Guarantees by Shanghai Eternal Asia Supply Chain Co., Ltd.				
12	Authority for the Provision of Guarantees for Loans from Fubon Bank of China Co., Ltd.	Mgmt	For	Against	Against
13	Authority for the Provision of Guarantees for Transaction with First Capital Securities Co., Ltd.	Mgmt	For	Against	Against
14	Joint Investment in the Setup of Shishengcing International Business Group	Mgmt	For	For	For
15	Joint Investment in the Setup of Fuzhou Hongruida Trading Co., Ltd.	Mgmt	For	For	For
16	Joint Investment in the Setup of Quanzhou Hongjin Trading Co., Ltd.	Mgmt	For	For	For
17	Joint Investment in the Setup of Ruian City Gonghele Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	09/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Xinjiang Jiaheng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd. For Line of Credit of RMB 60 million	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Shenzhen Yiming Technology Co., Ltd.	Mgmt	For	Against	Against

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	For Line of Credit of RMB 30 million				
4	Authority to Give Guarantees to Shenzhen Yu Shang Microfinance Co., Ltd. For Line of Credit of RMB 120 million	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Chongqing Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Guizhou Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Shenyang Eternal Asia ZeQing Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Shenyang Huibang Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Sichuan Jiaheng Food Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Hunan Xin Wu Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Shandong Yi Fang Yuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shandong Yi Mei Tang Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Ji An XinSheng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to Anhui	Mgmt	For	Against	Against

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	Yi He Shendu Supply Chain Management Co., Ltd.				
15	Authority to Give Guarantees to Anhui Yi Mei Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
16	Authority to Give Guarantees to Anhui Yi Cheng Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees to HeFei Jinyan Food Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Anhui Hao Shun Trading Development Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Anhui Shendu Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Xi Xiang An Trading Co., Ltd.	Mgmt	For	Against	Against
21	Authority to Give Guarantees to Xinxiang Yi Feng Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
22	Authority to Give Guarantees to Luoyang Yihui Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
23	Authority to Give Guarantees to Kaifeng Yitong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
24	Authority to Give Guarantees to Shangqiu Tian Yi Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
25	Authority to Give Guarantees to Nanyang Chaoran Yi Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
26	Authority to Give	Mgmt	For	Against	Against

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	Guarantees to Henan Yi Ma Food Co., Ltd.				
27	Authority to Give Guarantees to Anyang Guang Cheng Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
28	Authority to Give Guarantees to Guizhou Eternal Asia Shendu Supply Chain Management Co., Ltd. For Credit Applied to Shanghai Pudong Development Bank	Mgmt	For	Against	Against
29	Authority to Give Guarantees to Shenyang ZeQing Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
30	Authority to Give Guarantees to Shanxi Shendu Eternal Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
31	Authority to Give Guarantees to Shenzhen Qianhai Yushang Factoring Co., Ltd.	Mgmt	For	Against	Against
32	Authority to Give Guarantees to Shenzhen Yu Shang Microfinace Co., Ltd. For Line of Credit of RMB 100 million	Mgmt	For	Against	Against
33	Authority to Give Guarantees to Shenzhen Yu Shang Microfinace Co., Ltd. For Financing Cooperation	Mgmt	For	Against	Against
34	Authority to Give Guarantees to Ganzhou Yu Shang Microfiance Co., Ltd.	Mgmt	For	Against	Against
35	Establishment of Guangzhou Eternal Asia Supply Chain Co., Ltd.	Mgmt	For	For	For
36	Establishment of Qianhai Xintong Construction Supply	Mgmt	For	For	For

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37	Chain Co., Ltd. Establishment of Hainan Eternal Asia Xian Yi Mei Chain Management Co., Ltd.	Mgmt	For	For	For
38	Establishment of Shaoguan Yueteng Supply Chain Management Co., Ltd.	Mgmt	For	For	For
39	Amendments to Articles	Mgmt	For	For	For
Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002183	CINS Y2346W101	10/10/2016		Voted	
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Pingdingshan Chengyi Supply Chain Management Co., Ltd	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Henan Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Shanghai Eternal Asia Supply Chain Management Co., Ltd. for Line of Credit from Hang Seng Bank	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Shanghai Eternal Asia Supply Chain Management Co., Ltd. from Bank of Beijing	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Luoyang Luobai Yitong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Overseas Subsidiaries	Mgmt	For	Against	Against
7	Investment Increase In Shanghai Eternal Asia Longchuan Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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8	Investment Increase In Shanghai Eternal Asia Puaos Supply Chain Management Co., Ltd.	Mgmt	For	For	For
9	Investment Increase In Zhejiang Eternal Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
10	Investment Increase In Eternal Asia Yizhuang Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
11	Investment Increase In Lianyi Hong Kong Co., Ltd.	Mgmt	For	For	For
12	Investment Increase In Lianyi International Hong Kong Co., Ltd.	Mgmt	For	For	For
13	Establishment of Yunnan Eternal Asia Meishikang Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
14	Establishment of Chongqing Jiaqiao Business Co., Ltd.	Mgmt	For	For	For
15	Property Purchase	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	10/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Authority to Give Guarantees to Hangzhou Wanhong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Jiaxing Yiyatong Yiyuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Guangdong Yun Tong Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Jiangxi Yiyatong Depth Supply	Mgmt	For	Against	Against



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	Chain Management Co., Ltd.				
5	Authority to Give Guarantees to Secretary of Guangxi Yat-depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shandong Eternal Asia-depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees to Depth Shandong Eternal Asia Supply Chain Management Ltd.	Mgmt	For	Against	Against
8	Authority to Give Guarantees to Hainan Yiya Tongshun Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
9	Authority to Give Guarantees to Shenzhen Yu-commerce Small Loans Co., Ltd.	Mgmt	For	Against	Against
10	Authority to Give Guarantees to Shenzhen Yu Commerce Small Loans Co., Ltd.	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Shanghai Yi Yatong Supply Chain Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Zhangjiagang Bonded Area Shenjie International Trade Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Zhangjiagang Bonded Area Shenjie International Trade Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to KGI Commercial Bank Limited	Mgmt	For	Against	Against
15	Authority to Give Guarantees to Shenzhen New Trade Co.,	Mgmt	For	Against	Against

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16	Ltd. Authority to Give Guarantees to Huizhou City New Source Industrial Co., Ltd.	Mgmt	For	Against	Against
17	Authority to Give Guarantees Mianyang Yilian WorldCom Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
18	Authority to Give Guarantees to Lianyi Hong Kong Co., Ltd.	Mgmt	For	Against	Against
19	Authority to Give Guarantees to Henan Yiyatong Depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
20	Authority to Give Guarantees to Henan Yiyatong Depth Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
21	Increase Capital in Chongqing Yiyatong Chuan-Yu Cosmetics Co., Ltd.	Mgmt	For	For	For
22	Increase Capital of Qingdao Changzhuo Network Technology Co., Ltd.	Mgmt	For	For	For
23	Setup of Tianjin Jiapeng Industrial Technology Development Co., Ltd.	Mgmt	For	For	For
24	Setup of Nanjing Yatong depth Logistics Co., Ltd.	Mgmt	For	For	For
25	Setup of Jinlong Intelligent Technology (Jiangsu) Co., Ltd.	Mgmt	For	For	For
26	Setup of Wuhan Meili Business Development Co., Ltd.	Mgmt	For	For	For
27	Setup of Anhui Lianhe Deep Supply Chain Management Co., Ltd.	Mgmt	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002183	CINS Y2346W101	11/14/2016	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees to Shenzhen Anxinyuan Trading Co., Ltd.	Mgmt	For	Against	Against	Against
2	Authority to Give Guarantees to Shanghai External Asia Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
3	Authority for the Provision of Guarantees by Shenzhen External Asia Shendu Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against	Against
4	Correction of Name of Bank For Credit Line Application	Mgmt	For	For	For	For
5	Authority to Give Guarantees to Shenzhen Yushang Consumer Finance Co., Ltd.	Mgmt	For	Against	Against	Against
6	Investment in Fuzhou Shengshi Hanggang Trading Co., Ltd.	Mgmt	For	For	For	For
7	Investment in Xuchang Meijiuhui Trading Co., Ltd.	Mgmt	For	For	For	For
8	Establishment of Shanghai Shidie Cosmetics Co., Ltd.	Mgmt	For	For	For	For
9	Establishment of Fuzhou Yongda Huitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For	For
10	Establishment of Puyang Huicheng Yitong Supply Chain Management Co., Ltd.	Mgmt	For	For	For	For
11	Establishment of Shangqiu Xinyi Supply Chain Management Co., Ltd.	Mgmt	For	For	For	For
12	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For	For

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Eternal Asia Supply Chain Management Co., Ltd.

## Edgar Filing: VanEck Vectors ETF Trust - Form N-PX

Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	12/07/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
2	Authority to Issue Medium-term Notes	Mgmt	For	For	For
3	Authority to Issue Private Bonds	Mgmt	For	For	For
4	Board Authorization to Issue Short-term Financing Bills, Medium-term Notes and Private Bonds	Mgmt	For	For	For
5	Authority to Give Guarantees to Jiaxing Eternal Asia Yiyuan Supply Chain Management Co., Ltd.	Mgmt	For	Against	Against
6	Authority to Give Guarantees to Shenzhen Yushang Microfinance Co., Ltd.	Mgmt	For	Against	Against
7	Authority to Give Guarantees for a Financial Cooperation with China Construction Bank	Mgmt	For	Against	Against
8	Establishment of Sichuan Junce Wine Industry Co., Ltd.	Mgmt	For	For	For
9	Establishment of Nantong Xinmengsheng Network Technology Co., Ltd.	Mgmt	For	For	For
10	Establishment of Liaoning Hele Jinkaida Supermarket Management Co., Ltd.	Mgmt	For	For	For
11	Establishment of Yunnan Yianyi Shendu Supply Chain Management Co., Ltd.	Mgmt	For	For	For
12	Establishment of Chengde Guotai Xiangrui Electric Appliance Sales Co., Ltd.	Mgmt	For	For	For

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13	Establishment of Longyan Jingbo Supply Chain Management Co., Ltd.	Mgmt	For	For	For
Eternal Asia Supply Chain Management Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002183	CINS Y2346W101	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	First Phase of Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement First Phase of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Authority to Give Guarantees for Transfer of Creditors Rights of Wholly-owned Subsidiary	Mgmt	For	Against	Against
4	Approval of Line of Credit	Mgmt	For	For	For
5	Approval of to Provide Guarantees to a Wholly-owned subsidiary's 2017 Line of Credit Application	Mgmt	For	Against	Against
6	Authority to Give Guarantees For Wholly-owned Subsidiary's Line of Credit	Mgmt	For	Against	Against
7	Authority to Give Guarantees For Subsidiary's Line of Credit From Bank of Communication	Mgmt	For	Against	Against
8	Authority to Give Guarantees For Subsidiary's Loan	Mgmt	For	Against	Against
9	Authority to Give Guarantees For Subsidiary's Comprehensive Line of Credit From China Citic Bank	Mgmt	For	Against	Against
10	Increase in Capital of Subsidiary	Mgmt	For	For	For
11	Joint Venture	Mgmt	For	For	For
12	Establishment of a Subsidiary	Mgmt	For	For	For

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EVE Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300014	CINS Y2303F109	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Restricted Stock Incentive Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Stock Option and Restricted Stock Incentive Plan	Mgmt	For	For	For
3	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
4	Approval of Loan	Mgmt	For	For	For

EVE Energy Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300014	CINS Y2303F109	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2016 FINANCIAL RESOLUTION REPORT	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Approval of Line of Credit	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	2017 Estimated Related Party Transactions	Mgmt	For	For	For
13	Related Party Transactions	Mgmt	For	For	For
14	Supervisors' Report	Mgmt	For	For	For
15	Supervisors' Fees	Mgmt	For	For	For
16	Transfer of Right of Control in a Company	Mgmt	For	For	For

First Capital Securities Co., Ltd.			
Ticker	Security ID:	Meeting Date	Meeting Status
002797	CINS Y2R9TR100	04/19/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Approval of Investment Size	Mgmt	For	For	For
8	Related Party Transactions with Huaxi Xinyu Investment	Mgmt	For	For	For
9	Related Party Transactions with Beijing Capital Start-up Group	Mgmt	For	For	For
10	Related Party Transactions with Nengxing Holding Group	Mgmt	For	For	For
11	Related Party Transactions with Zhejiang Hangmin Industry Group	Mgmt	For	For	For
12	Related Party Transactions with Guangzhou Huangpu Longzhiquan Industry Group	Mgmt	For	For	For
13	Related Party Transactions with Yinhua Fund	Mgmt	For	For	For
14	Related Party Transactions with Beijing Yuanfuyuan Investment Management	Mgmt	For	For	For
15	Related Party Transactions with Others	Mgmt	For	For	For
16	Related Party Transactions with Joint Ventures	Mgmt	For	For	For
17	Amendments to Articles	Mgmt	For	For	For
18	Directors' Fees	Mgmt	For	For	For
19	Supervisors' Fees	Mgmt	For	For	For
20	Termination of Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For

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First Capital Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002797	CINS Y2R9TR100	06/12/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Elect LONG Yifei as Independent Director	Mgmt	For	For	For	
2	Management Team Authorization to Change Registered Capital	Mgmt	For	For	For	
3	Amendments to Articles	Mgmt	For	For	For	
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For	

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Focus Media Information Technology Co., Ltd.,						
Ticker	Security ID:	Meeting Date		Meeting Status		
002027	CINS Y29327106	05/19/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Investment in Wealth Management Products	Mgmt	For	For	For	
8	Amendments to Articles	Mgmt	For	For	For	
9	Amendments to Procedural Rules: Shareholders General Meetings	Mgmt	For	Abstain	Against	
10	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against	

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Focus Media Information Technology Co., Ltd.,						
Ticker	Security ID:	Meeting Date		Meeting Status		
002027	CINS Y29327106	06/23/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against	
2	Approval for the Subsidiary to Set Up Collective Capital Trust with Chengdu Rural Commercial Bank Co., Ltd.	Mgmt	For	For	For	
3	Approval of Financial Aid for	Mgmt	For	For	For	



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4	Subsidiary Approval of Risk Investment	Mgmt	For	For	For
5	Adjustment to Line of Credit and Guarantees	Mgmt	For	Against	Against
6	Investment in Wealth Management Products Using Idle Capital	Mgmt	For	For	For

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Focus Media Information Technology Co., Ltd.,  
Ticker Security ID: Meeting Date Meeting Status  
002027 CINS Y29327106 12/28/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	External Investment and Set Up of Wholly-Owned Subsidiary	Mgmt	For	For	For
2	Adjustments to General Credit Lines and Guarantees	Mgmt	For	Against	Against
3	Adjustments to Investment in Wealth Management Product	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules for Shareholders Meetings	Mgmt	For	Abstain	Against
6	Elect LIN Nan as Supervisor	Mgmt	For	Against	Against

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GEM Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
002340 CINS Y7744C102 02/10/2017 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Pricing Base Date and Issuance Price and Pricing Principals	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Size of Issuance and Subscription Method	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Adjustment to Issuance Volume and Issuance	Mgmt	For	For	For

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	Price				
9	Listing Market	Mgmt	For	For	For
10	Amount and Purpose of Raised Funds	Mgmt	For	For	For
11	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Pre-plan for Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Conditional Share Subscription Agreement	Mgmt	For	For	For
16	Connected Transaction Involved in Private Placement	Mgmt	For	For	For
17	Report on Use of Previously Raised Funds	Mgmt	For	For	For
18	Filing Measures for Diluted Immediate Returns	Mgmt	For	For	For
19	Commitments by Director, Senior Management and Controlling Shareholders for Filing Measures for Diluted Returns	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Application for Line of Credit	Mgmt	For	For	For

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002340	CINS Y7744C102	04/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

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9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For

GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002340	CINS Y7744C102	05/22/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Termination of Previous 2017 Private Placement	Mgmt	For	For	For
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Type and Par Value	Mgmt	For	For	For
4	Method and Date of Issuance	Mgmt	For	For	For
5	Pricing Base Date, Issuing Price and Pricing Principle	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Issuing Volume and Subscription Method	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Market	Mgmt	For	For	For
10	Size and Use of Proceeds	Mgmt	For	For	For
11	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Preliminary Plan of Private Placement	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
17	Commitment on Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	For	For
20	Elect YU Hongying as Supervisor	Mgmt	For	Against	Against

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GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002340	CINS Y7744C102	06/19/2017	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Application for Credit	Mgmt	For	For	For
3	Revision of Guarantees	Mgmt	For	For	For
4	Provision of Loans	Mgmt	For	For	For

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GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002340	CINS Y7744C102	11/29/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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GEM Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002340	CINS Y7744C102	12/26/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
2	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Issue Price	Mgmt	For	For	For
5	Placement Rules	Mgmt	For	For	For
6	Targets of Issuance	Mgmt	For	For	For
7	Interest Rate and Bond Duration	Mgmt	For	For	For
8	Payment of Principal and Interests	Mgmt	For	For	For
9	Method of Issuance	Mgmt	For	For	For
10	Guarantee Arrangements	Mgmt	For	For	For
11	Redemption Clauses	Mgmt	For	For	For
12	Use of Proceeds	Mgmt	For	For	For
13	Underwriting Method	Mgmt	For	For	For
14	Listing Market	Mgmt	For	For	For
15	Repayment Guarantee Measures	Mgmt	For	For	For
16	Validity of Resolution	Mgmt	For	For	For
17	Capital Increase to Wholly-owned Subsidiaries	Mgmt	For	For	For
18	2016 Restricted Stock	Mgmt	For	For	For

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	Incentive Plan				
19	Implementation and Appraisal Management Measures for Restricted Stock Incentive Plan	Mgmt	For	For	For
20	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
21	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Glodon Software Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002410	CINS Y2726S100	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Elect DIAO Zhizhong	Mgmt	For	For	For
9	Elect WANG Jinhong	Mgmt	For	For	For
10	Elect JIA Xiaoping	Mgmt	For	For	For
11	Elect YUAN Zhenggang	Mgmt	For	For	For
12	Elect WANG Aihua	Mgmt	For	For	For
13	Elect LIU Qian	Mgmt	For	For	For
14	Elect YOU Wan	Mgmt	For	For	For
15	Elect LIAO Lianghan	Mgmt	For	For	For
16	Elect GUO Xinping	Mgmt	For	For	For
17	Elect AN Jinghe	Mgmt	For	Against	Against
18	Elect LIN Jinbing	Mgmt	For	For	For

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GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	03/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Constitution of Procedural Rules: Venture Capital Investment Management	Mgmt	For	For	For
2	Acquisition of 9.8% Interest in Kopin Corporation	Mgmt	For	For	For

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GoerTek Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	04/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	INTERNAL AUDIT SYSTEM	Mgmt	For	For	For

GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	10/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIANG Bin	Mgmt	For	For	For
2	Elect JIANG Long	Mgmt	For	For	For
3	Elect DUAN Huilu	Mgmt	For	For	For
4	Elect WANG Yongtian	Mgmt	For	For	For
5	Elect XIA Shanhong	Mgmt	For	For	For
6	Elect XIAO Xing	Mgmt	For	Against	Against
7	Elect WANG Tianmiao	Mgmt	For	For	For
8	Elect SUN Hongbin as Supervisor	Mgmt	For	Against	Against
9	Independent Directors' Fees	Mgmt	For	For	For
10	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
11	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
12	Amendments to Administrative Measures for Guarantees	Mgmt	For	Abstain	Against
13	Amendments to Administrative Measures for Related Party Transactions	Mgmt	For	Abstain	Against
14	Amendments to Procedural Rules for Supervisory Committee Meetings	Mgmt	For	Abstain	Against
15	Amendments to Administrative Measures for Raised	Mgmt	For	Abstain	Against

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16	Funds Authority to Give Guarantees	Mgmt	For	For	For
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GoerTek Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002241	CINS Y27360109	11/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LIU Chengmin as Director	Mgmt	For	For	For

GRG Banking Equipment Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002152	CINS Y2889S103	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
10	Elect MO Dongcheng as Supervisor	Mgmt	For	Against	Against
11	Elect XING Liangwen as Independent Director	Mgmt	For	For	For
12	Elect HUANG Yuezhen as Non-Independent Director	Mgmt	For	For	For
13	Elect CHEN Chuntian as Non-Independent Director	Mgmt	For	For	For

Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002400	CINS Y2924R102	01/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	CHEN Dianlong	Mgmt	For	For	For
2	WU Zhuxin	Mgmt	For	For	For
3	HE Bin	Mgmt	For	For	For
4	XIA Yue	Mgmt	For	For	For
5	LIAO Hao	Mgmt	For	For	For
6	XIE Jingyun	Mgmt	For	For	For
7	HUANG Shengmin	Mgmt	For	For	For
8	XIE Shisong	Mgmt	For	For	For

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9	WAN Liangyong	Mgmt	For	For	For
10	HU Zhennan	Mgmt	For	Against	Against
11	ZHANG Lei	Mgmt	For	For	For

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Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002400	CINS Y2924R102	02/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Extension of Trading Suspension	Mgmt	For	For	For

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Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002400	CINS Y2924R102	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Directors, Supervisors and Senior Managements' Fees	Mgmt	For	For	For
8	Related Party Transactions with GDAD-HAKUHODO Advertising Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Guangdong GDH Advertising Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Guangdong GIMC Film Marketing Co., Ltd.	Mgmt	For	For	For
11	Related Party Transactions with Zhuhai GIMC Xingmeida Culture Media Co., Ltd.	Mgmt	For	For	For
12	Related Party Transactions with Shenzhen iTable New Media Marketing Co., Ltd.	Mgmt	For	For	For
13	Related Party Transactions with GIMC Juhe (Beijing)	Mgmt	For	For	For



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	Digital Technology Co., Ltd.				
14	Related Party Transactions with Zhuhai Berners Brand Management Consulting Co., Ltd.	Mgmt	For	For	For
15	Related Party Transactions with Hebao Entertainment Media Co., Ltd.	Mgmt	For	For	For
16	Related Party Transactions with Guangzhou Doochu Digital Business Media Co., Ltd.	Mgmt	For	For	For
17	2017 Estimated Related Party Transactions with GDAD-HAKUHODO Advertising Co., Ltd.	Mgmt	For	For	For
18	2017 Estimated Related Party Transactions with Guangdong GDH Advertising Co., Ltd.	Mgmt	For	For	For
19	2017 Estimated Related Party Transactions with GIMC Film Marketing Co., Ltd.	Mgmt	For	For	For
20	2017 Estimated Related Party Transactions with Zhuhai GIMC Xingmeida Culture Media Co., Ltd.	Mgmt	For	For	For
21	2017 Estimated Related Party Transactions with Shenzhen iTable New Media Marketing Co., Ltd.	Mgmt	For	For	For
22	2017 Estimated Related Party Transactions with GIMC Juhe (Beijing) Digital Technology Co., Ltd.	Mgmt	For	For	For
23	2017 Estimated Related Party Transactions with Hebao Entertainment Media Co., Ltd.	Mgmt	For	For	For
24	2017 Estimated Related Party Transactions with Zhuhai Berners	Mgmt	For	For	For

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	Brand Management Consulting Co., Ltd.					
25	2017 Estimated Related Party Transactions with Guangzhou Doochu Digital Business Media Co., Ltd.	Mgmt	For	For	For	

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Guangdong Advertising Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002400	CINS Y2924R102	10/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
3	Settlement of Project and Replenishment of Working Capital	Mgmt	For	For	For
4	Approval of Line of Credit	Mgmt	For	For	For

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Guangdong Wens Foodstuffs Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300498	CINS Y2R30P108	04/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors Report	Mgmt	For	For	For
3	Supervisors Report	Mgmt	For	For	For
4	Annual Report and Summary	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Internal Control Self-evaluation Report	Mgmt	For	For	For
7	Special Report on Deposit and Raised Funds	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Confirmation of Entrusted Wealth Management	Mgmt	For	For	For
12	Donation to Charity Fund	Mgmt	For	For	For
13	Directors' and Supervisors'	Mgmt	For	For	For

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Fees						
14	Appointment of Auditor	Mgmt	For	For	For	For
15	Approval of Project Settlement	Mgmt	For	For	For	For
16	Extension of Validity Period for Private Placement	Mgmt	For	For	For	For
17	2017 Related Party Transactions for Subsidiaries	Mgmt	For	For	For	For

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Guangdong Wens Foodstuffs Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
300498	CINS Y2R30P108	09/27/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Allocation of Interim Profits/Dividends	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	For	For	
3	Use of Funds for Entrusted Wealth Management	Mgmt	For	For	For	
4	Approval of Donation	Mgmt	For	For	For	

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002465	CINS Y29328104	01/26/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Addition of Vice Chairman Position and Amendments to Articles	Mgmt	For	For	For	
2	Elect GU Yuanqin as Director	Mgmt	For	For	For	

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002465	CINS Y29328104	04/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Investment in Wealth	Mgmt	For	For	For	

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	Management Products				
10	Extension of Performance Period and Increase of Performance Commitments	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	07/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YANG Haizhou	Mgmt	For	For	For
2	Elect CHEN Huasheng	Mgmt	For	For	For
3	Elect HUANG Yuezhen	Mgmt	For	For	For
4	Elect YU Qingsong	Mgmt	For	For	For
5	Elect BAI Ziwu	Mgmt	For	For	For
6	Elect YANG Wenfeng	Mgmt	For	For	For
7	Elect LI Fei	Mgmt	For	For	For
8	Elect LI Jinyi	Mgmt	For	For	For
9	Elect WAN Liangyong	Mgmt	For	For	For
10	Elect ZHU Lixin	Mgmt	For	For	For
11	Elect MO Dongcheng	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	09/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension	Mgmt	For	For	For

Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002465	CINS Y29328104	11/21/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compliance of Asset Acquisition with the Relevant Laws and Regulations	Mgmt	For	For	For
2	Scheme for Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Overall Plan	Mgmt	For	For	For
3	Scheme for Assets Acquisition Via Share Offering and Cash: Transaction Counterpart	Mgmt	For	For	For

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4	Scheme for Assets Acquisition Via Share Offering and Cash: Underlying Assets	Mgmt	For	For	For
5	Scheme for Assets Acquisition Via Share Offering and Cash: Transaction Price of Underlying Assets	Mgmt	For	For	For
6	Scheme for Assets Acquisition Via Share Offering and Cash: Payment Method	Mgmt	For	For	For
7	Scheme for Assets Acquisition Via Share Offering and Cash: Cash Payment Arrangement	Mgmt	For	For	For
8	Scheme for Assets Acquisition Via Share Offering and Cash: Share Offering Arrangement	Mgmt	For	For	For
9	Scheme for Assets Acquisition Via Share Offering and Cash: Arrangement for Lock-Up Period	Mgmt	For	For	For
10	Scheme for Assets Acquisition Via Share Offering and Cash: Performance Commitment	Mgmt	For	For	For
11	Scheme for Assets Acquisition Via Share Offering and Cash: Performance Compensation	Mgmt	For	For	For
12	Scheme for Assets Acquisition Via Share Offering and Cash: Ending Impairment Test	Mgmt	For	For	For
13	Scheme for Assets Acquisition Via Share Offering and Cash: Performance-based Award	Mgmt	For	For	For
14	Scheme for Assets Acquisition Via Share Offering and Cash: Accumulated Retained Profits of Prior Years	Mgmt	For	For	For
15	Scheme for Assets Acquisition Via Share Offering and Cash: Arrangement of	Mgmt	For	For	For

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	Profits and Losses During Transitional Period				
16	Share Offering to Specific Parties for Auxiliary Funds Raising: Amount of Funds to Be Raised	Mgmt	For	For	For
17	Share Offering to Specific Parties for Auxiliary Funds Raising: Use of Proceeds	Mgmt	For	For	For
18	Share Offering to Specific Parties for Auxiliary Funds Raising: Issuance Targets	Mgmt	For	For	For
19	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Stock Type and Par Value	Mgmt	For	For	For
20	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Issuing Price and Pricing Basis	Mgmt	For	For	For
21	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Issuing Volume	Mgmt	For	For	For
22	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Arrangement for Lock-Up Period	Mgmt	For	For	For
23	Scheme for Related	Mgmt	For	For	For

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	Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising, and Share Offering Arrangement: Disposal of Accumulated Retained Profits					
24	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Listing Place	Mgmt	For	For	For	
25	Scheme for Related Party Transactions Regarding Assets Acquisition Via Share Offering, Cash and Auxiliary Funds Raising: Validity of Resolution	Mgmt	For	For	For	
26	Compliance with Article 4 of Provisions on Issues of Listed Companies' Major Assets Restructuring	Mgmt	For	For	For	
27	Transaction Constituting Related Party Transactions	Mgmt	For	For	For	
28	Agreements Signing In Relation To Transaction	Mgmt	For	For	For	
29	Report on Assets Acquisition and Related Party Transactions	Mgmt	For	For	For	
30	Approval of Dilution Measures for Assets Acquisition	Mgmt	For	For	For	
31	Board Authorization to Implement Assets Acquisition	Mgmt	For	For	For	

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Guangzhou Haige Communications Group Incorporated Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002465	CINS Y29328104	12/23/2016	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Issue Ultra Short-Term Commercial Papers	Mgmt	For	For	For	
2	Size of Issuance	Mgmt	For	For	For	

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3	Par Value and Issue Price	Mgmt	For	For	For
4	Arrangement For Placement to Shareholders	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Issuance Method	Mgmt	For	For	For
7	Bond Duration	Mgmt	For	For	For
8	Interest Rate and Its Method of Determination	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Guarantees	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Eligibility For Issuance of Corporate Bonds	Mgmt	For	For	For
14	Board Authority For Issuance of Corporate Bonds	Mgmt	For	For	For

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Guangzhou Tinci Materials Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status				
002709	CINS Y2R33P105	05/02/2017	Voted				
Meeting Type	Country of Trade						
Annual	China	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For		
2	Supervisors' Report	Mgmt	For	For	For		
3	Annual Report	Mgmt	For	For	For		
4	Audit Report	Mgmt	For	For	For		
5	Accounts and Reports	Mgmt	For	For	For		
6	Allocation of Profits/Dividends	Mgmt	For	For	For		
7	Appointment of Auditor	Mgmt	For	For	For		
8	Approval of Line of Credit	Mgmt	For	For	For		
9	Authority to Give Guarantees	Mgmt	For	For	For		
10	Related Party Transactions	Mgmt	For	For	For		
11	Elect XU Jinfu	Mgmt	For	For	For		
12	Elect CHEN Xunwu	Mgmt	For	For	For		
13	Elect GU Bin	Mgmt	For	Against	Against		
14	Elect ZHANG Liping	Mgmt	For	For	For		
15	Elect XUAN Dayan	Mgmt	For	Against	Against		
16	Elect HE Chunhai	Mgmt	For	For	For		
17	Elect RONG Minzhi	Mgmt	For	For	For		
18	Elect ZHAO Jianqing	Mgmt	For	For	For		
19	Elect WU Qi	Mgmt	For	For	For		
20	Elect GUO Shoubin	Mgmt	For	For	For		
21	Elect LI Xinghua	Mgmt	For	Against	Against		
22	Directors and Senior Management's Fees	Mgmt	For	For	For		
23	Supervisors' Fees	Mgmt	For	For	For		
24	Shareholder Returns Plan	Mgmt	For	For	For		
25	Amendments to Articles	Mgmt	For	For	For		
26	Extension of Validity	Mgmt	For	For	For		



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of Private  
Placement

Guizhou Bailing Group Pharmaceutical Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002424	CINS Y2945M108	04/06/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Annual Report	Mgmt	For	For	For	
4	Appointment of Auditor	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Financial Budget	Mgmt	For	For	For	
7	Allocation of Profits/Dividends	Mgmt	For	For	For	
8	Elect Non-independent Director: JIANG Wei	Mgmt	For	Against	Against	
9	Elect Non-independent Director: JIANG Yong	Mgmt	For	For	For	
10	Elect Non-independent Director: NIU Min	Mgmt	For	Against	Against	
11	Elect Non-independent Director: CHEN Pei	Mgmt	For	For	For	
12	Elect Non-independent Director: KUANG Xunhua	Mgmt	For	Against	Against	
13	Elect Independent Director: WANG Hong	Mgmt	For	For	For	
14	Elect Independent Director: LU Jing	Mgmt	For	For	For	
15	Elect Independent Director: ZOU Haifeng	Mgmt	For	For	For	
16	Elect Independent Director: ZHONG Guoyue	Mgmt	For	For	For	
17	Elect WANG Xiaodong	Mgmt	For	Against	Against	
18	Elect XIA Wen	Mgmt	For	For	For	
19	Elect LI Huifang	Mgmt	For	Against	Against	

Guosen Securities Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002736	CINS Y295A2103	05/18/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Directors' Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	

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6	Related Party Transactions with Shenzhen Investment Holdings Co.,Ltd. and its controlled companies	Mgmt	For	For	For
7	Related Party Transactions with China Resources SZITIC Trust Co., Ltd.	Mgmt	For	For	For
8	Related Party Transactions with Hongta Tobacco (Group) Co., Ltd. and Yunnan Hehe (Group) Co., Ltd.	Mgmt	For	For	For
9	Related Party Transactions with Penghua Fund Management Co., Ltd.	Mgmt	For	For	For
10	Related Party Transactions with Other Entities	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Approval of Size of Investment in 2017	Mgmt	For	For	For
14	Extension of Validity of Resolution on Issuance of Shares	Mgmt	For	For	For
15	Extension of Board Authorization on Issuance of Shares	Mgmt	For	For	For
16	Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	For	For
17	Authority to Give Guarantees	Mgmt	For	For	For

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Guosen Securities Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002736	CINS Y295A2103	12/23/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Independent Director	Mgmt	For	For	For

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Guoxuan High-tech Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	04/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds in 2016	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect CAO Jianshe	Mgmt	For	For	For
13	Elect Steven CAI as Director	Mgmt	For	For	For
14	Elect Lei GUANG as Director	Mgmt	For	For	For
15	Termination of Private Placement	Mgmt	For	For	For
16	Eligibility for Issuance of Shares w/ Preemptive Rights	Mgmt	For	Against	Against
17	Stock Type and Par Value	Mgmt	For	Against	Against
18	Issuance Method	Mgmt	For	Against	Against
19	Basis, Ratio and Amount of Issuance	Mgmt	For	Against	Against
20	Pricing Principle and Issuance Price	Mgmt	For	Against	Against
21	Issuance Targets	Mgmt	For	Against	Against
22	Allocation Plan of Accumulated Undistributed Profits	Mgmt	For	Against	Against
23	Issuance Time	Mgmt	For	Against	Against
24	Subscription Method	Mgmt	For	Against	Against
25	Use of Proceeds	Mgmt	For	Against	Against
26	Validity of Resolution	Mgmt	For	Against	Against
27	Trading and Circulation of Bonds	Mgmt	For	Against	Against
28	Preliminary Plan for Share Issuance	Mgmt	For	Against	Against
29	Feasibility Report on	Mgmt	For	Against	Against

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	Use of Proceeds				
30	Report on Use of Previously Raised Funds	Mgmt	For	For	For
31	Indemnification Arrangements and Commitment for Diluted Current Earnings	Mgmt	For	Against	Against
32	Board Authorization to Implement Share Issuance	Mgmt	For	Against	Against
33	Shareholder Returns Plan	Mgmt	For	For	For

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Guoxuan High-tech Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002074	CINS Y4439F110	03/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Adoption of Administrative Measures for External Guarantees	Mgmt	For	For	For
3	Amendments to Procedural Rules for Director Meetings	Mgmt	For	Abstain	Against
4	Amendments to Administrative Measures for Raised Funds	Mgmt	For	Abstain	Against

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Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	For	For
8	Investment in Wealth Management Products	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002008	CINS Y3063F107	06/28/2017	Voted

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Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	For
2	Security Type	Mgmt	For	For	For	For
3	Issuance Size	Mgmt	For	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For	For
5	Duration of Convertible Bonds	Mgmt	For	For	For	For
6	Interest Rate	Mgmt	For	For	For	For
7	Term and Method for Repayment of Principal and Interest	Mgmt	For	For	For	For
8	Conversion Period	Mgmt	For	For	For	For
9	Determination of Conversion Shares when the Number Less Than One Share	Mgmt	For	For	For	For
10	Determination and Adjustment to Conversion Price	Mgmt	For	For	For	For
11	Downward Adjustment Clause to the Conversion Price	Mgmt	For	For	For	For
12	Redemption Clause	Mgmt	For	For	For	For
13	Sale-back Clause	Mgmt	For	For	For	For
14	Attribution of Stock Dividend during the Conversion Year	Mgmt	For	For	For	For
15	Issuance Method and Targets	Mgmt	For	For	For	For
16	Arrangement for Original Shareholders	Mgmt	For	For	For	For
17	Bondholder Meetings	Mgmt	For	For	For	For
18	Use of Proceeds	Mgmt	For	For	For	For
19	Guarantees	Mgmt	For	For	For	For
20	Accounts for Raised Funds	Mgmt	For	For	For	For
21	Validity of Resolution	Mgmt	For	For	For	For
22	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	For
23	Feasibility Report on Use of Proceeds	Mgmt	For	For	For	For
24	Dilution Measures for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	For

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25	Constitution of Procedural Rules for Bondholder Meetings	Mgmt	For	For	For
26	No Requirement to Compile Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
27	Shareholder Returns Plan	Mgmt	For	For	For
28	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
29	Joint Venture	Mgmt	For	Abstain	Against
30	Elect LIU Ning	Mgmt	For	For	For
31	Elect XIE Jiawei	Mgmt	For	For	For

Han's Laser Technology Industry Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002008	CINS Y3063F107	10/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Yunfeng	Mgmt	For	For	For
2	Elect ZHANG Jianqun	Mgmt	For	For	For
3	Elect LU Qitao	Mgmt	For	For	For
4	Elect MA Shengli	Mgmt	For	For	For
5	Elect HU Dianjun	Mgmt	For	For	For
6	Elect FAN Jianping	Mgmt	For	Against	Against
7	Elect HUANG Yaying	Mgmt	For	Against	Against
8	Elect QIU Daliang	Mgmt	For	Against	Against
9	Elect GUO Jinlong	Mgmt	For	Against	Against
10	Elect WANG Lei	Mgmt	For	For	For
11	Elect CHEN Junya	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Authority to Give Guarantees	Mgmt	For	For	For

Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300170	CINS Y3001F102	02/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of Two Resolution Centers	Mgmt	For	For	For
2	Implementation of Partnership Plan	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Constitution of Procedural Rules: Remuneration Management System for Directors, Supervisors, Senior Management	Mgmt	For	For	For

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5	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
6	Amendments to Procedural Rules: External Investment	Mgmt	For	For	For

Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300170	CINS Y3001F102	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors' and Senior Management's Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For

Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300170	CINS Y3001F102	06/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit from China Minsheng Bank	Mgmt	For	For	For
2	Approval of Line of Credit from Bank of Ningbo	Mgmt	For	For	For

Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300170	CINS Y3001F102	07/22/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scope of Plan Participants	Mgmt	For	For	For
2	Sources, Type and Number of Shares	Mgmt	For	For	For
3	Distribution Results of Stocks to Plan Participants	Mgmt	For	For	For
4	Validity Period, Grant Date and Lock-up Period for Plan	Mgmt	For	For	For

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5	Granting Price for Stocks and Determination Method	Mgmt	For	For	For
6	Unlocking Arrangement and Appraisal Conditions	Mgmt	For	For	For
7	Adjustment to Plan	Mgmt	For	For	For
8	Procedures for Granting Restricted Stocks to Plan Participants	Mgmt	For	For	For
9	Rights and Obligations for the Company and Participants	Mgmt	For	For	For
10	Alteration and Termination of Plan	Mgmt	For	For	For
11	Board Authorization to Implement Restricted Share Plan	Mgmt	For	For	For
12	Constitution of Procedural Rules: Measures for Restricted Share Plan Implementation	Mgmt	For	For	For

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Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300170	CINS Y3001F102	08/29/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect CHEN Diqing	Mgmt	For
2	Elect FAN Jianzhen	Mgmt	For
3	Elect LIAO Weiping	Mgmt	For
4	Elect YAN Keyi	Mgmt	For
5	Elect ZHAO Yong	Mgmt	For
6	Elect LIU Jingbo	Mgmt	For
7	Elect HUANG Qing	Mgmt	For

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Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300170	CINS Y3001F102	10/10/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Capital Increase and Share Expansion of a Controlled Subsidiary	Mgmt	For

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Hand Enterprise Solutions Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300170	CINS Y3001F102	12/26/2016	Voted
Meeting Type		Country of Trade	
Special		China	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt



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1	Capital Increase and Share Expansion of a Controlled Subsidiary	Mgmt	For	For	For
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Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002415	CINS Y3038Z105	05/04/2017	Voted

Meeting Type	Country of Trade
Annual	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Internal Control Self-Assessment Report	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For

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Hangzhou Hikvision Digital Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002415	CINS Y3038Z105	12/22/2016	Voted

Meeting Type	Country of Trade
Special	China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HONG Tianfeng	Mgmt	For	For	For
2	Authority to Issue Short-term Commercial Paper	Mgmt	For	For	For
3	Authorization for the Board of Directors to Implement Commercial Paper Issuance	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Increase in Comprehensive Credit for 2016	Mgmt	For	Abstain	Against
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Financial Service Agreement with CETC Finance Co., Ltd.	Mgmt	For	Against	Against
8	Participants and Basis for Selection	Mgmt	For	For	For
9	Incentive Instruments and Source, Type and	Mgmt	For	For	For

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	Volume of Target Stock				
10	Allocation of Restricted Stock	Mgmt	For	For	For
11	Granting of Restricted Stocks	Mgmt	For	For	For
12	Unlocking of Restricted Stock	Mgmt	For	For	For
13	Conditions for Granting and Unlocking	Mgmt	For	For	For
14	Adjustment Methods and Procedures for Restricted Stock	Mgmt	For	For	For
15	Earnings of Incentive Participants	Mgmt	For	For	For
16	Obligations and Rights of the Company and Incentive Participants	Mgmt	For	For	For
17	Treatment Under Special Conditions	Mgmt	For	For	For
18	Impact on Company Operating Performance	Mgmt	For	For	For
19	Formulation, Approval, Revision and Termination of Plan	Mgmt	For	For	For
20	Revised Draft and Summary	Mgmt	For	For	For
21	Constitution of Procedural Rules: Appraisal Rules for Implementation of Restricted Stock Plan	Mgmt	For	For	For
22	Authorization to Board of Directors to Implement Restricted Stock Plan	Mgmt	For	For	For

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Hangzhou Shunwang Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300113	CINS Y30419108	04/17/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For

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Hangzhou Shunwang Technology Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
300113	CINS Y30419108	06/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	For	For
2	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
3	Approval of Participant List and Shares Allocation	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
002104	CINS Y4439V107	12/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Registered Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Modification of Equity Structure	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
300033	CINS Y3181P102	01/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SECURITIES INVESTMENT WITH IDLE SELF-OWNED FUNDS	Mgmt	For	For	For
2	DERIVATIVES INVESTMENT WITH IDLE SELF-OWNED FUNDS	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
300033	CINS Y3181P102	03/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

Hithink RoyalFlush Information Network Co., Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status		
300033	CINS Y3181P102	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	YI Zheng	Mgmt	For	For	For
2	YE Qiongjiu	Mgmt	For	For	For
3	WU Qiang	Mgmt	For	For	For
4	ZHU Feng	Mgmt	For	For	For
5	WANG Jin	Mgmt	For	For	For
6	YU Haomiao	Mgmt	For	For	For
7	YAO Xianguo	Mgmt	For	For	For
8	LIU Lijian	Mgmt	For	For	For
9	YU Erniu	Mgmt	For	For	For
10	GUO Xin	Mgmt	For	Against	Against
11	XIA Wei	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Hualan Biological Engineering, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002007	CINS Y3737H125	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Investment in Wealth Management Products	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Amendments to Procedural Rules: Shareholder Meeting	Mgmt	For	Abstain	Against
11	Elect MA Chaoyuan	Mgmt	For	Against	Against
12	Elect CAI Linlin	Mgmt	For	For	For

Huapont Life Science Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002004	CINS Y15896114	01/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Approval of Foreign Exchange Business	Mgmt	For	For	For
3	Authority to Issue Medium-term Notes	Mgmt	For	For	For

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Huapont Life Science Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002004 CINS Y15896114 05/18/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Projects	Mgmt	For	For	For

Huapont Life Science Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 002004 CINS Y15896114 08/17/2016 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Capital Reduction	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Issue Super and Short-term Commercial Papers	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 01/23/2017 Voted  
 Meeting Type Country of Trade  
 Special China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit from China Merchants Bank	Mgmt	For	For	For
2	Guarantee for Company by De Facto Controller	Mgmt	For	For	For
3	Approval of Borrowing by a Subsidiary	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 04/17/2017 Voted  
 Meeting Type Country of Trade  
 Annual China  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	04/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Plan for Performance Deficiency	Mgmt	For	For	For
2	Authority to Repurchase Shares	Mgmt	For	For	For
3	Board Authorization to Implement Compensation Plan	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect SHENG Xitai as Independent Director	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Sale of Part of Ownership in a Subsidiary	Mgmt	For	For	For

Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300027	CINS Y3743R109	07/13/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase in a Wholly-owned Subsidiary	Mgmt	For	For	For

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2	Amendments to Articles	Mgmt	For	For	For
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Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 09/12/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Asset-backed Beneficiary Trust Plan	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 09/14/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Venture	Mgmt	For	Abstain	Against

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Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 09/26/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bonds Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Bond Type and Duration	Mgmt	For	For	For
4	Interest Rate and Method of Determination	Mgmt	For	For	For
5	Method of Issuance	Mgmt	For	For	For
6	Targets of Issuance	Mgmt	For	For	For
7	Shareholder Placement Arrangement	Mgmt	For	For	For
8	Guarantees	Mgmt	For	For	For
9	Use of Proceeds	Mgmt	For	For	For
10	Underwriting and Issuing Method	Mgmt	For	For	For
11	Redemption or Resale Provisions	Mgmt	For	For	For
12	Repayment Guarantee Measures	Mgmt	For	For	For
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Huayi Brothers Media Co., Ltd.  
 Ticker Security ID: Meeting Date Meeting Status  
 300027 CINS Y3743R109 09/29/2016 Voted  
 Meeting Type Country of Trade  
 Special China

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Disposal of Financial Assets	Mgmt	For	Abstain	Against

### Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	10/31/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For
2	Authority to Receive Guarantees from a Wholly-owned Subsidiary	Mgmt	For	For	For
3	Approval of a Wholly-owned Subsidiary's Application of Loans	Mgmt	For	For	For
4	Authority to Give Guarantees to a Wholly-owned Subsidiary	Mgmt	For	For	For
5	Approval for the Company's Controller To Provide Guarantees For Huayi Brothers International Co., Ltd.	Mgmt	For	For	For
6	Approval of a Controlled Subsidiary's Line of Credit	Mgmt	For	For	For
7	Authority to Give Guarantees to a Controlled Subsidiary	Mgmt	For	For	For

### Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	11/07/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

### Huayi Brothers Media Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300027	CINS Y3743R109	11/24/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan Adjustment	Mgmt	For	For	For
2	Application for Line	Mgmt	For	For	For



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	of Credit					
3	Authority to Give Guarantees	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Iflytek Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002230	CINS Y013A6101	01/09/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Elect LIU Qingfeng	Mgmt	For	For	For	
2	Elect LIU Xin	Mgmt	For	For	For	
3	Elect WANG Bing	Mgmt	For	For	For	
4	Elect CHEN Tao	Mgmt	For	For	For	
5	Elect WU Xiaoru	Mgmt	For	For	For	
6	Elect HU Yu	Mgmt	For	For	For	
7	Elect NIE Xiaolin	Mgmt	For	For	For	
8	Elect Shu Huaying	Mgmt	For	For	For	
9	Elect ZHANG Benzhao	Mgmt	For	For	For	
10	Elect ZHAO Huifang	Mgmt	For	For	For	
11	Elect LIU Jianhua	Mgmt	For	For	For	
12	Elect GAO Ling Ling	Mgmt	For	Against	Against	
13	Elect ZHANG Lan	Mgmt	For	Against	Against	

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Iflytek Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002230	CINS Y013A6101	02/08/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Purpose of Plan	Mgmt	For	For	For	
2	Administrative Organization	Mgmt	For	For	For	
3	Basis for Determining Plan Participants and Scope	Mgmt	For	For	For	
4	Source, Quantity and Allocation of Shares	Mgmt	For	For	For	
5	Validity Period, Grant Date, Lock Up Period, and Arrangement for Unlocking	Mgmt	For	For	For	
6	Grant Price of Restricted Stock and Basis for Determination	Mgmt	For	For	For	
7	Conditions for Granting and Unlocking	Mgmt	For	For	For	
8	Adjustment Methods and Procedures for Incentive Plan	Mgmt	For	For	For	
9	Accounting Treatment for Incentive Plan	Mgmt	For	For	For	
10	Related Procedures for	Mgmt	For	For	For	

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	Incentive Plan				
11	Obligation and Rights for the Company and Incentive Participants	Mgmt	For	For	For
12	Measures to Address Changes in the Company or Incentive Participants	Mgmt	For	For	For
13	Principles of Repurchase and Cancellation for Restricted Stock	Mgmt	For	For	For
14	Constitution of Procedural Rules: Implementing Restricted Stock Incentive Plan	Mgmt	For	For	For
15	Board Authorization to Implement Restricted Stock Incentive Plan	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For
17	Use of Idle Funds to Implement Cash Management	Mgmt	For	For	For

Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002230	CINS Y013A6101	04/11/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Directors', Supervisors', and Executives' Remuneration	Mgmt	For
6	Appointment of Auditor	Mgmt	For
7	2017 Related Party Transactions	Mgmt	For
8	Annual Report	Mgmt	For

Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002230	CINS Y013A6101	06/02/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Absorption and Merger with Shanghai Xunfei Ruiyuan Information Technology Co.,	Mgmt	For

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2	Ltd. Continuation in Xunfei Jiecheng Equity Acquisition	Mgmt	For	For	For
3	Change in Project Implementing Subject	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For

Iflytek Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002230	CINS Y013A6101	08/24/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For

Jiangsu Akcome Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002610	CINS Y443AB109	02/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase Shares	Mgmt	For	For	For

Jiangsu Akcome Science and Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002610	CINS Y443AB109	04/06/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Issuance Scale	Mgmt	For	For	For
3	Bond Type and Bond Duration	Mgmt	For	For	For
4	Issuance Method	Mgmt	For	For	For
5	Par Value and Issue Price	Mgmt	For	For	For
6	Interest Rate and Repaying Method	Mgmt	For	For	For
7	Issuance Targets	Mgmt	For	For	For
8	Guarantee Measures	Mgmt	For	For	For
9	Repayment of Principal and Interests	Mgmt	For	For	For
10	Use of Raised Funds	Mgmt	For	For	For
11	Listing Market	Mgmt	For	For	For
12	Protection Measures for Repayment of Debt	Mgmt	For	For	For
13	Underwriting Method	Mgmt	For	For	For
14	Validity of Resolution	Mgmt	For	For	For
15	Board Authorization to Implement Bond	Mgmt	For	For	For

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### Issuance

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Jiangsu Akcome Science and Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002610	CINS Y443AB109	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Give Guarantees For Debt Financing of Subsidiaries	Mgmt	For	Against	Against
10	For Jiangyin Donghua Aluminum Technology Co., Ltd	Mgmt	For	Against	Against
11	For Jiangyin Kema Metal Products Co., Ltd	Mgmt	For	Against	Against
12	For Suzhou Akcome Energy Engineering Technology Co., Ltd	Mgmt	For	Against	Against
13	For Suzhou Akcome Film New Material Co., Ltd	Mgmt	For	Against	Against
14	For Shanghai Akcome Fuluona Asset Management Co., Ltd	Mgmt	For	Against	Against
15	For Shanghai Akcome Fuluona Financial Leasing Co., Ltd	Mgmt	For	Against	Against
16	Related Party Transactions	Mgmt	For	For	For

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Jiangsu Akcome Science and Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002610	CINS Y443AB109	05/24/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Replenishment of Working Capital with Partial Raised Funds	Mgmt	For	For	For
2	Settlement of Partial	Mgmt	For	For	For

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3	Projects Permanent Replenishment of Working Capital with Surplus Raised Funds	Mgmt	For	For	For
<hr/>					
Jiangsu Akcome Science and Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002610	CINS Y443AB109	06/26/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Management System for Fees and Performance of Directors and Senior Management (Revised June 2017)	Mgmt	For	For	For
<hr/>					
Jiangsu Kangde Xin Composite Material Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	03/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Strategic Cooperation Agreement	Mgmt	For	For	For
3	Extension of Guarantee Period	Mgmt	For	For	For
<hr/>					
Jiangsu Kangde Xin Composite Material Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	07/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Guarantees to Shangdong Sishui Kangde Xin Composite Materials Co., Ltd.	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Jiangsu Kangde Xin Composite Material Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	11/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Investment	Mgmt	For	For	For

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2	Project Authority to Issue Medium Term Notes	Mgmt	For	For	For
<hr/>					
Jiangsu Kangde Xin Composite Material Co.,Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	12/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
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Jiangsu Kangde Xin Composite Material Co.,Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Project Implementation	Mgmt	For	For	For
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Jiangsu Yanghe Brewery Joint-Stock Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002304	CINS Y444AE101	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect WANG Kai as Non-Independent Director	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	Abstain	Against
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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002223	CINS Y4449E103	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For

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5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For

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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002223	CINS Y4449E103	07/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Use of Idle Funds for Cash Management	Mgmt	For	For	For

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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002223	CINS Y4449E103	10/26/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	WU Guangming	Mgmt	For	For	For
3	WU Qun	Mgmt	For	For	For
4	CHEN Penghui	Mgmt	For	For	For
5	CHEN Jian	Mgmt	For	For	For
6	JING Guomin	Mgmt	For	For	For
7	ZHENG Hongzhe	Mgmt	For	For	For
8	CHEN Ping	Mgmt	For	For	For
9	WANG Qianhua	Mgmt	For	For	For
10	LI Zubin	Mgmt	For	For	For
11	CAO Yang	Mgmt	For	Against	Against
12	XU Kunfeng	Mgmt	For	Against	Against
13	CHEN Jianjun	Mgmt	For	For	For
14	LU Zhanbin	Mgmt	For	For	For

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Jiangsu Yuyue Medical Equipment and Supply Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002223	CINS Y4449E103	12/01/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of 61.6225% equity of Shanghai Zhongyou Pharmaceutical Hi-Tech Co., Ltd.	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	02/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Increase of Wholly-owned Subsidiary	Mgmt	For	For	For

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2	Authority to Give Guarantees	Mgmt	For	For	For
3	Project Construction	Mgmt	For	For	For
4	Provision of Financial Aid	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Property Purchase	Mgmt	For	For	For
2	Inject Capital into a Subsidiary	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For
4	Authority to Give Guarantees for Continuing Operational Purchase	Mgmt	For	For	For
5	Elect LI Liangbin	Mgmt	For	For	For
6	Elect WANG Xiaoshen	Mgmt	For	For	For
7	Elect WANG Daifang	Mgmt	For	For	For
8	Elect SHEN Haibo	Mgmt	For	For	For
9	Elect DENG Zhaonan	Mgmt	For	For	For
10	Elect XU Xiaoxiong	Mgmt	For	For	For
11	Elect GUO Huaping	Mgmt	For	For	For
12	Elect HUANG Huasheng	Mgmt	For	For	For
13	Elect LIU Jun	Mgmt	For	For	For
14	Elect ZOU Jian	Mgmt	For	Against	Against
15	Elect LE Hongfang	Mgmt	For	For	For

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	04/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Subsidiary's Transaction	Mgmt	For	Abstain	Against

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Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For



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8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Amendments to Registered Capital	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For
13	Investment in Wealth Management Products	Mgmt	For	For	For
14	Authority to Give Guarantees	Mgmt	For	Against	Against
15	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
16	Preliminary Plan for Public Issuance of Corporate Bonds	Mgmt	For	For	For
17	Type	Mgmt	For	For	For
18	Size of Issuance	Mgmt	For	For	For
19	Par Value and Issue Price	Mgmt	For	For	For
20	Duration of Bond	Mgmt	For	For	For
21	Interest Rate	Mgmt	For	For	For
22	Time and Method of Repayment of Interest	Mgmt	For	For	For
23	Conversion Period	Mgmt	For	For	For
24	Determination of and Adjustment to Conversion Price	Mgmt	For	For	For
25	Downward Adjustment Provision on Conversion Price	Mgmt	For	For	For
26	Determination and Treatment Method of Nonconvertible Shares	Mgmt	For	For	For
27	Redemption Provision	Mgmt	For	For	For
28	Resale Provision	Mgmt	For	For	For
29	Dividend Distribution After Conversion	Mgmt	For	For	For
30	Targets of Issuance	Mgmt	For	For	For
31	Placement to Shareholders	Mgmt	For	For	For
32	Use of Proceeds	Mgmt	For	For	For
33	Guarantees	Mgmt	For	For	For
34	Validity of Resolution	Mgmt	For	For	For
35	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
36	Feasibility Report on Use of Proceeds	Mgmt	For	For	For

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37	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
38	Procedural Rules for Bondholders Meeting	Mgmt	For	For	For
39	Shareholder Returns Plan	Mgmt	For	For	For
40	Establishment of Special Account of Raised Funds	Mgmt	For	For	For
41	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For
42	Termination of Preliminary Plan of Previous Private Placement	Mgmt	For	For	For
43	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For
44	Increase of Capital in a Wholly-owned Subsidiary	Mgmt	For	For	For
45	Approval of Line of Credit and Authority to Give Guarantees	Mgmt	For	Against	Against

Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	05/25/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect TANG Xiaoqiang as Supervisor	Mgmt	For	Against	Against

Jiangxi Ganfeng Lithium Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002460	CINS Y444B3104	07/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Change in Business Scope	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Related Party Transactions regarding Exclusive Sale for Spodumene	Mgmt	For	For	For
6	Approval to Offer Loans	Mgmt	For	For	For

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7	Investment in Lithium Battery Production Line	Mgmt	For	For	For
8	Investment in Lithium Hydroxide Monohydrate Project	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002176	CINS Y4448B100	01/06/2017		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002176	CINS Y4448B100	05/03/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' and Supervisors' Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Adjustment to Directors' Fees	Mgmt	For	For	For
11	Amendments to Use of Proceeds	Mgmt	For	For	For
12	Eligibility for Private Placement	Mgmt	For	For	For
13	Stock Type and Par Value	Mgmt	For	For	For
14	Price of Issuance	Mgmt	For	For	For
15	Size of Issuance	Mgmt	For	For	For
16	Targets of Issuance	Mgmt	For	For	For
17	Issuance Method and Subscription Method	Mgmt	For	For	For
18	Lock-up Period	Mgmt	For	For	For
19	Listing Market	Mgmt	For	For	For
20	Size and Purpose of Raised Funds	Mgmt	For	For	For
21	Distribution of Accumulated Retained Profits	Mgmt	For	For	For

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22	Validity of Resolution	Mgmt	For	For	For
23	Preliminary Plan for Private Placement	Mgmt	For	For	For
24	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
25	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
26	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
27	Board Authorization to Implement Private Placement	Mgmt	For	For	For
28	Repurchase Guarantee for Financial Leasing	Mgmt	For	For	For
29	Authority to Give Guarantees for Subsidiaries	Mgmt	For	For	For
30	Remuneration Management Measures for Directors and Supervisors	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002176	CINS Y4448B100	06/02/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Employee Stock Options Plan	Mgmt	For	For	For
2	Management Measures on Implementation and Appraisal of Employee Stock Options Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Options Plan	Mgmt	For	For	For

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Jiangxi Special Electric Motor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002176	CINS Y4448B100	11/23/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Private Placement	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For

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4	Issuance Price	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Method of Issuance and Subscription Method	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Listing Place	Mgmt	For	For	For
10	Amount and Purpose of Raised Funds	Mgmt	For	For	For
11	Distribution for Accumulated Retained Profits	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Preplan for Private Placement	Mgmt	For	For	For
14	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
15	REPORT ON USE OF PREVIOUSLY RAISED FUNDS	Mgmt	For	For	For
16	Diluted Immediate Returns and Filling Measures	Mgmt	For	For	For
17	Commitments Related to Share Dilution	Mgmt	For	For	For
18	Board Authorization to Implement Private Placement	Mgmt	For	For	For
19	Authority to Give Guarantees	Mgmt	For	For	For

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Kangde Xin Composite Material Group Co Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002450	CINS Y0772X106	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Annual Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' and Supervisors' Fees	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	Against	Against
9	Authority to Issue Debt Financing Instruments	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For

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11	Approval of Risk Investment Using Idle Funds	Mgmt	For	For	For
12	Management System for Risk Investment	Mgmt	For	For	For
13	Supervisors' Report	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	03/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Expansion of Business Scope and Amendments to Articles	Mgmt	For	For	For

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Kingenta Ecological Engineering Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002470	CINS Y7684A108	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Internal Control Self-Assessment Report	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Approval of Line of Credit	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Approve Use of Idle Raised Funds to Conduct Cash Management	Mgmt	For	For	For
13	Approve Use of Idle Own Funds to Conduct Cash Management	Mgmt	For	For	For
14	Adoption of Employee Stock Purchase Plan	Mgmt	For	Against	Against
15	Adoption of Management System for Employee Share Purchase Plan	Mgmt	For	Against	Against
16	Board Authorization to	Mgmt	For	Against	Against

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### Implement Employee Share Purchase Plan

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	06/23/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Allocation of Profits/Dividends	Mgmt	For	For	For	
2	Elect YANG Yan as Supervisor	Mgmt	For	Against	Against	
3	Elect WAN Lianbu	Mgmt	For	For	For	
4	Elect ZHANG Xiaoyi	Mgmt	For	For	For	
5	Elect GAO Yiwu	Mgmt	For	For	For	
6	Elect CHEN Hongkun	Mgmt	For	For	For	
7	Elect CUI Bin	Mgmt	For	For	For	
8	Elect WANG Rong	Mgmt	For	For	For	
9	Elect WANG Xiaofeng	Mgmt	For	For	For	
10	Elect ZHU Zuqiang	Mgmt	For	For	For	
11	Elect LV Xiaofeng	Mgmt	For	For	For	

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	08/12/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	For	For	

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Kingenta Ecological Engineering Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002470	CINS Y7684A108	11/18/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees	Mgmt	For	For	For	

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Lens Technology Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300433	CINS Y5227A106	05/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	Abstain	Against	
3	Annual Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	

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8	Directors and Senior Management's Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Approval of Leasing from De Facto Controller	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For

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Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	06/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Types of Securities	Mgmt	For	For	For
3	Issuance Scale	Mgmt	For	For	For
4	Par Value and Issuance Price	Mgmt	For	For	For
5	Term of Bonds	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Term and Methods of Interest Payment	Mgmt	For	For	For
8	Guarantee Issues	Mgmt	For	For	For
9	Conversion Period	Mgmt	For	For	For
10	Determination and Adjustment to Conversion Price	Mgmt	For	For	For
11	Downward Adjustment to Conversion Price	Mgmt	For	For	For
12	Determination Method of Conversion Amount	Mgmt	For	For	For
13	Redemption Clause	Mgmt	For	For	For
14	Putable Clause	Mgmt	For	For	For
15	Allocation of Annual dividends Regarding Convertible Shares	Mgmt	For	For	For
16	Issuance Methods and Targets	Mgmt	For	For	For
17	Allocation Arrangement to Original Shareholders	Mgmt	For	For	For
18	Bondholder Meetings	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Deposit Account of Raised	Mgmt	For	For	For



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21	Funds Validity of Convertible Bonds	Mgmt	For	For	For
22	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
23	Analytical Report on Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
26	Dilution Measures and Commitment on Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
27	Board or Key Persons Authorization to Implement Public Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
28	Constitution of Procedural Rules: Bondholder Meetings	Mgmt	For	For	For
29	Shareholder Returns Plan	Mgmt	For	For	For

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Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	06/30/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHOU Qunfei	Mgmt	For	For	For
2	Elect ZHENG Junlong	Mgmt	For	For	For
3	Elect ZHOU Xinyi	Mgmt	For	For	For
4	Elect YAO Yulei	Mgmt	For	For	For
5	Elect YAO Yulun	Mgmt	For	For	For
6	Elect TANG Guoping	Mgmt	For	For	For
7	Elect WANG Yigao	Mgmt	For	For	For
8	Elect KUANG Hongfeng	Mgmt	For	For	For
9	Elect WENG Yongjie	Mgmt	For	Against	Against
10	Amendments to Articles	Mgmt	For	For	For

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Lens Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300433	CINS Y5227A106	09/28/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Eligibility for Public Issuance of Corporate Bonds	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Par Value and Issue Price	Mgmt	For	For	For
4	Maturity and Type	Mgmt	For	For	For
5	Interest Rate and Interest Payment	Mgmt	For	For	For
6	Guarantee Clause	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Method of Issuance	Mgmt	For	For	For
9	Target of Subscription and Placement to Shareholders	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Debt Repayment	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
14	Approval to Hold The First Extraordinary Meeting in 2016	Mgmt	For	For	For

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	01/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Lepu Medical Technology (Beijing) Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300003	CINS Y52384107	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Supervisors' Fees	Mgmt	For	For	For
9	Change in Authorized Capital	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Elect Non-Independent Director: PU Zhongjie	Mgmt	For	For	For
12	Elect Non-Independent Director: MA Yupu	Mgmt	For	For	For

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13	Elect Non-Independent Director: XU Yang	Mgmt	For	For	For
14	Elect Non-Independent Director: LIN Lei	Mgmt	For	Against	Against
15	Elect Independent Director: CHEN Lei	Mgmt	For	For	For
16	Elect Independent Director: XU Meng	Mgmt	For	For	For
17	Elect Independent Director: FU Lijia	Mgmt	For	For	For
18	Elect LI Guoqiang	Mgmt	For	Against	Against
19	Elect GUO Wuyi	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	02/16/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Confirmation on 2016 Routine Related Party Transactions	Mgmt	For	For	For
2	Approval to Increase Routine Related Party Transactions	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules for Board Meetings	Mgmt	For	Abstain	Against
6	Subscription of Huaxia Insurance	Mgmt	For	For	For
7	Subscription of Leran Investment	Mgmt	For	For	For
8	Transfer of Equity Stake in a Controlled Subsidiary	Mgmt	For	For	For
9	Waiver of Preemptive Rights to Capital Increase and Equity Transfer	Mgmt	For	For	For
10	Amendments to Administrative Measures for Guarantees	Mgmt	For	Abstain	Against

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Audited Financial Report	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of 2016 Additional Related Party Transactions	Mgmt	For	For	For
10	Approval of 2017 Projected Related Party Transactions	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Elect LIU Shuqing as Director	Mgmt	For	For	For
13	Shareholder Returns Plan	Mgmt	For	For	For
14	Temporary Replenish Working Capital with Idle Funds	Mgmt	For	For	For
15	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
16	Approval to Postpone Senior Executives' and Employees' Share Increasing Plan	Mgmt	For	For	For
17	Elect ZHENG Lu as Independent Director	Mgmt	For	For	For

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Leshi Internet Information and Technology (Beijing) Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
300104	CINS Y5238F102	08/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Issuance Method	Mgmt	For	For	For
6	Maturity	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Validity Period	Mgmt	For	For	For
9	Market Location	Mgmt	For	For	For
10	Board Authorization	Mgmt	For	For	For

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11	Amendments to Procedural Rules: Raised Capital Management	Mgmt	For	Abstain	Against
12	Related Party Transactions	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	03/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Proposal on the Change of the Use of Some Proceeds	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For

Luxshare Precision Industry Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002475	CINS Y7744X106	11/08/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in Wealth Management Products	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For

Nationz Technologies Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
300077	CINS Y6206N101	04/20/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Superivors' Report	Mgmt	For	For	For
3	Annual Report and	Mgmt	For	For	For

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	Summary					
4	Financial Report and Summary	Mgmt	For	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For	For
6	Directors' Fees	Mgmt	For	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For	For
8	Cash Management with Idle Funds	Mgmt	For	For	For	For
9	Modification of Investment	Mgmt	For	For	For	For
10	By-election of Independent Director	Mgmt	For	For	For	For
11	Amendments to Articles	Mgmt	For	For	For	For

Navinfo Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002405	CINS Y62121101	01/13/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Joint Investment and Related Party Transactions	Mgmt	For	Abstain	Against
2	Equity Acquisition	Mgmt	For	Abstain	Against
3	Intra-company Agreement	Mgmt	For	Abstain	Against

Navinfo Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002405	CINS Y62121101	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Elect Non-Independent Director: WU Jinfeng	Mgmt	For	For	For
8	Elect Non-Independent Director: SUN Yuguo	Mgmt	For	For	For
9	Elect Non-Independent Director: CHENG Peng	Mgmt	For	For	For
10	Elect Non-Independent Director: ZHENG Yongjin	Mgmt	For	For	For
11	Elect Non-Independent Director: ZHONG Xiangping	Mgmt	For	For	For
12	Elect Non-Independent Director: ZHAN Weibiao	Mgmt	For	For	For

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13	Elect Independent Director: REN Guangming	Mgmt	For	For	For
14	Elect Independent Director: LI Xiang	Mgmt	For	For	For
15	Elect Independent Director: WU Aijin	Mgmt	For	For	For
16	Elect XU Jinhui	Mgmt	For	For	For
17	Elect LIU Zheng	Mgmt	For	Against	Against
18	Independent Directors' Fees	Mgmt	For	For	For
19	Change of Registered Capital and Amendments to Articles	Mgmt	For	For	For
20	Related Party Transactions	Mgmt	For	For	For
21	Authority to Give Guarantees	Mgmt	For	For	For
22	Approval of Borrowing of a Subsidiary	Mgmt	For	For	For
23	Establishment of an Acquisition Fund	Mgmt	For	For	For
24	Commitment to Compensate for Remaining Balance of Acquisition Fund in Proportion to Partnership Share	Mgmt	For	For	For
25	Increase in Investment Using Raised Funds	Mgmt	For	For	For
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Navinfo Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002405	CINS Y62121101	09/05/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value and Offering Size	Mgmt	For	For	For
3	Subscribers	Mgmt	For	For	For
4	Price	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Bond Term	Mgmt	For	For	For
7	Offering Method and Arrangement	Mgmt	For	For	For
8	Method of Repayment	Mgmt	For	For	For
9	Guarantee	Mgmt	For	For	For
10	Redemption Clause	Mgmt	For	For	For
11	Bond Listing	Mgmt	For	For	For
12	Safeguard Measures for	Mgmt	For	For	For

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	Debt Replacement				
13	Underwriting Method	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Board Authorization to Implement Bond Issuance	Mgmt	For	For	For

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ORG Packaging Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002701	CINS Y6S74V102	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Authority to Issue Ultra Short-term Financial Bill	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For
13	Extension of Board Authorization to Implement Private Placement	Mgmt	For	For	For

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ORG Packaging Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002701	CINS Y6S74V102	10/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to Private Placement	Mgmt	For	For	For
2	Amendments to Preliminary Plan of Private Placement	Mgmt	For	For	For
3	Conditional Subscription Agreement	Mgmt	For	For	For
4	Conditional Supplementary Agreement	Mgmt	For	For	For
5	Related Party	Mgmt	For	For	For



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	Transactions Regarding Private Placement					
6	Exemption from Tender Offer	Mgmt	For	For	For	
7	Obligation Amendments to Articles	Mgmt	For	For	For	
<hr/>						
Ourpalm Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300315	CINS Y6298P105	01/20/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval of External Investment	Mgmt	For	Abstain	Against	
<hr/>						
Ourpalm Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300315	CINS Y6298P105	02/09/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect XU DABIN	Mgmt	For	Against	Against	
2	Elect SUN JUANXIA	Mgmt	For	For	For	
<hr/>						
Ourpalm Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300315	CINS Y6298P105	04/17/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension on Resumption of Trading on Major Asset Restructuring	Mgmt	For	For	For	
<hr/>						
Ourpalm Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
300315	CINS Y6298P105	05/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Related Party Transactions	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Authority to Repurchase and Cancel Shares	Mgmt	For	For	For	
9	Board Authorization to Implement Repurchase or Donation of	Mgmt	For	For	For	

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10	Shares Decrease in Authorized Capital	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Ourpalm Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	08/11/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reduction in Authorized Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Ourpalm Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300315	CINS Y6298P105	10/10/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect DENG Pan	Mgmt	For	For	For
2	Elect HU Bin	Mgmt	For	For	For
3	Elect YAO Wenzhe	Mgmt	For	For	For
4	Elect LI Haosheng	Mgmt	For	For	For
5	Elect HUANG Yingchun	Mgmt	For	For	For
6	Elect WU Linguang	Mgmt	For	For	For
7	Elect LI Renyu	Mgmt	For	For	For
8	Elect LEI Jiasu	Mgmt	For	For	For
9	Elect JIANG Zhaodong	Mgmt	For	For	For
10	Elect QI Huimin	Mgmt	For	Against	Against
11	Elect YU Long	Mgmt	For	For	For
12	Constitution of Procedural Rules: Management Rules for Remuneration and Performance of Directors, Supervisors and Officers	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
<hr/>					
RiseSun Real Estate Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	01/17/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Nanjing China Europe Shun Properties Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Liaocheng Rongsheng Real Estate Development Co.,	Mgmt	For	Against	Against

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3	Ltd. Authority to Give Guarantees Chongqing Rongsheng Xinyu Real Estate Development Co., Ltd	Mgmt	For	Against	Against
4	Authority to Give Guarantees Zhejiang Rongsheng billion Xin Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Zhejiang Rongsheng a Hong Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	03/09/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Debt Instruments	Mgmt	For	For	For
2	Board Authorization to Implement Debt Instrument Issuance	Mgmt	For	For	For
3	Authority to Give Guarantees for Nanjing Rongsheng Shengtai Real Estate Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Shandong Rongfa Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Langfang Development Zone Rongsheng Real Estate Development Co., Ltd	Mgmt	For	Against	Against
6	Election of CHEN Jinhai as Director	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees for Liaocheng Risesun	Mgmt	For	Against	Against
2	Authority to Give Guarantees for Shenyang Risesun	Mgmt	For	Against	Against
3	Authority to Give Guarantees for Huangshan Risesun	Mgmt	For	Against	Against
4	Authority to Give Guarantees for Sizhonghuilian	Mgmt	For	Against	Against
5	Authority to Give Guarantees for Hebei Risesun	Mgmt	For	Against	Against

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Audited Financial Report and Audit Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Financial Statements	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	Against	Against
11	Authority to Give Guarantees to Handan Risesun Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
12	Authority to Give Guarantees to Shenyang Risesun Jinxiu Tiandi Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Changsha Rongxiang Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
14	Authority to Give Guarantees to	Mgmt	For	Against	Against

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Langfang Development  
District Risesun Real  
Estate Development  
Co.,  
Ltd.

RiseSun Real Estate Development Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
002146	CINS Y7286J101	06/16/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Authority to Give Guarantees to Shenyang Rongsheng Real Estate Development	Mgmt	For	Against	Against	
2	Authority to Give Guarantees to Rongsheng (Wuhu) Real Estate	Mgmt	For	Against	Against	
3	Authority to Give Guarantees to Bengbu Rongsheng Dingye Real Estate Development	Mgmt	For	Against	Against	
4	Authority to Give Guarantees to Chongqing Rongsheng Xinyu Real Estate Development	Mgmt	For	Against	Against	
5	Authority to Give Guarantees to Nanjing Rong Yu Property	Mgmt	For	Against	Against	
6	Authority to Give Guarantees to Nanjing Rong Ting Property	Mgmt	For	Against	Against	
7	Authority to Give Guarantees to Jinan Glory Real Estate Development	Mgmt	For	Against	Against	
8	Authority to Give Guarantees to Jinan Rongfeng Real Estate Development	Mgmt	For	Against	Against	
9	Authority to Give Guarantees to Nanjing Rongsheng Real Estate	Mgmt	For	Against	Against	
10	Authority to Give Guarantees to Tangshan Rongsheng Real Estate Development	Mgmt	For	Against	Against	
11	Authority to Give Guarantees to Langfang Xieyi Landscaping Engineering	Mgmt	For	Against	Against	

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12	Authority to Give Guarantees to Hebei Rongsheng Xingcheng Investment	Mgmt	For	Against	Against
13	Authority to Give Guarantees to Rongsheng (Xuzhou) Real Estate Development	Mgmt	For	Against	Against

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### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	07/25/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to Nanjing Risesun Property Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Nanjing Risesun Shengjing Property Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to Risesun Xuzhou Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Langfang Xieyi Landscaping Projects Co., Ltd.	Mgmt	For	Against	Against
5	Authority to Give Guarantees to Henan Rongyou Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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### RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	08/10/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Method of Issuance	Mgmt	For	For	For
3	Stock Type and Par Value	Mgmt	For	For	For
4	Issuance Volume	Mgmt	For	For	For
5	Issuance Targets and Subscription Method	Mgmt	For	For	For
6	Pricing Base Date and Issuance	Mgmt	For	For	For

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7	Price Lock-up Period Arrangements	Mgmt	For	For	For
8	Listing Market	Mgmt	For	For	For
9	Amount and Use of Raised Funds	Mgmt	For	For	For
10	Distribution Plan for Accumulated Retained Profits Previous to Issuance	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Preliminary Plan for Private Placement	Mgmt	For	For	For
13	Feasibility Report for Use of Proceeds	Mgmt	For	For	For
14	Influence on Main Financial Indicators by Diluted Immediate Returns	Mgmt	For	For	For
15	Commitments of the Company's Controlling Shareholders, Directors, and Senior Management for Implementation of Dilution Measures	Mgmt	For	For	For
16	Board Authorization to Implement Private Placement	Mgmt	For	For	For
17	Special Report for Previously Raised Funds	Mgmt	For	For	For
18	Plan for Shareholders Profit Return (2016-2018)	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	08/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Set up Plan for Balance Payments for House Purchases	Mgmt	For	For	For
2	Provision of Discrepancy Credit	Mgmt	For	For	For
3	Board Authorization to Implement Balance Payment Plan for House Purchases	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	10/14/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Corporate Bonds	Mgmt	For	For	For
2	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For
3	Authority to Give Guarantees for Xianghe Varytown Industry Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees for Tangshan Riseun Real Estate Development Co., Ltd.	Mgmt	For	For	For
5	Authority to Give Guarantees for Xuzhou Rongkai Property Co., Ltd.	Mgmt	For	For	For

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RiseSun Real Estate Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002146	CINS Y7286J101	11/01/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Huang Yuhua as Independent Director	Mgmt	For	For	For
2	Authority to Give Guarantees to Nanjing Risesun Property Co., Ltd.	Mgmt	For	For	For
3	Authority to Give Guarantees to Nanjing Rongting Property Co., Ltd.	Mgmt	For	For	For
4	Authority to Give Guarantees to Nanjing Rongyu Property Co., Ltd.	Mgmt	For	For	For
5	Authority to Give Guarantees to Jinan Rongfeng Property Co., Ltd.	Mgmt	For	For	For
6	Authority to Give Guarantees to Jinan Rongyao Real Estate Development Co.,	Mgmt	For	For	For



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RiseSun Real Estate Development Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002146	CINS Y7286J101	12/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees to RongSheng KangLu Investment Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to HuangShang RongSheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
3	Authority to Give Guarantees to TangShan RongSheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against
4	Authority to Give Guarantees to Changsha RongCheng Real Estate Development Co., Ltd.	Mgmt	For	Against	Against

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Shanghai 2345 Network Holding Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002195	CINS Y7683P106	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Against	Against
7	Investment in Wealth Management Products with Idle Raised Funds	Mgmt	For	For	For
8	Investment in Wealth Management Products with Idle Proprietary Funds	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Amendments to Procedural Rules	Mgmt	For	For	For
12	Election of	Mgmt	For	Against	Against

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Supervisory  
Board

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Shanghai 2345 Network Holding Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002195	CINS Y7683P106	10/27/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Disposal	Mgmt	For	For	For	

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Shanghai 2345 Network Holding Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002195	CINS Y7683P106	11/14/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Source, Size and Distribution	Mgmt	For	For	For	
2	Valid Period, Grant Date, Locking and Vesting Period	Mgmt	For	For	For	
3	Grant Price and Determination Method	Mgmt	For	For	For	
4	Vesting Conditions	Mgmt	For	For	For	
5	Procedures for Adjustment	Mgmt	For	For	For	
6	Accounting Treatment	Mgmt	For	For	For	
7	Procedures for Grant and Vesting	Mgmt	For	For	For	
8	Rights and Obligations of the Company and Participants	Mgmt	For	For	For	
9	Alternation and Termination of Plan	Mgmt	For	For	For	
10	Repurchase and Cancellation	Mgmt	For	For	For	
11	Measures for Implementation and Appraisal of Restricted Share Incentive Plan	Mgmt	For	For	For	
12	Board Authorization to Implement Restricted Share Incentive Plan	Mgmt	For	For	For	
13	Amendments to Use of Proceeds	Mgmt	For	For	For	
14	Size and Method	Mgmt	For	For	For	
15	Issuance Targets	Mgmt	For	For	For	
16	Type and Duration	Mgmt	For	For	For	
17	Par Value and Issue Price	Mgmt	For	For	For	
18	Interest Rate	Mgmt	For	For	For	
19	Use of Proceeds	Mgmt	For	For	For	

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20	Guarantee Clauses	Mgmt	For	For	For
21	Principal and Interests Repayment Method	Mgmt	For	For	For
22	Listing Place	Mgmt	For	For	For
23	Underwriting Method	Mgmt	For	For	For
24	Valid Period of Resolution	Mgmt	For	For	For
25	Eligibility for Bond Issuance	Mgmt	For	For	For
26	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For

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Shanghai 2345 Network Holding Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002195	CINS Y7683P106	12/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Set up of Equity Investment Fund	Mgmt	For
			For
2	Amendments to Articles	Mgmt	For
			For

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Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	05/04/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
			For
2	Directors' Report	Mgmt	For
			For
3	Supervisors' Report	Mgmt	For
			For
4	Annual Report	Mgmt	For
			For
5	Allocation of Profits/Dividends	Mgmt	For
			For
6	Related Party Transactions	Mgmt	For
			For
7	Appointment of Auditor	Mgmt	For
			For
8	Increase in Registered Capital	Mgmt	For
			For
9	Amendments to Articles	Mgmt	For
			For
10	Non-Voting Meeting Note	N/A	N/A
			N/A

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Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	09/19/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Allocation of Interim Profits/Dividends	Mgmt	For
			For
2	Amendments to Articles	Mgmt	For
			For

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Shanghai RAAS Blood Products Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002252	CINS Y7687Z100	12/12/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Use of Proceeds	Mgmt	For	For	For

Shanxi Securities Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002500	CINS Y7700J109	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Senior Managers' Fees	Mgmt	For	For	For
7	Related transactions with Shanxi Finance Investment Holding Group Co., Ltd. and its affiliated companies	Mgmt	For	For	For
8	Related transactions with Taiyuan Iron and Steel (Group) Co., Ltd. and its affiliated companies	Mgmt	For	For	For
9	Related transactions with Shanxi International Power Group Co., Ltd. and its affiliated companies	Mgmt	For	For	For
10	Transactions with companies at which the Company's directors, supervisors and senior managers serve as directors and senior managers	Mgmt	For	For	For
11	Transactions with Deutsche Bank Co., Ltd. and its affiliated companies, and other transactions stipulated by relevant regulations	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	Against	Against
13	Amendments to Articles	Mgmt	For	For	For

Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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002399	CINS Y7744K104	07/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Size of Issuance	Mgmt	For	For	For
3	Placement to Shareholder Arrangements	Mgmt	For	For	For
4	Maturity	Mgmt	For	For	For
5	Par Value and Issuance Price	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Issuance Targets and Method	Mgmt	For	For	For
8	Payment of Principal and Interest	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Underwriting Method	Mgmt	For	For	For
12	Validity Period	Mgmt	For	For	For
13	Board Authorization for Corporate Bond Issuance	Mgmt	For	For	For
14	Guarantee Measures for Corporate Bond Issuance	Mgmt	For	For	For

Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	09/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Counter Guarantees	Mgmt	For	For	For

Shenzhen Hepalink Pharmaceutical Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002399	CINS Y7744K104	12/12/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Adoption of the Second Phrase of Employee Stock Ownership Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	For	For

Shenzhen Infogem Technologies Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
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300085	CINS Y7744Q101	05/04/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Financial Statements	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Approval of Line of Credit	Mgmt	For	For	For	
7	Elect ZHANG Xuejun	Mgmt	For	Against	Against	
8	Elect HE Hua	Mgmt	For	For	For	
9	Elect CHEN Xiangjun	Mgmt	For	Against	Against	
10	Elect LI Jun	Mgmt	For	For	For	
11	Elect FENG Jun	Mgmt	For	For	For	
12	Elect LIU Yi	Mgmt	For	For	For	
13	Elect ZHENG Xueding	Mgmt	For	For	For	
14	Elect GUO Fei	Mgmt	For	For	For	
15	Elect HE Jian	Mgmt	For	For	For	
16	Elect WANG Wanxin	Mgmt	For	For	For	
17	Elect LI Wenzhen	Mgmt	For	Against	Against	
18	Directors' Fees	Mgmt	For	For	For	
19	Supervisors' Fees	Mgmt	For	For	For	
20	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For	
21	Extension of Validity of Private Placement	Mgmt	For	For	For	
22	Board Authorization to Implement Validity Extension of Private Placement	Mgmt	For	For	For	
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Shenzhen Inovance Technology Co., Ltd.

300124	CINS Y7744Z101	05/16/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Appointment of Auditor	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Increase of Proprietary Funds to Invest in Wealth Management Products	Mgmt	For	For	For	
9	Investment in Wealth Management Products	Mgmt	For	For	For	

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	by Idle Raised Funds					
10	Replenishment of Working Capital with Raised Funds	Mgmt	For	For	For	For
11	Adjustment to Project Funded by Excessive Raised Funds	Mgmt	For	For	For	For
12	Amendments to Articles	Mgmt	For	For	For	For

Shenzhen Inovance Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300124	CINS Y7744Z101	12/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Third Phase Stock Option Incentive Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Management Measures for Implementation and Appraisal of Stock Option Plan	Mgmt	For	For	For
3	Board Authorization for Stock Option Plan Implementation	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Amendments to Procedural Rules: Financial Management System	Mgmt	For	Abstain	Against

Shenzhen Jinjia Color Printing Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002191	CINS Y7743D101	01/04/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Strategic Agreement	Mgmt	For	For	For

Shenzhen Jinjia Color Printing Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002191	CINS Y7743D101	07/04/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Strategic Partnership Agreement with Yadong Fosun Rui Zhe An Tai Development Co., Ltd.	Mgmt	For	For	For
3	Eligibility for	Mgmt	For	For	For

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	Private Placement				
4	Share Type and Par Value	Mgmt	For	For	For
5	Issuing Method and Time	Mgmt	For	For	For
6	Offering Price and Pricing Principle	Mgmt	For	For	For
7	Size of Issuance	Mgmt	For	For	For
8	Issuing Target and Subscription Method	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Amount and Use of Proceeds	Mgmt	For	For	For
11	Distribution Arrangement for Accumulated Profits	Mgmt	For	For	For
12	Validity Period of Resolution	Mgmt	For	For	For
13	Listing Place	Mgmt	For	For	For
14	Preliminary Plan of Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	No Report Necessary for the Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Related Party Transaction Involved in Private Placement	Mgmt	For	For	For
18	Conditional Share Subscription Agreement with Yadong Fosun Rui Zhe An Tai Development Co., Ltd.	Mgmt	For	For	For
19	Conditional Share Subscription Agreement with Shenzhen Jin Jia Venture Investment Co., Ltd.	Mgmt	For	For	For
20	Conditional Share Subscription Agreement with HUANG Hua	Mgmt	For	For	For
21	Conditional Share Subscription Agreement with HOU Xudong	Mgmt	For	For	For
22	Conditional Share Subscription Agreement with LI Dehua	Mgmt	For	For	For
23	Conditional Share	Mgmt	For	For	For



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	Subscription Agreement with ZHANG Mingyi					
24	Board Authorization to Implement Private Placement	Mgmt	For	For	For	
25	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	
26	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For	
27	Amendments to Management System for Use and Deposit of Raised Funds	Mgmt	For	Abstain	Against	
28	Open Special Bank Account for Proceeds	Mgmt	For	For	For	
29	Waiver of Mandatory Takeover Requirement for Shenzhen Jin Jia Venture Investment Co., Ltd.	Mgmt	For	For	For	
30	Authority to Give Guarantees	Mgmt	For	For	For	

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002456	CINS Y7744T105	01/12/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Amendments to Investment Project	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
002456	CINS Y7744T105	03/08/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Change of Implementation Principal and Location of Projects	Mgmt	For	For	For	
2	Authority to Give Guarantees	Mgmt	For	Against	Against	
3	Foreign-Exchange Derivative Transactions	Mgmt	For	For	For	

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Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	04/07/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against
2	Repurchase and Cancellation of Restricted Shares	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	05/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Internal Control Self-Assessment Report	Mgmt	For	For	For
5	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	07/11/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
2	Authority to Give Guarantees and Bank Credit	Mgmt	For	Against	Against
3	Objective of Plan	Mgmt	For	For	For
4	Administrator	Mgmt	For	For	For
5	Plan Participants	Mgmt	For	For	For
6	Plan Participants for Stock Reservation	Mgmt	For	For	For
7	Scope and List of First Grant	Mgmt	For	For	For

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	Participants and Results				
8	Source and Number of Shares	Mgmt	For	For	For
9	Validity Period, Grant Date, Lock-up Period, Unlocking Date, Restriction Period	Mgmt	For	For	For
10	Grant Price and Determination Method	Mgmt	For	For	For
11	Conditions for Granting and Unlocking	Mgmt	For	For	For
12	Methods and Procedures for Adjustments	Mgmt	For	For	For
13	Repurchase and Cancellation of Restricted Stocks	Mgmt	For	For	For
14	Accounting Treatment and Calculation of Fair Value	Mgmt	For	For	For
15	Procedures for Implementing Plan, Granting Rights and Interests, and Exercise and Unlocking for Plan Participants	Mgmt	For	For	For
16	Rights and Obligations for Company and Plan Participants	Mgmt	For	For	For
17	Alteration and Termination of Plan	Mgmt	For	For	For
18	Base and Scope for Plan Participants	Mgmt	For	For	For
19	Name List of Participants and Distribution Results	Mgmt	For	For	For
20	Source and Number of Underlying Stocks	Mgmt	For	For	For
21	Validity Period, Grant Date, Waiting Period and Vesting Date of Stock Option Incentive Plan	Mgmt	For	For	For
22	Exercise Price for Options and Determination Method	Mgmt	For	For	For
23	Conditions for Grant and Exercise	Mgmt	For	For	For

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24	Adjustment Method and Procedure of Plan	Mgmt	For	For	For
25	Accounting Treatment and Calculation of Fair Value of Restricted Shares	Mgmt	For	For	For
26	Draft of Plan, Procedures for Implementation, and Granting and Exercising Rights	Mgmt	For	For	For
27	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
28	Change and Termination of Stock Appreciation Right Plan	Mgmt	For	For	For
29	Constitution of Appraisal Management Measures for Stock Incentive Plan	Mgmt	For	For	For
30	Board Authorization to Implement Plan	Mgmt	For	For	For

Shenzhen O-film Tech Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002456	CINS Y7744T105	08/29/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Issuance Volume	Mgmt	For	For	For
3	Par Value and Issuance Price	Mgmt	For	For	For
4	Arrangement for Placement to Shareholders	Mgmt	For	For	For
5	Issuance Targets	Mgmt	For	For	For
6	Method of Issuance	Mgmt	For	For	For
7	Bond Duration	Mgmt	For	For	For
8	Interest Rates and its Determination Method	Mgmt	For	For	For
9	Purpose of Raised Funds	Mgmt	For	For	For
10	Repayment Guarantee Measures	Mgmt	For	For	For
11	Guarantees	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Validity Period of Resolution	Mgmt	For	For	For
14	Authorization for Corporate Bond	Mgmt	For	For	For

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15	Issuance Authority to Give Guarantees	Mgmt	For	For	For
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Shenzhen O-film Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002456	CINS Y7744T105	12/12/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles (1)	Mgmt	For	For	For
2	Amendments to Articles (2)	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	Against	Against
4	Amendments to Administrative Measures for Raised Funds	Mgmt	For	For	For
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Shenzhen Salubris Pharmaceuticals Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002294	CINS Y77443102	04/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Expansion of Business Scope	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Employee Stock Ownership Plan	Mgmt	For	Against	Against
9	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against
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Shenzhen Salubris Pharmaceuticals Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002294	CINS Y77443102	12/13/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YE Chenghai	Mgmt	For	For	For
2	Elect Kevin YE Sing	Mgmt	For	Against	Against
3	Elect CAI Junfeng	Mgmt	For	For	For
4	Elect YE Yuyun	Mgmt	For	For	For
5	Elect WEN Zhongyi	Mgmt	For	For	For
6	Elect YANG Jianfeng	Mgmt	For	For	For
7	Elect HAN Wenjun	Mgmt	For	For	For
8	Elect HE Suying	Mgmt	For	For	For
9	Elect WANG Hongxin	Mgmt	For	For	For

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10	Elect LI Aizhen	Mgmt	For	Against	Against
11	Elect TANG Ji	Mgmt	For	For	For
12	Independent Directors' Fees	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Siasun Robot and Automation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300024	CINS Y7912E104	03/31/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For
9	Elect YU Haibin	Mgmt	For	For	For
10	Elect QU Daokui	Mgmt	For	For	For
11	Elect WANG Hongyu	Mgmt	For	For	For
12	Elect SHI Zelin	Mgmt	For	For	For
13	Elect LIANG Bo	Mgmt	For	For	For
14	Elect ZHAO Qingdang	Mgmt	For	For	For
15	Elect SONG Tingfeng	Mgmt	For	For	For
16	Elect ZHU Xiangyang	Mgmt	For	For	For
17	Elect SANG Zigang	Mgmt	For	Against	Against
18	Elect QIU Yang	Mgmt	For	Against	Against
19	Elect LU Zuxiang	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sichuan Chengfei Integration Technology Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002190	CINS Y79254119	08/30/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

Sichuan Chengfei Integration Technology Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002190	CINS Y79254119	09/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Pricing Base Date	Mgmt	For	For	For
5	Size of Issuance and Raised	Mgmt	For	For	For

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	Fund					
6	Purpose of Raised Funds	Mgmt	For	For	For	For
7	Issuance Targets	Mgmt	For	For	For	For
8	Subscription Method	Mgmt	For	For	For	For
9	Lock-up Period	Mgmt	For	For	For	For
10	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For	For
11	Listing Market	Mgmt	For	For	For	For
12	Validity Period	Mgmt	For	For	For	For
13	Pre-plan for Private Placement	Mgmt	For	For	For	For
14	Feasibility Report for Use of Proceeds	Mgmt	For	For	For	For
15	Report on Previously Raised Funds	Mgmt	For	For	For	For
16	Connected Transactions for Private Placement	Mgmt	For	For	For	For
17	Conditional Share Subscription Agreements	Mgmt	For	For	For	For
18	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For	For
19	Board Authorization to Implement Share Offering	Mgmt	For	For	For	For
20	Amendments to Articles	Mgmt	For	For	For	For

Sichuan Chengfei Integration Technology Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002190	CINS Y79254119	10/20/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Capital	Mgmt	For	For	For
2	Increase in Capital Agreements	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For

Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Approval of Financing from Banks and Financial Institutions	Mgmt	For	For	For

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8	Related Party Transactions	Mgmt	For	For	For
9	Shareholder Returns Plan	Mgmt	For	For	For
10	Amendment to Existing Employee Restricted Share Plan	Mgmt	For	For	For
11	Purpose and Usage to be Repurchase	Mgmt	For	For	For
12	Manner of Repurchase	Mgmt	For	For	For
13	Pricing Principles of Repurchase	Mgmt	For	For	For
14	Type, Volume and Percentage of Shares to be Repurchase	Mgmt	For	For	For
15	Source of Fund to be Used for Repurchase	Mgmt	For	For	For
16	Period for Repurchase	Mgmt	For	For	For
17	Board Authorization to Repurchase	Mgmt	For	For	For

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Sichuan Kelun Pharmaceutical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	09/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	For	For
2	Issuance Size	Mgmt	For	For	For
3	Bond Type and Duration	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Interest Rate and Determination Method	Mgmt	For	For	For
6	Guarantee	Mgmt	For	For	For
7	Redemption or Resale Provisions	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For
10	Listing Arrangement	Mgmt	For	For	For
11	Repayment Guarantee Measures	Mgmt	For	For	For
12	Valid Period Of Resolution	Mgmt	For	For	For
13	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
14	Termination of Projects and Replenish Working Capital with Raised Proceeds	Mgmt	For	For	For



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Sichuan Kelun Pharmaceutical Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
002422	CINS Y7931Q104	11/14/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Implementation of Employee Restricted Shares Plan	Mgmt	For	For	For
2	Domestic and Overseas Administrative Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Implementation Bodies of Employee Restricted Shares Plan	Mgmt	For	For	For
4	Change of Use of Shares Repurchased as Source of Employee Restricted Shares Plan	Mgmt	For	For	For
5	Employee Restricted Shares Plan and Its Summary	Mgmt	For	For	For
6	Implementation and Appraisal Administrative Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
7	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For

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Sinodata Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002657	CINS Y7762H108	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	Abstain	Against
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Approval of Borrowing	Mgmt	For	Against	Against
7	Investment in Wealth Management Products Using Idle Raised Funds	Mgmt	For	For	For
8	Investment in Wealth Management Using Owned Capital	Mgmt	For	For	For

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9	Adjustment in Use of Raised Funds	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Elect GUO Tong as Supervisor	Mgmt	For	Against	Against
12	Elect WANG Liang as Non-Independent Director	Mgmt	For	For	For
13	Independent Directors' Fees	Mgmt	For	For	For
14	Chairman's Fees	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For

Sinodata Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002657	CINS Y7762H108	07/08/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Purchase Plan	Mgmt	For	For	For
2	Constitution of Procedural Rules: Employee Stock Purchase Plan	Mgmt	For	For	For
3	Board Authorization for Employee Stock Purchase Plan	Mgmt	For	For	For

Sinodata Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002657	CINS Y7762H108	07/28/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect ZHU Yedong	Mgmt	For	For	For
2	Elect SHEN Sha	Mgmt	For	For	For
3	Elect HE Zhe	Mgmt	For	For	For
4	Elect ZHAO Xuerong	Mgmt	For	For	For
5	Elect HE Yan	Mgmt	For	For	For
6	Elect LIU Kaitong	Mgmt	For	For	For
7	Elect BAI Tao	Mgmt	For	Against	Against
8	Elect ZHAO Yan	Mgmt	For	Against	Against
9	Elect GU Lingyun	Mgmt	For	For	For
10	Elect WANG Liang	Mgmt	For	For	For
11	Elect SUN Xin	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For

Songcheng Performance Development Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300144	CINS Y30421104	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and reports	Mgmt	For	For	For
3	Profit distribution plan	Mgmt	For	For	For
4	Annual report	Mgmt	For	For	For
5	Audit report	Mgmt	For	For	For
6	Directors' reports	Mgmt	For	For	For
7	Supervisors' reports	Mgmt	For	For	For
8	Appointment of audit firm	Mgmt	For	For	For
9	Repurchase and cancellation of the locked restricted shares	Mgmt	For	For	For
10	Elect YU Qinyi	Mgmt	For	For	For
11	Amendments to the company's articles of association	Mgmt	For	For	For
12	Eligibility for Private Placement	Mgmt	For	For	For
13	Stock Type and Par Value	Mgmt	For	For	For
14	Method and Time of Issuance	Mgmt	For	For	For
15	Issuance Targets	Mgmt	For	For	For
16	Issuance Price and Pricing Benchmark	Mgmt	For	For	For
17	Issuance Amount	Mgmt	For	For	For
18	Subscription Method	Mgmt	For	For	For
19	Lock-Up Period	Mgmt	For	For	For
20	Listing Market	Mgmt	For	For	For
21	Amount and Use of Raised Funds	Mgmt	For	For	For
22	Arrangement of Accumulated Undistributed Profits	Mgmt	For	For	For
23	Validity of Resolution	Mgmt	For	For	For
24	Preliminary Plan for Private Placement	Mgmt	For	For	For
25	Feasibility Report on Use of Raised Funds	Mgmt	For	For	For
26	Analytical Report on Private Placement	Mgmt	For	For	For
27	Use of Previously Raised Funds	Mgmt	For	For	For
28	Shareholder Return Plan	Mgmt	For	For	For
29	Board Authorization to Implement Private Placement	Mgmt	For	For	For
30	Indemnification Arrangements for Diluted Current Earnings	Mgmt	For	For	For
31	Set-up of Deposit	Mgmt	For	For	For

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Account for Raised Funds					
32	Amendments to Articles after Private Placement	Mgmt	For	Abstain	Against
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Songcheng Performance Development Co., Ltd.,					
Ticker	Security ID:	Meeting Date		Meeting Status	
300144	CINS Y30421104	08/09/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect HUANG Qiaoling	Mgmt	For	For	For
2	Elect HUANG Qiaolong	Mgmt	For	For	For
3	Elect ZHANG Xian	Mgmt	For	For	For
4	Elect ZHANG Jiankun	Mgmt	For	For	For
5	Elect LIU Yan	Mgmt	For	For	For
6	Elect SHANG Lingxia	Mgmt	For	For	For
7	Elect LAN Ke	Mgmt	For	For	For
8	Elect HE Siyuan	Mgmt	For	For	For
9	Elect FANG Dongbiao	Mgmt	For	For	For
10	ZHU Hualu	Mgmt	For	Against	Against
11	XU Jie	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	Abstain	Against
13	Amendments to Procedural Rules: Board of Directors	Mgmt	For	Abstain	Against
14	Directors', Supervisors', and Executives' Fees	Mgmt	For	For	For
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Sumavision Technologies Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300079	CINS Y81861109	05/11/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For
<hr/>					
Sumavision Technologies Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
300079	CINS Y81861109	11/07/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	ZHENG Haitao	Mgmt	For	For	For
2	MEI Meng	Mgmt	For	For	For
3	ZHANG Gang	Mgmt	For	For	For

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4	WANG Wanchun	Mgmt	For	For	For
5	LIN Feng	Mgmt	For	For	For
6	HE Peizhong	Mgmt	For	For	For
7	ZHU Chafen	Mgmt	For	For	For
8	ZHOU Xin	Mgmt	For	Against	Against
9	TAI Zhiqiang	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	01/19/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Modification of Project Investment	Mgmt	For	For	For
2	Expansion of Business Scope	Mgmt	For	For	For
3	Amendments to Articles of Association	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	03/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: ZHANG Jindong	Mgmt	For	For	For
2	Elect Non-Independent Director: SUN Weimin	Mgmt	For	Against	Against
3	Elect Non-Independent Director: REN Jun	Mgmt	For	Against	Against
4	Elect Non-Independent Director: MENG Xiangsheng	Mgmt	For	For	For
5	Elect Non-Independent Director: ZHANG Yu	Mgmt	For	For	For
6	Elect Non-Independent Director: YANG Guang	Mgmt	For	For	For
7	Elect Independent Director: SHEN Houcai	Mgmt	For	For	For
8	Elect Independent Director: LIU Shiping	Mgmt	For	For	For
9	Elect Independent Director: FANG Xianming	Mgmt	For	For	For
10	Elect LI Jianying	Mgmt	For	Against	Against
11	Elect WANG Xiaoling	Mgmt	For	For	For
12	Approval to Set Up A Special Committee	Mgmt	For	For	For

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13	Amendments to Articles	Mgmt	For	For	For
Suning Commerce Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Corporate Bond Issuance	Mgmt	For	For	For
2	Par Value, Size and Method of Issuance	Mgmt	For	For	For
3	Targets of Issuance	Mgmt	For	For	For
4	Duration of Bond	Mgmt	For	For	For
5	Use of Proceeds	Mgmt	For	For	For
6	Listing Market	Mgmt	For	For	For
7	Guarantee Arrangement	Mgmt	For	For	For
8	Validity of Resolution	Mgmt	For	For	For
9	Repayment Guarantee Measures	Mgmt	For	For	For
10	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Report on Deposit and Use of Previous Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of Wealth Management	Mgmt	For	For	For
10	Investment in Wealth Management Products Using Idle Funds	Mgmt	For	For	For
11	Approval of Risk Investment	Mgmt	For	For	For
12	Expansion of Business Scope	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

Suning Commerce Group Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002024	CINS Y82211106	11/28/2016	Voted		

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Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Plan for Transfer of Equity of Subsidiary	Mgmt	For	For	For
2	Proposal to Provide Financial Aid	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002081	CINS Y8315V107	05/23/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Approval of Line of Credit	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Draft Pool Business	Mgmt	For	For	For
9	Transfer of Financial Assets and Repurchase Business	Mgmt	For	For	For
10	Investment in Wealth Management Products	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Elect SHI Guoping as Non-Independent Director	Mgmt	For	For	For

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Suzhou Gold Mantis Construction and Decoration Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
002081	CINS Y8315V107	09/12/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Expansion of Business Scope	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
5	Constitution of Procedural Rules: Risk Investment	Mgmt	For	For	For
6	Amendments to Procedural Rules: Related Party	Mgmt	For	Abstain	Against

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	Transactions				
7	Amendments to Procedural Rules: External Guarantee	Mgmt	For	Abstain	Against
8	Authority to Give Guarantees for House Rental	Mgmt	For	For	For
9	Authority to Give Guarantees for Subsidiary	Mgmt	For	For	For
10	Approval of Guarantee Amount for Subsidiaries	Mgmt	For	For	For
11	Authority to Give Guarantees to Gold Mantis Deluxe Science and Technology (Suzhou) Co., Ltd.	Mgmt	For	For	For
12	Authority to Give Guarantees to Singapore Gold Mantis Pte. Ltd.	Mgmt	For	For	For
13	Elect ZHU Panyang as Supervisor	Mgmt	For	Against	Against

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	04/12/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Progress of Asset Acquisition by Stock and Extension of Resuming Trading	Mgmt	For	For	For
2	Approval of Line of Credit	Mgmt	For	For	For
3	Approval to Implement Venture Capital	Mgmt	For	For	For
4	Elect BU Yong as Non-Independent Director	Mgmt	For	For	For

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	Abstain	Against
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For



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6	Appointment of Auditor	Mgmt	For	Against	Against
7	Increase in Authorized Capital and Amendments to Articles	Mgmt	For	For	For

Suzhou Victory Precision Manufacture Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002426	CINS Y83165103	06/12/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect GAO Yugen	Mgmt	For	For	For
2	Elect QIAO Yi	Mgmt	For	For	For
3	Elect WU Jiafu	Mgmt	For	For	For
4	Elect BU Yong	Mgmt	For	For	For
5	Elect HUANG Peng	Mgmt	For	For	For
6	Elect FENG Chuan	Mgmt	For	For	For
7	Elect XU Yonghong	Mgmt	For	Against	Against
8	Elect CHEN Xi	Mgmt	For	For	For
9	Authority to Issue Short-term Commercial Papers and Medium-Term Notes	Mgmt	For	For	For
10	Authority to Medium-Term Notes	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	01/23/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Abstain	Against
3	Related Party Transactions	Mgmt	For	Abstain	Against
4	Investment in Project Construction	Mgmt	For	For	For

Tianjin Zhonghuan Semiconductor Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002129	CINS Y88171106	06/30/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Change in Use of Raised Funds	Mgmt	For	For	For
10	Authority to Issue Wealth Management Financing Tool	Mgmt	For	For	For
11	Authority to Issue Overseas Bonds	Mgmt	For	For	For
12	Elect QIN Yumao	Mgmt	For	For	For
13	Elect AN Yanqing	Mgmt	For	For	For
14	Elect ZHANG Changxu	Mgmt	For	For	For

Tianqi Lithium Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	02/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect JIANG Weiping	Mgmt	For	For	For
2	Elect WU Wei	Mgmt	For	For	For
3	Elect ZOU Jun	Mgmt	For	For	For
4	Elect JIANG Anqi	Mgmt	For	For	For
5	Elect DU Kunlun	Mgmt	For	For	For
6	Elect PAN Ying	Mgmt	For	For	For
7	Elect WEI Xianghui	Mgmt	For	For	For
8	YANG Qing	Mgmt	For	For	For
9	YAN Jin	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Against	Against

Tianqi Lithium Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	03/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Board Meetings	Mgmt	For	Abstain	Against
4	Amendments to Procedural Rules: Supervisory Committee	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules: Independent Directors	Mgmt	For	Abstain	Against
6	Amendments to Procedural Rules:	Mgmt	For	Abstain	Against

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	External Guarantee Management System				
7	Amendments to Procedural Rules: Decision Making System for Related Party Transactions	Mgmt	For	Abstain	Against

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Tianqi Lithium Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Provisions for Asset Depreciation	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For
11	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
12	Auditor Appointment System	Mgmt	For	Abstain	Against

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Tianqi Lithium Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	05/10/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Sale of Equity Interests in a Joint Stock Company	Mgmt	For	For	For
2	Eligibility for Issuance of Stocks w/ Preemptive Rights	Mgmt	For	For	For
3	Type and Par Value	Mgmt	For	For	For
4	Issuance Methods	Mgmt	For	For	For
5	Issuance Base, Ratio and Size	Mgmt	For	For	For
6	Pricing Basis and Issuance Price	Mgmt	For	For	For

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7	Issuance Target	Mgmt	For	For	For
8	Allocation of Undistributed Cumulative Profits	Mgmt	For	For	For
9	Date of Issuance	Mgmt	For	For	For
10	Underwriting Methods	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Validity of Resolution	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Preliminary Plan for Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
17	Indemnification Arrangements for Diluted Current Earnings and Relevant Commitments	Mgmt	For	For	For
18	Shareholder Returns Plan	Mgmt	For	For	For
19	Board Authorization to Implement Issuance of Shares	Mgmt	For	For	For

Tianqi Lithium Industries, Inc.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
	Ticker Security ID: 002466 CINS Y79327105	Meeting Date		Meeting Status	
	Meeting Type	Country of Trade			
	Special	China			
1	INVESTMENT IN A PROJECT	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Eligibility to Issue Corporate Bonds	Mgmt	For	For	For
4	Par Value and Size of Issuance	Mgmt	For	For	For
5	Duration of Bond	Mgmt	For	For	For
6	Interest Rate, Repayment of Principal, and Payment of Interest	Mgmt	For	For	For
7	Method of Issuance	Mgmt	For	For	For
8	Targets of Issuance and Placement to Shareholders	Mgmt	For	For	For
9	Guarantees	Mgmt	For	For	For
10	Provisions for Redemption or Resale	Mgmt	For	For	For
11	Use of Proceeds	Mgmt	For	For	For
12	Method of Underwriting	Mgmt	For	For	For

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	and Listing Arrangements				
13	Validity of Resolution	Mgmt	For	For	For
14	Board Authorization to Issue Corporate Bonds	Mgmt	For	For	For

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Tianqi Lithium Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002466	CINS Y79327105	10/17/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Equity Acquisition	Mgmt	For	Against	Against
2	Authority to Give Guarantees	Mgmt	For	For	For

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Transfar Zhilian Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002010	CINS Y98924106	03/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Corporate Bonds	Mgmt	For	Against	Against
2	Issuance Volume	Mgmt	For	Against	Against
3	Par Value and Issuance Price	Mgmt	For	Against	Against
4	Issuance Targets	Mgmt	For	Against	Against
5	Method of Issuance	Mgmt	For	Against	Against
6	Bond Type and Bond Duration	Mgmt	For	Against	Against
7	Interest Rate and Repayment of Principal and Interest	Mgmt	For	Against	Against
8	Guarantee Clause	Mgmt	For	Against	Against
9	Option for Adjustment to Interest Rate and Redemption or Resale Provisions	Mgmt	For	Against	Against
10	Purpose of Raised Funds	Mgmt	For	Against	Against
11	Repayment Guarantee Measures	Mgmt	For	Against	Against
12	Underwriting and Listing of Bonds	Mgmt	For	Against	Against
13	Validity Period	Mgmt	For	Against	Against
14	Board Authorization to Implement Corporate Bonds	Mgmt	For	Against	Against

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Transfar Zhilian Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002010	CINS Y98924106	05/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Approval of Line of Credit	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Investment in Wealth Management Products	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Elect XU Guanju	Mgmt	For	For	For
12	Elect XU Guanbao	Mgmt	For	For	For
13	Elect WU Jianhua	Mgmt	For	For	For
14	Elect ZHAO Jiahai	Mgmt	For	For	For
15	Elect LI Shaobo	Mgmt	For	For	For
16	Elect ZHU Jiangying	Mgmt	For	For	For
17	Elect ZHOU Chunsheng	Mgmt	For	For	For
18	Elect FEI Zhongxin	Mgmt	For	For	For
19	Elect LI Yi	Mgmt	For	For	For
20	Elect CHEN Jie	Mgmt	For	Against	Against
21	Elect GUO Jun	Mgmt	For	For	For

Unigroup Guoxin Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	03/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: ZHAO Weiguo	Mgmt	For	For	For
2	Elect Non-Independent Director: REN Zhijun	Mgmt	For	For	For
3	Elect Non-Independent Director: GAO Qiquan	Mgmt	For	For	For
4	Elect Non-Independent Director: WANG Huixuan	Mgmt	For	For	For
5	Elect Independent Director: CHEN Jinzhan	Mgmt	For	For	For
6	Elect Independent Director: CHEN Xian	Mgmt	For	For	For
7	Elect Independent Director: WANG Liyan	Mgmt	For	For	For
8	Elect WANG Zhihua	Mgmt	For	For	For
9	Elect ZHENG Bo	Mgmt	For	For	For
10	Directors' and Supervisors' Fees	Mgmt	For	For	For
11	Assets Acquisition	Mgmt	For	For	For

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Unigroup Guoxin Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	04/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Unigroup Guoxin Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
002049	CINS Y8488J105	05/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Extension of Trading Suspension Due to Assets Restructuring	Mgmt	For	For	For

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WANDA CINEMA LINE CO. LTD					
Ticker	Security ID:	Meeting Date	Meeting Status		
002739	CINS Y94885103	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Report on Deposit and Use of 2016 Raised Funds	Mgmt	For	For	For
7	Internal Control Self-Assessment Report	Mgmt	For	For	For
8	Excessive Amount of 2016 Related Party Transactions	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Investment Plan	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Change in Company Name and Stock Name	Mgmt	For	For	For
13	Elect LIU Xiaobin as Non-Independent Director	Mgmt	For	For	For
14	Elect LV Suiqi as Independent	Mgmt	For	For	For

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15	Director Amendments to Use of Proceeds	Mgmt	For	For	For
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Wangsu Science & Technology Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	03/21/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Major Asset Purchase	Mgmt	For	For	For
2	Overview of Scheme	Mgmt	For	For	For
3	Transaction Counterpart	Mgmt	For	For	For
4	Underlying Assets	Mgmt	For	For	For
5	Transaction Price	Mgmt	For	For	For
6	Source of Capital for the Transaction and Payment of Consideration	Mgmt	For	For	For
7	Pricing Method	Mgmt	For	For	For
8	Delivery of the Underlying Asset	Mgmt	For	For	For
9	Liabilities for Breach of Contract	Mgmt	For	For	For
10	Transactions Constitution of Major Asset Restructuring	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Compliance with Article 4 of Regulation for Major Asset Restructuring	Mgmt	For	For	For
13	Compliance with Article 11 of Regulation for Management Measures on Major Asset Restructuring	Mgmt	For	For	For
14	Major Asset Purchase Non-constitution of Related Party Transaction	Mgmt	For	For	For
15	Transaction Non-constitution of Back-Door Listing as Defined by Article 13 of the Management Measures for Major Asset Restructuring	Mgmt	For	For	For
16	Signing of Conditional Share Purchase Agreement	Mgmt	For	For	For
17	Statement regarding Completeness and Compliance of Legal	Mgmt	For	For	For



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	Procedures for Major Asset Purchase				
18	Asset Purchase Report	Mgmt	For	For	For
19	Verification Report and Evaluation Report for the Transaction	Mgmt	For	For	For
20	Independence of Evaluation Institution, Rationality of Evaluation Hypothesis, Correlation Between Evaluation Method and Objective and Fairness of Evaluation Price	Mgmt	For	For	For
21	Statement on Pricing Basis and Fairness	Mgmt	For	For	For
22	Verification of the Company's Conditions Regarding Information Disclosure Regulations	Mgmt	For	For	For
23	Board Authorization for Asset Purchase	Mgmt	For	For	For
24	Risk Warning for Diluted Immediate Returns	Mgmt	For	For	For
25	Capital Increase of Wholly-owned Subsidiary	Mgmt	For	For	For
26	Authority to Give Guarantees	Mgmt	For	For	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Creation of New Share Class: H-Share Listing	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method of Issuance	Mgmt	For	For	For
4	Size of Issuance	Mgmt	For	For	For
5	Pricing Method	Mgmt	For	For	For
6	Issuance Targets	Mgmt	For	For	For
7	Issuance Date	Mgmt	For	For	For
8	Principals of Issuance	Mgmt	For	For	For
9	Company's Conversion to Overseas Listed Company	Mgmt	For	For	For
10	Raised Fund Usage Plan	Mgmt	For	For	For

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11	Validity Period of Public Issuance and H-Share Listing	Mgmt	For	For	For
12	Board Authorization for H-Share Listing	Mgmt	For	For	For
13	Scheme for Retained Profits	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For
15	Amendments to Procedural Rules: Procedures Governing Shareholder General Meetings	Mgmt	For	Abstain	Against
16	Amendments to Procedural Rules: Procedures Governing Board Meetings	Mgmt	For	Abstain	Against
17	Amendments to Procedural Rules: Supervisor Meetings	Mgmt	For	Abstain	Against

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	04/06/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Directors' Report	Mgmt	For
2	Supervisors' Report	Mgmt	For
3	Annual Report	Mgmt	For
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
300017	CINS Y7689Q108	04/27/2017	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect LIU Chengyan	Mgmt	For
2	Elect HONG Ke	Mgmt	For
3	Elect CHU Minjian	Mgmt	For
4	Elect YAN Yongchun	Mgmt	For
5	Elect WANG Weisong	Mgmt	For
6	Elect LI Zhiping	Mgmt	For
7	Elect HUANG Siying	Mgmt	For
8	Elect ZHANG Haiyan	Mgmt	For
9	Elect XUAN Jun	Mgmt	For
10	External Directors' and Independent Directors' Fees	Mgmt	For
11	External Supervisors' Fees	Mgmt	For
12	Assets Acquisition	Mgmt	For

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Wangsu Science & Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	06/02/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Objective	Mgmt	For	For	For
2	Participants and Scope	Mgmt	For	For	For
3	Source, Number of Stocks and Distribution	Mgmt	For	For	For
4	Price and Determining Method	Mgmt	For	For	For
5	Valid Period, Granting Date, Lock-up Period, Unlocking date and Restrictions	Mgmt	For	For	For
6	Conditions for Granting and Unlocking Restricted Stocks	Mgmt	For	For	For
7	Method and Procedure for Adjusting Restricted Stock Incentive Plan	Mgmt	For	For	For
8	Repurchase and Cancellation	Mgmt	For	For	For
9	Accounting Treatment	Mgmt	For	For	For
10	Implementation Procedure	Mgmt	For	For	For
11	Rights and Obligations	Mgmt	For	For	For
12	Treatment under Unusual Situations	Mgmt	For	For	For
13	Implementation and Appraisal Management Measures for 2017 Restricted Stock Incentive Plan	Mgmt	For	For	For
14	Authorization to the Board to Implement 2017 Restricted Stock Incentive Plan	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	Against	Against
17	Arrangement of Minority Interest to be Signed with Shareholders of CDN-VIDEO	Mgmt	For	For	For

Wangsu Science & Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	09/26/2016	Voted		
Meeting Type	Country of Trade				

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Special Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to the Overseas CDN Project	Mgmt	For	For	For
2	Replacement of Proceeds and Adjustment to Investment	Mgmt	For	For	For

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Wangsu Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300017	CINS Y7689Q108	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Special Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition of 30% Equity in Shenzhen Green Cloud Technology Co., Ltd.	Mgmt	For	For	For
2	Acquisition of 100% Equity in Green Nebula Technology (Shenzhen) Co., Ltd.	Mgmt	For	For	For

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Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Related Party Transactions with Shanxi Energy Group	Mgmt	For	For	For
6	Related Party Transactions with Shanxi Investment Group	Mgmt	For	For	For
7	Related Party Transactions with Western Trust	Mgmt	For	For	For
8	Related Party Transactions with Shanxi People Building	Mgmt	For	For	For
9	Related Party Transactions with Shanxi Qingshuichuan Energy	Mgmt	For	For	For
10	Related Party	Mgmt	For	For	For

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	Transactions with Shanxi Jintaiheng Real Estate					
11	Related Party Transactions with Shanxi Jinxin Industry	Mgmt	For	For	For	
12	Related Party Transactions with Shanxi Qinlong Electric Power	Mgmt	For	For	For	
13	Related Party Transactions with Shanxi Huashan Innovation Technology	Mgmt	For	For	For	
14	Related Party Transactions with Macau Huashan Innovation International Economic Technology	Mgmt	For	For	For	
15	Related Party Transactions with Shanxi Shanneng Investment	Mgmt	For	For	For	
16	Related Party Transactions with Western Lide Fund	Mgmt	For	For	For	
17	Related Party Transactions with Bank of Chang'an	Mgmt	For	For	For	
18	Approval of Scale of the Company's Self-operated Businesses and Index of Maximum Loss Limit	Mgmt	For	For	For	
19	Appointment of Auditor	Mgmt	For	For	For	
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Western Securities Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002673	CINS Y9382Q104	06/29/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For	
3	Amendments to Management Measure for Online Voting System at Shareholder Meetings	Mgmt	For	For	For	

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4	Shaanxi Fund Management Co., Ltd	Mgmt	For	For	For
5	Changan Bank Co., Ltd	Mgmt	For	For	For
6	Elect XIE Kai as Director	Mgmt	For	For	For

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	09/27/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adjustment to the Scale of the Company's Self-operated Businesses and Index of Maximum Loss Limit in 2016	Mgmt	For	For	For

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	11/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions with Shaanxi Investment Group	Mgmt	For	For	For
2	Related Party Transactions with Chang An Bank	Mgmt	For	For	For

Western Securities Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002673	CINS Y9382Q104	12/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection	Mgmt	For	For	For

Winning Health Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300253	CINS Y7685K105	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For

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7	Appointment of Auditor	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	By-election of Supervisory Board: Elect HE Weihong	Mgmt	For	Against	Against

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Winning Health Technology Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300253	CINS Y7685K105	12/26/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scope of Participants	Mgmt	For	For	For
2	Source, Number of Shares and Distribution Method	Mgmt	For	For	For
3	Validity Period, Grant Date, Lock-up Period, Vesting	Mgmt	For	For	For
4	Exercise Price, Grant Price and Determination Method	Mgmt	For	For	For
5	Rights and Interest of Participants	Mgmt	For	For	For
6	Adjustment Measures	Mgmt	For	For	For
7	Accounting Treatment	Mgmt	For	For	For
8	Implementation Procedures	Mgmt	For	For	For
9	Rights and Obligations of the Company and Participants	Mgmt	For	For	For
10	Treatment Under Unusual Circumstances	Mgmt	For	For	For
11	Implementation and Appraisal Measures for Stock Options and Restricted Stock Incentive Plan	Mgmt	For	For	For
12	Board Authorization to Implement Equity Incentive Plans	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	02/08/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Non-Independent Director: SHI Yibing	Mgmt	For	For	For
2	Elect Non-Independent Director: PAN Zheng	Mgmt	For	For	For

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3	Elect Non-Independent Director: LI Guangya	Mgmt	For	For	For
4	Elect Non-Independent Director: WANG Qing	Mgmt	For	For	For
5	Elect Independent Director: WANG Jianzhang	Mgmt	For	For	For
6	Elect Independent Director: ZHU Hongchao	Mgmt	For	For	For
7	Elect Independent Director: LI Bailing	Mgmt	For	For	For
8	Elect LOU Jialin	Mgmt	For	Against	Against
9	Elect ZONG Yuwei	Mgmt	For	Against	Against
10	Elect GENG Pingan	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Audit Report	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	For	For
9	Amendments to Procedural Rules: Board Meetings	Mgmt	For	For	For
10	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	For	For
11	Amendments to Management System for Raised Funds	Mgmt	For	For	For
12	Amendments to System for Guarantees	Mgmt	For	For	For
13	Amendments to Decision System for Related Party Transactions	Mgmt	For	For	For
14	Directors, Independent Directors and Supervisors'	Mgmt	For	For	For



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Fees

Wonders Information Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
2	Type of Securities to be Issued	Mgmt	For	For	For
3	Size of Issuance	Mgmt	For	For	For
4	Par Value and Price of Issuance	Mgmt	For	For	For
5	Bond Duration	Mgmt	For	For	For
6	Interest Rate	Mgmt	For	For	For
7	Time and Method for Repayment of Interests	Mgmt	For	For	For
8	Conversion Period	Mgmt	For	For	For
9	Determination and Adjustment to Conversion Price	Mgmt	For	For	For
10	Downward Adjustment Clauses on Conversion Price	Mgmt	For	For	For
11	Determination of Number of Shares and Treatment for Remaining Bonds Unconverted	Mgmt	For	For	For
12	Redemption Clauses	Mgmt	For	For	For
13	Resale Clauses	Mgmt	For	For	For
14	Dividends Distribution	Mgmt	For	For	For
15	Targets of Issuance	Mgmt	For	For	For
16	Placement to Shareholders	Mgmt	For	For	For
17	Bondholders' Meetings	Mgmt	For	For	For
18	Use of Proceeds	Mgmt	For	For	For
19	Management of Raised Fund and Deposit Account	Mgmt	For	For	For
20	Guarantees	Mgmt	For	For	For
21	Validity of Resolution	Mgmt	For	For	For
22	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
23	Demonstration Analysis on Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
24	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
25	Report on Deposit and	Mgmt	For	For	For

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	Use of Raised Funds				
26	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
27	Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
28	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	07/05/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Assets Acquisition by Stock and Auxiliary Funds Raising Constituting Major Adjustment	Mgmt	For	For	For
3	Overview of Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
4	Assets Acquisition by Stock: Stock Type, Par Value and Listing Market	Mgmt	For	For	For
5	Assets Acquisition by Stock: Assets to be Acquired and Transaction Price	Mgmt	For	For	For
6	Assets Acquisition by Stock: Issuance Targets and Method	Mgmt	For	For	For
7	Assets Acquisition by Stock: Issue Price and Pricing Principle	Mgmt	For	For	For
8	Assets Acquisition by Stock: Analysis of Rationality	Mgmt	For	For	For
9	Assets Acquisition by Stock: Size of Issuance	Mgmt	For	For	For
10	Assets Acquisition by Stock: Lock-Up Period	Mgmt	For	For	For
11	Assets Acquisition by Stock: Profits and Losses During Transitional Period	Mgmt	For	For	For
12	Auxiliary Funds	Mgmt	For	For	For

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	Raising: Size of Funds to be Raised and Projects of Investment				
13	Auxiliary Funds Raising: Stock Type, Par Value and Listing Market	Mgmt	For	For	For
14	Auxiliary Funds Raising: Issuance Targets and Subscription Method	Mgmt	For	For	For
15	Auxiliary Funds Raising: Pricing Principle And Method	Mgmt	For	For	For
16	Auxiliary Funds Raising: Size of Issuance	Mgmt	For	For	For
17	Auxiliary Funds Raising: Lock-Up Period	Mgmt	For	For	For
18	Auxiliary Funds Raising: Validity of Resolutions	Mgmt	For	For	For
19	Report and Summary of Assets Acquisition by Stock and Auxiliary Funds Raising	Mgmt	For	For	For
20	Statement on Pricing Basis and Rationality of Acquisition	Mgmt	For	For	For
21	Compliance with Article 43 of Provisions on Issues of Major Assets Restructuring	Mgmt	For	For	For
22	Compliance with Article 4 of Provisions on Issues of Listed Companies'	Mgmt	For	For	For
23	Audit Reports and Appraisal Reports For Acquisition	Mgmt	For	For	For
24	Conditional Agreement on Assets Acquisition and Share Subscription	Mgmt	For	For	For
25	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
26	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
27	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For

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28	Independence of Appraiser, Rationality of Assumptions, Relevance of Valuation and Fairness of Pricing Method	Mgmt	For	For	For
29	Share Price Variation Not Reaching the Standard in Article 5 of Provisions on Issues of Listed Companies' Information Disclosure and Notification of Relevant Actions	Mgmt	For	For	For
30	Board Authorization to Implement the Transaction	Mgmt	For	For	For
31	Modification of Auxiliary Fund Raising Usage	Mgmt	For	For	For
32	Feasibility Report for Use of Proceeds of Auxiliary Fund Raising	Mgmt	For	For	For
33	Supplementary Share Subscription Agreements	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
300168	CINS Y9669S104	08/31/2016	Voted		
	Meeting Type	Country of Trade			
	Special	China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees For Subsidiaries' Bank Credit	Mgmt	For	For	For
2	Authority to Give Guarantees For Subsidiary's Financing Lease	Mgmt	For	For	For
3	Authority to Give Counter Guarantees	Mgmt	For	For	For
4	Change in Use of Proceeds and Replenish Working Capital with Idle Funds	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

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Wonders Information Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
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300168	CINS Y9669S104	09/27/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Application for Line of Credit	Mgmt	For	For	For
2	Financial Leasing Agreement	Mgmt	For	For	For
3	Authority to Give Guarantees	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	02/28/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Participation in Land Restructuring	Mgmt	For	For	For
2	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
3	Transactions with China Three Gorges New Energy Co., Ltd.	Mgmt	For	For	For
4	Transactions with Xinjiang New Energy Co., Ltd.	Mgmt	For	For	For
5	Elect GAO Jianjun	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Dividends and Bonus Share Issue	Mgmt	For	For	For
2	Authority to Issue Bonds and Asset-Backed Securities	Mgmt	For	For	For
3	Amendments to Articles Regarding Issued/Registered Share Capital	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Approval of Line of Credit	Mgmt	For	For	For

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9	Authority to Give Guarantees (Letter)	Mgmt	For	Abstain	Against
10	Authority to Give Guarantees	Mgmt	For	Abstain	Against
11	Report on Use of Proceeds	Mgmt	For	For	For
12	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
13	Elect XIAO Hong as Supervisor	Mgmt	For	Against	Against

Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237104	10/21/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Investment in zPark Capital II	Mgmt	For	For	For
2	Elect Kelvin WONG Tin Yau; Approval of Directors' Fees	Mgmt	For	Against	Against

Yantai Jereh Oilfield Services Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002353	CINS Y9729Z106	01/05/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	SUN Weijie	Mgmt	For	For	For
2	WANG Kunxiao	Mgmt	For	For	For
3	WANG Jili	Mgmt	For	For	For
4	LIU Dong	Mgmt	For	For	For
5	HE Yi	Mgmt	For	For	For
6	LI Xuefeng	Mgmt	For	For	For
7	YU Jianqing	Mgmt	For	For	For
8	YU Ximao	Mgmt	For	For	For
9	YAO Xiuyun	Mgmt	For	For	For
10	HU Wenguo	Mgmt	For	Against	Against
11	LIU Yuqiao	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For

Yantai Jereh Oilfield Services Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002353	CINS Y9729Z106	01/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Stock Ownership Plan	Mgmt	For	Against	Against
2	Constitution of Procedural Rules:	Mgmt	For	For	For

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	Management Measures for Employee Stock Ownership Plan					
3	Board Authorization To Implement Stock Ownership Plan	Mgmt	For	Against	Against	

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Yantai Jereh Oilfield Services Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002353	CINS Y9729Z106	04/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	For	For
7	Foreign Exchange Hedging Buisness	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	IPO and Permanent Replenishment of Working Capital with Surplus Raised Fund	Mgmt	For	For	For
11	Restructuring of Shareholding and Listing on the National Equities Exchange and Quotations	Mgmt	For	For	For

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YGsoft Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
002063	CINS Y29316109	08/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees To YG Gong Chuang Zhi Neng Technology Co. Ltd.	Mgmt	For	For	For
2	Authority to Give Guarantees To YG Zhi He Zhou Yuan (Beijing) Technology Co., Ltd.	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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YGsoft Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
002063	CINS Y29316109	09/13/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock Type and Par Value	Mgmt	For	For	For
3	Method and Date of Issuance	Mgmt	For	For	For
4	Issuance Volume and Scale of Fund-raising	Mgmt	For	For	For
5	Issuance Targets and Subscription Method	Mgmt	For	For	For
6	Issuance Price and Pricing Principal	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Listing Place	Mgmt	For	For	For
9	Amount and Purpose of Raised Funds	Mgmt	For	For	For
10	Disposal of Accumulated Retained Profits Before Issuance	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Preplan for Private Placement	Mgmt	For	For	For
13	Requirement of Previously Raised Funds Report	Mgmt	For	For	For
14	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
15	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
16	Approval of Shareholder Profit Return Plan (2016 - 2018)	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	Objective of Plan	Mgmt	For	For	For
19	Management and Institution of Plan	Mgmt	For	For	For
20	Basis for Determining Plan Participants and Scope	Mgmt	For	For	For
21	Detailed Contents of Plan	Mgmt	For	For	For



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22	Relevant Procedures for Plan	Mgmt	For	For	For
23	Rights and Obligations for the Company and Plan Participants	Mgmt	For	For	For
24	Treatment Under Unusual Circumstances	Mgmt	For	For	For
25	Principals for Repurchase and Cancellation	Mgmt	For	For	For
26	Constitution of Procedural Rules: Measures for Implementation and Appraisal of Restricted Stock Incentive Plan	Mgmt	For	For	For
27	Board Authorization to Implement Stock Incentive Plan	Mgmt	For	For	For

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Yotrio Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002489	CINS Y9847C104	05/18/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	CHARITABLE DONATIONS	Mgmt	For	For	For
5	Internal Control Self-Assessment Report	Mgmt	For	For	For
6	Appointment of Auditor and Internal Control Auditor	Mgmt	For	For	For
7	Directors' Report	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Annual Report	Mgmt	For	For	For
10	Credit Quota and Provision of Financial Aid to Subsidiaries	Mgmt	For	For	For
11	Approval to Conduct Forward Foreign Exchange Transactions	Mgmt	For	For	For
12	Approval to Conduct Factoring Business	Mgmt	For	For	For
13	USE OF IDLE FUNDS TO PURCHASE WEALTH	Mgmt	For	For	For

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MANAGEMENT PRODUCTS						
14	CASH MANAGEMENT WITH IDLE PROPRIETARY FUNDS	Mgmt	For	For	For	
<hr/>						
Yotrio Group Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002489	CINS Y9847C104	12/06/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Adoption of Employee Stock Purchase Plan	Mgmt	For	For	For	
2	Board Authorization to Implement Employee Stock Purchase Plan	Mgmt	For	For	For	
<hr/>						
Zhefu Holding Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002266	CINS Y988AR108	07/22/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Subsidiaries Investment in Project Construction	Mgmt	For	For	For	
<hr/>						
Zhefu Holding Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002266	CINS Y988AR108	08/24/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Application for Continued Trade Suspension	Mgmt	For	For	For	
<hr/>						
Zhefu Holding Group Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
002266	CINS Y988AR108	12/13/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility to Implement Asset Purchase	Mgmt	For	For	For	
2	Asset Purchase Constitution of Related Party Transaction	Mgmt	For	For	For	
3	Transaction Counterpart	Mgmt	For	For	For	
4	Underlying Assets	Mgmt	For	For	For	
5	Consideration and Pricing Basis	Mgmt	For	For	For	

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6	Consideration Payment	Mgmt	For	For	For
7	Contractual Obligations	Mgmt	For	For	For
8	Stock Type and Par Value	Mgmt	For	For	For
9	Issuance Method, Subscription Method and Targets	Mgmt	For	For	For
10	Issuance Price and Pricing Basis	Mgmt	For	For	For
11	Size of Issuance	Mgmt	For	For	For
12	Listing Market	Mgmt	For	For	For
13	Compensation and Performance Agreements	Mgmt	For	For	For
14	Lock-up Period	Mgmt	For	For	For
15	Reward Arrangement	Mgmt	For	For	For
16	Arrangement for Profits and Losses	Mgmt	For	For	For
17	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
18	Validity Period	Mgmt	For	For	For
19	Stock Type and Par Value	Mgmt	For	For	For
20	Issuance Method, Subscription Method and Targets	Mgmt	For	For	For
21	Issuance Price and Pricing Basis	Mgmt	For	For	For
22	Size of Issuance	Mgmt	For	For	For
23	Purpose of Raised Funds	Mgmt	For	For	For
24	Listing Market	Mgmt	For	For	For
25	Lock-up Period	Mgmt	For	For	For
26	Arrangement for Accumulated Retained Profits	Mgmt	For	For	For
27	Validity Period	Mgmt	For	For	For
28	Report Draft for Transactions	Mgmt	For	For	For
29	Conditional Agreement for Asset Purchase	Mgmt	For	For	For
30	Conditional Performance Agreement	Mgmt	For	For	For
31	Supplementary Agreement to Performance Agreement	Mgmt	For	For	For
32	Supplementary Agreement to Performance Agreement	Mgmt	For	For	For
33	Risk Warnings on Diluted Immediate Return	Mgmt	For	For	For
34	Board Authorization to	Mgmt	For	For	For

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35	Implement Asset Purchase Authority to Give Guarantees	Mgmt	For	For	For
Zhejiang Crystal Optech Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
002273	CINS Y98938106	03/23/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	For	For
2	Stock type and face value	Mgmt	For	For	For
3	Issuance method	Mgmt	For	For	For
4	Issuance object and subscription approach	Mgmt	For	For	For
5	Issuance amount	Mgmt	For	For	For
6	Issuance price and pricing method	Mgmt	For	For	For
7	Restricted period of issued shares	Mgmt	For	For	For
8	Listing Location	Mgmt	For	For	For
9	Amount and use of proceeds	Mgmt	For	For	For
10	Allocation plan of the cumulative undistributed profits prior to private placement	Mgmt	For	For	For
11	Validity of resolution	Mgmt	For	For	For
12	Approval of Preliminary Plan of Private Placement	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Report on Deposit and Use of Previously Raised Funds	Mgmt	For	For	For
15	Related Party Transactions in Private Placement	Mgmt	For	For	For
16	Approval of Share Subscription Agreements	Mgmt	For	For	For
17	Board Authorization to Implement Private Placement	Mgmt	For	For	For
18	2017-2019 Shareholder Returns Plan	Mgmt	For	For	For
19	Indemnification	Mgmt	For	For	For

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Arrangements for  
Diluted Current  
Earnings

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Zhejiang Crystal Optech Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002273	CINS Y98938106	04/18/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Annual Report	Mgmt	For	For	For	
5	Report on Use of Previously Raised Funds	Mgmt	For	For	For	
6	Supervisors' Fees	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Allocation of Profits/Dividends	Mgmt	For	For	For	

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Zhejiang Crystal Optech Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
002273	CINS Y98938106	05/24/2017	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Termination of Private Placement	Mgmt	For	For	For	
2	Eligibility for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For	
3	Type of Securities	Mgmt	For	For	For	
4	Size of Issuance	Mgmt	For	For	For	
5	Par Value and Issue Price	Mgmt	For	For	For	
6	Term of Bond	Mgmt	For	For	For	
7	Interest Rate	Mgmt	For	For	For	
8	Time and Method for Repayment of Interest	Mgmt	For	For	For	
9	Conversion Period	Mgmt	For	For	For	
10	Adjustment to Conversion Price	Mgmt	For	For	For	
11	Downward Adjustment to Conversion Price	Mgmt	For	For	For	
12	Determination of Number of Converted Shares	Mgmt	For	For	For	
13	Redemption Clauses	Mgmt	For	For	For	
14	Resale Clauses	Mgmt	For	For	For	
15	Attribution of Related Dividends for Conversion Years	Mgmt	For	For	For	
16	Targets and Method of	Mgmt	For	For	For	

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17	Issuance Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
18	Bondholders and Provisions on Bondholders Meetings	Mgmt	For	For	For
19	Purpose of Raised Fund	Mgmt	For	For	For
20	Guarantees	Mgmt	For	For	For
21	Depository of Raised Fund	Mgmt	For	For	For
22	Validity of Resolution	Mgmt	For	For	For
23	Preliminary Plan for Issuance of Convertible Corporate Bonds	Mgmt	For	For	For
24	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
25	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
26	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
27	Procedural Rules for Bondholders Meetings	Mgmt	For	For	For
28	Board Authorization to Implement Issuance of Convertible Corporate Bonds	Mgmt	For	For	For

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Zhejiang Crystal Optech Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002273	CINS Y98938106	10/27/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect YU Yuyan	Mgmt	For
			Vote Cast
			Against
			For/Against Mgmt
			Against

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Zhejiang Crystal Optech Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002273	CINS Y98938106	11/16/2016	Voted
Meeting Type	Country of Trade		
Special	China		
Issue No.	Description	Proponent	Mgmt Rec
1	Adoption of Employee Restricted Shares Plan	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For
2	Implementation and Appraisal Measures of Employee Restricted Shares Plan	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For
			Vote Cast
			For
			For/Against Mgmt
			For

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Zhejiang Crystal Optech Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
002273	CINS Y98938106	12/09/2016	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions: Purchase of Equipment	Mgmt	For	For	For
3	Amendments to Registered Capital and Articles of Association	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	04/24/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Capital Injection and Equity Transfer	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
002236	CINS Y988AP102	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	Abstain	Against
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors and Supervisors' Fees	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Foreign Exchange Transactions	Mgmt	For	For	For
10	Approval of Line of Credit and Financing	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Authority to Repurchase and Cancel Restricted Shares	Mgmt	For	For	For
13	Change in Registered Capital and Amendment to Articles	Mgmt	For	For	For

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14	Employee Stock Ownership Plan	Mgmt	For	Against	Against
15	Board Authorization to Implement Employee Stock Ownership Plan	Mgmt	For	Against	Against

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	05/22/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Public H Shares	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method of Issuance	Mgmt	For	Against	Against
4	Issuance Date	Mgmt	For	Against	Against
5	Issuing Volume	Mgmt	For	Against	Against
6	Pricing Method	Mgmt	For	Against	Against
7	Issuance Targets	Mgmt	For	Against	Against
8	Issuing Principle	Mgmt	For	Against	Against
9	Listing Place	Mgmt	For	Against	Against
10	Company's Conversion into Oversea Fund Raising Limited Liability Company	Mgmt	For	Against	Against
11	Plan on Use of Raised Fund	Mgmt	For	Against	Against
12	Valid Period of Issuance of Public H Shares	Mgmt	For	Against	Against
13	Board Authorization to Implement Issuance of Public H Shares	Mgmt	For	Against	Against
14	Arrangement for Accumulated Retained Profits Before the Public Offering	Mgmt	For	Against	Against
15	Elect HUANG Siying as Independent Director	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	Against	Against
17	Authority to Give Guarantees	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	07/05/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give	Mgmt	For	For	For



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2	Guarantees Authority to Issue Short-term Financing Bills	Mgmt	For	For	For
3	Board Authorization to Issue Short-term Financing Bills	Mgmt	For	For	For
4	Adjustment to Amount of Capital Increase	Mgmt	For	For	For
5	Joint Investment with a Controlling Shareholder	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	09/29/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For

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Zhejiang Dahua Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002236	CINS Y988AP102	12/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to Guarantee Scope	Mgmt	For	For	For

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Zhejiang Dian Diagnostics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	05/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Directors and Senior Managers' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Approval of Line of Credit and Authority to Give Guarantees	Mgmt	For	Against	Against
11	Related Party Transactions	Mgmt	For	For	For
12	Replenish Working Capital with	Mgmt	For	For	For

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Excessive Raised  
Funds

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Zhejiang Dian Diagnostics Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300244	CINS Y988BG101	12/06/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For
3	Change of Business Scope and Amendments to Articles	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For
5	Manner of Share Repurchase	Mgmt	For	For	For
6	Use of Shares	Mgmt	For	For	For
7	Price or Price Range, and Pricing Principle	Mgmt	For	For	For
8	Total Capital and Capital Source	Mgmt	For	For	For
9	Type, Size and Proportion of Shares	Mgmt	For	For	For
10	Period of Share Repurchase	Mgmt	For	For	For
11	Validity of Resolution	Mgmt	For	For	For
12	Board Authorization to Implement Share Repurchase Plan	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	04/17/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against
2	Amendments to Management System for Raised Funds	Mgmt	For	Abstain	Against
3	Amendments to Procedural Rules: Supervisory Board Meetings	Mgmt	For	Abstain	Against
4	Approval of Line of Credit	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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300133	CINS Y988B8109	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Audit Report	Mgmt	For	For	For
6	Shareholder Returns Plan	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors', Supervisors', and Senior Executives' Fees	Mgmt	For	For	For
9	Provision for Asset Depreciation	Mgmt	For	For	For
10	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	Abstain	Against
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	06/01/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Qualification and Range of Participants	Mgmt	For	For	For
2	Source, Amount and Allocation of Stock Options and Restricted Shares	Mgmt	For	For	For
3	Validity, Grant Date, Lock-Up Period, Unlocking Conditions and Restricted Sale Period	Mgmt	For	For	For
4	Exercise/Grant price and Their Determination Methods	Mgmt	For	For	For
5	Eligibility and Exercise Conditions for Participants of Stock Options, and Grant and Unlocking Conditions for Restricted Shares	Mgmt	For	For	For
6	Adjustment Methods and Procedures	Mgmt	For	For	For
7	Accounting Treatments	Mgmt	For	For	For
8	Implementation	Mgmt	For	For	For

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9	Procedures Powers and Obligation of Participants	Mgmt	For	For	For
10	Solutions to Changes in the Company and Participants	Mgmt	For	For	For
11	Assessment Measures of Stock Incentive Plans	Mgmt	For	For	For
12	Board Authorization to Implement Employee Stock Incentive Plans	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	10/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	For	For
2	Amendments to Use of Proceeds	Mgmt	For	For	For

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Zhejiang Huace Film and TV Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300133	CINS Y988B8109	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Equity	Mgmt	For	For	For

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Zhejiang NHU Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	01/11/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Private Placement	Mgmt	For	Against	Against
2	Stock Type and Par Value	Mgmt	For	Against	Against
3	Method and Date of Issuance	Mgmt	For	Against	Against
4	Pricing Base Date, Issuing Price and Pricing Method	Mgmt	For	Against	Against
5	Issuance Targets and Subscription Method	Mgmt	For	Against	Against
6	Size of Issuance	Mgmt	For	Against	Against
7	Lock-up Period	Mgmt	For	Against	Against
8	Volume and Purpose of Raised Funds	Mgmt	For	Against	Against

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9	Arrangement for Accumulated Retained Profits Before Issuance	Mgmt	For	Against	Against
10	Listing Arrangement	Mgmt	For	Against	Against
11	Validity Period	Mgmt	For	Against	Against
12	Pre-plan for Private Placement	Mgmt	For	Against	Against
13	Feasibility Report on Use of Proceeds	Mgmt	For	Against	Against
14	Waiver of Report on Previously Raised Funds	Mgmt	For	Against	Against
15	Shareholder Returns Plan	Mgmt	For	For	For
16	Approval of Dilution Measures for Share Issuance	Mgmt	For	Against	Against
17	Approval of Commitments of Management for Dilution Measures	Mgmt	For	Against	Against
18	Amendments to Articles	Mgmt	For	Abstain	Against
19	Board Authorization to Implement Private Placement	Mgmt	For	Against	Against
20	Amendments to Procedural Rules: Raised Fund Management System	Mgmt	For	Abstain	Against
21	Amendments to Procedural Rules: Shareholder Meetings	Mgmt	For	Abstain	Against

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Zhejiang NHU Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002001	CINS Y98910105	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Supervisors' Report	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For

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Zhejiang Transfar Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002010	CINS Y98924106	01/25/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Amendments to Investment Project	Mgmt	For	For	For

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### Implementation Parties

Zhejiang Transfar Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
002010	CINS Y98924106	11/04/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Change of Company Name, Stock Abbreviation and Expansion of Business Scope	Mgmt	For	For	For	
2	Amendments to Articles	Mgmt	For	For	For	
Zhejiang Wanma Cable Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002276	CINS Y9893H106	03/28/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Extension of Validity of Resolution for Private Placement	Mgmt	For	For	For	
2	Extension of Validity of Board Authorization for Private Placement	Mgmt	For	For	For	
Zhejiang Wanma Cable Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002276	CINS Y9893H106	05/18/2017		Voted		
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Directors' Report	Mgmt	For	For	For	
2	Supervisors' Report	Mgmt	For	For	For	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Annual Report	Mgmt	For	For	For	
6	2017 Financing from Banks	Mgmt	For	For	For	
7	Authority to Give Guarantees	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Cash Management with Idle Funds	Mgmt	For	For	For	
Zhejiang Wanma Cable Co., Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
002276	CINS Y9893H106	08/26/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Elect HE Ruoxu	Mgmt	For	For	For
2	Elect YAO Weiguo	Mgmt	For	For	For
3	Elect ZHANG Danfeng	Mgmt	For	For	For
4	Elect WANG Zhenyu	Mgmt	For	For	For
5	Elect SHEN Weikang	Mgmt	For	For	For
6	Elect HE Sunyi	Mgmt	For	For	For
7	Elect DU Liekang	Mgmt	For	For	For
8	Elect YAN Mengkun	Mgmt	For	For	For
9	Elect ZOU Jun	Mgmt	For	For	For
10	Elect LIU Jinhua	Mgmt	For	Against	Against
11	Elect ZHANG Yichun	Mgmt	For	For	For

Zhejiang Wanma Cable Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
002276	CINS Y9893H106	11/02/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Establishment of New Company and Its Vertical Integration	Mgmt	For	For	For

Zhejiang Yasha Decoration Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
002375	CINS Y9893W103	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture of Zhejiang Yasha Landscape Garden Engineering	Mgmt	For	For	For
2	Change of Registered Capital Due to Right Exercising	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules: Decision-Making System for Related Party Transaction	Mgmt	For	Abstain	Against
5	Amendments to Procedural Rules: External Guarantee Management System	Mgmt	For	Abstain	Against

Zhuhai Hokai Medical Instruments Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	01/04/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	01/19/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Guarantee for Sub-leasing Business	Mgmt	For	Against	Against
2	Guarantee for Line of Credit	Mgmt	For	Against	Against

Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	03/16/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	04/10/2017	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For

Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors Report	Mgmt	For	For	For
3	Supervisors Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of Line of Credit and Financing	Mgmt	For	For	For
10	Shareholder Return Plan	Mgmt	For	For	For
11	Authority to Give Guarantees for Line of Credit from Ping'an Bank	Mgmt	For	Against	Against
12	Authority to Give Guarantees for Line of Credit from Bank	Mgmt	For	Against	Against



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of  
Changsha

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Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	07/15/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Give Guarantees	Mgmt	For	Against	Against

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Zhuhai Hokai Medical Instruments Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	09/19/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
2	Eligibility for Non-public Offering of Corporate Bonds	Mgmt	For	For	For
3	Issuance Size	Mgmt	For	For	For
4	Method of Issuance	Mgmt	For	For	For
5	Par Value and Issuance Price	Mgmt	For	For	For
6	Bond Type and Bond Duration	Mgmt	For	For	For
7	Interest Rate and Determination Method	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Issuance Targets and Arrangement for Placement to Existing Shareholders	Mgmt	For	For	For
10	Guarantee Arrangement	Mgmt	For	For	For
11	Transfer Arrangement	Mgmt	For	For	For
12	Repayment Guarantee Measures	Mgmt	For	For	For
13	Valid Period of Resolution	Mgmt	For	For	For
14	Board Authorization to Implement Debt Issuance	Mgmt	For	For	For
15	Authority to Give Guarantees	Mgmt	For	Against	Against
16	Asset Securitization Business	Mgmt	For	For	For
17	Authority to Give Guarantees For Asset Securitization Business	Mgmt	For	Against	Against
18	Elect HAO Zhenxi	Mgmt	For	For	For
19	Elect CAI Mengke	Mgmt	For	For	For
20	Elect YAO Jiali	Mgmt	For	For	For
21	Elect SHI Zhuangping	Mgmt	For	For	For

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22	Elect ZHANG Hongyu	Mgmt	For	For	For
23	Elect WU Qiyao	Mgmt	For	For	For
24	Elect LIU Xingxiang as Independent Director	Mgmt	For	For	For
25	Elect XU Yanjun as Independent Director	Mgmt	For	For	For
26	Elect SU Qingwei as Independent Director	Mgmt	For	For	For
27	Elect GONG Suming	Mgmt	For	For	For
28	Elect LIU Zhijian	Mgmt	For	Against	Against

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### Zhuhai Hokai Medical Instruments Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	11/04/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Give Guarantees to Zhuhai Hengyuan Finance Leasing Co., Ltd.	Mgmt	For	Against	Against
2	Authority to Give Guarantees to Zhongshan Hokai Medical Technology Co., Ltd.	Mgmt	For	Against	Against

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### Zhuhai Hokai Medical Instruments Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
300273	CINS Y9895Q104	12/09/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Authority to Give Guarantees	Mgmt	For	Against	Against

Fund Name : VanEck Vectors Coal ETF

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### Aurizon Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AZJ	CINS Q0695Q104	10/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Russell Caplan	Mgmt	For	For	For
3	Elect Michael Fraser	Mgmt	For	For	For
4	Elect Kate Vidgen	Mgmt	For	For	For
5	REMUNERATION REPORT	Mgmt	For	For	For

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### Bogdanka PDA SA

Ticker	Security ID:	Meeting Date	Meeting Status
LWB	CINS X5152C102	03/07/2017	Voted
Meeting Type	Country of Trade		
Special	Poland		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Shareholder Proposal Regarding Amendments to Meeting Regulations	ShrHoldr	N/A	For	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
10	Changes in Supervisory Board Composition	Mgmt	For	Abstain	Against
11	Transaction of Other Business	Mgmt	For	Against	Against
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Bogdanka PDA SA

Ticker Security ID: Meeting Date Meeting Status  
LWB CINS X5152C102 08/17/2016 Voted

Meeting Type Country of Trade  
Special Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A
7	Shareholder Proposal Regarding Amendments to Meeting Regulations	ShrHoldr	N/A	For	N/A
8	Shareholder Proposal Regarding Supervisory Board Members' Fees	ShrHoldr	N/A	For	N/A
9	Transaction of Other Business	Mgmt	For	Against	Against
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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China Coal Energy Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1898	CINS Y1434L100	06/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Supervisors' Report	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	2017 Capital Investment Budget	Mgmt	For	For	For	
7	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	
8	Directors' and Supervisors' Fees	Mgmt	For	For	For	
9	Revision of Annual Caps (2015 Coal Supply Framework Agreement)	Mgmt	For	For	For	
10	2018 Coal Supply Framework Agreement	Mgmt	For	For	For	
11	2018 Integrated Materials and Services Mutual Provision Framework Agreement	Mgmt	For	For	For	
12	2018 Project Design, Construction and General Contracting Services Framework Agreement	Mgmt	For	For	For	
13	2018 Financial Services Framework Agreement	Mgmt	For	For	For	
14	Elect ZHANG Chengjie	Mgmt	For	For	For	
15	Elect LEUNG Chong Shun	Mgmt	For	For	For	
16	Elect DU Ji'an	Mgmt	For	For	For	
17	Elect WANG Wenzhang	Mgmt	For	Against	Against	

China Shenhua Energy Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1088	CINS Y1504C113	06/23/2017	Voted			
Meeting Type	Country of Trade					
Annual	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Directors' Report	Mgmt	For	For	For	
4	Supervisors' Report	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	

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6	Allocation of Final Dividend	Mgmt	For	For	For
7	Allocation of Special Dividend	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Debt Instruments	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Elect LING Wen	Mgmt	For	For	For
13	Elect HAN Jianguo	Mgmt	For	For	For
14	Elect LI Dong	Mgmt	For	For	For
15	Elect ZHAO Jibin	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Elect Maria TAM Wai Chu	Mgmt	For	Against	Against
18	Elect JIANG Bo	Mgmt	For	For	For
19	Elect Christina ZHONG Yingjie	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Elect ZHAI Richeng	Mgmt	For	For	For
22	Elect ZHOU Dayu	Mgmt	For	Against	Against
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Cloud Peak Energy Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CLD	CUSIP 18911Q102	05/10/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect William T. Fox III	Mgmt	For	For	For	
2	Elect Robert C. Skaggs, Jr.	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
6	Amendment to the 2009 Long-Term Incentive Plan	Mgmt	For	For	For	
7	Re-approval of the Material Terms of the Amended Long-Term Incentive Plan	Mgmt	For	For	For	
8	Ratification of Non-Employee Director Maximum Award Limitations	Mgmt	For	For	For	

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CONSOL Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CNX	CUSIP 20854P109	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Alvin R. Carpenter	Mgmt	For	For	For
1.2	Elect Palmer Clarkson	Mgmt	For	For	For
1.3	Elect William E. Davis	Mgmt	For	For	For
1.4	Elect Nicholas J. Deluliis	Mgmt	For	For	For
1.5	Elect Maureen Lally-Green	Mgmt	For	For	For
1.6	Elect Bernard Lanigan, Jr.	Mgmt	For	For	For
1.7	Elect John T. Mills	Mgmt	For	For	For
1.8	Elect Joseph Platt	Mgmt	For	For	For
1.9	Elect William P. Powell	Mgmt	For	For	For
1.10	Elect Edwin S. Roberson	Mgmt	For	For	For
1.11	Elect William N. Thorndike, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Corporate Political Spending Report	ShrHoldr	Against	For	Against

Delta Dunia Makmur Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
DOID	CINS Y2036T103	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Election of Directors (Slate)	Mgmt	For	Against	Against
7	Authority to Increase Issued Shares Resulting from the Exercising of Shares under the MESOP	Mgmt	For	For	For

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Exxaro Resources Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	05/25/2017		Voted	
EXX	CINS S26949107	Country of Trade		South Africa	
Meeting Type		Country of Trade		South Africa	
Annual		Country of Trade		South Africa	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Riaan Koppeschaar	Mgmt	For	For	For
2	Elect Ras Myburgh	Mgmt	For	For	For
3	Elect Peet Snyders	Mgmt	For	For	For
4	Re-elect Constantinus Fauconnier	Mgmt	For	For	For
5	Re-elect Dalikhaya Zihlangu	Mgmt	For	For	For
6	Elect Audit Committee Member (Constantinus Fauconnier)	Mgmt	For	For	For
7	Elect Audit Committee Member (Vuyisa Nkonyeni)	Mgmt	For	Against	Against
8	Elect Audit Committee Member (Jeffrey van Rooyen)	Mgmt	For	For	For
9	Elect Social and Ethics Committee Member (Salukazi Dakile-Hlongwane)	Mgmt	For	For	For
10	Elect Social and Ethics Committee Member (Constantinus Fauconnier)	Mgmt	For	For	For
11	Elect Social and Ethics Committee Member (Ras Myburgh)	Mgmt	For	For	For
12	Elect Social and Ethics Committee Member (Fazel Randerera)	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authorisation of Legal Formalities	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Approve Financial Assistance (Section 44)	Mgmt	For	For	For
19	Approve Financial Assistance (Section 45)	Mgmt	For	For	For

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Exxaro Resources Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	12/30/2016		Voted	
EXX	CINS S26949107	Country of Trade		South Africa	
Meeting Type		Country of Trade		South Africa	
Ordinary		Country of Trade		South Africa	
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Specific Authority to Repurchase Shares	Mgmt	For	For	For
2	Revocation of Proposal 1	Mgmt	For	For	For
3	Authorisation of Legal Formalities	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	01/12/2017	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	ELECTION OF SCRUTINY COMMISSION	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	For	N/A
9	Coverage of Meeting Costs	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Presentation of Supervisory Board	Mgmt	For	For	For



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	Report (Accounts and Reports; Allocation of Profits)				
9	Presentation of Supervisory Board Report (Consolidated)	Mgmt	For	For	For
10	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For
11	Presentation of Financial Statements	Mgmt	For	For	For
12	Presentation of Management Board Report	Mgmt	For	For	For
13	Presentation of Report on Public Administration Payments	Mgmt	For	For	For
14	Presentation of Management Board Motion on Allocation of Profits	Mgmt	For	For	For
15	Presentation of Management Board Report on Other Comprehensive Income	Mgmt	For	For	For
16	Financial Statements	Mgmt	For	For	For
17	Management Board Report	Mgmt	For	For	For
18	Report on Public Administration Payments	Mgmt	For	For	For
19	Allocation of Profits/Dividends	Mgmt	For	For	For
20	Settlement of Income	Mgmt	For	For	For
21	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
22	Presentation of Management Board Report (Consolidated)	Mgmt	For	For	For
23	Financial Statements (Consolidated)	Mgmt	For	For	For
24	Management Board Report (Consolidated)	Mgmt	For	For	For
25	Ratification of Management Board Acts	Mgmt	For	For	For
26	Ratification of Supervisory Board Acts	Mgmt	For	For	For
27	Shareholder Proposal Regarding Amendments to Management Board	ShrHoldr	N/A	Against	N/A

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28	Remuneration Policy Shareholder Proposal Regarding Amendments to Articles	ShrHolder	N/A	Abstain	N/A
29	Shareholder Proposal Regarding Disposal of Non-Current Assets	ShrHolder	N/A	Abstain	N/A
30	Shareholder Proposal Regarding Concluding Services Agreements	ShrHolder	N/A	Abstain	N/A
31	Shareholder Proposal Regarding Concluding Agreements on Donations, Discharge of Debt and Similar	ShrHolder	N/A	Abstain	N/A
32	Shareholder Proposal Regarding Principles and Procedures for Non-Current Assets Disposal	ShrHolder	N/A	Abstain	N/A
33	Shareholder Proposal Regarding Report on Expenses	ShrHolder	N/A	Abstain	N/A
34	Shareholder Proposal Regarding Requirements for Management Board Candidate	ShrHolder	N/A	Abstain	N/A
35	Shareholder Proposal Regarding Appointment of Management Board Member	ShrHolder	N/A	Abstain	N/A
36	Shareholder Proposal Regarding Fulfilment of Obligations on the State Assets Management	ShrHolder	N/A	Abstain	N/A
37	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
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Jastrzebska Spolka Weglowa SA					
Ticker	Security ID:	Meeting Date		Meeting Status	
JSW	CINS X4038D103	08/04/2016		Voted	
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Returning	Mgmt	For	For	For

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	Committee				
7	Agenda	Mgmt	For	For	For
8	Divestiture (SEJ S.A.)	Mgmt	For	Abstain	Against
9	Pledge Agreement	Mgmt	For	Abstain	Against
10	Divestiture (Victoria S.A.)	Mgmt	For	Abstain	Against
11	Pledge Agreement	Mgmt	For	Abstain	Against
12	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	09/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	ELECTION OF SCRUTINY COMMISSION	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Disposal of Fixed Assets	Mgmt	For	For	For
8	Approval to Waive Tender	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	10/13/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Scrutiny Commission	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Amendments to Authority to Issue Bonds	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status
JSW	CINS X4038D103	11/29/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Poland Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Disposal of Organised Part of Company	Mgmt	For	For	For
9	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
10	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Joy Global Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
JOY	CUSIP 481165108	10/19/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

Lubelski Wegiel Bogdanka SA

Ticker	Security ID:	Meeting Date	Meeting Status		
LWB	CINS X5152C102	06/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Presentation of Accounts and Reports	Mgmt	For	For	For
7	Presentation of	Mgmt	For	For	For

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Accounts and Reports (Consolidated)						
8	Presentation of Motion Regarding Allocation of Profits	Mgmt	For	For	For	
9	Presentation of Supervisory Board Report	Mgmt	For	For	For	
10	Financial Statements	Mgmt	For	For	For	
11	Management Board Report	Mgmt	For	For	For	
12	Financial Statements (Consolidated)	Mgmt	For	For	For	
13	Management Board Report (Consolidated)	Mgmt	For	For	For	
14	Ratification of Management Board Acts	Mgmt	For	For	For	
15	Supervisory Board Report	Mgmt	For	For	For	
16	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
17	Allocation of Profits/Dividends	Mgmt	For	For	For	
18	Dividend Date and Payment Date	Mgmt	For	For	For	
19	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	For	For	For	
20	Shareholder Proposal Regarding New Text of Enea Group Code	ShrHoldr	For	For	For	
21	Shareholder Proposal Regarding Amendments to Supervisory Board Remuneration Regulations	ShrHoldr	For	For	For	
22	Transaction of Other Business	Mgmt	For	Against	Against	
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

National United Resources Holdings Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
0254	CINS Y1500P126	07/18/2016	Voted			
Meeting Type	Country of Trade					
Ordinary	Hong Kong					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Merger/Acquisition	Mgmt	For	For	For	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

PT Adaro Energy Tbk

Ticker	Security ID:	Meeting Date	Meeting Status			
ADRO	CINS Y7087B109	04/26/2017	Voted			
Meeting Type	Country of Trade					

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Annual Issue No.	Description	Indonesia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PT Bumi Resources

Ticker	Security ID:	Meeting Date	Meeting Status		
BUMI	CINS Y7122M110	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against

PT Bumi Resources

Ticker	Security ID:	Meeting Date	Meeting Status		
BUMI	CINS Y7122M110	06/16/2017	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Management and Employee Stock Option Program ("MESOP")	Mgmt	For	Against	Against
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PT Indo Tambangraya Megah Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ITMG	CINS Y71244100	03/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Directors' and Commissioners'	Mgmt	For	For	For

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5	Fees Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
6	Use of Proceed from IPO	Mgmt	For	For	For
<hr/>					
Semirara Mining and Power Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
SCC	CINS Y7628G112	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Philippines				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Call to Order and Proof of Notice	Mgmt	For	For	For
3	Determination of Quorum	Mgmt	For	For	For
4	Minutes	Mgmt	For	For	For
5	Management Report	Mgmt	For	For	For
6	Ratification of Board and Management Acts	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Scrip Dividend	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect Isidro A. Consunji	Mgmt	For	For	For
11	Elect Victor A. Consunji	Mgmt	For	Against	Against
12	Elect Jorge A. Consunji	Mgmt	For	For	For
13	Elect Cesar A. Buenaventura	Mgmt	For	For	For
14	Elect Herbert M. Consunji	Mgmt	For	Against	Against
15	Elect Maria Cristina C. Gotianun	Mgmt	For	For	For
16	Elect Edwina C. Laperal	Mgmt	For	Against	Against
17	Elect Josefa Consuelo C. Reyes	Mgmt	For	For	For
18	Elect Luz Consuelo A. Consunji	Mgmt	For	For	For
19	Elect Rogelio M. Murga	Mgmt	For	Against	Against
20	Elect Honorio Reyes-Lao	Mgmt	For	For	For
21	ADJOURNMENT	Mgmt	For	For	For
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Shougang Fushan Resources Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0639	CINS Y7760F104	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Ding Rucai	Mgmt	For	For	For
6	Elect CHEN Zhaoqiang	Mgmt	For	For	For

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7	Elect DONG Yansheng	Mgmt	For	For	For
8	Elect CHAN Pat Lam	Mgmt	For	For	For
9	Elect Japhet Sebastian Law	Mgmt	For	Against	Against
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

SunCoke Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SXC	CUSIP 86722A103	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter B. Hamilton	Mgmt	For	For	For
2	Elect James E. Sweetnam	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Tambang Batubara Bukit Asam Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
PTBA	CINS Y8520P101	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Partnership and Community Development Program Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Implementation of New Regulation	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Against	Against
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

Teck Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
TECK.B	CUSIP 878742204	04/26/2017	Voted
Meeting Type	Country of Trade		



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Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mayank M. Ashar	Mgmt	For	For	For
1.2	Elect Quan Chong	Mgmt	For	For	For
1.3	Elect Laura L. Dottori-Attanasio	Mgmt	For	For	For
1.4	Elect Edward C. Dowling	Mgmt	For	For	For
1.5	Elect Eiichi Fukuda	Mgmt	For	For	For
1.6	Elect Norman B. Keevil	Mgmt	For	For	For
1.7	Elect Norman B. Keevil III	Mgmt	For	For	For
1.8	Elect Takeshi Kubota	Mgmt	For	Withhold	Against
1.9	Elect Donald R. Lindsay	Mgmt	For	For	For
1.10	Elect Tracey L. McVicar	Mgmt	For	For	For
1.11	Elect Kenneth W. Pickering	Mgmt	For	For	For
1.12	Elect Una M. Power	Mgmt	For	For	For
1.13	Elect Warren S.R. Seyffert	Mgmt	For	For	For
1.14	Elect Timothy R. Snider	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

United Tractors

Ticker	Security ID:	Meeting Date	Meeting Status		
UNTR	CINS Y7146Y140	04/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners (Slate)	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Washington H. Soul Pattinson & Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOL	CINS Q85717108	12/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Increase NEDs' Fees Cap	Mgmt	For	For	For
4	Re-Elect Robert D. Millner	Mgmt	For	Against	Against
5	Equity Grant (MD Todd Barlow)	Mgmt	For	For	For

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6 Equity Grant (Finance Mgmt For For For  
Director Melinda  
Roderick)

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Westmoreland Coal Company

Ticker	Security ID:	Meeting Date	Meeting Status
WLB	CUSIP 960878106	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect Kevin A. Paprzycki	Mgmt	For	For	For
1.2	Elect Gail E. Hamilton	Mgmt	For	For	For
1.3	Elect Michael G. Hutchinson	Mgmt	For	For	For
1.4	Elect Robert C. Flexon	Mgmt	For	For	For
1.5	Elect Craig R. Mackus	Mgmt	For	For	For
1.6	Elect Jan B. Packwood	Mgmt	For	For	For
1.7	Elect Terry J. Bachynski	Mgmt	For	For	For
1.8	Elect Robert C. Scharp	Mgmt	For	For	For
1.9	Elect Jeffrey S. Stein	Mgmt	For	For	For
1.10	Elect Robert A. Tinstman	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the 2014 Equity Incentive Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Westshore Terminals Investment Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
WTE	CINS 96145A200	06/20/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect William W. Stinson	Mgmt	For	For	For
3	Elect M. Dallas H. Ross	Mgmt	For	Against	Against
4	Elect Michael J. Korenberg	Mgmt	For	Against	Against
5	Elect Brian Canfield	Mgmt	For	For	For
6	Elect Doug Souter	Mgmt	For	For	For
7	Elect Glen Clark	Mgmt	For	For	For
8	Elect H. Clark Hollands	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Whitehaven Coal Limited

Ticker	Security ID:	Meeting Date	Meeting Status
WHC	CINS Q97664108	10/27/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	For	For
3	Equity Grant (MD/CEO Paul Flynn)	Mgmt	For	For	For
4	Re-elect Raymond Zage	Mgmt	For	For	For
5	Re-elect Christine F. McLoughlin	Mgmt	For	For	For

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1171	CINS Y97417102	03/10/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Provision of Loans to Yancoal International (Holding) Co., Ltd.	Mgmt	For	For	For
4	Extension of Repayment Date of Outstanding Loans	Mgmt	For	For	For
5	Appointment of Overseas Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect KONG Xiangguo	Mgmt	For	For	For
7	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1171	CINS Y97417102	03/10/2017	Voted
Meeting Type	Country of Trade		
Other	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1171	CINS Y97417102	06/29/2017	Voted
Meeting Type	Country of Trade		
Annual	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Liability Insurance	Mgmt	For	For	For
9	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Acquisition	Mgmt	For	For	For
11	Finance Service Agreement	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
26	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
27	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
29	Authority to Give Guarantees	Mgmt	For	For	For
30	Approval of Domestic and Overseas Financing Businesses	Mgmt	For	For	For
31	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
32	Authority to H Repurchase Shares	Mgmt	For	For	For
33	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
34	Elect LI Xiyong	Mgmt	For	For	For
35	Elect LI Wei	Mgmt	For	For	For
36	Elect WU Xiangqian	Mgmt	For	For	For
37	Elect WU Yuxiang	Mgmt	For	For	For
38	Elect ZHAO Qingchun	Mgmt	For	For	For
39	Elect GUO Dechun	Mgmt	For	For	For
40	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
41	Elect KONG Xiangguo	Mgmt	For	For	For
42	Elect JIA Shaohua	Mgmt	For	For	For
43	Elect POON Chiu Kwok	Mgmt	For	Against	Against
44	Elect QI Anbang	Mgmt	For	For	For
45	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
46	Elect GU Shisheng as Supervisor	Mgmt	For	For	For
47	Elect ZHOU Hong as	Mgmt	For	Against	Against

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48	Supervisor Elect MENG Qingjian as Supervisor	Mgmt	For	For	For
49	Supervisor Elect ZHANG Ning as Supervisor	Mgmt	For	Against	Against
50	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	06/29/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Authority to Repurchase H Shares	Mgmt	For	For	For

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CUSIP 984846105	08/19/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Issuance of A Shares	Mgmt	For	For	For
2	Class and Nominal Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Issue Price and Pricing Principle	Mgmt	For	For	For
5	Issue Size	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
9	Validity Period For Resolution	Mgmt	For	For	For
10	Place of Listing	Mgmt	For	For	For
11	Method of Subscription	Mgmt	For	For	For
12	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
13	Non-Public Issuance of	Mgmt	For	For	For

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	A Shares Plan				
14	Board Authorization To Implement Non-Public Issuance of A Shares	Mgmt	For	For	For
15	Dilution of Immediate Return and Remedial Measures	Mgmt	For	For	For
16	Undertaking of Controlling Shareholder, Directors and Senior Management Regarding the Recovery of Immediate Return	Mgmt	For	For	For
17	Shareholders Return	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Class and Nominal Value	Mgmt	For	For	For
20	Method and Time of Issuance	Mgmt	For	For	For
21	Issue Price and Pricing Principle	Mgmt	For	For	For
22	Issue Size	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Lock-up Period	Mgmt	For	For	For
25	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
26	Validity Period of Resolution	Mgmt	For	For	For
27	Listing Market	Mgmt	For	For	For
28	Method of Subscription	Mgmt	For	For	For
29	Plan For Private Placement	Mgmt	For	For	For

Fund Name : VanEck Vectors Egypt Index ETF

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Amer Group Holding

Ticker	Security ID:	Meeting Date	Meeting Status
AMER	CINS M0989W100	03/11/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Auditor's Report	Mgmt	For
4	Financial Statements	Mgmt	For
5	Allocation of Profits	Mgmt	For
6	Bonus Share Issuance	Mgmt	For
7	Ratification of Board Acts	Mgmt	For
8	Directors' Fees	Mgmt	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For
10	Charitable Donations	Mgmt	For
11	Property Rent	Mgmt	For
12	Transfer of Management	Mgmt	For

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13	Rights Netting Contracts (Total F. M.)	Mgmt	For	TNA	N/A
14	Netting Contracts (New Tropicana)	Mgmt	For	TNA	N/A
<hr/>					
Amer Group Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
AMER	CINS M0989W100	03/11/2017		Take No Action	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	TNA	N/A
3	Amendments to Articles 6 and 7	Mgmt	For	TNA	N/A
4	Authorisation of Legal Formalities (Signing Amended Articles)	Mgmt	For	TNA	N/A
5	Authority to Issue Global Depository Receipts (GDRs)	Mgmt	For	TNA	N/A
6	Authorisation of Legal Formalities (GDRs Issuance)	Mgmt	For	TNA	N/A
7	Amendments to Article 19	Mgmt	For	TNA	N/A
<hr/>					
Arab Cotton Ginning					
Ticker	Security ID:	Meeting Date		Meeting Status	
ACGC	CINS M1359H103	10/02/2016		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
9	Netting Contracts	Mgmt	For	TNA	N/A
10	Charitable Donations	Mgmt	For	TNA	N/A
11	Election of Directors	Mgmt	For	TNA	N/A
<hr/>					
Arabian Food Industries Co					
Ticker	Security ID:	Meeting Date		Meeting Status	
DOMT	CINS M1376L103	04/23/2017		Take No Action	

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Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A

Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEY	CINS G2055Q105	03/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		Jersey			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory - Non-UK Issuer)	Mgmt	For	For	For
5	Elect Josef El-Raghy	Mgmt	For	For	For
6	Elect Andrew M. Pardey	Mgmt	For	For	For
7	Elect Gordon E. Haslam	Mgmt	For	Against	Against
8	Elect Trevor Schultz	Mgmt	For	Against	Against
9	Elect Mark R. Arnesen	Mgmt	For	For	For
10	Elect Mark Bankes	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For

Commercial International Bank of Egypt

Ticker	Security ID:	Meeting Date	Meeting Status
COMI	CINS 201712205	03/14/2017	Voted
Meeting Type		Country of Trade	



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Ordinary Issue No.	Description	Egypt Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Presentation of Directors' Report	Mgmt	For	For	For
3	Presentation of Auditor's Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of Profits/Dividends; Employee Profit-Sharing Plan	Mgmt	For	Abstain	Against
6	Ratification of Board Acts; Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	For	For
9	Presentation of Information on Committee Members' Fees	Mgmt	For	For	For
10	Elect Hisham Ezz Al Arab	Mgmt	For	For	For
11	Elect Medhat Hassanein	Mgmt	For	Against	Against
12	Elect Jawaaid Mirza	Mgmt	For	For	For
13	Elect Sherif Kamel	Mgmt	For	For	For
14	Elect Yasser Hashem	Mgmt	For	For	For
15	Elect Mark Richards	Mgmt	For	For	For
16	Elect Bijan Khorsowshahi	Mgmt	For	Against	Against
17	Related Party Transactions	Mgmt	For	Abstain	Against

Edita Food Industries

Ticker	Security ID:	Meeting Date	Meeting Status		
EFID	CINS 28106T209	03/28/2017	Voted		
Meeting Type	Country of Trade				
Mix	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Auditor's Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Netting Contracts	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	Abstain	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
10	Changes to the Board	Mgmt	For	Abstain	Against
11	Amendment to Article 4	Mgmt	For	Abstain	Against

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EFG-Hermes Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HRHO	CINS M3047P109	04/27/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts; Board Changes	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
10	Charitable Donations	Mgmt	For	TNA	N/A

Egypt Kuwait Holding Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
EKHO	CINS M3400B101	03/18/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Election of Directors	Mgmt	For	TNA	N/A

Elsewedy Electric Company SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
SWDY	CINS M398AL106	01/11/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Election of Directors	Mgmt	For	TNA	N/A

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Elsewedy Electric Company SAE

Ticker	Security ID:	Meeting Date	Meeting Status
SWDY	CINS M398AL106	05/04/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Auditor's Report	Mgmt	For
4	Financial Statements	Mgmt	For
5	Allocation of Profits/Dividends	Mgmt	For
6	Netting Contracts	Mgmt	For
7	Board Meetings Minutes	Mgmt	For
8	Ratification of Board Acts	Mgmt	For
9	Directors' Fees	Mgmt	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For
11	Charitable Donations	Mgmt	For
12	Election of Directors	Mgmt	For

Elsewedy Electric Company SAE

Ticker	Security ID:	Meeting Date	Meeting Status
SWDY	CINS M398AL106	05/04/2017	Take No Action
Meeting Type	Country of Trade		
Special	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Authority to Reduce Share Capital	Mgmt	For
3	Amendments to Articles	Mgmt	For

Emaar Misr for Development SAE

Ticker	Security ID:	Meeting Date	Meeting Status
EMFD	CINS M4R30T109	03/28/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Auditor's Report	Mgmt	For
4	Financial Statements	Mgmt	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For
6	Ratification of Board Acts	Mgmt	For
7	Directors' Fees	Mgmt	For
8	Charitable Donations	Mgmt	For

Emaar Misr for Development SAE

Ticker	Security ID:	Meeting Date	Meeting Status
EMFD	CINS M4R30T109	08/18/2016	Take No Action
Meeting Type	Country of Trade		
Special	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Cancel Shares and Reduce Share Capital	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRS	CINS M07095108	06/14/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Netting Contracts	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A

Ezz Steel Co SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRS	CINS M07095108	06/14/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Related Party Transactions	Mgmt	For	TNA	N/A

Global Telecom Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
GTHE	CINS 37953P202	02/06/2017	Voted		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Delisting of Global Depository Receipts	Mgmt	For	For	For

Global Telecom Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
GTHE	CUSIP 37953P	03/19/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Reduce Share Capital	Mgmt	For	For	For

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2	Amendments to Articles	Mgmt	For	For	For
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Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS 37953P202	03/19/2017		Voted	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Reduce Share Capital	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CUSIP 37953P	03/29/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Reserves	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Auditor's Report	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
6	Ratification of Board Acts	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	Abstain	Against
<hr/>					
Global Telecom Holding					
Ticker	Security ID:	Meeting Date		Meeting Status	
GTHE	CINS 37953P202	03/29/2017		Voted	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Transfer of Reserves	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Auditor's Report	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
6	Ratification of Board Acts	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	Abstain	Against
8	Charitable Donations	Mgmt	For	Abstain	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Heliopolis Housing					
Ticker	Security ID:	Meeting Date		Meeting Status	
HELI	CINS M52987100	05/10/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Approve 2017-2018	Mgmt	For	TNA	N/A

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Budget						
3	Review Projects Under Implementation	Mgmt	For	TNA	N/A	
Heliopolis Housing						
Ticker	Security ID:	Meeting Date		Meeting Status		
HELI	CINS M52987100	10/27/2016		Take No Action		
Meeting Type		Country of Trade				
Ordinary		Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Directors' Report	Mgmt	For	TNA	N/A	
3	Auditor's Report	Mgmt	For	TNA	N/A	
4	Performance Report	Mgmt	For	TNA	N/A	
5	Financial Statements	Mgmt	For	TNA	N/A	
6	Employee Bonus	Mgmt	For	TNA	N/A	
7	Charitable Donations	Mgmt	For	TNA	N/A	
8	Ratification of Board Acts	Mgmt	For	TNA	N/A	
9	Elect Hani Al-Dib	Mgmt	For	TNA	N/A	
10	Review Projects Under Implementation	Mgmt	For	TNA	N/A	

Heliopolis Housing						
Ticker	Security ID:	Meeting Date		Meeting Status		
HELI	CINS M52987100	10/27/2016		Take No Action		
Meeting Type		Country of Trade				
Special		Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Stock Split	Mgmt	For	TNA	N/A	
3	Amendments to Articles	Mgmt	For	TNA	N/A	

Integrated Diagnostics Holdings Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
IDHC	CINS G4836Q107	05/22/2017		Voted		
Meeting Type		Country of Trade				
Annual		Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Anthony Tudor St. John	Mgmt	For	For	For	
3	Elect Hend El-Sherbini	Mgmt	For	For	For	
4	Elect Richard Henry Phillips	Mgmt	For	For	For	
5	Elect James Patrick Nolan	Mgmt	For	For	For	
6	Elect Dan Johan Wilmar Olsson	Mgmt	For	For	For	
7	Elect Hussein Hassan Choucri	Mgmt	For	For	For	
8	Appointment of Auditor Authority to Set Auditor's Fees	Mgmt	For	For	For	
9	Authority to Set Auditor's Fees	Mgmt	For	For	For	
10	Allocation of Profits/Dividends	Mgmt	For	For	For	
11	Authority to Issue	Mgmt	For	For	For	

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	Shares w/ Preemptive Rights				
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
Juhayna Food Industries					
Ticker	Security ID:	Meeting Date		Meeting Status	
JUFO	CINS M62324104	04/05/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
<hr/>					
Juhayna Food Industries					
Ticker	Security ID:	Meeting Date		Meeting Status	
JUFO	CINS M62324104	04/05/2017		Take No Action	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Amendments to Article 4	Mgmt	For	TNA	N/A
<hr/>					
Medinet Nasr for Housing & Development					
Ticker	Security ID:	Meeting Date		Meeting Status	
MNHD	CINS M6879R101	03/29/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Charitable Donations	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A
10	Amendments to Remuneration Plan	Mgmt	For	TNA	N/A
11	Netting Contracts	Mgmt	For	TNA	N/A
12	Election of Directors	Mgmt	For	TNA	N/A

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13	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
<hr/>					
Medinet Nasr for Housing & Development					
Ticker	Security ID:	Meeting Date		Meeting Status	
MNHD	CINS M6879R101	03/29/2017		Take No Action	
Meeting Type		Country of Trade			
Special		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Global Depository Receipts (GDRs)	Mgmt	For	TNA	N/A
3	Increase in Authorised Capital; Authority to Increase Capital for Bonus Share Issuance	Mgmt	For	TNA	N/A
4	Amendments to Articles	Mgmt	For	TNA	N/A
5	Termination of Employee Incentive Scheme	Mgmt	For	TNA	N/A
6	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
<hr/>					
Orascom Telecom Media and Technology Holding SAE					
Ticker	Security ID:	Meeting Date		Meeting Status	
OTMT	CINS M7525Q109	05/28/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Election of Directors	Mgmt	For	TNA	N/A
8	Directors' Fees	Mgmt	For	TNA	N/A
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
10	Adopt Board Decisions	Mgmt	For	TNA	N/A
11	Authority to Grant Loans and Give Guarantees; Netting Contracts	Mgmt	For	TNA	N/A
12	Charitable Donations	Mgmt	For	TNA	N/A
<hr/>					
Oriental Weavers Carpet Co.					
Ticker	Security ID:	Meeting Date		Meeting Status	
ORWE	CINS M7558V108	04/02/2017		Take No Action	
Meeting Type		Country of Trade			
Ordinary		Egypt			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A



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4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Ratification of Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A

Oriental Weavers Carpet Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
ORWE	CINS M7558V108	04/02/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Amendments to Articles	Mgmt	For	TNA	N/A

Palm Hills Developments SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	CINS M7778G105	02/26/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Charitable Donations	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Netting Contracts	Mgmt	For	TNA	N/A

Palm Hills Developments SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	CINS M7778G105	02/26/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Give Guarantees	Mgmt	For	TNA	N/A

Palm Hills Developments SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	CINS M7778G105	02/26/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A

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3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Charitable Donations	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Netting Contracts	Mgmt	For	TNA	N/A

Palm Hills Developments SAE

Ticker	Security ID:	Meeting Date	Meeting Status		
PHDC	CINS M7778G105	02/26/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Give Guarantees	Mgmt	For	TNA	N/A

Pioneers Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PIOH	CINS M7925C106	04/13/2017	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Global Depository Receipts (GDRs)	Mgmt	For	TNA	N/A

Pioneers Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PIOH	CINS M7925C106	04/13/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A
10	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Pioneers Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status
PIOH	CINS M7925C106	09/24/2016	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Authority to Issue Shares w/o Preemptive Rights (Employee Share Option Scheme)	Mgmt	For	TNA	N/A

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### Pioneers Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PIOH	CINS M7925C106	09/24/2016	Take No Action		
Meeting Type	Country of Trade				
Special	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Cancellation of Shares; Authority to Reduce Share Capital	Mgmt	For	TNA	N/A
3	Amendments to Articles 6 and 7	Mgmt	For	TNA	N/A
4	Increase in Authorised Capital (Employee Share Option Scheme)	Mgmt	For	TNA	N/A
5	Amendments to Articles 6 and 7	Mgmt	For	TNA	N/A

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### Qalaa Holdings S.A.E.

Ticker	Security ID:	Meeting Date	Meeting Status		
CCAP	CINS M24810117	06/14/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Financial Statements	Mgmt	For	TNA	N/A
4	Auditor's Report	Mgmt	For	TNA	N/A
5	Ratification of Board Acts	Mgmt	For	TNA	N/A
6	Changes to Board Composition	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
9	Charitable Donations	Mgmt	For	TNA	N/A

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### Sidi Kerir Petrochemicals Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SKPC	CINS M8411S100	04/05/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Egypt				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A

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3	Presentation of Auditors' Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Directors' Fees	Mgmt	For	TNA	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A

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### Sixth of October Development & Investment

Ticker	Security ID:	Meeting Date	Meeting Status
OCDI	CINS M84139100	03/20/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Auditor's Report	Mgmt	For
4	Financial Statements	Mgmt	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For
6	Netting Contracts	Mgmt	For
7	Directors' Fees	Mgmt	For
8	Charitable Donations	Mgmt	For
9	Ratification of Board Acts	Mgmt	For

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### Talaat Moustafa Group (TMG) Holding

Ticker	Security ID:	Meeting Date	Meeting Status
TMGH	CINS M8763H108	03/25/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Directors' Report	Mgmt	For
3	Auditors' Report	Mgmt	For
4	Financial Statements; Allocation of Profits/Dividends	Mgmt	For
5	Ratification of Board Acts	Mgmt	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For
7	Board Changes	Mgmt	For
8	Directors' Fees	Mgmt	For
9	Charitable Donations	Mgmt	For
10	Netting Contracts	Mgmt	For

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### Telecom Egypt

Ticker	Security ID:	Meeting Date	Meeting Status
ETEL	CINS M87886103	03/28/2017	Take No Action
Meeting Type	Country of Trade		
Ordinary	Egypt		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Auditor's Report	Mgmt	For	TNA	N/A
4	Financial Statements	Mgmt	For	TNA	N/A
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
6	Ratification of Board Acts	Mgmt	For	TNA	N/A
7	Changes to the Board	Mgmt	For	TNA	N/A
8	Charitable Donations	Mgmt	For	TNA	N/A
9	Directors' Fees	Mgmt	For	TNA	N/A
10	Netting Contracts	Mgmt	For	TNA	N/A
11	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A

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TransGlobe Energy Corporation

Ticker Security ID: Meeting Date Meeting Status  
TGL CINS 893662106 05/11/2017 Voted

Meeting Type Country of Trade  
Annual Canada

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Robert G. Jennings	Mgmt	For	For	For
4	Elect Ross G. Clarkson	Mgmt	For	For	For
5	Elect Matthew J. Brister	Mgmt	For	For	For
6	Elect David B. Cook	Mgmt	For	For	For
7	Elect Fred J. Dymont	Mgmt	For	For	For
8	Elect Lloyd W. Herrick	Mgmt	For	For	For
9	Elect Bob (G.R.) MacDougall	Mgmt	For	For	For
10	Elect Susan M. MacKenzie	Mgmt	For	For	For
11	Elect Steve W. Sinclair	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Fund Name : VanEck Vectors Emerging Markets High Yield Bond ETF

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Pacific Exploration & Production Corp

Ticker Security ID: Meeting Date Meeting Status  
PRBLF CUSIP 69480UAH0 08/17/2016 Take No Action

Meeting Type Country of Trade  
Special United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	APPROVAL OF THE PLAN RESOLUTION. IF YOU HAD ELECTED TO RECEIVE CASH PRIOR, YOU MUST ALSO VOTE FOR THE PLAN IN ORDER TO RECEIVE	Mgmt	For	TNA	N/A

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PAYMENT. HOLDERS  
WHO HAD SIGNED A  
JOINDER AGREEMENT  
PRIOR MUST ALSO VOTE  
FOR THE PLAN.  
PLEASE REFER TO THE  
EXPANDED MATERIALS  
FOR THIS EVENT FOR  
FURTHER  
DETAILS.

Fund Name : VanEck Vectors Environmental Services ETF

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ABM Industries Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
ABM	CUSIP 000957100	03/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Anthony G. Fernandes	Mgmt	For	For	For
2	Elect Thomas M. Gartland	Mgmt	For	For	For
3	Elect Winifred Markus Webb	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For	For	For

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Calgon Carbon Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CCC	CUSIP 129603106	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Randall S. Dearth	Mgmt	For	For	For
1.2	Elect John J. Paro	Mgmt	For	For	For
1.3	Elect Timothy G. Rupert	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Amendment to the 2008 Equity Incentive Plan	Mgmt	For	For	For

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Cantel Medical Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
CMN	CUSIP 138098108	01/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charles M. Diker	Mgmt	For	For	For

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2	Elect Alan R. Batkin	Mgmt	For	For	For
3	Elect Ann E. Berman	Mgmt	For	For	For
4	Elect Mark N. Diker	Mgmt	For	For	For
5	Elect Anthony B. Evnin	Mgmt	For	For	For
6	Elect Laura L. Forese	Mgmt	For	For	For
7	Elect George L. Fotiades	Mgmt	For	For	For
8	Elect Jorgen B. Hansen	Mgmt	For	For	For
9	Elect Ronnie Myers	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For

Casella Waste Systems, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CWST	CUSIP 147448104	06/06/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Joseph G. Doody	Mgmt	For
1.2	Elect Emily Nagle Green	Mgmt	For
1.3	Elect Gregory B. Peters	Mgmt	For
2	Advisory Vote on Executive Compensation	Mgmt	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For
4	Ratification of Auditor	Mgmt	For
			For
			For

Ceco Environmental Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
CECE	CUSIP 125141101	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Jason DeZwirek	Mgmt	For
1.2	Elect Dennis Sadlowski	Mgmt	For
1.3	Elect Eric Goldberg	Mgmt	For
1.4	Elect David B. Liner	Mgmt	For
1.5	Elect Claudio A. Mannarino	Mgmt	For
1.6	Elect Jonathan Pollack	Mgmt	For
1.7	Elect Seth Rudin	Mgmt	For
1.8	Elect Valerie G. Sachs	Mgmt	For
1.9	Elect Donald A. Wright	Mgmt	For
2	Ratification of Auditor	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For
4	Approval of the 2017 Equity and Incentive Compensation Plan	Mgmt	For
			For
			For
			For

Clarcor Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CLC	CUSIP 179895107	02/23/2017	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

Clean Harbors, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CLH	CUSIP 184496107	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Eugene Banucci	Mgmt	For	For	For
1.2	Elect Edward G. Galante	Mgmt	For	For	For
1.3	Elect Thomas J. Shields	Mgmt	For	For	For
1.4	Elect John R. Welch	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2014 Annual CEO Incentive Plan	Mgmt	For	For	For
5	Amendment to the Management Incentive Plan	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Covanta Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CVA	CUSIP 22282E102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David M. Barse	Mgmt	For	For	For
1.2	Elect Ronald J. Broglio	Mgmt	For	For	For
1.3	Elect Peter C. B. Bynoe	Mgmt	For	For	For
1.4	Elect Linda J. Fisher	Mgmt	For	For	For
1.5	Elect Joseph M. Holsten	Mgmt	For	For	For
1.6	Elect Stephen J. Jones	Mgmt	For	For	For
1.7	Elect Danielle Pletka	Mgmt	For	For	For
1.8	Elect Michael W. Ranger	Mgmt	For	For	For
1.9	Elect Robert S. Silberman	Mgmt	For	Withhold	Against
1.10	Elect Jean Smith	Mgmt	For	For	For
1.11	Elect Samuel Zell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For



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Darling Ingredients Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DAR	CUSIP 237266101	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randall C. Stuewe	Mgmt	For	For	For
2	Elect Charles Adair	Mgmt	For	For	For
3	Elect D. Eugene Ewing	Mgmt	For	For	For
4	Elect Linda A. Goodspeed	Mgmt	For	For	For
5	Elect Dirk Kloosterboer	Mgmt	For	For	For
6	Elect Mary R. Korby	Mgmt	For	For	For
7	Elect Cynthia Pharr Lee	Mgmt	For	For	For
8	Elect Charles Macaluso	Mgmt	For	Against	Against
9	Elect Gary W. Mize	Mgmt	For	For	For
10	Elect Michael Rescoe	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For	For	For

Donaldson Company, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DCI	CUSIP 257651109	11/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Hoffman	Mgmt	For	For	For
1.2	Elect Douglas A. Milroy	Mgmt	For	For	For
1.3	Elect Willard D. Oberton	Mgmt	For	For	For
1.4	Elect John P. Wiehoff	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Layne Christensen Company

Ticker	Security ID:	Meeting Date	Meeting Status		
LAYN	CUSIP 521050104	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David A.B. Brown	Mgmt	For	For	For
1.2	Elect Michael J. Caliel	Mgmt	For	For	For
1.3	Elect J. Samuel Butler	Mgmt	For	For	For
1.4	Elect Nelson Obus	Mgmt	For	For	For
1.5	Elect Robert Gilmore	Mgmt	For	For	For
1.6	Elect John T. Nesser III	Mgmt	For	For	For
1.7	Elect Alan P. Krusi	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2006 Equity Incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Newpark Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NR	CUSIP 651718504	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David C. Anderson	Mgmt	For	For	For
1.2	Elect Anthony J. Best	Mgmt	For	For	For
1.3	Elect G. Stephen Finley	Mgmt	For	For	For
1.4	Elect Paul L. Howes	Mgmt	For	For	For
1.5	Elect Roderick A. Larson	Mgmt	For	For	For
1.6	Elect Gary L. Warren	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2015 Employee Equity Incentive Plan	Mgmt	For	For	For
5	Amendment to the 2008 Employee Stock Purchase Program	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Republic Services, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RSG	CUSIP 760759100	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Manuel Kadre	Mgmt	For	For	For
2	Elect Tomago Collins	Mgmt	For	For	For
3	Elect William J. Flynn	Mgmt	For	For	For
4	Elect Thomas W. Handley	Mgmt	For	For	For
5	Elect Jennifer M Kirk	Mgmt	For	For	For
6	Elect Michael Larson	Mgmt	For	For	For
7	Elect Ramon A. Rodriguez	Mgmt	For	For	For
8	Elect Donald W. Slager	Mgmt	For	For	For
9	Elect John M. Trani	Mgmt	For	For	For
10	Elect Sandra M Volpe	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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13	Ratification of Auditor	Mgmt	For	For	For
<hr/>					
Schnitzer Steel Industries, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SCHN	CUSIP 806882106	01/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wayland R. Hicks	Mgmt	For	For	For
1.2	Elect Judith A. Johansen	Mgmt	For	For	For
1.3	Elect Tamara L. Lundgren	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
<hr/>					
Stericycle, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SRCL	CUSIP 858912108	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mark C. Miller	Mgmt	For	For	For
2	Elect Jack W. Schuler	Mgmt	For	Against	Against
3	Elect Charles A. Alutto	Mgmt	For	For	For
4	Elect Brian P. Anderson	Mgmt	For	For	For
5	Elect Lynn D. Bleil	Mgmt	For	For	For
6	Elect Thomas D. Brown	Mgmt	For	For	For
7	Elect Thomas F. Chen	Mgmt	For	For	For
8	Elect Robert S. Murley	Mgmt	For	For	For
9	Elect John Patience	Mgmt	For	For	For
10	Elect Mike S. Zafirovski	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to the Employee Stock Purchase Plan	Mgmt	For	For	For
15	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	For	Against

Steris Plc

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Ticker	Security ID:	Meeting Date	Meeting Status		
STE	CUSIP G84720104	08/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard C. Breeden	Mgmt	For	For	For
2	Elect Cynthia Feldmann	Mgmt	For	For	For
3	Elect Jacqueline B. Kosecoff	Mgmt	For	For	For
4	Elect David B. Lewis	Mgmt	For	For	For
5	Elect Sir Duncan K. Nichol	Mgmt	For	For	For
6	Elect Walter M Rosebrough, Jr.	Mgmt	For	For	For
7	Elect Mohsen M. Sohi	Mgmt	For	For	For
8	Elect Richard M. Steeves	Mgmt	For	For	For
9	Elect John P. Wareham	Mgmt	For	For	For
10	Elect Loyal W. Wilson	Mgmt	For	For	For
11	Elect Michael B. Wood	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Ratification of Statutory Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Directors' Remuneration Report (Advisory)	Mgmt	For	For	For
17	Directors' Remuneration Policy (Binding)	Mgmt	For	For	For
18	Senior Executive Management Incentive Compensation Plan	Mgmt	For	For	For
19	Amendment to the Long-Term Equity Incentive Plan	Mgmt	For	For	For
20	General Authority to Purchase Shares Off-Market	Mgmt	For	For	For
21	Authority to Purchase One Ordinary Share Off-Market	Mgmt	For	For	For
22	Elimination of Supermajority Voting Requirements	Mgmt	For	For	For
23	Ratification of Interim Dividend Payment and Release of Claims	Mgmt	For	For	For

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Tennant Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TNC	CUSIP 880345103	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carol S. Eicher	Mgmt	For	For	For
1.2	Elect Donal L. Mulligan	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Approval of the 2017 Stock Incentive Plan	Mgmt	For	For	For

Tenneco, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEN	CUSIP 880349105	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas C. Freyman	Mgmt	For	For	For
2	Elect Brian J. Kessler	Mgmt	For	For	For
3	Elect Dennis J. Letham	Mgmt	For	For	For
4	Elect James S. Metcalf	Mgmt	For	For	For
5	Elect Roger B. Porter	Mgmt	For	For	For
6	Elect David B. Price, Jr.	Mgmt	For	For	For
7	Elect Gregg M. Sherrill	Mgmt	For	For	For
8	Elect Paul T. Stecko	Mgmt	For	For	For
9	Elect Jane L. Warner	Mgmt	For	For	For
10	Elect Roger J. Wood	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Tetra Tech, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TTEK	CUSIP 88162G103	03/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dan L. Batrack	Mgmt	For	For	For
1.2	Elect Hugh M. Grant	Mgmt	For	For	For
1.3	Elect Patrick C. Haden	Mgmt	For	For	For
1.4	Elect J. Christopher Lewis	Mgmt	For	For	For
1.5	Elect Joanne M. Maguire	Mgmt	For	For	For
1.6	Elect Kimberly E. Ritrievi	Mgmt	For	For	For
1.7	Elect Albert E. Smith	Mgmt	For	For	For

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1.8	Elect J. Kenneth Thompson	Mgmt	For	For	For
1.9	Elect Kristen M. Volpi	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

US Ecology Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECOL	CUSIP 91732J102	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Joe F. Colvin	Mgmt	For	For	For
2	Elect Katina Dorton	Mgmt	For	For	For
3	Elect Jeffrey R. Feeler	Mgmt	For	For	For
4	Elect Daniel Fox	Mgmt	For	For	For
5	Elect Stephen A. Romano	Mgmt	For	For	For
6	Elect John T. Sahlberg	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Waste Connections Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
WCN	CUSIP 94106B101	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ronald J. Mittelstaedt	Mgmt	For	For	For
1.2	Elect Robert H. Davis	Mgmt	For	For	For
1.3	Elect Edward E. Gullet	Mgmt	For	For	For
1.4	Elect Michael W. Harlan	Mgmt	For	For	For
1.5	Elect Larry S. Hughes	Mgmt	For	For	For
1.6	Elect Susan Lee	Mgmt	For	For	For
1.7	Elect William J. Razzouk	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Stock Split	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Waste Management, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
WM	CUSIP 94106L109	05/12/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bradbury H. Anderson	Mgmt	For	For	For
2	Elect Frank M. Clark, Jr.	Mgmt	For	For	For
3	Elect James C Fish	Mgmt	For	For	For
4	Elect Andres R. Gluski	Mgmt	For	For	For
5	Elect Patrick W. Gross	Mgmt	For	For	For
6	Elect Victoria M. Holt	Mgmt	For	For	For
7	Elect Kathleen M. Mazzarella	Mgmt	For	For	For
8	Elect John C. Pope	Mgmt	For	For	For
9	Elect Thomas H. Weidemeyer	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For

Fund Name : VanEck Vectors Gaming ETF

Amaya Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AYA	CUSIP 02314M108	06/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Divyesh Gadhia	Mgmt	For	For	For
1.2	Elect Harlan W. Goodson	Mgmt	For	For	For
1.3	Elect Alfred F. Hurley, Jr.	Mgmt	For	For	For
1.4	Elect David A. Lazzarato	Mgmt	For	For	For
1.5	Elect Peter E. Murphy	Mgmt	For	For	For
1.6	Elect Mary Turner	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Change of Continuance from Quebec to Ontario	Mgmt	For	For	For
4	Company Name Change	Mgmt	For	For	For

Aristocrat Leisure Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ALL	CINS Q0521T108	02/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Arlene Tansey	Mgmt	For	For	For
4	Elect Sylvia Summers Couder	Mgmt	For	For	For
5	Elect Pat Ramsey	Mgmt	For	For	For
6	Re-elect David Banks	Mgmt	For	For	For
7	Re-elect Kathleen Conlon	Mgmt	For	For	For
8	Equity Grant (Incoming MD/CEO Trevor Croker)	Mgmt	For	For	For
9	Remuneration Report	Mgmt	For	For	For
10	Amendments to Constitution	Mgmt	For	For	For
11	Renew Partial Takeover Provisions	Mgmt	For	For	For

Berjaya Sports Toto Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
BJTOTO	CINS Y0849N107	10/10/2016	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Fees	Mgmt	For	For	For
2	Elect Robin TAN Yeong Ching	Mgmt	For	Against	Against
3	Elect CHAN Kien Sing	Mgmt	For	Against	Against
4	Elect Robert YONG Kuen Loke	Mgmt	For	Against	Against
5	Elect Nerine TAN Sheik Ping	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Betsson AB

Ticker	Security ID:	Meeting Date	Meeting Status		
BETSB	CINS W1556U310	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A



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9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size	Mgmt	For	For	For
16	Directors and Auditors' Fees	Mgmt	For	Against	Against
17	Election of Directors	Mgmt	For	For	For
18	Nomination Committee	Mgmt	For	For	For
19	Remuneration Guidelines	Mgmt	For	For	For
20	Transferable Option Plan	Mgmt	For	For	For
21	Stock Option Plan	Mgmt	For	For	For
22	Authority to Issue Shares w/o Preemptive Rights Pursuant to LTIP	Mgmt	For	For	For
23	Authority to Repurchase Shares Pursuant to LTIP	Mgmt	For	For	For
24	Share Redemption Plan	Mgmt	For	For	For
25	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	For	For
26	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
27	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Boyd Gaming Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
BYD	CUSIP 103304101	04/13/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect John R. Bailey	Mgmt	For
1.2	Elect Robert L. Boughner	Mgmt	For
1.3	Elect William R. Boyd	Mgmt	For
1.4	Elect William S. Boyd	Mgmt	For
1.5	Elect Richard E. Flaherty	Mgmt	For
1.6	Elect Marianne Boyd Johnson	Mgmt	For
1.7	Elect Keith E. Smith	Mgmt	For
1.8	Elect Christine J. Spadafor	Mgmt	For
1.9	Elect Peter M. Thomas	Mgmt	For
1.10	Elect Paul W. Whetsell	Mgmt	For
1.11	Elect Veronica J. Wilson	Mgmt	For

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2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Re-approval of the Material Terms of the 2012 Stock Incentive Plan	Mgmt	For	For	For

Churchill Downs Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
CHDN	CUSIP 171484108	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert L. Fealy	Mgmt	For	For	For
1.2	Elect Daniel P. Harrington	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Approval of Material Terms of 162 (m) Performance Goals Under the Executive Annual Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Crown Resorts Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CWN	CINS Q3014T106	10/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect John H. Alexander	Mgmt	For	For	For
3	Re-elect Helen A. Coonan	Mgmt	For	For	For
4	Re-elect Geoff J. Dixon	Mgmt	For	For	For
5	Re-elect John S. Horvath	Mgmt	For	For	For
6	REMUNERATION REPORT	Mgmt	For	For	For
7	Approve Increase in NEDs' Fee Cap	Mgmt	N/A	For	N/A

Galaxy Entertainment Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0027	CINS Y2679D118	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Paddy TANG Lui Wai Yu	Mgmt	For	For	For
5	Elect William YIP Shue Lam	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Gaming And Leisure Properties, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GLPI	CUSIP 36467J108	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph W. Marshall, III	Mgmt	For	For	For
1.2	Elect E. Scott Urdang	Mgmt	For	For	For
1.3	Elect Earl C. Shanks	Mgmt	For	For	For
1.4	Elect James B. Perry	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against

Genting Malaysia Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
GENM	CINS Y2698A103	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Elect QUAH Chek Tin	Mgmt	For	For	For
4	Elect Mohd Zahidi bin Zainuddin	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
6	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

Genting Singapore PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
G13	CINS G3825Q102	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect LIM Kok Thay	Mgmt	For	Against	Against
3	Elect TJONG Yik Min	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Greek Organisation Of Football Prognostics S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
OPAP	CINS X3232T104	04/27/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Greece				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Ratification of Board and Auditor Acts	Mgmt	For	TNA	N/A
5	Directors' Fees FY 2016	Mgmt	For	TNA	N/A
6	Directors' Fees FY 2017	Mgmt	For	TNA	N/A
7	Appointment of Auditor	Mgmt	For	TNA	N/A
8	Board Transactions	Mgmt	For	TNA	N/A
9	Lease Agreement with Horse Races S.A	Mgmt	For	TNA	N/A
10	Lease Agreement with Opap Services	Mgmt	For	TNA	N/A

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11	S.A Sublease Agreement with Tora Direct	Mgmt	For	TNA	N/A
12	S.A Sublease Agreement with Tora Wallet	Mgmt	For	TNA	N/A
13	S.A Trademark License Agreement with Horse Races	Mgmt	For	TNA	N/A
14	S.A Trademark License Agreement with Hellenic Lotteries	Mgmt	For	TNA	N/A
15	S.A Corporate Guarantee to Bond Loan with Hellenic Lotteries S.A.	Mgmt	For	TNA	N/A
16	Corporate Guarantee with Hellenic Lotteries S.A. (Hellenic Republic Asset Development Fund)	Mgmt	For	TNA	N/A
17	Corporate Guarantee with Horse Races S.A. (GBI Racing Ltd)	Mgmt	For	TNA	N/A
18	Corporate Guarantee with Horse Races S.A. (ODIE/Ernst&Young)	Mgmt	For	TNA	N/A
19	Corporate Guarantee with Horse Races S.A. (ODIE)	Mgmt	For	TNA	N/A
20	Corporate Guarantee with Horse Races S.A. (Eurobank)	Mgmt	For	TNA	N/A
21	Corporate Guarantee with Opap Sports Ltd	Mgmt	For	TNA	N/A
22	Corporate Guarantee with Tora Direct S.A.	Mgmt	For	TNA	N/A
23	Corporate Guarantee with Neurosoft S.A.	Mgmt	For	TNA	N/A
24	Agreement for the Provision of Consulting Services with Emerging Markets Capital	Mgmt	For	TNA	N/A
25	Ratification of Co-option of Robert Chvatal	Mgmt	For	TNA	N/A
26	Amendments to Articles (Corporate Purpose)	Mgmt	For	TNA	N/A
27	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
28	Allocation of Profits	Mgmt	For	TNA	N/A

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	to Executive Board Members and Managers					
29	Long-Term Incentive Scheme	Mgmt	For	TNA	N/A	
<hr/>						
GVC Holdings Plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
GVC	CINS G427A6103	06/20/2017		Voted		
Meeting Type		Country of Trade				
Annual		ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against	
3	Remuneration Policy (Binding)	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Elect Paul Miles	Mgmt	For	For	For	
6	Elect Will Whitehorn	Mgmt	For	For	For	
7	Elect Kenneth J Alexander	Mgmt	For	For	For	
8	Elect Karl Diacono	Mgmt	For	Against	Against	
9	Elect Lee Feldman	Mgmt	For	For	For	
10	Elect Peter Isola	Mgmt	For	Against	Against	
11	Elect Stephen Morana	Mgmt	For	For	For	
12	Elect Norbert Teufelberger	Mgmt	For	For	For	
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
15	Authority to Repurchase Shares	Mgmt	For	For	For	
<hr/>						
Heiwa Corp						
Ticker	Security ID:	Meeting Date		Meeting Status		
6412	CINS J19194109	06/29/2017		Voted		
Meeting Type		Country of Trade				
Annual		Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
<hr/>						
International Game Technology PLC						
Ticker	Security ID:	Meeting Date		Meeting Status		
IGT	CUSIP G4863A108	05/22/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Remuneration Report (Advisory)	Mgmt	For	For	For	

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3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Authorization of Political Donations	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	For	For

Kangwon Land, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
035250	CINS Y4581L105	03/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	Against	Against
4	ELECTION OF NON-EXECUTIVE DIRECTOR: SHIN JOO HO	Mgmt	For	Against	Against
5	Elect CHOI Don Yong	Mgmt	For	For	For
6	Elect HAN In Koo	Mgmt	For	For	For
7	ELECTION OF AUDIT COMMITTEE MEMBER: KIM SANG IL	Mgmt	For	For	For
8	ELECTION OF AUDIT COMMITTEE MEMBER: CHOI DON YONG	Mgmt	For	For	For
9	Election of Audit Committee Member: HAN In Koo	Mgmt	For	For	For

Kindred Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
KINDSDB	CINS X9415A119	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Malta				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Remuneration Report	Mgmt	For	For	For
4	Board Size	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Elect Kristofer Arwin	Mgmt	For	For	For
7	Elect Sophia Bendz	Mgmt	For	Against	Against
8	Elect Peter Boggs	Mgmt	For	For	For
9	Elect Nigel Cooper	Mgmt	For	For	For
10	Elect Peter Friis	Mgmt	For	For	For
11	Elect Stefan Lundborg	Mgmt	For	For	For

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12	Elect Anders Strom	Mgmt	For	For	For
13	Elect Anders Strom as Chair	Mgmt	For	For	For
14	Nomination Committee	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Remuneration Guidelines	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Ladbrokes Coral Group Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
LCL	CINS G5337D107	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Paul Bowtell	Mgmt	For	For	For
6	Elect Mark Clare	Mgmt	For	For	For
7	Elect Annemarie Durbin	Mgmt	For	For	For
8	Elect Carl Leaver	Mgmt	For	For	For
9	Elect Stevie Spring	Mgmt	For	For	For
10	Elect Rob Templeman	Mgmt	For	For	For
11	Elect John M. Kelly	Mgmt	For	For	For
12	Elect Jim Mullen	Mgmt	For	For	For
13	Elect Mark Pain	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Authorisation of Political Donations	Mgmt	For	For	For
17	Performance Share Plan	Mgmt	For	For	For
18	Savings Related Share Option Scheme	Mgmt	For	For	For
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights (Specific Capital Investment)	Mgmt	For	For	For



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22	Authority to Repurchase Shares	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

### Las Vegas Sands Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
LVS	CUSIP 517834107	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Charles D. Forman	Mgmt	For	For	For
1.2	Elect Steven L. Gerard	Mgmt	For	For	For
1.3	Elect George Jamieson	Mgmt	For	For	For
1.4	Elect Lewis Kramer	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

### Melco Crown Entertainment Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MPEL	CUSIP 585464100	03/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Company Name Change	Mgmt	For	For	For
2	Amendments to Memorandum and Articles of Association	Mgmt	For	For	For

### Melco Crown Entertainment Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MPEL	CUSIP 585464100	12/07/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to the Company's and its Subsidiary's Stock Incentive Plans	Mgmt	For	For	For

### MGM China Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2282	CINS G60744102	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Pansy Catilina HO Chui King	Mgmt	For	Against	Against
6	Elect William M. Scott IV	Mgmt	For	For	For
7	Elect ZHE Sun	Mgmt	For	For	For
8	Elect Patricia LAM Sze Wan	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
14	Amendment to the Share Option Scheme	Mgmt	For	For	For

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MGM Resorts International

Ticker	Security ID:	Meeting Date	Meeting Status		
MGM	CUSIP 552953101	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
		Vote Cast	For/Against Mgmt		
1.1	Elect Robert H. Baldwin	Mgmt	For	For	For
1.2	Elect William A. Bible	Mgmt	For	For	For
1.3	Elect Mary Chris Gay	Mgmt	For	For	For
1.4	Elect William W. Grounds	Mgmt	For	For	For
1.5	Elect Alexis M. Herman	Mgmt	For	For	For
1.6	Elect Roland Hernandez	Mgmt	For	For	For
1.7	Elect John B. Kilroy, Sr.	Mgmt	For	For	For
1.8	Elect Rose McKinney-James	Mgmt	For	For	For
1.9	Elect James J. Murren	Mgmt	For	For	For
1.10	Elect Gregory M. Spierkel	Mgmt	For	For	For
1.11	Elect Daniel J. Taylor	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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NetEnt publ AB

Ticker	Security ID:	Meeting Date	Meeting Status
NET'B	CINS ADPV35625	04/21/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	Sweden Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size	Mgmt	For	For	For
16	Directors and Auditors' Fees	Mgmt	For	For	For
17	Election of Directors	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Nomination Committee	Mgmt	For	For	For
20	Remuneration Guidelines	Mgmt	For	For	For
21	Share Redemption Plan	Mgmt	For	For	For
22	Long-Term Incentive Program	Mgmt	For	For	For
23	Authority to Repurchase Shares	Mgmt	For	For	For
24	Authority to Issue Treasury Shares	Mgmt	For	For	For
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Paddy Power Betfair Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PPB	CINS G68673113	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Ireland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Remuneration Report (Advisory)	Mgmt	For	For	For
5	Elect Zillah Byng-Thorne	Mgmt	For	For	For
6	Elect Michael E. Cawley	Mgmt	For	For	For
7	Elect Breon Corcoran	Mgmt	For	For	For
8	Elect Ian Dyson	Mgmt	For	For	For
9	Elect Alex Gersh	Mgmt	For	For	For
10	Elect Peter Jackson	Mgmt	For	For	For
11	Elect Gary McGann	Mgmt	For	For	For
12	Elect Padraig O	Mgmt	For	Abstain	Against

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	Riordain				
13	Elect Peter Rigby	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Set Price Range for Reissuance of Treasury Shares	Mgmt	For	For	For

Paradise Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
034230	CINS Y6727J100	03/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect Phillip CHUN	Mgmt	For	For	For
3	Elect PARK Byeong Ryong	Mgmt	For	For	For
4	Elect SEO Chang Rok	Mgmt	For	For	For
5	Election of Corporate Auditors	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Against	Against
7	Corporate Auditors' Fees	Mgmt	For	For	For

Penn National Gaming, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PENN	CUSIP 707569109	06/14/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Peter M. Carlino	Mgmt	For	For	For
1.2	Elect Jane Scaccetti	Mgmt	For	For	For
1.3	Elect Timothy J. Wilmott	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Playtech Plc

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Ticker	Security ID:	Meeting Date	Meeting Status		
PTEC	CINS G7132V100	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Report (Binding)	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Elect Paul Hewitt	Mgmt	For	For	For
7	Elect John E. Jackson	Mgmt	For	For	For
8	Elect Claire Milne	Mgmt	For	For	For
9	Elect Andrew Thomas	Mgmt	For	For	For
10	Elect Alan Jackson	Mgmt	For	For	For
11	Elect Andrew Smith	Mgmt	For	For	For
12	Elect Mor Weizer	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Playtech Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PTEC	CINS G7132V100	05/17/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Remuneration Policy (Binding)	Mgmt	For	For	For
2	One-Off Award	Mgmt	For	Against	Against

Sands China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1928	CINS G7800X107	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Sheldon G. Adelson	Mgmt	For	Against	Against
6	Elect Rachel CHIANG Yun	Mgmt	For	For	For
7	Elect Victor Patrick Hoog	Mgmt	For	For	For

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8	Antink Elect Kenneth Patrick CHUNG	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Sankyo Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6417	CINS J67844100	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For

Sega Sammy Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
6460	CINS J7028D104	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Hajime Satomi	Mgmt	For	For	For
3	Elect Haruki Satomi	Mgmt	For	For	For
4	Elect Naoya Tsurumi	Mgmt	For	For	For
5	Elect Kohichi Fukuzawa	Mgmt	For	For	For
6	Elect Hideki Okamura	Mgmt	For	For	For
7	Elect Yuji Iwanaga	Mgmt	For	For	For
8	Elect Takeshi Natsuno	Mgmt	For	Against	Against
9	Elect Kohhei Katsukawa	Mgmt	For	For	For
10	Elect Shigeru Aoki	Mgmt	For	Against	Against
11	Elect Yukito Sakaue	Mgmt	For	For	For
12	Elect Tomio Kazashi	Mgmt	For	For	For
13	Elect Mineo Enomoto	Mgmt	For	For	For
14	Elect Izumi Ogata as Alternate Statutory Auditor	Mgmt	For	Against	Against

SJM Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
880	CINS Y8076V106	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For

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4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect SO Shu Fai	Mgmt	For	Against	Against
6	Elect Angela LEONG On Kei	Mgmt	For	Against	Against
7	Elect Timothy FOK Tsun Ting	Mgmt	For	Against	Against
8	Elect Daisy HO Chiu Fung	Mgmt	For	Against	Against
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Grant Options under Share Option Scheme	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Skycity Entertainment Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SKC	CINS Q8513Z115	10/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	New Zealand				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Chris Moller	Mgmt	For	For	For
2	Re-elect Bruce J. Carter	Mgmt	For	For	For
3	Authorise Board to Set Auditor's Fees	Mgmt	For	For	For

Tabcorp Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TAH	CINS Q8815D101	10/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Paula J. Dwyer	Mgmt	For	For	For
3	Re-elect Justin Milne	Mgmt	For	Against	Against
4	Remuneration Report	Mgmt	For	Against	Against
5	Equity Grant (MD/CEO Mr David Attenborough)	Mgmt	For	For	For
6	Increase NED Fee Pool	Mgmt	N/A	Against	N/A

Tatts Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TTS	CINS Q8852J102	10/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-Elect Brian Jamieson	Mgmt	For	For	For

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4	Re-Elect Julien Playoust	Mgmt	For	For	For
5	Equity Grant (MD/CEO Robbie Cooke)	Mgmt	For	For	For

The Intertain Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IT	CINS 46111Q101	09/23/2016	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Neil Goulden	Mgmt	For	For	For
4	Elect Andrew McIver	Mgmt	For	For	For
5	Elect David Danziger	Mgmt	For	Against	Against
6	Elect Paul Pathak	Mgmt	For	Against	Against
7	Elect Jim Ryan	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Change of Continuance from Ontario to United Kingdom	Mgmt	For	For	For

The Star Entertainment Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGR	CINS Q8719T103	10/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	For	For
3	Re-elect Richard Sheppard	Mgmt	For	For	For
4	Re-elect Gerard Bradley	Mgmt	For	For	For
5	Equity Grant (MD/CEO Matt Bekier)	Mgmt	For	For	For
6	Approve Increase in NED's Fee Cap	Mgmt	For	Against	Against

Tsogo Sun Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TSH	CINS S32244113	10/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Elect Mahomed (Mac) Gani	Mgmt	For	For	For
4	Re-elect John A. Copelyn	Mgmt	For	For	For
5	Re-elect Yunis Shaik	Mgmt	For	Against	Against
6	Re-elect Busi A. Mabuza	Mgmt	For	For	For



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7	Election of Audit & Risk Committee Member (Mac Gani)	Mgmt	For	For	For
8	Election of Audit & Risk Committee Member (Busi Mabuza)	Mgmt	For	For	For
9	Election of Audit & Risk Committee Member (Jabu Ngcobo)	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	Against	Against
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Amendment to Memorandum	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Approve Financial Assistance (Section 45)	Mgmt	For	For	For
15	Approve Financial Assistance (Section 41, 42, 44 and 45)	Mgmt	For	For	For

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Unibet Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
UNIB	CINS X9415A119	12/06/2016	Voted		
Meeting Type	Country of Trade				
Special	Malta				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Amendments to Articles Regarding Company Name	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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William Hill plc

Ticker	Security ID:	Meeting Date	Meeting Status		
WMH	CINS G9645P117	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Policy (Binding)	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Elect Mark Brooker	Mgmt	For	For	For
6	Elect John P O'Reilly	Mgmt	For	For	For
7	Elect Robin Terrell	Mgmt	For	For	For
8	Elect Gareth Davis	Mgmt	For	For	For
9	Elect Philip Bowcock	Mgmt	For	For	For
10	Elect Sir Roy Gardner	Mgmt	For	For	For
11	Elect Georgina Harvey	Mgmt	For	For	For
12	Elect Ashley Highfield	Mgmt	For	For	For
13	Elect David S. Lowden	Mgmt	For	For	For
14	Elect Imelda Walsh	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authorisation of Political Donations	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Interest)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Deferred Share Bonus Plan	Mgmt	For	For	For
23	Performance Share Plan	Mgmt	For	For	For
24	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Wynn Macau Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1128	CINS G98149100	06/01/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	Cayman Islands				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Ian M. Coughlan	Mgmt	For	For	For
6	Elect Allan Zeman	Mgmt	For	Against	Against
7	Elect Nicholas R. Sallnow-Smith	Mgmt	For	Against	Against
8	Elect Kim Marie Sinatra	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to	Mgmt	For	For	For

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	Repurchase Shares					
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against	
14	Authority to Issue Shares Under the Employee Ownership Scheme	Mgmt	For	Against	Against	

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Wynn Resorts, Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WYNN	CUSIP 983134107	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert J. Miller	Mgmt	For	For	For
1.2	Elect Clark T. Randt, Jr.	Mgmt	For	For	For
1.3	Elect D. Boone Wayson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

Fund Name : VanEck Vectors Generic Drug ETF

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Ajanta Pharma Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AJANTPHARM	CINS Y0R6E1145	07/05/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Mannalal B. Agrawal	Mgmt	For	Against	Against
4	Elect Purushottam B. Agrawal	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Akorn, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
AKRX	CUSIP 009728106	04/27/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John Kapoor	Mgmt	For	For	For
1.2	Elect Kenneth Abramowitz	Mgmt	For	Withhold	Against
1.3	Elect Adrienne Graves	Mgmt	For	For	For
1.4	Elect Ronald Johnson	Mgmt	For	Withhold	Against
1.5	Elect Steven Meyer	Mgmt	For	Withhold	Against
1.6	Elect Terry Allison Rappuhn	Mgmt	For	For	For
1.7	Elect Brian Tambi	Mgmt	For	Withhold	Against
1.8	Elect Alan Weinstein	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Approval of the 2017 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Akorn, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AKRX	CUSIP 009728106	07/01/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John N. Kapoor	Mgmt	For	For	For
1.2	Elect Kenneth S. Abramowitz	Mgmt	For	Withhold	Against
1.3	Elect Adrienne L. Graves	Mgmt	For	For	For
1.4	Elect Ronald M. Johnson	Mgmt	For	Withhold	Against
1.5	Elect Steven J. Meyer	Mgmt	For	Withhold	Against
1.6	Elect Terry Allison Rappuhn	Mgmt	For	For	For
1.7	Elect Brian Tambi	Mgmt	For	For	For
1.8	Elect Alan Weinstein	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	Abstain	Against
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Akorn, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AKRX	CUSIP 009728106	12/16/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Employee Stock Purchase Plan	Mgmt	For	For	For
2	Amendment to the 2014 Stock Option Plan	Mgmt	For	For	For

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Albemarle Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
ALB	CUSIP 012653101	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Advisory Vote on Executive Compensation	Mgmt	For	For	For
2	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
3.1	Elect Jim W. Nokes	Mgmt	For	For	For
3.2	Elect William H. Hernandez	Mgmt	For	For	For
3.3	Elect Luther C. Kissam IV	Mgmt	For	For	For
3.4	Elect Douglas L. Maine	Mgmt	For	For	For
3.5	Elect J. Kent Masters	Mgmt	For	For	For
3.6	Elect James J. O'Brien	Mgmt	For	For	For
3.7	Elect Barry W. Perry	Mgmt	For	For	For
3.8	Elect Gerald A. Steiner	Mgmt	For	For	For
3.9	Elect Harriett Tee Taggart	Mgmt	For	For	For
3.10	Elect Alejandro D. Wolff	Mgmt	For	For	For
4	Approve 2017 Incentive Plan	Mgmt	For	For	For
5	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Alkermes plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
ALKS	CUSIP G01767105	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Paul J. Mitchell	Mgmt	For	For	For
2	Elect Richard F. Pops	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendment to the 2011 Stock Option and Incentive Plan	Mgmt	For	For	For
6	Authority to Issue Shares with Preemptive Rights	Mgmt	For	For	For
7	Right to Adjourn Meeting	Mgmt	For	For	For
8	Authority to Issue Shares without	Mgmt	For	For	For

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Preemptive  
Rights

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Aspen Pharmacare Holdings Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
APN	CINS S0754A105	08/15/2016		Voted		
Meeting Type		Country of Trade				
Ordinary		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Memorandum	Mgmt	For	For	For	
2	Re-elect John F. Buchanan	Mgmt	For	For	For	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Aspen Pharmacare Holdings Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
APN	CINS S0754A105	12/06/2016		Voted		
Meeting Type		Country of Trade				
Annual		South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Social and Ethics Committee Report	Mgmt	For	For	For	
3	Re-elect John F. Buchanan	Mgmt	For	For	For	
4	Re-elect Maureen Manyama-Matome	Mgmt	For	For	For	
5	Elect Babalwa Ngonyama	Mgmt	For	For	For	
6	Re-elect David S. Redfern	Mgmt	For	Against	Against	
7	Re-elect Sindiswa (Sindi) V. Zilwa	Mgmt	For	Against	Against	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Elect Audit and Risk Committee Member (Roy Andersen)	Mgmt	For	For	For	
10	Elect Audit and Risk Committee Member (John Buchanan)	Mgmt	For	For	For	
11	Elect Audit and Risk Committee Member (Maureen Manyama-Matome)	Mgmt	For	For	For	
12	Elect Audit and Risk Committee Member (Babalwa Ngonyama)	Mgmt	For	Against	Against	
13	Elect Audit and Risk Committee Member (Sindi Zilwa)	Mgmt	For	Against	Against	
14	General Authority to Issue Shares	Mgmt	For	For	For	
15	Authority to Issue Shares for	Mgmt	For	For	For	

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16	Cash Approve Remuneration Policy	Mgmt	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For
18	Approve NEDs' Fees (Chairman)	Mgmt	For	For	For
19	Approve NEDs' Fees (Member)	Mgmt	For	For	For
20	Approve NEDs' Fees (Audit and Risk Committee Chairman)	Mgmt	For	For	For
21	Approve NEDs' Fees (Audit and Risk Committee Member)	Mgmt	For	For	For
22	Approve NEDs' Fees (Remuneration and Nomination Committee Chairman)	Mgmt	For	For	For
23	Approve NEDs' Fees (Remuneration and Nomination Committee Member)	Mgmt	For	For	For
24	Approve NEDs' Fees (Social and Ethics Committee Chairman)	Mgmt	For	For	For
25	Approve NEDs' Fees (Social and Ethics Committee Member)	Mgmt	For	For	For
26	Approve Financial Assistance	Mgmt	For	For	For
27	Authority to Repurchase Shares	Mgmt	For	For	For

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Aurobindo Pharma Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
AUROPHARMA	CINS Y04527142	08/24/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports (Standalone)	Mgmt	For	For	For	
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect K. Nithyananda Reddy	Mgmt	For	For	For	
5	Elect M. Madan Mohan Reddy	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
7	Approve Revised Remuneration of N. Govindarajan	Mgmt	For	For	For	

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	(Managing Director)					
8	Approve Revised Remuneration of M. Madan Mohan Reddy (Whole-time Director)	Mgmt	For	For	For	
9	Appointment of P. Sarath Chandra Reddy (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Biocon Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BIOCON	CINS Y0905C102	06/04/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital; Amendments to Memorandum	Mgmt	For	For	For
3	Bonus Share Issuance	Mgmt	For	For	For

Cadila Healthcare Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CADILAHC	CINS Y1R73U123	03/30/2017	Voted		
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

Cadila Healthcare Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CADILAHC	CINS Y1R73U123	08/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sharvil P. Patel	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Appointment of Pankaj R. Patel (Chairman and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Elect Apurva S. Diwanji	Mgmt	For	For	For
8	Directors' Commission	Mgmt	For	For	For



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9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Cambrex Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CBM	CUSIP 132011107	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect Rosina B. Dixon	Mgmt	For	For	For
2	Elect Claes Glassell	Mgmt	For	For	For
3	Elect Louis J. Grabowsky	Mgmt	For	For	For
4	Elect Bernhard Hampl	Mgmt	For	For	For
5	Elect Kathryn R. Harrigan	Mgmt	For	For	For
6	Elect Ilan Kaufthal	Mgmt	For	For	For
7	Elect Steven M. Klosk	Mgmt	For	For	For
8	Elect Peter G. Tombros	Mgmt	For	For	For
9	Elect Shlomo Yanai	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Amendment to the Executive Cash Incentive Plan for the purposes of 162(m)	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For

Celltrion, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
068270	CINS Y1242A106	03/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect KI Woo Seong	Mgmt	For	For	For
3	Elect KIM Hyung Ki	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	Against	Against
5	Share Option Grant	Mgmt	For	Against	Against

Charles River Laboratories International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CRL	CUSIP 159864107	05/09/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Foster	Mgmt	For	For	For
2	Elect Robert J. Bertolini	Mgmt	For	For	For
3	Elect Stephen D. Chubb	Mgmt	For	For	For
4	Elect Deborah T. Kochevar	Mgmt	For	For	For
5	Elect George E. Massaro	Mgmt	For	For	For
6	Elect George M. Milne, Jr.	Mgmt	For	Against	Against
7	Elect C. Richard Reese	Mgmt	For	For	For
8	Elect Craig B. Thompson	Mgmt	For	For	For
9	Elect Richard F. Wallman	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Approval of the Incentive Compensation Plan	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Against	Against	For

Chemical Works of Gedeon Richter Plc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RICHTER	CINS X3124S107	04/26/2017	Take No Action		
Meeting Type		Country of Trade			
Annual		Hungary			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Voting Method	Mgmt	For	TNA	N/A
5	Recording Device for Meeting	Mgmt	For	TNA	N/A
6	Election of Presiding Chair, Individuals to Keep Minutes and Counting Commission	Mgmt	For	TNA	N/A
7	Financial Statements (Consolidated)	Mgmt	For	TNA	N/A
8	Reports	Mgmt	For	TNA	N/A
9	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
10	Allocation of Profits to Reserves	Mgmt	For	TNA	N/A
11	Financial Statements	Mgmt	For	TNA	N/A
12	Corporate Governance Report	Mgmt	For	TNA	N/A
13	Establishment of New	Mgmt	For	TNA	N/A

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	Branch Offices					
14	Amendments to Articles (Corporate Purpose)	Mgmt	For	TNA	N/A	
15	Amendments to Article 14.4 (m)	Mgmt	For	TNA	N/A	
16	Amendments to Articles 16.13 and 16.14	Mgmt	For	TNA	N/A	
17	Amendments to Article 19.5	Mgmt	For	TNA	N/A	
18	Amendments to Article 15.5	Mgmt	For	TNA	N/A	
19	Directors' Report on Acquired Treasury Shares	Mgmt	For	TNA	N/A	
20	Authority to Repurchase and Reissue Shares	Mgmt	For	TNA	N/A	
21	Elect Erik Bogesch	Mgmt	For	TNA	N/A	
22	Elect Janos Csak	Mgmt	For	TNA	N/A	
23	Elect Gabor Perjes	Mgmt	For	TNA	N/A	
24	Elect Szilveszter E. Vizi	Mgmt	For	TNA	N/A	
25	Elect Kriszta Zolnay	Mgmt	For	TNA	N/A	
26	Elect Ilona Hardy	Mgmt	For	TNA	N/A	
27	Elect Gabor Orban	Mgmt	For	TNA	N/A	
28	Directors' Fees	Mgmt	For	TNA	N/A	
29	Supervisory Board Fees	Mgmt	For	TNA	N/A	
30	Supervisory Board Regulations	Mgmt	For	TNA	N/A	
31	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
32	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	

Cipla Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CIPLA	CINS Y1633P142	09/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Mustafa K. Hamied	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Naina Lal Kidwai	Mgmt	For	For	For
6	Re-appointment of S. Radhakrishnan (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
7	Elect Umang Vohra	Mgmt	For	For	For
8	Appointment of Umang Vohra (Managing Director and Global	Mgmt	For	Against	Against

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	CEO); Approval of Remuneration					
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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CSPC Pharmaceutical Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1093	CINS Y1837N109	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect CAI Dongchen	Mgmt	For	Against	Against
6	Elect CHAK Kin Man	Mgmt	For	For	For
7	Elect PAN Weidong	Mgmt	For	For	For
8	Elect Carmelo LEE Ka Sze	Mgmt	For	Against	Against
9	Elect CHEN Chuan	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
15	Authority to Grant Options Under Share Option Scheme	Mgmt	For	Against	Against
16	Amendments to Articles	Mgmt	For	For	For

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Divi's Laboratories Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DIVISLAB	CINS Y2076F112	08/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Madhusudana R. Divi	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Dr. Reddy's Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
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DRREDDY	CUSIP 256135203	07/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Satish Reddy	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of G.V. Prasad (Co-Chairman Managing Director, CEO); Approval of Remuneration	Mgmt	For	Against	Against
6	Elect Bharat Narotam Doshi	Mgmt	For	For	For
7	Elect Hans Peter Hasler	Mgmt	For	For	For
8	Directors' Commission	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Eagle Pharmaceuticals Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
EGRX	CUSIP 269796108	08/02/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Sander Flaum	Mgmt	For	For	For
1.2	Elect Scott Tarriff	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

Glenmark Pharmaceuticals Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GLENMARK	CINS Y2711C144	08/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports (Standalone)	Mgmt	For	For	For
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Rajesh V. Desai	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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7	Elect Milind S. Sarwate	Mgmt	For	For	For
8	Appointment of Rajesh Desai (Executive Director); Approval of Remuneration	Mgmt	For	For	For
9	Employee Stock Option Scheme 2016	Mgmt	For	Against	Against
10	Extension of Employee Stock Option Scheme 2016 to Subsidiaries	Mgmt	For	Against	Against

Green Cross Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
006280	CINS Y2R8RU107	03/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect LEE In Jae	Mgmt	For	Against	Against
3	Directors' Fees	Mgmt	For	For	For
4	Corporate Auditors' Fees	Mgmt	For	For	For
5	Amendments to Executive Officer Retirement Allowance Policy	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Green Cross Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
005250	CINS Y28840117	03/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Election of Directors (Slate)	Mgmt	For	Against	Against
3	Directors' Fees	Mgmt	For	For	For
4	Corporate Auditors' Fees	Mgmt	For	For	For
5	Amendments to Executive Officer Retirement Allowance Policy	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0874	CINS Y2932P106	06/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Financial Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Financial Budget Report	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Application for Bank Loans	Mgmt	For	For	For
13	Approval of Entrusted Loans	Mgmt	For	For	For
14	Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For	For
15	Utilization of Proceeds from Internal Fund	Mgmt	For	For	For
16	Continuing Connected Transactions for 2017	Mgmt	For	For	For
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Elect LI Chuyuan	Mgmt	For	For	For
22	Elect CHEN Mao	Mgmt	For	For	For
23	Elect LIU Juyan	Mgmt	For	For	For
24	Elect CHENG Ning	Mgmt	For	For	For
25	Elect NI Yidong	Mgmt	For	Against	Against
26	Elect WU Changhai	Mgmt	For	Against	Against
27	Elect WANG Wenchu	Mgmt	For	For	For
28	Elect CHU Xiaoping	Mgmt	For	For	For
29	Elect JIANG Wenqi	Mgmt	For	For	For
30	Elect WONG Hin Wing	Mgmt	For	For	For
31	Elect WANG Weihong	Mgmt	For	For	For
32	Elect XIAN Jiaxiong	Mgmt	For	Against	Against
33	Elect GAO Yanzhu	Mgmt	For	For	For

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Guangzhou Baiyunshan Pharmaceutical Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
0874	CINS Y2932P106	11/11/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Authority to Declare Special Dividends	Mgmt	For	For	For

Halozyne Therapeutics, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
HALO	CUSIP 40637H109	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kenneth J. Kelley	Mgmt	For	For	For
1.2	Elect Matthew I. Posard	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
128940	CINS Y3063Q103	03/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Election of Executive Directors (Slate)	Mgmt	For	For	For
3	Election of Independent Director: SEO Dong Cheol	Mgmt	For	For	For
4	Election of Audit Committee Member: SEO Dong Cheol	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
008930	CINS Y3061Z105	03/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors (Slate)	Mgmt	For	Against	Against
2	Directors' Fees	Mgmt	For	Against	Against
3	Corporate Auditors' Fees	Mgmt	For	Against	Against

Ticker	Security ID:	Meeting Date	Meeting Status		
HIK	CINS G4576K104	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set	Mgmt	For	For	For



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Auditor's Fees						
5	Elect Mary R. Henderson	Mgmt	For	For	For	
6	Elect Said Darwazah	Mgmt	For	For	For	
7	Elect Mazen Darwazah	Mgmt	For	For	For	
8	Elect Robert Pickering	Mgmt	For	For	For	
9	Elect Ali Al-Husry	Mgmt	For	For	For	
10	Elect Ronald Goode	Mgmt	For	For	For	
11	Elect Pat Butler	Mgmt	For	For	For	
12	Elect Jochen Gann	Mgmt	For	For	For	
13	Elect John Castellani	Mgmt	For	For	For	
14	Elect Pamela J. Kirby	Mgmt	For	For	For	
15	Remuneration Policy (Binding)	Mgmt	For	For	For	
16	Remuneration Report (Advisory)	Mgmt	For	For	For	
17	Extension of the Executive Incentive Plan	Mgmt	For	For	For	
18	Increase in NED Fees Cap	Mgmt	For	For	For	
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
21	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For	
22	Authority to Repurchase Shares	Mgmt	For	For	For	
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For	

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Hisamitsu Pharmaceutical

Ticker	Security ID:	Meeting Date	Meeting Status		
4530	CINS J20076121	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect Hirotaka Nakatomi	Mgmt	For	Against	Against
3	Elect Kazuhide Nakatomi	Mgmt	For	For	For
4	Elect Kohsuke Sugiyama	Mgmt	For	For	For
5	Elect Tetsuo Akiyama	Mgmt	For	For	For
6	Elect Naruhito Higo	Mgmt	For	For	For
7	Elect Toshiaki Tsuruda	Mgmt	For	For	For
8	Elect Shinichiroh Takao	Mgmt	For	For	For
9	Elect Kyu Saitoh	Mgmt	For	For	For
10	Elect Nobuo Tsutsumi	Mgmt	For	Against	Against
11	Elect Shinichi Murayama	Mgmt	For	Against	Against
12	Elect Isao Ichikawa	Mgmt	For	For	For
13	Elect Teijiroh Furukawa	Mgmt	For	For	For

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Horizon Pharma plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
HZNP	CUSIP G4617B105	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gino Santini	Mgmt	For	For	For
2	Elect Timothy P. Walbert	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Authority to Repurchase Shares	Mgmt	For	For	For
6	Plurality Voting Standard for Contested Elections	Mgmt	For	For	For

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Icon Plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
ICLR	CUSIP G4705A100	07/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William Hall	Mgmt	For	For	For
2	Elect John Climax	Mgmt	For	For	For
3	Elect Steve Cutler	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Authority to Set Price Range for Issuance of Treasury Shares	Mgmt	For	For	For
10	Amendments to Articles of Association	Mgmt	For	For	For
11	Amendments to Memorandum of Association	Mgmt	For	For	For

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Ipca Laboratories Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IPCALAB	CINS Y4175R146	08/11/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Audited Financial Statements	Mgmt	For	For	For
3	Audited Consolidated Financial Statements	Mgmt	For	For	For
4	Elect Ajit K. Jain	Mgmt	For	For	For
5	Elect Pranay Godha	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Appointment of Prashant Godha (Executive Director); Approval of Remuneration	Mgmt	For	For	For
8	Continuation of Appointment of Premchand Godha (Managing Director)	Mgmt	For	Against	Against
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Kalbe Farma

Ticker	Security ID:	Meeting Date	Meeting Status		
KLBF	CINS Y71287208	06/05/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Kolon Life Science Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
102940	CINS Y4830K106	03/24/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect LEE Chan Hui	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	Against	Against
5	Corporate Auditors' Fees	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Kyowa Hakko Kirin Company Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
4151	CINS J38296117	03/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Nobuo Hanai	Mgmt	For	Against	Against	
4	Elect Hiroyuki Kawai	Mgmt	For	For	For	
5	Elect Kazuyoshi Tachibana	Mgmt	For	For	For	
6	Elect Toshifumi Mikayama	Mgmt	For	For	For	
7	Elect Masashi Miyamoto	Mgmt	For	For	For	
8	Elect Noriya Yokota	Mgmt	For	For	For	
9	Elect Kohichiroh Nishikawa	Mgmt	For	For	For	
10	Elect Yoshiko Leibowitz	Mgmt	For	For	For	
11	Elect Jun Arai as Statutory Auditor	Mgmt	For	For	For	
12	Directors' Compensation	Mgmt	For	For	For	
13	Amendments to Equity Compensation Plan	Mgmt	For	For	For	
14	Equity Compensation Plan	Mgmt	For	For	For	

LG Life Sciences Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
068870	CINS Y52767103	11/28/2016		Voted		
Meeting Type		Country of Trade				
Special		Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger	Mgmt	For	For	For	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Lonza Group AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
LONN	CINS H50524133	04/25/2017		Take No Action		
Meeting Type		Country of Trade				
Annual		Switzerland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Accounts and Reports	Mgmt	For	TNA	N/A	
3	Compensation Report	Mgmt	For	TNA	N/A	
4	Ratification of Board and Management Acts	Mgmt	For	TNA	N/A	
5	Allocation of Profits/Dividends from Reserves	Mgmt	For	TNA	N/A	
6	Elect Patrick Aebischer	Mgmt	For	TNA	N/A	

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7	Elect Werner J. Bauer	Mgmt	For	TNA	N/A
8	Elect Jean-Daniel Gerber	Mgmt	For	TNA	N/A
9	Elect Christoph Mader	Mgmt	For	TNA	N/A
10	Elect Barbara M. Richmond	Mgmt	For	TNA	N/A
11	Elect Margot Scheltema	Mgmt	For	TNA	N/A
12	Elect Rolf Soiron	Mgmt	For	TNA	N/A
13	Elect Jurgen B. Steinemann	Mgmt	For	TNA	N/A
14	Elect Antonio Trius	Mgmt	For	TNA	N/A
15	Elect Albert M. Baehny	Mgmt	For	TNA	N/A
16	Appoint Rolf Soiron as Board Chair	Mgmt	For	TNA	N/A
17	Elect Jean-Daniel Gerber as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A
18	Elect Christoph Mader as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A
19	Elect Jurgen B. Steinemann as Nominating and Compensation Committee Member	Mgmt	For	TNA	N/A
20	Appointment of Auditor	Mgmt	For	TNA	N/A
21	Appointment of Independent Proxy	Mgmt	For	TNA	N/A
22	Board Compensation	Mgmt	For	TNA	N/A
23	Executive Compensation (Fixed)	Mgmt	For	TNA	N/A
24	Executive Compensation (Variable Short-Term)	Mgmt	For	TNA	N/A
25	Executive Compensation (Variable Long-Term)	Mgmt	For	TNA	N/A
26	Rights Issue	Mgmt	For	TNA	N/A
27	Increase in Authorised Capital	Mgmt	For	TNA	N/A
28	Increase in Conditional Capital	Mgmt	For	TNA	N/A
29	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Lupin Limited

Ticker	Security ID:	Meeting Date	Meeting Status
LUPIN	CINS Y5362X101	08/03/2016	Voted
Meeting Type	Country of Trade		
Annual	India		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Standalone Accounts and Reports	Mgmt	For
			For
			For

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2	Consolidated Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Nilesh Gupta	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect and Appoint Ramesh Swaminathan (CFO and Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Elect Jean-Luc Belingard	Mgmt	For	Against	Against
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

Mallinckrodt plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNK	CUSIP G5785G107	03/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Melvin D. Booth	Mgmt	For	For	For
2	Elect David R. Carlucci	Mgmt	For	For	For
3	Elect J. Martin Carroll	Mgmt	For	For	For
4	Elect Diane H. Gulyas	Mgmt	For	For	For
5	Elect JoAnn A. Reed	Mgmt	For	For	For
6	Elect Angus C. Russell	Mgmt	For	For	For
7	Elect Virgil D. Thompson	Mgmt	For	For	For
8	Elect Mark C. Trudeau	Mgmt	For	For	For
9	Elect Kneeland C. Youngblood	Mgmt	For	For	For
10	Elect Joseph A. Zaccagnino	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Determine Reissue Price for Treasury Shares	Mgmt	For	For	For
15	Amendments to the Memorandum of Association	Mgmt	For	For	For
16	Amendments to the Articles of Association	Mgmt	For	For	For
17	Reduction of Company Capital	Mgmt	For	For	For

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Mayne Pharma Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status
MYX	CINS Q5857C108	11/29/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Ron Best	Mgmt	For	For	For
3	Re-elect Bruce Mathieson	Mgmt	For	For	For
4	Elect Nancy Dolan	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For
6	Equity Grant (MD/CEO Scott Richards)	Mgmt	For	For	For
7	Ratify Placement of Securities	Mgmt	For	For	For

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Medy-Tox Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
086900	CINS Y59079106	03/17/2017	Voted
Meeting Type	Country of Trade		
Annual	Korea, Republic of		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Share Option Grant Previously Granted by Board Resolution	Mgmt	For	For	For
3	Elect JUNG Hyeon Ho	Mgmt	For	Against	Against
4	Elect PARK Seong Bum	Mgmt	For	Against	Against
5	Elect JUNG Tae Cheon	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Against	Against
7	Corporate Auditors' Fees	Mgmt	For	For	For

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Mylan N.V.

Ticker	Security ID:	Meeting Date	Meeting Status
MYL	CUSIP N59465109	06/22/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Heather Bresch	Mgmt	For	For	For
2	Elect Wendy Cameron	Mgmt	For	Against	Against
3	Elect Robert J. Cindrich	Mgmt	For	For	For
4	Elect Robert J. Coury	Mgmt	For	For	For
5	Elect JoEllen Lyons Dillon	Mgmt	For	For	For
6	Elect Neil F. Dimick	Mgmt	For	Against	Against
7	Elect Melina Higgins	Mgmt	For	For	For
8	Elect Rajiv Malik	Mgmt	For	For	For
9	Elect Mark Parrish	Mgmt	For	Against	Against
10	Elect Randall L. Vanderveen	Mgmt	For	For	For
11	Elect Sjoerd S.	Mgmt	For	For	For

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12	Vollebregt Adoption of the Dutch Annual Accounts	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Appointment of Auditor for the Dutch Annual Accounts	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Authorization for Board to Acquire Ordinary and Preferred Shares	Mgmt	For	For	For

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Natco Pharma Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NATCOPHARM	CINS Y6211C157	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect A.K.S. Bhujanga Rao	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Continued Appointment of V.C. Nannapaneni (Chairman and Managing Director)	Mgmt	For	Against	Against
7	Increase in Remuneration of A.K.S. bhujanga Rao (president (R&D and Tech)	Mgmt	For	For	For
8	Increase in Remuneration of P.S.R.K. Prasad (Executive Vice President (Corporate Engineering Services))	Mgmt	For	For	For
9	Increase in Remuneration of D. Linga Rao (Executive Director and President (Tech. Affairs))	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For



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11	Approval of Employee Stock Option Scheme 2016 ("NATSOP 2016")	Mgmt	For	Against	Against
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Opko Health, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OPK	CUSIP 68375N103	06/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Phillip Frost	Mgmt	For	For	For
1.2	Elect Jane H. Hsiao	Mgmt	For	For	For
1.3	Elect Steven D. Rubin	Mgmt	For	Withhold	Against
1.4	Elect Richard M. Krasno	Mgmt	For	For	For
1.5	Elect Richard A. Lerner	Mgmt	For	Withhold	Against
1.6	Elect John A. Paganelli	Mgmt	For	Withhold	Against
1.7	Elect Richard C. Pfenniger	Mgmt	For	Withhold	Against
1.8	Elect Alice Lin-Tsing Yu	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Orion Oyj

Ticker	Security ID:	Meeting Date	Meeting Status		
ORNBV	CINS X6002Y112	03/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		Finland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Accounts and Reports	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Ratification of Board and CEO Acts	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Board Size	Mgmt	For	For	For
15	Election of Directors	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Amendments to Articles Regarding Age Limit	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For

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Regarding Auditor		N/A	N/A	N/A	N/A
20	Non-Voting Agenda Item				
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Peptidream Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
4587	CINS J6363M109	09/28/2016		Voted	
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Elect Kiichi Kubota	Mgmt	For	Against	Against
3	Elect Patrick C. Reid	Mgmt	For	For	For
4	Elect Yoshiyuki Sekine	Mgmt	For	For	For
5	Elect Keiichi Masuya	Mgmt	For	For	For
6	Elect Hiroaki Suga	Mgmt	For	For	For
7	Performance-Linked Equity Compensation Plan	Mgmt	For	For	For
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PRA Health Sciences, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
PRAH	CUSIP 69354M108	06/01/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Colin Shannon	Mgmt	For	For	For
1.2	Elect James C. Momtazee	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	2017 Employee Stock Purchase Plan	Mgmt	For	For	For
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ProMetic Life Sciences Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
PLI	cins 74342Q104	05/10/2017		Voted	
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Simon Best	Mgmt	For	For	For
3	Elect Andrew Bishop	Mgmt	For	For	For
4	Elect Stefan Clulow	Mgmt	For	For	For
5	Elect Kenneth Galbraith	Mgmt	For	For	For
6	Elect David John Jeans	Mgmt	For	For	For
7	Elect Charles Kenworthy	Mgmt	For	Against	Against
8	Elect Pierre Laurin	Mgmt	For	For	For
9	Elect Louise Menard	Mgmt	For	For	For
10	Elect Paul Mesburis	Mgmt	For	For	For
11	Elect John Moran	Mgmt	For	For	For
12	Elect Nancy Orr	Mgmt	For	For	For
13	Elect Bruce Wendel	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For

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	and Authority to Set Fees				
15	Amendment to the Stock Option Plan	Mgmt	For	For	For
16	Share Consolidation	Mgmt	For	For	For
17	Approval and Ratification of Acts of Directors	Mgmt	For	For	For
18	Amend Articles Regarding Directors' Ability to Appoint Directors	Mgmt	For	For	For

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Quintiles IMS Holdings Inc						
Ticker	Security ID:	Meeting Date		Meeting Status		
Q	CUSIP 74876Y101	04/06/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Jonathan J. Coslet	Mgmt	For	For	For	
1.2	Elect Michael J. Evanisko	Mgmt	For	For	For	
1.3	Elect Dennis B. Gillings	Mgmt	For	For	For	
1.4	Elect Ronald A. Rittenmeyer	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against	
4	Approval of the 2017 Incentive and Stock Award Plan	Mgmt	For	For	For	
5	Ratification of Auditor	Mgmt	For	For	For	

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Quintiles Transnational Holdings Inc						
Ticker	Security ID:	Meeting Date		Meeting Status		
Q	CUSIP 74876Y101	09/22/2016		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger	Mgmt	For	For	For	
2	Issuance of Shares for Merger	Mgmt	For	For	For	
3	Reincorporation	Mgmt	For	For	For	
4	Increase of Authorized Common Stock	Mgmt	For	For	For	
5	Elimination of Supermajority Requirement	Mgmt	For	For	For	
6	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against	

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7	Right to Adjourn Meeting	Mgmt	For	For	For
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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D109	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Estimates of Ongoing Related Party Transactions	Mgmt	For	For	For
9	Appraisal Results and Directors' Fees	Mgmt	For	For	For
10	Appraisal Program for 2017	Mgmt	For	For	For
11	Approval of Entrusted Loans	Mgmt	For	For	For
12	Approval of Line of Credit	Mgmt	For	For	For
13	Approval to Dispose of Listed Shares and Securities	Mgmt	For	For	For
14	Authority to Issue Interbank Debt Instruments	Mgmt	For	For	For
15	Approve Compliance with Conditions of Bonds Issuance	Mgmt	For	For	For
16	Size and method of issuance	Mgmt	For	For	For
17	Bond Rate	Mgmt	For	For	For
18	Maturity	Mgmt	For	For	For
19	Use of Proceeds	Mgmt	For	For	For
20	Investors and Placing Arrangements	Mgmt	For	For	For
21	Guarantee	Mgmt	For	For	For
22	Provisions	Mgmt	For	For	For
23	Credit Standing	Mgmt	For	For	For
24	Underwriting	Mgmt	For	For	For
25	Listing	Mgmt	For	For	For
26	Validity	Mgmt	For	For	For
27	Board Authorisation to Implement Corporate Bonds	Mgmt	For	For	For
28	Adoption of Share Option Scheme	Mgmt	For	For	For
29	Amendments of	Mgmt	For	For	For

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	Management System of Connected Transactions				
30	Approve Renewal of Guarantee Quota	Mgmt	For	For	For
31	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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### Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status		
2196	CINS Y7687D109	08/31/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect WU Yifang	Mgmt	For	For	For
3	Compliance of Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For
4	Issuing Entity	Mgmt	For	For	For
5	Place of Listing	Mgmt	For	For	For
6	Type of Securities to Be Issued	Mgmt	For	For	For
7	Target Subscribers	Mgmt	For	For	For
8	Listing Date	Mgmt	For	For	For
9	Method of Issuance	Mgmt	For	For	For
10	Size of Issuance	Mgmt	For	For	For
11	Offer Price	Mgmt	For	For	For
12	Underwriting	Mgmt	For	For	For
13	Use of Proceeds	Mgmt	For	For	For
14	Undertaking of Maintaining Independent Listing Status of the Company.	Mgmt	For	For	For
15	Description of Sustainable Profitability and Prospects of the Group	Mgmt	For	For	For
16	Board Authority regarding Overseas Listing and Related Matters of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For
17	Provision of Assured Entitlements to H Shareholders Only in Connection with Spin-off and Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status
2196	CINS Y7687D109	08/31/2016	Voted
Meeting Type		Country of Trade	
Other		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Provision of Assured Entitlements to H Shareholders Only in Connection with Spin-off and Overseas Listing of Sisram Medical Ltd. (or Sisram Listco)	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status
2196	CINS Y7687D109	09/29/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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Shanghai Fosun Pharmaceutical (Group)

Ticker	Security ID:	Meeting Date	Meeting Status
2196	CINS Y7687D109	12/22/2016	Voted
Meeting Type		Country of Trade	
Special		China	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Amendments to Procedural Rules for General Meeting	Mgmt	For	For	For
4	Amendments to Procedural Rules for Board	Mgmt	For	For	For
5	Amendments to Procedural Rules for Supervisory Committee	Mgmt	For	For	For
6	Amendments to the CT Rules	Mgmt	For	For	For
7	Amendments to the Management System for Proceeds	Mgmt	For	For	For
8	Amendments to the Implementation Rules of Cumulative Voting System	Mgmt	For	For	For
9	Renewed Financial	Mgmt	For	Against	Against

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### Services Agreement

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Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S108	06/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	2017 Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Set Auditor's Fees	Mgmt	For	For	For
9	Appointment of International and PRC Auditors	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	For	For
11	Amendment to Commitment of Shares to Employee Share Ownership Committee	Mgmt	For	For	For
12	Authority to Issue Debt Instruments	Mgmt	For	For	For
13	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Shanghai Pharmaceuticals Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
2607	CINS Y7685S108	10/20/2016	Voted		
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect ZHOU Jun	Mgmt	For	Against	Against
3	Amendments to Articles	Mgmt	For	For	For

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Sino Biopharmaceutical Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1177	CINS G8167W138	06/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Elect CHENG Cheung Ling	Mgmt	For	For	For
6	Elect WANG Shanchun	Mgmt	For	Against	Against
7	Elect TIAN Zhoushan	Mgmt	For	For	For
8	Elect LU Hong	Mgmt	For	For	For
9	Elect ZHANG Lu Fu	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Domestic Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Appointment of International Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Supervisors' Fees	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	Against	Against
12	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Sinopharm Group Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1099	CINS Y8008N107	10/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Adoption of Restricted Share Incentive	Mgmt	For	For	For



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5	Scheme					
	Elect MA Ping	Mgmt	For	For	For	
<hr/>						
Sinopharm Group Co. Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
1099	CINS Y8008N107	12/30/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Authority to Issue Asset-Backed Securities	Mgmt	For	For	For	
<hr/>						
Stada Arzneimittel AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
SAZ	CINS D76226113	08/26/2016		Take No Action		
Meeting Type		Country of Trade				
Annual		Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
7	Ratification of Management Board Acts	Mgmt	For	TNA	N/A	
8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A	
9	Appointment of Auditor	Mgmt	For	TNA	N/A	
10	Remuneration Policy	Mgmt	For	TNA	N/A	
11	Amendments to Articles Regarding Elimination of Restrictions on the Transferability of Shares	Mgmt	For	TNA	N/A	
12	Elect Birgit Kudlek	Mgmt	For	TNA	N/A	
13	Elect Tina Muller	Mgmt	For	TNA	N/A	
14	Elect Gunnar Riemann	Mgmt	For	TNA	N/A	
15	Elect Rolf Hoffmann	Mgmt	For	TNA	N/A	
16	Shareholder Proposal Regarding Removal of Martin Abend	ShrHoldr	Against	TNA	N/A	
17	Shareholder Proposal Regarding Removal of Carl Ferdinand Oetker	ShrHoldr	Against	TNA	N/A	
18	Shareholder Proposal Regarding Election of Eric Cornut	ShrHoldr	Against	TNA	N/A	
19	Shareholder Proposal Regarding Election of	ShrHoldr	Against	TNA	N/A	

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Ursula  
Schutze-Kreilkamp  
20 Additional or  
Miscellaneous  
Shareholder  
Proposals

ShrHoldr N/A TNA N/A

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Strides Shasun Limited  
Ticker Security ID: Meeting Date Meeting Status  
STAR CINS Y8175G117 03/21/2017 Voted  
Meeting Type Country of Trade  
Other India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Capping of Investments in Stelis Biopharma Private Limited	Mgmt	For	For	For

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Strides Shasun Limited  
Ticker Security ID: Meeting Date Meeting Status  
STAR CINS Y8175G117 07/29/2016 Voted  
Meeting Type Country of Trade  
Annual India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Deepak Vaidya	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect and Appoint S. Abhaya Kumar (Executive Director); Approval of Remuneration	Mgmt	For	For	For
6	Elect Bharat D. Shah	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	Abstain	Against
8	SERVICE OF DOCUMENTS TO THE MEMBERS OF THE COMPANY	Mgmt	For	For	For

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Sun Pharmaceuticals Industries Limited  
Ticker Security ID: Meeting Date Meeting Status  
SUNPHARMA CINS Y8523Y158 06/20/2017 Voted  
Meeting Type Country of Trade  
Court India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

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Sun Pharmaceuticals Industries Limited  
Ticker Security ID: Meeting Date Meeting Status  
SUNPHARMA CINS Y8523Y158 09/17/2016 Voted  
Meeting Type Country of Trade  
Annual India  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Dilip S. Shanghvi	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect S. Mohanchand Dadha	Mgmt	For	Against	Against
7	Elect Keki M. Mistry	Mgmt	For	Against	Against
8	Elect Ashwin S. Dani	Mgmt	For	Against	Against
9	Elect Hasmukh Shah	Mgmt	For	For	For
10	Elect Rekha Sethi	Mgmt	For	For	For
11	Amendment to Remuneration of Dilip S. Shanghvi (Managing Director)	Mgmt	For	For	For
12	Amendment to Remuneration of Sudhir V. Valia (Whole-time Director)	Mgmt	For	For	For
13	Amendment to Remuneration of Sailesh T. Desai (Whole-time Director)	Mgmt	For	For	For
14	Adoption of New Articles	Mgmt	For	Against	Against
15	Re-Classification in Promoter Group	Mgmt	For	For	For

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Taisho Pharmaceutical Holdings Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
4581	CINS J79885109	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Akira Uehara	Mgmt	For	Against	Against
4	Elect Shigeru Uehara	Mgmt	For	For	For
5	Elect Akira Ohhira	Mgmt	For	For	For
6	Elect Ken Uehara	Mgmt	For	For	For
7	Elect Kenichi Fujita	Mgmt	For	For	For
8	Elect Kazuya Kameo	Mgmt	For	For	For
9	Elect Tetsu Watanabe	Mgmt	For	Against	Against
10	Elect Toshio Morikawa	Mgmt	For	Against	Against
11	Elect Hiroyuki Uemura	Mgmt	For	Against	Against

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Taro Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
TARO	CUSIP M8737E108	12/29/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	Against	Against
2	Declaration of Material Interest	Mgmt	N/A	For	N/A
3	Elect Dilip S. Shanghvi	Mgmt	For	For	For
4	Elect Sudhir V. Valia	Mgmt	For	For	For
5	Elect James Kedrowski	Mgmt	For	For	For
6	Elect Dov Pekelman	Mgmt	For	For	For
7	Approve Remuneration for Director Shanghvi	Mgmt	For	For	For
8	Declaration of Material Interest	Mgmt	N/A	For	N/A
9	Approve Remuneration for Director Valia	Mgmt	For	For	For
10	Declaration of Material Interest	Mgmt	N/A	For	N/A
11	Approve Remuneration for Director Pekelman	Mgmt	For	For	For
12	Elect Uday Baldota and Approve Remuneration	Mgmt	For	For	For
13	Declaration of Material Interest	Mgmt	N/A	For	N/A
14	Elect Linda Benshoshan	Mgmt	For	For	For
15	Elect Elhanan Streit	Mgmt	For	For	For
16	Declaration of Material Interest	Mgmt	N/A	For	N/A
17	Declaration of Material Interest	Mgmt	N/A	For	N/A
18	Elect Abhay Gandhi and Approve Remuneration	Mgmt	For	For	For
19	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Torrent Pharmaceuticals Limited

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Samir U. Mehta	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost	Mgmt	For	For	For

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Auditor's  
Fees

UCB SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
UCB	CINS B93562120	04/27/2017	Take No Action			
Meeting Type	Country of Trade					
Mix	Belgium					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
6	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
7	Remuneration Report	Mgmt	For	TNA	N/A	
8	Ratification of Board Acts	Mgmt	For	TNA	N/A	
9	Ratification of Auditor's Acts	Mgmt	For	TNA	N/A	
10	Elect Viviane Monges to the Board of Directors	Mgmt	For	TNA	N/A	
11	Ratify Independence of Viviane Monges	Mgmt	For	TNA	N/A	
12	Elect Bert de Graeve to the Board of Directors	Mgmt	For	TNA	N/A	
13	Ratify Independence of Aalbrecht Graeve	Mgmt	For	TNA	N/A	
14	Elect Roch Doliveux to the Board of Directors	Mgmt	For	TNA	N/A	
15	Equity Compensation Plan	Mgmt	For	TNA	N/A	
16	US Employee Stock Purchase Plan	Mgmt	For	TNA	N/A	
17	Change of Control Clause (EMTN Program)	Mgmt	For	TNA	N/A	

Wockhardt Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
WOCKPHARMA	CINS Y73505136	01/12/2017	Voted			
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Revision of Remuneration of H.F. Khorakiwala (Executive Chairman)	Mgmt	For	For	For	
3	Revision of	Mgmt	For	For	For	

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	Remuneration of Huzaifa Khorakiwala (Executive Director)				
4	Revision of Remuneration of Murtaza Khorakiwala (Managing Director)	Mgmt	For	For	For

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Wockhardt Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WOCKPHARMA	CINS Y73505136	08/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends (Preference Shares)	Mgmt	For	For	For
4	Elect Huzaifa H. Khorakiwala	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
8	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For

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Yuhan Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
000100	CINS Y9873D109	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect GO In Young	Mgmt	For	For	For
3	Elect JUNG Soon Chul	Mgmt	For	For	For
4	Elect LEE Chul	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Corporate Auditors' Fees	Mgmt	For	For	For

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Yungjin Pharmaceutical Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
003520	CINS Y9856H101	03/24/2017	Voted
Meeting Type	Country of Trade		
Annual	Korea, Republic of		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Election of Directors (Slate)	Mgmt	For	For	For
3	Election of Audit Committee Members (Slate)	Mgmt	For	For	For
4	Amendments to Executive Officer Retirement Allowance Policy	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For

Fund Name : VanEck Vectors Global Alternative Energy ETF

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### Badger Meter, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
BMI	CUSIP 056525108	04/28/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Todd A. Adams	Mgmt	For	Withhold	Against
1.2	Elect Thomas J. Fischer	Mgmt	For	For	For
1.3	Elect Gale E. Klappa	Mgmt	For	For	For
1.4	Elect Gail A. Lione	Mgmt	For	For	For
1.5	Elect Richard A. Meeusen	Mgmt	For	For	For
1.6	Elect Andrew J. Policano	Mgmt	For	For	For
1.7	Elect James F. Stern	Mgmt	For	For	For
1.8	Elect Glen E. Tellock	Mgmt	For	For	For
1.9	Elect Todd J. Teske	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Ratification of Auditor	Mgmt	For	For	For

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### Canadian Solar Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CSIQ	CUSIP 136635109	06/28/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Shawn Qu	Mgmt	For	For	For
1.2	Elect Robert McDermott	Mgmt	For	For	For
1.3	Elect Lars-Eric Johansson	Mgmt	For	For	For
1.4	Elect Harry E. Ruda	Mgmt	For	For	For
1.5	Elect Andrew WONG Luen Cheung	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### China Longyuan Power Group Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
0916	CINS Y1501T101	06/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Final Financial Accounts	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	2017 Financial Budget	Mgmt	For	For	For
9	Directors' and Supervisors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Registration and Issue of Debt Instruments	Mgmt	For	For	For
12	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Issue Renewable Corporate Bonds	Mgmt	For	For	For

China Longyuan Power Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0916	CINS Y1501T101	08/12/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect LUAN Baoxing	Mgmt	For	Against	Against
3	Elect Yang Xiangbin	Mgmt	For	For	For

Covanta Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CVA	CUSIP 22282E102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David M. Barse	Mgmt	For	For	For
1.2	Elect Ronald J. Broglio	Mgmt	For	For	For
1.3	Elect Peter C. B. Bynoe	Mgmt	For	For	For
1.4	Elect Linda J. Fisher	Mgmt	For	For	For
1.5	Elect Joseph M. Holsten	Mgmt	For	For	For
1.6	Elect Stephen J. Jones	Mgmt	For	For	For
1.7	Elect Danielle Pletka	Mgmt	For	For	For
1.8	Elect Michael W. Ranger	Mgmt	For	For	For
1.9	Elect Robert S. Silberman	Mgmt	For	Withhold	Against
1.10	Elect Jean Smith	Mgmt	For	For	For



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1.11	Elect Samuel Zell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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### Cree, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CREE	CUSIP 225447101	10/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Charles M. Swoboda	Mgmt	For	For	For
1.2	Elect Clyde R. Hosein	Mgmt	For	For	For
1.3	Elect Robert A. Ingram	Mgmt	For	For	For
1.4	Elect Darren R. Jackson	Mgmt	For	For	For
1.5	Elect C. Howard Nye	Mgmt	For	For	For
1.6	Elect John B. Replogle	Mgmt	For	For	For
1.7	Elect Thomas H. Werner	Mgmt	For	For	For
1.8	Elect Anne C. Whitaker	Mgmt	For	For	For
2	Amendment to the 2013 Long-Term Incentive Compensation Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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### Dongfang Electric Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
1072	CINS Y20958107	10/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect ZOU Lei	Mgmt	For	For	For

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### Eaton Corporation plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ETN	CUSIP G29183103	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Craig Arnold	Mgmt	For	For	For
2	Elect Todd M. Bluedorn	Mgmt	For	Against	Against
3	Elect Christopher M. Connor	Mgmt	For	For	For
4	Elect Michael J. Critelli	Mgmt	For	For	For
5	Elect Richard H. Fearon	Mgmt	For	Against	Against
6	Elect Charles E. Golden	Mgmt	For	For	For
7	Elect Arthur E. Johnson	Mgmt	For	For	For
8	Elect Deborah L. McCoy	Mgmt	For	For	For

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9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sandra Pianalto	Mgmt	For	For	For
11	Elect Gerald B. Smith	Mgmt	For	For	For
12	Elect Dorothy C. Thompson	Mgmt	For	For	For
13	Amendment to Articles to Adopt Proxy Access	Mgmt	For	For	For
14	Amendments to Articles Regarding Notice Periods	Mgmt	For	For	For
15	Ratification of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
20	Authority to Repurchase Overseas Market Shares	Mgmt	For	For	For

EDP Renovaveis SA

Ticker	Security ID:	Meeting Date	Meeting Status		
EDPR	CINS E3847K101	04/06/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Management and Corporate Governance Reports	Mgmt	For	For	For
4	Ratification of Board and Management Acts	Mgmt	For	For	For
5	Election of Chair of Board of the General Meeting	Mgmt	For	For	For
6	Remuneration Policy	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authorisation of Legal Formalities	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Energys

Ticker	Security ID:	Meeting Date	Meeting Status
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ENS	CUSIP 29275Y102	07/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Howard I. Hoffen	Mgmt	For	For	For
2	Elect Joseph C. Muscari	Mgmt	For	For	For
3	Elect David M. Shaffer	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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First Solar, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FSLR	CUSIP 336433107	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Ahearn	Mgmt	For	For	For
2	Elect Sharon L. Allen	Mgmt	For	For	For
3	Elect Richard D. Chapman	Mgmt	For	For	For
4	Elect George A. Hambro	Mgmt	For	For	For
5	Elect Craig Kennedy	Mgmt	For	For	For
6	Elect James F. Nolan	Mgmt	For	For	For
7	Elect William J. Post	Mgmt	For	For	For
8	Elect J. Thomas Presby	Mgmt	For	For	For
9	Elect Paul H. Stebbins	Mgmt	For	Against	Against
10	Elect Michael T. Sweeney	Mgmt	For	For	For
11	Elect Mark Widmar	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

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Franklin Electric Co., Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FELE	CUSIP 353514102	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David T. Brown	Mgmt	For	For	For
2	Elect David A. Roberts	Mgmt	For	For	For
3	Elect Thomas R. VerHage	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Approval of the 2017 Stock Plan	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Gamesa Corporacion Tecnologica, S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CINS E54667113	06/20/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts	Mgmt	For	For	For
3	Management Reports	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Ratify Co-option and Elect Luis Javier Cortes Dominguez	Mgmt	For	For	For
7	Ratify Co-option and Elect Markus Tacke	Mgmt	For	For	For
8	Ratify Co-option and Elect Michael Sen	Mgmt	For	For	For
9	Ratify Co-option and Elect Carlos Rodriguez Quiroga Menendez	Mgmt	For	For	For
10	Amendments to Article 1 (Company Name)	Mgmt	For	For	For
11	Amendments to Article 17 (Venue)	Mgmt	For	For	For
12	Amendments to Article 49 (Fiscal Year)	Mgmt	For	For	For
13	Consolidation of Articles of Association	Mgmt	For	For	For
14	Amendments to General Meeting Regulations' Articles 1 and 5 (Company Name)	Mgmt	For	For	For
15	Amendments to General Meeting Regulations' Article 19 (Venue)	Mgmt	For	For	For
16	Consolidation of General Meeting Regulations	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Remuneration Policy (Binding)	Mgmt	For	For	For
19	Authorisation of Legal Formalities	Mgmt	For	For	For
20	Remuneration Report (Advisory)	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Gamesa Corporacion Tecnologica, S.A.

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Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CINS E54667113	10/24/2016	Voted		
Meeting Type		Country of Trade			
Special		Spain			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Merger Balance Sheet	Mgmt	For	For	For
3	Merger Agreement	Mgmt	For	For	For
4	Approve Merger Common Terms	Mgmt	For	For	For
5	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
6	Authority to Trade in the Madrid, Barcelona, Valencia and Bilbao Stock Exchanges	Mgmt	For	For	For
7	Application of Tax Regime	Mgmt	For	For	For
8	Authorisation of Legal Formalities Regarding Merger	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Consolidation of Articles	Mgmt	For	For	For
11	Special Dividend	Mgmt	For	For	For
12	Board Size	Mgmt	For	For	For
13	Elect Rosa Maria Garcia Garcia	Mgmt	For	For	For
14	Elect Mariel von Schumann	Mgmt	For	For	For
15	Elect Lisa Davis	Mgmt	For	For	For
16	Elect Klaus Helmrich	Mgmt	For	For	For
17	Elect Ralf Thomas	Mgmt	For	For	For
18	Elect Klaus Rosenfeld	Mgmt	For	For	For
19	Elect Swantje Conrad	Mgmt	For	For	For
20	Ratify Co-option and Elect Gerardo Codes Calatrava	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

GCL Poly-Energy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3800	CINS G3774X108	02/09/2017	Voted		
Meeting Type		Country of Trade			
Special		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	New Coal Purchase Framework Agreement	Mgmt	For	Against	Against
4	Wafer Products Supply Framework	Mgmt	For	Against	Against

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### Agreement

GCL Poly-Energy Holdings Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
3800	CINS G3774X108	05/24/2017		Voted		
Meeting Type	Country of Trade					
Annual	Cayman Islands					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Elect ZHU Zhanjun	Mgmt	For	For	For	
5	Elect ZHU Yufeng	Mgmt	For	Against	Against	
6	Elect SUN Wei	Mgmt	For	For	For	
7	Elect Charles YEUNG Man Chung	Mgmt	For	For	For	
8	Elect YIP Tai Him	Mgmt	For	Against	Against	
9	Directors' Fees	Mgmt	For	For	For	
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
12	Authority to Repurchase Shares	Mgmt	For	For	For	
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against	

Green Plains Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
GPPE	CUSIP 393222104	05/09/2017		Voted		
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Jim Anderson	Mgmt	For	For	For	
1.2	Elect Wayne Hoovestol	Mgmt	For	Withhold	Against	
1.3	Elect Ejnar Knudsen	Mgmt	For	For	For	
2	Approval of the amendment to the 2009 Equity Incentive Plan	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against	

Innergex Renewable Energy Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
INE	CINS 45790B104	05/09/2017		Voted		
Meeting Type	Country of Trade					
Mix	Canada					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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2	Elect Jean La Couture	Mgmt	For	For	For
3	Elect Nathalie Francisci	Mgmt	For	For	For
4	Elect Richard Gagnon	Mgmt	For	For	For
5	Elect Daniel L. Lafrance	Mgmt	For	For	For
6	Elect Michel Letellier	Mgmt	For	For	For
7	Elect Dalton McGuinty	Mgmt	For	For	For
8	Elect Monique Mercier	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Reduction in Authorized Capital	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For

ITRON, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ITRI	CUSIP 465741106	05/12/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Frank M. Jaehnert	Mgmt	For
2	Elect Jerome J. Lande	Mgmt	For
3	Elect Timothy Leyden	Mgmt	For
4	Elect Gary E. Pruitt	Mgmt	For
5	Advisory Vote on Executive Compensation	Mgmt	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For
7	Amendment to the 2010 Stock incentive Plan	Mgmt	For
			For
			For
8	Ratification of Auditor	Mgmt	For
			For
			For

ITRON, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ITRI	CUSIP 465741106	09/14/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Lynda L. Ziegler	Mgmt	For
2	Elect Thomas S. Glanville	Mgmt	For
			Against
3	Elect Diana D. Tremblay	Mgmt	For
4	Elect Peter Mainz	Mgmt	For
5	Elect Jerome J. Lande	Mgmt	For
6	Elect Frank M. Jaehnert	Mgmt	For
7	Advisory Vote on Executive Compensation	Mgmt	For
			Against
			Against
8	Ratification of Auditor	Mgmt	For
			For
			For

JinkoSolar Holding Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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JKS	CUSIP 47759T100	12/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Kangping Chen	Mgmt	For	Against	Against
2	Elect Xianhua Li	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Authorization of Legal Formalities	Mgmt	For	For	For

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### Kurita Water Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6370	CINS J37221116	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Michiya Kadota	Mgmt	For	Against	Against
4	Elect Kohichi Iioka	Mgmt	For	For	For
5	Elect Kiyoshi Itoh	Mgmt	For	For	For
6	Elect Takahito Namura	Mgmt	For	For	For
7	Elect Toshitaka Kodama	Mgmt	For	For	For
8	Elect Yoshio Yamada	Mgmt	For	For	For
9	Elect Ikuo Ishimaru	Mgmt	For	For	For
10	Elect Hirohiko Ejiri	Mgmt	For	For	For
11	Elect Tsuguto Moriwaki	Mgmt	For	For	For
12	Elect Ryohko Sugiyama	Mgmt	For	For	For
13	Elect Shigekazu Torikai as Statutory Auditor	Mgmt	For	For	For
14	Elect Yoshihiro Tsuji as Alternate Statutory Auditor	Mgmt	For	For	For

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### Nibe Industrier AB

Ticker	Security ID:	Meeting Date	Meeting Status		
NIBEB	CINS W57113149	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of	Mgmt	For	For	For



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14	Profits/Dividends Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size	Mgmt	For	For	For
16	Number of Auditors	Mgmt	For	For	For
17	Directors and Auditors' Fees	Mgmt	For	Against	Against
18	Election of Directors	Mgmt	For	Against	Against
19	Appointment of Auditor	Mgmt	For	For	For
20	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
21	Remuneration Guidelines	Mgmt	For	For	For
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Nibe Industrier AB

Ticker	Security ID:	Meeting Date	Meeting Status		
NIBE'B	CINS W57113149	10/03/2016	Voted		
Meeting Type	Country of Trade				
Special	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Amendments to Articles Regarding Share Capital	Mgmt	For	For	For
12	Rights Issue	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Nordex SE

Ticker	Security ID:	Meeting Date	Meeting Status		
NDX1	CINS D5736K135	05/30/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
6	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
7	Appointment of Auditor	Mgmt	For	TNA	N/A

Ormat Technologies, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status
ORA	CUSIP 686688102	05/08/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Stanley Stern	Mgmt	For
2	Elect David Granot	Mgmt	For
3	Elect Robert E. Joyal	Mgmt	For
4	Ratification of Auditor	Mgmt	For
5	Advisory Vote on Executive Compensation	Mgmt	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years
			1 Year
			Against
7	Amendments to Certificate of Incorporation - Bundled	Mgmt	For
			For
			For

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### Power Integrations, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
POWI	CUSIP 739276103	05/19/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1.1	Elect Wendy Arienzo	Mgmt	For
1.2	Elect Balu Balakrishnan	Mgmt	For
1.3	Elect Alan D. Bickell	Mgmt	For
1.4	Elect Nicholas E. Brathwaite	Mgmt	For
1.5	Elect William L. George	Mgmt	For
1.6	Elect Balakrishnan S. Iyer	Mgmt	For
1.7	Elect E. Floyd Kvamme	Mgmt	For
1.8	Elect Steven J. Sharp	Mgmt	For
2	Advisory Vote on Executive Compensation	Mgmt	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	For
			1 Year
			N/A
4	Ratification of Auditor	Mgmt	For
			For

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### SolarCity Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
SCTY	CUSIP 83416T100	11/17/2016	Voted
Meeting Type		Country of Trade	
Special		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Merger	Mgmt	For
2	Right to Adjourn Meeting	Mgmt	For
			For

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### SunPower Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
SPWR	CUSIP 867652406	04/27/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helle Kristoffersen	Mgmt	For	For	For
1.2	Elect Thomas R. McDaniel	Mgmt	For	For	For
1.3	Elect Thomas H. Werner	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Tesla Motors Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLA	CUSIP 88160R101	11/17/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	Against	Against
2	Right to Adjourn Meeting	Mgmt	For	Against	Against

Tesla, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSLA	CUSIP 88160R101	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Elon Musk	Mgmt	For	For	For
2	Elect Robyn M. Denholm	Mgmt	For	For	For
3	Elect Stephen T. Jurvetson	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
6	Ratification of Auditor	Mgmt	For	For	For
7	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	Against

Trina Solar Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TSL	CUSIP 89628E104	09/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YEUNG Kwok On	Mgmt	For	For	For
2	Elect Qian Zhao	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Trina Solar Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
TSL	CUSIP 89628E104	12/16/2016	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger	Mgmt	For	Against	Against	
2	Right to Adjourn Meeting	Mgmt	For	Against	Against	

Veeco Instruments Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
VECO	CUSIP 922417100	05/04/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Kathleen A. Bayless	Mgmt	For	For	For	
1.2	Elect Gordon Hunter	Mgmt	For	For	For	
1.3	Elect Peter J. Simone	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
4	Ratification of Auditor	Mgmt	For	For	For	

Verbund AG						
Ticker	Security ID:	Meeting Date	Meeting Status			
VER	CINS A91460104	04/05/2017	Voted			
Meeting Type	Country of Trade					
Annual	Austria					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Ratification of Management Board Acts	Mgmt	For	For	For	
4	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
5	Appointment of Auditor	Mgmt	For	For	For	
6	Elect Gerhard Roiss to the Supervisory Board	Mgmt	For	For	For	
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Vestas Wind Systems A/S						
Ticker	Security ID:	Meeting Date	Meeting Status			
VWS	CINS K9773J128	04/06/2017	Voted			
Meeting Type	Country of Trade					
Annual	Denmark					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Elect Bert Nordberg	Mgmt	For	For	For
9	Elect Carsten Bjerg	Mgmt	For	For	For
10	Elect Eija Pitkanen	Mgmt	For	For	For
11	Elect Henrik Andersen	Mgmt	For	For	For
12	Elect Henry Stenson	Mgmt	For	For	For
13	Elect Lars G. Josefsson	Mgmt	For	For	For
14	Elect Lykke Friis	Mgmt	For	For	For
15	Elect Torben Ballegaard Sorensen	Mgmt	For	For	For
16	Directors' Fees for 2016	Mgmt	For	For	For
17	Directors' Fees for 2017	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Authority to Reduce Share Capital	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Amendments to Articles Regarding Attendance	Mgmt	For	For	For
22	Authority to Carry Out Formalities	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2208	CINS Y97237112	02/28/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Participation in Land Restructuring	Mgmt	For	For	For
3	Transactions with Xinjiang Wind Power Co., Ltd.	Mgmt	For	For	For
4	Transactions with China Three Gorges New Energy Co., Ltd.	Mgmt	For	For	For
5	Transactions with Xinjiang New Energy Co., Ltd.	Mgmt	For	For	For
6	Elect GAO Jianjun	Mgmt	For	For	For

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
2208	CINS Y97237112	06/28/2017	Voted

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Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Allocation of Dividends and Bonus Share Issue	Mgmt	For	For	For	For
3	Authority to Issue Bonds and Asset-Backed Securities	Mgmt	For	For	For	For
4	Amendments to Articles Regarding Issued/Registered Share Capital	Mgmt	For	For	For	For
5	Directors' Report	Mgmt	For	For	For	For
6	Supervisors' Report	Mgmt	For	For	For	For
7	Accounts and Reports	Mgmt	For	For	For	For
8	Annual Report	Mgmt	For	For	For	For
9	Approval of Line of Credit	Mgmt	For	For	For	For
10	Authority to Give Guarantees (Letter)	Mgmt	For	Abstain	Against	Against
11	Authority to Give Guarantees	Mgmt	For	Abstain	Against	Against
12	Report on Use of Proceeds	Mgmt	For	For	For	For
13	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For	For
14	Elect XIAO Hong as Supervisor	Mgmt	For	Against	Against	Against

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Xinjiang Goldwind Science & Technology Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
2208	CINS Y97237112	10/21/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Investment in zPark Capital II	Mgmt	For	For	For	For
3	Elect Kelvin WONG Tin Yau; Approval of Directors' Fees	Mgmt	For	Against	Against	Against

Fund Name : VanEck Vectors Global Spin-Off ETF

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AbbVie Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ABBV	CUSIP 00287Y109	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1.1	Elect Robert J. Alpern	Mgmt	For	For	For
1.2	Elect Edward M. Liddy	Mgmt	For	For	For
1.3	Elect Melody B Meyer	Mgmt	For	For	For
1.4	Elect Frederick H. Waddell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Repeal of Classified Board	Mgmt	For	For	For
5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
6	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Against	For	Against

Adient plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ADNT	CUSIP G0084W101	03/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John M. Barth	Mgmt	For	For	For
2	Elect Julie L. Bushman	Mgmt	For	For	For
3	Elect Raymond L. Conner	Mgmt	For	For	For
4	Elect Richard A. Goodman	Mgmt	For	For	For
5	Elect Frederick A. Henderson	Mgmt	For	For	For
6	Elect R. Bruce McDonald	Mgmt	For	For	For
7	Elect Barb J. Samardzich	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Approval of the Material Terms of Performance Goals Under 2016 Plan	Mgmt	For	For	For

AdvanSix Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ASIX	CUSIP 00773T101	06/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Darrell K. Hughes	Mgmt	For	For	For
2	Elect Todd Karran	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on	Mgmt	For	For	For

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	Executive Compensation				
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Approval of the Material Terms of the 162(m) Performance Goals under the 2016 Stock Incentive Plan	Mgmt	For	For	For

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Aker Solutions ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
AKSO	CINS R0138P118	04/20/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Agenda	Mgmt	For	TNA	N/A
7	Minutes	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
9	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
10	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
11	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
12	Incentive Guidelines (Binding)	Mgmt	For	TNA	N/A
13	Directors' Fees	Mgmt	For	TNA	N/A
14	Nomination Committee Fees	Mgmt	For	TNA	N/A
15	Election of Directors	Mgmt	For	TNA	N/A
16	Nomination Committee	Mgmt	For	TNA	N/A
17	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
18	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
19	Authority to Repurchase Shares Pursuant to LTIP	Mgmt	For	TNA	N/A
20	Authority to Repurchase Shares for Cancellation	Mgmt	For	TNA	N/A
21	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Alcoa Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AA	CUSIP 013872106	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against



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						Mgmt
1	Elect Michael G. Morris	Mgmt	For	For	For	For
2	Elect Mary Anne Citrino	Mgmt	For	For	For	For
3	Elect Timothy P. Flynn	Mgmt	For	For	For	For
4	Elect Kathryn S. Fuller	Mgmt	For	For	For	For
5	Elect Roy C. Harvey	Mgmt	For	For	For	For
6	Elect James A. Hughes	Mgmt	For	For	For	For
7	Elect James E. Nevels	Mgmt	For	For	For	For
8	Elect James W. Owens	Mgmt	For	For	For	For
9	Elect Carol L. Roberts	Mgmt	For	For	For	For
10	Elect Suzanne Sitherwood	Mgmt	For	For	For	For
11	Elect Steven W. Williams	Mgmt	For	For	For	For
12	Elect Ernesto Zedillo	Mgmt	For	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	For
16	Amendment to the Annual Cash Incentive Compensation Plan	Mgmt	For	For	For	For
17	Amendment to the 2016 Stock Incentive Plan	Mgmt	For	For	For	For

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Alexander & Baldwin Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALEX	CUSIP 014491104	04/25/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect W. Allen Doane	Mgmt	For	For	For	
1.2	Elect David C. Hulihee	Mgmt	For	For	For	
1.3	Elect Stanley M. Kuriyama	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	

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Allegion plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALLE	CUSIP G0176J109	06/07/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Michael J. Chesser	Mgmt	For	For	For	
2	Elect Carla Cico	Mgmt	For	For	For	
3	Elect Kirk S. Hachigian	Mgmt	For	For	For	
4	Elect David D. Petratis	Mgmt	For	For	For	
5	Elect Dean I. Schaffer	Mgmt	For	For	For	
6	Elect Martin E. Welch III	Mgmt	For	For	For	
7	Advisory Vote on	Mgmt	For	For	For	

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8	Executive Compensation	Ratification of Auditor	Mgmt	For	For	For
<hr/>						
Altisource Residential Corp						
Ticker	Security ID:	Meeting Date		Meeting Status		
RESI	CUSIP 02153W100	05/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect David B. Reiner	Mgmt	For	For	For	
1.2	Elect Rochelle R. Dobbs	Mgmt	For	For	For	
1.3	Elect George G. Ellison	Mgmt	For	For	For	
1.4	Elect Michael A. Eruzione	Mgmt	For	For	For	
1.5	Elect Wade J. Henderson	Mgmt	For	For	For	
1.6	Elect William P. Wall	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
<hr/>						
Armstrong Flooring, Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
AFI	CUSIP 04238R106	06/02/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Kathleen S. Lane	Mgmt	For	For	For	
2	Elect Michael W. Malone	Mgmt	For	For	For	
3	Elect Jacob H. Welch	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
6	Approval of Amended 2016 Long-Term Incentive Plan	Mgmt	For	For	For	
7	Ratification of Auditor	Mgmt	For	For	For	
<hr/>						
Associated Capital Group Inc						
Ticker	Security ID:	Meeting Date		Meeting Status		
AC	CUSIP 045528106	05/03/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Richard L. Bready	Mgmt	For	For	For	
1.2	Elect Mario J. Gabelli	Mgmt	For	For	For	
1.3	Elect Douglas R. Jamieson	Mgmt	For	For	For	
1.4	Elect Daniel R. Lee	Mgmt	For	Withhold	Against	
1.5	Elect Bruce M. Lisman	Mgmt	For	For	For	
1.6	Elect Frederic V. Salerno	Mgmt	For	For	For	
1.7	Elect Salvatore F. Sodano	Mgmt	For	For	For	
2	Appointment of Auditor	Mgmt	For	For	For	

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Babcock & Wilcox Enterprises Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
BW	CUSIP 05614L100	05/09/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Stephen G. Hanks	Mgmt	For	For	For	
1.2	Elect Anne R. Pramaggiore	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Bonava AB						
Ticker	Security ID:	Meeting Date	Meeting Status			
BONAVA	CINS W4200R113	04/04/2017	Voted			
Meeting Type		Country of Trade				
Annual		Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
13	Accounts and Reports	Mgmt	For	For	For	
14	Allocation of Profits/Dividends	Mgmt	For	For	For	
15	Ratification of Board and CEO Acts	Mgmt	For	For	For	
16	Board Size; Number of Auditors	Mgmt	For	For	For	
17	Directors and Auditors' Fees	Mgmt	For	For	For	
18	Election of Directors; Appointment of Auditors	Mgmt	For	For	For	
19	Nomination Committee	Mgmt	For	For	For	
20	Nomination Committee Instructions	Mgmt	For	For	For	
21	Remuneration Guidelines	Mgmt	For	For	For	
22	Long-Term Incentive Programme	Mgmt	For	For	For	
23	Authority to Repurchase and Issue Treasury Shares	Mgmt	For	For	For	
24	Amendments to Articles Regarding Registered Office	Mgmt	For	For	For	

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25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
26	Shareholder Proposal Regarding Equality	ShrHoldr	For	Against	Against
27	Shareholder Proposal Regarding Implementing Equality	ShrHoldr	For	Against	Against
28	Shareholder Proposal Regarding Annual Report	ShrHoldr	For	Against	Against
29	Shareholder Proposal Regarding Shareholders' Association	ShrHoldr	For	Abstain	Against
30	Shareholder Proposal Regarding Directors' Fees	ShrHoldr	For	Against	Against
31	Shareholder Proposal Regarding Nomination Committee Instructions	ShrHoldr	For	Against	Against
32	Shareholder Proposal Regarding Small and Medium-sized Shareholders	ShrHoldr	For	Against	Against
33	Shareholder Proposal Regarding Zero Tolerance Policy for Work Place Accidents	ShrHoldr	For	Against	Against
34	Shareholder Proposal Regarding Implementation of Zero Tolerance Policy	ShrHoldr	For	Against	Against
35	Shareholder Proposal Regarding Zero Tolerance Policy for Work Place Accidents	ShrHoldr	For	Against	Against
36	Shareholder Proposal Regarding Unequal Voting Rights	ShrHoldr	For	For	For
37	Shareholder Proposal Regarding Recruitment of Politicians	ShrHoldr	For	Against	Against
38	Shareholder Proposal Regarding Directors' Fees Petition	ShrHoldr	For	Against	Against
39	Shareholder Proposal Regarding Petition	ShrHoldr	For	Against	Against
40	Shareholder Proposal Regarding Recruitment of Politicians	ShrHoldr	For	Against	Against
41	Shareholder Proposal	ShrHoldr	For	Against	Against

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Regarding Unequal Voting Rights					
42	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
<hr/>					
Bonava AB					
Ticker	Security ID:	Meeting Date	Meeting Status		
BONAVA	CINS W4200R113	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Long-term Incentive Plan	Mgmt	For	For	For
11	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	For	For
<hr/>					
Cable One, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CABO	CUSIP 12685J105	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan G. Spoon	Mgmt	For	For	For
2	Elect Wallace R. Weitz	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Amendment to the 2015 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
<hr/>					
California Resources Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CRC	CUSIP 13057Q206	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William E. Albrecht	Mgmt	For	For	For
2	Elect Richard W. Moncrief	Mgmt	For	For	For
3	Elect Todd A. Stevens	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
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Care Capital Properties, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CCP	CUSIP 141624106	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Douglas Crocker, II	Mgmt	For	For	For
2	Elect John S. Gates, Jr.	Mgmt	For	For	For
3	Elect Ronald G. Geary	Mgmt	For	For	For
4	Elect Raymond J. Lewis	Mgmt	For	For	For
5	Elect Jeffrey A. Malehorn	Mgmt	For	For	For
6	Elect Dale Anne Reiss	Mgmt	For	For	For
7	Elect John L. Workman	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

CareTrust REIT Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CTRE	CUSIP 14174T107	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gregory K. Stapley	Mgmt	For	For	For
1.2	Elect Spencer G. Plumb	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Caverion Corporation OYJ

Ticker	Security ID:	Meeting Date	Meeting Status		
CAV1V	CINS X09586102	03/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Finland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Board and CEO Acts	Mgmt	For	For	For

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12	Amendments to Articles Regarding Board Size	Mgmt	For	For	For
13	Board Size	Mgmt	For	For	For
14	Directors' Fees	Mgmt	For	For	For
15	Election of Directors	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

CDK Global Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CDK	CUSIP 12508E101	11/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Leslie A. Brun	Mgmt	For	For	For
2	Elect Willie A. Deese	Mgmt	For	For	For
3	Elect Amy J. Hillman	Mgmt	For	For	For
4	Elect Brian P. MacDonald	Mgmt	For	For	For
5	Elect Eileen J. Martinson	Mgmt	For	For	For
6	Elect Stephen A. Miles	Mgmt	For	For	For
7	Elect Robert E. Radway	Mgmt	For	For	For
8	Elect Stephen F. Schuckenbrock	Mgmt	For	For	For
9	Elect Frank S. Sowinski	Mgmt	For	For	For
10	Elect Robert M. Tarkoff	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Chemours Co

Ticker	Security ID:	Meeting Date	Meeting Status		
CC	CUSIP 163851108	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Curtis V. Anastasio	Mgmt	For	For	For
2	Elect Bradley J. Bell	Mgmt	For	For	For
3	Elect Richard H. Brown	Mgmt	For	For	For
4	Elect Mary B. Cranston	Mgmt	For	For	For
5	Elect Curtis J. Crawford	Mgmt	For	For	For
6	Elect Dawn L. Farrell	Mgmt	For	For	For
7	Elect Stephen D. Newlin	Mgmt	For	Against	Against
8	Elect Mark P. Vergnano	Mgmt	For	For	For
9	Advisory Vote on	Mgmt	For	For	For

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	Executive Compensation				
10	Ratification of Auditor	Mgmt	For	For	For
11	Approval of the 2017 Equity and Incentive Plan	Mgmt	For	For	For
12	Approval of the Employee Stock Purchase Plan	Mgmt	For	For	For
13	Shareholder Proposal Regarding Report on Ratio Between NEO and Employee Pay	ShrHoldr	Against	Against	For

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Cheung Kong Property Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1113	CINS G2103F101	03/14/2017	Voted		
Meeting Type		Country of Trade			
Special		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Joint Venture	Mgmt	For	For	For

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Cheung Kong Property Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1113	CINS G2103F101	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Victor LI Tzar Kuoi	Mgmt	For	For	For
6	Elect KAM Hing Lam	Mgmt	For	For	For
7	Elect Davy CHUNG Sun Keung	Mgmt	For	For	For
8	Elect Henry CHEONG Ying Chew	Mgmt	For	Against	Against
9	Elect Colin S. Russel	Mgmt	For	For	For
10	Elect Donald J. Roberts	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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China Overseas Property Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2669	CINS G2118M109	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect XIAO Xiao	Mgmt	For	Against	Against
6	Elect WANG Qi	Mgmt	For	For	For
7	Elect KAM Yuk Fai	Mgmt	For	For	For
8	Elect Samuel YUNG Wing Ki	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Chorus Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CNU	CINS Q6634X100	11/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	New Zealand				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Anne Urlwin	Mgmt	For	For	For
2	Re-elect Keith S. Turner	Mgmt	For	For	For
3	Elect Mark Cross	Mgmt	For	For	For
4	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For
5	Authorise Board to Set Auditor's Fees	Mgmt	For	For	For

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Colony NorthStar Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CLNS	CUSIP 19625W104	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas J. Barrack, Jr.	Mgmt	For	For	For
2	Elect David T. Hamamoto	Mgmt	For	For	For
3	Elect Douglas Crocker II	Mgmt	For	For	For

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4	Elect Nancy A. Curtin	Mgmt	For	For	For
5	Elect Jon A. Fosheim	Mgmt	For	For	For
6	Elect Justin Metz	Mgmt	For	Against	Against
7	Elect George G. C. Parker	Mgmt	For	For	For
8	Elect Charles W. Schoenherr	Mgmt	For	For	For
9	Elect John A. Somers	Mgmt	For	For	For
10	Elect John L Steffens	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For

Colony Starwood Homes

Ticker	Security ID:	Meeting Date	Meeting Status		
SFR	CUSIP 19625X102	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Thomas J. Barrack, Jr.	Mgmt	For	For	For
1.2	Elect Barry S. Sternlicht	Mgmt	For	For	For
1.3	Elect Robert T. Best	Mgmt	For	For	For
1.4	Elect Thomas M. Bowers	Mgmt	For	For	For
1.5	Elect Richard D. Bronson	Mgmt	For	For	For
1.6	Elect Justin T. Chang	Mgmt	For	For	For
1.7	Elect Michael D. Fascitelli	Mgmt	For	For	For
1.8	Elect Renee Lewis Glover	Mgmt	For	For	For
1.9	Elect Jeffrey E. Kelter	Mgmt	For	For	For
1.10	Elect Thomas W. Knapp	Mgmt	For	For	For
1.11	Elect Richard Saltzman	Mgmt	For	For	For
1.12	Elect John L Steffens	Mgmt	For	For	For
1.13	Elect J. Ronald Terwilliger	Mgmt	For	Withhold	Against
1.14	Elect Frederick C. Tuomi	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Approval of the 2017 Employee Share Purchase Plan	Mgmt	For	For	For
4	Amendment to the Equity Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

CommerceHub, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CHUBA	CUSIP 20084V306	06/16/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark P. Cattini	Mgmt	For	Withhold	Against
1.2	Elect David Goldhill	Mgmt	For	For	For
1.3	Elect Chad Hollingsworth	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2016 Omnibus Incentive Plan	Mgmt	For	Against	Against

CSRA Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CSRA	CUSIP 12650T104	08/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Keith B. Alexander	Mgmt	For	For	For
2	Elect Sanju K. Bansal	Mgmt	For	For	For
3	Elect Michele A. Flournoy	Mgmt	For	For	For
4	Elect Mark A. Frantz	Mgmt	For	For	For
5	Elect Nancy Killefer	Mgmt	For	For	For
6	Elect Craig R. Martin	Mgmt	For	For	For
7	Elect Sean O'Keefe	Mgmt	For	For	For
8	Elect Lawrence B. Prior III	Mgmt	For	For	For
9	Elect Michael E. Ventling	Mgmt	For	For	For
10	Elect Billie I. Williamson	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2015 Omnibus Incentive Plan	Mgmt	For	For	For

Donnelley Financial Solutions Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
DFIN	CUSIP 25787G100	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Daniel N. Leib	Mgmt	For	For	For
2	Elect Lois M. Martin	Mgmt	For	For	For
3	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Amendment to the 2016 Omnibus Incentive Plan	Mgmt	For	For	For

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Performance Incentive Plan						
6	Ratification of Auditor	Mgmt	For	For	For	For
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Energizer Holdings, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ENR	CUSIP 29272W109	01/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Cynthia J. Brinkley	Mgmt	For		For	For
2	Elect John E. Klein	Mgmt	For		For	For
3	Ratification of Auditor	Mgmt	For		For	For
4	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For		For	For
5	Declassify the Board of Directors	Mgmt	For		For	For
<hr/>						
Engility Holdings Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
EGL	CUSIP 29286C107	05/25/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect John W. Barter, III	Mgmt	For		For	For
1.2	Elect Anthony J. Principi	Mgmt	For		For	For
1.3	Elect Peter A. Marino	Mgmt	For		For	For
1.4	Elect David A. Savner	Mgmt	For		For	For
2	Ratification of Auditor	Mgmt	For		For	For
3	Advisory Vote on Executive Compensation	Mgmt	For		For	For
<hr/>						
Exterran Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
EXTN	CUSIP 30227H106	04/27/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect William M. Goodyear	Mgmt	For		Withhold	Against
1.2	Elect James C. Gouin	Mgmt	For		Withhold	Against
1.3	Elect John P. Ryan	Mgmt	For		Withhold	Against
1.4	Elect Christopher T. Seaver	Mgmt	For		Withhold	Against
1.5	Elect Mark R. Sotir	Mgmt	For		For	For
1.6	Elect Richard R. Stewart	Mgmt	For		For	For
1.7	Elect Andrew J. Way	Mgmt	For		For	For
1.8	Elect Ieda Gomes Yell	Mgmt	For		For	For
2	Ratification of Auditor	Mgmt	For		For	For
3	Advisory Vote on Executive Compensation	Mgmt	For		For	For

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4 Frequency of Advisory Mgmt 1 Year 1 Year For  
Vote on Executive  
Compensation

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Fiesta Restaurant Group Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FRGI	CUSIP 31660B101	06/07/2017	Take No Action
Meeting Type	Country of Trade		
Proxy Contest	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1.1	Elect Dissident Nominee John B. Morlock	Mgmt	N/A	TNA	N/A
1.2	Elect Dissident Nominee James C. Pappas	Mgmt	N/A	TNA	N/A
1.3	Elect Management Nominee Stephen P. Elker	Mgmt	N/A	TNA	N/A
2	Advisory Vote on Executive Compensation	Mgmt	N/A	TNA	N/A
3	Amendment to the 2012 Stock Incentive Plan	Mgmt	N/A	TNA	N/A
4	Adoption of Majority Vote for Election of Directors	Mgmt	N/A	TNA	N/A
5	Ratification of Auditor	Mgmt	N/A	TNA	N/A

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Fiesta Restaurant Group Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FRGI	CUSIP 31660B101	06/07/2017	Voted
Meeting Type	Country of Trade		
Proxy Contest	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1.1	Elect Brian P. Friedman	Mgmt	For	For	For
1.2	Elect Stephen P. Elker	Mgmt	For	For	For
1.3	Elect Barry J. Alperin	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the 2012 Stock Incentive Plan	Mgmt	For	For	For
4	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

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Fortive Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
FTV	CUSIP 34959J108	06/06/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt

1	Elect Kate D. Mitchell	Mgmt	For	For	For
2	Elect Israel Ruiz	Mgmt	For	Against	Against
3	Ratification of Auditor	Mgmt	For	For	For

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4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Repeal of Classified Board	Mgmt	For	For	For

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### Four Corners Property Trust Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
FCPT	CUSIP 35086T109	06/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William H. Lenehan	Mgmt	For	For	For
2	Elect Douglas B. Hansen	Mgmt	For	For	For
3	Elect John S. Moody	Mgmt	For	For	For
4	Elect Marran H. Ogilvie	Mgmt	For	For	For
5	Elect Paul E. Szurek	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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### Frasers Centrepoint Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
TQ5	CINS Y2642R103	01/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Philip ENG Heng Nee	Mgmt	For	Against	Against
5	Elect Charles MAK Ming Ying	Mgmt	For	Against	Against
6	Elect WEE Joo Yeow	Mgmt	For	Against	Against
7	Elect Sithichai Chaikriangkrai	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Issue Shares under the Restricted and Performance Share Plans	Mgmt	For	For	For
12	Related Party	Mgmt	For	For	For

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13	Transactions Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
<hr/>					
FTD Companies Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
FTD	CUSIP 30281V108	06/06/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Tracey L. Belcourt	Mgmt	For	For	For
1.2	Elect Joseph W. Harch	Mgmt	For	For	For
1.3	Elect Robin Hickenlooper	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2013 Incentive and Compensation Plan	Mgmt	For	Against	Against
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Gaming And Leisure Properties, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
GLPI	CUSIP 36467J108	06/15/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Joseph W. Marshall, III	Mgmt	For	For	For
1.2	Elect E. Scott Urdang	Mgmt	For	For	For
1.3	Elect Earl C. Shanks	Mgmt	For	For	For
1.4	Elect James B. Perry	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against
<hr/>					
Gannett Co Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
GCI	CUSIP 36473H104	05/10/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John E. Cody	Mgmt	For	For	For
2	Elect Stephen W. Coll	Mgmt	For	For	For
3	Elect Robert J. Dickey	Mgmt	For	For	For
4	Elect Donald E. Felsing	Mgmt	For	For	For
5	Elect Lila Ibrahim	Mgmt	For	For	For

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6	Elect Lawrence S. Kramer	Mgmt	For	For	For
7	Elect John Jeffry Louis	Mgmt	For	For	For
8	Elect Tony A. Prophet	Mgmt	For	For	For
9	Elect Debra A. Sandler	Mgmt	For	For	For
10	Elect Chloe R. Sladden	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to the 2015 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

GCP Applied Technologies Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
GCP	CUSIP 36164Y101	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Janice K. Henry	Mgmt	For	For	For
2	Elect Gregory E. Poling	Mgmt	For	For	For
3	Elect Danny R. Shepherd	Mgmt	For	For	For
4	Elect Gerald G. Colella	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Approval of the Amendment to the Equity and Incentive Plan	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Global Brands Group Holding Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
787	CINS G39338101	09/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Dow FAMULAK	Mgmt	For	For	For
5	Elect LEE Hau Leung	Mgmt	For	For	For
6	Elect Audrey WANG LO	Mgmt	For	For	For
7	Elect Ann Marie SCICHILI	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against



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11	Adoption of Share Award Scheme	Mgmt	For	Against	Against
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Global Brands Group Holding Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
787	CINS G39338101	12/12/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Restated Buying Agency Agreement	Mgmt	For	For	For

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Groupe Fnac SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FNAC	CINS F4604M107	05/24/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Approval of Non Tax-Deductible Expenses	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Elect Brigitte Taitinger-Jouyet	Mgmt	For	For	For
10	Elect Carole Ferrand	Mgmt	For	Against	Against
11	Elect Heloise Temple-Boyer	Mgmt	For	Against	Against
12	Appointment of Auditor	Mgmt	For	For	For
13	Remuneration of Alexandre Bompard, Chair and CEO	Mgmt	For	Against	Against
14	Remuneration Policy (Chair and CEO)	Mgmt	For	Against	Against
15	Directors' Fees	Mgmt	For	For	For
16	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
17	Authority to Issue Shares and/or Convertible Securities w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares and/or	Mgmt	For	For	For

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	Convertible Securities w/ Priority Subscription Rights					
19	Authority to Increase Capital in Case of Exchange Offer	Mgmt	For	For	For	For
20	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights Through Private Placement	Mgmt	For	For	For	For
21	Greenshoe	Mgmt	For	For	For	For
22	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	For	For	For
23	Authority to Set Offering Price of Shares	Mgmt	For	For	For	For
24	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For	For
25	Employee Stock Purchase Plan	Mgmt	For	For	For	For
26	Amendments to Articles Regarding Corporate Name	Mgmt	For	For	For	For
27	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For	For
28	Authorisation of Legal Formalities	Mgmt	For	For	For	For

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Halyard Health Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
HYH	CUSIP 40650V100	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert E. Abernathy	Mgmt	For	For	For
2	Elect Ronald W. Dollens	Mgmt	For	For	For
3	Elect Heidi K. Kunz	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Herc Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
HRI	CUSIP 42704L104	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Herbert L. Henkel	Mgmt	For	For	For
2	Elect Lawrence Silber	Mgmt	For	For	For
3	Elect James H. Browning	Mgmt	For	For	For
4	Elect Patrick D. Campbell	Mgmt	For	For	For
5	Elect Michael A. Kelly	Mgmt	For	For	For
6	Elect Courtney Mather	Mgmt	For	For	For
7	Elect Stephen Mongillo	Mgmt	For	For	For
8	Elect Louis J. Pastor	Mgmt	For	For	For
9	Elect Mary Pat Salomone	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

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Hewlett Packard Enterprise Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HPE	CUSIP 42824C109	03/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Daniel Ammann	Mgmt	For	For	For
2	Elect Marc L. Andreessen	Mgmt	For	For	For
3	Elect Michael J. Angelakis	Mgmt	For	For	For
4	Elect Leslie A. Brun	Mgmt	For	For	For
5	Elect Pamela L. Carter	Mgmt	For	For	For
6	Elect Klaus Kleinfeld	Mgmt	For	Against	Against
7	Elect Raymond J. Lane	Mgmt	For	For	For
8	Elect Ann M. Livermore	Mgmt	For	For	For
9	Elect Raymond E. Ozzie	Mgmt	For	For	For
10	Elect Gary M. Reiner	Mgmt	For	For	For
11	Elect Patricia F. Russo	Mgmt	For	For	For
12	Elect Lip-Bu Tan	Mgmt	For	Against	Against
13	Elect Margaret C. Whitman	Mgmt	For	For	For
14	Elect Mary A. Wilderotter	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
17	Amendment to the 2015 Stock Incentive Plan	Mgmt	For	For	For

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Horizon Global Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
HZN	CUSIP 44052W104	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Denise Ilitch	Mgmt	For	For	For
1.2	Elect Richard L. DeVore	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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Hyster-Yale Materials Handling Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HY	CUSIP 449172105	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect J. C. Butler, Jr.	Mgmt	For	For	For
1.2	Elect Carolyn Corvi	Mgmt	For	For	For
1.3	Elect John P. Jumper	Mgmt	For	For	For
1.4	Elect Dennis W. LaBarre	Mgmt	For	For	For
1.5	Elect H. Vincent Poor	Mgmt	For	For	For
1.6	Elect Alfred M. Rankin, Jr.	Mgmt	For	For	For
1.7	Elect Claiborne R. Rankin	Mgmt	For	For	For
1.8	Elect John M. Stropki, Jr.	Mgmt	For	For	For
1.9	Elect Britton T. Taplin	Mgmt	For	For	For
1.10	Elect Eugene Wong	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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Indivior Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
INDV	CINS G4766E108	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Howard H. Pien	Mgmt	For	For	For
4	Elect Shaun Thaxter	Mgmt	For	For	For
5	Elect Mark Crossley	Mgmt	For	For	For
6	Elect Yvonne Greenstreet	Mgmt	For	For	For
7	Elect A. Thomas McLellan	Mgmt	For	Against	Against
8	Elect Tatjana May	Mgmt	For	For	For
9	Elect Lorna Parker	Mgmt	For	For	For
10	Elect Daniel J. Phelan	Mgmt	For	For	For
11	Elect Christian S. Schade	Mgmt	For	For	For
12	Elect Daniel Tasse	Mgmt	For	For	For
13	Elect Elizabeth H. Zlatkus	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Authorisation of Political Donations	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue	Mgmt	For	For	For

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	Shares w/o Preemptive Rights (Specified Capital Investment)					
20	Authority to Repurchase Shares	Mgmt	For	For	For	
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For	
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Ingevity Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
NGVT	CUSIP 45688C107	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard B. Kelson	Mgmt	For	For	For
2	Elect D. Michael Wilson	Mgmt	For	For	For
3	Approval of the 2017 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Approval of material terms of 162(m) performance goals under the 2016 Omnibus Incentive Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For

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International Seaways Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
INSW	CUSIP Y41053102	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Douglas D. Wheat	Mgmt	For	For	For
1.2	Elect Timothy J. Bernlohr	Mgmt	For	For	For
1.3	Elect Ian T. Blackley	Mgmt	For	For	For
1.4	Elect Randee E. Day	Mgmt	For	For	For
1.5	Elect David I. Greenberg	Mgmt	For	For	For
1.6	Elect Joseph I. Kronsberg	Mgmt	For	For	For
1.7	Elect Ty E. Wallach	Mgmt	For	For	For
1.8	Elect Gregory A. Wright	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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### Vote on Executive Compensation

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Italgas S.p.A.

Ticker	Security ID:	Meeting Date	Meeting Status
IG	CINS T6R89Z103	04/28/2017	Take No Action
Meeting Type		Country of Trade	
Ordinary		Italy	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For
4	Adoption of LTI Plan	Mgmt	For
5	Remuneration Policy	Mgmt	For
6	Elect Barbara Cavaliere as Alternate Statutory Auditor	Mgmt	For

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Keysight Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
KEYS	CUSIP 49338L103	03/16/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Paul N. Clark	Mgmt	For
2	Elect Richard Hamada	Mgmt	For
3	Ratification of Auditor	Mgmt	For
4	Advisory Vote on Executive Compensation	Mgmt	For

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KLX Inc

Ticker	Security ID:	Meeting Date	Meeting Status
KLXI	CUSIP 482539103	08/25/2016	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Benjamin A. Hardesty	Mgmt	For
1.2	Elect Stephen M. Ward, Jr.	Mgmt	For
2	Advisory Vote on Executive Compensation	Mgmt	For
3	Ratification of Auditor	Mgmt	For

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Knowles Corp

Ticker	Security ID:	Meeting Date	Meeting Status
KN	CUSIP 49926D109	05/02/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Jeffrey S. Niew	Mgmt	For
2	Elect Keith L. Barnes	Mgmt	For

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3	Elect Richard K. Lochridge	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Lands` End, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LE	CUSIP 51509F105	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert A. Bowman	Mgmt	For	For	For
1.2	Elect Robert Galvin	Mgmt	For	For	For
1.3	Elect Jerome S. Griffith	Mgmt	For	For	For
1.4	Elect Elizabeth Darst Leykum	Mgmt	For	For	For
1.5	Elect Josephine Linden	Mgmt	For	For	For
1.6	Elect John T. McClain	Mgmt	For	For	For
1.7	Elect Jignesh Patel	Mgmt	For	For	For
1.8	Elect Jonah Staw	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Approval of the 2017 Stock Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Liberty Broadband Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
LBRDA	CUSIP 530307107	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John C. Malone	Mgmt	For	Withhold	Against
1.2	Elect John E. Welsh, III	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For

LSC Communications Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
LKSD	CUSIP 50218P107	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas J. Quinlan, III	Mgmt	For	For	For
2	Elect M. Shan Atkins	Mgmt	For	For	For
3	Elect Margaret ("Marge") A. Brea	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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	Vote on Executive Compensation				
6	Amendment to the 2016 Performance Incentive Plan	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For

Lumentum Holdings Inc

Ticker Security ID: Meeting Date Meeting Status  
 LITE CUSIP 55024U109 11/04/2016 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Martin A. Kaplan	Mgmt	For	For	For
2	Elect Harold L. Covert	Mgmt	For	For	For
3	Elect Penelope A. Herscher	Mgmt	For	For	For
4	Elect Samuel F. Thomas	Mgmt	For	For	For
5	Elect Brian J. Lillie	Mgmt	For	For	For
6	Elect Alan S. Lowe	Mgmt	For	For	For
7	Approval of the Executive Officer Performance-Based Incentive Plan	Mgmt	For	For	For
8	Amendment to the 2015 Equity Incentive Plan	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For

Madison Square Garden Co

Ticker Security ID: Meeting Date Meeting Status  
 MSG CUSIP 55825T103 12/09/2016 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Frank J. Biondi, Jr.	Mgmt	For	For	For
1.2	Elect Richard D. Parsons	Mgmt	For	For	For
1.3	Elect Nelson Peltz	Mgmt	For	For	For
1.4	Elect Scott M. Sperling	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	2015 Employee Stock Plan	Mgmt	For	For	For
4	2015 Cash Incentive Plan	Mgmt	For	For	For
5	2010 Director Stock Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

Mallinckrodt plc

Ticker Security ID: Meeting Date Meeting Status  
 MNK CUSIP G5785G107 03/01/2017 Voted

Meeting Type Country of Trade



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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Melvin D. Booth	Mgmt	For	For	For
2	Elect David R. Carlucci	Mgmt	For	For	For
3	Elect J. Martin Carroll	Mgmt	For	For	For
4	Elect Diane H. Gulyas	Mgmt	For	For	For
5	Elect JoAnn A. Reed	Mgmt	For	For	For
6	Elect Angus C. Russell	Mgmt	For	For	For
7	Elect Virgil D. Thompson	Mgmt	For	For	For
8	Elect Mark C. Trudeau	Mgmt	For	For	For
9	Elect Kneeland C. Youngblood	Mgmt	For	For	For
10	Elect Joseph A. Zaccagnino	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Determine Reissue Price for Treasury Shares	Mgmt	For	For	For
15	Amendments to the Memorandum of Association	Mgmt	For	For	For
16	Amendments to the Articles of Association	Mgmt	For	For	For
17	Reduction of Company Capital	Mgmt	For	For	For

Murphy USA Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MUSA	CUSIP 626755102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Claiborne P. Deming	Mgmt	For	For	For
1.2	Elect Thomas M. Gattle, Jr.	Mgmt	For	For	For
1.3	Elect Jack T. Taylor	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Navient Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NAVI	CUSIP 63938C108	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect John K. Adams, Jr.	Mgmt	For	For	For
2	Elect Anna Escobedo Cabral	Mgmt	For	For	For
3	Elect William M. Diefenderfer III	Mgmt	For	For	For
4	Elect Diane Suitt Gilleland	Mgmt	For	For	For
5	Elect Katherine A. Lehman	Mgmt	For	For	For
6	Elect Linda A. Mills	Mgmt	For	For	For
7	Elect John F. Remondi	Mgmt	For	For	For
8	Elect Jane J. Thompson	Mgmt	For	For	For
9	Elect Laura S. Unger	Mgmt	For	For	For
10	Elect Barry L. Williams	Mgmt	For	For	For
11	Elect David L Yowan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the 2014 Omnibus Incentive Plan	Mgmt	For	For	For

New Media Investment Group Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
NEWM	CUSIP 64704V106	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wesley R. Edens	Mgmt	For	Withhold	Against
1.2	Elect Kevin Sheehan	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against	For	Against

New Residential Investment Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
NRZ	CUSIP 64828T201	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Tyson	Mgmt	For	For	For
2	Elect David Saltzman	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

New Senior Investment Group Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SNR	CUSIP 648691103	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt Against
1.1	Elect Wesley R. Edens	Mgmt	For	Withhold	
1.2	Elect Stuart A. McFarland	Mgmt	For	For	For
1.3	Elect Robert Savage	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

NorthStar Asset Management Group

Ticker	Security ID:	Meeting Date	Meeting Status		
NSAM	CUSIP 66705Y104	12/20/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Amendments to Articles Pursuant to Merger	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
4	Right to Adjourn Meeting	Mgmt	For	For	For

NOW Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DNOW	CUSIP 67011P100	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard J. Alario	Mgmt	For	For	For
2	Elect Rodney Eads	Mgmt	For	For	For
3	Elect Merrill A. Miller, Jr.	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

One Gas, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OGS	CUSIP 68235P108	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert B. Evans	Mgmt	For	For	For
2	Elect Michael G. Hutchinson	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approval of the Material Terms of the Annual Officer Incentive Plan	Mgmt	For	For	For

Orora Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
ORA	CINS Q7142U109	10/13/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Samantha L. Lewis	Mgmt	For	For	For
3	Re-elect Jeremy L. Sutcliffe	Mgmt	For	For	For
4	Equity Grant (MD/CEO Nigel Garrard - STI)	Mgmt	For	For	For
5	Equity Grant (MD/CEO Nigel Garrard - LTI)	Mgmt	For	For	For
6	REMUNERATION REPORT	Mgmt	For	For	For

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### Osram Licht AG

Ticker	Security ID:	Meeting Date	Meeting Status		
OSR	CINS D5963B113	02/14/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
8	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
9	Appointment of Auditor	Mgmt	For	TNA	N/A
10	Amendment to Corporate Purpose	Mgmt	For	TNA	N/A
11	Authority to Repurchase and Reissue Shares	Mgmt	For	TNA	N/A
12	Authority to Repurchase Shares Using Equity Derivatives	Mgmt	For	TNA	N/A

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### Paypal Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PYPL	CUSIP 70450Y103	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Wences Casares	Mgmt	For	For	For
2	Elect Jonathan Christodoro	Mgmt	For	For	For
3	Elect John J. Donahoe	Mgmt	For	Against	Against

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II					
4	Elect David Dorman	Mgmt	For	For	For
5	Elect Belinda J. Johnson	Mgmt	For	For	For
6	Elect Gail J. McGovern	Mgmt	For	For	For
7	Elect David M. Moffett	Mgmt	For	For	For
8	Elect Daniel H. Schulman	Mgmt	For	For	For
9	Elect Frank D. Yeary	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Amendment to Articles Regarding Proxy Access	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHolder	Against	For	Against
14	Shareholder Proposal Regarding Sustainability Report	ShrHolder	Against	For	Against
15	Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions	ShrHolder	Against	Against	For

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Phillips 66

Ticker	Security ID:	Meeting Date	Meeting Status		
PSX	CUSIP 718546104	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William R. Loomis, Jr.	Mgmt	For	For	For
2	Elect Glenn F. Tilton	Mgmt	For	For	For
3	Elect Marna C. Whittington	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Pinnacle Entertainment, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PNK	CUSIP 72348Y105	05/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charles L. Atwood	Mgmt	For	For	For
2	Elect Stephen C. Comer	Mgmt	For	For	For
3	Elect Ron Huberman	Mgmt	For	For	For
4	Elect James L. Martineau	Mgmt	For	Against	Against
5	Elect Desiree Rogers	Mgmt	For	For	For
6	Elect Carlos A. Ruisanchez	Mgmt	For	Against	Against

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7	Elect Anthony M. Sanfilippo	Mgmt	For	For	For
8	Elect Jaynie Miller Studenmund	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Approval of the 2016 Equity and Performance Incentive Plan	Mgmt	For	For	For

PJT Partners Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
PJT	CUSIP 69343T107	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dennis S. Hersch	Mgmt	For	For	For
2	Elect Thomas M. Ryan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Post Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
POST	CUSIP 737446104	01/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert E. Grote	Mgmt	For	For	For
1.2	Elect David W. Kemper	Mgmt	For	For	For
1.3	Elect Robert V. Vitale	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Shareholder Proposal Regarding Cage-Free Eggs	ShrHoldr	Against	Against	For
5	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Against	For	Against

Prothena Corporation plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PRTA	CUSIP G72800108	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lars G. Ekman	Mgmt	For	For	For
2	Elect Gene G. Kinney	Mgmt	For	For	For
3	Elect Dr. Dennis J. Selkoe	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Amendment to the 2012 Long Term Incentive Plan	Mgmt	For	For	For
7	Authority to Issue Shares with Preemptive Rights	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
9	Right to Adjourn Meeting	Mgmt	For	For	For

Quality Care Properties, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
QCP	CUSIP 747545101	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Glenn G. Cohen	Mgmt	For	For	For
1.2	Elect Jerry Doctrow	Mgmt	For	For	For
1.3	Elect Paul J. Klaassen	Mgmt	For	For	For
1.4	Elect Mark S. Ordan	Mgmt	For	For	For
1.5	Elect Philip R Schimmel	Mgmt	For	For	For
1.6	Elect Kathleen Smalley	Mgmt	For	For	For
1.7	Elect Donald C. Wood	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Rayonier Advanced Materials Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
RYAM	CUSIP 75508B104	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect De Lyle W. Bloomquist	Mgmt	For	For	For
2	Elect Paul G. Boynton	Mgmt	For	For	For
3	Elect Mark E. Gaumond	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approval of the 2017 Incentive Stock Plan	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Science Applications International Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SAIC	CUSIP 808625107	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert Bedingfield	Mgmt	For	For	For
2	Elect Deborah B. Dunie	Mgmt	For	For	For
3	Elect John J. Hamre	Mgmt	For	For	For

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4	Elect Timothy J. Mayopoulos	Mgmt	For	Against	Against
5	Elect Anthony J. Moraco	Mgmt	For	For	For
6	Elect Donna S. Morea	Mgmt	For	For	For
7	Elect Edward J. Sanderson, Jr.	Mgmt	For	For	For
8	Elect Steven R. Shane	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For

South32 Limited

Ticker	Security ID:	Meeting Date	Meeting Status
S32	CINS Q86668102	11/24/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Re-elect Wayne Osborn	Mgmt	For
3	Re-elect Keith Rumble	Mgmt	For
4	Remuneration Report	Mgmt	For
5	Equity Grant (MD/CEO Graham Kerr)	Mgmt	For

SPX FLOW, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FLOW	CUSIP 78469X107	05/10/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Robert F. Hull, Jr.	Mgmt	For
2	Elect David V. Singer	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For
4	Ratification of Auditor	Mgmt	For

Theravance Biopharma, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
TBPH	CUSIP G8807B106	05/17/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Dean J. Mitchell	Mgmt	For
2	Elect Peter S. Ringrose	Mgmt	For
3	Elect George M. Whitesides	Mgmt	For
4	Elect William D. Young	Mgmt	For
5	Ratification of Auditor	Mgmt	For
6	Advisory Vote on Executive Compensation	Mgmt	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years
			1 Year
			Against



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Time Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
TIME	CUSIP 887228104	06/29/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard Battista	Mgmt	For	For	For
2	Elect David A. Bell	Mgmt	For	For	For
3	Elect John M. Fahey, Jr.	Mgmt	For	For	For
4	Elect Manuel A. Fernandez	Mgmt	For	For	For
5	Elect Dennis J. FitzSimons	Mgmt	For	For	For
6	Elect Betsy D. Holden	Mgmt	For	For	For
7	Elect Kay Koplovitz	Mgmt	For	For	For
8	Elect Ronald S. Rolfe	Mgmt	For	For	For
9	Elect Daniel Rosensweig	Mgmt	For	Against	Against
10	Elect Michael P. Zeisser	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Shareholder Proposal Regarding Independent Board Chair	ShrHolder	Against	Against	For

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TimkenSteel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
TMST	CUSIP 887399103	05/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Randall H. Edwards	Mgmt	For	For	For
1.2	Elect Ward J. Timken, Jr.	Mgmt	For	For	For
1.3	Elect Randall A. Wotring	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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TopBuild Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
BLD	CUSIP 89055F103	05/01/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark A. Petrarca	Mgmt	For	For	For
1.2	Elect Margaret M. Whelan	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive	Mgmt	For	For	For

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### Compensation

Uniti Group Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
UNIT	CUSIP 91325V108	05/11/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Jennifer S. Banner	Mgmt	For	For	For	
2	Elect Scott G Bruce	Mgmt	For	For	For	
3	Elect Francis X. Frantz	Mgmt	For	For	For	
4	Elect Andrew Frey	Mgmt	For	For	For	
5	Elect Kenneth A. Gunderman	Mgmt	For	For	For	
6	Elect David L. Solomon	Mgmt	For	For	For	
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
8	Ratification of Auditor	Mgmt	For	For	For	
Urban Edge Properties						
Ticker	Security ID:	Meeting Date		Meeting Status		
UE	CUSIP 91704F104	05/10/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Michael A. Gould	Mgmt	For	For	For	
1.2	Elect Steven Guttman	Mgmt	For	For	For	
1.3	Elect Kevin P. O'Shea	Mgmt	For	For	For	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
Valmet Oyj						
Ticker	Security ID:	Meeting Date		Meeting Status		
VALMT	CINS X96478114	03/23/2017		Voted		
Meeting Type		Country of Trade				
Annual		Finland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Accounts and Reports	Mgmt	For	For	For	
10	Allocation of Profits/Dividends	Mgmt	For	For	For	
11	Ratification of Board and CEO Acts	Mgmt	For	For	For	
12	Directors' Fees	Mgmt	For	For	For	
13	Board Size	Mgmt	For	For	For	
14	Election of Directors	Mgmt	For	For	For	
15	Authority to Set	Mgmt	For	For	For	

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	Auditor's Fees					
16	Appointment of Auditor	Mgmt	For	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For	For
18	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A

Versum Materials, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VSM	CUSIP 92532W103	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Seifi Ghasemi	Mgmt	For	For	For
1.2	Elect Guillermo Novo	Mgmt	For	For	For
1.3	Elect Jacques Croisetiere	Mgmt	For	For	For
1.4	Elect Yi Hyon Paik	Mgmt	For	For	For
1.5	Elect Thomas J. Riordan	Mgmt	For	For	For
1.6	Elect Susan C. Schnabel	Mgmt	For	For	For
1.7	Elect Alejandro D. Wolff	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Vista Outdoor Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VSTO	CUSIP 928377100	08/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect April H. Foley	Mgmt	For	For	For
2	Elect Tig H. Krekel	Mgmt	For	For	For
3	Approval of Employee Stock Purchase Plan	Mgmt	For	For	For
4	Approval of 2014 Stock Incentive Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For
7	Shareholder Proposal Regarding Sandy Hook Principles	ShrHoldr	Against	Against	For

Washington Prime Group Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
WPG	CUSIP 93964W108	05/18/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect J. Taggart Birge	Mgmt	For	For	For
1.2	Elect Louis G. Conforti	Mgmt	For	For	For
1.3	Elect John J. Dillon	Mgmt	For	For	For
	III				
1.4	Elect Robert J. Laikin	Mgmt	For	For	For
1.5	Elect John F. Levy	Mgmt	For	For	For
1.6	Elect Sheryl G. von Blucher	Mgmt	For	For	For
1.7	Elect Jacquelyn R. Soffer	Mgmt	For	For	For
2	Confirm Majority Vote for Election of Directors	Mgmt	For	For	For
3	Change Voting Requirement to Amend Bylaws	Mgmt	For	For	For
4	Increase of Authorized Common Stock	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Welbilt, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WBT	CUSIP 563568104	04/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dino Bianco	Mgmt	For	For	For
1.2	Elect Joan K. Chow	Mgmt	For	For	For
1.3	Elect Thomas D. Davis	Mgmt	For	For	For
1.4	Elect Cynthia M. Egnotovitch	Mgmt	For	For	For
1.5	Elect Andrew Langham	Mgmt	For	For	For
1.6	Elect Hubertus Muehlhaeuser	Mgmt	For	For	For
1.7	Elect Brian R. Gamache	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Approval of the Material Terms of the Performance Goals under the 2016 Omnibus Incentive Plan	Mgmt	For	For	For

WP Glimcher Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
WPG	CUSIP 92939N102	08/30/2016	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Louis G. Conforti	Mgmt	For	For	For
1.2	Elect John J. Dillon III	Mgmt	For	For	For
1.3	Elect Robert J. Laikin	Mgmt	For	For	For
1.4	Elect John F. Levy	Mgmt	For	For	For
1.5	Elect Mark S. Ordan	Mgmt	For	For	For
1.6	Elect Jacquelyn R. Soffer	Mgmt	For	For	For
2	Company Name Change	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For

WPX Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WPX	CUSIP 98212B103	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John A. Carrig	Mgmt	For	For	For
2	Elect William R. Granberry	Mgmt	For	For	For
3	Elect Robert K. Herdman	Mgmt	For	For	For
4	Elect Kelt Kindick	Mgmt	For	For	For
5	Elect Karl F. Kurz	Mgmt	For	For	For
6	Elect Henry E. Lentz	Mgmt	For	For	For
7	Elect George A. Lorch	Mgmt	For	For	For
8	Elect William G. Lowrie	Mgmt	For	For	For
9	Elect Kimberly S. Lubel	Mgmt	For	For	For
10	Elect Richard E. Muncrief	Mgmt	For	For	For
11	Elect David F. Work	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For

Xenia Hotels & Resorts Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
XHR	CUSIP 984017103	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeffrey H. Donahue	Mgmt	For	For	For
1.2	Elect John H. Alschuler	Mgmt	For	For	For
1.3	Elect Keith E. Bass	Mgmt	For	For	For
1.4	Elect Thomas M. Gartland	Mgmt	For	For	For
1.5	Elect Beverly K. Goulet	Mgmt	For	For	For
1.6	Elect Mary E. McCormick	Mgmt	For	For	For
1.7	Elect Dennis D. Oklak	Mgmt	For	For	For
1.8	Elect Marcel Verbaas	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
Fund Name : VanEck Vectors Gold Miners ETF					

Agnico-Eagle Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AEM	CUSIP 008474108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Leanne M. Baker	Mgmt	For	For	For
1.2	Elect Sean Boyd	Mgmt	For	For	For
1.3	Elect Martine A. Celej	Mgmt	For	For	For
1.4	Elect Robert J. Gemmell	Mgmt	For	For	For
1.5	Elect Mel Leiderman	Mgmt	For	For	For
1.6	Elect Deborah A. McCombe	Mgmt	For	For	For
1.7	Elect James D. Nasso	Mgmt	For	For	For
1.8	Elect Sean Riley	Mgmt	For	For	For
1.9	Elect J. Merfyn Roberts	Mgmt	For	For	For
1.10	Elect Jamie C. Sokalsky	Mgmt	For	For	For
1.11	Elect Howard R. Stockford	Mgmt	For	For	For
1.12	Elect Pertti Voutilainen	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CUSIP 011532108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark J. Daniel	Mgmt	For	For	For
1.2	Elect Patrick D. Downey	Mgmt	For	For	For
1.3	Elect David Fleck	Mgmt	For	For	For
1.4	Elect David Gower	Mgmt	For	For	For
1.5	Elect Claire Kennedy	Mgmt	For	For	For
1.6	Elect John A. McCluskey	Mgmt	For	For	For
1.7	Elect Paul J. Murphy	Mgmt	For	For	For
1.8	Elect Ronald E. Smith	Mgmt	For	For	For
1.9	Elect Kenneth G. Stowe	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CINS 011532108	05/11/2017	Voted		
Meeting Type	Country of Trade				

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Annual Issue No.	Description	Canada Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Mark J. Daniel	Mgmt	For	For	For
3	Elect Patrick D. Downey	Mgmt	For	For	For
4	Elect David Fleck	Mgmt	For	For	For
5	Elect David Gower	Mgmt	For	For	For
6	Elect Claire Kennedy	Mgmt	For	For	For
7	Elect John A. McCluskey	Mgmt	For	For	For
8	Elect Paul J. Murphy	Mgmt	For	For	For
9	Elect Ronald E. Smith	Mgmt	For	For	For
10	Elect Kenneth G. Stowe	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Anglogold Ashanti Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	05/16/2017		Voted	
ANG	CUSIP 035128206	Country of Trade		United States	
Meeting Type	Annual				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Sipho M. Pityana	Mgmt	For	For	For
2	Re-elect Rodney J. Ruston	Mgmt	For	For	For
3	Re-elect Maria Richter	Mgmt	For	For	For
4	Elect Sindiswa V. Zilwa	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Rhidwaan Gasant)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Michael J. Kirkwood)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Rodney J. Ruston)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Albert Garner)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Maria Richter)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Sindiswa V. Zilwa)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Remuneration	Mgmt	For	For	For

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	Policy				
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Issue Shares pursuant to DSP	Mgmt	For	For	For
17	Authority to Issue Shares Pursuant to DSP (Section 41)	Mgmt	For	For	For
18	Authority to Issue Shares for Cash	Mgmt	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For
20	Amendments to MOI	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For

Asanko Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AKG	CUSIP 04341Y105	06/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Colin G. Steyn	Mgmt	For	For	For
2.2	Elect Peter Breese	Mgmt	For	For	For
2.3	Elect Shawn K. Wallace	Mgmt	For	For	For
2.4	Elect Gordon J. Fretwell	Mgmt	For	For	For
2.5	Elect Marcel de Groot	Mgmt	For	For	For
2.6	Elect Michael Price	Mgmt	For	For	For
2.7	Elect William Smart	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Amendment to Share Option Plan	Mgmt	For	For	For
5	Renewal of Share Option Plan	Mgmt	For	For	For

B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
BTO	CUSIP 11777Q209	06/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Clive T. Johnson	Mgmt	For	For	For
2.2	Elect Robert Cross	Mgmt	For	For	For
2.3	Elect Robert Gayton	Mgmt	For	For	For
2.4	Elect Jerry R. Korpan	Mgmt	For	For	For
2.5	Elect Bongani Mtshisi	Mgmt	For	For	For
2.6	Elect Kevin Bullock	Mgmt	For	Withhold	Against
2.7	Elect George Johnson	Mgmt	For	For	For



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3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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Barrick Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ABX	CUSIP 067901108	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gustavo A. Cisneros	Mgmt	For	For	For
1.2	Elect Graham G. Clow	Mgmt	For	Withhold	Against
1.3	Elect Gary A. Doer	Mgmt	For	For	For
1.4	Elect Kelvin P. M. Dushinky	Mgmt	For	For	For
1.5	Elect J. Michael Evans	Mgmt	For	For	For
1.6	Elect Brian L. Greenspun	Mgmt	For	For	For
1.7	Elect J. Brett Harvey	Mgmt	For	For	For
1.8	Elect Nancy H.O. Lockhart	Mgmt	For	For	For
1.9	Elect Pablo Marcet	Mgmt	For	For	For
1.10	Elect Dambisa F. Moyo	Mgmt	For	For	For
1.11	Elect Anthony Munk	Mgmt	For	For	For
1.12	Elect J. Robert S. Prichard	Mgmt	For	For	For
1.13	Elect Steven J. Shapiro	Mgmt	For	For	For
1.14	Elect John L. Thornton	Mgmt	For	For	For
1.15	Elect Ernie L. Thrasher	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Centamin Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CEY	CINS G2055Q105	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory - Non-UK Issuer)	Mgmt	For	For	For
5	Elect Josef El-Raghy	Mgmt	For	For	For
6	Elect Andrew M. Pardey	Mgmt	For	For	For
7	Elect Gordon E. Haslam	Mgmt	For	Against	Against
8	Elect Trevor Schultz	Mgmt	For	Against	Against
9	Elect Mark R. Arnesen	Mgmt	For	For	For
10	Elect Mark Bankes	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's	Mgmt	For	For	For

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	Fees				
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For

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Centerra Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CG	CINS 152006102	05/02/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Richard W. Connor	Mgmt	For	For	For
3	Elect Eduard Kubatov	Mgmt	For	For	For
4	Elect Nurlan Kyshtobaev	Mgmt	For	For	For
5	Elect Stephen A. Lang	Mgmt	For	For	For
6	Elect Michael Parrett	Mgmt	For	For	For
7	Elect Scott G. Perry	Mgmt	For	For	For
8	Elect Jacques Perron	Mgmt	For	For	For
9	Elect Sheryl K. Pressler	Mgmt	For	For	For
10	Elect Terry V. Rogers	Mgmt	For	For	For
11	Elect Bektur Sagynov	Mgmt	For	For	For
12	Elect Bruce V. Walter	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Amendment to the Restricted Share Unit Plan	Mgmt	For	For	For
15	Approval of the Employee Share Purchase Plan	Mgmt	For	For	For
16	Transaction of Other Business	Mgmt	For	Against	Against

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Coeur Mining, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CDE	CUSIP 192108504	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Linda L. Adamany	Mgmt	For	For	For
2	Elect Kevin S. Crutchfield	Mgmt	For	For	For
3	Elect Sebastian Edwards	Mgmt	For	For	For
4	Elect Randolph E. Gress	Mgmt	For	For	For
5	Elect Mitchell J. Krebs	Mgmt	For	For	For

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6	Elect Robert E. Mellor	Mgmt	For	For	For
7	Elect John H. Robinson	Mgmt	For	For	For
8	Elect J. Kenneth Thompson	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Compania de Minas Buenaventura S.A.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BUENAVC1	CUSIP 204448104	03/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
5	Election of Directors	Mgmt	For	For	For

Detour Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
DGC	CINS 250669108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Lisa Colnett	Mgmt	For	For	For
3	Elect Edward C. Dowling, Jr.	Mgmt	For	For	For
4	Elect Robert E. Doyle	Mgmt	For	For	For
5	Elect Andre Falzon	Mgmt	For	For	For
6	Elect Ingrid J. Hibbard	Mgmt	For	For	For
7	Elect J. Michael Kenyon	Mgmt	For	For	For
8	Elect Paul Martin	Mgmt	For	For	For
9	Elect Alex G. Morrison	Mgmt	For	For	For
10	Elect Jonathan A. Rubenstein	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Eldorado Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ELD	CUSIP 284902103	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George Albino	Mgmt	For	For	For
1.2	Elect George Burns	Mgmt	For	For	For

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1.3	Elect Pamela M. Gibson	Mgmt	For	For	For
1.4	Elect Robert Gilmore	Mgmt	For	For	For
1.5	Elect Geoffrey A. Handley	Mgmt	For	For	For
1.6	Elect Michael A. Price	Mgmt	For	For	For
1.7	Elect Steven P. Reid	Mgmt	For	For	For
1.8	Elect Jonathan A. Rubenstein	Mgmt	For	For	For
1.9	Elect John Webster	Mgmt	For	For	For
1.10	Elect Paul N. Wright	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Authority to Set Auditor's Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Endeavour Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
EDV	CINS G3040R158	06/27/2017	Voted
Meeting Type	Country of Trade		
Annual	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect Michael E. Beckett	Mgmt	For
3	Elect Ian D. Cockerill	Mgmt	For
4	Elect Olivier Colom	Mgmt	For
5	Elect Livia Mahler	Mgmt	For
6	Elect Wayne McManus	Mgmt	For
7	Elect Sebastien de Montessus	Mgmt	For
8	Elect Naguib Sawiris	Mgmt	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For
10	Approval of Performance Share Unit Plans	Mgmt	For

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### Evolution Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status
EVN	CINS Q3647R147	06/21/2017	Voted
Meeting Type	Country of Trade		
Ordinary	Australia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Acquisition of Relevant Interest in Company Shares	Mgmt	For
3	Equity Grant (Executive Chairman Jake Klein)	Mgmt	For

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### Evolution Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Colin Johnstone	Mgmt	For	For	For
4	Equity Grant (Executive Chairman Jacob Klein - LTI FY2017)	Mgmt	For	For	For
5	Equity Grant (Executive Chairman Jacob Klein - Retention Rights)	Mgmt	For	Against	Against
6	Equity Grant (Finance Director and CFO Lawrie Conway - LTI FY2017)	Mgmt	For	For	For
7	Equity Grant (Executive Chairman Jacob Klein - Adjustment Issuance)	Mgmt	For	For	For
8	Equity Grant (Finance Director and CFO Lawrie Conway - Adjustment Issuance)	Mgmt	For	For	For
9	Approve NED Equity Plan	Mgmt	For	For	For

First Majestic Silver Corp.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Keith Neumeyer	Mgmt	For	For	For
2.2	Elect Marjorie Co	Mgmt	For	For	For
2.3	Elect Robert A. McCallum	Mgmt	For	For	For
2.4	Elect Douglas Penrose	Mgmt	For	For	For
2.5	Elect David A. Shaw	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Stock Option Plan Renewal	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Franco-Nevada Corporation

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Board Size	Mgmt	For	For	For

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					Mgmt
1.1	Elect Pierre Lassonde	Mgmt	For	For	For
1.2	Elect David Harquail	Mgmt	For	For	For
1.3	Elect Tom Albanese	Mgmt	For	For	For
1.4	Elect Derek W. Evans	Mgmt	For	For	For
1.5	Elect Graham Farquharson	Mgmt	For	For	For
1.6	Elect Catherine Farrow	Mgmt	For	For	For
1.7	Elect Louis P. Gignac	Mgmt	For	For	For
1.8	Elect Randall Oliphant	Mgmt	For	For	For
1.9	Elect David R. Peterson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Gold Fields Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GFI	CUSIP 38059T106	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Terence P. Goodlace	Mgmt	For	For	For
3	Elect Alhassan Andani	Mgmt	For	For	For
4	Elect Peter Bacchus	Mgmt	For	For	For
5	Elect Yunus G.H. Suleman	Mgmt	For	For	For
6	Elect Carmen Letton	Mgmt	For	For	For
7	Re-elect Nicholas J. Holland	Mgmt	For	For	For
8	Re-elect Paul A. Schmidt	Mgmt	For	For	For
9	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
10	Elect Audit Committee Member (Alhassan Andani)	Mgmt	For	For	For
11	Elect Audit Committee Member (Peter Bacchus)	Mgmt	For	For	For
12	Elect Audit Committee Member (Richard P. Menell)	Mgmt	For	For	For
13	Elect Audit Committee Member (Donald M.J. Ncube)	Mgmt	For	For	For
14	General Authority to Issue Shares	Mgmt	For	For	For
15	Approve Conversion of Par Value Shares	Mgmt	For	For	For
16	Authority to Increase Authorised Capital	Mgmt	For	For	For
17	Authority to Issue	Mgmt	For	For	For

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	Shares for				
	Cash				
18	Approve Remuneration Policy	Mgmt	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Amendments to Memorandum	Mgmt	For	For	For

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Goldcorp Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
G	CUSIP 380956409	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Beverley A. Briscoe	Mgmt	For	For	For
1.2	Elect Margot A. Franssen	Mgmt	For	For	For
1.3	Elect David Garofalo	Mgmt	For	For	For
1.4	Elect Clement A. Pelletier	Mgmt	For	For	For
1.5	Elect P. Randy Reifel	Mgmt	For	For	For
1.6	Elect Charlie Sartain	Mgmt	For	For	For
1.7	Elect Ian W. Telfer	Mgmt	For	For	For
1.8	Elect Blanca Trevino	Mgmt	For	For	For
1.9	Elect Kenneth F. Williamson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Guyana Goldfields Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GUY	CINS 403530108	05/02/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Jean-Pierre Chauvin	Mgmt	For	For	For
3	Elect Alan Ferry	Mgmt	For	For	For
4	Elect Daniel Noone	Mgmt	For	For	For
5	Elect Wendy Kei	Mgmt	For	For	For
6	Elect David Beatty	Mgmt	For	For	For
7	Elect Rene Marion	Mgmt	For	For	For
8	Elect Scott Caldwell	Mgmt	For	For	For
9	Elect J. Patrick Sheridan	Mgmt	For	For	For
10	Elect Michael Richings	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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12 Deferred Share Unit Mgmt For For For  
Plan

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Harmony Gold Mining Company Limited

Ticker Security ID: Meeting Date Meeting Status  
HAR CUSIP 413216300 11/25/2016 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Cathie Markus	Mgmt	For	For	For
2	Re-elect Karabo Nondumo	Mgmt	For	For	For
3	Re-elect Vishnu Pillay	Mgmt	For	Against	Against
4	Re-elect Andre Wilkens	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (John Wetton)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Fikile De Buck)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Simo Lushaba)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Modise Motloba)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Karabo Nondumo)	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For
13	Approve Amendments to 2006 Share Plan	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For

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Hecla Mining Company

Ticker Security ID: Meeting Date Meeting Status  
HL CUSIP 422704106 05/25/2017 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Phillips S. Baker, Jr.	Mgmt	For	For	For
2	Elect George R. Johnson	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For



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6	Compensation Amendment to the Stock Plan for Non-Employee Directors	Mgmt	For	For	For
7	Increase of Authorized Common Stock	Mgmt	For	For	For
8	Elimination of Supermajority Requirement	Mgmt	For	For	For
9	Provide Right to Call a Special Meeting	Mgmt	For	For	For

IAMGOLD Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
IMG	CUSIP 450913108	05/10/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect John E. Caldwell	Mgmt	For
1.2	Elect Donald Charter	Mgmt	For
1.3	Elect Sybil Veenman	Mgmt	For
1.4	Elect Richard J. Hall	Mgmt	For
1.5	Elect Stephen J.J. Letwin	Mgmt	For
1.6	Elect Mahendra Naik	Mgmt	For
1.7	Elect Timothy R. Snider	Mgmt	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For

Independence Group NL

Ticker	Security ID:	Meeting Date	Meeting Status
IGO	CINS Q48886107	11/18/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Re-elect Geoffrey Clifford	Mgmt	For
3	Re-elect Peter Buck	Mgmt	For
4	REMUNERATION REPORT	Mgmt	For
5	Approval of the Employee Incentive Plan	Mgmt	For
6	Equity Grant (MD/CEO Peter Bradford)	Mgmt	For
7	Ratify Placement of Securities	Mgmt	For
8	Renew Proportional Takeover Provisions	Mgmt	For

Kinross Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
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K	CUSIP 496902404	05/03/2017			Voted	
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Ian Atkinson	Mgmt	For	For	For	
1.2	Elect John A. Brough	Mgmt	For	For	For	
1.3	Elect John M. H. Huxley	Mgmt	For	For	For	
1.4	Elect Ave G. Lethbridge	Mgmt	For	For	For	
1.5	Elect Catherine McLeod-Seltzer	Mgmt	For	For	For	
1.6	Elect John E. Oliver	Mgmt	For	For	For	
1.7	Elect Kelly J. Osborne	Mgmt	For	For	For	
1.8	Elect Una M. Power	Mgmt	For	For	For	
1.9	Elect J. Paul Rollinson	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Kirkland Lake Gold Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
KLG	CINS 49740P106	11/25/2016		Voted		
Meeting Type		Country of Trade				
Special		Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Merger	Mgmt	For	For	For	

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Kirkland Lake Gold Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
KL	CINS 49741E100	05/04/2017		Voted		
Meeting Type		Country of Trade				
Mix		Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Set the number of directors at 8	Mgmt	For	For	For	
3	Elect Jonathan Gill	Mgmt	For	For	For	
4	Elect Arnold Klassen	Mgmt	For	For	For	
5	Elect Pamela Klessig	Mgmt	For	For	For	
6	Elect Anthony Makuch	Mgmt	For	For	For	
7	Elect Barry Olson	Mgmt	For	For	For	
8	Elect Jeff Parr	Mgmt	For	For	For	
9	Elect Eric Sprott	Mgmt	For	For	For	
10	Elect Raymond Threlkeld	Mgmt	For	For	For	
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
12	Amendment to the Long term Incentive Plan	Mgmt	For	For	For	
13	Amendment to the Deferred Share Unit Plan	Mgmt	For	For	For	

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14	Amendment to the Stock Option Plan	Mgmt	For	For	For
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### Klondex Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
KDX	CUSIP 498696103	05/04/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Rodney Cooper	Mgmt	For	For	For	
1.2	Elect Mark J. Daniel	Mgmt	For	For	For	
1.3	Elect James Haggarty	Mgmt	For	For	For	
1.4	Elect Richard J. Hall	Mgmt	For	For	For	
1.5	Elect Paul Huet	Mgmt	For	For	For	
1.6	Elect William Matlack	Mgmt	For	For	For	
1.7	Elect Charles Oliver	Mgmt	For	For	For	
1.8	Elect Blair Schultz	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

### McEwen Mining Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
MUX	CUSIP 58039P107	05/25/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Robert R. McEwen	Mgmt	For	For	For	
1.2	Elect Allen Ambrose	Mgmt	For	For	For	
1.3	Elect Michele L. Ashby	Mgmt	For	For	For	
1.4	Elect Leanne M. Baker	Mgmt	For	For	For	
1.5	Elect Richard W. Brissenden	Mgmt	For	For	For	
1.6	Elect Gregory P. Fauquier	Mgmt	For	For	For	
1.7	Elect Donald R. M. Quick	Mgmt	For	For	For	
1.8	Elect Michael L. Stein	Mgmt	For	For	For	
1.9	Elect Robin E. Dunbar	Mgmt	For	For	For	
2	Issuance of Shares to Robert McEwan in Connection with Lexam Acquisition	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	

### New Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
NGD	CUSIP 644535106	04/26/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect David Emerson	Mgmt	For	For	For	

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1.2	Elect James Estey	Mgmt	For	For	For
1.3	Elect Vahan Kololian	Mgmt	For	For	For
1.4	Elect Martyn Konig	Mgmt	For	For	For
1.5	Elect Randall Oliphant	Mgmt	For	For	For
1.6	Elect Ian W Pearce	Mgmt	For	For	For
1.7	Elect Hannes Portmann	Mgmt	For	For	For
1.8	Elect Kay Priestly	Mgmt	For	For	For
1.9	Elect Raymond Threlkeld	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Stock Option Plan Renewal	Mgmt	For	For	For
4	Approval of the Long Term Incentive Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Newcrest Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NCM	CINS Q6651B114	11/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Peter A.F. Hay	Mgmt	For	For	For
3	Re-elect Philip Aiken	Mgmt	For	For	For
4	Re-elect Richard (Rick) J. Lee	Mgmt	For	For	For
5	Re-elect John M. Spark	Mgmt	For	For	For
6	Elect Vickki McFadden	Mgmt	For	For	For
7	Equity Grant (MD/CEO Sandeep Biswas)	Mgmt	For	For	For
8	Equity Grant (Finance Director/CFO Gerard Bond)	Mgmt	For	For	For
9	Remuneration Report	Mgmt	For	For	For

Newmont Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NEM	CUSIP 651639106	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory H. Boyce	Mgmt	For	For	For
2	Elect Bruce R. Brook	Mgmt	For	For	For
3	Elect J. Kofi Bucknor	Mgmt	For	For	For
4	Elect Vincent A. Calarco	Mgmt	For	For	For
5	Elect Joseph A. Carrabba	Mgmt	For	For	For
6	Elect Noreen Doyle	Mgmt	For	For	For
7	Elect Gary J. Goldberg	Mgmt	For	For	For
8	Elect Veronica M. Hagen	Mgmt	For	For	For
9	Elect Jane Nelson	Mgmt	For	For	For
10	Elect Julio M. Quintana	Mgmt	For	For	For

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11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Report Regarding Reporting on Company's Human Rights Policies and Risks	ShrHoldr	Against	Against	For

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Northern Star Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NST	CINS Q6951U101	11/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect John D. Fitzgerald	Mgmt	For	For	For
4	Elect Shirley In't Veld	Mgmt	For	For	For
5	Elect David Flanagan	Mgmt	For	For	For
6	Re-elect William J. Beament	Mgmt	For	For	For
7	Approve Long-Term Incentive Plan	Mgmt	For	For	For
8	Approve Termination Benefits	Mgmt	For	For	For
9	Equity Grant (Executive chairman William Beament)	Mgmt	For	For	For

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OceanaGold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
OGC	CINS 675222103	06/23/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect James E. Askew	Mgmt	For	For	For
3	Elect Jose P. Leviste Jr.	Mgmt	For	For	For
4	Elect Geoffrey W. Raby	Mgmt	For	For	For
5	Elect Michael F. Wilkes	Mgmt	For	For	For
6	Elect William H. Myckatyn	Mgmt	For	For	For
7	Elect Paul B. Sweeney	Mgmt	For	For	For
8	Elect Diane R. Garrett	Mgmt	For	Against	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Non-Binding Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Osisko Gold Royalties Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
OR	CUSIP 68827L101	05/04/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Francoise Bertrand	Mgmt	For	For	For	
1.2	Elect Victor H. Bradley	Mgmt	For	For	For	
1.3	Elect John Burzynski	Mgmt	For	For	For	
1.4	Elect Christopher C. Curfman	Mgmt	For	For	For	
1.5	Elect Joanne Ferstman	Mgmt	For	For	For	
1.6	Elect Andre Gaumond	Mgmt	For	For	For	
1.7	Elect Pierre Labbe	Mgmt	For	For	For	
1.8	Elect Charles E. Page	Mgmt	For	For	For	
1.9	Elect Jacques Perron	Mgmt	For	For	For	
1.10	Elect Sean Roosen	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Stock Option Plan Renewal	Mgmt	For	For	For	
4	Amendment to Shareholder Rights Plan	Mgmt	For	For	For	
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Pan American Silver Corp.						
Ticker	Security ID:	Meeting Date	Meeting Status			
PAAS	CUSIP 697900108	05/09/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Ross J. Beaty	Mgmt	For	For	For	
1.2	Elect Michael Steinmann	Mgmt	For	For	For	
1.3	Elect Michael L. Carroll	Mgmt	For	For	For	
1.4	Elect C. Noel Dunn	Mgmt	For	For	For	
1.5	Elect Neil de Gelder	Mgmt	For	For	For	
1.6	Elect David C. Press	Mgmt	For	For	For	
1.7	Elect Walter T. Segsworth	Mgmt	For	For	For	
1.8	Elect Gillian D. Winckler	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Randgold Resources Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
RRS	CUSIP 752344309	05/02/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For
6	Elect Mark Bristow	Mgmt	For	For	For
7	Elect Christopher Coleman	Mgmt	For	For	For
8	Elect Jamil Kassum	Mgmt	For	For	For
9	Elect Olivia F. Kirtley	Mgmt	For	For	For
10	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For
11	Elect Andrew Quinn	Mgmt	For	For	For
12	Elect Graham Shuttleworth	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Share Grants (Non-Executive Directors)	Mgmt	For	For	For
17	Share Grants (Senior Independent Director)	Mgmt	For	For	For
18	Share Grants (Chair)	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

Regis Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRL	CINS Q8059N120	11/18/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Paul Thomas	Mgmt	For	For	For
4	Elect James Mactier	Mgmt	For	For	For
5	Re-elect Donald Mark Okeby	Mgmt	For	For	For
6	Approve Executive Incentive Plan	Mgmt	For	For	For
7	Equity Grant (Executive Chairman Mark	Mgmt	For	For	For

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8 Clark)  
Equity Grant (COO Paul Mgmt For For For  
Thomas)

Resolute Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status
RSG	CINS Q81068100	11/29/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Peter E. Huston	Mgmt	For	For	For
4	Re-elect Marthinus Johan Botha	Mgmt	For	For	For
5	Renew Performance Rights Plan	Mgmt	For	For	For
6	Performance Rights Grant (MD/CEO John Welborn)	Mgmt	For	For	For
7	Performance Rights Grant- Special Issue (MD/CEO John Welborn)	Mgmt	For	For	For
8	Ratify Placement of Securities	Mgmt	For	For	For
9	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

Royal Gold, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
RGLD	CUSIP 780287108	11/16/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Elect William M. Hayes	Mgmt	For	For	For
2	Elect Ronald J. Vance	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Increase of Authorized Common Stock	Mgmt	For	Against	Against

Sandstorm Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SSL	CUSIP 80013R206	06/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Board Size	Mgmt	For	For	For
2.1	Elect Nolan Watson	Mgmt	For	For	For
2.2	Elect David Awram	Mgmt	For	For	For
2.3	Elect David De Witt	Mgmt	For	For	For



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2.4	Elect Andrew T. Swarthout	Mgmt	For	Withhold	Against
2.5	Elect John P.A. Budreski	Mgmt	For	Withhold	Against
2.6	Elect Mary L. Little	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Transaction of Other Business	Mgmt	For	Against	Against

Saracen Mineral Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SAR	CINS Q8309T109	11/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Martin Reed	Mgmt	For	Against	Against
3	Remuneration Report	Mgmt	For	For	For
4	Renew Performance Rights Plan	Mgmt	For	For	For
5	Equity Grant (MD Raleigh Finlayson)	Mgmt	For	For	For
6	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

SEMAFO Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMF	CINS 816922108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Terence F. Bowles	Mgmt	For	For	For
3	Elect Benoit Desormeaux	Mgmt	For	For	For
4	Elect Flore Konan	Mgmt	For	For	For
5	Elect Jean Lamarre	Mgmt	For	For	For
6	Elect John LeBoutillier	Mgmt	For	For	For
7	Elect Gilles Masson	Mgmt	For	For	For
8	Elect Lawrence I. McBrearty	Mgmt	For	For	For
9	Elect Tertius Zongo	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendment to Shareholder Rights Plan	Mgmt	For	For	For
12	Advance Notice Provision	Mgmt	For	For	For
13	Advisory Resolution on Approach to Executive Compensation	Mgmt	For	For	For

Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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Meeting Type	CUSIP	04/25/2017			Voted	
Special	825724206	Country of Trade			United States	
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Approve Transaction	Mgmt	For		Against	Against
2	Authority to Increase Authorised Capital	Mgmt	For		Against	Against
3	Amendment to Memorandum	Mgmt	For		Against	Against
4	Authority to Issue Shares Pursuant to Transaction (Section 41)	Mgmt	For		Against	Against
5	Authority to Issue Shares Pursuant to the Proposed Rights Offer	Mgmt	For		Against	Against
6	Waiver of Mandatory Offer	Mgmt	For		Against	Against
7	Authority to Issue Shares for Cash	Mgmt	For		For	For

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### Sibanye Gold Limited

Ticker	Security ID:	Meeting Date			Meeting Status	
SGL	825724206	05/23/2017			Voted	
Meeting Type		Country of Trade			United States	
Annual		Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For		For	For
2	Re-elect Chris Chadwick	Mgmt	For		For	For
3	Re-elect Robert CHAN Tze Leung	Mgmt	For		For	For
4	Re-elect Timothy Cumming	Mgmt	For		For	For
5	Re-elect Charl Keyter	Mgmt	For		For	For
6	Re-elect Sello Moloko	Mgmt	For		For	For
7	Elect Audit Committee Member (Keith Rayner)	Mgmt	For		For	For
8	Elect Audit Committee Member (Rick Menell)	Mgmt	For		For	For
9	Elect Audit Committee Member (Nkosemntu Nika)	Mgmt	For		For	For
10	Elect Audit Committee Member (Sue van der Merwe)	Mgmt	For		For	For
11	General Authority to Issue Shares	Mgmt	For		For	For
12	Authority to Issue Shares for Cash	Mgmt	For		For	For
13	Approve 2017 Share Plan	Mgmt	For		For	For
14	Approve Remuneration Policy	Mgmt	For		For	For
15	Approve NEDs' Fees	Mgmt	For		For	For

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16	Approve Financial Assistance	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

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Silver Standard Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SSO	CUSIP 82823L106	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect A.E. Michael Anglin	Mgmt	For	For	For
2.2	Elect Paul Benson	Mgmt	For	For	For
2.3	Elect Brian R. Booth	Mgmt	For	For	For
2.4	Elect Gustavo A. Herrero	Mgmt	For	For	For
2.5	Elect Beverlee F. Park	Mgmt	For	For	For
2.6	Elect Richard D. Paterson	Mgmt	For	For	For
2.7	Elect Steven P. Reid	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approval of 2017 Share Compensation Plan	Mgmt	For	For	For
6	Name Change Resolution	Mgmt	For	For	For

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Silver Wheaton Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
SLW	CUSIP 828336107	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George L. Brack	Mgmt	For	For	For
1.2	Elect John A. Brough	Mgmt	For	For	For
1.3	Elect R. Peter Gillin	Mgmt	For	For	For
1.4	Elect Chantal Gosselin	Mgmt	For	For	For
1.5	Elect Douglas M. Holtby	Mgmt	For	For	For
1.6	Elect Charles A. Jeannes	Mgmt	For	For	For
1.7	Elect Eduardo Luna	Mgmt	For	For	For
1.8	Elect Randy V.J. Smallwood	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Change in Company Name	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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St Barbara Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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SBM	CINS Q8744Q173	11/30/2016	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect David Moroney	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Equity Grant (MD/CEO Bob Vassie)	Mgmt	For	For	For

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Tahoe Resources Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
THO	CUSIP 873868103	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect C. Kevin McArthur	Mgmt	For	For	For
1.2	Elect Ronald W. Clayton	Mgmt	For	For	For
1.3	Elect Tanya M. Jakusconeck	Mgmt	For	For	For
1.4	Elect Charles A. Jeannes	Mgmt	For	For	For
1.5	Elect Drago G. Kistic	Mgmt	For	For	For
1.6	Elect Alan C. Moon	Mgmt	For	For	For
1.7	Elect A. Dan Rovig	Mgmt	For	For	For
1.8	Elect Paul B. Sweeney	Mgmt	For	For	For
1.9	Elect James S. Voorhees	Mgmt	For	For	For
1.10	Elect Kenneth F. Williamson	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Tahoe Resources Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
THO	CINS 873868103	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect C. Kevin McArthur	Mgmt	For	For	For
3	Elect Ronald W. Clayton	Mgmt	For	For	For
4	Elect Tanya M. Jakusconeck	Mgmt	For	For	For
5	Elect Charles A. Jeannes	Mgmt	For	For	For
6	Elect Drago G. Kistic	Mgmt	For	For	For
7	Elect Alan C. Moon	Mgmt	For	For	For
8	Elect A. Dan Rovig	Mgmt	For	For	For
9	Elect Paul B. Sweeney	Mgmt	For	For	For
10	Elect James S. Voorhees	Mgmt	For	For	For
11	Elect Kenneth F. Williamson	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive	Mgmt	For	Against	Against

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Compensation

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Torex Gold Resources Inc.  
Ticker Security ID: Meeting Date Meeting Status  
TXG CINS 891054603 06/21/2017 Voted  
Meeting Type Country of Trade  
Mix Canada

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect A. Terrance MacGibbon	Mgmt	For	For	For
3	Elect Andrew Adams	Mgmt	For	For	For
4	Elect James Crombie	Mgmt	For	For	For
5	Elect Frank L. Davis	Mgmt	For	For	For
6	Elect David Fennell	Mgmt	For	For	For
7	Elect Michael Murphy	Mgmt	For	For	For
8	Elect William M. Shaver	Mgmt	For	For	For
9	Elect Elizabeth A. Wademan	Mgmt	For	For	For
10	Elect Fred Stanford	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Renewal of Shareholder Rights Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Yamana Gold Inc.  
Ticker Security ID: Meeting Date Meeting Status  
YRI CUSIP 98462Y100 05/04/2017 Voted  
Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John A. Begeman	Mgmt	For	For	For
1.2	Elect Christiane Bergevin	Mgmt	For	For	For
1.3	Elect Alexander J. Davidson	Mgmt	For	For	For
1.4	Elect Richard P. Graff	Mgmt	For	For	For
1.5	Elect Kimberly Keating	Mgmt	For	For	For
1.6	Elect Nigel Lees	Mgmt	For	For	For
1.7	Elect Peter J. Marrone	Mgmt	For	For	For
1.8	Elect Patrick J. Mars	Mgmt	For	For	For
1.9	Elect Carl L. Renzoni	Mgmt	For	For	For
1.10	Elect Jane Sadowsky	Mgmt	For	For	For
1.11	Elect Dino Titaro	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Zhaojin Mining Industry Company Limited  
Ticker Security ID: Meeting Date Meeting Status  
1818 CINS Y988A6104 06/09/2017 Voted  
Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Repurchase H Shares	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue Corporate Bonds	Mgmt	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	06/09/2017	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Repurchase H Shares	Mgmt	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	08/15/2016	Voted		
Meeting Type	Special	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Renewable Bonds	Mgmt	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	09/19/2016	Voted		
Meeting Type	Special	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employee Shares Subscription Plan	Mgmt	For	For	For

Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1818	CINS Y988A6104	09/19/2016	Voted

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Meeting Type		Country of Trade				
Other		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Employee Shares Subscription Plan	Mgmt	For	For	For	For

Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
2899	CINS Y9892H107	06/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Authority to Issue Debt Financing	Mgmt	For	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For	For
5	Provision of Guarantee	Mgmt	For	For	For	For
6	Directors' Report	Mgmt	For	For	For	For
7	Independent Directors' Report	Mgmt	For	For	For	For
8	Supervisors' Report	Mgmt	For	For	For	For
9	Financial Report	Mgmt	For	For	For	For
10	Annual Report	Mgmt	For	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
12	Allocation of Profits/Dividends	Mgmt	For	For	For	For
13	Directors' and Supervisors' Fees	Mgmt	For	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
2899	CINS Y9892H107	08/25/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For	For
4	Formulation of Administrative Policy of Approval of the establishment of the Administrative Measures for Employee Stock Ownership	Mgmt	For	For	For	For

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5	Scheme Board Authorization to Implement Employee Stock Ownership Scheme	Mgmt	For	For	For
6	Satisfaction of Conditions for Non-public Issuance of A Shares	Mgmt	For	For	For
7	Class and Nominal Value	Mgmt	For	For	For
8	Method and Time of Issuance	Mgmt	For	For	For
9	Issuing Objects and Methods of Subscription;	Mgmt	For	For	For
10	Subscription Price and Pricing Principles;	Mgmt	For	For	For
11	Number of Shares to Be Issued	Mgmt	For	For	For
12	Lock-up Period	Mgmt	For	For	For
13	Use of Proceeds Raised	Mgmt	For	For	For
14	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A Shares	Mgmt	For	For	For
15	Listing place	Mgmt	For	For	For
16	Validity period	Mgmt	For	For	For
17	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
18	Feasibility Report on the Use of Proceeds Raised in Non-public Issuance of A Shares	Mgmt	For	For	For
19	Not to Produce a Report on Use of Proceeds Previously Raised	Mgmt	For	For	For
20	Connected Transactions under Non-public Issuance of A Shares	Mgmt	For	For	For
21	Conditional Share subscription Agreement for Non-public Issuance of A Shares	Mgmt	For	For	For
22	Conditional Share Subscription Agreement for Non-public Issuance of A Shares with Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For



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23	Dilutive Impact of Non-public Issuance of A Shares on Immediate Returns and Adoption of Recovery Measures	Mgmt	For	For	For
24	Undertakings of Directors and Senior Management of Company in relation to Recovery Measures for Returns	Mgmt	For	For	For
25	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For
26	Eligibility for Issuance of Renewable Corporate Bond	Mgmt	For	For	For
27	Issue Size and Nominal Value	Mgmt	For	For	For
28	Maturity	Mgmt	For	For	For
29	Interest Rate and Interest Determination Principles	Mgmt	For	For	For
30	Issuance Method	Mgmt	For	For	For
31	Guarantee Measures	Mgmt	For	For	For
32	Terms of Redemption	Mgmt	For	For	For
33	Terms of Interest Payments	Mgmt	For	For	For
34	Interest Payment Restrictions	Mgmt	For	For	For
35	Use of Proceeds	Mgmt	For	For	For
36	Target Subscribers and Arrangements For Existing Shareholders	Mgmt	For	For	For
37	Underwriting and Listing Arrangements	Mgmt	For	For	For
38	Repayment Safeguards	Mgmt	For	For	For
39	Validity of Resolution	Mgmt	For	For	For
40	Renewable Measures	Mgmt	For	For	For

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Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H107	08/25/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Class and Nominal Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Issuing Objects and Methods of Subscription	Mgmt	For	For	For
5	Subscription Price and	Mgmt	For	For	For

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	Pricing Principles				
6	Number of Shares to Be Issued	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A Shares	Mgmt	For	For	For
10	Listing place	Mgmt	For	For	For
11	Validity period	Mgmt	For	For	For
12	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
13	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For

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Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H107	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Remuneration and Assessment of Directors and Supervisors	Mgmt	For	For	For
5	One-off Use of Personal Option Bonus Remuneration	Mgmt	For	For	For
6	Amendments to the Administrative Measures of Connected Transactions	Mgmt	For	For	For
7	Elect CHEN Jinghe	Mgmt	For	Against	Against
8	Elect LAN Fusheng	Mgmt	For	For	For
9	Elect ZOU Laichang	Mgmt	For	For	For
10	Elect LIN Hongfu	Mgmt	For	For	For
11	Elect FANG Qixue	Mgmt	For	For	For
12	Elect LIN Hongying	Mgmt	For	For	For
13	Elect LI Jian	Mgmt	For	Against	Against
14	Elect LU Shihua	Mgmt	For	For	For
15	Elect ZHU Guang	Mgmt	For	For	For
16	Elect Kenneth SIT Hoi Wah	Mgmt	For	For	For
17	Elect CAI Meifeng	Mgmt	For	For	For
18	Elect LIN Shuiqing	Mgmt	For	For	For
19	Elect XU Qiang	Mgmt	For	For	For
20	Elect FAN Wensheng	Mgmt	For	For	For

Fund Name : VanEck Vectors Gulf States Index ETF

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Vodafone Qatar

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Ticker	Security ID:	Meeting Date	Meeting Status		
VFQS	CINS M9764S101	07/25/2016	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Qatar				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Directors' Report	Mgmt	For	TNA	N/A
3	Presentation of Sharia Supervisory Board Report	Mgmt	For	TNA	N/A
4	Auditor's Report	Mgmt	For	TNA	N/A
5	Financial Statements	Mgmt	For	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Ratification of Board Acts; Directors' Fees	Mgmt	For	TNA	N/A
8	Corporate Governance Report	Mgmt	For	TNA	N/A
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
10	Election of Sharia Supervisory Board	Mgmt	For	TNA	N/A
11	Election of Directors	Mgmt	For	TNA	N/A
12	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Vodafone Qatar

Ticker	Security ID:	Meeting Date	Meeting Status		
VFQS	CINS M9764S101	07/25/2016	Take No Action		
Meeting Type	Country of Trade				
Special	Qatar				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Foreign Investment Threshold; Amendments to Articles	Mgmt	For	TNA	N/A
3	Amendments to Articles	Mgmt	For	TNA	N/A
4	Authorisation of Legal Formalities	Mgmt	For	TNA	N/A
5	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Fund Name : VanEck Vectors High Income Infrastructure MLP ETF

Buckeye Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status		
BPL	CUSIP 118230101	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Barbara J. Duganier	Mgmt	For	For	For
1.2	Elect Joseph A. LaSala	Mgmt	For	For	For
1.3	Elect Larry C. Payne	Mgmt	For	For	For
1.4	Elect Martin A. White	Mgmt	For	For	For
2	Amendment to the 2013 Long Term Incentive Plan	Mgmt	For	For	For

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3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Columbia Pipeline Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status		
CPPL	CUSIP 198281107	02/16/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For

Energy Transfer Partners, L.P.

Ticker	Security ID:	Meeting Date	Meeting Status		
ETP	CUSIP 29273R109	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	For	For

Martin Midstream Partners L.P.

Ticker	Security ID:	Meeting Date	Meeting Status		
MMLP	CUSIP 573331105	05/26/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of the 2017 Restricted Unit Plan	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

Oneok Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status		
OKS	CUSIP 68268N103	06/30/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

Plains GP Holdings LP

Ticker	Security ID:	Meeting Date	Meeting Status		
PAGP	CUSIP 72651A108	11/15/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Restructuring/Simplification	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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### Tesoro Logistics LP

Ticker	Security ID:	Meeting Date	Meeting Status		
TLLP	CUSIP 88160T107	10/04/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendment to the 2011 Long-Term Incentive Plan	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

Fund Name : VanEck Vectors High Income MLP ETF

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### Archrock Partners L.P.

Ticker	Security ID:	Meeting Date	Meeting Status		
APLP	CUSIP 03957U100	04/26/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of the 2017 Long Term Incentive Plan	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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### Black Stone Minerals L.P.

Ticker	Security ID:	Meeting Date	Meeting Status		
BSM	CUSIP 09225M101	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William G. Bardel	Mgmt	For	For	For
1.2	Elect Carin M. Barth	Mgmt	For	For	For
1.3	Elect Thomas L. Carter, Jr.	Mgmt	For	For	For
1.4	Elect D. Mark DeWalch	Mgmt	For	For	For
1.5	Elect Ricky J. Haeflinger	Mgmt	For	For	For
1.6	Elect Jerry V. Kyle, Jr.	Mgmt	For	For	For
1.7	Elect Michael C. Linn	Mgmt	For	For	For
1.8	Elect John H. Longmaid	Mgmt	For	For	For
1.9	Elect William N. Mathis	Mgmt	For	Withhold	Against
1.10	Elect William E. Randall	Mgmt	For	For	For
1.11	Elect Alexander D. Stuart	Mgmt	For	For	For
1.12	Elect Allison K. Thacker	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

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### Gaslog Partners LP

Ticker	Security ID:	Meeting Date	Meeting Status
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GLOP	CUSIP Y2687W108	05/04/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Pamela M. Gibson	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For

Golar LNG Partners LP					
Ticker	Security ID:	Meeting Date	Meeting Status		
GMLP	CUSIP Y2745C102	09/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alf C. Thorildsen	Mgmt	For	For	For

KNOT Offshore Partners LP					
Ticker	Security ID:	Meeting Date	Meeting Status		
KNOP	CUSIP Y48125101	08/10/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Simon Bird	Mgmt	For	For	For
2	Resident Status	Mgmt	N/A	Against	N/A

Transocean Partners LLC					
Ticker	Security ID:	Meeting Date	Meeting Status		
RIGP	CUSIP Y8977Y100	12/06/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
Fund Name : VanEck Vectors India Small-Cap Index ETF					

8K Miles Software Services Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
8KMILES	CINS Y7027G101	09/30/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Lakshmanan Kannappan	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Dinesh Raja Punniamurthy	Mgmt	For	For	For
5	Elect Babita Singaram	Mgmt	For	For	For
6	Appointment of R.S. Ramani (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Related Party Transactions	Mgmt	For	Against	Against
8	Authority to Issue	Mgmt	For	Against	Against

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	Shares w/o Preemptive Rights					
9	Sub-division of Equity Shares	Mgmt	For	For	For	For
10	Increase in Authorized Capital	Mgmt	For	For	For	For
11	Bonus Share Issuance	Mgmt	For	For	For	For

Aban Offshore Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ABAN	CINS Y0001N135	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect P. Venkateswaran	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Re-appointment of P. Venkateswaran (Deputy Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
5	Re-appointment of C.P. Gopalkrishnan (Deputy Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
6	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against
7	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights to Qualified Institutional Buyers	Mgmt	For	Against	Against
8	Amendments to ESOS 2005	Mgmt	For	For	For
9	Adoption of the Employee Stock Option Scheme-2016 ("ESOS 2016")	Mgmt	For	Against	Against
10	Extension of ESOS 2016 to Subsidiaries	Mgmt	For	Against	Against

Adani Transmissions Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ADANITRANS	CINS Y0R15S105	08/10/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Deepak Bhargava	Mgmt	For	For	For

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3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Gautam S. Adani	Mgmt	For	For	For
5	Elect Rajesh S. Adani	Mgmt	For	For	For
6	Elect K. Jairaj	Mgmt	For	For	For
7	Elect V. Subramanian	Mgmt	For	For	For
8	Elect Ravindra H. Dholakia	Mgmt	For	For	For
9	Elect Meera Shankar	Mgmt	For	For	For
10	Waiver of Excess Remuneration for Deepak Bhargava, Whole-Time Director	Mgmt	For	For	For
11	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against
13	Related Party Transactions	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

Advanced Enzyme Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ADVENZYMES	CINS Y0R165104	05/04/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Stock Subdivision	Mgmt	For	For	For
3	Amendments to Memorandum	Mgmt	For	For	For
4	Extension of Employees Stock Option Scheme - 2015 to Employees of Subsidiaries	Mgmt	For	Against	Against
5	Approval of AETL Employees Incentive Plan 2017	Mgmt	For	For	For
6	Extension of AETL Employees Incentive Plan 2017 to Subsidiaries	Mgmt	For	For	For
7	Acquisition of Shares by Trust to Implement the AETL Employees Incentive Plan 2017	Mgmt	For	For	For

Allahabad Bank

Ticker	Security ID:	Meeting Date	Meeting Status
ALBK	CINS Y0031K101	05/17/2017	Voted
Meeting Type	Country of Trade		
Special	India		



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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### Allahabad Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ALBK	CINS Y0031K101	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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### Allahabad Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ALBK	CINS Y0031K101	09/28/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preferential Allotment of Equity Shares to Government of India and Life Insurance Corporation of India	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights to Qualified Institutional Buyers	Mgmt	For	For	For

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### Amtek Auto Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMTEKAUTO	CINS Y0124E137	03/25/2017	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preferential Allotment of Shares w/o Preemptive Rights	Mgmt	For	For	For
2	Appoint John Ernest Flintham (Vice Chairman and Managing Director); Approval of Remuneration	Mgmt	For	For	For

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### Amtek Auto Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMTEKAUTO	CINS Y0124E137	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Elect John Ernest Flintham	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
5	Related Party Transactions Including Guarantees	Mgmt	For	Against	Against
6	Amendments to Articles	Mgmt	For	For	For
7	Conversion of Loans into Equity Shares	Mgmt	For	Against	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Anant Raj Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ANANTRAJ	CINS Y01272122	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ashok Sarin	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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### Andhra Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ANDHRABANK	CINS Y01279119	05/06/2017	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights to the Government of India	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Abstain	Against

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### Andhra Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
ANDHRABANK	CINS Y01279119	07/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Abstain	Against
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Astra Microwave Products Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ASTRAMICRO	CINS Y4066G118	07/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Audited Financial Statements	Mgmt	For	For	For
3	Audited Consolidated Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect C. Prameelamma	Mgmt	For	For	For
6	Elect M. V. Reddy	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
8	Elect Arun Kumar Tiwari	Mgmt	For	For	For
9	Elect Vadlamani Venkata Rama Sastry	Mgmt	For	For	For
10	Elect Buddharaju Lakshmi Narayana Raju	Mgmt	For	For	For
11	Authority to Cost Auditor's Fees	Mgmt	For	For	For

Atul Auto Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ATULAUTO	CINS Y0450K145	09/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Niraj J. Chandra	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Hakubhai J. Lalakiya	Mgmt	For	For	For
6	Elect Hasmukh H. Adhvaryoo	Mgmt	For	Against	Against
7	Elect CA Hemantkumar J. Bhatt	Mgmt	For	For	For
8	Reappointment of Jayantibhai J Chandra (Executive	Mgmt	For	Against	Against

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	chairman and managing director); Approval of Remuneration					
9	Reappointment of Mahendrakumar J Patel (Executive director and CFO); Approval of Remuneration	Mgmt	For	For	For	For
10	Amendments to Remuneration of Niraj J Chandra (Whole-time Director)	Mgmt	For	For	For	For

Atul Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ATUL	CINS Y0450Y111	07/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Bansidhar S. Mehta	Mgmt	For	Against	Against
4	Elect B.N. Mohanan	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of B N Mohanan (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
7	Authority to Cost Auditor's Fees	Mgmt	For	For	For

Bajaj Electricals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BAJAJELEC	CINS Y05477164	08/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Anant Bajaj	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Anuj Poddar	Mgmt	For	For	For
6	Elect Siddharth Mehta	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Bajaj Hindusthan Sugar Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Sale and Transfer of the Co-Generation Power Business	Mgmt	For	For	For
3	Business Transfer Agreement	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For

Bajaj Hindusthan Sugar Ltd.  
Ticker Security ID: BAJAJHIND CINS Y0547C130  
Meeting Date: 04/27/2017  
Meeting Status: Voted  
Country of Trade: India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Sale and Transfer of Co-Generation Power Business	Mgmt	For	For	For
3	Business Transfer Agreement	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For

Bajaj Hindusthan Sugar Ltd.  
Ticker Security ID: BAJAJHIND CINS Y0547C130  
Meeting Date: 09/16/2016  
Meeting Status: Voted  
Country of Trade: India  
Annual

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Kushagra Nayan Bajaj	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Vipulkumar S. Modi	Mgmt	For	For	For
6	Elect Ashok Mukand	Mgmt	For	Against	Against
7	Elect Binod Kumar	Mgmt	For	Against	Against
8	Re-appointment of Kushagra Nayan Bajaj (Chairman and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
9	Payment of Minimum Remuneration to Ashok Kumar Gupta (Executive Director - Group Operations)	Mgmt	For	Against	Against
10	Appointment of Kiran	Mgmt	For	For	For

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	Anuj (Wholetime Director - Administration); Approval of Remuneration					
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
12	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against	

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Balrampur Chini Mills Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
BALRAMCHIN	CINS Y0548Y149	08/12/2016	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Elect Meenakshi Saraogi	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Re-designation of Meenakshi Saraogi as Non-Executive Director	Mgmt	For	For	For	
6	Directors' Commission	Mgmt	For	For	For	
7	Elect Sakti Prasad Ghosh	Mgmt	For	Against	Against	
8	Elect Sumit Mazumder	Mgmt	For	For	For	
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
10	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For	

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Balrampur Chini Mills Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
BALRAMCHIN	CINS Y0548Y149	12/30/2016	Voted			
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Repurchase Shares	Mgmt	For	For	For	

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BEML Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
BEML	CINS Y0881N114	09/15/2016	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Aniruddh Kumar	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Sanjay Prasad	Mgmt	For	Against	Against
7	Elect M. G. Raghuveer	Mgmt	For	For	For
8	Elect B. P. Rao	Mgmt	For	For	For
9	Elect Sudhir Kumar Beri	Mgmt	For	Against	Against
10	Elect B. R. Viswanatha	Mgmt	For	For	For
11	Elect R. H. Muralidhara	Mgmt	For	For	For
12	Elect Surina Rajan	Mgmt	For	Against	Against
13	Elect D. K. Hota	Mgmt	For	For	For
14	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

BF Utilities Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BFUTI	CINS Y08804125	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Babasaheb N. Kalyani	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Mortgage Assets	Mgmt	For	For	For
5	Amendment to Borrowing Powers	Mgmt	For	For	For

BGR Energy Systems Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BGREENERGY	CINS Y08816103	09/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Arumugam Swaminathan	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Cost Auditor's Fees	Mgmt	For	For	For
5	Authority to Mortgage Assets	Mgmt	For	For	For

Bharat Financial Inclusion Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SKSMICRO	CINS Y8084Y100	07/19/2016	Voted
Meeting Type	Country of Trade		

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Other Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Borrowing Powers	Mgmt	For	For	For
3	Authority to Mortgage Assets	Mgmt	For	For	For
4	Authority to Issue Debentures	Mgmt	For	Against	Against

Bliss GVS Pharma Limited

Ticker Security ID: Meeting Date Meeting Status  
 BLISSGVS CINS Y0910L121 09/14/2016 Voted

Meeting Type Country of Trade  
 Annual India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of S.N. Kamath (Managing Director); Approval of Remuneration	Mgmt	For	For	For
5	Appointment of Vibha G. Sharma (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
6	Appointment of Shruti V. Rao (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
7	Elect Santosh Parab	Mgmt	For	For	For
8	Appointment of Gagan Harsh Sharma (Relative of Vibha G. Sharma); Approval of Remuneration	Mgmt	For	For	For
9	Appointment of Arjun Gautam Ashra (Relative of Gautam Rasiklal Ashra); Approval of Remuneration	Mgmt	For	For	For
10	Appointment of Vishal Vijay Rao (Relative of Shruti V. Rao); Approval of Remuneration	Mgmt	For	For	For
11	Related Party Transactions	Mgmt	For	Against	Against

Bliss GVS Pharma Limited

Ticker Security ID: Meeting Date Meeting Status  
 BLISSGVS CINS Y0910L121 12/27/2016 Voted



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Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Mortgage Assets	Mgmt	For	For	For

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Bombay Dyeing & Manufacturing Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
BOMDYEING	CINS Y0R6AQ102	03/27/2017		Voted	
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Amalgamation	Mgmt	For	For	For

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Bombay Dyeing & Manufacturing Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
BOMDYEING	CINS Y0R6AQ102	05/11/2017		Voted	
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Borrowing Powers	Mgmt	For	For	For
3	Authority to Mortgage Assets	Mgmt	For	For	For

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Bombay Dyeing & Manufacturing Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
BOMDYEING	CINS Y0R6AQ102	08/10/2016		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Nusli N. Wadia	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Cost Auditor's Fees	Mgmt	For	For	For
7	Appointment of Jehangir N. Wadia (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Amendment to Borrowing Powers	Mgmt	For	For	For
9	Authority to Mortgage Assets	Mgmt	For	For	For

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Capital First Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CAPF	CINS Y2687H101	07/05/2016		Voted	
Meeting Type		Country of Trade			

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Annual Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Narendra Ostawal	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect and Appoint Apul Nayyar (Executive Director); Approve Remuneration	Mgmt	For	For	For
6	Elect and Appoint Nihal Desai (Executive Director); Approve Remuneration	Mgmt	For	For	For
7	Amendment to Borrowing Powers	Mgmt	For	For	For
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	Abstain	Against
9	CFL Employee Stock Option Scheme - 2016	Mgmt	For	Against	Against
10	Extension of CFL Employee Stock Option Scheme - 2016 to Subsidiaries	Mgmt	For	Against	Against

Capital First Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CAPF	CINS Y2687H101	12/08/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Ceat Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEATLTD	CINS Y1229V149	08/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Arnab Banerjee	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Paras K. Chowdhary	Mgmt	For	Against	Against
6	Authority to Cost Auditor's	Mgmt	For	For	For

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7	Fees Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
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Century Plyboards India Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CENTURYPLY	CINS Y1274H102	08/31/2016		Voted	
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vishnu Khemani	Mgmt	For	Against	Against
4	Elect Ajay Baldawa	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect J. P. Dua	Mgmt	For	For	For
7	Elect Keshav Bhajanka	Mgmt	For	For	For
8	Appointment of Keshav Bhajanka (Executive Director); Approve Remuneration	Mgmt	For	Against	Against
9	Appointment of Sajjan Bhajanka (Managing Director); Approve Remuneration	Mgmt	For	Against	Against
10	Appointment of Sanjay Agarwal (Managing Director); Approve Remuneration	Mgmt	For	Against	Against
11	Appointment of Ajay Baldawa (Executive Technical Director); Approve Remuneration	Mgmt	For	For	For
12	Servicing of Documents	Mgmt	For	For	For
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Century Textile & Industries Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CENTURYTEX	CINS Y12504125	07/28/2016		Voted	
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Kumar Mangalam Birla	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect and Appoint of D.K. Agrawal (Whole-time Director); Approve remuneration	Mgmt	For	Against	Against

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7	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For

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Chambal Fertilisers & Chemicals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CHAMBLFERT	CINS Y12916139	09/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Shyam Sunder Bhartia	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Branch Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Reappointment of Anil Kapoor (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Authority to Cost Auditor's Fees	Mgmt	For	For	For
9	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
10	Conversion of Loans into Equity Shares	Mgmt	For	Against	Against

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Chennai Petroleum Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CHENNPETRO	CINS Y53733112	09/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends: Preference Shares	Mgmt	For	For	For
3	Allocation of Profits/Dividends: Equity Shares	Mgmt	For	For	For

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4	Elect Ashok Balasubramanian	Mgmt	For	Against	Against
5	Elect U. Ventaka Ramana	Mgmt	For	Against	Against
6	Elect K.M. Mahesh	Mgmt	For	Against	Against
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Report on Improvement in Net Worth	Mgmt	For	For	For

Cox & Kings India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
COX&KINGS	CINS Y17730113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Urrshila Kerkar	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Credit Analysis And Research Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
CARERATING	CINS Y1R7BV106	05/19/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change in Company Name	Mgmt	For	For	For

Credit Analysis And Research Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
CARERATING	CINS Y1R7BV106	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Suryakanth B. Mainak	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Anil Kumar Bansal	Mgmt	For	For	For
6	Elect Ashima Goyal	Mgmt	For	Against	Against
7	Appointment of Rajesh Mokashi (Managing Director & CEO); Approval of Remuneration	Mgmt	For	For	For

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DB Realty Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
DBREALTY	CINS Y202CY107	09/29/2016	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	Against	Against	
3	Elect Shahid U. Balwa	Mgmt	For	Against	Against	
4	Elect Jayvardhan V. Goenka	Mgmt	For	Against	Against	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	

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DCB Bank Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
DCBBANK	CINS Y2051L134	04/15/2017	Voted			
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
3	Change of Location of Company Documentation	Mgmt	For	For	For	

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DCB Bank Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
DCBBANK	CINS Y2051L134	06/01/2017	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Amin Manekia	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
5	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	
6	Amendment to Borrowing Powers	Mgmt	For	For	For	
7	Ratification of Bonus Paid to Murali M. Natrajan (Managing Director & CEO)	Mgmt	For	For	For	

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Delta Corp Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
DELTACORP	CINS Y1869P105	03/02/2017	Voted			

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Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

Delta Corp Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
DELTACORP	CINS Y1869P105	04/18/2017	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For

Delta Corp Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
DELTACORP	CINS Y1869P105	09/23/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Rakesh Jhunjunwala	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For

Den Networks Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
DEN	CINS Y2032S109	03/11/2017	Voted		
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

Den Networks Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
DEN	CINS Y2032S109	09/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports - Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports - Consolidated Financial Statements	Mgmt	For	For	For

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3	Elect Ankur Ambika Sahu	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Krishna Kumar Gangadharan	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Ratification of Foreign Investor Restrictions	Mgmt	For	For	For

Den Networks Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
DEN	CINS Y2032S109	10/14/2016	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Preferential Allotment of Shares w/o Preemptive Rights	Mgmt	For	For	For

Dena Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
DENABANK	CINS Y2030B116	03/27/2017	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights on a Preferential Basis to GOI	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights on a Preferential Basis to LIC and GIC	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Dena Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
DENABANK	CINS Y2030B116	06/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Dena Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
DENABANK	CINS Y2030B116	09/22/2016	Voted		
Meeting Type		Country of Trade			
Special		India			



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights to Government of India	Mgmt	For	For	For

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Dewan Housing Finance Corporation Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DHFL	CINS Y2055V112	07/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Dheeraj R. Wadhawan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Rajiv Kumar	Mgmt	For	For	For
6	Authority to Issue Non-convertible Debentures	Mgmt	For	Against	Against
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Dishman Pharmaceuticals and Chemicals Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
DISHMAN	CINS Y2076D117	08/05/2016	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement and Amalgamation	Mgmt	For	For	For

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Dishman Pharmaceuticals and Chemicals Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
DISHMAN	CINS Y2076D117	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Janmejy R. Vyas	Mgmt	For	For	For
4	Appointment of Statutory Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Non-Executive Directors' Commission	Mgmt	For	For	For

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Dredging Corporation of India Ltd

Ticker	Security ID:	Meeting Date	Meeting Status

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DREDGECORP	CINS Y2107Z111	09/30/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect S. Charles	Mgmt	For	Against	Against
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Elect Sheila Sangwan	Mgmt	For	For	For
6	Elect Vrushali Rajendra Waghmare	Mgmt	For	For	For

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Eros International Media Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EROSMEDIA	CINS Y229A9107	01/07/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible Debentures on Private Placement	Mgmt	For	Abstain	Against

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Eros International Media Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
EROSMEDIA	CINS Y229A9107	09/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Board Vacancy and Resignation of Vijay Ahuja	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Escorts Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
ESCORTS	CINS Y2296W127	09/20/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Hardeep Singh	Mgmt	For	Against	Against
4	Elect Girish Behari Mathur	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set	Mgmt	For	Against	Against

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	Fees				
6	Authority to Set Cost Auditor's Remuneration	Mgmt	For	For	For
7	Elect D. J. Kakalia	Mgmt	For	For	For
8	Payment of Professional Fees to Girish Behari Mathur	Mgmt	For	Against	Against

### Eveready Industries India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVERREADY	CINS Y2361Z120	07/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Suvamoy Saha	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Re-Classification in Promoter Group	Mgmt	For	For	For

### Finolex Cables Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FINCABLES	CINS Y24953146	09/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Namita Vikas Thapar	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Remuneration	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
8	Related Party Transactions with Corning Finolex Optical Fibre Private Limited	Mgmt	For	For	For

### Firstsource Solutions Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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FSL	CINS Y25655104	07/26/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Shashwat Goenka	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Re-Appointment of Rajesh Subramaniam (CEO and Managing Director); Approve Remuneration	Mgmt	For	Against	Against

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Force Motors Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
FORCEMOT	CINS Y05481117	09/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sudhir Mehta	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Charitable Donations	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Remuneration	Mgmt	For	For	For
7	Deposits from Members and/or the Public	Mgmt	For	Against	Against
8	Elect Arvind Mahajan	Mgmt	For	For	For

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Future Consumer Enterprise Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
FCEL	CINS Y2677P113	02/16/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against
3	Amendments to Memorandum	Mgmt	For	For	For

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Future Consumer Enterprise Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
FCEL	CINS Y2677P113	08/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Elect Krishan K. Rathi	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Adhiraj Anil Harish	Mgmt	For	For	For
5	Elect Frederic de Mevius	Mgmt	For	Against	Against
6	Elect Deepak Malik	Mgmt	For	For	For
7	Approve Related Party Transactions with Bharti Retail Limited	Mgmt	For	Against	Against

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### Future Consumer Enterprise Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FCEL	CINS Y2677P113	09/29/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change in Company Name	Mgmt	For	For	For
3	Amendments to Memorandum - Objects Clause	Mgmt	For	For	For

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### Future Enterprises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FEL	CINS Y6722V140	03/12/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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### Future Enterprises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FEL	CINS Y6722V140	08/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Kishore Biyani	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Re-Designation of Vijay Biyani (Managing Director); Approve Remuneration	Mgmt	For	For	For
7	Appointment of Dinesh Maheshwari (CFO); Approve	Mgmt	For	Against	Against

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8	Remuneration Re-Classification of Authorised Share Capital	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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Future Enterprises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FEL	CINS Y6722V140	11/06/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against

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Future Retail Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FRETAIL	CINS Y0R8CN111	11/06/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Future Retail Limited Employee Stock Option Plan 2016 ("ESOP 2016")	Mgmt	For	Against	Against
3	Extension of ESOP 2016 to Subsidiary Companies	Mgmt	For	Against	Against
4	Acquisition of Shares on Secondary Market to Implement ESOP 2016	Mgmt	For	Against	Against
5	Implementation of ESOP 2016 by Employee Welfare Trust	Mgmt	For	Against	Against
6	Provision of Loan to Employee Welfare Trust to Implement ESOP 2016	Mgmt	For	Against	Against
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Related Party Transactions	Mgmt	For	Against	Against

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Gateway Distriparks Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GDL	CINS Y26839103	09/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Retirement of Arun Agarwal	Mgmt	For	For	For
6	Elect Mamta Gupta	Mgmt	For	For	For
7	Elect Arun Kumar Gupta	Mgmt	For	For	For
8	Elect Bhaskar A. Reddy	Mgmt	For	For	For
9	Elect Shabbir H. Hassanbhai	Mgmt	For	Against	Against

Gati Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GATI	CINS Y26835135	08/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Financial Statements	Mgmt	For	For	For
2	Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Yoshinobu Mitsuhashi	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Branch Auditor	Mgmt	For	For	For
7	Appointment of Mahendra Agarwal (Managing Director); Approve Remuneration	Mgmt	For	For	For
8	Authority to Give Loans and Guarantees	Mgmt	For	For	For
9	Holding of Office or Place of Profit by Dhruv Agarwal	Mgmt	For	For	For
10	Holding of Office or Place of Profit by Manisha Agarwal	Mgmt	For	For	For

Geometric Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GEOMETRIC	CINS Y3690Q138	08/09/2016	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement and Amalgamation	Mgmt	For	For	For

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Geometric Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GEOMETRIC	CINS Y3690Q138	08/09/2016	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reduction of Securities Premium Account	Mgmt	For	For	For
2	Amendment to ESOPS 2009, 2011, 2013 and 2015	Mgmt	For	For	For
3	Remuneration Payable to Manu Parpia (Managing Director and CEO)	Mgmt	For	For	For

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Geometric Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GEOMETRIC	CINS Y3690Q138	08/09/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Jamshyd N. Godrej	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' Commission	Mgmt	For	For	For

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Ghcl Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GHCL	CINS Y29443119	06/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Neelabh Dalmia	Mgmt	For	For	For
4	Elect Raman Chopra	Mgmt	For	For	For
5	Appointment of S. R. Batliboi & Co. as Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Ravi S. Jalan (Managing Director); Approval of Remuneration	Mgmt	For	For	For
7	Elect Vijaylaxmi Joshi	Mgmt	For	For	For

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Granules India Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		



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GRANULES	CINS Y2849A135	06/12/2017	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Granules India Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
GRANULES	CINS Y2849A135	08/11/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Harsha Chigurupati	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

GVK Power & Infrastructure Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GVPIL	CINS Y2962K118	07/07/2016	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	Against	Against

GVK Power & Infrastructure Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
GVPIL	CINS Y2962K118	08/12/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Krishna R. Bhupal	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Waiver of Excess Remuneration for A. Issac George, CFO	Mgmt	For	Against	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

HCL Infosystems Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
HCL-INSYS	CINS Y3119E138	09/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Pawan Kumar Danwar	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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Himachal Futuristic Communications Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
HFCL	CINS Y3196Q145	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports (Standalone)	Mgmt	For	For	For
3	Accounts and Reports (Consolidated)	Mgmt	For	For	For
4	Allocation of Profits/Dividends (Preference Shares)	Mgmt	For	For	For
5	Elect Ranjit Mal Kastia	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Mahendra Pratap Shukla	Mgmt	For	Against	Against
8	Elect Bela Banerjee	Mgmt	For	Against	Against
9	Re-Classification in Promoter Group	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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Himatsingka Seide Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HIMATSEIDE	CINS Y3196U138	09/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Aditya Himatsingka	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Re-Designation of D. K. Himatsingka (Executive Chairman); Approve Remuneration	Mgmt	For	For	For

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7	Re-Designation of Shrikant Himatsingka (Executive Chairman); Revise Remuneration	Mgmt	For	Against	Against
8	Amendment to Remuneration of Aditya Himatsingka	Mgmt	For	For	For
9	Elect and Appoint V. Vasudevan (Whole Time Director); Approve Remuneration	Mgmt	For	Against	Against
10	Elect Sangeeta Kulkarni	Mgmt	For	For	For

Hindustan Construction Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HCC	CINS Y3213Q136	01/05/2017	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Conversion of Debt into Equity Shares and/or Optionally Convertible Debentures	Mgmt	For	For	For
4	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For

Hindustan Construction Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HCC	CINS Y3213Q136	07/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Elect Nateri Ramanuj Acharyulu	Mgmt	For	Against	Against
4	Remuneration of Ajit Gulabchand (Chairman and Managing Director) for Financial Year 2016-17	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Authority to Issue Shares and/or Convertible Securities w/o	Mgmt	For	Against	Against

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	Preemptive Rights					
7	Authority to Convert Debt into Shares of the Company	Mgmt	For	Against	Against	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Hitachi Home & Life Solutions (India) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HITACHIHOME	CINS Y3227X119	07/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Anil Shah	Mgmt	For	For	For
4	Elect Gurmeet Singh	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Remuneration	Mgmt	For	For	For
7	Elect Franz Cerwinka	Mgmt	For	For	For
8	Elect and Appoint Atsushi Ohtsuka (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
9	Elect and Appoint Varghese Joseph (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
10	Amendments to Remuneration of Anil Shah (CFO & Executive Director)	Mgmt	For	For	For
11	Appointment of Anil Shah (CFO & Executive Director); Approval of Remuneration	Mgmt	For	For	For
12	Amendments to Remuneration of Vinay Chauhan (Executive Director)	Mgmt	For	For	For
13	Appointment of Vinay Chauhan (Executive Director); Approval of Remuneration	Mgmt	For	For	For
14	Amendments to Remuneration of Gurmeet Singh (Executive Director)	Mgmt	For	For	For
15	Change in Company Name	Mgmt	For	For	For
16	Maintenance of	Mgmt	For	For	For

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Company's Register					
17	Adoption of New Articles	Mgmt	For	Against	Against

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Housing Development and Infrastructure Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
HDIL	CINS Y3722J102	07/14/2016		Voted	
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For

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Housing Development and Infrastructure Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
HDIL	CINS Y3722J102	09/29/2016		Voted	
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Elect Ashok Kumar Gupta	Mgmt	For	For	For
4	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
5	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	Against	Against

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IFCI Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
IFCI	CINS Y8743E123	09/28/2016		Voted	
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends (Preference Shares)	Mgmt	For	For	For
4	Allocation of Profits/Dividends (Ordinary Shares)	Mgmt	For	For	For
5	Elect N Balakrishnan	Mgmt	For	For	For
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible	Mgmt	For	For	For

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8	Debtures Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For
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IIFL Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
IIFL	CINS Y3914X109	07/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Nirmal B. Jain	Mgmt	For	For	For
3	Elect Rajamani Venkataraman	Mgmt	For	Against	Against
4	Elect Chandran Ratnaswami	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Directors' Commision	Mgmt	For	For	For
7	Adoption of New Articles	Mgmt	For	Abstain	Against
8	Authority to Issue Non-Convertible Debtures	Mgmt	For	For	For

IIFL Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
IIFL	CINS Y3914X109	08/06/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Loans and Guarantees	Mgmt	For	Against	Against

Indiabulls Real Estate Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IBREALEST	CINS Y3912A101	09/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Narendra Gehlaut	Mgmt	For	Against	Against
3	Elect Vishal Gaurishankar Damani	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Bisheshwar Prasad Singh	Mgmt	For	Against	Against
6	Elect Labh S. Sitara	Mgmt	For	For	For
7	Elect Shamsher Singh Ahlawat	Mgmt	For	Against	Against

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8	Elect Aishwarya Katoch	Mgmt	For	For	For
9	Elect Gyan Sudha Misra	Mgmt	For	For	For
10	Authority to Issue Non-convertible Debentures/ Bonds	Mgmt	For	For	For

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Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status
IOB	CINS Y39282119	06/28/2017	Voted
Meeting Type	Country of Trade		
Annual	India		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Employee Stock Purchase Scheme	Mgmt	For	Against	Against
4	Authority to Issue Shares w/o Preemptive Rights on a Preferential Basis to Government of India	Mgmt	For	For	For

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Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status
IOB	CINS Y39282119	07/18/2016	Voted
Meeting Type	Country of Trade		
Annual	India		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Approval to Issue Shares Under the SBEB-ESPS 2016	Mgmt	For	Abstain	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Indian Overseas Bank

Ticker	Security ID:	Meeting Date	Meeting Status
IOB	CINS Y39282119	09/15/2016	Voted
Meeting Type	Country of Trade		
Special	India		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Indo Count Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status
INDOCOUI	CINS Y39739118	07/26/2016	Voted
Meeting Type	Country of Trade		
Annual	India		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports (Standalone)	Mgmt	For	For	For
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For
3	Allocation of Profits/Dividends (Interim)	Mgmt	For	For	For
4	Allocation of Profits/Dividends (Final)	Mgmt	For	For	For
5	Elect Kamal Mitra	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Mohit Anilkumar Jain	Mgmt	For	Against	Against
8	Appointment of Mohit Anilkumar Jain (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
9	Appointment of Anil Kumar Jain (Executive Chairman); Approval of Remuneration	Mgmt	For	For	For
10	Appointment of Kailash R. Lalpuria (Executive Director); Approval of Remuneration	Mgmt	For	For	For
11	Appointment of Kamal Mitra (Director - Works); Approval of Remuneration	Mgmt	For	Against	Against
12	Amendment to Borrowing Powers	Mgmt	For	For	For
13	Authority to Mortgage Assets	Mgmt	For	For	For

Indo Count Industries Limited

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Stock Split	Mgmt	For	For	For
3	Amendments to Memorandum (Capital Clause)	Mgmt	For	For	For

Infibeam Incorporation Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Stock Split	Mgmt	For	For	For
3	Amendments to Memorandum (Capital Clause)	Mgmt	For	For	For



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1	Issuance of Warrants w/o Preemptive Rights	Mgmt	For	For	For
2	Authority to Give Guarantees	Mgmt	For	Against	Against
3	Amendment to Borrowing Powers	Mgmt	For	For	For

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Infibeam Incorporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
INFIBEAM	CINS ADPV34952	09/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ajit Mehta	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of RBC & Co. LLP as Joint Statutory Auditors and Authority to Set Fees	Mgmt	For	For	For
5	Ratification of Pre-IPO Employee Stock Option Plans	Mgmt	For	Against	Against
6	Ratification and Granting of Balance of Shares Under the Pre-IPO 2013-14 ESOP Scheme	Mgmt	For	Against	Against

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Intellect Design Arena Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
INTELLECT	CINS Y4R932109	07/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Anil Kumar Verma	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Charitable Donations	Mgmt	For	For	For
6	Re-Classification in Promoter Group	Mgmt	For	For	For
7	Adopt and Issue Shares under the Intellect Stock Option Plan 2016 ("ISOP 2016")	Mgmt	For	Against	Against
8	Extension of Stock Option Plan to Subsidiaries	Mgmt	For	Against	Against
9	Elect Arun Shekhar Aran	Mgmt	For	For	For
10	Increase in Authorized	Mgmt	For	For	For

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	Capital					
11	Amendments to Articles	Mgmt	For	For	For	
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	

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International Paper APPM Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IPAPPM	CINS Y0128P146	07/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Thomas G. Kadien	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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International Paper APPM Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IPAPPM	CINS Y0128P146	12/21/2016	Voted		
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

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J. B. Chemicals & Pharmaceuticals Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
JBCHEPHARM	CINS Y4429Q142	08/23/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Pranabh D. Mody	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Shaukat H. Merchant	Mgmt	For	Against	Against
6	Authority to Cost Auditor's Fees	Mgmt	For	For	For
7	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For

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J. Kumar Infraprojects Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JKIL	CINS Y44492133	05/16/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt N/A
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions with J. Kumar Developers Limited	Mgmt	For	For	For
3	Related Party Transactions with Jagdish Madanlal Gupta and Kamal Jagdish Gupta	Mgmt	For	For	For
4	Related Party Transactions with Jagdish Madanlal Gupta and Kusum Jagdish Gupta	Mgmt	For	Against	Against
5	Related Party Transactions with Govind Dabriwal	Mgmt	For	Against	Against

JAI Corp Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JAICORPLTD	CINS Y4252Z136	09/21/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Accounts and Reports	Mgmt	For	For	For
2	Consolidated Accounts and Reports	Mgmt	For	Against	Against
3	Dividend on Preference Shares	Mgmt	For	For	For
4	Dividend on Equity Shares	Mgmt	For	For	For
5	Elect Anand J. Jain	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jain Irrigation Systems Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
JISLJALEQS	CINS Y42531148	03/24/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Foreign Currency Convertible	Mgmt	For	For	For

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### Bonds

Jain Irrigation Systems Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
JISLJALEQS	CINS Y42531148	09/30/2016	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Atul B. Jain	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	Abstain	Against	
7	Ratification of Disclosure of Ultimate Beneficial Owner of issue and allotment of CCDs	Mgmt	For	For	For	
Jaiprakash Associates Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
JPASSOCIAT	CINS Y42539117	09/28/2016	Voted			
Meeting Type	Country of Trade					
Annual	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Sunny Gaur	Mgmt	For	Against	Against	
3	Elect Rahul Kumar	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Option to Convert Loans, Debentures and Debt into Equity Shares	Mgmt	For	Against	Against	
Jaiprakash Associates Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
JPASSOCIAT	CINS Y42539117	10/22/2016	Voted			
Meeting Type	Country of Trade					
Court	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Disposal	Mgmt	For	For	For	
Jaiprakash Power Ventures Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
JPPPOWER	CINS Y4253C102	09/24/2016	Voted			
Meeting Type	Country of Trade					

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Annual Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ravindra Mohan Chadha	Mgmt	For	Against	Against
3	Elect Sunil Kumar Sharma	Mgmt	For	For	For
4	Elect Dharam Paul Goyal	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Cost Auditor's Fees	Mgmt	For	For	For
7	Conversion of Loans into Equity Shares	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Reappointment of Praveen Kumar Singh (Whole-time Director; Approve Remuneration	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Debt Instruments w/o Preemptive Rights	Mgmt	For	For	For

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Jammu and Kashmir Bank Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
J&KBANK	CINS Y4S53D136	03/15/2017		Voted	
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights to Promoters	Mgmt	For	For	For

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Jammu and Kashmir Bank Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
J&KBANK	CINS Y4S53D136	05/24/2017		Voted	
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Authority to Non-Convertible Debentures	Mgmt	For	For	For

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Jammu and Kashmir Bank Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	

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J&KBANK	CINS Y4S53D136	06/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Azhar Ul Amin	Mgmt	For	Against	Against
5	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
6	Amendments to Articles	Mgmt	For	For	For
7	Elect Rahul Bansal	Mgmt	For	Against	Against
8	Elect Daman Kumar Pandoh	Mgmt	For	For	For
9	Elect Mohammad Maqbool Rather	Mgmt	For	For	For
10	Elect Mohammad Ashraf Mir	Mgmt	For	For	For
11	Elect Pronab Sen	Mgmt	For	For	For
12	Elect Sanjiv Agarwal	Mgmt	For	For	For
13	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
14	Elect Vijayalakshmi R. Iyer	Mgmt	For	For	For
15	Elect Sunil Chandiramani	Mgmt	For	For	For
16	Elect Mohinder Kumar Chopra	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Jet Airways (India) Limited	Security ID:		Meeting Date	Meeting Status	
Ticker	CINS Y4440B116		12/27/2016	Voted	
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect James Rigney	Mgmt	For	For	For
3	Appointment of Chaturvedi & Shah as Joint Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Appointment of BSR & Co. as Joint Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Vikram S. Mehta	Mgmt	For	For	For
6	Elect Rajshree Pathy	Mgmt	For	For	For
7	Elect Ranjan Mathai	Mgmt	For	For	For
8	Revision to Remuneration of Gaurant Shetty (Whole Time Director)	Mgmt	For	For	For
9	PAYMENT OF COMMISSION	Mgmt	For	For	For

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TO INDEPENDENT  
DIRECTORS

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Jindal SAW Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	12/22/2016		Voted	
JINDALSAW	CINS Y4449A101	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Tripti Puneet Arya	Mgmt	For	Against	Against
5	Elect Hawa Singh Chaudhary	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Ajit Kumar Hazarika	Mgmt	For	For	For
8	Appointment of Sminu Jindal (Managing Director); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
11	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights to Qualified Institutional Buyers	Mgmt	For	Against	Against
12	Authority to Issue Shares and/or Depository Receipts and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against

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JK Lakshmi Cement Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	09/07/2016		Voted	
JKLAKSHMI	CINS Y44451154	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Raghupati Singhania	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set	Mgmt	For	Against	Against

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	Fees					
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Payment of Minimum Remuneration to Bharat Hari Singhania (Managing Director)	Mgmt	For	For	For	
7	Payment of Minimum Remuneration to Vinita Singhania (Vice Chairman and Managing Director)	Mgmt	For	For	For	
8	Payment of Minimum Remuneration to Sushil Kumar Wali (Executive Director)	Mgmt	For	For	For	
9	Payment of Minimum Remuneration to Shailendra Chouksey (Executive Director)	Mgmt	For	For	For	
10	Directors' Commission	Mgmt	For	For	For	
11	Re-appointment of Bharat Hari Singhania (Managing Director); Approve Remuneration	Mgmt	For	For	For	
12	Re-appointment of Vinita Singhania (Managing Director); Approve Remuneration	Mgmt	For	For	For	
13	Re-appointment of Sushil Kumar (Executive Director); Approve Remuneration	Mgmt	For	For	For	
14	Re-appointment of Shailendra Chouksey (Executive Director); Approve Remuneration	Mgmt	For	For	For	
15	Charitable Donations	Mgmt	For	For	For	
<hr/>						
JK Lakshmi Cement Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
JKLAKSHMI	CINS Y44451154	12/15/2016		Voted		
Meeting Type	Country of Trade					
Other	India					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	
<hr/>						
JK Tyre & Industries Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares and/or Convertible Debt w/o Preemptive Rights	Mgmt	For	Against	Against

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JK Tyre & Industries Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
JKTYRE	CINS Y44455197	09/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Bharat H. Singhania	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Elect Shreekant Somany	Mgmt	For	For	For
7	Elect Anshuman Singhania	Mgmt	For	For	For
8	Appointment of Anshuman Singhania (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
10	Appointment of Raghupati Singhania (Chairman & Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
11	Appointment of Bharat Hari Singhania (Managing Director); Approval of Remuneration	Mgmt	For	For	For

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JM Financial Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
JMFINANCIL	CINS Y44462110	08/02/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For

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3	Elect Nimesh Kampani	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Vishal Kampani	Mgmt	For	For	For
6	Directors' Commission	Mgmt	For	For	For
7	Authority to Issue Non Convertible Debt	Mgmt	For	For	For
8	Related Party Transactions - Authority to Give Guarantees - JM Financial Credit Solutions Ltd	Mgmt	For	For	For
9	Related Party Transactions - Authority to Give Guarantees - JM Financial Asset Reconstruction Company Private Limited	Mgmt	For	For	For

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Just Dial Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JUSTDIAL	CINS Y4S789102	01/07/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Just Dial Limited Employee Stock Option Scheme 2016 ("ESOP 2016")	Mgmt	For	For	For
3	Extension of ESOP 2016 to Subsidiaries	Mgmt	For	For	For

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Just Dial Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JUSTDIAL	CINS Y4S789102	01/16/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For

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Just Dial Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JUSTDIAL	CINS Y4S789102	01/17/2017	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For

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Just Dial Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
JUSTDIAL	CINS Y4S789102	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports (Standalone)	Mgmt	For	For	For
3	Accounts and Reports (Consolidated)	Mgmt	For	For	For
4	Elect Ramani Iyer	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of V.S.S. Mani (Managing Director and CEO); Approval of Remuneration	Mgmt	For	Against	Against
7	Appointment of V. Krishnan (Whole-Time Director); Approval of Remuneration	Mgmt	For	For	For
8	Amendments to Remuneration of Ramani Iyer (Whole-Time Director)	Mgmt	For	For	For

Karnataka Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KTKBANK	CINS Y4590V128	07/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Appointment of Branch and Authority to Set Fees	Mgmt	For	For	For
5	Elect Ravindra U. Bhat	Mgmt	For	For	For
6	Elect Keshav K. Desai	Mgmt	For	For	For

Kaveri Seed Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KSCL	CINS Y458A4137	05/27/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Repurchase Shares	Mgmt	For	For	For

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Kaveri Seed Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
KSCL	CINS Y458A4137	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect G. Pawan	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Re-appointment of G.V. Bhaskar Rao (Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
6	Re-appointment of G.Vanaja Devi (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Re-appointment of C. Vamsheedhar (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
8	Re-appointment of C. Mithun Chand (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
9	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For

Kaya Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
KAYA	CINS Y4S8B1103	08/04/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Rajen Mariwala	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Appointment of Harsh Mariwala (Chairman and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against

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6	Adoption of the Kaya Employee Stock Option Plan 2016	Mgmt	For	Against	Against
7	Extension of the Kaya Employee Stock Option Plan 2016 to Subsidiaries	Mgmt	For	Against	Against

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KEC International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KEC	CINS Y4605M113	07/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ramesh Deokisandas Chandak	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Appointment of Branch Auditors	Mgmt	For	For	For
6	Elect Vimal Kejriwal	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
8	Amendment to Borrowing Powers	Mgmt	For	For	For
9	Authority to Mortgage Assests	Mgmt	For	For	For

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Kesoram Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KESORAMIND	CINS Y4752B134	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Vinay Sah	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
5	Revision of Remuneration of Manjushree Khaitan (Whole-time Director)	Mgmt	For	For	For
6	Appointment of Manjushree Khaitan (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against

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7	Appointment of Tridib Kumar Das (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against
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Kesoram Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KESORAMIND	CINS Y4752B134	07/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Basant Kumar Birla	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Elect and Appoint Tridib Kumar Das (CFO & Whole-time Director); Approve Remuneration	Mgmt	For	Against	Against

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Kitex Garments Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KITEX	CINS Y4807P120	06/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect K L V Narayanan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendment to Remuneration of Sindhu Chandrasekhar (Whole-Time Director)	Mgmt	For	For	For
6	Bonus Share Issuance	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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KPIT Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KPIT	CINS Y4984R147	08/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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	(Standalone)					
2	Accounts and Reports (Consolidated)	Mgmt	For	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For	For
4	Elect B V R Subbu	Mgmt	For	Against	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
6	Appointment of Sachin Tikekar (Executive Director); Approval of Remuneration	Mgmt	For	For	For	For

Kushal Tradelink Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KUSHAL	CINS Y5058D120	01/01/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Memorandum	Mgmt	For	For	For
3	Amendments to Memorandum - Deletion of "Other Objects"	Mgmt	For	For	For
4	Adoption of New Articles	Mgmt	For	Against	Against
5	Elect Manoj Tulsiram Agrawal	Mgmt	For	For	For
6	Elect Anil Soni	Mgmt	For	For	For
7	Elect Kavita Jain	Mgmt	For	Against	Against
8	Elect CS Sagar Sharma	Mgmt	For	For	For
9	Elect Dharmendra Bhuchhada	Mgmt	For	For	For

Kushal Tradelink Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KUSHAL	CINS Y5058D120	02/27/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Bonus Share Issuance	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Kwality Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KWALITYD	CINS Y5058N128	08/08/2016	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Authority to Issue Shares w/o Preemptive Rights on a Preferential Basis	Mgmt	For	For	For
3	Approve Preferential Issuance of Convertible Warrants	Mgmt	For	For	For
4	Approve Preferential Issuance of Compulsorily Convertible Debentures	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Kwality Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KWALITY	CINS Y5058N128	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sanjay Dhingra	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Satyendra Kumar Bhalla	Mgmt	For	For	For
7	Appoint Satyendra Kumar Bhalla as Whole-time Director; Approval of Fees	Mgmt	For	For	For
8	Elect Ankita Mehrotra	Mgmt	For	For	For
9	Elect Ahok Kumar Gupta	Mgmt	For	For	For
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
11	Authority to Issue Shares and/or Convertible Shares w/o Preemptive Rights	Mgmt	For	For	For

Lanco Infratech Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
LITL	CINS Y5144P111	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect G. Venkatesh Babu	Mgmt	For	For	For
3	Elect G. Bhaskara Rao	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For



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5	Elect Hiranmoy Biswas	Mgmt	For	For	For
6	Elect Rajesh Kumar Yaduvanshi	Mgmt	For	For	For
7	Elect K. Raja Gopal	Mgmt	For	For	For
8	Elect Raj Kumar Roy	Mgmt	For	For	For
9	Elect Rengaraj Viswanathan	Mgmt	For	For	For
10	Elect Jaskiran Arora	Mgmt	For	For	For
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
12	Re-appointment of L. Madhududhan Rao (Executive Chairman); Approval of Remuneration	Mgmt	For	For	For
13	Re-appointment of G. Bhaskara Rao (Executive Vice-chairman); Approval of Remuneration	Mgmt	For	For	For
14	Appointment of Raj Kumar Roy (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
15	Re-appointment of G. Venkatesh Babu (Managing Director); Approval of Remuneration	Mgmt	For	For	For
16	Related Party Transactions - Authority to Give Guarantees	Mgmt	For	Against	Against
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Lloyd Electric & Engineering Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LLOYDELENG	CINS Y5324Z129	03/23/2017	Voted		
Meeting Type	Other	Country of Trade			
		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Sale of Consumer Durables Business	Mgmt	For	For	For
3	Change in Company Name	Mgmt	For	For	For

Lloyd Electric & Engineering Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LLOYDELENG	CINS Y5324Z129	08/26/2016	Voted		
Meeting Type	Other	Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Elect Bharat Raj Punj	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Re-designation of Bharat Raj Punj (Deputy Managing Director); Approve Remuneration	Mgmt	For	For	For
7	Elect Deepti Sahai	Mgmt	For	For	For
8	Re-appointment of Achin Kumar Roy (Wholetime Director); Approve Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Lloyd Electric & Engineering Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
LLOYDELENG	CINS Y5324Z129	12/27/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change of Location of Registered Office	Mgmt	For	For	For
3	Amendment to Borrowing Powers	Mgmt	For	For	For
4	Authority to Mortgage Assets	Mgmt	For	For	For

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Mahanagar Telephone Nigam Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTNL	CINS Y5401F111	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Pravin Kumar Purwar	Mgmt	For	For	For
3	Elect Sunil Kumar	Mgmt	For	Against	Against
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Elect Sanjeev Kumar	Mgmt	For	Against	Against
6	Elect Rakesh Nangia	Mgmt	For	For	For
7	Elect Ashok Mittal	Mgmt	For	For	For
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	Against	Against
9	Authority to Cost Auditor's Fees	Mgmt	For	For	For

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Majesco Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
MJCO	CINS Y5400E107	07/02/2016	Voted

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Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For

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### Majesco Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MJCO	CINS Y5400E107	08/11/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Ketan Mehta	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Directors' Commission	Mgmt	For	For	For
6	Amendment to ESOP Plan	Mgmt	For	For	For

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### MakeMyTrip Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MMYT	CUSIP V5633W109	09/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Deep Kalra	Mgmt	For	For	For
4	Elect Vivek Gour	Mgmt	For	For	For
5	Elect Rajesh Magow	Mgmt	For	For	For
6	Elect James Jianzhang Liang	Mgmt	For	Against	Against

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### MakeMyTrip Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MMYT	CUSIP V5633W109	12/09/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

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### Manappuram Finance Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MANAPPURAM	CINS Y5759P141	07/03/2016	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of Manappuram Finance Limited Employee Stock Option Scheme 2016 ("MAFIL ESOS 2016")	Mgmt	For	Against	Against
3	Granting of Shares under the MAFIL ESOS 2016 to Employees/Directors of Subsidiaries	Mgmt	For	Against	Against
4	Granting of Shares under the MAFIL ESOS 2016 to Employees/Directors of the Company	Mgmt	For	Against	Against
5	Revision of Salary of Sumitha Nandan (Senior Vice President), Holding Office of Place of Profit	Mgmt	For	For	For
6	Revision of Salary of Sooraj Nandan (Senior Vice President), Holding Office of Place of Profit	Mgmt	For	For	For
7	Revision of Remuneration of V.P. Nandakumar (Managing Director and CEO)	Mgmt	For	For	For

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Manappuram Finance Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	08/09/2016		Voted	
MANAPPURAM	CINS Y5759P141	Country of Trade			
Meeting Type		India			
Annual		Proponent	Mgmt Rec	Vote Cast	For/Against
Issue No.	Description				Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Authority to Issue Redeemable Non-Convertible Debentures	Mgmt	For	For	For

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Manpasand Beverages Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	09/03/2016		Voted	
MANPASAND	CINS Y5786K107	Country of Trade			
Meeting Type		India			
Other		Proponent	Mgmt Rec	Vote Cast	For/Against
Issue No.	Description				Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Variation in Use of Proceeds from IPO	Mgmt	For	For	For

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Manpasand Beverages Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MANPASAND	CINS Y5786K107	09/05/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Vishal Sood	Mgmt	For	For	For
3	Elect Dhruv Agrawal	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Revision of Remuneration for Dhirendra Singh (Chairman and Managing Director)	Mgmt	For	For	For
6	Revision of Remuneration for Abhishek Singh (Whole Time Director)	Mgmt	For	For	For
7	Increase in Authorized Share Capital	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Marksans Pharma Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MARKSANS	CINS Y57686100	09/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sandra Saldanha	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Vinay Gopal Nayak	Mgmt	For	Against	Against
6	Appointment of Vinay Gopal Nayak (Whole-time Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Employee Stock Option Scheme 2016 ("ESOP 2016")	Mgmt	For	Against	Against

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Max India Ltd

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Ticker	Security ID:	Meeting Date	Meeting Status
MAXINDIA	CINS Y8551L102	06/10/2017	Voted
Meeting Type		Country of Trade	
Special		India	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Issuance of Warrants W/o Preemptive Rights	Mgmt	For

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### Max India Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
MAXINDIA	CINS ADPV34978	09/27/2016	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For
3	Elect Ashok Brijmohan Kacker	Mgmt	For
4	Elect Dipankar Gupta	Mgmt	For
5	Elect Rahul Khosla	Mgmt	For
6	Elect Mohit Talwar	Mgmt	For
7	Elect Tara Singh Vachani	Mgmt	For
8	Elect Ashwani Windlass	Mgmt	For
9	Elect Sanjeev Kishen Mehra	Mgmt	For
10	Appointment of Mohit Talwar (Managing Director); Approval of Remuneration	Mgmt	For
11	Amendment to Trade Mark License Agreement	Mgmt	For
12	Max India Employee Stock Plan 2016	Mgmt	For

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### McLeod Russel India Limited

Ticker	Security ID:	Meeting Date	Meeting Status
MCLEODRUSS	CINS Y5934T133	08/08/2016	Voted
Meeting Type		Country of Trade	
Annual		India	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Brij M. Khaitan	Mgmt	For
4	Elect Azam Monem	Mgmt	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For
6	Waiver of Excess Remuneration for A. Khaitan (Managing	Mgmt	For

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7	Director) Waiver of Excess Remuneration for R. Takru (Wholetime Director)	Mgmt	For	Against	Against
8	Waiver of Excess Remuneration for A. Monem (Wholetime Director)	Mgmt	For	Against	Against
9	Waiver of Excess Remuneration for K.K Baheti (Wholetime Director)	Mgmt	For	Against	Against
10	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Mirza International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MIRZAIN	CINS Y6079Q132	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Tasneef Ahmad Mirza	Mgmt	For	Against	Against
5	Elect N.P. Upadhyay	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Related Party Transactions with Euro Footwear Private Limited	Mgmt	For	Against	Against
8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Monsanto India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MONSANTO	CINS Y6137L117	08/10/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Sekhar Natarajan	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Piyush Ramesh Nagar	Mgmt	For	For	For
5	Elect Hemraj C. Asher	Mgmt	For	Against	Against
6	Elect Pradeep Poddar	Mgmt	For	Against	Against
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Monte Carlo Fashions Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
MONTECARLO	CINS Y6134H101	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Paurush Roy	Mgmt	For	Against	Against
5	Elect Sandeep Jain	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	Against	Against
8	Elect Amrik Singh Sohi	Mgmt	For	For	For
9	Elect Alok Kumar Misra	Mgmt	For	For	For
10	Re-appointment of Jawahar Lal Oswal (Chairman and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against
11	Re-appointment of Ruchika Oswal (Executive Director); Approval of Remuneration	Mgmt	For	For	For
12	Re-appointment of Monica Oswal (Executive Director); Approval of Remuneration	Mgmt	For	For	For

Navkar Corporation Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NAVKARCORP	CINS Y6S11Y102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change in Use of Proceeds from IPO	Mgmt	For	For	For

Navkar Corporation Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NAVKARCORP	CINS ADPV30119	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Financial Statements	Mgmt	For	For	For
2	Consolidated Financial Statements	Mgmt	For	For	For
3	Elect Shantilal Jayavantraj	Mgmt	For	For	For



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4	Mehta Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Appointment of Nemichand Jayavantraj Mehta (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For

NCC Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
NCC	CINS Y6198W135	08/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Utpal H. Sheth	Mgmt	For	Against	Against
4	Elect A V N Raju	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Elect Shankararamiah Ravi	Mgmt	For	For	For
7	Elect A.S. Durga Prasad	Mgmt	For	For	For
8	Appointment of A V N Raju (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

NIIT Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NIIT	CINS Y63532140	08/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Rajendra S. Pawar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

NIIT Technologies Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
NIITTECH	CINS Y62769107	08/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Vijay K. Thadani	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Nilkamal Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NILKAMAL	CINS Y6362S118	08/11/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Hiten V. Parekh	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
5	Re-desigantion of Hiten V. Parekh as Joint Managing Director	Mgmt	For	For	For
6	Re-desigantion of Manish V. Parekh as President and Executive Director (Furniture)	Mgmt	For	For	For
7	Re-desigantion of Nayan S. Parekh as President and Executive Director (Material Handling)	Mgmt	For	For	For

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Omaxe Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
OMAXE	CINS Y64225108	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends on Preferred Shares	Mgmt	For	For	For
4	Allocation of Profits/Dividends on Ordinary Shares	Mgmt	For	For	For
5	Elect Jai Bhagwan Goel	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Sudip Bandyopadhyay	Mgmt	For	For	For

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8	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
9	Authority to Issues Non-Convertible Debt Instruments and Other Securities	Mgmt	For	For	For
10	Appointment of Sudhangshu S. Biswal (Whole-time Director); Approve Remuneration	Mgmt	For	Against	Against

Onmobile Global Limited

Ticker Security ID: ONMOBILE CINS Y6449B104

Meeting Date: 09/14/2016

Meeting Status: Voted

Meeting Type: Annual

Country of Trade: India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Francois-Charles Sirois	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Rajiv Pancholy to Hold Office or Place of Profit with a Subsidiary; Approval of Remuneration	Mgmt	For	For	For
7	Appointment of Francois-Charles Sirois to Hold Office or Place of Profit with a Subsidiary; Approval of Remuneration	Mgmt	For	For	For

Oriental Bank of Commerce

Ticker Security ID: ORIENTBANK CINS Y6495G114

Meeting Date: 06/29/2017

Meeting Status: Voted

Meeting Type: Annual

Country of Trade: India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Parag Milk Foods Ltd

Ticker Security ID: PARAGMILK CINS ADFV35390

Meeting Date: 09/29/2016

Meeting Status: Voted

Meeting Type: Annual

Country of Trade: India

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports: Standalone Financial Statements	Mgmt	For	For	For
3	Accounts and Reports: Consolidated Financial Statements	Mgmt	For	For	For
4	Elect Bharatkumar Mahendra Vyas	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Ramesh Deokisandas Chandak	Mgmt	For	For	For
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

PC Jeweller Limited (PCJ)

Ticker	Security ID:	Meeting Date	Meeting Status
PCJEWELLER	CINS Y6S75W109	06/28/2017	Voted
Meeting Type	Other	Country of Trade	
		India	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Increase in Authorized Share Capital; Amendment to Memorandum	Mgmt	For	For	For
3	Bonus Share Issuance	Mgmt	For	For	For
4	Amendments to Remuneration of Ramesh Kumar Sharma (Executive Director and COO)	Mgmt	For	For	For
5	Extension of PC Jeweller Limited Employee Stock Option Plan 2011 to Employees of Subsidiary Companies	Mgmt	For	Against	Against

PC Jeweller Limited (PCJ)

Ticker	Security ID:	Meeting Date	Meeting Status
PCJEWELLER	CINS Y6S75W109	07/04/2016	Voted
Meeting Type	Other	Country of Trade	
		India	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Elect Muneesh Chawla	Mgmt	For	Against	Against

PC Jeweller Limited (PCJ)

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Ticker	Security ID:	Meeting Date	Meeting Status		
PCJEWELLER	CINS Y6S75W109	08/22/2016	Voted		
Meeting Type		Country of Trade			
Special		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase in Authorized Capital	Mgmt	For	For	For
2	Issuance of Compulsorily Convertible Preference Shares	Mgmt	For	For	For

PC Jeweller Limited (PCJ)

Ticker	Security ID:	Meeting Date	Meeting Status		
PCJEWELLER	CINS Y6S75W109	09/19/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Padam Chand Gupta	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Appointment of Ramesh Kumar Sharma (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For
6	Appointment of Nitin Gupta (Relative of Padam Chand Gupta); Approval of Remuneration	Mgmt	For	For	For

Polaris Consulting & Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
POLARIS	CINS Y70587111	03/22/2017	Voted		
Meeting Type		Country of Trade			
Other		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For

Polaris Consulting & Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
POLARIS	CINS Y70587111	07/07/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Jitin Goyal	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set	Mgmt	For	For	For

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Fees

Polaris Consulting & Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
POLARIS	CINS Y70587111	11/08/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change of Location of Registered Office	Mgmt	For	For	For

Prabhat Dairy Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PRABHAT	CINS Y7S52S129	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Standalone Accounts and Reports	Mgmt	For	For	For
3	Consolidated Accounts and Reports	Mgmt	For	Against	Against
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Vivek S. Nirmal	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Elect Rajesh Srivastava	Mgmt	For	Against	Against
8	Elect Raphael Plihon	Mgmt	For	For	For
9	Elect Soundararajan Bangarusamy	Mgmt	For	For	For

Praj Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PRAJIND	CINS Y70770139	07/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Parimal P. Chaudhari	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PTC India Financial Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PFS	CINS Y711AW103	09/28/2016	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ajit Kumar	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Pravin Tripathi	Mgmt	For	For	For
6	Elect Harbans Lal Bajaj	Mgmt	For	For	For

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### PTC India Financial Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PFS	CINS Y711AW103	11/13/2016	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Authority to Issue Non-convertible Debentures	Mgmt	For	Against	Against

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### PTC India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PTC	CINS Y7043E106	09/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ravi P. Singh	Mgmt	For	Against	Against
5	Elect Jyoti Arora	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Elect Kulamani Biswal	Mgmt	For	Against	Against
8	Elect Jayant Kumar	Mgmt	For	For	For

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### PVR Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PVR	CINS Y71626108	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sanjeev Kumar	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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6	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
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Quick Heal Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
QUICKHEAL	CINS ADPV34074	08/05/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Shailesh Lakhani	Mgmt	For	For	For
4	Elect Sanjay Katkar	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Appointment of Anupama Katkar (Relative of Kailash Katkar - Managing Director & CEO); Approval of Remuneration	Mgmt	For	For	For
8	Approval of 2010 Employee Stock Option Scheme	Mgmt	For	For	For
9	Approval of 2014 Employee Stock Option Scheme	Mgmt	For	For	For

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Radico Khaitan Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RADICO	CINS Y7174A147	07/11/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Shailja Devi	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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Rallis India Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RALLIS	CINS Y7181S131	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Accounts	Mgmt	For	For	For



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	and Reports					
2	Consolidated Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Bharat D. Vasani	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect C.V. Natraj	Mgmt	For	For	For	
7	Elect Padmini K. Kaicker	Mgmt	For	For	For	
8	Appointment of V. Shankar (Managing Director and CEO); Approval of Remuneration	Mgmt	For	Against	Against	
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Rashtriya Chemicals Fertilizers Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RCF	CINS Y7197K114	09/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
5	Elect S.K. Lohani	Mgmt	For	Against	Against
6	Elect Suresh Warrior	Mgmt	For	For	For
7	Elect Dharm Pal	Mgmt	For	For	For
8	Elect Harin Pathak	Mgmt	For	For	For
9	Elect Bharatkumar Barot	Mgmt	For	For	For
10	Elect G.M. Inamdar	Mgmt	For	Against	Against
11	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
12	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Raymond Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RAYMOND	CINS Y72123147	06/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Gautam Hari	Mgmt	For	Against	Against

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4	Singhania Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Akshaykumar N. Chudasama	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
8	Non-Executive Directors' Commission	Mgmt	For	For	For
9	Appointment of Sunder H. Subramanian (Executive Director); Approval of Remuneration	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	Against	Against

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Redington (India) Ltd.		Meeting Date		Meeting Status	
Ticker	Security ID:	07/27/2016		Voted	
REDINGTON	CINS Y72020111	Country of Trade			
Meeting Type		India			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports - Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports - Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Tu Shu-Chyuan	Mgmt	For	For	For
5	Elect Lin Tai-Yang	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Appointment of M. Raghunandan (Whole Time Director); Approve Remuneration	Mgmt	For	For	For
8	Elect and Appoint E H Kasturi Rangan (Whole Time Director); Approve Remuneration	Mgmt	For	For	For
9	Elect B. Ramaratnam	Mgmt	For	Against	Against
10	Appointment of Branch Auditor	Mgmt	For	For	For

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Reliance Defence & Engineering Ltd		Meeting Date		Meeting Status	
Ticker	Security ID:	03/20/2017		Voted	
RDEL	CINS Y6934A108	Country of Trade			
Meeting Type					

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Special Issue No.	Description	India Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Reclassification of Authorized Share Capital	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights to CDR Lenders	Mgmt	For	For	For
4	Authority to Issue Shares w/o Preemptive Rights to Non-CDR Lenders	Mgmt	For	For	For
5	Authority to Issue Compulsory Redeemable Preference Shares	Mgmt	For	For	For
6	Authority to Issue Non-Convertible Debt Instruments	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Authority to Avail Financial Assistance with the Option to Convert into Equity Shares	Mgmt	For	Against	Against

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### Reliance Defence & Engineering Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
RDEL	CINS Y6934A108	08/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Financial Statements	Mgmt	For	For	For
2	Consolidated Financial Statements	Mgmt	For	For	For
3	Elect Nikhil P. Gandhi	Mgmt	For	For	For
4	Elect Bhavesh P. Gandhi	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### Repco Home Finance Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
REPCOHOME	CINS Y7S54C114	09/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sanjeevane Kuty	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Authority to Issue	Mgmt	For	Against	Against

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	Non-Convertible Debentures and Commercial Papers					
7	Approval of 2016 RHFL Employee Stock Option Scheme	Mgmt	For	For	For	

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Repco Home Finance Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
REPCOHOME	CINS Y7S54C114	12/27/2016	Voted			
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Memorandum	Mgmt	For	For	For	

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Rolta India Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
ROLTA	CINS Y7324A112	08/20/2016	Voted			
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
4	Elect Homai A. Daruwalla	Mgmt	For	For	For	
5	Elect Ramnath Pradeep	Mgmt	For	For	For	
6	Elect Padam Pal Singh Bhandari	Mgmt	For	For	For	
7	Elect K. T. Parnaik	Mgmt	For	For	For	
8	Appointment of K.T Parnaik (Joint Managing Director); Approval of Remuneration	Mgmt	For	For	For	
9	Elect Rajesh Ramachandran	Mgmt	For	For	For	
10	Appointment of Rajesh Ramachandran (Joint Managing Director); Approval of Remuneration	Mgmt	For	For	For	
11	Elect Ramakrishna Prabhu	Mgmt	For	For	For	
12	Appointment of Ramakrishna Prabhu (Director, Corporate Affairs & Chief Financial Officer); Approval of Remuneration	Mgmt	For	For	For	
13	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For	

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S H Kelkar and Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SHK	CINS Y7T57W116	08/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Amit Dalmia	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Sharda Cropchem					
Ticker	Security ID:	Meeting Date	Meeting Status		
SHARDACROP	CINS Y7T01D107	09/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports: Standalone Financial Statements	Mgmt	For	For	For
2	Accounts and Reports: Consolidated Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ashish R. Bubna	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Shree Renuka Sugars Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
RENUKA	CINS Y775A1106	09/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Vidya Madhusudan Murkumbi	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Bhupatrai M. Premji	Mgmt	For	For	For
5	Elect Dorab E. Mistry	Mgmt	For	For	For
6	Elect Stephen Ho Kiam Kong	Mgmt	For	For	For
7	Conversion of Loans into Equity Shares	Mgmt	For	For	For
8	Revision of Remuneration of Narendra Murkumbi	Mgmt	For	For	For

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	(Vice-chairman and Managing Director)				
9	Related Party Transactions	Mgmt	For	Against	Against
10	Authority to Cost Auditor's Fees	Mgmt	For	For	For

Sintex Industries Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SINTEX	CINS Y8064D142	01/17/2017	Voted		
Meeting Type	Country of Trade				
Court	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Scheme of Arrangement	Mgmt	For	For	For

Sintex Industries Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SINTEX	CINS Y8064D142	09/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Dinesh B. Patel	Mgmt	For	Against	Against
5	Elect Rahul A. Patel	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Amendment to Borrowing Powers	Mgmt	For	For	For
8	Authority to Mortgage Assets	Mgmt	For	For	For
9	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
10	Conversion of Loans into Equity Shares	Mgmt	For	Against	Against
11	Authority to Cost Auditor's Fees	Mgmt	For	For	For
12	Authorize Board to Determine Fees for Delivery of Documents	Mgmt	For	For	For
13	Maintenance of Location of Register of Members	Mgmt	For	For	For

SML Isuzu Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SMLISUZU	CINS Y8319Z112	08/05/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Pankaj Bajaj	Mgmt	For	For	For
5	Elect Kei Katayama	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Elect Takeru Kikkawa	Mgmt	For	Against	Against
8	Directors' Commission	Mgmt	For	For	For

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### Snowman Logistics Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
SNOWMAN	CINS Y8075K101	09/22/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect Prem K. Gupta	Mgmt	For	Against	Against
4	Elect Tomoyuki Masuda	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Mamta Gupta	Mgmt	For	For	For
7	Elect Shabbir H. Hassanbhai	Mgmt	For	For	For
8	Elect Bhaskar Avula Reddy	Mgmt	For	For	For
9	Elect Arun Kumar Gupta	Mgmt	For	For	For
10	Elect AKT Chari	Mgmt	For	For	For
11	Elect Pradeep Kumar Dubey	Mgmt	For	For	For
12	Appointment of Pradeep Kumar Dubey (Whole-time Director); Approve Remuneration	Mgmt	For	Against	Against

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### Sobha Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOBHA	CINS Y806AJ100	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect J.C. Sharma	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Reappointment of Ravi PNC Menon (Whole-time Director); Approval of Remuneration	Mgmt	For	For	For

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6	Authority to Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures on Private Placements	Mgmt	For	For	For

South Indian Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOUTHBANK	CINS Y8089N141	07/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Elect Cheryan Varkey	Mgmt	For	For	For
6	Appointment of Branch Auditor	Mgmt	For	For	For
7	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Spicejet Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SPICEJET	CINS Y81178108	12/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Ajay Singh	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Anurag Bhargava	Mgmt	For	For	For
5	Elect Harsha Vardhana Singh	Mgmt	For	For	For
6	Revision of Remuneration to Ajay Singh (Managing Director)	Mgmt	For	For	For

Srei Infrastructure Finance Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SREINFRA	CINS Y8133H116	08/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of	Mgmt	For	For	For



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	Profits/Dividends					
4	Elect Hemant Kanoria	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
6	Elect Ram Krishna Agarwal	Mgmt	For	For	For	
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	

Sterlite Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
STRTECH	CINS Y8170C137	06/23/2017	Voted		
Meeting Type		Country of Trade			
Court		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sterlite Technologies Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
STRTECH	CINS Y8170C137	08/30/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Pratik Agarwal	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
8	Authority to Issue Shares and/or Convertible Securities w/o Preemptive Rights	Mgmt	For	For	For

Suven Life Sciences

Ticker	Security ID:	Meeting Date	Meeting Status		
SUVEN	CINS Y8317Y133	08/10/2016	Voted		
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Sudha R. Jasti	Mgmt	For	Against	Against

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5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
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Syndicate Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SYNDIBANK	CINS Y8345G112	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Syndicate Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SYNDIBANK	CINS Y8345G112	09/16/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect S. Rajagopalan	Mgmt	For	N/A	N/A
8	Elect Sunil Vashisht	Mgmt	For	Abstain	Against

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T.V. Today Network Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TVTODAY	CINS Y9014T104	08/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Koel Purie Rinchet	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
7	Elect and Appoint of Kalli Purie Bhandai (Whole-time Director); Approve Remuneration	Mgmt	For	For	For
8	Elect Sudhir Mehra	Mgmt	For	For	For
9	Elect Rajeev Gupta	Mgmt	For	For	For

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Take Solutions Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TAKE	CINS Y8431N104	08/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect N.S. Nanda Kishore	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Elect Raman Kapur	Mgmt	For	For	For
7	Reappointment of H.R. Srinivasan (Managing Director); Approval of Remuneration	Mgmt	For	For	For
8	Directors' Commission	Mgmt	For	For	For
9	Authority to Mortgage Assets	Mgmt	For	For	For
10	Authority to Issue Shares and/or Convertible Debt Instruments w/o Preemptive Rights	Mgmt	For	Against	Against

Tata Elxsi Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TATAELXSI	CINS Y8560N107	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect N.G. Subramaniam	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Madaboosi Santhanam Ananth	Mgmt	For	For	For
6	Non-Executive Directors' Commission	Mgmt	For	For	For

Tata Sponge Iron Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
TATASPONGE	CINS Y4175L115	07/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of	Mgmt	For	For	For

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
3	Profits/Dividends Elect Raghupathy Rao Ranganath	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Digambar Pandurang Deshpande (Managing Director); Approval of Remuneration	Mgmt	For	For	For

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Texmaco Rail & Engineering Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
TEXRAIL	CINS Y85848102	09/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Akshay Poddar	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Authority to Set Cost Auditor's Remuneration	Mgmt	For	For	For
6	Re-appointment of D. H. Kela (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
7	Re-appointment of Sandeep Fuller (Executive Director); Approval of Remuneration	Mgmt	For	Against	Against
8	Elect Vinod Kumar Sharma	Mgmt	For	For	For
9	Directors' Commission	Mgmt	For	For	For
10	Waiver of Excess Remuneration for Executives	Mgmt	For	For	For

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The India Cements Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INDIACEM	CINS Y39167153	01/30/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Adoption of the Employees Stock	Mgmt	For	Against	Against

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Option Scheme  
2016

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The India Cements Limited

Ticker Security ID: Meeting Date Meeting Status  
INDIACEM CINS Y39167153 08/29/2016 Voted

Meeting Type Country of Trade  
Annual India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Standalone Accounts and Reports	Mgmt	For	For	For
2	Consolidated Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Chitra Srinivasan	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Rabinarayan Panda	Mgmt	For	For	For
7	Elect Balasubramanian S Adityan	Mgmt	For	For	For
8	Elect Arun Datta	Mgmt	For	For	For
9	Elect N.R. Krishnan	Mgmt	For	For	For
10	Elect Gounder V. Manickam	Mgmt	For	Against	Against
11	Elect N. Srinivasan	Mgmt	For	For	For
12	Appointment of N. Srinivasan (Managing Director); Approval of Remuneration	Mgmt	For	For	For
13	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
14	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

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The Shipping Corporation of India Ltd.

Ticker Security ID: Meeting Date Meeting Status  
SCI CINS Y7757H113 02/11/2017 Voted

Meeting Type Country of Trade  
Other India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Change in Use of Proceeds from the Further Public Offering	Mgmt	For	For	For

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The Shipping Corporation of India Ltd.

Ticker Security ID: Meeting Date Meeting Status  
SCI CINS Y7757H113 09/26/2016 Voted

Meeting Type Country of Trade  
Annual India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against
3	Elect Kelath Devadas	Mgmt	For	Against	Against
4	Elect H.K. Joshi	Mgmt	For	Against	Against
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect S. V. Kher	Mgmt	For	Against	Against
7	Elect Arun Balakrishnan	Mgmt	For	For	For
8	Elect Sukamal Chandra Basu	Mgmt	For	For	For

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Titagarh Wagons Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TWL	CINS Y8841L136	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Elect Umesh Chowdhary	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Amendment to the Payment of Minimum Remuneration to Umesh Chowdhary (Vice chairman and Managing Director)	Mgmt	For	For	For
6	Amendment to the Payment of Minimum Remuneration to J.P Chowdhary (Executive chairman)	Mgmt	For	For	For
7	Re-Classification of Promoter Group Member	Mgmt	For	For	For
8	Re-Designation of Sudipta Mukherjee (Whole-time Director); Revised Remuneration	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Authority to Cost Auditor's Fees	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Triveni Engineering & Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TRIVENI	CINS Y89767159	03/31/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to	Mgmt	For	For	For

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	Remuneration of Tarun Sawhney (Vice Chairman & Managing Director)					
3	Elect Faqir C. Kohli	Mgmt	For	For	For	
4	Elect Kanwal K. Hazari	Mgmt	For	Against	Against	

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TTK Prestige Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TTKPRESTIG	CINS Y89993110	05/20/2017		Voted		
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Adoption of New Articles	Mgmt	For	Against	Against	

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TTK Prestige Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TTKPRESTIG	CINS Y89993110	08/03/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect T. T. Raghunathan	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
6	Directors' Commission	Mgmt	For	For	For	
7	Maintenance of Company's Registers	Mgmt	For	For	For	

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TV18 Broadcast Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
TV18BRDCST	CINS Y2714T110	09/29/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Standalone Accounts and Reports	Mgmt	For	For	For	
2	Consolidated Accounts and Reports	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Elect Dhruv Subodh Kaji	Mgmt	For	For	For	
5	Elect Rajiv Krishan Luthra	Mgmt	For	For	For	
6	Elect Nirupama Rao	Mgmt	For	For	For	
7	Authority to Set Cost Auditor's	Mgmt	For	For	For	

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Fees					
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For
<hr/>					
UCO Bank Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
UCOBANK	CINS Y9035A100	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
3	Employee Stock Purchase Scheme	Mgmt	For	Against	Against
4	Authority to Issue Shares w/o Preemptive Rights on a Preferential Basis to Government of India	Mgmt	For	For	For
<hr/>					
UCO Bank Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
UCOBANK	CINS Y9035A100	09/17/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
UCO Bank Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
UCOBANK	CINS Y9035A100	11/05/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
<hr/>					
Unitech Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
UNITECH	CINS Y9164M149	09/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Abstain	Against
2	Elect Ajay Chandra	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
4	Elect Virender Kumar Bhutani	Mgmt	For	For	For



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5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
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VA Tech Wabag Limited

Ticker	Security ID:	Meeting Date	Meeting Status
WABAG	CINS Y9356W111	07/25/2016	Voted

Meeting Type	Country of Trade
Annual	India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect S Varadarajan	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For

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VIP Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status
VIPIND	CINS Y9377U136	07/28/2016	Voted

Meeting Type	Country of Trade
Annual	India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Confirmation of Interim Dividend	Mgmt	For	For	For
3	Allocation of Final Dividend	Mgmt	For	For	For
4	Elect Radhika Piramal	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Maintenance of Company's Register	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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VRL Logistics

Ticker	Security ID:	Meeting Date	Meeting Status
VRLLOG	CINS Y9380Z106	09/24/2016	Voted

Meeting Type	Country of Trade
Annual	India

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect S.R. Prabhu	Mgmt	For	For	For
5	Elect Raghottam Akamanchi	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Authority to Cost	Mgmt	For	For	For

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### Auditor's Fees

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Welspun Corp Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
WELCORP	CINS Y9536Y102	03/24/2017		Voted		
Meeting Type		Country of Trade				
Special		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles	Mgmt	For	For	For	
3	Appointment of Lalitkumas Naik (Managing Director & CEO); Approval of Remuneration	Mgmt	For	For	For	

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Welspun Corp Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
WELCORP	CINS Y9536Y102	09/29/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Rajesh R. Mandawewala	Mgmt	For	Against	Against	
5	Elect Braja K. Mishra	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
7	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For	
8	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For	

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Wonderla Holidays Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
WONDERLA	CINS Y73508106	08/01/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Elect Kochouseph T. Chittilappily	Mgmt	For	Against	Against	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Appointment of Arun K Chittilappilly (Managing Director); Approval of	Mgmt	For	For	For	

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7 Remuneration  
 Authority to Issue Mgmt For Against Against  
 Shares under Stock  
 Option  
 Plan

Fund Name : VanEck Vectors Indonesia Index ETF

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Adhi Karya Persero Tbk  
 Ticker Security ID: Meeting Date Meeting Status  
 ADHI CINS Y7115S108 08/05/2016 Voted  
 Meeting Type Country of Trade  
 Annual Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Authority to Issue Mgmt For Against Against  
 Dwiwarna  
 Shares  
 2 Amendments to Articles Mgmt For Against Against

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Astra Agro Lestari Tbk  
 Ticker Security ID: Meeting Date Meeting Status  
 AALI CINS Y7116Q119 04/11/2017 Voted  
 Meeting Type Country of Trade  
 Annual Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Accounts and Reports Mgmt For For For  
 2 Allocation of Mgmt For For For  
 Profits/Dividends  
 3 Election of Directors Mgmt For Against Against  
 and Approval of  
 Directors' and  
 Commissioners'  
 Fees  
 4 Appointment of Auditor Mgmt For Against Against  
 and Authority to Set  
 Fees  
 5 Use of Proceed from LPO Mgmt For For For

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Astra International Tbk  
 Ticker Security ID: Meeting Date Meeting Status  
 ASII CINS Y7117N172 04/20/2017 Voted  
 Meeting Type Country of Trade  
 Annual Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Accounts and Reports Mgmt For For For  
 2 Allocation of Mgmt For For For  
 Profits/Dividends  
 3 Election of Directors Mgmt For Against Against  
 and Commissioners and  
 Remuneration of  
 Directors and  
 Commissioners  
 4 Appointment of Auditor Mgmt For Against Against  
 and Authority to Set  
 Fees

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Bank Danamon Indonesia  
 Ticker Security ID: Meeting Date Meeting Status  
 BDMN CINS Y71188190 09/07/2016 Voted  
 Meeting Type Country of Trade

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Special Issue No.	Description	Indonesia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rita Mirasari	Mgmt	For	For	For

Bumi Serpong Damai Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
BSDE	CINS Y7125J106	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Use of Proceed from Bond	Mgmt	For	For	For

Ciputra Development

Ticker	Security ID:	Meeting Date	Meeting Status		
CTRA	CINS Y7121J134	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For

Ciputra Development

Ticker	Security ID:	Meeting Date	Meeting Status		
CTRA	CINS Y7121J134	12/02/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger with PT Ciputra Property Tbk and/or PT Ciputra Surya Tbk	Mgmt	For	For	For
2	Increase in Authorized Capital	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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6 Non-Voting Meeting Note N/A N/A N/A N/A

Ciputra Development

Ticker Security ID: Meeting Date Meeting Status  
 CTRA CINS Y7121J134 12/27/2016 Voted

Meeting Type Country of Trade  
 Special Indonesia

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger with PT Ciputra Property Tbk and/or PT Ciputra Surya Tbk	Mgmt	For	For	For
4	Increase in Authorized Capital	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

First Pacific Company Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 0142 CINS G34804107 01/23/2017 Voted

Meeting Type Country of Trade  
 Special Bermuda

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Continuing Connected Transactions with Infod Group's Noodles Business Transactions (2017-2019)	Mgmt	For	Against	Against
4	Continuing Connected Transactions of Indofood Group's Plantations Business Transactions (2017-19)	Mgmt	For	Against	Against
5	Continuing Connected Transactions of Indofood Group's Distribution Business Transactions (2017-19)	Mgmt	For	Against	Against

First Pacific Company Ltd.

Ticker Security ID: Meeting Date Meeting Status  
 0142 CINS G34804107 06/07/2017 Voted

Meeting Type Country of Trade  
 Annual Bermuda

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For

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4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Robert Charles Nicholson	Mgmt	For	For	For
7	Elect Benny Setiawan Santoso	Mgmt	For	Against	Against
8	Elect Albert F. del Rosario	Mgmt	For	For	For
9	Elect Tedy Djuhar	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Authority to Appoint Additional Directors	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For

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### First Pacific Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0142	CINS G34804107	10/19/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Disposal of Equity Interest in China Minzhong Food Corporation Limited	Mgmt	For	For	For

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### First Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EB5	CINS Y2560F107	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ciliandra Fangiono	Mgmt	For	Against	Against
4	Elect HEE Theng Fong	Mgmt	For	Against	Against
5	Elect TAN Seow Kheng	Mgmt	For	Against	Against
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For

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### Golden Agri-Resources Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect LEW Syn Pau	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gudang Garam

Ticker	Security ID:	Meeting Date	Meeting Status		
GGRM	CINS Y7121F165	06/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Indocement Tunggal Prakarsa

Ticker	Security ID:	Meeting Date	Meeting Status		
INTP	CINS Y7127B135	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set	Mgmt	For	Against	Against

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4	Fees Election of Commissioners (Slate)	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For

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Indocement Tunggal Prakarsa					
Ticker	Security ID:	Meeting Date	Meeting Status		
INTP	CINS Y7127B135	12/02/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David J. Clarke	Mgmt	For	For	For

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Indofood CBP Sukses Makmur					
Ticker	Security ID:	Meeting Date	Meeting Status		
ICBP	CINS Y71260106	06/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report and Use of Proceeds Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Indofood Sukses Makmur					
Ticker	Security ID:	Meeting Date	Meeting Status		
INDF	CINS Y7128X128	10/21/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Divestiture	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Indofood Sukses Makmur Tbk PT					
Ticker	Security ID:	Meeting Date	Meeting Status		
INDF	CINS Y7128X128	06/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For



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5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
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Jardine Cycle & Carriage Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
C07	CINS Y43703100	04/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect James A. Watkins	Mgmt	For	For	For
5	Elect Mark S. Greenberg	Mgmt	For	Against	Against
6	Elect Marty M. Natalegawa	Mgmt	For	For	For
7	Elect Benjamin W. Keswick	Mgmt	For	For	For
8	Elect Vimala V.R. Menon	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
12	Related Party Transactions	Mgmt	For	For	For

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Kalbe Farma

Ticker	Security ID:	Meeting Date	Meeting Status		
KLBF	CINS Y71287208	06/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors and Commissioners (Slate)	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Lippo Malls Indonesia Retail Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
D5IU	CINS Y5309Y113	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For

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Lippo Malls Indonesia Retail Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
D5IU	CINS Y5309Y113	11/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition and the Master Leases	Mgmt	For	For	For

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Media Nusantara Citra Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
MNCN	CINS Y71280104	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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Mitra Keluarga Karyasehat Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
MIKA	CINS Y603AT109	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Use of Proceeds from IPO	Mgmt	For	For	For
4	Election of Directors and Commissioners (Slate)	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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Pakuwon Jati Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
PWON	CINS Y712CA107	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Amendments to Articles - Change in Company Address	Mgmt	For	For	For

Pakuwon Jati Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
PWON	CINS Y712CA107	12/01/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Resignation of Director Irene Tedja	Mgmt	For	For	For

PT Adaro Energy Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
ADRO	CINS Y7087B109	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PT Bank Central Asia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
BBCA	CINS Y7123P138	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Allocation of Interim Dividend for Fiscal Year	Mgmt	For	For	For

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PT Bank Mandiri (Persero) Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
BMRI	CINS Y7123S108	03/14/2017		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports; Use of Proceeds	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
4	Directors' and Commissioners' Fees	Mgmt	For	Against	Against	
5	Approval to Ministry of State-Owned Enterprises' Regulations	Mgmt	For	For	For	
6	Amendments to Articles	Mgmt	For	Against	Against	
7	Resignation of Suwhono as Commissioner	Mgmt	For	For	For	

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PT Bank Negara Indonesia (Persero) Tbk						
Ticker	Security ID:	Meeting Date		Meeting Status		
BBNI	CINS Y74568166	03/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Directors' and Commissioners' Fees	Mgmt	For	Against	Against	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
6	Implementation of New Regulation	Mgmt	For	For	For	
7	Amendments to Articles	Mgmt	For	Against	Against	
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against	

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PT Bank Rakyat Indonesia						
Ticker	Security ID:	Meeting Date		Meeting Status		
BBRI	CINS Y0697U112	03/15/2017		Voted		
Meeting Type		Country of Trade				
Annual		Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of	Mgmt	For	For	For	

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3	Profits/Dividends Directors' and Commissioners' Fees	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Implementation of New Regulation	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	Against	Against
7	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PT Charoen Pokphand Indonesia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
CPIN	CINS Y71207164	05/23/2017	Voted
Meeting Type	Country of Trade		
Annual	Indonesia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For
4	Resignation of Herman Sugianto	Mgmt	For

PT Hanson International Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status
MYRX	CINS Y71225166	01/13/2017	Voted
Meeting Type	Country of Trade		
Special	Indonesia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Authority to Issue Bonds	Mgmt	For
2	Conduct IPO of Subsidiary	Mgmt	For

PT Hanson International Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status
MYRX	CINS Y71225166	06/15/2017	Voted
Meeting Type	Country of Trade		
Annual	Indonesia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Directors' and Commissioners' Fees	Mgmt	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For

PT Hanson International Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status
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MYRX	CINS Y71225166	07/28/2016	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' and Commissioners' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PT Hanson International Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
MYRX	CINS Y71225166	07/28/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Split	Mgmt	For	Against	Against

PT Jasa Marga (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
JSMR	CINS Y71285103	03/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Partnership and Community Development Report	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Directors and Commissioners Fees	Mgmt	For	For	For
6	Reports on Use of Proceeds from the IPO, LPO, and Bond Issuance	Mgmt	For	For	For
7	Change in the Company's Use of Proceeds from the LPO	Mgmt	For	For	For
8	Authorization of Legal Formalities	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	Against	Against
10	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

PT Jasa Marga (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
JSMR	CINS Y71285103	08/29/2016	Voted		

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Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Shares w/ Preemptive Rights (Rights Issue)	Mgmt	For	For	For
2	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Lippo Karawaci Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
LPKR	CINS Y7129W186	03/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors and/or Commissioners and Payment of Directors' and Commissioners' Fees (Slate)	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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PT Lippo Karawaci Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
LPKR	CINS Y7129W186	08/31/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors (Slate)	Mgmt	For	Against	Against
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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PT Matahari Department Store Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
LPPF	CINS Y7139L105	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Election of Directors and Commissioners (Slate) and Approval of Fees	Mgmt	For	Against	Against

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PT Pembangunan Perumahan (Persero) Tbk					
Ticker	Security ID:	Meeting Date	Meeting Status		
PTPP	CINS Y7131Q102	03/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Partnership and Community Development Report	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Implementation of New Regulation	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	Against	Against
9	Use of Proceeds from IPO and LPO	Mgmt	For	For	For
10	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Pembangunan Perumahan (Persero) Tbk					
Ticker	Security ID:	Meeting Date	Meeting Status		
PTPP	CINS Y7131Q102	08/23/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Shares w/ Preemptive Rights (Rights Issue)	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	Against	Against
3	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Perusahaan Gas Negara (Persero) Tbk					
Ticker	Security ID:	Meeting Date	Meeting Status		
PGAS	CINS Y7136Y118	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report and Partnership and Community Development Program Report	Mgmt	For	For	For
3	Account and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For



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5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	Against	Against
8	Authorization of Legal Formalities	Mgmt	For	For	For
9	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Summarecon Agung Tbk  
Ticker Security ID: Meeting Date Meeting Status  
SMRA CINS Y8198G144 06/15/2017 Voted  
Meeting Type Country of Trade  
Special Indonesia  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Authority to Give Guarantees	Mgmt	For	Against	Against
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PT Summarecon Agung Tbk  
Ticker Security ID: Meeting Date Meeting Status  
SMRA CINS Y8198G144 06/15/2017 Voted  
Meeting Type Country of Trade  
Annual Indonesia  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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PT Telekomunikasi Indonesia  
Ticker Security ID: Meeting Date Meeting Status  
TLKM CUSIP 715684106 04/21/2017 Voted  
Meeting Type Country of Trade  
Annual United States  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Accounts and Reports	Mgmt	For	For	For
2	Partnership and Community Development Program Report	Mgmt	For	For	For
3	Allocation of Profits/Dividend	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
6	Ratification of Regulations for the Partnership and	Mgmt	For	For	For

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	Community Development Program				
7	Amendments to Articles	Mgmt	For	For	For
8	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Waskita Karya Persero Terbuka					
Ticker	Security ID:	Meeting Date		Meeting Status	
WSKT	CINS Y714AE107	03/17/2017		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Management and Employee Stock Option Plan ("MESOP")	Mgmt	For	For	For
7	Authority to Give Guarantees	Mgmt	For	Against	Against
8	Implementation of New Regulation	Mgmt	For	For	For
9	Use of Proceeds from the LPO and Bond Issuances	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	Against	Against
11	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Waskita Karya Persero Terbuka					
Ticker	Security ID:	Meeting Date		Meeting Status	
WSKT	CINS Y714AE107	11/25/2016		Voted	
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

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PT Wijaya Karya (Persero) Tbk					
Ticker	Security ID:	Meeting Date		Meeting Status	
WIKA	CINS Y7148V102	03/17/2017		Voted	
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Approval of Partnership and	Mgmt	For	For	For

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	Community Development Report					
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
6	Directors' and Commissioners' Fees	Mgmt	For	For	For	
7	Use of Proceed from LPO	Mgmt	For	For	For	
8	Implementation of New Regulations	Mgmt	For	For	For	
9	Amendments to Articles	Mgmt	For	Against	Against	
10	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against	

PT Wijaya Karya (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
WIKA	CINS Y7148V102	08/22/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Issuance of Shares w/ Preemptive Rights (Rights Issue)	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against

Sawit Sumbermas Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SSMS	CINS Y71391109	02/02/2017	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Underwrite Assets	Mgmt	For	Against	Against
2	Pledge Assets for Loans	Mgmt	For	Against	Against

Sawit Sumbermas Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SSMS	CINS Y71391109	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Amendments to Business Purpose and Objectives	Mgmt	For	For	For

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Semen Indonesia (Persero) Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
SMGR	CINS Y7142G168	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Report on the Partnership and Community Development Program 2016	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	Against	Against
8	Creation of Series A Shares "Dwiwarna"	Mgmt	For	Against	Against
9	Election of Commissioners (Slate)	Mgmt	For	Against	Against

Surya Citra Media Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
SCMA	CINS Y7148M110	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Election of Directors and/or Commissioners (Slate)	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Tower Bersama Infrastructure Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
TBIG	CINS Y71372109	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For

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4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Directors' and Commissioners' Fees	Mgmt	For	For	For
6	Authority to Give Guarantees	Mgmt	For	Against	Against
7	Use of Proceeds from Bond Issuance	Mgmt	For	For	For
8	Ratification of Board of Commissioners	Mgmt	For	For	For

Tower Bersama Infrastructure Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
TBIG	CINS Y71372109	10/24/2016	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change Transfer Method of Treasury Shares	Mgmt	For	Against	Against
2	Authority to Reduce Share Capital; Amendment to Articles	Mgmt	For	For	For
3	Authority to Repurchase Shares	Mgmt	For	For	For

Unilever Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status		
UNVR	CINS Y9064H141	04/27/2017	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jochanan Senf	Mgmt	For	For	For

Unilever Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status		
UNVR	CINS Y9064H141	06/20/2017	Voted		
Meeting Type		Country of Trade			
Special		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Loan Agreement	Mgmt	For	For	For
2	Approval to Establish Pension Fund for Employee	Mgmt	For	For	For

Unilever Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status		
UNVR	CINS Y9064H141	06/20/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Election of Directors and Commissioners and Remuneration of Directors and Commissioners	Mgmt	For	For	For

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### Unilever Indonesia

Ticker	Security ID:	Meeting Date	Meeting Status		
UNVR	CINS Y9064H141	08/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors (Slate)	Mgmt	For	For	For

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### United Tractors

Ticker	Security ID:	Meeting Date	Meeting Status		
UNTR	CINS Y7146Y140	04/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Commissioners (Slate)	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

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### XL Axiata Terbuka

Ticker	Security ID:	Meeting Date	Meeting Status		
EXCL	CINS Y7125N107	03/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Elect Vivek Sood	Mgmt	For	For	For
6	Use of Proceeds from Sukuk Issuance and LPO	Mgmt	For	For	For

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XL Axiata Terbuka  
 Ticker Security ID: Meeting Date Meeting Status  
 EXCL CINS Y7125N107 09/29/2016 Voted  
 Meeting Type Country of Trade  
 Special Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Election of Mgmt For For For  
 Commissioners  
 (Slate)  
 2 Non-Voting Meeting Note N/A N/A N/A N/A  
 Fund Name : VanEck Vectors Indonesia Small-Cap ETF

3-D Matrix Ltd  
 Ticker Security ID: Meeting Date Meeting Status  
 7777 CINS J835A0107 07/28/2016 Voted  
 Meeting Type Country of Trade  
 Annual Japan  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Elect Tsuyoshi Dai as Mgmt For For For  
 Statutory  
 Auditor  
 2 Equity Compensation Mgmt For For For  
 Plan

Lippo Cikarang Terbuka  
 Ticker Security ID: Meeting Date Meeting Status  
 LPCK CINS Y7130J117 09/02/2016 Voted  
 Meeting Type Country of Trade  
 Special Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Elect T. Bachrumsjah Mgmt For For For  
 Hamzah

Visi Media Asia Tbk  
 Ticker Security ID: Meeting Date Meeting Status  
 VIVA CINS Y71464112 09/02/2016 Voted  
 Meeting Type Country of Trade  
 Annual Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Authority to Give Mgmt For Against Against  
 Guarantees  
 2 Divestment of Mgmt For Against Against  
 Subsidiary's Shares  
 to Repay  
 Loans  
 3 Acquisition of Loans Mgmt For For For  
 from  
 Subsidiaries  
 4 Non-Voting Meeting Note N/A N/A N/A N/A

Visi Media Asia Tbk  
 Ticker Security ID: Meeting Date Meeting Status  
 VIVA CINS Y71464112 09/02/2016 Voted  
 Meeting Type Country of Trade  
 Special Indonesia  
 Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
 Mgmt  
 1 Authority to Give Mgmt For Against Against

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2	Guarantees Divestment of Subsidiary's Shares to Repay Loans	Mgmt	For	Against	Against
3	Acquisition of Loans from Subsidiaries	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Visi Media Asia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
VIVA	CINS Y71464112	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Indonesia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition of Loans from Subsidiaries	Mgmt	For	For	For

Fund Name : VanEck Vectors International High-Yield Bond ETF

Lightstream Resources Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
LTS	CUSIP 71645AAA7	09/29/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Recapitalization Arrangement	Mgmt	For	For	For

Lightstream Resources Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
LTS	CINS 71645AAA7	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Recapitalization Arrangement	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pacific Exploration & Production Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
PRBLF	CUSIP 69480UAF4	08/17/2016	Take No Action		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	APPROVAL OF THE PLAN RESOLUTION. IF YOU HAD ELECTED TO RECEIVE CASH PRIOR, YOU MUST ALSO VOTE FOR THE PLAN IN ORDER TO RECEIVE PAYMENT. HOLDERS WHO HAD SIGNED A	Mgmt	For	TNA	N/A



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JOINDER AGREEMENT  
PRIOR MUST ALSO VOTE  
FOR THE PLAN.  
PLEASE REFER TO THE  
EXPANDED MATERIALS  
FOR THIS EVENT FOR  
FURTHER  
DETAILS.

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Tervita Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
CCRU3919790	CUSIP 88156LAA8	11/30/2016	Take No Action		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Resolution Of The Unsecured Noteholders	Mgmt	For	TNA	N/A
Fund Name : VanEck Vectors Israel ETF					

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888 Holdings plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
888	CINS X19526106	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	Gibraltar				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Brian Mattingley	Mgmt	For	For	For
4	Elect Itai Frieberger	Mgmt	For	For	For
5	Elect Aviad Kobrine	Mgmt	For	For	For
6	Elect Ron McMillan	Mgmt	For	For	For
7	Elect Zvika Zivlin	Mgmt	For	For	For
8	Elect Ron McMillan (Independent Shareholders Only)	Mgmt	For	For	For
9	Elect Zvika Zivlin (Independent Shareholders Only)	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	Allocation of Profits/Dividends	Mgmt	For	For	For
13	Special Dividend	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For

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Aevi Genomic Medicine Inc						
Ticker	Security ID:	Meeting Date	Meeting Status			
GNMX	CUSIP 00835P105	06/14/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Sol J. Barer	Mgmt	For	For	For	
1.2	Elect Eugene A. Bauer	Mgmt	For	Withhold	Against	
1.3	Elect Alastair J. T. Clemow	Mgmt	For	For	For	
1.4	Elect Michael F. Cola	Mgmt	For	For	For	
1.5	Elect Barbara G. Duncan	Mgmt	For	Withhold	Against	
1.6	Elect Wilbur H. Gantz	Mgmt	For	For	For	
1.7	Elect Joseph Grano, Jr.	Mgmt	For	Withhold	Against	
2	Ratification of Auditor	Mgmt	For	For	For	

Africa Israel Properties Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
AFPR	CINS M0203G105	02/19/2017	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Daniel Bernshtein	Mgmt	For	For	For	
3	Elect Leah Nass	Mgmt	For	For	For	

Africa Israel Properties Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
AFPR	CINS M0203G105	05/11/2017	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Related Party Transactions	Mgmt	For	For	For	
3	Compensation Policy	Mgmt	For	Against	Against	
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Africa Israel Properties Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
AFPR	CINS M0203G105	10/27/2016	Voted			
Meeting Type	Country of Trade					
Ordinary	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For	
3	Elect Avraham Novogrocki	Mgmt	For	For	For	
4	Elect Avinadav Grinshpon	Mgmt	For	For	For	
5	Elect Menashe Sagiv	Mgmt	For	For	For	
6	Elect David Blumberg	Mgmt	For	For	For	
7	Appointment of Joint Auditors	Mgmt	For	Abstain	Against	
8	Liability Insurance of	Mgmt	For	For	For	

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Directors & Officers						
9	Amendments to Articles	Mgmt	For	For	For	
10	Indemnification of Directors	Mgmt	For	For	For	

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Airport City Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
ARPT	CINS M0367L106	03/08/2017			Voted	
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Management Services Agreement with Joint CEO	Mgmt	For	For	For	
3	Management Services Agreement with Joint CEO	Mgmt	For	For	For	

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Alcobra Ltd.						
Ticker	Security ID:	Meeting Date			Meeting Status	
ADHD	CUSIP M2239P109	07/19/2016			Voted	
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Declaration of Material Interest	Mgmt	N/A	Against	N/A	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Elect Howard B. Rosen	Mgmt	For	For	For	
4	Elect Yaron Daniely	Mgmt	For	For	For	
5	Elect Joao Siffert	Mgmt	For	For	For	
6	Elect Daniel E. Geffken	Mgmt	For	For	For	
7	Elect Aharon Schwartz	Mgmt	For	For	For	
8	Elect Arie Ben Yosef	Mgmt	For	For	For	
9	Elect Ofer Segev and Approval of Remuneration	Mgmt	For	For	For	
10	Elect Orli Tori and Approval of Remuneration	Mgmt	For	For	For	
11	Compensation Policy	Mgmt	For	Against	Against	
12	Amendment to the 2010 Incentive Option Plan	Mgmt	For	For	For	
13	Amendments to CEO Compensation	Mgmt	For	Against	Against	
14	Stock Options Grant of Howard Rosen	Mgmt	For	Against	Against	
15	Stock Options Grant of Joao Siffert	Mgmt	For	Against	Against	
16	Stock Options Grant of Daniel Geffken	Mgmt	For	Against	Against	
17	Stock Options Grant of	Mgmt	For	Against	Against	

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	Aharon Schwartz					
18	Stock Options Grant of	Mgmt	For	Against	Against	
	Arieh Ben Yosef					

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Allot Communications Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
ALLT	CUSIP M0854Q105	04/27/2017		Voted		
Meeting Type		Country of Trade				
Consent		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Board Declassification and Elimination of Supermajority Vote Requirements	Mgmt	For	For	For	
2	Employment agreement with Chair	Mgmt	For	Against	Against	
3	Employment Agreement with President and CEO	Mgmt	For	Against	Against	
4	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A	
5	Elect Nadav Zohar	Mgmt	For	For	For	
6	Approval of Equity Compensation for Nadav Zohar	Mgmt	For	Against	Against	
7	Restricted Stock Units Grant for New Directors	Mgmt	For	Against	Against	
8	Elect Itzhak Danziger	Mgmt	For	For	For	
9	Elect Miron Kenneth	Mgmt	For	Against	Against	
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
11	Elect Pedro Vazquez	Mgmt	Against	Against	For	

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Allot Communications Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
ALLT	CUSIP M0854Q105	09/13/2016		Voted		
Meeting Type		Country of Trade				
Consent		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Shraga Katz	Mgmt	For	For	For	
2	Elect Steven D. Levy as External Director	Mgmt	For	For	For	
3	Declaration of Controlling Shareholder	Mgmt	N/A	For	N/A	
4	Compensation Policy	Mgmt	For	Against	Against	
5	Declaration of Controlling Shareholder	Mgmt	N/A	For	N/A	
6	Amendment to Director Compensation	Mgmt	For	Against	Against	
7	Restricted Stock Units	Mgmt	For	Against	Against	

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	Grant of Chairman					
8	Amend CEO Employment Terms	Mgmt	For	For	For	
9	Declaration of Controlling Shareholder	Mgmt	N/A	For	N/A	
10	Restricted Stock Units Grant of CEO	Mgmt	For	Against	Against	
11	Declaration of Controlling Shareholder	Mgmt	N/A	For	N/A	
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

Alon USA Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALJ	CUSIP 020520102	06/28/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

Alony-Hetz Properties & Investments Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ALHE	CINS M0867F104	12/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Aviram Wertheim	Mgmt	For	For	For
5	Elect Nathan Hetz	Mgmt	For	For	For
6	Elect Adva Sharvit	Mgmt	For	For	For
7	Elect Aaron Nahumi	Mgmt	For	For	For
8	Elect Gittit Guberman	Mgmt	For	For	For
9	Elect Amos Yadlin	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For

Alrov Properties & Lodging Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ALRPR	CINS M39713108	01/18/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Agreement with Son of Controlling Shareholder	Mgmt	For	For	For
3	Extension of	Mgmt	For	For	For

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Employment Agreement  
with  
CEO

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Alrov Properties & Lodging Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALRPR	CINS M39713108	06/27/2017	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval of Chair to Assume Duties of CEO on Interim Basis	Mgmt	For	For	For	

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Alrov Properties & Lodging Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
ALRPR	CINS M39713108	10/13/2016	Voted			
Meeting Type		Country of Trade				
Ordinary		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Compensation Policy	Mgmt	For	Against	Against	
3	Amendments to Articles Regarding D&O Indemnification	Mgmt	For	For	For	
4	Indemnification of Directors and Officers	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect Alfred Akirov	Mgmt	For	For	For	
7	Elect Joshua Rosensweig	Mgmt	For	For	For	
8	Elect Eitan Raff	Mgmt	For	For	For	
9	Discussion of 2015 Financial Statements	Mgmt	For	For	For	

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Amdocs Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
DOX	CUSIP G02602103	01/27/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Robert A. Minicucci	Mgmt	For	For	For	
2	Elect Adrian Gardner	Mgmt	For	For	For	
3	Elect John T. McLennan	Mgmt	For	For	For	
4	Elect Simon Olswang	Mgmt	For	For	For	
5	Elect Zohar Zisapel	Mgmt	For	Against	Against	
6	Elect Julian A. Brodsky	Mgmt	For	For	For	
7	Elect Clayton M. Christensen	Mgmt	For	For	For	
8	Elect Eli Gelman	Mgmt	For	For	For	
9	Elect James S. Kahan	Mgmt	For	For	For	
10	Elect Richard T.C. LeFave	Mgmt	For	For	For	

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11	Elect Giora Yaron	Mgmt	For	For	For
12	Amendment to the 1998 Stock Option and Incentive Plan	Mgmt	For	For	For
13	Increase in Dividend	Mgmt	For	For	For
14	Accounts and Reports	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Amot Investments Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMOT	CINS M1035R103	09/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Compensation Policy	Mgmt	For	For	For
4	Employment Terms of CEO	Mgmt	For	For	For

Attunity Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ATTU	CUSIP M15332121	12/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Shimon Alon	Mgmt	For	Withhold	Against
1.2	Elect Dov Biran	Mgmt	For	Withhold	Against
1.3	Elect Dan Falk	Mgmt	For	Withhold	Against
1.4	Elect Ron Zuckerman	Mgmt	For	Withhold	Against
2	Elect Gil Weiser	Mgmt	For	Against	Against
3	Declaration of Material Interest	Mgmt	N/A	Against	N/A
4	Compensation Policy	Mgmt	For	Against	Against
5	Declaration of Material Interest	Mgmt	N/A	Against	N/A
6	Stock Option Grant to CEO	Mgmt	For	Against	Against
7	Declaration of Material Interest	Mgmt	N/A	Against	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

AudioCodes Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AUDC	CUSIP M15342104	12/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Zehava Simon	Mgmt	For	For	For
2	Compensation Policy	Mgmt	For	For	For
3	Declaration of Material	Mgmt	N/A	For	N/A

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4	Interest Options Grant to Zehava Simon	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Avgol Industries 1953 Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
AVGL	CINS M15565100	03/19/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael J Teacher	Mgmt	For	For	For
3	Elect Adam H. Teeger	Mgmt	For	For	For
4	Elect Mark R. Jacobson	Mgmt	For	For	For
5	Elect Mano Stoffer	Mgmt	For	For	For
6	Elect Jan Moulign	Mgmt	For	For	For
7	Elect Marc Slendebroek	Mgmt	For	For	For
8	Elect Saar Avnery	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Application of 2013 Compensation Policy to Last Quarter of 2016	Mgmt	For	For	For
11	Compensation Policy	Mgmt	For	For	For
12	Employment Agreement with CEO	Mgmt	For	For	For
13	Options Grant to CEO	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Bank Hapoalim

Ticker	Security ID:	Meeting Date	Meeting Status		
POLI	CINS M1586M115	04/04/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Board Term Length of Oded Eran	Mgmt	For	For	For
5	Employment Agreement with Chair	Mgmt	For	For	For

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Bank Hapoalim

Ticker	Security ID:	Meeting Date	Meeting Status		
POLI	CINS M1586M115	09/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yacov Peer as External	Mgmt	For	For	For



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3	Director Elect Israel Tapoohi	Mgmt	For	For	For
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Bank Hapoalim					
Ticker	Security ID:	Meeting Date		Meeting Status	
POLI	CINS M1586M115	12/19/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Employment Terms of CEO	Mgmt	For	For	For
<hr/>					
Bank Leumi le-Israel Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
LUMI	CINS M16043107	07/11/2016		Voted	
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect David Brodet	Mgmt	N/A	For	N/A
5	Elect Yoav Nardi	Mgmt	N/A	For	N/A
6	Elect Esther Levanon	Mgmt	N/A	Abstain	N/A
7	Elect Regina Unger	Mgmt	N/A	Abstain	N/A
8	Elect Yofi Tirosh	Mgmt	N/A	For	N/A
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Bank Leumi le-Israel Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
LUMI	CINS M16043107	11/03/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Elect Yitzhak Frankovits	Mgmt	For	N/A	N/A
5	Elect Yitzhak Sharir	Mgmt	For	For	For
6	Elect Esther Dominissini	Mgmt	For	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Elect Gideon Schurr	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Yoram Michaelis as External Director	Mgmt	N/A	Abstain	N/A
11	Elect Yitzhak Edelman as External Director	Mgmt	N/A	For	N/A
12	Addition of Article Regarding D&O Indemnification	Mgmt	For	For	For
13	Technical Amendment to	Mgmt	For	For	For

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	Articles					
14	Amendments to Articles Regarding Stipulation of Jurisdiction	Mgmt	For	Against	Against	
15	Amendment to Indemnification of Directors/Officers	Mgmt	For	For	For	
16	Compensation Policy	Mgmt	For	For	For	
17	Employment Terms of Chairman	Mgmt	For	For	For	
18	Employment Terms of CEO	Mgmt	For	For	For	
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYSD	CINS M1971K112	05/15/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance of Directors/Officers	Mgmt	For	For	For

Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYSD	CINS M1971K112	07/04/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Property Purchase from Controlling Shareholder	Mgmt	For	For	For

Bayside Land Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BYSD	CINS M1971K112	12/26/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For

Bezeq The Israel Telecommunication Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	03/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Approval of Amended Agreement Between the Company's Subsidiary and a Related Party	Mgmt	For	For	For

Bezeq The Israel Telecommunication Corp. Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	04/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	Against	Against

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Bezeq The Israel Telecommunication Corp. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	05/09/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Allocation of Dividends	Mgmt	For	For	For
4	Elect Shaul Elovitch	Mgmt	For	For	For
5	Elect Or Elovitch	Mgmt	For	For	For
6	Elect Orna Elovitch Peled	Mgmt	For	For	For
7	Elect Rami Nomkin	Mgmt	For	For	For
8	Elect Joshua Rosensweig	Mgmt	For	For	For
9	Elect David Granot	Mgmt	For	For	For
10	Indemnification and Exemption of David Granot	Mgmt	For	Against	Against
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Salary Increase of CEO	Mgmt	For	For	For

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Bezeq The Israel Telecommunication Corp. Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
BEZQ	CINS M2012Q100	08/30/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Dividend	Mgmt	For	For	For

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Big Shopping Centers Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
BIG	CINS M2014C109	01/05/2017	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Consulting Agreement with Yehuda Naftali	Mgmt	For	For	For
3	Indemnification of Daniel Naftali	Mgmt	For	For	For
4	Compensation Policy	Mgmt	For	Against	Against

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Big Shopping Centers Limited			
Ticker	Security ID:	Meeting Date	Meeting Status

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BIG	CINS M2014C109	09/19/2016	Voted			
Meeting Type	Country of Trade					
Ordinary	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
4	Elect Yehuda Naftali	Mgmt	For	For	For	
5	Elect Ron Naftali	Mgmt	For	For	For	
6	Elect Eitan Bar Zeev	Mgmt	For	For	For	
7	Elect Barak Ben Eliezer	Mgmt	For	For	For	
8	Elect Israel Yaaqovi	Mgmt	For	For	For	
9	Elect Azriel Gonen	Mgmt	For	For	For	
10	Elect Sharon Schweppe	Mgmt	For	For	For	

BiolineRx Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
BLRX	CINS M2014R106	07/05/2016	Voted			
Meeting Type	Country of Trade					
Ordinary	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Aharon Schwartz	Mgmt	For	Against	Against	
3	Elect Michael J. Anghel	Mgmt	For	Against	Against	
4	Elect B.J. Bormann	Mgmt	For	For	For	
5	Elect Raphael Hofstein	Mgmt	For	For	For	
6	Elect Sandra Panem	Mgmt	For	For	For	
7	Option Grant to Aharon Schwartz	Mgmt	For	For	For	
8	Option Grant to Michael Anghel	Mgmt	For	For	For	
9	Option Grant to B.J. Bormann	Mgmt	For	For	For	
10	Option Grant to Rapael Hofstein	Mgmt	For	For	For	
11	Option Grant to Sandra Panem	Mgmt	For	For	For	
12	Elect Nurit Benjamini as External Director	Mgmt	For	For	For	
13	Elect Avraham Molcho as External Director	Mgmt	For	For	For	
14	Salary Increase for CEO	Mgmt	For	For	For	
15	Bonus Plan for the CEO	Mgmt	For	For	For	
16	Equity Grants to CEO	Mgmt	For	For	For	
17	Compensation Policy	Mgmt	For	Against	Against	
18	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
19	Discussion of 2015 Financial Statements	Mgmt	For	For	For	
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Blue Square Real Estate Ltd

Ticker	Security ID:	Meeting Date	Meeting Status			
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BLSR	CINS M20259103	01/31/2017	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Mordechay Ben-Moshe	Mgmt	For	For	For
4	Elect Yaniv Rog	Mgmt	For	For	For
5	Elect Yehuda van der Walde	Mgmt	For	For	For
6	Elect Oded Nagar	Mgmt	For	For	For
7	Elect Tali Bellish Michaud	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
BLSR	CINS M20259103	08/31/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Amit Ben Itzhak	Mgmt	For	For	For
4	Elect Avigdor Kaplan	Mgmt	For	For	For
5	Elect Israel Yaniv	Mgmt	For	For	For
6	Elect Dana Schlezinger	Mgmt	For	For	For
7	Elect Tali Bellish Michaud	Mgmt	For	For	For
8	Elect Avraham Basson	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Liability Insurance of Directors & Officers	Mgmt	For	For	For
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
BLSR	CINS M20259103	11/16/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Mordechay Ben-Moshe	Mgmt	For	For	For
4	Elect Yaniv Rog	Mgmt	For	For	For
5	Elect Yehuda van der Walde	Mgmt	For	For	For
6	Elect Oded Nagar	Mgmt	For	For	For
7	Elect Tali Bellish Michaud	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Elect Uri Lazar as	Mgmt	For	For	For

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10	External Director Indemnification of Directors & Officers	Mgmt	For	For	For
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Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
BLSR	CINS M20259103	12/07/2016		Voted	
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Mordechay Ben-Moshe	Mgmt	For	For	For
3	Elect Yaniv Rog	Mgmt	For	For	For
4	Elect Yehuda van der Walde	Mgmt	For	For	For
5	Elect Oded Nagar	Mgmt	For	For	For
6	Elect Tali Bellish Michaud	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Blue Square Real Estate Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
BLSR	CINS M20259103	12/21/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance (Basic) for Directors and Officers	Mgmt	For	For	For
3	Liability Insurance (Group) for Directors and Officers	Mgmt	For	For	For
4	Liability Insurance (Prior Period) for Directors and Officers	Mgmt	For	For	For
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Caesarstone Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
CSTE	CUSIP M20598104	12/06/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ofer Tsimchi	Mgmt	For	For	For
2	Elect Ronald Kaplan	Mgmt	For	For	For
3	Elect Amit Ben Zvi	Mgmt	For	For	For
4	Elect Ariel Halperin	Mgmt	For	For	For
5	Elect Dori Brown	Mgmt	For	For	For
6	Elect Roger Abravanel	Mgmt	For	For	For
7	Elect Eric D. Herschmann	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For

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9	Declaration of Material Interest	Mgmt	N/A	Against	N/A
10	Chairman's Fees	Mgmt	For	For	For
11	Compensation Terms of CEO	Mgmt	For	For	For
12	Declaration of Material Interest	Mgmt	N/A	Against	N/A
13	Amendment to Compensation Terms of Former CEO	Mgmt	For	For	For
14	Declaration of Material Interest	Mgmt	N/A	Against	N/A
15	Compensation Policy	Mgmt	For	Against	Against
16	Declaration of Material Interest	Mgmt	N/A	Against	N/A
17	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Cellcom Israel Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEL	CINS M2196U109	01/18/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For

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Cellcom Israel Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEL	CINS M2196U109	06/07/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Ronit Baytel	Mgmt	For	For	For
3	Elect Joseph Barnea	Mgmt	For	For	For
4	Compensation Terms of Chair	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

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Cellcom Israel Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CEL	CINS M2196U109	09/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For

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Ceragon Networks Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
CRNT	CUSIP M22013102	09/20/2016	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	Against	Against
2	Variable Compensation of CEO for 2016	Mgmt	For	For	For
3	Declaration of Material Interest	Mgmt	N/A	Against	N/A
4	Appointment of Auditor	Mgmt	For	For	For

CEVA, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CEVA	CUSIP 157210105	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Eliyahu Ayalon	Mgmt	For	For	For
1.2	Elect Zvi Limon	Mgmt	For	For	For
1.3	Elect Bruce A. Mann	Mgmt	For	For	For
1.4	Elect Maria Marced	Mgmt	For	For	For
1.5	Elect Peter McManamon	Mgmt	For	For	For
1.6	Elect Sven-Christer Nilsson	Mgmt	For	For	For
1.7	Elect Louis Silver	Mgmt	For	For	For
1.8	Elect Gideon Wertheizer	Mgmt	For	For	For
2	Amendment to the 2002 Employee Stock Purchase Plan	Mgmt	For	For	For
3	Amendment to the 2011 Equity Incentive Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Check Point Software Technologies Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
CHKP	CUSIP M22465104	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gil Shwed	Mgmt	For	For	For
2	Elect Marius Nacht	Mgmt	For	For	For
3	Elect Jerry Ungerman	Mgmt	For	For	For
4	Elect Dan Propper	Mgmt	For	For	For
5	Elect David Rubner	Mgmt	For	Abstain	Against
6	Elect Tal Shavit	Mgmt	For	For	For
7	Elect Irwin Federman	Mgmt	For	For	For
8	Elect Ray Rothrock	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Compensation Terms of	Mgmt	For	Against	Against



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11	CEO Confirmation of Non-Controlling Shareholder	Mgmt	N/A	Against	N/A
12	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	Against	N/A

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Clal Insurance Enterprises Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CLIS	CINS M2447P107	10/06/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Sami Mualem as external director	Mgmt	N/A	Abstain	N/A
3	Elect Meir Mishel Menachem as external director	Mgmt	N/A	For	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Clal Insurance Enterprises Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CLIS	CINS M2447P107	12/18/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Amendment of Deferral Mechanism of Bonuses	Mgmt	For	Against	Against
4	Liability Exemption of Directors & Officers	Mgmt	For	Against	Against
5	Amendment of Chairman's Maximum Compensation	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Clal Insurance Enterprises Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
CLIS	CINS M2447P107	12/29/2016		Voted	
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Dani Naveh	Mgmt	For	For	For
5	Elect Avraham Knobel	Mgmt	For	For	For
6	Elect Varda Alshech	Mgmt	For	For	For
7	Elect Hana Mazal Margaliot	Mgmt	For	For	For

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CyberArk Software

Ticker	Security ID:	Meeting Date	Meeting Status		
CYBR	CUSIP M2682V108	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ron Gutler	Mgmt	For	For	For
2	Elect Kim E. Perdikou	Mgmt	For	For	For
3	Elect Gadi Tirosh	Mgmt	For	For	For
4	Equity Grants of Chair and CEO	Mgmt	For	Against	Against
5	Amendment to Directors' Equity Compensation	Mgmt	For	For	For
6	Liability Insurance Coverage for Directors and Officers	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	For	Against	Against
8	Amendment to Maximum Board Size	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Delek Automotive Systems

Ticker	Security ID:	Meeting Date	Meeting Status		
DLEA	CINS M2756V109	06/21/2017	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Malka Ben Dor and Approve Compensation	Mgmt	For	For	For

Delek Automotive Systems

Ticker	Security ID:	Meeting Date	Meeting Status		
DLEA	CINS M2756V109	07/04/2016	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Private Placement of Share Options to CFO	Mgmt	For	For	For
5	Private Placement of	Mgmt	For	Against	Against

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	Share Options to Shachar Shemesh, CEO of Delek Motors					
6	Private Placement of Share Options to Yoram Mizrahi, Deputy CEO of Delek Motors	Mgmt	For	For	For	
7	Private Placement of Share Options to Shlomo Geva, Deputy CEO of Delek Motors	Mgmt	For	For	For	
8	Private Placement of Share Options to Barak Cohen, Deputy CEO of Delek Motors	Mgmt	For	For	For	
9	Private Placement of Share Options to Moshe Levy, CIO of Delek Motors	Mgmt	For	For	For	

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### Delek Automotive Systems

Ticker	Security ID:	Meeting Date	Meeting Status			
DLEA	CINS M2756V109	10/13/2016	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Compensation Policy	Mgmt	For	Against	Against	
3	Retention Bonus of Chairman	Mgmt	For	For	For	

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### Delek Energy Systems Ltd (Delek Energy)

Ticker	Security ID:	Meeting Date	Meeting Status			
DLEN	CINS M2775P109	02/28/2017	Voted			
Meeting Type	Country of Trade					
Mix	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Elect Rami Sasson	Mgmt	For	For	For	
7	Elect Ron Nahmani	Mgmt	For	N/A	N/A	
8	Shareholder Proposal Regarding Payment of Dividend/Redistributio n of Profits	ShrHoldr	N/A	Against	N/A	
9	Shareholder Proposal Regarding Payment of Dividend/Redistributio n of	ShrHoldr	N/A	Against	N/A	

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10 Profits  
Shareholder Proposal ShrHolder N/A Against N/A  
Regarding Payment of  
Dividend/Redistributio  
n of  
Profits

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Delek Group Ltd  
Ticker Security ID: Meeting Date Meeting Status  
DLEKG CINS M27635107 04/02/2017 Voted  
Meeting Type Country of Trade  
Special Israel  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Non-Voting Meeting Note N/A N/A N/A N/A  
2 Compensation Policy Mgmt For Against Against  
3 Employment Agreement Mgmt For For For  
with  
CEO  
4 Non-Voting Meeting Note N/A N/A N/A N/A

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Delek Group Ltd  
Ticker Security ID: Meeting Date Meeting Status  
DLEKG CINS M27635107 09/08/2016 Voted  
Meeting Type Country of Trade  
Annual Israel  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Non-Voting Meeting Note N/A N/A N/A N/A  
2 Non-Voting Agenda Item N/A N/A N/A N/A  
3 Appointment of Auditor Mgmt For For For  
and Authority to Set  
Fees

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Delek US Holdings Inc.  
Ticker Security ID: Meeting Date Meeting Status  
DK CUSIP 246647101 06/29/2017 Voted  
Meeting Type Country of Trade  
Special United States  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1 Issue Shares for Merger Mgmt For For For  
2 Right to Adjourn Mgmt For For For  
Meeting

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Delek US Holdings, Inc.  
Ticker Security ID: Meeting Date Meeting Status  
DK CUSIP 246647101 05/08/2017 Voted  
Meeting Type Country of Trade  
Annual United States  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt  
1.1 Elect Ezra Uzi Yemin Mgmt For For For  
1.2 Elect William J. Mgmt For For For  
Finnerty  
1.3 Elect Carlos E. Jorda Mgmt For For For  
1.4 Elect Charles H. Mgmt For For For  
Leonard  
1.5 Elect Gary M. Sullivan Mgmt For For For  
1.6 Elect Shlomo Zohar Mgmt For Withhold Against  
2 Advisory Vote on Mgmt For For For  
Executive

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3	Compensation Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Delta Galil Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
DELT	CINS M2778B107	01/02/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Noam Lautman	Mgmt	For	For	For
3	Elect Isaac Dabah	Mgmt	For	For	For
4	Elect Gideon Chitayat	Mgmt	For	For	For
5	Elect Izhak Weinstock	Mgmt	For	For	For
6	Elect Tzipora Carmon	Mgmt	For	For	For
7	Elect Israel Baum	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Amendment to Compensation Policy	Mgmt	For	For	For
11	Employment Agreement with Gloria Dabah	Mgmt	For	For	For
12	Amendments to Articles Regarding Insurance and Indemnification	Mgmt	For	For	For
13	Increase in Authorized Capital	Mgmt	For	For	For
14	Bundled Amendments to Articles	Mgmt	For	Against	Against
15	Amendment to Company Memorandum	Mgmt	For	For	For
16	Amendment to Indemnification Agreement Regarding Officers of Subsidiary Companies	Mgmt	For	For	For
17	Indemnification of Officers Affiliated to the Controlling Shareholder	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Delta Galil Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
DELT	CINS M2778B107	03/01/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For

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Discount Investment Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
DISI	CINS M28072102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance of Directors/Officers	Mgmt	For	For	For
3	Service Provision Agreement w/ Controlling Shareholder	Mgmt	For	For	For

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DSP Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DSPG	CUSIP 23332B106	05/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ofer Elyakim	Mgmt	For	For	For
1.2	Elect Thomas A. Lacey	Mgmt	For	For	For
1.3	Elect Gabi Seligsohn	Mgmt	For	For	For
1.4	Elect Yair Seroussi	Mgmt	For	For	For
1.5	Elect Norman Taffe	Mgmt	For	For	For
1.6	Elect Patrick Tanguy	Mgmt	For	For	For
1.7	Elect Kenneth H. Traub	Mgmt	For	For	For
2	Amendment to the 2012 Equity Incentive Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	06/28/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of Nimrod Borovitz	Mgmt	For	For	For
3	Bonus of Nimrod Borovitz	Mgmt	For	For	For
4	Employment Terms of Chair	Mgmt	For	For	For

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El Al Israel Airlines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELAL	CINS M3741D113	12/01/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
4	Elect Amikam Cohen	Mgmt	For	For	For
5	Elect Tamar Mozes Borovitz	Mgmt	For	For	For
6	Elect Yehuda Levy	Mgmt	For	For	For
7	Elect Pinchas Ginsburg	Mgmt	For	For	For
8	Elect Shlomo Hanael	Mgmt	For	For	For
9	Elect Sofia Kimerling	Mgmt	For	For	For
10	Elect Eli Depes	Mgmt	For	Against	Against
11	Elect Ruth Dahan	Mgmt	For	For	For
12	Compensation Policy	Mgmt	For	For	For

Elbit Systems Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ESLT	CINS M3760D101	11/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael Federmann	Mgmt	For	For	For
3	Elect Rina Baum	Mgmt	For	For	For
4	Elect Yoram Ben-Zeev	Mgmt	For	For	For
5	Elect David Federmann	Mgmt	For	For	For
6	Elect Dov Ninveh	Mgmt	For	For	For
7	Elect Ehood Nisan	Mgmt	For	For	For
8	Elect Yuli Tamir	Mgmt	For	For	For
9	Elect Dalia Rabin	Mgmt	For	For	For
10	Compensation Policy	Mgmt	For	Against	Against
11	Appointment of Auditor	Mgmt	For	For	For

Elco Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ELCO	CINS M3787F105	01/10/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	Against	Against

Elco Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ELCO	CINS M3787F105	08/23/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Mordechai Friedman	Mgmt	For	For	For

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5	Elect Daniel Salkind	Mgmt	For	For	For
6	Elect Michael Salkind	Mgmt	For	For	For
7	Elect Gabriela Heller	Mgmt	For	For	For
8	Amendments to Articles (D&O Indemnification & Exemption)	Mgmt	For	Against	Against
9	Amendments to Articles (Other Amendments)	Mgmt	For	For	For

### Electra Consumer Products Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
ECP	CINS M3798N105	02/19/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yair Cohen	Mgmt	For	For	For
3	Elect Yael Reznick Cramer	Mgmt	For	For	For

### Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	03/05/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	05/04/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For

### Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELTR	CINS M38004103	06/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Indemnification of Directors/Officers	Mgmt	For	For	For
3	Indemnification of Directors/Officers Deemed Controlling Shareholders	Mgmt	For	For	For
4	Indemnification of CEO	Mgmt	For	For	For

### Electra Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
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ELTR	CINS M38004103	08/03/2016	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Michael Salkind	Mgmt	For	For	For
5	Elect Daniel Salkind	Mgmt	For	For	For
6	Elect Irit Stern	Mgmt	For	For	For
7	Amendments to Articles (Meeting Announcements)	Mgmt	For	For	For
8	Amendments to Articles (D&O Liability)	Mgmt	For	Against	Against

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Enzymotec Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
ENZY	CUSIP M4059L101	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of CEO Compensation and of a One-Time Increase Shares Under 2013 LTIP	Mgmt	For	Against	Against
2	Declaration of Material Interest	Mgmt	N/A	For	N/A

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Enzymotec Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
ENZY	CUSIP M4059L101	08/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steve Dubin	Mgmt	For	For	For
2	Elect Michal Silverberg	Mgmt	For	For	For
3	Elect Joseph Tenne	Mgmt	For	Against	Against
4	Elect Dov Pekelman	Mgmt	For	For	For
5	Cash Compensation of Directors	Mgmt	For	For	For
6	Cash Compensation of Directors	Mgmt	For	For	For
7	One-Time Equity Grant of Directors	Mgmt	For	Against	Against
8	Cash Bonus of CEO	Mgmt	For	For	For
9	Declaration of Material Interest	Mgmt	N/A	For	N/A
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Evogene Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVGN	CINS M4119S104	01/17/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Sarit Firon	Mgmt	For	For	For
3	Compensation Policy	Mgmt	For	For	For
4	Options Grant	Mgmt	For	For	For

Evogene Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVGN	CINS M4119S104	07/20/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Martin S. Gerstel	Mgmt	For	For	For
3	Elect Ziv Kop	Mgmt	For	For	For
4	Elect Adina Makover	Mgmt	For	For	For
5	Elect Leon Recanati	Mgmt	For	For	For
6	Elect Kinneret Savitsky	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Approval of Bonus Payment to CEO	Mgmt	For	For	For
9	Approval of Terms for CEO Bonus	Mgmt	For	For	For

First International Bank Of Israel

Ticker	Security ID:	Meeting Date	Meeting Status		
FTIN	CINS M1648G106	02/23/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Elect Irit Izakson	Mgmt	For	For	For
4	Employment Agreement	Mgmt	For	For	For
5	Amendments to Articles Regarding Notice of Meetings	Mgmt	For	For	For

First International Bank Of Israel

Ticker	Security ID:	Meeting Date	Meeting Status		
FTIN	CINS M1648G106	10/13/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Zeev Ben-Asher	Mgmt	For	For	For

First International Bank Of Israel

Ticker	Security ID:	Meeting Date	Meeting Status		
FTIN	CINS M1648G106	11/20/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Terms of Chairman	Mgmt	For	For	For
3	Employment Terms of CEO	Mgmt	For	For	For

Formula Systems (1985) Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FORTY	CINS M46518102	12/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Marek Panek	Mgmt	For	For	For
3	Elect Rafal Kozlowski	Mgmt	For	For	For
4	Elect Dafna Cohen	Mgmt	For	For	For
5	Compensation Policy	Mgmt	For	Against	Against
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fox-Wizel

Ticker	Security ID:	Meeting Date	Meeting Status		
FOX	CINS M4661N107	06/22/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Compensation Policy	Mgmt	For	For	For
4	Adjust Employment Terms of CEO	Mgmt	For	For	For
5	Adjust Employment Terms of Assaf Wiesel	Mgmt	For	For	For
6	Adjust Employment Terms of Elad Vered	Mgmt	For	For	For
7	Adjust Employment Terms of Michal Rivkind-Fuchs	Mgmt	For	For	For
8	Amend Base Salary of Shahar Rania	Mgmt	For	For	For
9	Amend Base Salary of Noah Landau	Mgmt	For	For	For

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10	Renew Employment Agreement of Assaf Wiesel	Mgmt	For	For	For
11	Renew Employment Agreement of Elad Vered	Mgmt	For	For	For
12	Renew Employment Agreement with Tomer Chapnick	Mgmt	For	For	For
13	Grant RSUs to CEO	Mgmt	For	Against	Against
14	Renew Employment Agreement of CEO	Mgmt	For	For	For
15	Renew Employment Agreement of Michal Rivkind Fuchs	Mgmt	For	For	For

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### Fox-Wizel

Ticker	Security ID:	Meeting Date	Meeting Status		
FOX	CINS M4661N107	11/06/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Avraham Zeldman	Mgmt	For	For	For
4	Elect Harel Wiesel	Mgmt	For	For	For
5	Elect Abraham Fuchs	Mgmt	For	For	For
6	Elect Osnat Ronen	Mgmt	For	For	For
7	Appointment of Auditor and Discussion of Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

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### Frutarom Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FRUT	CINS M4692H103	01/10/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Ziv Gil	Mgmt	For	For	For
3	Elect Dafna Sharir	Mgmt	For	For	For
4	Compensation Policy	Mgmt	For	For	For

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### Gazit Globe Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
GZT	CINS M4793C102	03/23/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Employment Agreement with CEO	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Gazit Globe Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
GLOB	CINS M4793C102	11/21/2016	Voted		
Meeting Type		Country of Trade			
Mix		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Chaim Katzman	Mgmt	For	For	For
5	Elect Dori J. Segal	Mgmt	For	For	For
6	Elect Rachel Lavine	Mgmt	For	For	For
7	Elect Haim Ben-Dor	Mgmt	For	For	For
8	Elect Douglas Sesler	Mgmt	For	For	For
9	Elect Zehavit Cohen	Mgmt	For	For	For
10	Elect Yair Orgler and Approve Remuneration	Mgmt	For	For	For
11	Liability Insurance of Directors & Officers	Mgmt	For	For	For
12	Liability Insurance of Son-in-Law of Controlling Shareholder	Mgmt	For	For	For

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### Gilat Satellite Networks Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILT	CINS M51474118	06/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Dov Baharav	Mgmt	For	For	For
3	Elect Amiram Boehm	Mgmt	For	For	For
4	Elect Ishay Davidi	Mgmt	For	For	For
5	Elect Amir Ofek	Mgmt	For	Against	Against
6	Elect Aylon Rafaeli	Mgmt	For	For	For
7	Elect Meir Shamir	Mgmt	For	For	For
8	Elect Dafna Sharir	Mgmt	For	For	For
9	Elect Elyezer Shkedy as External Director	Mgmt	For	For	For
10	Approval of Option Grant to Director Shkedy	Mgmt	For	For	For
11	Amendment of Compensation Policy	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### Harel Insurance Investments & Financial Services Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
HARL	CINS M52635105	01/17/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Ben Hamburger	Mgmt	For	For	For

Harel Insurance Investments & Financial Services Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
HARL	CINS M52635105	03/28/2017	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	Abstain	Against	
4	Elect Yair Hamburger	Mgmt	For	For	For	
5	Elect Ben Hamburger	Mgmt	For	For	For	
6	Elect Gideon Hamburger	Mgmt	For	For	For	
7	Elect Joav Manor	Mgmt	For	For	For	
8	Elect Doron Cohen	Mgmt	For	For	For	
9	Elect Joseph Ciechanover	Mgmt	For	For	For	
10	Elect Hava Friedman-Shapira as External Director	Mgmt	For	For	For	
11	Elect Ehud Nissan as External Director	Mgmt	For	For	For	

Harel Insurance Investments & Financial Services Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status			
HARL	CINS M52635105	10/31/2016	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Amended Employment Terms of CEO	Mgmt	For	For	For	
3	Compensation Policy	Mgmt	For	For	For	

Hilan Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
HLAN	CINS M5299H123	04/23/2017	Voted			
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Elect Oranit Kravitz	Mgmt	For	For	For	

Hilan Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
HLAN	CINS M5299H123	12/21/2016	Voted			
Meeting Type		Country of Trade				
Ordinary		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Discussion of 2015	Mgmt	For	For	For	

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	Financial Statements					
3	Compensation Policy	Mgmt	For	For	For	
4	Service Terms of Chairman	Mgmt	For	Against	Against	
5	Service Terms of CEO	Mgmt	For	Against	Against	
6	Elect Ram Entin	Mgmt	For	For	For	
7	Elect Avraham Baum	Mgmt	For	For	For	
8	Elect Miron Oren	Mgmt	For	For	For	
9	Elect Hadas Gelandar	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	

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IDI Insurance Company Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
IDIN	CINS M5343H103	03/30/2017	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Purchase of Consumer Loans Portfolio of a Sister Company	Mgmt	For	For	For	

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IDI Insurance Company Ltd						
Ticker	Security ID:	Meeting Date	Meeting Status			
IDIN	CINS M5343H103	05/14/2017	Voted			
Meeting Type	Country of Trade					
Ordinary	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Moshe Schneidman	Mgmt	For	For	For	
3	Elect Doron Schneidman	Mgmt	For	For	For	
4	Elect Michael Brown	Mgmt	For	For	For	
5	Elect Joseph Kucik	Mgmt	For	For	For	
6	Elect Yehoshua Abramovich as External Director	Mgmt	For	For	For	
7	Elect Pnina Shenhav as External Director	Mgmt	For	For	For	
8	Fees of Yehoshua Abramovich	Mgmt	For	For	For	
9	Fees of Pnina Shenhav	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Imperva, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
IMPV	CUSIP 45321L100	04/26/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Albert A. Pimentel	Mgmt	For	For	For	
1.2	Elect Randall Spratt	Mgmt	For	For	For	
1.3	Elect James R. Tolonen	Mgmt	For	For	For	
2	Advisory Vote on	Mgmt	For	Against	Against	

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	Executive Compensation					
3	Amendment to the 2011 Stock Option and Incentive Plan	Mgmt	For	Against	Against	
4	Ratification of Auditor	Mgmt	For	For	For	

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Inrom Construction Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
INRM	CINS M5615A109	06/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transaction	Mgmt	For	For	For

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Israel Chemicals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICL	CINS M5920A109	01/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Johanan Locker	Mgmt	For	For	For
3	Elect Avisar Paz	Mgmt	For	For	For
4	Elect Aviad Kaufman	Mgmt	For	For	For
5	Elect Ovadia Eli	Mgmt	For	For	For
6	Elect Geoffery E. Merszei	Mgmt	For	For	For
7	Elect Shimon Eckhaus	Mgmt	For	For	For
8	Elect Ron Moskovitz	Mgmt	For	For	For
9	Elect Sagi Kabla	Mgmt	For	For	For
10	Equity Grant to Unaffiliated Directors	Mgmt	For	For	For
11	Equity Grant to Affiliated Directors	Mgmt	For	For	For
12	Assignment of Compensation to Controlling Shareholders	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For

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Israel Chemicals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ICL	CINS M5920A109	08/29/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	Against	Against
3	Elect Johanan Locker	Mgmt	For	For	For
4	Compensation Terms and Equity Grant of Executive Chairman	Mgmt	For	Against	Against



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5	Equity Grant of CEO	Mgmt	For	Against	Against
Israel Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ILCO	CINS M8785N109	01/30/2017		Voted	
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Aviad Kaufman	Mgmt	For	For	For
5	Elect Amnon Lion	Mgmt	For	For	For
6	Elect Zehavit Cohen	Mgmt	For	For	For
7	Elect Dan Suesskind	Mgmt	For	For	For
8	Elect Michael Bricker	Mgmt	For	For	For
9	Amendment to Articles Regarding Board Size	Mgmt	For	For	For
Israel Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ILCO	CINS M8785N109	05/08/2017		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Joshua Rosenzweig	Mgmt	For	For	For
Israel Corporation Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ILCO	CINS M8785N109	09/29/2016		Voted	
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yaacov Amidror	Mgmt	For	For	For
Israel Discount Bank Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
DSCT	CINS 465074201	11/08/2016		Voted	
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Dividend of Preference Shareholders	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Yali Sheffi	Mgmt	For	For	For
7	Elect David Zaken	Mgmt	For	N/A	N/A
8	Elect Miri Katz	Mgmt	For	For	For
9	Compensation Policy	Mgmt	For	For	For

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10	Employment Terms of CEO	Mgmt	For	For	For
11	Employment Terms of Chairman	Mgmt	For	For	For
12	Amendments to Articles Regarding Stipulation of Jurisdiction	Mgmt	For	Against	Against

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### Ituran Location And Control Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ITRN	CUSIP M6158M104	11/07/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	Against	Against
2	Declaration of Material Interest	Mgmt	N/A	Against	N/A

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### Ituran Location And Control Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ITRN	CUSIP M6158M104	12/28/2016	Voted		
Meeting Type	Country of Trade				
Consent	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Elect Eyal Sheratzky	Mgmt	For	For	For
3	Elect Efraim Sheratzky	Mgmt	For	Against	Against
4	Elect Tal Sheratzky -Jaffa	Mgmt	For	Against	Against
5	Elect Yoav Kahane	Mgmt	For	Against	Against
6	Elect Gidon Kotler	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	N/A	Against	N/A

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### J.O.E.L. Jerusalem Oil Exploration Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
JOEL	CINS M6189Z108	01/09/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Moti Amiga	Mgmt	For	For	For
3	Elect Efrat Noga	Mgmt	For	For	For

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### J.O.E.L. Jerusalem Oil Exploration Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
JOEL	CINS M6189Z108	09/14/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For

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3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Haim Tsuff	Mgmt	For	Against	Against
5	Elect Boaz Simons	Mgmt	For	For	For
6	Elect Gilad Weizman	Mgmt	For	For	For
7	Compensation Policy	Mgmt	For	For	For
8	Employment Terms of Chairman (Controlling Shareholder)	Mgmt	For	For	For

Jerusalem Economy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECJM	CINS M6186V100	02/07/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect David Granot	Mgmt	For	Against	Against

Jerusalem Economy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECJM	CINS M6186V100	04/20/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Amendment to Articles	Mgmt	For	For	For

Jerusalem Economy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECJM	CINS M6186V100	08/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Orly Silberman	Mgmt	For	For	For

Jerusalem Economy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECJM	CINS M6186V100	10/05/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Updated Employment Terms of CEO	Mgmt	For	Against	Against

Jerusalem Economy Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ECJM	CINS M6186V100	12/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Zohar Levy	Mgmt	For	Against	Against
5	Elect Ron Beery	Mgmt	For	For	For
6	Elect Amir Burger	Mgmt	For	For	For
7	Elect Tal Fuhrer	Mgmt	For	For	For
8	Elect Alon Cohen	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Employment Terms of Chairman	Mgmt	For	For	For

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### Kamada Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KMDA	CINS M6240T109	08/30/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Leon Recanati	Mgmt	For	For	For
3	Elect Michael Berelowitz	Mgmt	For	For	For
4	Elect Jonathan Hahn	Mgmt	For	For	For
5	Elect Saadia Ozeri	Mgmt	For	For	For
6	Elect David Tsur	Mgmt	For	For	For
7	Elect Avraham Berger	Mgmt	For	For	For
8	Indemnification of Directors	Mgmt	For	For	For
9	Options Grant of Directors	Mgmt	For	Against	Against
10	Options Grant of External Directors	Mgmt	For	Against	Against
11	Compensation Terms of CEO	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Amended and Restated Compensation Policy	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Accounts and Reports	Mgmt	For	For	For

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### Kenon Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
KEN	CINS Y46717107	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Cyril Ducau	Mgmt	For	For	For
2	Elect Antoine Bonnier	Mgmt	For	For	For
3	Elect Laurence N. Charney	Mgmt	For	For	For
4	Elect Nathan Scott Fine	Mgmt	For	Against	Against
5	Elect Bill Foo	Mgmt	For	For	For
6	Elect Aviad Kaufman	Mgmt	For	For	For
7	Elect Arunava Sen	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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9	Approval of Annual Cash Compensation for Non-Employee Directors	Mgmt	For	For	For
10	Approval of Grant of Equity Compensation to Non-Executive Directors	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Grant Awards and Issue Shares under Equity Incentive Plans	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Kornit Digital

Ticker	Security ID:	Meeting Date	Meeting Status		
KRNT	CUSIP M6372Q113	07/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alon Lumbroso	Mgmt	For	For	For
2	Elect Dov Ofer	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

LivePerson, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LPSN	CUSIP 538146101	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Peter Block	Mgmt	For	Withhold	Against
1.2	Elect Fred Mossler	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Amendment to the 2009 Stock Incentive Plan	Mgmt	For	For	For
6	Amendment to the 2010 Employee Stock Purchase Plan	Mgmt	For	For	For

Magic Software Enterprises Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MGIC	CUSIP 559166103	12/15/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Guy Bernstein	Mgmt	For	For	For
2	Elect Naamit Salomon	Mgmt	For	For	For
3	Elect Yehezkel Zeira	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Matrix IT Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MTRX	CINS M6859E153	11/07/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
4	Elect Guy Bernstein	Mgmt	For	For	For
5	Elect Eliezer Oren	Mgmt	For	For	For
6	Elect Eyal Ben-Chelouche	Mgmt	For	For	For
7	Elect Pinhas Greenfield	Mgmt	For	For	For
8	Compensation Policy	Mgmt	For	For	For

Maytronics Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTRN	CINS M68728100	01/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Isaac Lidor	Mgmt	For	For	For
3	Elect Nir Shapira	Mgmt	For	For	For
4	Compensation Policy	Mgmt	For	For	For
5	Service Provision Agreement With Kibbutz Azrieli	Mgmt	For	For	For

Maytronics Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MTRN	CINS M68728100	05/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Election of Directors	Mgmt	For	For	For
5	Elect Ariel Brin Dolinko	Mgmt	For	For	For
6	Bonus Terms of CEO for 2017	Mgmt	For	For	For

Mazor Robotics Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MZOR	CINS M6872U101	07/19/2016	Voted		

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Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Special Bonus of CEO	Mgmt	For	Against	Against
3	Salary Increase of CEO	Mgmt	For	Against	Against
4	Stock Options Grant of CEO	Mgmt	For	Against	Against
5	Special Bonuses of Senior Officers	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mazor Robotics Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MZOR	CINS M6872U101	11/28/2016	Voted		
Meeting Type		Country of Trade			
Mix		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor and Discussion of Fees	Mgmt	For	For	For
3	Elect Jonathan Adereth and Grant Stock Options	Mgmt	For	For	For
4	Elect Ori Hadomi	Mgmt	For	For	For
5	Elect Michael Berman and Grant Stock Options	Mgmt	For	For	For
6	Elect Sarit Socary Ben-Yochanan and Grant Stock Options	Mgmt	For	For	For
7	Elect Gil Bianco and Grant Stock Options	Mgmt	For	For	For
8	Elect Yuval Yanai and Grant Stock Options	Mgmt	For	For	For
9	Acceleration of External Director's Stock Options	Mgmt	For	For	For
10	Compensation Policy	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Melisron Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
MLSR	CINS M5128G106	04/27/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Shlomo Sherf	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

Melisron Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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MLSR	CINS M5128G106	12/29/2016	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Liora Ofer	Mgmt	For	For	For
5	Elect Ron Avidan	Mgmt	For	For	For
6	Elect Oded Shamir	Mgmt	For	For	For
7	Exemption of Directors/Officers	Mgmt	For	Against	Against

Mellanox Technologies, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MLNX	CUSIP M51363113	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Irwin Federman	Mgmt	For	For	For
2	Elect Eyal Waldman	Mgmt	For	For	For
3	Elect Dov Baharav	Mgmt	For	For	For
4	Elect Shai Cohen	Mgmt	For	For	For
5	Elect Glenda M Dorchak	Mgmt	For	For	For
6	Elect David Perlmutter	Mgmt	For	For	For
7	Elect Thomas Weatherford	Mgmt	For	For	For
8	Salary Increase and Bonus of CEO	Mgmt	For	Against	Against
9	Declaration of Material Interest	Mgmt	N/A	Against	N/A
10	Approval of CEO Equity Grant	Mgmt	For	Against	Against
11	Declaration of Material Interest	Mgmt	N/A	Against	N/A
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Directors' Fees	Mgmt	For	For	For
15	Amendment to the Global Share Option Incentive Plan (2006)	Mgmt	For	For	For
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Menora Mivtachim Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MMHD	CINS M69333108	05/03/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Avital Stein as External Director	Mgmt	For	For	For

Menora Mivtachim Holdings Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MMHD	CINS M69333108	08/10/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Discussion of 2015 Financial Statements	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Eran Griffel	Mgmt	For	For	For
6	Elect Gedalya Doron	Mgmt	For	For	For
7	Elect Shlomo Milo	Mgmt	For	For	For
8	Elect Orly Yarkoni	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For

Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	02/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Elect Yohanan Danino	Mgmt	For	For	For
3	Elect Yohanan Danino as Chairman	Mgmt	For	For	For
4	Elect Shlomo Eliahu	Mgmt	For	For	For
5	Elect Israel Eliahu	Mgmt	For	For	For
6	Elect Gavriel Picker	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	03/22/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For

Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	04/26/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Merav Ben Canaan-Heller	Mgmt	For	For	For

### Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	08/04/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment of Subsidiary's Purchase of Controlling Shareholder's Run-Off Insurance Portfolio	Mgmt	For	For	For

### Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	10/06/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Ronit Bodo	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

### Migdal Insurance and Financial Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
MGDL	CINS M70079120	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Employment Agreement with Chairman	Mgmt	For	For	For
4	Employment Agreement with CEO of Subsidiary	Mgmt	For	For	For
5	Employment Agreement with CEO	Mgmt	For	For	For
6	Bonus for Eli Eliahu for 2017-2019	Mgmt	For	For	For
7	Indemnification of Interested Directors/Officers	Mgmt	For	For	For
8	Exemption of Officers	Mgmt	For	Against	Against
9	Exemption of Interested Officers	Mgmt	For	Against	Against

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Mizrahi Tefahot Bank Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
MZTF	CINS M7031A135	02/14/2017	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Compensation Policy	Mgmt	For	For	For	
3	Employment Agreement with Chairman	Mgmt	For	For	For	
4	Employment Agreement with CEO	Mgmt	For	For	For	

Mizrahi Tefahot Bank Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
MZTF	CINS M7031A135	09/28/2016	Voted			
Meeting Type	Country of Trade					
Special	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Osnat Ronen	Mgmt	For	For	For	

Mizrahi Tefahot Bank Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
MZTF	CINS M7031A135	12/28/2016	Voted			
Meeting Type	Country of Trade					
Ordinary	Israel					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Discussion of 2015 Financial Statements	Mgmt	For	For	For	
3	Elect Moshe Vidman	Mgmt	For	For	For	
4	Elect Zvi Ephrat	Mgmt	For	For	For	
5	Elect Ron Gazit	Mgmt	For	For	For	
6	Elect Liora Ofer	Mgmt	For	For	For	
7	Elect Mordechai Meir	Mgmt	For	For	For	
8	Elect Jonathan Kaplan	Mgmt	For	For	For	
9	Elect Joav-Asher Nachshon	Mgmt	For	For	For	
10	Elect Avraham Zeldman	Mgmt	For	For	For	
11	Appointment of Auditor	Mgmt	For	For	For	
12	Amendments to Articles Regarding Notice of General Meeting	Mgmt	For	For	For	
13	Amendments to Articles Regarding Stipulation of Jurisdiction	Mgmt	For	Against	Against	

Mobileye N.V.						
Ticker	Security ID:	Meeting Date	Meeting Status			
MBLY	CUSIP N51488117	06/13/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1	Sale of Assets	Mgmt	For	For	For
2	Liquidation	Mgmt	For	For	For
3	Conversion from N.V. to B.V.	Mgmt	For	For	For
4	Article Amendment Regarding Conversion	Mgmt	For	For	For
5	Article Amendment Regarding Delisting	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Ratification of Management Acts	Mgmt	For	For	For
8	Ratification of Management Acts	Mgmt	For	For	For
9	Elect Tomaso A. Poggio	Mgmt	For	For	For
10	Elect Eli Barkat	Mgmt	For	For	For
11	Elect Judith Richter	Mgmt	For	For	For
12	Elect Tiffany D. Silva	Mgmt	For	For	For
13	Elect David J. Miles	Mgmt	For	For	For
14	Elect Nicholas J. Hudson	Mgmt	For	For	For
15	Elect Mark L. Legaspi	Mgmt	For	For	For
16	Elect Gary Kershaw	Mgmt	For	For	For
17	Directors' Fees	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For

Naphtha Israel Petroleum Corp. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
NFTA	CINS M7065M104	07/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Haim Tsuff	Mgmt	For	Against	Against
5	Elect Boaz Simons	Mgmt	For	For	For
6	Elect Izak Yechezkel	Mgmt	For	For	For
7	Elect Rachel Turgeman	Mgmt	For	For	For
8	Compensation Policy	Mgmt	N/A	Abstain	N/A
9	Employment Terms of Chairman (Controlling Shareholder)	Mgmt	N/A	Abstain	N/A
10	Services Agreement of Affiliated Companies	Mgmt	N/A	Abstain	N/A
11	Employment Terms of CEO	Mgmt	N/A	Abstain	N/A

Naphtha Israel Petroleum Corp. Ltd

Ticker	Security ID:	Meeting Date	Meeting Status
NFTA	CINS M7065M104	09/13/2016	Voted

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Meeting Type Special		Country of Trade Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Employment Terms of Chairman (Controlling Shareholder)	Mgmt	For	For	For
4	Services Agreement of Affiliated Companies	Mgmt	For	For	For
5	Employment Terms of CEO	Mgmt	For	For	For

Neuroderm Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NDRM	CUSIP M74231107	03/02/2017	Voted		
Meeting Type Special		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Option Grant and Salary Increase for CEO	Mgmt	For	Against	Against
2	Confirmation of No Personal Interest in Proposal 1.00	Mgmt	N/A	For	N/A
3	Option Grant and Fee Increase for Independent Directors	Mgmt	For	For	For
4	Option Grant to Larry Ellberger	Mgmt	For	For	For

NICE Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
NICE	CINS M7494X101	06/27/2017	Voted		
Meeting Type Ordinary		Country of Trade Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect David Kostman	Mgmt	For	For	For
3	Elect Rimon Ben-Shaoul	Mgmt	For	For	For
4	Elect Yehoshua Ehrlich	Mgmt	For	For	For
5	Elect Leo Apotheker	Mgmt	For	For	For
6	Elect Joseph L. Cowan	Mgmt	For	For	For
7	Equity Grants of Non-Executive Directors	Mgmt	For	Against	Against
8	Option Grant of Chair	Mgmt	For	Against	Against
9	Extend Employment Terms of CEO	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Discussion of 2016 Financial Statements	Mgmt	For	For	For

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12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
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NICE Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
NICE	CINS M7494X101	12/21/2016		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Amendments to Articles Regarding External Directors	Mgmt	For	For	For	
3	Amendments to Compensation Policy	Mgmt	For	For	For	
<hr/>						
Nova Measuring Instruments Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
NVMI	CINS M7516K103	06/22/2017		Voted		
Meeting Type		Country of Trade				
Annual		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Michael Brunstein	Mgmt	For	For	For	
3	Elect Alon Dumanis	Mgmt	For	For	For	
4	Elect Avi Cohen	Mgmt	For	For	For	
5	Elect Raanan Cohen	Mgmt	For	Against	Against	
6	Elect Eli Fruchter	Mgmt	For	For	For	
7	Elect Zehava Simon as External Director	Mgmt	For	Against	Against	
8	Revised Employment Agreement with CEO	Mgmt	For	For	For	
9	Liability Insurance of Directors/Officers	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
<hr/>						
Oil Refineries Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
ORL	CINS M7521B106	01/05/2017		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Approval of Dividend Distribution	Mgmt	For	For	For	
<hr/>						
Oil Refineries Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
ORL	CINS M7521B106	12/29/2016		Voted		
Meeting Type		Country of Trade				
Mix		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Appointment of Auditor and Authority to Set	Mgmt	For	For	For	

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Fees						
4	Elect Ovadia Eli	Mgmt	For	For	For	For
5	Elect David Federman	Mgmt	For	For	For	For
6	Elect Maya Alchech Kaplan	Mgmt	For	For	For	For
7	Elect Jacob Gottenstein	Mgmt	For	For	For	For
8	Elect Nir Gilad	Mgmt	For	For	For	For
9	Elect Arie Ovadia	Mgmt	For	Against	Against	Against
10	Elect Avisar Paz	Mgmt	For	For	For	For
11	Elect Alexander Passal	Mgmt	For	Against	Against	Against
12	Elect Sagi Kabla	Mgmt	For	Against	Against	Against
13	Elect Mordechai Peled	Mgmt	For	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

Opko Health, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OPK	CUSIP 68375N103	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Phillip Frost	Mgmt	For	For	For
1.2	Elect Jane H. Hsiao	Mgmt	For	For	For
1.3	Elect Steven D. Rubin	Mgmt	For	Withhold	Against
1.4	Elect Richard M. Krasno	Mgmt	For	For	For
1.5	Elect Richard A. Lerner	Mgmt	For	Withhold	Against
1.6	Elect John A. Paganelli	Mgmt	For	Withhold	Against
1.7	Elect Richard C. Pfenniger	Mgmt	For	Withhold	Against
1.8	Elect Alice Lin-Tsing Yu	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Orbotech Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ORBK	CUSIP M75253100	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Yochai Richter	Mgmt	For	For	For
2	Elect Yehudit Bronicki	Mgmt	For	For	For
3	Elect Dan Falk	Mgmt	For	Against	Against
4	Elect Miron Kenneth	Mgmt	For	For	For
5	Elect Jacob Richter	Mgmt	For	For	For
6	Elect Eliezer Tokman	Mgmt	For	For	For
7	Elect Shimon Ullman	Mgmt	For	For	For
8	Elect Arie Weisberg	Mgmt	For	For	For
9	Elect Michael J. Anghel	Mgmt	For	Against	Against
10	Declaration of Material Interest	Mgmt	N/A	Against	N/A
11	Elect Joseph Tenne	Mgmt	For	For	For
12	Declaration of Material Interest	Mgmt	N/A	Against	N/A
13	Appointment of Auditor	Mgmt	For	For	For

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Orbotech Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
ORBK	CUSIP M75253100	09/12/2016	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Yochai Richter	Mgmt	For	For	For
2	Elect Yehudit Bronicki	Mgmt	For	For	For
3	Elect Dan Falk	Mgmt	For	Against	Against
4	Elect Miron Kenneth	Mgmt	For	For	For
5	Elect Jacob Richter	Mgmt	For	For	For
6	Elect Eliezer Tokman	Mgmt	For	For	For
7	Elect Shimon Ullman	Mgmt	For	For	For
8	Elect Arie Weisberg	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Compensation Policy	Mgmt	For	Against	Against
11	Declaration of Material Interest	Mgmt	N/A	Against	N/A
12	CEO Equity Bonus	Mgmt	For	Against	Against
13	Declaration of Material Interest	Mgmt	N/A	Against	N/A

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Ormat Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ORA	CUSIP 686688102	05/08/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stanley Stern	Mgmt	For	For	For
2	Elect David Granot	Mgmt	For	For	For
3	Elect Robert E. Joyal	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	Amendments to Certificate of Incorporation - Bundled	Mgmt	For	For	For

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Partner Communications Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
PTNR	CINS M78465107	09/28/2016	Voted
Meeting Type	Country of Trade		
Ordinary	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Election of Directors	Mgmt	For	Against	Against
6	Directors' Fees	Mgmt	For	For	For
7	Directors' Fees for	Mgmt	For	For	For



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	Ms. Ronen and Mr. Steinberg					
8	Indemnification of Barak Pridor	Mgmt	For	For	For	
9	Amendments to Articles Regarding Indemnification of Officers	Mgmt	For	Against	Against	
10	Indemnification of Officers	Mgmt	For	Against	Against	
11	Compensation Policy	Mgmt	For	Against	Against	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PZOL	CINS M7846U102	07/28/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Efraim Sadka	Mgmt	For	For	For

Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PZOL	CINS M7846U102	08/25/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zadik Bino	Mgmt	For	For	For
3	Elect Menachem Brenner	Mgmt	For	For	For
4	Elect Gil Bino	Mgmt	For	For	For
5	Elect Hadar Bino Shmueli	Mgmt	For	For	For
6	Elect Yitzhak Ezer	Mgmt	For	For	For
7	Elect Dalia Lev	Mgmt	For	For	For
8	Elect Gabriel Rotter	Mgmt	For	For	For
9	Elect Arik Schor	Mgmt	For	For	For
10	Elect Revital Aviram	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Discussion of Auditor Fees for 2015	Mgmt	For	For	For
13	Discussion of Annual Report for 2015	Mgmt	For	For	For
14	Compensation Policy	Mgmt	For	For	For

Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PZOL	CINS M7846U102	11/27/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Amendments to Articles	Mgmt	For	For	For
3	Employment Terms of Chairman	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Perion Network Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PERI	CUSIP M78673106	01/05/2017	Voted		
Meeting Type	Country of Trade				
Consent	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan Gelman	Mgmt	For	For	For
2	Elect Dror Erez	Mgmt	For	For	For
3	Elect Sarit Firon	Mgmt	For	For	For
4	Declaration of Material Interest	Mgmt	N/A	For	N/A
5	Compensation Policy	Mgmt	For	Against	Against
6	Declaration of Material Interest	Mgmt	N/A	For	N/A
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Perion Network Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PERI	CUSIP M78673106	03/23/2017	Voted		
Meeting Type	Country of Trade				
Consent	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	Against	Against
2	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
3	Employment Agreement with CEO	Mgmt	For	Against	Against
4	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
5	Directors' Compensation	Mgmt	For	For	For
6	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
7	Additional Compensation for Chairman of the Board	Mgmt	For	For	For
8	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A

Perion Network Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PERI	CUSIP M78673106	09/26/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Amendments to Articles Regarding Board Declassification and the Appointment of Directors	Mgmt	For	For	For
2	Shareholder Proposal Regarding Board Declassification and the Appointment of Directors	ShrHoldr	Against	Against	For

Pluristem Therapeutics, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PSTI	CUSIP 72940R102	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Zami Aberman	Mgmt	For	For	For
2	Elect Israel Ben-Yoram	Mgmt	For	For	For
3	Elect Isaac Braun	Mgmt	For	For	For
4	Elect Mark Germain	Mgmt	For	For	For
5	Elect Moria Kwiat	Mgmt	For	For	For
6	Elect Hava Meretzki	Mgmt	For	Against	Against
7	Elect Nachum Rosman	Mgmt	For	For	For
8	Elect Doron Shorrer	Mgmt	For	Against	Against
9	Elect Yaky Yanay	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For

Plus500 Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
PLUS	CINS M7S2CK109	06/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Penelope Judd	Mgmt	For	For	For
2	Elect Gal Haber	Mgmt	For	For	For
3	Elect Asaf Elimelech	Mgmt	For	For	For
4	Elect Elad Even-Chen	Mgmt	For	For	For
5	Elect Steve Baldwin	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
7	Directors' Fees (Penelope Judd)	Mgmt	For	For	For
8	Directors' Fees (Charles Fairbairn)	Mgmt	For	For	For
9	Directors' Fees (Steven Baldwin)	Mgmt	For	For	For
10	Remuneration Policy (Binding)	Mgmt	For	Against	Against
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Plus500 Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
PLUS	CINS M7S2CK109	12/15/2016	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employment Terms of CEO	Mgmt	For	For	For
2	Employment Terms of CFO	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For

Property and Building Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PTBL	CINS M8175G102	01/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Property and Building Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PTBL	CINS M8175G102	05/14/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance of Directors/Officers	Mgmt	For	For	For

Radcom Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RDCM	CUSIP M81865111	08/16/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Zohar Zisapel	Mgmt	For	Against	Against
2	Elect Matty Karp	Mgmt	For	For	For
3	Elect Rachel (Heli) Bennun	Mgmt	For	For	For
4	Elect Uri Har	Mgmt	For	For	For
5	Elect Irit Hillel	Mgmt	For	For	For
6	Declaration of Material Interest	Mgmt	N/A	For	N/A
7	Amendment to Articles	Mgmt	For	Against	Against
8	Director Compensation	Mgmt	For	Against	Against
9	Declaration of Material Interest	Mgmt	N/A	For	N/A
10	Compensation Policy	Mgmt	For	Against	Against
11	Declaration of Material Interest	Mgmt	N/A	For	N/A
12	Compensation of CEO	Mgmt	For	Against	Against
13	Declaration of Material Interest	Mgmt	N/A	For	N/A

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14	Bonus of Active Chairman	Mgmt	For	Against	Against
15	Declaration of Material Interest	Mgmt	N/A	For	N/A
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Radware Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RDWR	CUSIP M81873107	10/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roy Zisapel	Mgmt	For	For	For
2	Elect Joel Maryles	Mgmt	For	Against	Against
3	Elect Yair Tauman	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Rami Levy Chain Stores Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RMLI	CINS M8194J103	03/01/2017	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Rami Levy	Mgmt	For	For	For
4	Elect Ofir Atias	Mgmt	For	Against	Against
5	Elect Mordechai Berkovitch	Mgmt	For	For	For
6	Elect Dalia Itzik	Mgmt	For	For	For
7	Elect Yoram Dar	Mgmt	For	For	For
8	Elect Michaela Elram	Mgmt	For	For	For
9	Elect Chaim Lotan	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Elect Yair Shamir	Mgmt	For	For	For
12	Elect Nofia Ohana	Mgmt	For	For	For
13	Compensation Policy	Mgmt	For	For	For

Redhill Biopharma Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RDHL	CUSIP 757468103	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Dror Ben-Asher	Mgmt	For	For	For
3	Elect Rick D Scruggs	Mgmt	For	For	For
4	Elect Nicolas Weinstein Manieu	Mgmt	For	For	For
5	Elect Ofer Tsimchi	Mgmt	For	For	For
6	Elect Nurit Benjamini	Mgmt	For	For	For
7	Amendments to Articles Regarding Board	Mgmt	For	For	For

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	Size				
8	Amendments to Articles Regarding Nomination Right of December Offering Investor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Approval of Dror Ben-Asher to Serve as Chair and CEO	Mgmt	For	Against	Against
11	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
12	Compensation Terms of Chair and CEO	Mgmt	For	Against	Against
13	Confirmation of Non-Controlling Shareholder	Mgmt	N/A	For	N/A
14	Options Grant of Non-Executive Directors	Mgmt	For	Against	Against
15	Amendment to the Amended and Restated Award Plan (2010)	Mgmt	For	Against	Against

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Safecharge International Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SCH	CINS G7740U106	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	Guernsey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Roger Withers	Mgmt	For	For	For
4	Elect David Avgi	Mgmt	For	For	For
5	Elect Tim Mickley	Mgmt	For	For	For
6	Elect Yuval Ziv	Mgmt	For	For	For
7	Elect John Le Poidevin	Mgmt	For	For	For
8	Elect Jeremy Nicholds	Mgmt	For	For	For
9	Elect Robert Caplehorn	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Remuneration Policy (Advisory - Overseas AIM Issuer)	Mgmt	For	For	For

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Sarine Technologies Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
U77	CINS M8234Z109	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Avraham Eshed	Mgmt	For	For	For
5	Elect Daniel Benjamin Glinert	Mgmt	For	Against	Against
6	Elect Uzi Levami	Mgmt	For	For	For
7	Elect Yehezkel Pinhas Blum	Mgmt	For	For	For
8	Elect Valerie ONG Choo Lin	Mgmt	For	For	For
9	Elect CHAN Kam Loon	Mgmt	For	For	For
10	Elect Varda Shine	Mgmt	For	For	For
11	Appoint CHAN Kam Loon as Lead Independent Director	Mgmt	For	For	For
12	Equity Grant of Up to 1,050,000 Options to Independent Directors	Mgmt	For	For	For
13	Non-Executive Directors' Participation Fees	Mgmt	For	For	For
14	Approve Remuneration of David Sydney Block (CEO)	Mgmt	For	For	For
15	Approve Remuneration of Uzi Levami (Former CEO)	Mgmt	For	For	For
16	Approve Appointment and Remuneration of Eyal Mashiah (Retiring Executive Director) as Consultant	Mgmt	For	For	For
17	Engagement of Messrs. Ilan Weisman and Aharon (Rony) Shapira in the Allegro Project	Mgmt	For	For	For
18	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
19	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Grant Options and Issue Shares Under Sarine Technologies Ltd 2015 Share Option	Mgmt	For	For	For

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Plan						
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
<hr/>						
Sarine Technologies Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
U77	CINS M8234Z109	04/25/2017		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	
<hr/>						
Shapir Engineering and Industry Ltd						
Ticker	Security ID:	Meeting Date		Meeting Status		
SPEN	CINS M8T68J105	11/15/2016		Voted		
Meeting Type		Country of Trade				
Annual		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Elect Harel Shapira	Mgmt	For	For	For	
4	Elect Israel Shapira	Mgmt	For	For	For	
5	Elect Gil Shapira	Mgmt	For	For	For	
6	Elect Chen Shapira	Mgmt	For	For	For	
7	Elect Erez Balaga	Mgmt	For	For	For	
8	Elect Yehuda Segev	Mgmt	For	For	For	
9	Elect Eynat Tsafrir	Mgmt	For	For	For	
10	Appointment of Auditor and Discussion of Fees	Mgmt	For	Abstain	Against	
11	Amendments to Compensation Policy	Mgmt	For	Against	Against	
<hr/>						
Shikun & Binui Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
SKBN	CINS M8391N105	02/12/2017		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Ofra Rahav and Approve Terms of Service	Mgmt	For	Against	Against	
<hr/>						
Shikun & Binui Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
SKBN	CINS M8391N105	03/28/2017		Voted		
Meeting Type		Country of Trade				
Special		Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Lily Ayalon and Approve Terms of Service	Mgmt	For	For	For	



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Shikun & Binui Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SKBN	CINS M8391N105	12/18/2016	Voted
Meeting Type	Country of Trade		
Ordinary	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Moshe Lahmany	Mgmt	For	For	For
4	Elect Efrat Peled	Mgmt	For	For	For
5	Elect Irit Izacson	Mgmt	For	For	For
6	Elect Nir Zichlinsky	Mgmt	For	For	For
7	Elect Ido Stern	Mgmt	For	For	For
8	Elect Tal Atsmon	Mgmt	For	For	For
9	Elect Shalom Simhon	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendments to Articles Re: D&O Indemnification	Mgmt	For	For	For

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Shufersal Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SAE	CINS M8411W101	05/15/2017	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Liability Insurance of Directors/Officers	Mgmt	For	For	For

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Shufersal Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SAE	CINS M8411W101	06/12/2017	Voted
Meeting Type	Country of Trade		
Annual	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor	Mgmt	For	For	For
4	Elect Israel Berman	Mgmt	For	For	For
5	Elect Isaac Idan	Mgmt	For	For	For
6	Elect Diana Elsztain Dan	Mgmt	For	For	For
7	Elect Ayelet Ben Ezer	Mgmt	For	For	For
8	Elect Mauricio Wior	Mgmt	For	For	For

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Shufersal Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
SAE	CINS M8411W101	12/26/2016	Voted
Meeting Type	Country of Trade		
Special	Israel		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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4 Indemnification of Directors/Officers Mgmt For For For

Silicom Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SILC	CUSIP M84116108	06/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Shaike Orbach	Mgmt	For	For	For
2	Grant of Options to Active Chair	Mgmt	For	Against	Against
3	Grant of Options to President and CEO	Mgmt	For	Against	Against
4	Declaration of Material Interest	Mgmt	For	Against	Against
5	Grant of RSUs to Active Chair	Mgmt	For	For	For
6	Grant of RSUs to President and CEO	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Sodastream International Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
SODA	CUSIP M9068E105	03/13/2017	Voted		
Meeting Type	Country of Trade				
Consent	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	Against	Against
2	Declaration of Material Interest	Mgmt	N/A	Against	N/A
3	Stock Options of Yehezkel Ofir	Mgmt	For	Against	Against
4	Declaration of Material Interest	Mgmt	N/A	Against	N/A
5	Stock Options of Avishai Abrahami	Mgmt	For	Against	Against
6	Declaration of Material Interest	Mgmt	N/A	Against	N/A
7	Stock Options of Torsten Koster	Mgmt	For	Against	Against
8	Declaration of Material Interest	Mgmt	N/A	Against	N/A

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Sodastream International Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SODA	CUSIP M9068E105	12/23/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Morris	Mgmt	For	For	For
2	Elect Yehezkel Ofir	Mgmt	For	For	For
3	Elect Richard Hunter	Mgmt	For	For	For
4	Elect Torsten Koster	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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SolarEdge Technologies Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SEDG	CUSIP 83417M104	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Tal Payne	Mgmt	For	For	For
2	Elect Marcel Gani	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Space Communication					
Ticker	Security ID:	Meeting Date	Meeting Status		
SCC	CINS M8693P105	04/03/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Extension of Management Agreement w/ Controlling Shareholder	Mgmt	For	For	For
3	Compensation Policy	Mgmt	For	For	For
4	Amend Satellite Services Agreement w/ Yes (Related Party)	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Strauss Group Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
STRS	CINS M8553H110	02/05/2017	Voted		
Meeting Type		Country of Trade			
Special		Israel			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Dalia Narkys	Mgmt	For	For	For

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Strauss Group Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Samer Haj Yehia	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Strauss Group Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Compensation Policy	Mgmt	For	For	For
4	Employment Terms of Chairman	Mgmt	For	Against	Against
5	Employment Terms of CEO	Mgmt	For	Against	Against
6	Indemnification of Adi Strauss	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect Ronit Haimovitz	Mgmt	For	For	For
10	Elect Akiva Mozes	Mgmt	For	For	For
11	Elect Galia Maor	Mgmt	For	For	For

SuperCom Ltd

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
2	Election of Directors (Slate)	Mgmt	For	Against	Against

Syneron Medical Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	1A. ARE YOU MERGER SUB, PARENT, OR A PERSON OR ENTITY, DIRECTLY OR INDIRECTLY, HOLDING AT LEAST 25% OF THE MEANS OF CONTROL OF MERGER SUB OR PARENT, OR ANYONE ACTING ON	Mgmt	N/A	Against	N/A

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BEHALF OF MERGER SUB,  
PARENT OR A PERSON OR  
ENTITY DESCRIBED IN  
THE PREVIOUS CLAUSE,  
INCLUDING ANY OF  
THEIR AFFILIATES (YOU  
MUST MARK THIS ITEM  
1A FOR YOUR VOTE TO  
BE COUNTED)? MARK FOR  
= YES OR AGAINST =  
NO.

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Syneron Medical Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ELOS	CUSIP M87245102	09/12/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Stephen J. Fanning	Mgmt	For	For	For
3	Elect Yaffa Krindel	Mgmt	For	For	For
4	Elect Michael J. Anghel	Mgmt	For	Against	Against
5	Declaration of Material Interest	Mgmt	N/A	Against	N/A
6	Elect Dan Suesskind	Mgmt	For	For	For
7	Declaration of Material Interest	Mgmt	N/A	Against	N/A
8	Compensation Policy	Mgmt	For	Against	Against
9	Declaration of Material Interest	Mgmt	N/A	Against	N/A
10	Equity Grants of Non-Executive Directors	Mgmt	For	For	For

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Taro Pharmaceutical Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TARO	CUSIP M8737E108	12/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Compensation Policy	Mgmt	For	Against	Against
2	Declaration of Material Interest	Mgmt	N/A	For	N/A
3	Elect Dilip S. Shanghvi	Mgmt	For	For	For
4	Elect Sudhir V. Valia	Mgmt	For	For	For
5	Elect James Kedrowski	Mgmt	For	For	For
6	Elect Dov Pekelman	Mgmt	For	For	For
7	Approve Remuneration for Director Shanghvi	Mgmt	For	For	For
8	Declaration of Material Interest	Mgmt	N/A	For	N/A
9	Approve Remuneration for Director	Mgmt	For	For	For

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10	Valia Declaration of Material Interest	Mgmt	N/A	For	N/A
11	Approve Remuneration for Director Pekelman	Mgmt	For	For	For
12	Elect Uday Baldota and Approve Remuneration	Mgmt	For	For	For
13	Declaration of Material Interest	Mgmt	N/A	For	N/A
14	Elect Linda Benshoshan	Mgmt	For	For	For
15	Elect Elhanan Streit	Mgmt	For	For	For
16	Declaration of Material Interest	Mgmt	N/A	For	N/A
17	Declaration of Material Interest	Mgmt	N/A	For	N/A
18	Elect Abhay Gandhi and Approve Remuneration	Mgmt	For	For	For
19	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Telit Communications Plc		Meeting Date		Meeting Status	
Ticker	Security ID:	04/26/2017		Voted	
TCM	CINS G87535103	Country of Trade			
Meeting Type		United Kingdom			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	Against	Against
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Yosi Fait	Mgmt	For	For	For
7	Elect Lars Reger	Mgmt	For	For	For
8	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
9	Scrip Dividend Alternative	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For

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The Azrieli Group		Meeting Date		Meeting Status	
Ticker	Security ID:	04/27/2017		Voted	
AZRG	CINS M1571Q105	Country of Trade			
Meeting Type					

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Annual Issue No.	Description	Israel Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Danna Azrieli	Mgmt	For	For	For
3	Elect Sharon Azrieli	Mgmt	For	For	For
4	Elect Naomi Azrieli	Mgmt	For	For	For
5	Elect Menachem Einan	Mgmt	For	Against	Against
6	Elect Joseph Ciechanover	Mgmt	For	For	For
7	Elect Tzipora Carmon	Mgmt	For	For	For
8	Elect Oran Dror	Mgmt	For	Against	Against
9	Appointment of Auditor	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Indemnification and Exemption of Controlling Directors	Mgmt	For	Against	Against
13	Indemnification and Exemption of Directors/Officers	Mgmt	For	Against	Against
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

The Azrieli Group

Ticker	Security ID:	Meeting Date	Meeting Status		
AZRG	CINS M1571Q105	08/09/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Menachem Einan	Mgmt	For	Against	Against
3	Elect Niv Ahituv	Mgmt	For	For	For
4	Elect Efraim Halevy	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For

The Azrieli Group

Ticker	Security ID:	Meeting Date	Meeting Status		
AZRG	CINS M1571Q105	10/06/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Compensation Policy	Mgmt	For	For	For
3	Amendments to Articles (D&O Liability Exemption)	Mgmt	For	For	For
4	Fee Increase of CEO	Mgmt	For	For	For
5	Extension of Chairman's Terms	Mgmt	For	For	For

The Phoenix Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOE	CINS M7918D145	06/20/2017	Voted		
Meeting Type	Country of Trade				
Mix	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Compensation Policy	Mgmt	For	For	For
5	Revised Employment Agreement with CEO	Mgmt	For	For	For

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The Phoenix Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOE	CINS M7918D145	11/03/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Elect Asaf Bartfeld	Mgmt	For	For	For
5	Elect Leora Pratt Levin	Mgmt	For	For	For
6	Elect Avraham Harel	Mgmt	For	For	For
7	Elect Omer Shachar	Mgmt	For	For	For
8	Elect Israel Kass	Mgmt	For	For	For
9	Elect Rivi Cohen as External Director	Mgmt	For	For	For
10	Elect Ronny Maliniak as External Director	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tower Semiconductor Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TSEM	CUSIP M87915274	06/29/2017	Voted		
Meeting Type	Country of Trade				
Consent	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Amir Elstein	Mgmt	For	For	For
2	Elect Kalman Kaufman	Mgmt	For	For	For
3	Elect Dana Gross	Mgmt	For	For	For
4	Elect Rami Guzman	Mgmt	For	For	For
5	Elect Yoav Chelouche	Mgmt	For	For	For
6	Elect Rony Ross	Mgmt	For	For	For
7	Elect Alex Kornhauser	Mgmt	For	For	For
8	Elect Ilan Flato	Mgmt	For	For	For
9	Elect Iris Avner	Mgmt	For	For	For
10	Elect Russell C Ellwanger	Mgmt	For	For	For
11	Appoint Amir Elstein as Chair	Mgmt	For	For	For
12	Amended Compensation Policy	Mgmt	For	For	For
13	Declaration of Material Interest	Mgmt	N/A	Against	N/A
14	Equity Grant of CEO	Mgmt	For	For	For
15	Declaration of	Mgmt	N/A	Against	N/A



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	Material Interest					
16	Directors' Fees	Mgmt	For	For	For	For
17	Equity Grant of Directors	Mgmt	For	For	For	For
18	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For

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Varonis Systems Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
VRNS	CUSIP 922280102	05/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Yakov Faitelson	Mgmt	For	For	For
1.2	Elect Ohad Korkus	Mgmt	For	For	For
1.3	Elect Thomas F. Mendoza	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Transaction of Other Business	Mgmt	For	Against	Against

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Verint Systems Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VRNT	CUSIP 92343X100	06/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Dan Bodner	Mgmt	For	For	For
1.2	Elect Victor DeMarines	Mgmt	For	For	For
1.3	Elect John Egan	Mgmt	For	For	For
1.4	Elect Penelope Herscher	Mgmt	For	For	For
1.5	Elect William Kurtz	Mgmt	For	For	For
1.6	Elect Richard Nottenburg	Mgmt	For	For	For
1.7	Elect Howard Safir	Mgmt	For	For	For
1.8	Elect Earl Shanks	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Amendment to the 2015 Long-Term Stock Incentive Plan	Mgmt	For	For	For

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Wix.Com Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
WIX	CUSIP M98068105	06/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Allon Bloch	Mgmt	For	For	For
2	Elect Erika Rottenberg	Mgmt	For	For	For
3	Compensation Policy	Mgmt	For	Against	Against
4	Confirmation of	Mgmt	For	Against	Against

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	Non-Controlling Shareholder				
5	Directors' Compensation Plan	Mgmt	For	Against	Against
6	Confirmation of Non-Controlling Shareholder	Mgmt	For	Against	Against
7	Increase Annual Equity Grant of Chair	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Wix.Com Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
WIX	CUSIP M98068105	09/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles Regarding External Directors	Mgmt	For	For	For
2	Elect Avishai Abrahami	Mgmt	For	For	For
3	Elect Giora Kaplan	Mgmt	For	For	For
4	Elect Mark Tluszc	Mgmt	For	For	For
5	Elect Ron Gutler	Mgmt	For	For	For
6	Elect Allon Bloch	Mgmt	For	For	For
7	Director Compensation	Mgmt	For	Against	Against
8	Double-Trigger Vesting of Erika Rottenberg's Stock Options	Mgmt	For	For	For
9	Double-Trigger Vesting of Norbert Becker's Stock Options	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

XLMedia Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
XLM	CINS G9828U107	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Chris Bell	Mgmt	For	For	For
3	Elect Richard B. Rosenberg	Mgmt	For	For	For
4	Elect Ory Weihs	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Authority to Set Auditor's Fees	Mgmt	For	For	For
7	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For

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8 Authority to Issue Mgmt For For For  
 Shares w/o Preemptive  
 Rights

Fund Name : VanEck Vectors Junior Gold Miners ETF

Alacer Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
ASR	CINS 010679108	04/28/2017	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Rodney P. Antal	Mgmt	For	For	For
3	Elect Thomas R. Bates, Jr.	Mgmt	For	For	For
4	Elect Edward C. Dowling, Jr.	Mgmt	For	For	For
5	Elect Richard P. Graff	Mgmt	For	For	For
6	Elect Anna Kolonchina	Mgmt	For	For	For
7	Elect Alan P. Krusi	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Approval of the 2017 Restricted Stock Unit Plan	Mgmt	For	For	For
10	Advisory Resolution on Executive Compensation	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CUSIP 011532108	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark J. Daniel	Mgmt	For	For	For
1.2	Elect Patrick D. Downey	Mgmt	For	For	For
1.3	Elect David Fleck	Mgmt	For	For	For
1.4	Elect David Gower	Mgmt	For	For	For
1.5	Elect Claire Kennedy	Mgmt	For	For	For
1.6	Elect John A. McCluskey	Mgmt	For	For	For
1.7	Elect Paul J. Murphy	Mgmt	For	For	For
1.8	Elect Ronald E. Smith	Mgmt	For	For	For
1.9	Elect Kenneth G. Stowe	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Argonaut Gold Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
AR	CINS 04016A101	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Peter C.	Mgmt	For	For	For

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	Dougherty					
3	Elect James E. Kofman	Mgmt	For	For	For	For
4	Elect Christopher R. Lattanzi	Mgmt	For	For	For	For
5	Elect Peter Mordaunt	Mgmt	For	For	For	For
6	Elect Dale C. Peniuk	Mgmt	For	For	For	For
7	Elect Audra Walsh	Mgmt	For	For	For	For
8	Elect Ian Atkinson	Mgmt	For	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For

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### Asanko Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
AKG	CUSIP 04341Y105	06/09/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Board Size	Mgmt	For
2.1	Elect Colin G. Steyn	Mgmt	For
2.2	Elect Peter Breese	Mgmt	For
2.3	Elect Shawn K. Wallace	Mgmt	For
2.4	Elect Gordon J. Fretwell	Mgmt	For
2.5	Elect Marcel de Groot	Mgmt	For
2.6	Elect Michael Price	Mgmt	For
2.7	Elect William Smart	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For
4	Amendment to Share Option Plan	Mgmt	For
5	Renewal of Share Option Plan	Mgmt	For

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### B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
BTO	CUSIP 11777Q209	06/16/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Board Size	Mgmt	For
2.1	Elect Clive T. Johnson	Mgmt	For
2.2	Elect Robert Cross	Mgmt	For
2.3	Elect Robert Gayton	Mgmt	For
2.4	Elect Jerry R. Korpan	Mgmt	For
2.5	Elect Bongani Mtshisi	Mgmt	For
2.6	Elect Kevin Bullock	Mgmt	For
2.7	Elect George Johnson	Mgmt	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For

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### Beadell Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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BDR	CINS Q1398U103	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Brant Hinze	Mgmt	For	For	For
4	Elect Nicole Adshead-Bell	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Equity Grant (NED Nicole Adshead-Bell)	Mgmt	For	Against	Against
7	Equity Grant (MD/CEO Simon Jackson)	Mgmt	For	For	For
8	Approve increase in NEDs' Fee Cap	Mgmt	For	For	For
9	Ratify Placement of Securities	Mgmt	For	For	For
10	Renew Proportional Takeover Provisions	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Centerra Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CG	CINS 152006102	05/02/2017	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Richard W. Connor	Mgmt	For	For	For
3	Elect Eduard Kubatov	Mgmt	For	For	For
4	Elect Nurlan Kyshtobaev	Mgmt	For	For	For
5	Elect Stephen A. Lang	Mgmt	For	For	For
6	Elect Michael Parrett	Mgmt	For	For	For
7	Elect Scott G. Perry	Mgmt	For	For	For
8	Elect Jacques Perron	Mgmt	For	For	For
9	Elect Sheryl K. Pressler	Mgmt	For	For	For
10	Elect Terry V. Rogers	Mgmt	For	For	For
11	Elect Bektur Sagynov	Mgmt	For	For	For
12	Elect Bruce V. Walter	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Amendment to the Restricted Share Unit Plan	Mgmt	For	For	For
15	Approval of the Employee Share Purchase Plan	Mgmt	For	For	For
16	Transaction of Other Business	Mgmt	For	Against	Against

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China Gold International Resources Corp. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
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CGG	CINS 16890P103	06/28/2017	Voted			
Meeting Type		Country of Trade				
Mix		Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Board Size	Mgmt	For	For	For	
3	Elect Xin Song	Mgmt	For	For	For	
4	Elect Bing Liu	Mgmt	For	For	For	
5	Elect Lianzhong Sun	Mgmt	For	For	For	
6	Elect Liangyou Jiang	Mgmt	For	For	For	
7	Elect Ian He	Mgmt	For	For	For	
8	Elect Yunfei Chen	Mgmt	For	For	For	
9	Elect Gregory Hall	Mgmt	For	For	For	
10	Elect John King Burns	Mgmt	For	For	For	
11	Elect Xiangdong Jiang	Mgmt	For	Against	Against	
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against	
16	Supplemental Loan Framework Agreement	Mgmt	For	For	For	
17	Supplemental Contract for Purchase and Sale of Dore	Mgmt	For	For	For	
18	Supplemental Product and Service Framework Agreement	Mgmt	For	For	For	
19	New Financial Services Agreement	Mgmt	For	Against	Against	
20	Transaction of Other Business	Mgmt	For	Against	Against	
21	Authority For Proxyholders to Vote on Amended Proposals	Mgmt	For	Against	Against	

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China Precious Metal Resources Holdings

Ticker	Security ID:	Meeting Date	Meeting Status			
1194	CINS G2158B104	07/20/2016	Voted			
Meeting Type		Country of Trade				
Special		Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Munsun Asset Management Acquisition	Mgmt	For	Against	Against	
4	Munsun Securities Acquisition	Mgmt	For	Against	Against	
5	Munsun Shenzhen Asset	Mgmt	For	Against	Against	

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Management  
Acquisition

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### China Precious Metal Resources Holdings

Ticker	Security ID:	Meeting Date	Meeting Status
1194	CINS G2158B104	09/19/2016	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Refreshment of Share Option Scheme	Mgmt	For
			Against
			Against
4	Change in Company Name	Mgmt	For
			For
5	Non-Voting Meeting Note	N/A	N/A
			N/A
6	Non-Voting Meeting Note	N/A	N/A
			N/A

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### Continental Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CNL	CINS 21146A108	06/05/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect Leon Teicher	Mgmt	For
			For
3	Elect Ari B. Sussman	Mgmt	For
			For
4	Elect Martin Carrizosa	Mgmt	For
			For
5	Elect James G. Gallagher	Mgmt	For
			For
6	Elect Claudia Jimenez	Mgmt	For
			For
7	Elect Paul J. Murphy	Mgmt	For
			For
8	Elect Christopher Sattler	Mgmt	For
			For
9	Elect Kenneth G. Thomas	Mgmt	For
			For
10	Elect Timothy A. Warman	Mgmt	For
			For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For

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### Dundee Precious Metals Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
DPM	CINS 265269209	05/04/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Elect R. Peter Gillin	Mgmt	For
			For
3	Elect Jonathan C. Goodman	Mgmt	For
			For
4	Elect Richard Howes	Mgmt	For
			For
5	Elect Jeremy Kinsman	Mgmt	For
			For
6	Elect Juanita Montalvo	Mgmt	For
			For
7	Elect Peter Nixon	Mgmt	For
			For
8	Elect Marie-Anne Tawil	Mgmt	For
			For
9	Elect Anthony (Tony) Walsh	Mgmt	For
			For
10	Elect Donald Young	Mgmt	For
			For
11	Appointment of Auditor	Mgmt	For
			For

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	and Authority to Set Fees				
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Endeavour Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EDV	CINS G3040R158	06/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Michael E. Beckett	Mgmt	For	For	For
3	Elect Ian D. Cockerill	Mgmt	For	For	For
4	Elect Olivier Colom	Mgmt	For	For	For
5	Elect Livia Mahler	Mgmt	For	For	For
6	Elect Wayne McManus	Mgmt	For	For	For
7	Elect Sebastien de Montessus	Mgmt	For	For	For
8	Elect Naguib Sawiris	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Approval of Performance Share Unit Plans	Mgmt	For	For	For

Endeavour Silver Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
EDR	CUSIP 29258Y103	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ricardo M. Campoy	Mgmt	For	For	For
1.2	Elect Bradford J. Cooke	Mgmt	For	For	For
1.3	Elect Geoffrey A. Handley	Mgmt	For	For	For
1.4	Elect Rex J. McLennan	Mgmt	For	For	For
1.5	Elect Kenneth Pickering	Mgmt	For	For	For
1.6	Elect Mario Szotlender	Mgmt	For	Withhold	Against
1.7	Elect Godfrey J. Walton	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

First Mining Finance Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
FF	CINS 320883101	06/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Keith Neumeyer	Mgmt	For	Against	Against
4	Elect Michael Bouchard	Mgmt	For	For	For
5	Elect Christopher	Mgmt	For	For	For



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	Osterman					
6	Elect Raymond Polman	Mgmt	For	Against	Against	
7	Elect David Shaw	Mgmt	For	Against	Against	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Stock Option Plan Renewal	Mgmt	For	For	For	

Gold Resource Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
GORO	CUSIP 38068T105	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bill M. Conrad	Mgmt	For	For	For
1.2	Elect Jason D. Reid	Mgmt	For	For	For
1.3	Elect Gary C. Huber	Mgmt	For	For	For
1.4	Elect Alex G. Morrison	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Ratification of Auditor	Mgmt	For	For	For

Golden Star Resources Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
GSC	CUSIP 38119T104	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Tim Baker	Mgmt	For	For	For
1.2	Elect Gilmour Clausen	Mgmt	For	For	For
1.3	Elect Samuel T. Coetzer	Mgmt	For	For	For
1.4	Elect Anu Dhir	Mgmt	For	For	For
1.5	Elect Robert E. Doyle	Mgmt	For	For	For
1.6	Elect Daniel Owiredo	Mgmt	For	For	For
1.7	Elect Craig J. Nelsen	Mgmt	For	For	For
1.8	Elect Mona Quartey	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Approval of the 2017 Performance and Restricted Share Unit Plan	Mgmt	For	For	For

Great Panther Silver Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GPR	CUSIP 39115V101	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For

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2.1	Elect Robert A. Archer	Mgmt	For	For	For
2.2	Elect Robert W. Garnett	Mgmt	For	For	For
2.3	Elect Kenneth W. Major	Mgmt	For	For	For
2.4	Elect John Jennings	Mgmt	For	For	For
2.5	Elect W. J. Mullin	Mgmt	For	For	For
2.6	Elect Elise Rees	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Approval of the Omnibus Incentive Plan	Mgmt	For	For	For

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### Guyana Goldfields Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GUY	CINS 403530108	05/02/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Jean-Pierre Chauvin	Mgmt	For	For	For
3	Elect Alan Ferry	Mgmt	For	For	For
4	Elect Daniel Noone	Mgmt	For	For	For
5	Elect Wendy Kei	Mgmt	For	For	For
6	Elect David Beatty	Mgmt	For	For	For
7	Elect Rene Marion	Mgmt	For	For	For
8	Elect Scott Caldwell	Mgmt	For	For	For
9	Elect J. Patrick Sheridan	Mgmt	For	For	For
10	Elect Michael Richings	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Deferred Share Unit Plan	Mgmt	For	For	For

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### Harmony Gold Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HAR	CUSIP 413216300	11/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Cathie Markus	Mgmt	For	For	For
2	Re-elect Karabo Nondumo	Mgmt	For	For	For
3	Re-elect Vishnu Pillay	Mgmt	For	Against	Against
4	Re-elect Andre Wilkens	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (John Wetton)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Fikile De Buck)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Simo Lushaba)	Mgmt	For	For	For
8	Elect Audit and Risk	Mgmt	For	For	For

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	Committee Member (Modise Motloba)					
9	Elect Audit and Risk Committee Member (Karabo Nondumo)	Mgmt	For	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For	For
11	Approve Remuneration Policy	Mgmt	For	For	For	For
12	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
13	Approve Amendments to 2006 Share Plan	Mgmt	For	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For	For

Highland Gold Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HGM	CINS G4472X101	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Duncan Baxter	Mgmt	For	For	For
4	Elect Valery Oyf	Mgmt	For	For	For
5	Elect John Mann	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Hochschild Mining Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
HOC	CINS G4611M107	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Graham Birch	Mgmt	For	For	For
4	Elect Enrico Bombieri	Mgmt	For	For	For
5	Elect Jorge Born	Mgmt	For	For	For
6	Elect Ignacio Bustamante	Mgmt	For	For	For
7	Elect Eduardo Hochschild	Mgmt	For	For	For
8	Elect Eileen A. Kamerick	Mgmt	For	For	For
9	Elect Michael Rawlinson	Mgmt	For	For	For
10	Elect Sanjay Sarma	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For

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12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

Hochschild Mining Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
HOC	CINS G4611M107	05/15/2017	Voted		
Meeting Type	Country of Trade				
Special	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Final Dividends	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

IAMGOLD Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
IMG	CUSIP 450913108	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John E. Caldwell	Mgmt	For	For	For
1.2	Elect Donald Charter	Mgmt	For	For	For
1.3	Elect Sybil Veenman	Mgmt	For	For	For
1.4	Elect Richard J. Hall	Mgmt	For	For	For
1.5	Elect Stephen J.J. Letwin	Mgmt	For	For	For
1.6	Elect Mahendra Naik	Mgmt	For	For	For
1.7	Elect Timothy R. Snider	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Independence Group NL

Ticker	Security ID:	Meeting Date	Meeting Status		
IGO	CINS Q48886107	11/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Geoffrey Clifford	Mgmt	For	For	For
3	Re-elect Peter Buck	Mgmt	For	For	For
4	REMUNERATION REPORT	Mgmt	For	For	For
5	Approval of the Employee Incentive Plan	Mgmt	For	For	For
6	Equity Grant (MD/CEO Peter Bradford)	Mgmt	For	For	For
7	Ratify Placement of Securities	Mgmt	For	For	For
8	Renew Proportional Takeover Provisions	Mgmt	For	For	For

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Kirkland Lake Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
KLG	CINS 49740P106	11/25/2016	Voted		
Meeting Type	Country of Trade				
Special	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Merger	Mgmt	For	For	For

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Kirkland Lake Gold Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
KL	CINS 49741E100	05/04/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Set the number of directors at 8	Mgmt	For	For	For
3	Elect Jonathan Gill	Mgmt	For	For	For
4	Elect Arnold Klassen	Mgmt	For	For	For
5	Elect Pamela Klessig	Mgmt	For	For	For
6	Elect Anthony Makuch	Mgmt	For	For	For
7	Elect Barry Olson	Mgmt	For	For	For
8	Elect Jeff Parr	Mgmt	For	For	For
9	Elect Eric Sprott	Mgmt	For	For	For
10	Elect Raymond Threlkeld	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Amendment to the Long term Incentive Plan	Mgmt	For	For	For
13	Amendment to the Deferred Share Unit Plan	Mgmt	For	For	For
14	Amendment to the Stock Option Plan	Mgmt	For	For	For

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Klondex Mines Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
KDX	CUSIP 498696103	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Rodney Cooper	Mgmt	For	For	For
1.2	Elect Mark J. Daniel	Mgmt	For	For	For
1.3	Elect James Haggarty	Mgmt	For	For	For
1.4	Elect Richard J. Hall	Mgmt	For	For	For
1.5	Elect Paul Huet	Mgmt	For	For	For
1.6	Elect William Matlack	Mgmt	For	For	For
1.7	Elect Charles Oliver	Mgmt	For	For	For
1.8	Elect Blair Schultz	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

MAG Silver Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
MAG	CUSIP 55903Q104	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Peter D. Barnes	Mgmt	For	For	For
1.2	Elect Richard P. Clark	Mgmt	For	For	For
1.3	Elect Richard M. Colterjohn	Mgmt	For	For	For
1.4	Elect Jill D. Leversage	Mgmt	For	For	For
1.5	Elect Daniel T. MacInnis	Mgmt	For	For	For
1.6	Elect George Paspalas	Mgmt	For	For	For
1.7	Elect Jonathan A. Rubenstein	Mgmt	For	For	For
1.8	Elect Derek C. White	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Amendment to the Stock Option Plan	Mgmt	For	For	For
4	Amendment to Share Unit Plan	Mgmt	For	For	For
5	Amendment to Deferred Share Unit Plan	Mgmt	For	For	For

McEwen Mining Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MUX	CUSIP 58039P107	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect Robert R. McEwen	Mgmt	For	For	For
1.2	Elect Allen Ambrose	Mgmt	For	For	For
1.3	Elect Michele L. Ashby	Mgmt	For	For	For
1.4	Elect Leanne M. Baker	Mgmt	For	For	For
1.5	Elect Richard W. Brissenden	Mgmt	For	For	For
1.6	Elect Gregory P. Fauquier	Mgmt	For	For	For
1.7	Elect Donald R. M. Quick	Mgmt	For	For	For
1.8	Elect Michael L. Stein	Mgmt	For	For	For
1.9	Elect Robin E. Dunbar	Mgmt	For	For	For
2	Issuance of Shares to Robert McEwan in Connection with Lexam Acquisition	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Munsun Capital Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1194	CINS G63356102	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect ZHANG Shuguang	Mgmt	For	For	For
5	Elect XIAO Rong Ge	Mgmt	For	Against	Against
6	Elect WU Tai Cheung	Mgmt	For	For	For
7	Elect YIN Chenggang	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
13	Increase in Authorized Capital	Mgmt	For	For	For
14	Ratification of Board Acts	Mgmt	For	For	For

Newmarket Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NMI	CINS 65158L106	11/25/2016	Voted		
Meeting Type	Country of Trade				
Special	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Merger	Mgmt	For	For	For
3	Company Name Change	Mgmt	For	For	For
4	Share Consolidation	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For

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6	Elect Anthony Makuch	Mgmt	For	For	For
7	Elect Maryse Belanger	Mgmt	For	For	For
8	Elect Jonathan Gill	Mgmt	For	For	For
9	Elect Arnold Klassen	Mgmt	For	For	For
10	Elect Pamela J. Klessig	Mgmt	For	For	For
11	Elect Barry P. Olson	Mgmt	For	For	For
12	Elect Jeffrey S. Parr	Mgmt	For	For	For
13	Elect Eric S. Sprott	Mgmt	For	For	For
14	Elect Raymond Threlkeld	Mgmt	For	For	For
15	Authority to Increase Board Size	Mgmt	For	For	For
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Novagold Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NG	CUSIP 66987E206	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sharon E. Dowdall	Mgmt	For	For	For
2	Elect Marc Faber	Mgmt	For	For	For
3	Elect Thomas Kaplan	Mgmt	For	For	For
4	Elect Gregory Lang	Mgmt	For	For	For
5	Elect Gillyeard Leathley	Mgmt	For	For	For
6	Elect Igor Levental	Mgmt	For	For	For
7	Elect Kalidas V. Madhavpeddi	Mgmt	For	For	For
8	Elect Gerald J. McConnell	Mgmt	For	For	For
9	Elect Clynton Nauman	Mgmt	For	For	For
10	Elect Rick Van Nieuwenhuyse	Mgmt	For	Abstain	Against
11	Elect Anthony (Tony) Walsh	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Re-approval of the Stock Award Plan	Mgmt	For	For	For
15	Renewal of 2009 Performance Share Unit Plan	Mgmt	For	For	For
16	Renewal of Deferred Share Unit Plan	Mgmt	For	For	For

Osisko Gold Royalties Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
OR	CUSIP 68827L101	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1.1	Elect Francoise Bertrand	Mgmt	For	For	For
1.2	Elect Victor H. Bradley	Mgmt	For	For	For
1.3	Elect John Burzynski	Mgmt	For	For	For
1.4	Elect Christopher C. Curfman	Mgmt	For	For	For
1.5	Elect Joanne Ferstman	Mgmt	For	For	For
1.6	Elect Andre Gaumond	Mgmt	For	For	For
1.7	Elect Pierre Labbe	Mgmt	For	For	For
1.8	Elect Charles E. Page	Mgmt	For	For	For
1.9	Elect Jacques Perron	Mgmt	For	For	For
1.10	Elect Sean Roosen	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Stock Option Plan Renewal	Mgmt	For	For	For
4	Amendment to Shareholder Rights Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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### Patagonia Gold plc

Ticker	Security ID:	Meeting Date	Meeting Status		
PGD	CINS G6938H109	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Manuel de Prado	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
5	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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### Premier Gold Mines Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PG	CINS 74051D104	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect John A. Begeman	Mgmt	For	For	For
3	Elect Ewan S. Downie	Mgmt	For	For	For
4	Elect Claude Lemasson	Mgmt	For	For	For
5	Elect Ronald Little	Mgmt	For	For	For
6	Elect Anthony Makuch	Mgmt	For	Against	Against
7	Elect John Seaman	Mgmt	For	For	For
8	Elect Michael Vitton	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Pretium Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PVG	CUSIP 74139C102	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Robert Quartermain	Mgmt	For	For	For
2.2	Elect C. Noel Dunn	Mgmt	For	For	For
2.3	Elect Joseph J. Ovsenek	Mgmt	For	For	For
2.4	Elect George Paspalas	Mgmt	For	For	For
2.5	Elect Peter Birkey	Mgmt	For	For	For
2.6	Elect Shaoyang Shen	Mgmt	For	For	For
2.7	Elect Nicole S. Adshead-Bell	Mgmt	For	For	For
2.8	Elect David Smith	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Primer Mining Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
P	CUSIP 74164W106	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wade Nesmith	Mgmt	For	For	For
1.2	Elect Joseph Conway	Mgmt	For	For	For
1.3	Elect David Demers	Mgmt	For	For	For
1.4	Elect Grant Edey	Mgmt	For	For	For
1.5	Elect Patricia A. Fortier	Mgmt	For	For	For
1.6	Elect Robert Quartermain	Mgmt	For	For	For
1.7	Elect Michael Riley	Mgmt	For	For	For
1.8	Elect Brad Marchant	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Regis Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRL	CINS Q8059N120	11/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Paul Thomas	Mgmt	For	For	For
4	Elect James Mactier	Mgmt	For	For	For
5	Re-elect Donald Mark Okeby	Mgmt	For	For	For

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6	Approve Executive Incentive Plan	Mgmt	For	For	For
7	Equity Grant (Executive Chairman Mark Clark)	Mgmt	For	For	For
8	Equity Grant (COO Paul Thomas)	Mgmt	For	For	For

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Resolute Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RSG	CINS Q81068100	11/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Peter E. Huston	Mgmt	For	For	For
4	Re-elect Marthinus Johan Botha	Mgmt	For	For	For
5	Renew Performance Rights Plan	Mgmt	For	For	For
6	Performance Rights Grant (MD/CEO John Welborn)	Mgmt	For	For	For
7	Performance Rights Grant- Special Issue (MD/CEO John Welborn)	Mgmt	For	For	For
8	Ratify Placement of Securities	Mgmt	For	For	For
9	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

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Richmont Mines Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RIC	CUSIP 76547T106	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Rene Marion	Mgmt	For	For	For
1.2	Elect Renaud Adams	Mgmt	For	For	For
1.3	Elect Elaine Ellingham	Mgmt	For	For	For
1.4	Elect Michael Pesner	Mgmt	For	For	For
1.5	Elect Peter Barnes	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Approval of new Shareholder Rights Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Sandstorm Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SSL	CUSIP 80013R206	06/02/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Nolan Watson	Mgmt	For	For	For
2.2	Elect David Awram	Mgmt	For	For	For
2.3	Elect David De Witt	Mgmt	For	For	For
2.4	Elect Andrew T. Swarthout	Mgmt	For	Withhold	Against
2.5	Elect John P.A. Budreski	Mgmt	For	Withhold	Against
2.6	Elect Mary L. Little	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Transaction of Other Business	Mgmt	For	Against	Against

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Saracen Mineral Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
SAR	CINS Q8309T109	11/30/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Martin Reed	Mgmt	For	Against	Against
3	Remuneration Report	Mgmt	For	For	For
4	Renew Performance Rights Plan	Mgmt	For	For	For
5	Equity Grant (MD Raleigh Finlayson)	Mgmt	For	For	For
6	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

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Seabridge Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
SEA	CUSIP 811916105	06/27/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect A. Frederick Banfield	Mgmt	For	Withhold	Against
2.2	Elect Rudi P. Fronk	Mgmt	For	For	For
2.3	Elect Eliseo Gonzalez-Urien	Mgmt	For	For	For
2.4	Elect Richard C. Kraus	Mgmt	For	For	For
2.5	Elect Jay Layman	Mgmt	For	For	For
2.6	Elect John Sabine	Mgmt	For	For	For
2.7	Elect Gary Sugar	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's	Mgmt	For	For	For

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5	Fees Option Grants to Executive and Non-Executive Directors	Mgmt	For	For	For
6	Transaction of Other Business	Mgmt	For	Against	Against

SEMAFO Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SMF	CINS 816922108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Terence F. Bowles	Mgmt	For	For	For
3	Elect Benoit Desormeaux	Mgmt	For	For	For
4	Elect Flore Konan	Mgmt	For	For	For
5	Elect Jean Lamarre	Mgmt	For	For	For
6	Elect John LeBoutillier	Mgmt	For	For	For
7	Elect Gilles Masson	Mgmt	For	For	For
8	Elect Lawrence I. McBrearty	Mgmt	For	For	For
9	Elect Tertius Zongo	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Amendment to Shareholder Rights Plan	Mgmt	For	For	For
12	Advance Notice Provision	Mgmt	For	For	For
13	Advisory Resolution on Approach to Executive Compensation	Mgmt	For	For	For

Silver Standard Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SSO	CUSIP 82823L106	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect A.E. Michael Anglin	Mgmt	For	For	For
2.2	Elect Paul Benson	Mgmt	For	For	For
2.3	Elect Brian R. Booth	Mgmt	For	For	For
2.4	Elect Gustavo A. Herrero	Mgmt	For	For	For
2.5	Elect Beverlee F. Park	Mgmt	For	For	For
2.6	Elect Richard D. Paterson	Mgmt	For	For	For
2.7	Elect Steven P. Reid	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Approval of 2017 Share	Mgmt	For	For	For

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Compensation Plan					
6	Name Change Resolution	Mgmt	For	For	For
<hr/>					
Silvercorp Metals Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SVM	CINS 82835P103	09/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Rui Feng	Mgmt	For	For	For
4	Elect S. Paul Simpson	Mgmt	For	For	For
5	Elect David Kong	Mgmt	For	For	For
6	Elect Yikang Liu	Mgmt	For	For	For
7	Elect Malcolm J.A. Swallow	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Reapproval of Stock Option Plan	Mgmt	For	For	For
<hr/>					
St Barbara Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
SBM	CINS Q8744Q173	11/30/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect David Moroney	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Equity Grant (MD/CEO Bob Vassie)	Mgmt	For	For	For
<hr/>					
Sulliden Mining Capital Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
SMC	CINS 865125108	01/13/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Stan Bharti	Mgmt	For	Abstain	Against
3	Elect Justin Reid	Mgmt	For	Abstain	Against
4	Elect Diane Lai	Mgmt	For	Abstain	Against
5	Elect Pierre Pettigrew	Mgmt	For	Abstain	Against
6	Elect Bruce Humphrey	Mgmt	For	Abstain	Against
7	Elect Peter Tagliamonte	Mgmt	For	Abstain	Against
8	Elect William Clarke	Mgmt	For	Abstain	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
10	Stock Option Plan Renewal	Mgmt	For	Against	Against

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Teranga Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TGZ	CINS 880797105	05/02/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Alan R. Hill	Mgmt	For	For	For
3	Elect Richard S. Young	Mgmt	For	For	For
4	Elect Christopher R. Lattanzi	Mgmt	For	For	For
5	Elect Jendayi E. Frazer	Mgmt	For	For	For
6	Elect Edward Goldenberg	Mgmt	For	Against	Against
7	Elect David J. Mimran	Mgmt	For	For	For
8	Elect Alan R. Thomas	Mgmt	For	For	For
9	Elect Frank D. Wheatley	Mgmt	For	For	For
10	Elect William J. Biggar	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Amendment to the Stock Option Plan	Mgmt	For	For	For
13	Share Consolidation	Mgmt	For	For	For

Torex Gold Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TXG	CINS 891054603	06/21/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect A. Terrance MacGibbon	Mgmt	For	For	For
3	Elect Andrew Adams	Mgmt	For	For	For
4	Elect James Crombie	Mgmt	For	For	For
5	Elect Frank L. Davis	Mgmt	For	For	For
6	Elect David Fennell	Mgmt	For	For	For
7	Elect Michael Murphy	Mgmt	For	For	For
8	Elect William M. Shaver	Mgmt	For	For	For
9	Elect Elizabeth A. Wademan	Mgmt	For	For	For
10	Elect Fred Stanford	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Renewal of Shareholder Rights Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Wesdome Gold Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
WDO	CINS 95083R100	06/21/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Duncan Middlemiss	Mgmt	For	For	For
3	Elect Nadine Miller	Mgmt	For	For	For
4	Elect Charles Main	Mgmt	For	For	For
5	Elect Charles Page	Mgmt	For	For	For
6	Elect Warwick Morley-Jepson	Mgmt	For	For	For
7	Elect Rolly Uloth	Mgmt	For	For	For
8	Elect Bill Washington	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Approval of the 2017 Omnibus Plan	Mgmt	For	For	For

Wesdome Gold Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
WDO	CUSIP 95083R100	06/21/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Duncan Middlemiss	Mgmt	For	For	For
3	Elect Nadine Miller	Mgmt	For	For	For
4	Elect Charles Main	Mgmt	For	For	For
5	Elect Charles Page	Mgmt	For	For	For
6	Elect Warwick Morley-Jepson	Mgmt	For	For	For
7	Elect Rolly Uloth	Mgmt	For	For	For
8	Elect Bill Washington	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Approval of the 2017 Omnibus Plan	Mgmt	For	For	For

Fund Name : VanEck Vectors Morningstar International Moat ETF

Airbus Group NV

Ticker	Security ID:	Meeting Date	Meeting Status		
AIR	CINS N0280E105	04/12/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Netherlands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	TNA	N/A
2	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
3	Ratification of Non-Executive Directors' Acts	Mgmt	For	TNA	N/A
4	Ratification of Executive Directors' Acts	Mgmt	For	TNA	N/A
5	Appointment of Auditor	Mgmt	For	TNA	N/A
6	Elect Denis Ranque to the Board of Directors	Mgmt	For	TNA	N/A
7	Elect Ralph D. Crosby,	Mgmt	For	TNA	N/A



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	Jr. to the Board of Directors					
8	Elect Hermann-Josef Lamberti to the Board of Directors	Mgmt	For	TNA	N/A	
9	Elect Lord Paul Drayson to the Board of Directors	Mgmt	For	TNA	N/A	
10	Amendment to Articles of Authority to Issue Shares w/ or w/o Preemptive Rights (Stock Plans)	Mgmt	For	TNA	N/A	
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A	
12	Authority to Repurchase Shares	Mgmt	For	TNA	N/A	
13	Authority to Repurchase Shares	Mgmt	For	TNA	N/A	
14	Cancellation of Shares	Mgmt	For	TNA	N/A	
15	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	

AMP Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMP	CINS Q0344G101	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Catherine M. Brenner	Mgmt	For	For	For
3	Re-elect Patricia E. Akopiantz	Mgmt	For	For	For
4	Re-elect Trevor Matthews	Mgmt	For	For	For
5	Elect Geoff Roberts	Mgmt	For	For	For
6	Elect Peter Varghese	Mgmt	For	For	For
7	Elect Michael (Mike) J. Wilkins	Mgmt	For	For	For
8	Remuneration Report	Mgmt	For	For	For
9	Equity Grant (MD/CEO Craig Meller)	Mgmt	For	For	For

Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y0698A107	06/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For

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6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 Investment Budget	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect CHEN Siqing	Mgmt	For	For	For
10	Elect ZHANG Xiangdong	Mgmt	For	For	For
11	Elect XIAO Lihong	Mgmt	For	For	For
12	Elect WANG Xiaoya	Mgmt	For	For	For
13	Elect ZHAO Jie	Mgmt	For	For	For
14	Authority to Issue Bonds	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For

Bank of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3988	CINS Y0698A107	11/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect WANG Xiquan as Supervisor	Mgmt	For	Against	Against
3	Elect REN Deqi as Director	Mgmt	For	For	For
4	Elect GAO Yingxin as Director	Mgmt	For	For	For
5	Elect Angela Chao as Director	Mgmt	For	For	For
6	Directors' and Supervisor Remuneration Distribution Plan	Mgmt	For	For	For
7	Establishment of Charity Foundation	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

Bank of Montreal

Ticker	Security ID:	Meeting Date	Meeting Status		
BMO	CINS 063671101	04/04/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect Janice M. Babiak	Mgmt	For	For	For
4	Elect Sophie Brochu	Mgmt	For	For	For
5	Elect George A. Cope	Mgmt	For	For	For
6	Elect William A. Downe	Mgmt	For	For	For
7	Elect Christine A. Edwards	Mgmt	For	For	For
8	Elect Martin S. Eichenbaum	Mgmt	For	For	For
9	Elect Ronald H. Farmer	Mgmt	For	For	For
10	Elect Eric R. La Fleche	Mgmt	For	For	For
11	Elect Linda Huber	Mgmt	For	For	For
12	Elect Lorraine	Mgmt	For	For	For

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	Mitchelmore					
13	Elect Philip S. Orsino	Mgmt	For	For	For	For
14	Elect J. Robert S. Prichard	Mgmt	For	For	For	For
15	Elect Don M. Wilson III	Mgmt	For	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For	For
17	Directors' Fees	Mgmt	For	For	For	For
18	Approve Maximum Variable Pay Ratio for Certain European Employees	Mgmt	For	For	For	For
19	Advisory vote on Executive Compensation	Mgmt	For	For	For	For

Bayer AG

Ticker	Security ID:	Meeting Date	Meeting Status		
BAYN	CINS D0712D163	04/28/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Presentation of Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Elect Werner Wenning	Mgmt	For	TNA	N/A
9	Elect Paul Achleitner	Mgmt	For	TNA	N/A
10	Elect Norbert W. Bischofberger	Mgmt	For	TNA	N/A
11	Elect Thomas Ebeling	Mgmt	For	TNA	N/A
12	Elect Colleen A. Goggins	Mgmt	For	TNA	N/A
13	Elect Klaus Sturany	Mgmt	For	TNA	N/A
14	Supervisory Board Members' Fees	Mgmt	For	TNA	N/A
15	Approval of Intra-company Control Agreement with Bayer CropScience Aktiengesellschaft	Mgmt	For	TNA	N/A
16	Appointment of Auditor	Mgmt	For	TNA	N/A
17	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
18	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Bayerische Motoren Werke AG (BMW)

Ticker	Security ID:	Meeting Date	Meeting Status		
BMW	CINS D12096109	05/11/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Elect Heinrich Hiesinger to the Supervisory Board	Mgmt	For	TNA	N/A

Beijing Enterprises Holdings

Ticker	Security ID:	Meeting Date	Meeting Status		
0392	CINS Y07702122	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect ZHOU Si	Mgmt	For	Against	Against
6	Elect LI Fucheng	Mgmt	For	Against	Against
7	Elect E Meng	Mgmt	For	For	For
8	Elect JIANG Xinhao	Mgmt	For	For	For
9	Elect LAM Hoi Ham	Mgmt	For	Against	Against
10	Elect MA She	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Blackmores Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BKL	CINS Q15790100	10/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Stephen Chapman	Mgmt	For	Against	Against

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4	Approve Executive Share Plan	Mgmt	For	For	For
5	Equity Grant (CEO Christine Holgate)	Mgmt	For	For	For

Bureau Veritas SA

Ticker	Security ID:	Meeting Date	Meeting Status
BVI	CINS F96888114	05/16/2017	Voted
Meeting Type		Country of Trade	
Mix		France	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports; Non-Tax Deductibles Expenses	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Severance Agreements for Didier Michaud-Daniel, CEO	Mgmt	For	For	For
10	Elect Frederic Lemoine	Mgmt	For	For	For
11	Elect Stephane Bacquaert	Mgmt	For	For	For
12	Elect Ieda Gomes Yell	Mgmt	For	For	For
13	Elect Jean-Michel Ropert	Mgmt	For	For	For
14	Elect Lucia Sinapi-Thomas	Mgmt	For	Against	Against
15	Elect Ana Giros Calpe	Mgmt	For	For	For
16	Directors' Fees	Mgmt	For	For	For
17	Remuneration of Didier Michaud-Daniel, CEO	Mgmt	For	For	For
18	Remuneration Policy (Chair, until March 8, 2017)	Mgmt	For	For	For
19	Remuneration Policy (CHAIR, from March 8, 2017)	Mgmt	For	For	For
20	Remuneration Policy (CEO)	Mgmt	For	For	For
21	Relocation of Corporate Headquarters	Mgmt	For	For	For
22	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
23	Authority to Issue	Mgmt	For	For	For

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	Shares w/ Preemptive Rights				
24	Greenshoe	Mgmt	For	For	For
25	Employee Stock Purchase Plan	Mgmt	For	For	For
26	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
27	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	Against	Against
28	Authority to Increase Capital in Case of Exchange Offer	Mgmt	For	Against	Against
29	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
30	Global Ceiling on Capital Increases	Mgmt	For	For	For
31	Authorisation of Legal Formalities	Mgmt	For	For	For

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Canadian Imperial Bank Of Commerce

Ticker	Security ID:	Meeting Date	Meeting Status
CM	CINS 136069101	04/06/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada	Issue No.	Description
Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	N/A	N/A	N/A
2	Mgmt	For	For
3	Mgmt	For	For
4	Mgmt	For	For
5	Mgmt	For	For
6	Mgmt	For	For
7	Mgmt	For	For
8	Mgmt	For	For
9	Mgmt	For	For
10	Mgmt	For	For
11	Mgmt	For	For
12	Mgmt	For	For
13	Mgmt	For	For
14	Mgmt	For	For
15	Mgmt	For	For
16	Mgmt	For	For
17	Mgmt	For	For
18	Mgmt	For	For
19	Mgmt	For	For
20	ShrHoldr	Against	Against

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CapitaLand Commercial Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
C61U	CINS Y1091N100	04/18/2017		Voted	
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Authority to Issue Units w/ or w/o Preemptive Rights	Mgmt	For	For	For
4	Authority to Repurchase Units	Mgmt	For	For	For

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CapitaLand Commercial Trust					
Ticker	Security ID:	Meeting Date		Meeting Status	
C61U	CINS Y1091N100	07/13/2016		Voted	
Meeting Type		Country of Trade			
Special		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Acquisition	Mgmt	For	For	For

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Capitaland Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
C31	CINS Y10923103	04/24/2017		Voted	
Meeting Type		Country of Trade			
Annual		Singapore			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Allocation of Cash and Equity-Based Directors' Fees	Mgmt	For	For	For
4	Elect Euleen GOH Yiu Kiang	Mgmt	For	For	For
5	Elect Phillip Nalliah Pillai	Mgmt	For	For	For
6	Elect MAH Chee Kheong	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
9	Authority to Grant Awards and Issue Shares under Equity Incentive Plans	Mgmt	For	Against	Against
10	Authority to	Mgmt	For	For	For

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Repurchase and  
Reissue  
Shares

CapitaLand Mall Trust Management Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
C38U	CINS Y1100L160	04/13/2017	Voted			
Meeting Type	Country of Trade					
Annual	Singapore					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
3	Authority to Issue Units w/ or w/o Preemptive Rights	Mgmt	For	For	For	
4	Authority to Repurchase Shares	Mgmt	For	For	For	
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
Carnival plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
CCL	CINS G19081101	04/05/2017	Voted			
Meeting Type	Country of Trade					
Annual	United Kingdom					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Micky Arison	Mgmt	For	For	For	
2	Elect Sir Jonathon Band	Mgmt	For	For	For	
3	Elect Helen Deeble	Mgmt	For	For	For	
4	Elect Arnold W. Donald	Mgmt	For	For	For	
5	Elect Richard J. Glasier	Mgmt	For	For	For	
6	Elect Debra J. Kelly-Ennis	Mgmt	For	For	For	
7	Elect Sir John Parker	Mgmt	For	For	For	
8	Elect Stuart Subotnick	Mgmt	For	For	For	
9	Elect Laura A. Weil	Mgmt	For	For	For	
10	Elect Randall J. Weisenburger	Mgmt	For	For	For	
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
13	Advisory vote on the frequency of future advisory votes on executive compensation: please vote on this resolution to approve 1 year	Mgmt	For	For	For	
14	Advisory vote on the frequency of future advisory votes on executive compensation: please	Mgmt	For	N/A	N/A	



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	vote on this resolution to approve 2 years					
15	Advisory vote on the frequency of future advisory votes on executive compensation: please vote on this resolution to approve 3 years	Mgmt	For	N/A	N/A	
16	Advisory vote on the frequency of future advisory votes on executive compensation: please vote on this resolution to approve abstain	Mgmt	For	N/A	N/A	
17	Approval of Directors' Remuneration Report	Mgmt	For	For	For	
18	Approval of Directors' Remuneration Policy	Mgmt	For	For	For	
19	Appointment of Auditor	Mgmt	For	For	For	
20	Authority to Set Auditor's Fees	Mgmt	For	For	For	
21	Accounts and Reports	Mgmt	For	For	For	
22	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
23	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
24	Authority to Repurchase Shares	Mgmt	For	For	For	
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Cheung Kong Property Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1113	CINS G2103F101	03/14/2017	Voted		
Meeting Type	Country of Trade				
Special	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Joint Venture	Mgmt	For	For	For

Cheung Kong Property Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1113	CINS G2103F101	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Victor LI Tzar Kuoi	Mgmt	For	For	For
6	Elect KAM Hing Lam	Mgmt	For	For	For
7	Elect Davy CHUNG Sun Keung	Mgmt	For	For	For
8	Elect Henry CHEONG Ying Chew	Mgmt	For	Against	Against
9	Elect Colin S. Russel	Mgmt	For	For	For
10	Elect Donald J. Roberts	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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China Construction Bank Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0939	CINS Y1397N101	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 Fixed Asset Investment Plan	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Supervisors' Fees	Mgmt	For	For	For
10	Elect Malcolm C. McCarthy as Director	Mgmt	For	For	For
11	Elect FENG Bing as Director	Mgmt	For	For	For
12	Elect ZHU Hailin as Director	Mgmt	For	For	For
13	Elect WU Min as Director	Mgmt	For	For	For
14	Elect ZHANG Qi as Director	Mgmt	For	For	For
15	Elect GUO You as Supervisor	Mgmt	For	For	For
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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17	Authority to Issue Debt Instruments	Mgmt	For	For	For
18	Amendment to Articles	Mgmt	For	For	For
19	Amendments to Rules of Procedures for General Meeting	Mgmt	For	For	For
20	Amendments to Procedural Rules Regarding the Board	Mgmt	For	For	For
21	Amendments to Procedural Rules Regarding Supervisory Board	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Mobile Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0941	CINS Y14965100	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect DONG Xin	Mgmt	For	For	For
6	Elect Frank WONG Kwong Shing	Mgmt	For	For	For
7	Elect Moses CHENG Mo Chi	Mgmt	For	Against	Against
8	Elect Paul CHOW Man Yiu	Mgmt	For	For	For
9	Elect Stephen YIU Kin Wah	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

China Telecom Corporation Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0728	CINS Y1505D102	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Elect YANG Jie	Mgmt	For	For	For	
7	Elect YANG Xiaowei	Mgmt	For	For	For	
8	Elect KE Ruiwen	Mgmt	For	For	For	
9	Elect SUN Kangmin	Mgmt	For	For	For	
10	Elect ZHEN Caiji	Mgmt	For	For	For	
11	Elect GAO Tongqing	Mgmt	For	For	For	
12	Elect CHEN Zhongyue	Mgmt	For	For	For	
13	Elect CHEN Shengguang	Mgmt	For	For	For	
14	Elect Aloysius TSE Hau Yin	Mgmt	For	Against	Against	
15	Elect Laura M.L. Cha	Mgmt	For	Against	Against	
16	Elect XU Erming	Mgmt	For	Against	Against	
17	Elect WANG Hsuehming	Mgmt	For	Against	Against	
18	Elect SUI Yixin	Mgmt	For	For	For	
19	Elect HU Jing	Mgmt	For	Against	Against	
20	Elect YE Zhong	Mgmt	For	Against	Against	
21	Amendments to Article 1 - License Number	Mgmt	For	For	For	
22	Amendments to Article 13 - Scope of Business	Mgmt	For	For	For	
23	Ratification of Board Acts: Amendments to Articles	Mgmt	For	For	For	
24	Authority to Issue Debentures	Mgmt	For	For	For	
25	Ratification of Board Acts: Debentures	Mgmt	For	For	For	
26	Debentures Registration	Mgmt	For	For	For	
27	Authority to Issue Bonds	Mgmt	For	For	For	
28	Ratification of Board Acts: Bonds	Mgmt	For	For	For	
29	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
30	Increase in Registered Capital	Mgmt	For	Against	Against	

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Commonwealth Bank of Australia

Ticker	Security ID:	Meeting Date	Meeting Status		
CBA	CINS Q26915100	11/09/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	Australia				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Shirish Apte	Mgmt	For	For	For
3	Re-elect David Higgins	Mgmt	For	For	For
4	Re-elect Brian J. Long	Mgmt	For	For	For
5	Elect Catherine Livingstone	Mgmt	For	For	For
6	Elect Mary Padbury	Mgmt	For	For	For
7	Remuneration Report	Mgmt	For	For	For
8	Equity Grant (MD/CEO)	Mgmt	For	For	For

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Computershare Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
CPU	CINS Q2721E105	11/09/2016		Voted		
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Re-elect Les Owen	Mgmt	For	For	For	
3	Re-elect Simon Jones	Mgmt	For	For	For	
4	REMUNERATION REPORT	Mgmt	For	For	For	
5	Equity Grant (CEO Stuart Irving)	Mgmt	For	For	For	

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Crown Resorts Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
CWN	CINS Q3014T106	10/20/2016		Voted		
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Re-elect John H. Alexander	Mgmt	For	For	For	
3	Re-elect Helen A. Coonan	Mgmt	For	For	For	
4	Re-elect Geoff J. Dixon	Mgmt	For	For	For	
5	Re-elect John S. Horvath	Mgmt	For	For	For	
6	REMUNERATION REPORT	Mgmt	For	For	For	
7	Approve Increase in NEDs' Fee Cap	Mgmt	N/A	For	N/A	

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CSL Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
CSL	CINS Q3018U109	10/12/2016		Voted		
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Re-elect Marie McDonald	Mgmt	For	For	For	
3	Elect Megan Clark	Mgmt	For	For	For	
4	Elect Tadataka Yamada	Mgmt	For	For	For	
5	Remuneration Report	Mgmt	For	Against	Against	
6	Equity Grant (MD/CEO Paul Perreault)	Mgmt	For	Against	Against	
7	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For	

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Danone						
Ticker	Security ID:	Meeting Date		Meeting Status		
BN	CINS F12033134	04/27/2017		Voted		
Meeting Type		Country of Trade				
Mix		France				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits	Mgmt	For	For	For
8	Scrip Dividend	Mgmt	For	For	For
9	Elect Gaelle Olivier	Mgmt	For	For	For
10	Elect Isabelle Seillier	Mgmt	For	For	For
11	Elect Jean-Michel Severino	Mgmt	For	For	For
12	Elect Lionel Zinsou-Derlin	Mgmt	For	For	For
13	Elect Greg L. Engles	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Remuneration of Franck Riboud, Chair	Mgmt	For	For	For
16	Remuneration of Emmanuel Faber, CEO	Mgmt	For	For	For
17	Remuneration Policy (Chair)	Mgmt	For	For	For
18	Remuneration Policy (CEO)	Mgmt	For	For	For
19	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
20	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights with Priority Subscription	Mgmt	For	For	For
22	Greenshoe	Mgmt	For	For	For
23	Authority to Increase Capital in Case of Exchange Offer Initiated by the Company	Mgmt	For	For	For
24	Authority to Increase Capital in Consideration of Contributions in Kind	Mgmt	For	For	For
25	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
26	Employee Stock Purchase	Mgmt	For	For	For

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27	Plan Authority to Issue Performance Shares	Mgmt	For	For	For
28	Cancellation of Shares	Mgmt	For	For	For
29	Authorization of Legal Formalities	Mgmt	For	For	For

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DBS Group Holdings Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
D05	CINS Y20246107	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Bart J. Broadman	Mgmt	For	For	For
6	Elect HO Tian Yee	Mgmt	For	For	For
7	Elect OW Foong Pheng	Mgmt	For	Against	Against
8	Authority to Grant Awards and Issue Shares Under the DBSH Share Plan	Mgmt	For	Against	Against
9	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
10	Authority to Issue Shares Pursuant to the DBSH Scrip Dividend Scheme FY2016	Mgmt	For	For	For
11	Authority to Issue Shares Pursuant to the DBSH Scrip Dividend Scheme FY2017	Mgmt	For	For	For
12	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For

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Dongfeng Motor Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0489	CINS Y21042109	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For

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7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Allocation of Interim Dividends	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Directors' and Supervisors' Fees	Mgmt	For	For	For
11	Estimated Caps of Continuing Connected Transactions	Mgmt	For	For	For
12	Removal of MA Liangjie as Supervisor	Mgmt	For	For	For
13	Elect WEN Shuzhong as Supervisor	Mgmt	For	For	For
14	Resignation of TONG Dongcheng as Non-Executive Director	Mgmt	For	For	For
15	Resignation of OUYANG Jie as Non-Executive Director	Mgmt	For	For	For
16	Authority to Issue Domestic/H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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DuluxGroup Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
DLX	CINS Q32914105	12/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Graeme Liebelt	Mgmt	For	For	For
3	Re-elect Andrew Larke	Mgmt	For	For	For
4	Remuneration Report	Mgmt	For	For	For
5	Equity Grant (MD/CEO Patrick Houlihan)	Mgmt	For	For	For
6	Equity Grant (CFO Stuart Boxer)	Mgmt	For	For	For
7	Approve Termination Benefits	Mgmt	For	For	For
8	Equity Grant (NEDs)	Mgmt	For	For	For
9	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For

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Elekta AB

Ticker	Security ID:	Meeting Date	Meeting Status		
EKTA	CINS W2479G107	09/01/2016	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A



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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Board Size	Mgmt	For	For	For
17	Directors and Auditors' Fees	Mgmt	For	For	For
18	Election of Directors	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For
20	Compensation Guidelines	Mgmt	For	For	For
21	Performance Share Plan 2016	Mgmt	For	For	For
22	Share Transfer Pursuant to Performance Share Plan 2016	Mgmt	For	For	For
23	Share Transfer Pursuant to Performance Share Plan 2014 and 2015	Mgmt	For	For	For
24	Authority to Repurchase Shares	Mgmt	For	For	For
25	Authority to Issue Treasury Shares	Mgmt	For	For	For
26	Nomination Committee	Mgmt	For	For	For
27	Shareholder Proposal Regarding Occupational Accidents Policy	ShrHoldr	N/A	Against	N/A
28	Shareholder Proposal Regarding Occupational Accidents Working Group	ShrHoldr	N/A	Against	N/A
29	Shareholder Proposal Regarding Occupational Accidents Report	ShrHoldr	N/A	Against	N/A
30	Shareholder Proposal Regarding Equality	ShrHoldr	N/A	Against	N/A
31	Shareholder Proposal	ShrHoldr	N/A	Against	N/A

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	Regarding Implementation of Equality					
32	Shareholder Proposal Regarding Equality Report	ShrHoldr	N/A	Against	N/A	
33	Shareholder Proposal Regarding Shareholders' Association	ShrHoldr	N/A	Against	N/A	
34	Shareholder Proposal Regarding Directors' Fees	ShrHoldr	N/A	Against	N/A	
35	Shareholder Proposal Regarding Nomination Committee Instructions	ShrHoldr	N/A	Against	N/A	
36	Shareholder Proposal Regarding Directors' Fees Petition	ShrHoldr	N/A	Against	N/A	
37	Shareholder Proposal Regarding Unequal Voting Rights	ShrHoldr	N/A	For	N/A	
38	Shareholder Proposal Regarding Unequal Voting Rights Petition	ShrHoldr	N/A	Against	N/A	
39	Shareholder Proposal Regarding Recruitment of Politicians	ShrHoldr	N/A	Against	N/A	
40	Shareholder Proposal Regarding Cool-Off Period for Politicians	ShrHoldr	N/A	Against	N/A	
41	Shareholder Proposal Regarding Small and Medium-Sized Shareholders	ShrHoldr	N/A	Against	N/A	
42	Shareholder Proposal Regarding Small and Medium-Sized Shareholders Petition	ShrHoldr	N/A	Against	N/A	
43	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
44	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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ENN Energy Holdings Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
2688	CINS G3066L101	05/26/2017		Voted		
Meeting Type		Country of Trade				
Annual		Cayman Islands				
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
3	Accounts and Reports	Mgmt	For		For	For
4	Allocation of Profits/Dividends	Mgmt	For		For	For
5	Elect WANG Zizheng	Mgmt	For		For	For

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6	Elect MA Zhixiang	Mgmt	For	For	For
7	Elect YUEN Po Kwong	Mgmt	For	For	For
8	Elect Sean S. J. WANG	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For

GEA Group AG

Ticker	Security ID:	Meeting Date	Meeting Status		
G1A	cins D28304109	04/20/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Increase in Authorised Capital	Mgmt	For	TNA	N/A

Genting Singapore PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
G13	CINS G3825Q102	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	ISLE OF MAN				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect LIM Kok Thay	Mgmt	For	Against	Against
3	Elect TJONG Yik Min	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Authority to Repurchase and Reissue	Mgmt	For	For	For

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Shares		N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
Glaxosmithkline plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
GSK	CINS G3910J112	05/04/2017	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Emma Walmsley	Mgmt	For	For	For
5	Elect Vivienne Cox	Mgmt	For	For	For
6	Elect Patrick Vallance	Mgmt	For	For	For
7	Elect Sir Philip Hampton	Mgmt	For	For	For
8	Elect Sir Roy Anderson	Mgmt	For	For	For
9	Elect Manvinder S. Banga	Mgmt	For	For	For
10	Elect Simon Dingemans	Mgmt	For	For	For
11	Elect Lynn L. Elsenhans	Mgmt	For	For	For
12	Elect Jesse Goodman	Mgmt	For	For	For
13	Elect Judy C. Lewent	Mgmt	For	For	For
14	Elect Urs Rohner	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authorisation of Political Donations	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Exemption From Statement of Senior Auditor's Name	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
24	2017 Performance Share Plan	Mgmt	For	For	For
25	2017 Deferred Annual Bonus Plan	Mgmt	For	For	For

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26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Grupo Aeroportuario Del Centro Norte		SAB de CV			
Ticker	Security ID:	Meeting Date		Meeting Status	
OMA	CINS P49530101	02/24/2017		Voted	
Meeting Type		Country of Trade			
Ordinary		Mexico			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Directors	Mgmt	For	Abstain	Against
2	Revoke and Grant General and/or Special Powers	Mgmt	For	Abstain	Against
3	Election of Meeting Delegates	Mgmt	For	For	For
<hr/>					
Grupo Aeroportuario Del Centro Norte		SAB de CV			
Ticker	Security ID:	Meeting Date		Meeting Status	
OMA	CINS P49530101	04/28/2017		Voted	
Meeting Type		Country of Trade			
Special		Mexico			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Cancellation of Shares	Mgmt	For	For	For
2	Election of Meeting Delegates	Mgmt	For	For	For
<hr/>					
Grupo Aeroportuario Del Centro Norte		SAB de CV			
Ticker	Security ID:	Meeting Date		Meeting Status	
OMA	CINS P49530101	04/28/2017		Voted	
Meeting Type		Country of Trade			
Ordinary		Mexico			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Report of the Board of Directors	Mgmt	For	For	For
3	Report of the CEO and Independent Auditor	Mgmt	For	For	For
4	Presentation of Statutory Reports	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	Abstain	Against
6	Allocation of Profits and Dividends; Authority to Repurchase Shares	Mgmt	For	For	For
7	Election of Directors; Election of Committee Members	Mgmt	For	For	For
8	Election of Meeting Delegates	Mgmt	For	For	For
<hr/>					
Grupo Aeroportuario Del Centro Norte		SAB de CV			
Ticker	Security ID:	Meeting Date		Meeting Status	
OMA	CINS P49530101	05/31/2017		Voted	
Meeting Type		Country of Trade			
Special		Mexico			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Cancellation of Shares	Mgmt	For	For	For
3	Election of Meeting Delegates	Mgmt	For	For	For
<hr/>					
Iluka Resources Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
ILU	CINS Q4875J104	04/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Jenny A. Seabrook	Mgmt	For	For	For
3	Re-elect Marcelo H. Bastos	Mgmt	For	For	For
4	Remuneration Report	Mgmt	For	Against	Against
<hr/>					
Industrial & Commercial Bank Of China					
Ticker	Security ID:	Meeting Date		Meeting Status	
1398	CINS Y3990B112	06/27/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 Fixed Asset Investment Plan	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect YE Donghai	Mgmt	For	For	For
10	Elect MEI Yingchun	Mgmt	For	For	For
11	Elect DONG Shi	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
<hr/>					
Industrial & Commercial Bank Of China					
Ticker	Security ID:	Meeting Date		Meeting Status	
1398	CINS Y3990B112	11/29/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect GU Shu	Mgmt	For	For	For
4	Elect Sheila C. Bair	Mgmt	For	For	For
5	2015 Payment Plan of Remuneration to Directors and Supervisors	Mgmt	For	For	For
6	Elect WANG Jingdong	Mgmt	For	For	For

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Infosys Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INFY	CINS Y4082C133	03/31/2017	Voted		
Meeting Type	Country of Trade				
Other	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendment to Remuneration of U.B. Pravin Rao (COO & Whole-time Director)	Mgmt	For	For	For
3	Elect D.N. Prahlad	Mgmt	For	Against	Against
4	Adoption of New Articles	Mgmt	For	Against	Against

Infosys Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
INFY	CINS Y4082C133	06/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect U. B. Pravin Rao	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Appointment of Branch Auditor	Mgmt	For	For	For

IOOF Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
IFL	CINS Q49809108	11/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Allan R. Griffiths	Mgmt	For	For	For
3	Elect John Selak	Mgmt	For	For	For
4	REMUNERATION REPORT	Mgmt	For	For	For
5	Equity Grant (MD Christopher Kelaher)	Mgmt	For	For	For

Kao Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
4452	CINS J30642169	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Michitaka Sawada	Mgmt	For	For	For

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4	Elect Katsuhiko Yoshida	Mgmt	For	For	For
5	Elect Toshiaki Takeuchi	Mgmt	For	For	For
6	Elect Yoshihiro Hasebe	Mgmt	For	For	For
7	Elect Sohnosuke Kadonaga	Mgmt	For	For	For
8	Elect Masayuki Oku	Mgmt	For	Against	Against
9	Elect Yukio Nagira	Mgmt	For	For	For
10	Elect Katsuya Fujii	Mgmt	For	For	For
11	Elect Hideki Amano	Mgmt	For	For	For
12	Performance-Linked Equity Compensation Plan	Mgmt	For	For	For

KBC Groupe SA

Ticker	Security ID:	Meeting Date	Meeting Status		
KBC	CINS B5337G162	05/04/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Belgium				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
6	Accounts and Reports	Mgmt	For	TNA	N/A
7	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Remuneration Report	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A
10	Ratification of Auditor's Acts	Mgmt	For	TNA	N/A
11	Elect Katelijne Callewaert	Mgmt	For	TNA	N/A
12	Elect Matthieu Vanhove	Mgmt	For	TNA	N/A
13	Elect Walter Nonneman	Mgmt	For	TNA	N/A
14	Elect Philippe Vlerick	Mgmt	For	TNA	N/A
15	Elect Hendrik Scheerlinck	Mgmt	For	TNA	N/A
16	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
17	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

KDDI Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
9433	CINS J31843105	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Tadashi Onodera	Mgmt	For	Against	Against
4	Elect Takashi Tanaka	Mgmt	For	For	For
5	Elect Hirofumi Morozumi	Mgmt	For	For	For
6	Elect Makoto Takahashi	Mgmt	For	For	For
7	Elect Yuzoh Ishikawa	Mgmt	For	For	For
8	Elect Yoshiaki Uchida	Mgmt	For	For	For
9	Elect Takashi Shohji	Mgmt	For	For	For
10	Elect Shinichi Muramoto	Mgmt	For	For	For



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11	Elect Keiichi Mori	Mgmt	For	For	For
12	Elect Goroh Yamaguchi	Mgmt	For	Against	Against
13	Elect Nobuyori Kodaira	Mgmt	For	For	For
14	Elect Shinji Fukukawa	Mgmt	For	For	For
15	Elect Kuniko Tanabe	Mgmt	For	For	For
16	Elect Yoshiaki Nemoto	Mgmt	For	For	For

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Kion Group AG

Ticker	Security ID:	Meeting Date	Meeting Status
KGX	CINS D4S14D103	05/11/2017	Take No Action
Meeting Type	Country of Trade		
Annual	Germany		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Elect Birgit A. Behrendt	Mgmt	For	TNA	N/A
10	Elect Alexander Dibelius	Mgmt	For	TNA	N/A
11	Elect John Feldmann	Mgmt	For	TNA	N/A
12	Elect Jiang Kui	Mgmt	For	TNA	N/A
13	Elect Christina Reuter	Mgmt	For	TNA	N/A
14	Elect Hans Peter Ring	Mgmt	For	TNA	N/A
15	Elect Tan Xuguang	Mgmt	For	TNA	N/A
16	Elect Xu Ping	Mgmt	For	TNA	N/A
17	Supervisory Board Members' Fees	Mgmt	For	TNA	N/A
18	Amendments to Compensation Policy	Mgmt	For	TNA	N/A
19	Increase in Authorised Capital	Mgmt	For	TNA	N/A
20	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	Mgmt	For	TNA	N/A
21	Amendments to Corporate Purpose	Mgmt	For	TNA	N/A
22	Merger by Absorption	Mgmt	For	TNA	N/A

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Koninklijke Philips N.V.

Ticker	Security ID:	Meeting Date	Meeting Status
PHIA	CINS N7637U112	05/11/2017	Take No Action
Meeting Type	Country of Trade		
Annual	Netherlands		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
2	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Accounts and Reports	Mgmt	For	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Amendments to Remuneration Policy	Mgmt	For	TNA	N/A
9	Long-Term Incentive Plan	Mgmt	For	TNA	N/A
10	Elect Jeroen van der Veer to the Supervisory Board	Mgmt	For	TNA	N/A
11	Elect C.A. Poon to the Supervisory Board	Mgmt	For	TNA	N/A
12	Supervisory Board Fees	Mgmt	For	TNA	N/A
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	TNA	N/A
14	Authority to Suppress Preemptive Rights	Mgmt	For	TNA	N/A
15	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
16	Cancellation of Shares	Mgmt	For	TNA	N/A
17	Non-Voting Agenda Item	N/A	N/A	TNA	N/A

KT Corp

Ticker Security ID: Meeting Date Meeting Status  
030200 CINS Y49915104 03/24/2017 Voted

Meeting Type Country of Trade  
Annual Korea, Republic of

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Chairman and CEO: HWANG Chang Kyu	Mgmt	For	For	For
2	Accounts and Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect LIM Heon Mun	Mgmt	For	For	For
5	Elect KOO Hyun Mo	Mgmt	For	For	For
6	Elect KIM Jong Ku	Mgmt	For	For	For
7	Elect PARK Dae Geun	Mgmt	For	For	For
8	Elect LEE Gye Min	Mgmt	For	For	For
9	Elect LIM Il	Mgmt	For	For	For
10	Election of Audit Committee Member: KIM Jong Ku	Mgmt	For	For	For
11	Election of Audit	Mgmt	For	For	For

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Committee Member:  
 PARK Dae  
 Geun

12	Directors' Fees	Mgmt	For	For	For
13	Executive Chairman Contract	Mgmt	For	For	For

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London Stock Exchange Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
LSE	CINS G5689U103	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Binding)	Mgmt	For	For	For
5	Elect Jacques Aigrain	Mgmt	For	For	For
6	Elect Donald Brydon	Mgmt	For	For	For
7	Elect Paul Heiden	Mgmt	For	For	For
8	Elect Lex Hoogduin	Mgmt	For	For	For
9	Elect Raffaele Jerusalmi	Mgmt	For	For	For
10	Elect David Nish	Mgmt	For	For	For
11	Elect Stephen O'Connor	Mgmt	For	For	For
12	Elect Xavier Rolet	Mgmt	For	For	For
13	Elect Mary L. Schapiro	Mgmt	For	For	For
14	Elect David Warren	Mgmt	For	For	For
15	Elect Andrea Sironi	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authorisation of Political Donations	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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London Stock Exchange Group plc

Ticker	Security ID:	Meeting Date	Meeting Status
LSE	CINS G5689U103	07/04/2016	Voted

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Meeting Type		Country of Trade			
Court		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### London Stock Exchange Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
LSE	CINS G5689U103	07/04/2016	Voted		
Meeting Type		Country of Trade			
Ordinary		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Deutsche Borse Transaction	Mgmt	For	For	For
2	Board Acts Related to Acquisition	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Meggitt plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MGGT	CINS G59640105	04/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Policy (Binding)	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Sir Nigel R. Rudd	Mgmt	For	For	For
6	Elect Stephen Young	Mgmt	For	For	For
7	Elect Guy Berruyer	Mgmt	For	For	For
8	Elect Colin Day	Mgmt	For	For	For
9	Elect Alison Goligher	Mgmt	For	For	For
10	Elect Philip E. Green	Mgmt	For	For	For
11	Elect Paul Heiden	Mgmt	For	For	For
12	Elect Doug Webb	Mgmt	For	For	For
13	Elect Antony Wood	Mgmt	For	For	For
14	Elect Nancy L. Gioia	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
20	Authorisation of Political Donations	Mgmt	For	For	For

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21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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MGM China Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2282	CINS G60744102	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Pansy Catilina HO Chui King	Mgmt	For	Against	Against
6	Elect William M. Scott IV	Mgmt	For	For	For
7	Elect ZHE Sun	Mgmt	For	For	For
8	Elect Patricia LAM Sze Wan	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
14	Amendment to the Share Option Scheme	Mgmt	For	For	For

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National Australia Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NAB	CINS Q65336119	12/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Anthony Yuen	Mgmt	For	For	For
3	Elect Philip Chronican	Mgmt	For	For	For
4	Elect Doug McKay	Mgmt	For	For	For
5	REMUNERATION REPORT	Mgmt	For	For	For
6	Equity Grant (MD/CEO Andrew Thorburn)	Mgmt	For	For	For

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National Bank Of Canada

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Ticker	Security ID:	Meeting Date	Meeting Status		
NA	CINS 633067103	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Raymond Bachand	Mgmt	For	For	For
3	Elect Maryse Bertrand	Mgmt	For	For	For
4	Elect Pierre J. Blouin	Mgmt	For	For	For
5	Elect Pierre Boivin	Mgmt	For	For	For
6	Elect Andre Caille	Mgmt	For	For	For
7	Elect Gillian H. Denham	Mgmt	For	For	For
8	Elect Richard Fortin	Mgmt	For	For	For
9	Elect Jean Houde	Mgmt	For	For	For
10	Elect Karen Kinsley	Mgmt	For	For	For
11	Elect Julie Payette	Mgmt	For	For	For
12	Elect Lino A. Saputo, Jr.	Mgmt	For	For	For
13	Elect Andree Savoie	Mgmt	For	For	For
14	Elect Pierre Thabet	Mgmt	For	For	For
15	Elect Louis Vachon	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Amendment to Bylaws Regarding Quorum	Mgmt	For	For	For
19	Stock Option Plan Renewal	Mgmt	For	For	For

Nidec Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
6594	CINS J52968104	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Shigenobu Nagamori	Mgmt	For	For	For
3	Elect Hiroshi Kobe	Mgmt	For	For	For
4	Elect Mikio Katayama	Mgmt	For	For	For
5	Elect Akira Satoh	Mgmt	For	For	For
6	Elect Toshihiko Miyabe	Mgmt	For	For	For
7	Elect Hiroyuki Yoshimoto	Mgmt	For	For	For
8	Elect Tetsuo Ohnishi	Mgmt	For	For	For
9	Elect Kiyoto Ido	Mgmt	For	For	For
10	Elect Noriko Ishida	Mgmt	For	For	For
11	Elect Kazuya Murakami as Statutory Auditor	Mgmt	For	For	For

Nippon Telegraph And Telephone Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
9432	CINS J59396101	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For

Orange SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ORA	CINS F6866T100	06/01/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Accounts and Reports	Mgmt	For	For	For
7	Consolidated Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Elect Nicolas Dufourcq	Mgmt	For	For	For
11	Elect Alexandre Bompard	Mgmt	For	For	For
12	Remuneration of Stephane Richard, CEO	Mgmt	For	For	For
13	Remuneration of Ramon Fernandez, deputy CEO	Mgmt	For	For	For
14	Remuneration of Pierre Louette, deputy CEO	Mgmt	For	For	For
15	Remuneration of Gervais Pellissier, deputy CEO	Mgmt	For	For	For
16	Remuneration policy of Stephane Richard, CEO	Mgmt	For	For	For
17	Remuneration policy of Ramon Fernandez, deputy CEO	Mgmt	For	For	For
18	Remuneration policy of Pierre Louette, deputy CEO	Mgmt	For	For	For
19	Remuneration policy of Gervais Pellissier, deputy CEO	Mgmt	For	For	For
20	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
21	Authority to Issue Shares and Convertible Debt w/ Preemptive	Mgmt	For	For	For

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	Rights				
22	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights (During Public Takeover)	Mgmt	For	Against	Against
23	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
24	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights (During Public Takeover)	Mgmt	For	Against	Against
25	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights Through Private Placement	Mgmt	For	For	For
26	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights Through Private Placement (During Public Takeover)	Mgmt	For	Against	Against
27	Greenshoe	Mgmt	For	For	For
28	Authority to Increase Capital in Case of Exchange Offer	Mgmt	For	For	For
29	Authority to Increase Capital in Case of Exchange Offer (During Public Takeover)	Mgmt	For	Against	Against
30	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	For	For
31	Authority to Increase Capital in Consideration for Contributions in Kind (During Public Takeover)	Mgmt	For	Against	Against
32	Global Ceiling to Capital Increase	Mgmt	For	For	For
33	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
34	Authority to Issue Performance Shares (Corporate	Mgmt	For	For	For



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35	Officers) Authority to Issue Performance Shares (Employees)	Mgmt	For	For	For
36	Employee Stock Purchase Plan	Mgmt	For	For	For
37	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
38	Authorisation of Legal Formalities	Mgmt	For	For	For
39	Shareholder Proposal Regarding Amendment to Articles (Proposal A)	ShrHoldr	Against	Against	For

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Oversea-Chinese Banking Corporation Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
039	CINS Y64248209	04/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Elect LEE Tih Shih	Mgmt	For	For	For	
4	Elect QUAH Wee Ghee	Mgmt	For	For	For	
5	Elect Samuel N. TSIEN	Mgmt	For	For	For	
6	Elect WEE Joo Yeow	Mgmt	For	For	For	
7	Allocation of Profits/Dividends	Mgmt	For	For	For	
8	Directors' Fees in Cash	Mgmt	For	For	For	
9	Authority to Issue Ordinary Shares to Non-Executive Directors	Mgmt	For	For	For	
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For	
12	Authority to Grant Awards and Issue Shares under the OCBC Share Option Scheme 2001 and OCBC Employee Share Purchase Plan	Mgmt	For	For	For	
13	Authority to Issue Shares Under The OCBC Scrip Dividend Scheme	Mgmt	For	For	For	
14	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	

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Platinum Asset Management Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
PTM	CINS Q7587R108	11/17/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Anne Loveridge	Mgmt	For	For	For
3	Re-elect Andrew Clifford	Mgmt	For	Against	Against
4	Re-elect Elizabeth Norman	Mgmt	For	Against	Against
5	Remuneration Report	Mgmt	For	For	For

QBE Insurance Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
QBE	CINS Q78063114	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For
4	Re-elect Marty Becker	Mgmt	For	For	For
5	Elect Kathy Lisson	Mgmt	For	For	For
6	Elect Mike Wilkins	Mgmt	For	For	For

Safran SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SAF	CINS F4035A557	06/15/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports; Non Tax-Deductible Expenses	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Supplementary Retirement Benefits (Ross McInnes, Non-Executive Chair)	Mgmt	For	Against	Against
9	Supplementary Retirement Benefits (Philippe Petitcolin, CEO)	Mgmt	For	For	For
10	Related Party Transactions (French State)	Mgmt	For	For	For
11	Elect Odile Desforges	Mgmt	For	For	For

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12	Elect Helene Auriol-Potier	Mgmt	For	For	For
13	Elect Patrick Pelata	Mgmt	For	For	For
14	Elect Sophie Zurquiyah	Mgmt	For	For	For
15	Remuneration of Ross McInnes, Non-Executive Chair	Mgmt	For	For	For
16	Remuneration of Philippe Petitcolin, CEO	Mgmt	For	For	For
17	Remuneration Policy (Non-Executive Chair)	Mgmt	For	For	For
18	Remuneration Policy (CEO)	Mgmt	For	For	For
19	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
20	Amendments to Articles Regarding CEO Age Limit	Mgmt	For	For	For
21	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	For	For	For
22	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
23	Authority to Increase Capital in Case of Exchange Offer	Mgmt	For	For	For
24	Authority to Issue Shares w/o Preemptive Rights Through Private Placement	Mgmt	For	For	For
25	Greenshoe	Mgmt	For	For	For
26	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
27	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights (During Public Takeover)	Mgmt	For	Against	Against
28	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights (During Public Takeover)	Mgmt	For	Against	Against
29	Authority to Increase Capital in Case of Exchange Offer (During Public	Mgmt	For	Against	Against

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30	Takeover) Authority to Issue Shares w/o Preemptive Rights Through Private Placement (During Public Takeover)	Mgmt	For	Against	Against
31	Greenshoe (During Public Takeover)	Mgmt	For	Against	Against
32	Authority to Increase Capital Through Capitalisations (During Public Takeover)	Mgmt	For	Against	Against
33	Employee Stock Purchase Plan	Mgmt	For	For	For
34	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
35	Authority to Issue Performance Shares	Mgmt	For	For	For
36	Authority to Create Preferred Shares	Mgmt	For	For	For
37	Authority to Issue Preferred Shares	Mgmt	For	For	For
38	Authorisation of Legal Formalities	Mgmt	For	For	For
39	Shareholder Counter-motion Regarding the Authority to Grant Performance Shares to Company Employees (Proposal A)	ShrHoldr	Against	Against	For

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Sanofi

Ticker	Security ID:	Meeting Date	Meeting Status		
SAN	CINS F5548N101	05/10/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Mix	France				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions (Olivier Brandicourt)	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For

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10	Elect Fabienne Lecorvaisier	Mgmt	For	For	For
11	Elect Bernard Charles	Mgmt	For	For	For
12	Elect Melanie G Lee	Mgmt	For	For	For
13	Remuneration Policy (Chairman)	Mgmt	For	For	For
14	Remuneration Policy (CEO)	Mgmt	For	For	For
15	Remuneration of Serge Weinberg (Chair)	Mgmt	For	For	For
16	Remuneration of Olivier Brandicourt, CEO	Mgmt	For	Against	Against
17	Approval to Reappoint PricewaterhouseCoopers as one of the Company's Independent Auditors for the Next Six Years	Mgmt	For	For	For
18	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
19	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares and Convertible Debt w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares Through Private Placement	Mgmt	For	For	For
22	Authority to Issue Debt Instruments	Mgmt	For	For	For
23	Greenshoe	Mgmt	For	For	For
24	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	For	For
25	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For
26	Employee Stock Purchase Plan	Mgmt	For	For	For
27	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
28	Amendments to Articles	Mgmt	For	For	For
29	Authorisation of Legal Formalities	Mgmt	For	For	For

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Ticker	Security ID:	Meeting Date	Meeting Status		
3382	CINS J7165H108	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Ryuichi Isaka	Mgmt	For	For	For
4	Elect Katsuhiko Gotoh	Mgmt	For	For	For
5	Elect Junroh Itoh	Mgmt	For	For	For
6	Elect Katsutane Aihara	Mgmt	For	For	For
7	Elect Kimiyoshi Yamaguchi	Mgmt	For	For	For
8	Elect Kazuki Furuya	Mgmt	For	For	For
9	Elect Takashi Anzai	Mgmt	For	For	For
10	Elect Zenkoh Ohtaka	Mgmt	For	For	For
11	Elect Joseph M. DePinto	Mgmt	For	For	For
12	Elect Scott T. Davis	Mgmt	For	For	For
13	Elect Yoshio Tsukio	Mgmt	For	For	For
14	Elect Kunio Itoh	Mgmt	For	For	For
15	Elect Toshiroh Yonemura	Mgmt	For	For	For
16	Elect Noriyuki Habano as Statutory Auditor	Mgmt	For	For	For
17	Equity Compensation Plan	Mgmt	For	For	For

Shire plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SHP	CINS G8124V108	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Dominic Blakemore	Mgmt	For	For	For
4	Elect Olivier Bohuon	Mgmt	For	For	For
5	Elect William M. Burns	Mgmt	For	For	For
6	Elect Ian T. Clark	Mgmt	For	For	For
7	Elect Gail D. Fosler	Mgmt	For	For	For
8	Elect Steven Gillis	Mgmt	For	For	For
9	Elect David Ginsburg	Mgmt	For	For	For
10	Elect Susan Kilsby	Mgmt	For	For	For
11	Elect Sara Mathew	Mgmt	For	For	For
12	Elect Anne E. Minto	Mgmt	For	For	For
13	Elect Flemming Ornskov	Mgmt	For	For	For
14	Elect Jeffrey Poulton	Mgmt	For	For	For
15	Elect Albert P.L. Stroucken	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive	Mgmt	For	For	For

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20	Rights Authority to Issue Shares w/o Preemptive Rights (Specific Capital Investment)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Amendments to Articles	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Sun Hung Kai Properties Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0016	CINS Y82594121	11/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Christopher KWOK Kai-wang	Mgmt	For	For	For
6	Elect Raymond KWOK Ping Luen	Mgmt	For	For	For
7	Elect LEE Shau Kee	Mgmt	For	Against	Against
8	Elect Mike WONG Chik Wing	Mgmt	For	For	For
9	Elect Dicky Peter YIP	Mgmt	For	For	For
10	Elect Richard WONG Yue Chim	Mgmt	For	Against	Against
11	Elect William FUNG Kwok Lun	Mgmt	For	Against	Against
12	Elect Norman LEUNG Nai Pang	Mgmt	For	For	For
13	Elect Donald LEUNG Kui King	Mgmt	For	For	For
14	Elect William KWAN Cheuk Yin	Mgmt	For	Against	Against
15	Directors' Fees	Mgmt	For	For	For
16	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
19	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Swire Properties Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1972	CINS Y83191109	05/16/2017	Voted			
Meeting Type		Country of Trade				
Annual		Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Elect John R. Slosar	Mgmt	For	Against	Against	
4	Elect Raymond LIM Siang Keat	Mgmt	For	For	For	
5	Elect Michelle LOW Mei Shuen	Mgmt	For	For	For	
6	Elect CHENG Lily Ka Lai	Mgmt	For	For	For	
7	Elect WU May Yihong	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Authority to Repurchase Shares	Mgmt	For	For	For	
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	

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Symrise AG						
Ticker	Security ID:	Meeting Date	Meeting Status			
SY1	CINS D827A1108	05/17/2017	Take No Action			
Meeting Type		Country of Trade				
Annual		Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A	
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A	
8	Appointment of Auditor	Mgmt	For	TNA	N/A	
9	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	Mgmt	For	TNA	N/A	

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Tata Consultancy Services Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
TCS	CINS Y85279100	04/15/2017	Voted			
Meeting Type		Country of Trade				
Other		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to	Mgmt	For	For	For	



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Repurchase  
Shares

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Tata Consultancy Services Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
TCS	CINS Y85279100	06/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Aarthi Subramanian	Mgmt	For	Against	Against	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
5	Elect Natarajan Chandrasekaran	Mgmt	For	Against	Against	
6	Elect Rajesh Gopinathan	Mgmt	For	Against	Against	
7	Appointment of Rajesh Gopinathan (CEO and Managing Director); Approval of Remuneration	Mgmt	For	Against	Against	
8	Elect N. Ganapathy Subramaniam	Mgmt	For	Against	Against	
9	Appointment of N. Ganapathy Subramaniam (COO); Approval of Remuneration	Mgmt	For	For	For	
10	Appointment of Branch Auditor	Mgmt	For	For	For	

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Tata Motors Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
TATAMOTORS	CINS Y85740267	08/09/2016		Voted		
Meeting Type		Country of Trade				
Annual		India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Ravindra Pisharody	Mgmt	For	For	For	
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against	
5	Elect Guenter Butschek	Mgmt	For	For	For	
6	Appointment of Guenter Butschek (Chief Executive Officer and Managing Director); Approval of Remuneration	Mgmt	For	For	For	
7	Appointment of Ravindra Pisharody (Executive Director - Commercial Vehicles); Approval of	Mgmt	For	For	For	

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8	Remuneration Appointment of Satish Borwankar (Executive Director - Quality); Approval of Remuneration	Mgmt	For	For	For
9	Authority to Set Cost Auditor's Fees	Mgmt	For	For	For
10	Authority to Issue Non-Convertible Debentures	Mgmt	For	For	For

Tata Motors Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TATAMOTORS	CINS Y85740267	12/22/2016	Voted		
Meeting Type	Country of Trade				
Special	India				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Shareholder Proposal Regarding Removal of Cyrus Mistry	ShrHolder	N/A	Against	N/A
4	Shareholder Proposal Regarding Removal of Nusli Wadia	ShrHolder	N/A	Against	N/A

Telefonica SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TEF	CINS 879382109	06/08/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Jose Maria Alvarez-Pallete Lopez	Mgmt	For	For	For
6	Elect Ignacio Moreno Martinez	Mgmt	For	For	For
7	Ratify Co-Option and Elect Francisco Jose Riberas Mera	Mgmt	For	For	For
8	Ratify Co-Option and Elect Carmen Garcia de Andres	Mgmt	For	For	For
9	Board Size	Mgmt	For	For	For
10	Special Dividend	Mgmt	For	For	For
11	Authority to Issue Convertible Debt Instruments	Mgmt	For	For	For

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12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	Remuneration Report	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Telstra Corporation Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
TLS	CINS Q8975N105	10/11/2016		Voted	
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Craig W Dunn	Mgmt	For	For	For
3	Elect Jane S Hemstritch	Mgmt	For	For	For
4	Re-elect Nora L Scheinkestel	Mgmt	For	For	For
5	Equity Grant (CEO Andrew Penn)	Mgmt	For	For	For
6	REMUNERATION REPORT	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Tencent Holdings Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
700	CINS G87572163	05/17/2017		Voted	
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Martin LAU Chi Ping	Mgmt	For	For	For
6	Elect Charles St Leger Searle	Mgmt	For	Against	Against
7	Elect YANG Siu Shun	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
14	Share Option Scheme	Mgmt	For	Against	Against
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Tencent Holdings Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
700	CINS G87572163	05/17/2017		Voted	
Meeting Type	Country of Trade				
Special	Cayman Islands				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Adoption of Share Option Scheme of Tencent Music Entertainment Group	Mgmt	For	Against	Against

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### United Overseas Bank Limited

Ticker	Security ID:	Meeting Date	Meeting Status
U11	CINS Y9T10P105	04/20/2017	Voted
Meeting Type	Country of Trade		
Annual	Singapore		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Fees to WEE Cho Yaw	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect WEE Ee Cheong	Mgmt	For	For	For
7	Elect Willie CHENG Jue Hiang	Mgmt	For	For	For
8	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
9	UOB Scrip Dividend Scheme	Mgmt	For	For	For
10	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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### Vocus Communications Limited

Ticker	Security ID:	Meeting Date	Meeting Status
VOC	CINS Q9479K100	11/29/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Jonathon K Brett	Mgmt	For	Against	Against
4	Elect Vaughan Bowen	Mgmt	For	For	For
5	Elect Craig Farrow	Mgmt	For	For	For
6	Elect Rhoda Phillippo	Mgmt	For	For	For
7	Elect Michael Simmons	Mgmt	For	For	For
8	Approve Long Term Incentive Plan	Mgmt	For	Against	Against
9	Equity Grant (Executive Director Vaughan Bowen)	Mgmt	For	For	For

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10	Change in Company Name	Mgmt	For	For	For
11	Approve Increase in NEDs' Fee Cap	Mgmt	For	For	For
12	Approve Financial Assistance (NextGen, Skiron & ASC)	Mgmt	For	For	For

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Westpac Banking Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
WBC	CINS Q97417101	12/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	For	For
3	Equity Grant (MD/CEO Brian Hartzer)	Mgmt	For	For	For
4	Re-elect Ewen G.W. Crouch	Mgmt	For	For	For
5	Re-elect Peter R. Marriott	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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William Demant Holdings A/S

Ticker	Security ID:	Meeting Date	Meeting Status		
WDH	CINS ADPV35657	03/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Denmark				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Accounts and Reports	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Elect Peter Foss	Mgmt	For	For	For
10	Elect Niels B Christiansen	Mgmt	For	Abstain	Against
11	Elect Benedikte Leroy	Mgmt	For	For	For
12	Elect Lars Rasmussen	Mgmt	For	Abstain	Against
13	Elect Neils Jacobsen	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Authority to Reduce Share Capital	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Amendments to Articles Regarding Ballot Cards	Mgmt	For	For	For
18	Authority to Carry Out Formalities	Mgmt	For	For	For

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19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
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Wipro Ltd					
Ticker	Security ID:	Meeting Date		Meeting Status	
WIPRO	CINS Y96659142	07/18/2016		Voted	
Meeting Type		Country of Trade			
Annual		India			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Rishad Azim Premji	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect Patrick J. Ennis	Mgmt	For	For	For
7	Elect Patrick Dupuis	Mgmt	For	For	For
8	Elect Narayanan Vaghul	Mgmt	For	Against	Against
9	Elect Ashok Sekhar Ganguly	Mgmt	For	Against	Against
10	Elect M.K. Sharma	Mgmt	For	Against	Against
11	Elect and Appoint T. K. Kurien (Executive Vice Chairman); Approve remuneration	Mgmt	For	For	For
12	elect and Appoint Abidali Z. Neemuchwala (CEO); Approve Remuneration	Mgmt	For	For	For
13	Amendment to Executive Remuneration of Rishad A. Premji	Mgmt	For	For	For
<hr/>					
Woolworths Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
WOW	CINS Q98418108	11/24/2016		Voted	
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Holly Kramer	Mgmt	For	For	For
3	Elect Siobhan McKenna	Mgmt	For	For	For
4	Elect Kathee Tesija	Mgmt	For	For	For
5	Equity Grant (MD/CEO Brian Banducci)	Mgmt	For	For	For
6	Remuneration Report	Mgmt	For	For	For
Fund Name : VanEck Vectors Morningstar Wide Moat ETF					
<hr/>					
Allergan plc					
Ticker	Security ID:	Meeting Date		Meeting Status	
AGN	CUSIP G0177J108	05/04/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Nesli Basgoz	Mgmt	For	For	For
2	Elect Paul M. Bisaro	Mgmt	For	For	For
3	Elect James H. Bloem	Mgmt	For	For	For
4	Elect Christopher W. Bodine	Mgmt	For	For	For
5	Elect Adriane M. Brown	Mgmt	For	For	For
6	Elect Christopher J. Coughlin	Mgmt	For	For	For
7	Elect Catherine M. Klema	Mgmt	For	For	For
8	Elect Peter J. McDonnell	Mgmt	For	For	For
9	Elect Patrick J. O'Sullivan	Mgmt	For	For	For
10	Elect Brenton L. Saunders	Mgmt	For	For	For
11	Elect Ronald R. Taylor	Mgmt	For	For	For
12	Elect Fred G. Weiss	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Approval of performance goals under the 2017 Annual Incentive Compensation Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

Amazon.com, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMZN	CUSIP 023135106	05/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Jeffrey P. Bezos	Mgmt	For	For	For
2	Elect Tom A. Alberg	Mgmt	For	For	For
3	Elect John Seely Brown	Mgmt	For	For	For
4	Elect Jamie S. Gorelick	Mgmt	For	For	For
5	Elect Daniel P. Huttenlocher	Mgmt	For	For	For
6	Elect Judith A. McGrath	Mgmt	For	For	For
7	Elect Jonathan J. Rubinstein	Mgmt	For	For	For
8	Elect Thomas O. Ryder	Mgmt	For	Against	Against
9	Elect Patricia Q. Stonesifer	Mgmt	For	For	For
10	Elect Wendell P. Weeks	Mgmt	For	Against	Against
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory	Mgmt	3 Years	1 Year	Against

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Vote on Executive Compensation					
14	Amendment to the 1997 Stock Incentive Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Report on Use of Criminal Background Checks in Hiring Decisions	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

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American Express Company					
Ticker	Security ID:	Meeting Date	Meeting Status		
AXP	CUSIP 025816109	05/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	For	For
2	Elect John J. Brennan	Mgmt	For	For	For
3	Elect Ursula M. Burns	Mgmt	For	For	For
4	Elect Kenneth I. Chenault	Mgmt	For	For	For
5	Elect Peter Chernin	Mgmt	For	For	For
6	Elect Ralph de la Vega	Mgmt	For	For	For
7	Elect Anne L. Lauvergeon	Mgmt	For	For	For
8	Elect Micheal O. Leavitt	Mgmt	For	For	For
9	Elect Theodore Leonsis	Mgmt	For	For	For
10	Elect Richard C. Levin	Mgmt	For	For	For
11	Elect Samuel J. Palmisano	Mgmt	For	For	For
12	Elect Daniel L. Vasella	Mgmt	For	For	For
13	Elect Robert D. Walter	Mgmt	For	For	For
14	Elect Ronald A. Williams	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
19	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	For	Against

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AmerisourceBergen Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ABC	CUSIP 03073E105	03/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ornella Barra	Mgmt	For	For	For
2	Elect Steven H. Collis	Mgmt	For	For	For
3	Elect Douglas R. Conant	Mgmt	For	For	For
4	Elect D. Mark Durcan	Mgmt	For	For	For
5	Elect Richard W. Gohnauer	Mgmt	For	For	For
6	Elect Lon R. Greenberg	Mgmt	For	For	For
7	Elect Jane E. Henney	Mgmt	For	For	For
8	Elect Kathleen Hyle	Mgmt	For	For	For
9	Elect Michael J. Long	Mgmt	For	For	For
10	Elect Henry W. McGee	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendments to Certificate of Incorporation To Permit Removal of Directors Without Cause	Mgmt	For	For	For

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AMGEN Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMGN	CUSIP 031162100	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David Baltimore	Mgmt	For	For	For
2	Elect Robert A. Bradway	Mgmt	For	For	For
3	Elect Francois de Carbonnel	Mgmt	For	For	For
4	Elect Robert A. Eckert	Mgmt	For	For	For
5	Elect Greg C. Garland	Mgmt	For	For	For
6	Elect Fred Hassan	Mgmt	For	For	For
7	Elect Rebecca M. Henderson	Mgmt	For	For	For
8	Elect Frank C. Herring	Mgmt	For	For	For
9	Elect Charles M. Holley	Mgmt	For	For	For
10	Elect Tyler Jacks	Mgmt	For	For	For
11	Elect Ellen J. Kullman	Mgmt	For	For	For
12	Elect Ronald D. Sugar	Mgmt	For	For	For
13	Elect R. Sanders Williams	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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17	Compensation Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For
Bank of New York Mellon Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
BK	CUSIP 064058100	04/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Linda Z. Cook	Mgmt	For	For	For
2	Elect Nicholas M. Donofrio	Mgmt	For	For	For
3	Elect Joseph J. Echevarria	Mgmt	For	For	For
4	Elect Edward P. Garden	Mgmt	For	For	For
5	Elect Jeffrey A. Goldstein	Mgmt	For	For	For
6	Elect Gerald L. Hassell	Mgmt	For	For	For
7	Elect John M. Hinshaw	Mgmt	For	For	For
8	Elect Edmund F. Kelly	Mgmt	For	For	For
9	Elect John A. Luke, Jr.	Mgmt	For	For	For
10	Elect Jennifer B. Morgan	Mgmt	For	For	For
11	Elect Mark A. Nordenberg	Mgmt	For	For	For
12	Elect Elizabeth E. Robinson	Mgmt	For	For	For
13	Elect Samuel C. Scott III	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Proxy Voting Practices	ShrHoldr	Against	Against	For
Berkshire Hathaway Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
BRK.A	CUSIP 084670702	05/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Warren E. Buffett	Mgmt	For	For	For
1.2	Elect Charles T. Munger	Mgmt	For	For	For
1.3	Elect Howard G. Buffett	Mgmt	For	For	For
1.4	Elect Stephen B. Burke	Mgmt	For	For	For
1.5	Elect Susan L. Decker	Mgmt	For	For	For
1.6	Elect William H. Gates III	Mgmt	For	For	For
1.7	Elect David S. Gottesman	Mgmt	For	For	For
1.8	Elect Charlotte Guyman	Mgmt	For	For	For
1.9	Elect Thomas S. Murphy	Mgmt	For	For	For

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1.10	Elect Ronald L. Olson	Mgmt	For	For	For
1.11	Elect Walter Scott, Jr.	Mgmt	For	For	For
1.12	Elect Meryl B. Witmer	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against
5	Shareholder Proposal Regarding Methane Emissions Report	ShrHoldr	Against	Against	For
6	Shareholder Proposal Regarding Fossil Fuel Divestment	ShrHoldr	Against	Against	For

Biogen Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BIIB	CUSIP 09062X103	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alexander J. Denner	Mgmt	For	For	For
2	Elect Caroline D. Dorsa	Mgmt	For	For	For
3	Elect Nancy L. Leaming	Mgmt	For	For	For
4	Elect Richard C. Mulligan	Mgmt	For	For	For
5	Elect Robert W. Pangia	Mgmt	For	For	For
6	Elect Stelios Papadopoulos	Mgmt	For	For	For
7	Elect Brian S. Posner	Mgmt	For	For	For
8	Elect Eric K. Rowinsky	Mgmt	For	For	For
9	Elect Lynn Schenk	Mgmt	For	For	For
10	Elect Stephen A. Sherwin	Mgmt	For	For	For
11	Elect Michel Vounatsos	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the 2017 Omnibus Equity Plan	Mgmt	For	For	For

Bristol-Myers Squibb Company

Ticker	Security ID:	Meeting Date	Meeting Status		
BMJ	CUSIP 110122108	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Peter J. Arduini	Mgmt	For	For	For
2	Elect Robert J. Bertolini	Mgmt	For	For	For
3	Elect Giovanni Caforio	Mgmt	For	For	For
4	Elect Matthew W. Emmens	Mgmt	For	For	For
5	Elect Laurie H. Glimcher	Mgmt	For	For	For
6	Elect Michael Grobstein	Mgmt	For	For	For
7	Elect Alan J. Lacy	Mgmt	For	For	For
8	Elect Dinesh Paliwal	Mgmt	For	For	For
9	Elect Theodore R. Samuels	Mgmt	For	For	For
10	Elect Gerald Storch	Mgmt	For	Against	Against
11	Elect Vicki L. Sato	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendment to the 2012 Stock Award and Incentive Plan for the Purposes of Section 162(m)	Mgmt	For	For	For
15	Amendment to the 2012 Stock Award and Incentive Plan	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHolder	Against	For	Against

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CBRE Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CBG	CUSIP 12504L109	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Brandon B. Boze	Mgmt	For	For	For
2	Elect Beth F. Cobert	Mgmt	For	For	For
3	Elect Curtis F. Feeny	Mgmt	For	For	For
4	Elect Bradford M. Freeman	Mgmt	For	For	For
5	Elect Christopher T. Jenny	Mgmt	For	For	For
6	Elect Gerardo I. Lopez	Mgmt	For	Against	Against
7	Elect Frederic V. Malek	Mgmt	For	For	For
8	Elect Paula R. Reynolds	Mgmt	For	For	For
9	Elect Robert E. Sulentic	Mgmt	For	For	For
10	Elect Laura D'Andrea Tyson	Mgmt	For	For	For
11	Elect Ray Wirta	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the 2017 Equity Incentive Plan	Mgmt	For	For	For

### Cerner Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CERN	CUSIP 156782104	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Julie L. Gerberding	Mgmt	For	For	For
2	Elect Neal L. Patterson	Mgmt	For	For	For
3	Elect William D. Zollars	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

### Compass Minerals International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CMP	CUSIP 20451N101	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Valdemar L. Fischer	Mgmt	For	For	For
2	Elect Richard S. Grant	Mgmt	For	For	For
3	Elect Amy J. Yoder	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For	For	For

### CVS Health Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CVS	CUSIP 126650100	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard M. Bracken	Mgmt	For	For	For
2	Elect C. David Brown II	Mgmt	For	For	For
3	Elect Alecia A. DeCoudreaux	Mgmt	For	For	For
4	Elect Nancy-Ann M. DeParle	Mgmt	For	For	For
5	Elect David W. Dorman	Mgmt	For	For	For
6	Elect Anne M. Finucane	Mgmt	For	For	For

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7	Elect Larry J. Merlo	Mgmt	For	For	For
8	Elect Jean-Pierre Millon	Mgmt	For	For	For
9	Elect Mary L. Schapiro	Mgmt	For	For	For
10	Elect Richard J. Swift	Mgmt	For	For	For
11	Elect William C. Weldon	Mgmt	For	For	For
12	Elect Tony L. White	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Approval of the 2017 Incentive Compensation Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against	Against	For

Deere & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DE	CUSIP 244199105	02/22/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Samuel R. Allen	Mgmt	For	For	For
2	Elect Crandall C. Bowles	Mgmt	For	For	For
3	Elect Vance D. Coffman	Mgmt	For	For	For
4	Elect Alan C. Heuberger	Mgmt	For	For	For
5	Elect Dipak C. Jain	Mgmt	For	For	For
6	Elect Michael O. Johanns	Mgmt	For	For	For
7	Elect Clayton M. Jones	Mgmt	For	For	For
8	Elect Brian M. Krzanich	Mgmt	For	For	For
9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sherry M. Smith	Mgmt	For	For	For
11	Elect Dmitri L. Stockton	Mgmt	For	For	For
12	Elect Sheila G. Talton	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal	ShrHoldr	Against	For	Against

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Regarding Right to  
Act by Written  
Consent

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Eli Lilly and Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
LLY	CUSIP 532457108	05/01/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Michael L. Eskew	Mgmt	For	For	For	
2	Elect William G. Kaelin, Jr.	Mgmt	For	For	For	
3	Elect John C. Lechleiter	Mgmt	For	For	For	
4	Elect David A. Ricks	Mgmt	For	For	For	
5	Elect Marschall S. Runge	Mgmt	For	For	For	
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
8	Ratification of Auditor	Mgmt	For	For	For	
9	Amendment to the Directors' Deferral Plan	Mgmt	For	For	For	
10	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against	

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Emerson Electric Co.						
Ticker	Security ID:	Meeting Date	Meeting Status			
EMR	CUSIP 291011104	02/07/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect David N. Farr	Mgmt	For	For	For	
1.2	Elect William R. Johnson	Mgmt	For	For	For	
1.3	Elect Mathew S. Levatich	Mgmt	For	For	For	
1.4	Elect Joseph W. Prueher	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
4	Ratification of Auditor	Mgmt	For	For	For	
5	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Against	For	Against	
6	Shareholder Proposal Regarding Electioneering Expenditures and Payments to Advocacy	ShrHoldr	Against	For	Against	

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7	Organizations Shareholder Proposal Regarding Lobbying Report	ShrHolder	Against	For	Against
8	Shareholder Proposal Regarding Reducing GHG Emissions	ShrHolder	Against	Against	For

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Express Scripts Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ESRX	CUSIP 30219G108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Maura C. Breen	Mgmt	For	For	For
2	Elect William J. DeLaney	Mgmt	For	For	For
3	Elect Elder Granger	Mgmt	For	For	For
4	Elect Nicholas J. LaHowchic	Mgmt	For	For	For
5	Elect Thomas P. Mac Mahon	Mgmt	For	For	For
6	Elect Frank Mergenthaler	Mgmt	For	For	For
7	Elect Woodrow A. Myers, Jr.	Mgmt	For	For	For
8	Elect Roderick A. Palmore	Mgmt	For	For	For
9	Elect George Paz	Mgmt	For	For	For
10	Elect William L. Roper	Mgmt	For	For	For
11	Elect Seymour Sternberg	Mgmt	For	For	For
12	Elect Timothy Wentworth	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Independent Board Chair	ShrHolder	Against	For	Against
17	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHolder	Against	Against	For

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Gilead Sciences, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GILD	CUSIP 375558103	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John F. Cogan	Mgmt	For	For	For
2	Elect Kelly A. Kramer	Mgmt	For	For	For
3	Elect Kevin E. Lofton	Mgmt	For	For	For
4	Elect John C. Martin	Mgmt	For	For	For



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5	Elect John F. Milligan	Mgmt	For	For	For
6	Elect Nicholas G. Moore	Mgmt	For	For	For
7	Elect Richard J. Whitley	Mgmt	For	For	For
8	Elect Gayle E. Wilson	Mgmt	For	For	For
9	Elect Per Wold-Olsen	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Amendment to the 2004 Equity Incentive Plan	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Harley-Davidson, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HOG	CUSIP 412822108	04/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Troy Alstead	Mgmt	For	For	For
1.2	Elect R. John Anderson	Mgmt	For	For	For
1.3	Elect Michael J. Cave	Mgmt	For	For	For
1.4	Elect Allan C. Golston	Mgmt	For	For	For
1.5	Elect Matthew S. Levatich	Mgmt	For	For	For
1.6	Elect Sara L. Levinson	Mgmt	For	For	For
1.7	Elect N. Thomas Linebarger	Mgmt	For	For	For
1.8	Elect Brian Niccol	Mgmt	For	For	For
1.9	Elect Maryrose T. Sylvester	Mgmt	For	For	For
1.10	Elect Jochen Zeitz	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	Against	Against

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Jones Lang LaSalle Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
JLL	CUSIP 48020Q107	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Hugo Bague	Mgmt	For	For	For
2	Elect Samuel A. Di	Mgmt	For	For	For

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	Piazza, Jr.					
3	Elect DeAnne S. Julius	Mgmt	For	For	For	
4	Elect Ming Lu	Mgmt	For	For	For	
5	Elect Bridget Macaskill	Mgmt	For	For	For	
6	Elect Martin H. Nesbitt	Mgmt	For	For	For	
7	Elect Sheila A. Penrose	Mgmt	For	For	For	
8	Elect Ann Marie Petach	Mgmt	For	For	For	
9	Elect Shailesh Rao	Mgmt	For	For	For	
10	Elect Christian Ulbrich	Mgmt	For	For	For	
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
13	Approval of the 2017 Stock Award and Incentive Plan	Mgmt	For	For	For	
14	Ratification of Auditor	Mgmt	For	For	For	

L Brands, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LB	CUSIP 501797104	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Donna A. James	Mgmt	For	For	For
2	Elect Jeffrey H. Miro	Mgmt	For	For	For
3	Elect Michael G. Morris	Mgmt	For	For	For
4	Elect Raymond Zimmerman	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
8	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Against	For	Against

LinkedIn Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
LNKD	CUSIP 53578A108	08/19/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against

Lowe's Companies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
LOW	CUSIP 548661107	06/02/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Raul Alvarez	Mgmt	For	For	For
1.2	Elect Angela F. Braly	Mgmt	For	For	For
1.3	Elect Sandra B. Cochran	Mgmt	For	Withhold	Against
1.4	Elect Laurie Z. Douglas	Mgmt	For	For	For
1.5	Elect Richard W. Dreiling	Mgmt	For	For	For
1.6	Elect Robert L. Johnson	Mgmt	For	Withhold	Against
1.7	Elect Marshall O. Larsen	Mgmt	For	For	For
1.8	Elect James H. Morgan	Mgmt	For	For	For
1.9	Elect Robert A. Niblock	Mgmt	For	For	For
1.10	Elect Bertram L. Scott	Mgmt	For	For	For
1.11	Elect Eric C. Wiseman	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against	Against	For

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Mastercard Incorporated					
Ticker	Security ID:	Meeting Date	Meeting Status		
MA	CUSIP 57636Q104	06/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard Haythornthwaite	Mgmt	For	For	For
2	Elect Ajay Banga	Mgmt	For	For	For
3	Elect Silvio Barzi	Mgmt	For	For	For
4	Elect David R. Carlucci	Mgmt	For	For	For
5	Elect Steven J. Freiberg	Mgmt	For	For	For
6	Elect Julius Genachowski	Mgmt	For	For	For
7	Elect Merit E. Janow	Mgmt	For	For	For
8	Elect Nancy J. Karch	Mgmt	For	For	For
9	Elect Oki Matsumoto	Mgmt	For	Against	Against
10	Elect Rima Qureshi	Mgmt	For	For	For
11	Elect Jose Octavio Reyes Lagunes	Mgmt	For	For	For
12	Elect Jackson P. Tai	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of Material Terms under the 2006 Long-Term Incentive	Mgmt	For	For	For

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	Plan				
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	Against	For

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Mckesson Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/27/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Andy D. Bryant	Mgmt	For	For	For
2	Elect Wayne A. Budd	Mgmt	For	For	For
3	Elect N. Anthony Coles	Mgmt	For	For	For
4	Elect John H. Hammergren	Mgmt	For	For	For
5	Elect M. Christine Jacobs	Mgmt	For	For	For
6	Elect Donald R. Knauss	Mgmt	For	For	For
7	Elect Marie L. Knowles	Mgmt	For	For	For
8	Elect Edward A. Mueller	Mgmt	For	For	For
9	Elect Susan R. Salka	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For
13	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

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Mead Johnson Nutrition Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MJN	CUSIP 582839106	05/31/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against

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Microsoft Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MSFT	CUSIP 594918104	11/30/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William H. Gates	Mgmt	For	For	For

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	III					
2	Elect Teri L. List-Stoll	Mgmt	For	For	For	
3	Elect G. Mason Morfit	Mgmt	For	For	For	
4	Elect Satya Nadella	Mgmt	For	For	For	
5	Elect Charles H. Noski	Mgmt	For	For	For	
6	Elect Helmut G. W. Panke	Mgmt	For	For	For	
7	Elect Sandra E. Peterson	Mgmt	For	For	For	
8	Elect Charles W. Scharf	Mgmt	For	For	For	
9	Elect John W. Stanton	Mgmt	For	For	For	
10	Elect John W. Thompson	Mgmt	For	For	For	
11	Elect Padmasree Warrior	Mgmt	For	For	For	
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
13	Ratification of Auditor	Mgmt	For	For	For	
14	Amendment to Articles to Reduce the Ownership Threshold Required to Call a Special Meeting	Mgmt	For	For	For	
15	Amendment to the 2001 Stock Plan and French Sub-Plan	Mgmt	For	For	For	
16	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For	

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Mondelez International Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MDLZ	CUSIP 609207105	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lewis W.K. Booth	Mgmt	For	For	For
2	Elect Charles E. Bunch	Mgmt	For	For	For
3	Elect Lois D. Juliber	Mgmt	For	For	For
4	Elect Mark D. Ketchum	Mgmt	For	For	For
5	Elect Jorge S. Mesquita	Mgmt	For	For	For
6	Elect Joseph Neubauer	Mgmt	For	For	For
7	Elect Nelson Peltz	Mgmt	For	For	For
8	Elect Fredric G. Reynolds	Mgmt	For	For	For
9	Elect Irene B. Rosenfeld	Mgmt	For	For	For
10	Elect Christiana Shi	Mgmt	For	For	For
11	Elect Patrick T. Siewert	Mgmt	For	For	For
12	Elect Ruth J. Simmons	Mgmt	For	For	For
13	Elect Jean-Francois M. L. van Boxmeer	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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	Vote on Executive Compensation				
17	Shareholder Proposal Regarding Reporting On The Use of Non-Recyclable Packaging	ShrHolder	Against	Against	For
18	Shareholder Proposal Regarding Plant Closings	ShrHolder	Against	Against	For

Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	01/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dwight M. Barns	Mgmt	For	For	For
2	Elect Gregory H. Boyce	Mgmt	For	For	For
3	Elect David L. Chicoine	Mgmt	For	For	For
4	Elect Janice L. Fields	Mgmt	For	For	For
5	Elect Hugh Grant	Mgmt	For	For	For
6	Elect Arthur H. Harper	Mgmt	For	For	For
7	Elect Laura K. Ipsen	Mgmt	For	For	For
8	Elect Marcos Marinho Lutz	Mgmt	For	For	For
9	Elect C. Steven McMillan	Mgmt	For	For	For
10	Elect Jon R. Moeller	Mgmt	For	For	For
11	Elect George H. Poste	Mgmt	For	For	For
12	Elect Robert J. Stevens	Mgmt	For	Against	Against
13	Elect Patricia Verduin	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Approval of Performance Goals Under and Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHolder	Against	Against	For
19	Shareholder Proposal Regarding Risks of Glyphosate	ShrHolder	Against	Against	For

Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	12/13/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on	Mgmt	For	For	For

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3	Golden Parachutes Right to Adjourn Meeting	Mgmt	For	For	For
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Norfolk Southern Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NSC	CUSIP 655844108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas D. Bell, Jr.	Mgmt	For	For	For
2	Elect Erskine B. Bowles	Mgmt	For	For	For
3	Elect Robert A. Bradway	Mgmt	For	For	For
4	Elect Wesley G. Bush	Mgmt	For	For	For
5	Elect Daniel A. Carp	Mgmt	For	For	For
6	Elect Mitchell E. Daniels, Jr.	Mgmt	For	For	For
7	Elect Marcela E. Donadio	Mgmt	For	For	For
8	Elect Steven F. Leer	Mgmt	For	For	For
9	Elect Michael D. Lockhart	Mgmt	For	For	For
10	Elect Amy E. Miles	Mgmt	For	For	For
11	Elect Martin H. Nesbitt	Mgmt	For	For	For
12	Elect James A. Squires	Mgmt	For	For	For
13	Elect John R. Thompson	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Pfizer Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PFE	CUSIP 717081103	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dennis A. Ausiello	Mgmt	For	For	For
2	Elect Ronald E. Blaylock	Mgmt	For	For	For
3	Elect W. Don Cornwell	Mgmt	For	For	For
4	Elect Joseph J. Echevarria	Mgmt	For	For	For
5	Elect Frances D. Fergusson	Mgmt	For	For	For
6	Elect Helen H. Hobbs	Mgmt	For	For	For
7	Elect James M. Kilts	Mgmt	For	For	For
8	Elect Shantanu Narayen	Mgmt	For	For	For
9	Elect Suzanne Nora Johnson	Mgmt	For	For	For
10	Elect Ian C. Read	Mgmt	For	For	For
11	Elect Stephen W. Sanger	Mgmt	For	For	For
12	Elect James C. Smith	Mgmt	For	For	For

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13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Polaris Industries Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PII	CUSIP 731068102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gary E. Hendrickson	Mgmt	For	For	For
1.2	Elect Gwenne A. Henricks	Mgmt	For	For	For
2	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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salesforce.com, inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRM	CUSIP 79466L302	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Marc R. Benioff	Mgmt	For	For	For
2	Elect Keith G. Block	Mgmt	For	For	For
3	Elect Craig A. Conway	Mgmt	For	For	For
4	Elect Alan G. Hassenfeld	Mgmt	For	For	For
5	Elect Neelie Kroes	Mgmt	For	For	For
6	Elect Colin L. Powell	Mgmt	For	For	For
7	Elect Sanford R. Robertson	Mgmt	For	For	For
8	Elect John V. Roos	Mgmt	For	For	For
9	Elect Robin L. Washington	Mgmt	For	For	For
10	Elect Maynard G. Webb	Mgmt	For	For	For
11	Elect Susan D. Wojcicki	Mgmt	For	For	For



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12	Amendment to the 2013 Equity Incentive Plan	Mgmt	For	For	For
13	Amendment to the 2004 Employee Stock Purchase Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	Against	Against
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against

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Starbucks Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SBUX	CUSIP 855244109	03/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Howard Schultz	Mgmt	For	For	For
2	Elect William W. Bradley	Mgmt	For	For	For
3	Elect Rosalind G. Brewer	Mgmt	For	For	For
4	Elect Mary N. Dillon	Mgmt	For	For	For
5	Elect Robert M. Gates	Mgmt	For	For	For
6	Elect Mellody Hobson	Mgmt	For	For	For
7	Elect Kevin R. Johnson	Mgmt	For	For	For
8	Elect Jorgen Vig Knudstorp	Mgmt	For	For	For
9	Elect Satya Nadella	Mgmt	For	For	For
10	Elect Joshua Cooper Ramo	Mgmt	For	For	For
11	Elect Clara Shih	Mgmt	For	For	For
12	Elect Javier G. Teruel	Mgmt	For	For	For
13	Elect Myron E. Ullman III	Mgmt	For	For	For
14	Elect Craig E. Weatherup	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For

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State Street Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
STT	CUSIP 857477103	05/17/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Kennett F. Burnes	Mgmt	For	For	For
2	Elect Patrick de Saint-Aignan	Mgmt	For	For	For
3	Elect Lynn A. Dugle	Mgmt	For	For	For
4	Elect Amelia C. Fawcett	Mgmt	For	For	For
5	Elect William C. Freda	Mgmt	For	For	For
6	Elect Linda A. Hill	Mgmt	For	For	For
7	Elect Joseph L. Hooley	Mgmt	For	For	For
8	Elect Sean O'Sullivan	Mgmt	For	For	For
9	Elect Richard P. Sergel	Mgmt	For	For	For
10	Elect Gregory L. Summe	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Approval of the 2017 Stock Incentive Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

Stericycle, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SRCL	CUSIP 858912108	05/24/2017	Voted		
Annual Meeting Type	Country of Trade	United States			
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mark C. Miller	Mgmt	For	For	For
2	Elect Jack W. Schuler	Mgmt	For	Against	Against
3	Elect Charles A. Alutto	Mgmt	For	For	For
4	Elect Brian P. Anderson	Mgmt	For	For	For
5	Elect Lynn D. Bleil	Mgmt	For	For	For
6	Elect Thomas D. Brown	Mgmt	For	For	For
7	Elect Thomas F. Chen	Mgmt	For	For	For
8	Elect Robert S. Murley	Mgmt	For	For	For
9	Elect John Patience	Mgmt	For	For	For
10	Elect Mike S. Zafirovski	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to the Employee Stock Purchase Plan	Mgmt	For	For	For
15	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For
17	Shareholder Proposal	ShrHoldr	Against	For	Against

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Regarding  
Compensation in the  
Event of a Change in  
Control

The Walt Disney Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
DIS	CUSIP 254687106	03/08/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Susan E. Arnold	Mgmt	For	For	For	
2	Elect John S. Chen	Mgmt	For	Against	Against	
3	Elect Jack Dorsey	Mgmt	For	Against	Against	
4	Elect Robert A. Iger	Mgmt	For	For	For	
5	Elect Maria Elena Lagomasino	Mgmt	For	For	For	
6	Elect Fred H. Langhammer	Mgmt	For	For	For	
7	Elect Aylwin B. Lewis	Mgmt	For	Against	Against	
8	Elect Robert W. Matschullat	Mgmt	For	For	For	
9	Elect Mark G. Parker	Mgmt	For	For	For	
10	Elect Sheryl Sandberg	Mgmt	For	For	For	
11	Elect Orin C. Smith	Mgmt	For	For	For	
12	Ratification of Auditor	Mgmt	For	For	For	
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against	
16	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For	

Time Warner Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
TWX	CUSIP 887317303	02/15/2017	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger	Mgmt	For	For	For	
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For	
3	Right to Adjourn Meeting	Mgmt	For	For	For	

Transdigm Group Incorporated						
Ticker	Security ID:	Meeting Date	Meeting Status			
TDG	CUSIP 893641100	03/01/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1.1	Elect William Dries	Mgmt	For	For	For
1.2	Elect Mervin Dunn	Mgmt	For	Withhold	Against
1.3	Elect Michael Graff	Mgmt	For	For	For
1.4	Elect Sean P. Hennessy	Mgmt	For	For	For
1.5	Elect W. Nicholas Howley	Mgmt	For	For	For
1.6	Elect Raymond Laubenthal	Mgmt	For	For	For
1.7	Elect Douglas W. Peacock	Mgmt	For	For	For
1.8	Elect Robert J. Small	Mgmt	For	For	For
1.9	Elect John St?r	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	For	1 Year	N/A
4	Ratification of Auditor	Mgmt	For	For	For
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U.S. Bancorp					
Ticker	Security ID:	Meeting Date	Meeting Status		
USB	CUSIP 902973304	04/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Douglas M. Baker, Jr.	Mgmt	For	Against	Against
2	Elect Warner L. Baxter	Mgmt	For	For	For
3	Elect Marc N. Casper	Mgmt	For	For	For
4	Elect Andrew Cecere	Mgmt	For	For	For
5	Elect Arthur D. Collins, Jr.	Mgmt	For	For	For
6	Elect Richard K. Davis	Mgmt	For	For	For
7	Elect Kimberly J. Harris	Mgmt	For	For	For
8	Elect Roland Hernandez	Mgmt	For	For	For
9	Elect Doreen Woo Ho	Mgmt	For	For	For
10	Elect Olivia F. Kirtley	Mgmt	For	For	For
11	Elect Karen S. Lynch	Mgmt	For	For	For
12	Elect David B. O'Maley	Mgmt	For	For	For
13	Elect O'dell M. Owens	Mgmt	For	For	For
14	Elect Craig D. Schnuck	Mgmt	For	For	For
15	Elect Scott W. Wine	Mgmt	For	Against	Against
16	Ratification of Auditor	Mgmt	For	For	For
17	Advisory Vote on Executive Compensation	Mgmt	For	For	For
18	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
19	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Against	For	Against
<hr/>					
United Technologies Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
UTX	CUSIP 913017109	04/24/2017	Voted		
Meeting Type		Country of Trade			

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lloyd J Austin	Mgmt	For	For	For
2	Elect Diane M. Bryant	Mgmt	For	For	For
3	Elect John V. Faraci	Mgmt	For	For	For
4	Elect Jean-Pierre Garnier	Mgmt	For	For	For
5	Elect Gregory J. Hayes	Mgmt	For	For	For
6	Elect Edward A. Kangas	Mgmt	For	For	For
7	Elect Ellen J. Kullman	Mgmt	For	For	For
8	Elect Marshall O. Larsen	Mgmt	For	For	For
9	Elect Harold W. McGraw III	Mgmt	For	For	For
10	Elect Fredric G. Reynolds	Mgmt	For	For	For
11	Elect Brian C. Rogers	Mgmt	For	For	For
12	Elect Christine Todd Whitman	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

V.F. Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
VFC	CUSIP 918204108	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard T. Carucci	Mgmt	For	For	For
1.2	Elect Juliana L. Chugg	Mgmt	For	For	For
1.3	Elect Benno Dorer	Mgmt	For	For	For
1.4	Elect Mark S. Hoplamazian	Mgmt	For	For	For
1.5	Elect Robert J. Hurst	Mgmt	For	For	For
1.6	Elect Laura W. Lang	Mgmt	For	For	For
1.7	Elect W. Alan McCollough	Mgmt	For	For	For
1.8	Elect W. Rodney McMullen	Mgmt	For	Withhold	Against
1.9	Elect Clarence Otis, Jr.	Mgmt	For	For	For
1.10	Elect Steven E. Rendle	Mgmt	For	For	For
1.11	Elect Carol L. Roberts	Mgmt	For	For	For
1.12	Elect Matthew J. Shattock	Mgmt	For	For	For
1.13	Elect Eric C. Wiseman	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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Varian Medical Systems, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VAR	CUSIP 92220P105	02/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Susan L. Bostrom	Mgmt	For	For	For
1.2	Elect Judy Bruner	Mgmt	For	For	For
1.3	Elect Regina E. Dugan	Mgmt	For	For	For
1.4	Elect R. Andrew Eckert	Mgmt	For	For	For
1.5	Elect Mark R. Laret	Mgmt	For	For	For
1.6	Elect Erich R. Reinhardt	Mgmt	For	For	For
1.7	Elect Dow R. Wilson	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2005 Omnibus Stock Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Visa Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
V	CUSIP 92826C839	01/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lloyd A. Carney	Mgmt	For	For	For
2	Elect Mary B. Cranston	Mgmt	For	For	For
3	Elect Francisco Javier Fernandez-Carbajal	Mgmt	For	For	For
4	Elect Gary A. Hoffman	Mgmt	For	For	For
5	Elect Alfred F. Kelly, Jr.	Mgmt	For	For	For
6	Elect Robert W. Matschullat	Mgmt	For	For	For
7	Elect Suzanne Nora Johnson	Mgmt	For	For	For
8	Elect John A.C. Swainson	Mgmt	For	For	For
9	Elect Maynard G. Webb, Jr.	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Wells Fargo & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
WFC	CUSIP 949746101	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect John D. Baker II	Mgmt	For	Against	Against
2	Elect John S. Chen	Mgmt	For	Against	Against
3	Elect Lloyd H. Dean	Mgmt	For	Against	Against
4	Elect Elizabeth A. Duke	Mgmt	For	For	For
5	Elect Enrique Hernandez, Jr.	Mgmt	For	Against	Against
6	Elect Donald M. James	Mgmt	For	For	For
7	Elect Cynthia H. Milligan	Mgmt	For	Against	Against
8	Elect Karen B. Peetz	Mgmt	For	For	For
9	Elect Federico F. Pena	Mgmt	For	For	For
10	Elect James H. Quigley	Mgmt	For	For	For
11	Elect Stephen W. Sanger	Mgmt	For	For	For
12	Elect Ronald L. Sargent	Mgmt	For	For	For
13	Elect Timothy J. Sloan	Mgmt	For	For	For
14	Elect Susan Swenson	Mgmt	For	Against	Against
15	Elect Suzanne M. Vautrinot	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Ratification of Auditor	Mgmt	For	For	For
19	Shareholder Proposal Regarding Retail Banking Sales Practices Report	ShrHoldr	Against	Against	For
20	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding Study Session to Address Divestiture of Non-Core Banking Assets	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	For	Against
23	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
24	Shareholder Proposal Regarding Indigenous Peoples' Rights Policy	ShrHoldr	Against	Against	For

Zimmer Biomet Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
ZBH	CUSIP 98956P102	05/12/2017	Voted
Meeting Type	Country of Trade	Proponent	Mgmt Rec
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect Christopher B. Begley	Mgmt	For
			Vote Cast
			For/Against
			Mgmt
			For

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2	Elect Betsy J. Bernard	Mgmt	For	For	For
3	Elect Gail K. Boudreaux	Mgmt	For	For	For
4	Elect David C. Dvorak	Mgmt	For	For	For
5	Elect Michael J. Farrell	Mgmt	For	For	For
6	Elect Larry C. Glasscock	Mgmt	For	For	For
7	Elect Robert A. Hagemann	Mgmt	For	For	For
8	Elect Arthur J. Higgins	Mgmt	For	For	For
9	Elect Michael W. Michelson	Mgmt	For	For	For
10	Elect Cecil B. Pickett	Mgmt	For	For	For
11	Elect Jeffrey K. Rhodes	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Fund Name : VanEck Vectors Mortgage REIT Income ETF

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### AG Mortgage Investment Trust, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MITT	CUSIP 001228105	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Arthur S. Ainsberg	Mgmt	For	For	For
1.2	Elect Andrew L. Berger	Mgmt	For	For	For
1.3	Elect Joseph LaManna	Mgmt	For	For	For
1.4	Elect Jonathan Lieberman	Mgmt	For	For	For
1.5	Elect Peter Linneman	Mgmt	For	For	For
1.6	Elect David Roberts	Mgmt	For	For	For
1.7	Elect Frank Stadelmaier	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Approval of Charter Amendment	Mgmt	For	For	For

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### AGNC Investment Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGNC	CUSIP 00123Q104	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gary Kain	Mgmt	For	For	For
2	Elect Morris A. Davis	Mgmt	For	For	For
3	Elect Larry K. Harvey	Mgmt	For	For	For
4	Elect Prue B. Larocca	Mgmt	For	For	For
5	Elect Paul E. Mullings	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
7	Frequency of Advisory	Mgmt	1 Year	1 Year	For



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Vote on Executive Compensation						
8	Ratification of Auditor	Mgmt	For	For	For	For
<hr/>						
AGNC Investment Corp.						
Ticker	Security ID:	Meeting Date	Meeting Status			
AGNC	CUSIP 00123Q104	12/09/2016	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	2016 Equity and Incentive Compensation Plan	Mgmt	For		For	For
<hr/>						
Altisource Residential Corp						
Ticker	Security ID:	Meeting Date	Meeting Status			
RESI	CUSIP 02153W100	05/23/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1.1	Elect David B. Reiner	Mgmt	For		For	For
1.2	Elect Rochelle R. Dobbs	Mgmt	For		For	For
1.3	Elect George G. Ellison	Mgmt	For		For	For
1.4	Elect Michael A. Eruzione	Mgmt	For		For	For
1.5	Elect Wade J. Henderson	Mgmt	For		For	For
1.6	Elect William P. Wall	Mgmt	For		For	For
2	Advisory Vote on Executive Compensation	Mgmt	For		For	For
<hr/>						
Annaly Capital Management, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NLY	CUSIP 035710409	05/25/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Francine J. Bovich	Mgmt	For		For	For
2	Elect Jonathan D. Green	Mgmt	For		For	For
3	Elect John H. Schaefer	Mgmt	For		For	For
4	Advisory Vote on Executive Compensation	Mgmt	For		For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt		1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For		For	For
<hr/>						
Anworth Mortgage Asset Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
ANH	CUSIP 037347101	05/17/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Lloyd McAdams	Mgmt	For		For	For
2	Elect Lee A. Ault, III	Mgmt	For		For	For

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3	Elect Joe E. Davis	Mgmt	For	For	For
4	Elect Robert C. Davis	Mgmt	For	For	For
5	Elect Mark S. Maron	Mgmt	For	For	For
6	Elect Joseph E. McAdams	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
9	Ratification of Auditor	Mgmt	For	For	For

Apollo Commercial Real Estate Finance, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARI	CUSIP 03762U105	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeffery M. Gault	Mgmt	For	For	For
1.2	Elect Mark C. Biderman	Mgmt	For	For	For
1.3	Elect Robert A. Kasdin	Mgmt	For	For	For
1.4	Elect Eric L. Press	Mgmt	For	For	For
1.5	Elect Scott S. Prince	Mgmt	For	For	For
1.6	Elect Stuart A. Rothstein	Mgmt	For	For	For
1.7	Elect Michael E. Salvati	Mgmt	For	For	For
1.8	Elect Cindy Z. Michel	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Armour Residential REIT, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARR	CUSIP 042315507	06/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Scott J. Ulm	Mgmt	For	For	For
1.2	Elect Jeffrey J. Zimmer	Mgmt	For	For	For
1.3	Elect Daniel C. Staton	Mgmt	For	For	For
1.4	Elect Marc H. Bell	Mgmt	For	Withhold	Against
1.5	Elect Carolyn Downey	Mgmt	For	For	For
1.6	Elect Thomas K. Guba	Mgmt	For	For	For
1.7	Elect Robert C. Hain	Mgmt	For	For	For
1.8	Elect John P. Hollihan III	Mgmt	For	For	For
1.9	Elect Stewart J. Paperin	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Blackstone Mortgage Trust, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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BXMT	CUSIP 09257W100	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael Nash	Mgmt	For	For	For
1.2	Elect Stephen D. Plavin	Mgmt	For	For	For
1.3	Elect Leonard W. Cotton	Mgmt	For	For	For
1.4	Elect Thomas E. Dobrowski	Mgmt	For	For	For
1.5	Elect Martin L. Edelman	Mgmt	For	For	For
1.6	Elect Henry N. Nassau	Mgmt	For	Withhold	Against
1.7	Elect Jonathan Pollack	Mgmt	For	For	For
1.8	Elect Lynne B. Sagalyn	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Capstead Mortgage Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
CMO	CUSIP 14067E506	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jack Bernard	Mgmt	For	For	For
2	Elect Jack Biegler	Mgmt	For	For	For
3	Elect Michelle P. Goolsby	Mgmt	For	For	For
4	Elect Gary Keiser	Mgmt	For	For	For
5	Elect Christopher W. Mahowald	Mgmt	For	For	For
6	Elect Michael G. O'Neil	Mgmt	For	For	For
7	Elect Phillip A. Reinsch	Mgmt	For	Abstain	Against
8	Elect Mark S. Whiting	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For	For	For

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Chimera Investment Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
CIM	CUSIP 16934Q208	06/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Paul Donlin	Mgmt	For	For	For
2	Elect Mark Abrams	Mgmt	For	For	For
3	Elect Gerard Creagh	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Ratification of Auditor	Mgmt	For	For	For

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Colony Capital, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CLNY	CUSIP 19624R106	12/20/2016	Voted
Meeting Type		Country of Trade	
Special		United States	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Amendments to Articles Pursuant to Merger	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
4	Right to Adjourn Meeting	Mgmt	For	For	For

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CYS Investments, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CYS	CUSIP 12673A108	05/12/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kevin E. Grant	Mgmt	For	For	For
1.2	Elect Tanya S. Beder	Mgmt	For	For	For
1.3	Elect Karen Hammond	Mgmt	For	For	For
1.4	Elect Stephen P. Jonas	Mgmt	For	For	For
1.5	Elect Raymond A. Redlingshafer, Jr.	Mgmt	For	For	For
1.6	Elect Dale Anne Reiss	Mgmt	For	For	For
1.7	Elect James A. Stern	Mgmt	For	For	For
1.8	Elect David A. Tyson	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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Dynex Capital, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
DX	CUSIP 26817Q506	05/16/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Byron L. Boston	Mgmt	For	For	For
1.2	Elect Michael R. Hughes	Mgmt	For	Withhold	Against
1.3	Elect Barry Igdaloff	Mgmt	For	For	For
1.4	Elect Valerie A. Mosley	Mgmt	For	For	For
1.5	Elect Robert A. Salcetti	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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Hannon Armstrong Sustainable Infrastructure Capital, Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
HASI	CUSIP 41068X100	06/01/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jeffrey W. Eckel	Mgmt	For	For	For
1.2	Elect Rebecca A. Blalock	Mgmt	For	For	For
1.3	Elect Teresa M. Brenner	Mgmt	For	For	For
1.4	Elect Mark J. Cirilli	Mgmt	For	For	For
1.5	Elect Charles M. O'Neil	Mgmt	For	For	For
1.6	Elect Richard J. Osborne	Mgmt	For	For	For
1.7	Elect Steve G. Osgood	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Invesco Mortgage Capital Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
IVR	CUSIP 46131B100	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James S. Balloun	Mgmt	For	For	For
2	Elect John S. Day	Mgmt	For	For	For
3	Elect Karen D. Kelley	Mgmt	For	For	For
4	Elect Carolyn B. Handlon	Mgmt	For	For	For
5	Elect Edward J. Hardin	Mgmt	For	For	For
6	Elect James R. Lientz, Jr.	Mgmt	For	For	For
7	Elect Dennis P. Lockhart	Mgmt	For	For	For
8	Elect Gregory G. McGreevey	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For	For	For

iStar Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
STAR	CUSIP 45031U408	05/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Jay Sugarman	Mgmt	For	For	For
1.2	Elect Clifford De Souza	Mgmt	For	For	For
1.3	Elect Robert W. Holman, Jr.	Mgmt	For	For	For

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1.4	Elect Robin Josephs	Mgmt	For	For	For
1.5	Elect Dale Anne Reiss	Mgmt	For	For	For
1.6	Elect Barry W. Ridings	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Simple Majority Vote for Bylaw Amendments	ShrHoldr	Against	For	Against

Ladder Capital Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
LADR	CUSIP 505743104	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Brian Harris	Mgmt	For	For	For
1.2	Elect Mark Alexander	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

MFA Financial, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MFA	CUSIP 55272X102	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stephen R. Blank	Mgmt	For	For	For
2	Elect Laurie Goodman	Mgmt	For	For	For
3	Elect William S. Gorin	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

MTGE Investment Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
MTGE	CUSIP 55378A105	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gary D. Kain	Mgmt	For	For	For
2	Elect Steven W. Abrahams	Mgmt	For	For	For
3	Elect Julia L. Coronado	Mgmt	For	For	For
4	Elect Robert M. Couch	Mgmt	For	For	For
5	Elect Randy E. Dobbs	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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	Vote on Executive Compensation					
8	Ratification of Auditor	Mgmt	For	For	For	For

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New Residential Investment Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
NRZ	CUSIP 64828T201	05/25/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Tyson	Mgmt	For	For	For
2	Elect David Saltzman	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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New York Mortgage Trust, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
NYMT	CUSIP 649604501	05/11/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David R. Bock	Mgmt	For	For	For
1.2	Elect Michael B. Clement	Mgmt	For	For	For
1.3	Elect Kevin M. Donlon	Mgmt	For	For	For
1.4	Elect Alan L. Hainey	Mgmt	For	For	For
1.5	Elect Steven R. Mumma	Mgmt	For	For	For
1.6	Elect Steven G. Norcutt	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Approval of the 2017 Equity Incentive Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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Pennymac Mortgage Investment Trust

Ticker	Security ID:	Meeting Date	Meeting Status
PMT	CUSIP 70931T103	05/25/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Preston DuFauchard	Mgmt	For	For	For
1.2	Elect Nancy McAllister	Mgmt	For	For	For
1.3	Elect Stacey D. Stewart	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Redwood Trust, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
RWT	CUSIP 758075402	05/18/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Richard D. Baum	Mgmt	For	For	For
2	Elect Douglas B. Hansen	Mgmt	For	For	For
3	Elect Mariann Byerwalter	Mgmt	For	For	For
4	Elect Debora D. Horvath	Mgmt	For	For	For
5	Elect Martin S. Hughes	Mgmt	For	For	For
6	Elect Greg H. Kubicek	Mgmt	For	For	For
7	Elect Karen R. Pallota	Mgmt	For	For	For
8	Elect Jeffrey T. Pero	Mgmt	For	For	For
9	Elect Georganne C. Proctor	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Starwood Property Trust, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
STWD	CUSIP 85571B105	05/04/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard D. Bronson	Mgmt	For	For	For
1.2	Elect Jeffrey G. Dishner	Mgmt	For	For	For
1.3	Elect Camille J. Douglas	Mgmt	For	For	For
1.4	Elect Solomon J. Kumin	Mgmt	For	For	For
1.5	Elect Barry S. Sternlicht	Mgmt	For	For	For
1.6	Elect Strauss Zelnick	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Approval of the 2017 Manager Equity Plan	Mgmt	For	Against	Against
5	Approval of the 2017 Equity Plan	Mgmt	For	Against	Against
6	Ratification of Auditor	Mgmt	For	For	For

Two Harbors Investment Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TWO	CUSIP 90187B101	05/17/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect James J. Bender	Mgmt	For	For	For
3	Elect Stephen G. Kasnet	Mgmt	For	For	For
4	Elect Lisa A. Pollina	Mgmt	For	For	For



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5	Elect William Roth	Mgmt	For	For	For
6	Elect W. Reid Sanders	Mgmt	For	For	For
7	Elect Thomas Siering	Mgmt	For	For	For
8	Elect Brian C. Taylor	Mgmt	For	For	For
9	Elect Hope B. Woodhouse	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Western Asset Mortgage Capital Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
WMC	CUSIP 95790D105	06/01/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Edward D. Fox	Mgmt	For
1.2	Elect James W. Hirschmann	Mgmt	For
1.3	Elect Ranjit M. Kripalani	Mgmt	For
1.4	Elect M. Christian Mitchell	Mgmt	For
1.5	Elect Jennifer W. Murphy	Mgmt	For
1.6	Elect Richard W. Roll	Mgmt	For
2	Ratification of Auditor	Mgmt	For
Fund Name : VanEck Vectors Natural Resources ETF			

Acerinox, S.A.

Ticker	Security ID:	Meeting Date	Meeting Status
ACX	CINS E00460233	05/31/2017	Voted
Meeting Type	Country of Trade		
Ordinary	Spain		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A
4	Accounts and Reports	Mgmt	For
5	Allocation of Profits	Mgmt	For
6	Ratification of Board Acts	Mgmt	For
7	Special Dividend	Mgmt	For
8	Remuneration Policy (Binding)	Mgmt	For
9	Elect Ryo Hattori	Mgmt	For
10	Ratify Co-option and Elect Tomas Hevia Armengol	Mgmt	For
11	Ratify Co-option and Elect Rosa Maria Garcia Pineiro	Mgmt	For
12	Ratify Co-option and Elect Laura Gonzalez Molero	Mgmt	For
13	Elect Marta Martinez	Mgmt	For

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14	Alonso Authorisation of Legal Formalities	Mgmt	For	For	For
15	Remuneration Report (Advisory)	Mgmt	For	Against	Against
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Minutes	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Adecoagro S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGRO	CUSIP L00849106	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For
2	Approval of 2016 Accounts	Mgmt	For	For	For
3	Allocation of 2016 Results	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Approval of 2016 Directors Fees	Mgmt	For	For	For
6	Approval of 2017 Directors Fees	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	Abstain	Against
8	Elect Mariano Bosch	Mgmt	For	For	For
9	Elect Plinio Villares Musetti	Mgmt	For	For	For
10	Elect Daniel C. Gonzalez	Mgmt	For	For	For
11	Elect James David Anderson	Mgmt	For	For	For
12	Elect Marcelo Vieira	Mgmt	For	For	For
13	Elect Walter M. Sanchez	Mgmt	For	For	For
14	Amendments to Articles	Mgmt	For	For	For

AGCO Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AGCO	CUSIP 001084102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roy V. Armes	Mgmt	For	For	For
2	Elect Michael C. Arnold	Mgmt	For	For	For
3	Elect P. George Benson	Mgmt	For	For	For
4	Elect Wolfgang Deml	Mgmt	For	For	For
5	Elect George E. Minnich	Mgmt	For	For	For
6	Elect Martin H. Richenhagen	Mgmt	For	For	For
7	Elect Gerald L. Shaheen	Mgmt	For	For	For
8	Elect Mallika Srinivasan	Mgmt	For	For	For
9	Elect Hendrikus Visser	Mgmt	For	For	For

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10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

Agnico-Eagle Mines Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
AEM	CUSIP 008474108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Leanne M. Baker	Mgmt	For	For	For
1.2	Elect Sean Boyd	Mgmt	For	For	For
1.3	Elect Martine A. Celej	Mgmt	For	For	For
1.4	Elect Robert J. Gemmell	Mgmt	For	For	For
1.5	Elect Mel Leiderman	Mgmt	For	For	For
1.6	Elect Deborah A. McCombe	Mgmt	For	For	For
1.7	Elect James D. Nasso	Mgmt	For	For	For
1.8	Elect Sean Riley	Mgmt	For	For	For
1.9	Elect J. Merfyn Roberts	Mgmt	For	For	For
1.10	Elect Jamie C. Sokalsky	Mgmt	For	For	For
1.11	Elect Howard R. Stockford	Mgmt	For	For	For
1.12	Elect Pertti Voutilainen	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Agrium Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGU	CUSIP 008916108	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Maura J. Clark	Mgmt	For	For	For
1.2	Elect David C. Everitt	Mgmt	For	For	For
1.3	Elect Russell K. Girling	Mgmt	For	For	For
1.4	Elect Russell J. Horner	Mgmt	For	For	For
1.5	Elect Miranda C. Hubbs	Mgmt	For	For	For
1.6	Elect Charles V. Magro	Mgmt	For	For	For
1.7	Elect A. Anne McLellan	Mgmt	For	For	For
1.8	Elect Derek G. Pannell	Mgmt	For	For	For
1.9	Elect Mayo M. Schmidt	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Agrium Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status
AGU	CUSIP 008916108	11/03/2016	Voted
Meeting Type		Country of Trade	
Special		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For
		For	For

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Aguas Andinas S.A.			
Ticker	Security ID:	Meeting Date	Meeting Status
AGUASA	CINS P4171M125	04/24/2017	Voted
Meeting Type		Country of Trade	
Ordinary		Chile	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Dividends Policy	Mgmt	For
4	Related Party Transactions	Mgmt	For
5	Appointment of Auditor	Mgmt	For
6	Appointment of Risk Rating Agency	Mgmt	For
7	Directors' Fees	Mgmt	For
8	Report on Board of Directors' Expenses	Mgmt	For
9	Directors' Committee Fees and Budget	Mgmt	For
10	Report of the Director's Committee Acts and Expenditures	Mgmt	For
11	Publication of Company Notices	Mgmt	For
12	Transaction of Other Business	Mgmt	N/A
		Against	N/A

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AK Steel Holding Corporation			
Ticker	Security ID:	Meeting Date	Meeting Status
AKS	CUSIP 001547108	05/25/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Dennis C. Cuneo	Mgmt	For
2	Elect Sheri H. Edison	Mgmt	For
3	Elect Mark G. Essig	Mgmt	For
4	Elect William K. Gerber	Mgmt	For
5	Elect Gregory B. Kenny	Mgmt	For
6	Elect Ralph S. Michael, III	Mgmt	For
7	Elect Roger K. Newport	Mgmt	For
8	Elect James A. Thomson	Mgmt	For
9	Elect Dwayne A. Wilson	Mgmt	For
10	Elect Vicente Wright	Mgmt	For
11	Elect Arlene M. Yocum	Mgmt	For
12	Ratification of Auditor	Mgmt	For

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13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the Omnibus Management Incentive Plan	Mgmt	For	For	For

Alamos Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGI	CINS 011532108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Mark J. Daniel	Mgmt	For	For	For
3	Elect Patrick D. Downey	Mgmt	For	For	For
4	Elect David Fleck	Mgmt	For	For	For
5	Elect David Gower	Mgmt	For	For	For
6	Elect Claire Kennedy	Mgmt	For	For	For
7	Elect John A. McCluskey	Mgmt	For	For	For
8	Elect Paul J. Murphy	Mgmt	For	For	For
9	Elect Ronald E. Smith	Mgmt	For	For	For
10	Elect Kenneth G. Stowe	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Alcoa Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AA	CUSIP 013872106	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael G. Morris	Mgmt	For	For	For
2	Elect Mary Anne Citrino	Mgmt	For	For	For
3	Elect Timothy P. Flynn	Mgmt	For	For	For
4	Elect Kathryn S. Fuller	Mgmt	For	For	For
5	Elect Roy C. Harvey	Mgmt	For	For	For
6	Elect James A. Hughes	Mgmt	For	For	For
7	Elect James E. Nevels	Mgmt	For	For	For
8	Elect James W. Owens	Mgmt	For	For	For
9	Elect Carol L. Roberts	Mgmt	For	For	For
10	Elect Suzanne Sitherwood	Mgmt	For	For	For
11	Elect Steven W. Williams	Mgmt	For	For	For
12	Elect Ernesto Zedillo	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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16	Compensation Amendment to the Annual Cash Incentive Compensation Plan	Mgmt	For	For	For
17	Amendment to the 2016 Stock Incentive Plan	Mgmt	For	For	For

Alcoa Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AA	CUSIP 013817101	10/05/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Reverse Stock Split	Mgmt	For	For	For
2	Decrease of Authorized Common Stock	Mgmt	For	For	For

Allegheny Technologies Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
ATI	CUSIP 01741R102	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Diggs	Mgmt	For	For	For
2	Elect J. Brett Harvey	Mgmt	For	For	For
3	Elect David J. Morehouse	Mgmt	For	For	For
4	Approval of 2017 Incentive Plan	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For

Alumina Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AWC	CINS Q0269M109	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect John Pizzey	Mgmt	For	For	For
4	Re-elect Peter Day	Mgmt	For	For	For
5	Re-elect Michael Ferraro	Mgmt	For	For	For
6	Equity Grant (MD/CEO Peter Wasow)	Mgmt	For	For	For

Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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2600	CINS Y0094N109	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Loss Recovery Proposals	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Directors' and Supervisors' Remuneration Standards	Mgmt	For	Abstain	Against
9	Renewal of Liability Insurance	Mgmt	For	Abstain	Against
10	Authority to Give Guarantees by Chalco Shandong to Shandong Advanced Material	Mgmt	For	For	For
11	Authority to Give Guarantees to Chalco Hong Kong and Subsidiaries	Mgmt	For	For	For
12	Authority to Give Guarantees by the Company and Chalco Shandong to Xinghua Technology	Mgmt	For	For	For
13	Authority to Give Guarantees by the Chalco Trading to Chalco Trading Hong Kong	Mgmt	For	For	For
14	Authority to Give Guarantees (Ningxia Energy and Subsidiaries)	Mgmt	For	For	For
15	Acquisition	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Authority to Issue Debt Instruments	Mgmt	For	For	For
18	Authority to Issue Overseas Bonds	Mgmt	For	For	For
19	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

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Aluminum Corporation of China Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2600	CINS Y0094N109	09/06/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For
3	Increase in Guarantee Amount	Mgmt	For	For	For
4	Authority to Give Guarantees	Mgmt	For	For	For

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American States Water Company

Ticker	Security ID:	Meeting Date	Meeting Status		
AWR	CUSIP 029899101	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John R. Fielder	Mgmt	For	For	For
1.2	Elect James F. McNulty	Mgmt	For	For	For
1.3	Elect Janice F. Wilkins	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

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Anadarko Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APC	CUSIP 032511107	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Anthony R. Chase	Mgmt	For	For	For
2	Elect David E. Constable	Mgmt	For	For	For
3	Elect H. Paulett Eberhart	Mgmt	For	For	For
4	Elect Claire S. Farley	Mgmt	For	For	For
5	Elect Peter J. Fluor	Mgmt	For	For	For
6	Elect Richard L. George	Mgmt	For	For	For
7	Elect Joseph W. Gordor	Mgmt	For	For	For
8	Elect John R. Gordon	Mgmt	For	For	For
9	Elect Sean Gourley	Mgmt	For	For	For
10	Elect Mark C. McKinley	Mgmt	For	For	For
11	Elect Eric D. Mullins	Mgmt	For	For	For
12	Elect R. A. Walker	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0347	CINS Y0132D105	02/15/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A



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2	Authority to Issue Medium Term Notes	Mgmt	For	For	For
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Angang Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0347	CINS Y0132D105	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Annual Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue H shares or other transferable rights w/o Preemptive Rights	Mgmt	For	Against	Against
12	Amendments to Articles	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Anglo American Platinum Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AMS	CINS S9122P108	04/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Mark Cutifani	Mgmt	For	For	For
2	Re-elect Richard M.W. Dunne	Mgmt	For	For	For
3	Re-elect Mohammed V. Moosa	Mgmt	For	For	For
4	Re-elect Anthony M. O'Neill	Mgmt	For	For	For
5	Elect Audit & Risk Committee Member (Richard Dunne)	Mgmt	For	For	For
6	Elect Audit & Risk Committee Member (Peter Mageza)	Mgmt	For	Against	Against
7	Elect Audit & Risk Committee Member (John Vice)	Mgmt	For	For	For
8	Elect Audit & Risk Committee Member	Mgmt	For	Against	Against

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	(Daisy Naidoo)					
9	Appointment of Auditor	Mgmt	For	For	For	For
10	General Authority to Issue Shares	Mgmt	For	For	For	For
11	Authorisation of Legal Formalities	Mgmt	For	For	For	For
12	Approve Remuneration Policy	Mgmt	For	For	For	For
13	Approve NEDs' Fees	Mgmt	For	For	For	For
14	Approve Financial Assistance	Mgmt	For	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For	For

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Anglo American plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AAL	CINS G03764134	04/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Stephen Pearce	Mgmt	For	For	For
3	Elect Nolitha Fakude	Mgmt	For	For	For
4	Elect Mark Cutifani	Mgmt	For	For	For
5	Elect Byron E. Grote	Mgmt	For	For	For
6	Elect Sir Philip Hampton	Mgmt	For	For	For
7	Elect Tony O'Neill	Mgmt	For	For	For
8	Elect Sir John Parker	Mgmt	For	For	For
9	Elect Mphu Ramatlapeng	Mgmt	For	For	For
10	Elect Jim Rutherford	Mgmt	For	For	For
11	Elect Anne Stevens	Mgmt	For	For	For
12	Elect Jack Thompson	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Remuneration Policy (Binding)	Mgmt	For	For	For
16	Remuneration Report (Advisory)	Mgmt	For	For	For
17	Board Size	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Anglogold Ashanti Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
ANG	CUSIP 035128206	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Sipho M. Pityana	Mgmt	For	For	For
2	Re-elect Rodney J. Ruston	Mgmt	For	For	For
3	Re-elect Maria Richter	Mgmt	For	For	For
4	Elect Sindiswa V. Zilwa	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (Rhidwaan Gasant)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Michael J. Kirkwood)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Rodney J. Ruston)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member (Albert Garner)	Mgmt	For	For	For
9	Elect Audit and Risk Committee Member (Maria Richter)	Mgmt	For	For	For
10	Elect Audit and Risk Committee Member (Sindiswa V. Zilwa)	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Approve Remuneration Policy	Mgmt	For	For	For
14	Approve NEDs' Fees	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Issue Shares pursuant to DSP	Mgmt	For	For	For
17	Authority to Issue Shares Pursuant to DSP (Section 41)	Mgmt	For	For	For
18	Authority to Issue Shares for Cash	Mgmt	For	For	For
19	Approve Financial Assistance	Mgmt	For	For	For
20	Amendments to MOI	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For

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Antofagasta plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
ANTO	CINS G0398N128	05/24/2017	Voted			
Meeting Type	Country of Trade					
Annual	United Kingdom					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For		For	For
2	Remuneration Report (Advisory)	Mgmt	For		For	For
3	Remuneration Policy (Binding)	Mgmt	For		For	For
4	Allocation of Profits/Dividends	Mgmt	For		For	For
5	Elect Jean-Paul Luksic Fontbona	Mgmt	For		For	For
6	Elect Manuel Lino S. De Sousa-Oliveira	Mgmt	For		For	For
7	Elect Gonzalo Menendez Duque	Mgmt	For		For	For
8	Elect Ramon F. Jara	Mgmt	For		For	For
9	Elect Juan Claro Gonzalez	Mgmt	For		For	For
10	Elect William M. Hayes	Mgmt	For		For	For
11	Elect Timothy C. Baker	Mgmt	For		For	For
12	Elect Andronico Luksic	Mgmt	For		For	For
13	Elect Vivianne Blanlot	Mgmt	For		For	For
14	Elect Jorge Bande Bruck	Mgmt	For		For	For
15	Elect Francisca Castro Fones	Mgmt	For		For	For
16	Appointment of Auditor	Mgmt	For		For	For
17	Authority to Set Auditor's Fees	Mgmt	For		For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For		For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For		For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For		For	For
21	Authority to Repurchase Shares	Mgmt	For		For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For		For	For
23	Non-Voting Meeting Note	N/A	N/A		N/A	N/A

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Apache Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
APA	CUSIP 037411105	05/11/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt

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1	Elect Annell R. Bay	Mgmt	For	For	For
2	Elect John J. Christmann IV	Mgmt	For	For	For
3	Elect Chansoo Joung	Mgmt	For	For	For
4	Elect William C. Montgomery	Mgmt	For	For	For
5	Elect Amy H. Nelson	Mgmt	For	For	For
6	Elect Daniel W. Rabun	Mgmt	For	For	For
7	Elect Peter A. Ragauss	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Aqua America, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WTR	CUSIP 03836W103	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Carolyn J. Burke	Mgmt	For	For	For
1.2	Elect Nicholas DeBenedictis	Mgmt	For	For	For
1.3	Elect Christopher H. Franklin	Mgmt	For	For	For
1.4	Elect Richard H. Glanton	Mgmt	For	For	For
1.5	Elect Lon R. Greenberg	Mgmt	For	For	For
1.6	Elect William P. Hankowsky	Mgmt	For	Withhold	Against
1.7	Elect Wendell F. Holland	Mgmt	For	For	For
1.8	Elect Ellen T. Ruff	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

ARC Resources Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARX	CINS 00208D408	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect David L. Collyer	Mgmt	For	For	For
3	Elect John P. Dielwart	Mgmt	For	For	For
4	Elect Fred J. Dyment	Mgmt	For	For	For
5	Elect Timothy J. Hearn	Mgmt	For	For	For
6	Elect James C. Houck	Mgmt	For	For	For
7	Elect Harold N. Kvisle	Mgmt	For	For	For
8	Elect Kathleen M. O'Neill	Mgmt	For	For	For

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9	Elect Herbert C. Pinder, Jr.	Mgmt	For	For	For
10	Elect William G. Sembo	Mgmt	For	For	For
11	Elect Nancy L. Smith	Mgmt	For	For	For
12	Elect Myron M Stadnyk	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

ArcelorMittal S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
MT	CINS L0302D129	05/10/2017	Voted		
Meeting Type	Country of Trade				
Mix	Luxembourg				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Consolidated Accounts and Reports	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Ratification of Board Acts	Mgmt	For	For	For
8	Elect Lakshmi N. Mittal	Mgmt	For	For	For
9	Elect Bruno Lafont	Mgmt	For	For	For
10	Elect Michel Wurth	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Performance Share Unit Plan	Mgmt	For	Against	Against
13	Reverse Stock Split	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Dematerialisation of Bearer Shares	Mgmt	For	For	For

Archer-Daniels-Midland Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ADM	CUSIP 039483102	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan L. Boeckmann	Mgmt	For	For	For
2	Elect Terrell K. Crews	Mgmt	For	For	For
3	Elect Pierre Dufour	Mgmt	For	For	For
4	Elect Donald E. Felsing	Mgmt	For	For	For
5	Elect Suzan F. Harrison	Mgmt	For	For	For
6	Elect Juan R. Luciano	Mgmt	For	For	For
7	Elect Patrick J. Moore	Mgmt	For	Against	Against
8	Elect Francisco Sanchez	Mgmt	For	For	For

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9	Elect Debra A. Sandler	Mgmt	For	For	For
10	Elect Daniel Shih	Mgmt	For	For	For
11	Elect Kelvin R. Westbrook	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Arconic Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARNC	CUSIP 03965L100	05/25/2017	Take No Action		
Meeting Type	Country of Trade				
Proxy Contest	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Amy E. Alving	Mgmt	For	TNA	N/A
1.2	Elect David P Hess	Mgmt	For	TNA	N/A
1.3	Election of Directors	Mgmt	For	TNA	N/A
1.4	Elect Ulrich R. Schmidt	Mgmt	For	TNA	N/A
1.5	Election of Directors	Mgmt	For	TNA	N/A
2	Ratification of Auditor	Mgmt	For	TNA	N/A
3	Advisory Vote on Executive Compensation	Mgmt	For	TNA	N/A
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	TNA	Against
5	Amendment to Articles Regarding Elimination of Supermajority Requirement (Fair Price Protection)	Mgmt	For	TNA	N/A
6	Amendment to Articles Regarding Elimination of Supermajority Requirement (Director Elections)	Mgmt	For	TNA	N/A
7	Amendment to Articles Regarding Elimination of Supermajority Requirement (Removal of Directors)	Mgmt	For	TNA	N/A
8	Repeal of Classified Board	Mgmt	For	TNA	N/A
9	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	For	TNA	N/A

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Arconic Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARNC	CUSIP 03965L100	05/25/2017	Take No Action		
Meeting Type	Country of Trade				
Proxy Contest	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1.1	Elect Amy E. Alving	Mgmt	For	TNA	N/A
1.2	Elect David P Hess	Mgmt	For	TNA	N/A
1.3	Elect James F. Albaugh	Mgmt	For	TNA	N/A
1.4	Elect Ulrich R. Schmidt	Mgmt	For	TNA	N/A
1.5	Elect Janet C. Wolfenbarger	Mgmt	For	TNA	N/A
2	Ratification of Auditor	Mgmt	For	TNA	N/A
3	Advisory Vote on Executive Compensation	Mgmt	For	TNA	N/A
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	TNA	Against
5	Amendment to Articles Regarding Elimination of Supermajority Requirement (Fair Price Protection)	Mgmt	For	TNA	N/A
6	Amendment to Articles Regarding Elimination of Supermajority Requirement (Director Elections)	Mgmt	For	TNA	N/A
7	Amendment to Articles Regarding Elimination of Supermajority Requirement (Removal of Directors)	Mgmt	For	TNA	N/A
8	Repeal of Classified Board	Mgmt	For	TNA	N/A
9	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	For	TNA	N/A

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Arconic Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARNC	CUSIP 03965L100	05/25/2017	Voted		
Meeting Type	Country of Trade				
Proxy Contest	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Christopher L. Ayers	Mgmt	N/A	For	N/A
1.2	Elect Elmer L. Doty	Mgmt	N/A	For	N/A
1.3	Elect Bernd F. Kessler	Mgmt	N/A	For	N/A
1.4	Elect Patrice E. Merrin	Mgmt	N/A	For	N/A
1.5	Elect Management Nominee Ulrich Schmidt	Mgmt	N/A	For	N/A
2	Ratification of Auditor	Mgmt	N/A	For	N/A
3	Advisory Vote on Executive Compensation	Mgmt	N/A	For	N/A
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	1 Year	N/A
5	Amendment to Articles Regarding Elimination of Supermajority	Mgmt	N/A	For	N/A



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	Requirement (Fair Price Protection)				
6	Amendment to Articles Regarding Elimination of Supermajority Requirement (Director Elections)	Mgmt	N/A	For	N/A
7	Amendment to Articles Regarding Elimination of Supermajority Requirement (Removal of Directors)	Mgmt	N/A	For	N/A
8	Repeal of Classified Board	Mgmt	N/A	For	N/A
9	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	N/A	For	N/A

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Astra Agro Lestari Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
AALI	CINS Y7116Q119	04/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		Indonesia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors and Approval of Directors' and Commissioners' Fees	Mgmt	For	Against	Against
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
5	Use of Proceed from LPO	Mgmt	For	For	For

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Aurubis AG

Ticker	Security ID:	Meeting Date	Meeting Status		
NDA	CINS D10004105	03/02/2017	Take No Action		
Meeting Type		Country of Trade			
Annual		Germany			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Authority to Issue	Mgmt	For	TNA	N/A

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Convertible Debt  
Instruments; Increase  
in Conditional  
Capital

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B2Gold Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
BTO	CINS 11777Q209	06/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Clive T. Johnson	Mgmt	For	For	For
4	Elect Robert Cross	Mgmt	For	For	For
5	Elect Robert Gayton	Mgmt	For	For	For
6	Elect Jerry R. Korpan	Mgmt	For	For	For
7	Elect Bongani Mtshisi	Mgmt	For	For	For
8	Elect Kevin Bullock	Mgmt	For	Against	Against
9	Elect George Johnson	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Baker Hughes Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
BHI	CUSIP 057224107	04/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory D. Brenneman	Mgmt	For	For	For
2	Elect Clarence P. Cazalot, Jr.	Mgmt	For	For	For
3	Elect Martin S. Craighead	Mgmt	For	For	For
4	Elect William H. Easter III	Mgmt	For	For	For
5	Elect Lynn L. Elsenhans	Mgmt	For	For	For
6	Elect Anthony G. Fernandes	Mgmt	For	For	For
7	Elect Claire W. Gargalli	Mgmt	For	For	For
8	Elect Pierre J. Jungels	Mgmt	For	For	For
9	Elect James A. Lash	Mgmt	For	For	For
10	Elect J. Larry Nichols	Mgmt	For	For	For
11	Elect James W. Stewart	Mgmt	For	For	For
12	Elect Charles L. Watson	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

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Baker Hughes Incorporated					
Ticker	Security ID:	Meeting Date	Meeting Status		
BHI	CUSIP 057224107	06/30/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
4	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
5	Approval of Performance Terms under the STI Plan and LTI Plan	Mgmt	For	For	For

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Barrick Gold Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
ABX	CUSIP 067901108	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gustavo A. Cisneros	Mgmt	For	For	For
1.2	Elect Graham G. Clow	Mgmt	For	Withhold	Against
1.3	Elect Gary A. Doer	Mgmt	For	For	For
1.4	Elect Kelvin P. M. Dushinky	Mgmt	For	For	For
1.5	Elect J. Michael Evans	Mgmt	For	For	For
1.6	Elect Brian L. Greenspun	Mgmt	For	For	For
1.7	Elect J. Brett Harvey	Mgmt	For	For	For
1.8	Elect Nancy H.O. Lockhart	Mgmt	For	For	For
1.9	Elect Pablo Marcet	Mgmt	For	For	For
1.10	Elect Dambisa F. Moyo	Mgmt	For	For	For
1.11	Elect Anthony Munk	Mgmt	For	For	For
1.12	Elect J. Robert S. Prichard	Mgmt	For	For	For
1.13	Elect Steven J. Shapiro	Mgmt	For	For	For
1.14	Elect John L. Thornton	Mgmt	For	For	For
1.15	Elect Ernie L. Thrasher	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Bega Cheese Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
BGA	CINS Q14034104	10/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		Australia			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Max Roberts	Mgmt	For	Against	Against
4	Re-elect Richard Platts	Mgmt	For	Against	Against
5	Approve Increase in Directors' Fee Cap	Mgmt	For	For	For

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BHP Billiton Limited

Ticker	Security ID:	Meeting Date	Meeting Status
BHP	CINS Q1498M100	11/17/2016	Voted
Meeting Type	Country of Trade		
Annual	Australia		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports (BHP Billiton plc & BHP Billiton Limited)	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
6	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase Shares	Mgmt	For	For	For
8	Remuneration Report (Advisory-- UK)	Mgmt	For	For	For
9	Remuneration Report (Advisory-- AUS)	Mgmt	For	For	For
10	Equity Grant (MD/CEO Andrew Mackenzie)	Mgmt	For	For	For
11	Elect Ken N. MacKenzie	Mgmt	For	For	For
12	Re-elect Malcolm Brinded	Mgmt	For	Against	Against
13	Re-elect Malcolm Broomhead	Mgmt	For	Against	Against
14	Re-elect Pat Davies	Mgmt	For	Against	Against
15	Re-elect Anita Frew	Mgmt	For	For	For
16	Re-elect Carolyn Hewson	Mgmt	For	For	For
17	Re-elect Andrew Mackenzie	Mgmt	For	For	For
18	Re-elect Lindsay P. Maxsted	Mgmt	For	For	For
19	Re-elect Wayne Murdy	Mgmt	For	For	For
20	Re-elect Shriti Vadera	Mgmt	For	For	For
21	Re-elect Jacques Nasser	Mgmt	For	For	For

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BillerudKorsnas AB

Ticker	Security ID:	Meeting Date	Meeting Status

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BILL	CINS W16021102	05/10/2017	Voted			
Meeting Type	Annual	Country of Trade	Sweden			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
12	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
14	Accounts and Reports	Mgmt		For	For	For
15	Allocation of Profits/Dividends	Mgmt		For	For	For
16	Ratification of Board and CEO Acts	Mgmt		For	For	For
17	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
18	Board Size	Mgmt		For	For	For
19	Directors and Auditors' Fees	Mgmt		For	For	For
20	Elect Andrea Gisle Joosen	Mgmt		For	Against	Against
21	Elect Bengt Hammar	Mgmt		For	For	For
22	Elect Mikael Hellberg	Mgmt		For	For	For
23	Elect Lennart Holm	Mgmt		For	For	For
24	Elect Michael Kaufmann	Mgmt		For	For	For
25	Elect Kristina Schauman	Mgmt		For	For	For
26	Elect Victoria Van Camp	Mgmt		For	For	For
27	Election of Chair and Vice Chair	Mgmt		For	For	For
28	Appointment of Auditor	Mgmt		For	For	For
29	Remuneration Guidelines	Mgmt		For	For	For
30	Long Term Incentive Plan	Mgmt		For	For	For
31	Authority to Repurchase Shares Pursuant to LTIP	Mgmt		For	For	For
32	Authority to Issue Treasury Shares Pursuant to LTIP	Mgmt		For	For	For
33	Non-Voting Agenda Item	N/A	N/A		N/A	N/A
34	Shareholder Proposal Regarding Occupational Accidents	ShrHoldr	N/A		Against	N/A
35	Shareholder Proposal Regarding Occupational Accidents Working Group	ShrHoldr	N/A		Against	N/A

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36	Shareholder Proposal Regarding Occupational Accidents Report	ShrHoldr	N/A	Against	N/A
37	Shareholder Proposal Regarding Equality	ShrHoldr	N/A	Against	N/A
38	Shareholder Proposal Regarding Equality Working Group	ShrHoldr	N/A	Against	N/A
39	Shareholder Proposal Regarding Equality Report	ShrHoldr	N/A	Against	N/A
40	Shareholder Proposal Regarding Shareholders' Association	ShrHoldr	N/A	Against	N/A
41	Shareholder Proposal Regarding Directors' Fees	ShrHoldr	N/A	Against	N/A
42	Shareholder Proposal Regarding Nomination Committee Instructions	ShrHoldr	N/A	Against	N/A
43	Shareholder Proposal Regarding Directors' Fees Petition	ShrHoldr	N/A	Against	N/A
44	Shareholder Proposal Regarding Unequal Voting Rights Petition	ShrHoldr	N/A	Against	N/A
45	Shareholder Proposal Regarding Recruitment of Politicians	ShrHoldr	N/A	Against	N/A
46	Shareholder Proposal Regarding Cool Off Period for Politicians	ShrHoldr	N/A	Against	N/A
47	Shareholder Proposal Regarding Small and Medium Sized Shareholders	ShrHoldr	N/A	Against	N/A
48	Shareholder Proposal Regarding Small and Medium Sized Shareholders Petition	ShrHoldr	N/A	Against	N/A
49	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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BlueScope Steel Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
BSL	CINS Q1415L177	11/10/2016		Voted	
Meeting Type		Country of Trade			
Annual		Australia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For

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3	Re-elect Ewen Crouch	Mgmt	For	For	For
4	Re-elect Lloyd Jones	Mgmt	For	For	For

Boliden AB

Ticker	Security ID:	Meeting Date	Meeting Status		
BOL	CINS W17218103	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Accounts and Reports	Mgmt	For	For	For
15	Allocation of Profits/Dividends	Mgmt	For	For	For
16	Ratification of Board and CEO Acts	Mgmt	For	For	For
17	Board Size; Number of Auditors	Mgmt	For	For	For
18	Directors' Fees	Mgmt	For	For	For
19	Election of Directors	Mgmt	For	For	For
20	Authority to Set Auditor's Fees	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Remuneration Guidelines	Mgmt	For	Against	Against
23	Nomination Committee	Mgmt	For	For	For
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

BP plc

Ticker	Security ID:	Meeting Date	Meeting Status		
BP	CINS G12793108	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Robert W. Dudley	Mgmt	For	For	For
5	Elect Brian Gilvary	Mgmt	For	For	For
6	Elect Nils Andersen	Mgmt	For	For	For
7	Elect Paul M. Anderson	Mgmt	For	For	For
8	Elect Alan L. Boeckmann	Mgmt	For	For	For
9	Elect Frank L. Bowman	Mgmt	For	For	For
10	Elect Ian E.L. Davis	Mgmt	For	For	For
11	Elect Ann Dowling	Mgmt	For	For	For

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12	Elect Melody B. Meyer	Mgmt	For	For	For
13	Elect Brendan R. Nelson	Mgmt	For	For	For
14	Elect Paula Rospot Reynolds	Mgmt	For	For	For
15	Elect John Sawers	Mgmt	For	For	For
16	Elect Carl-Henric Svanberg	Mgmt	For	For	For
17	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
18	Authorisation of Political Donations	Mgmt	For	For	For
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Bunge Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
BG	CUSIP G16962105	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ernest G. Bachrach	Mgmt	For	For	For
2	Elect Enrique H. Boilini	Mgmt	For	For	For
3	Elect Carol M. Browner	Mgmt	For	For	For
4	Elect Paul Cornet de Ways-Ruart	Mgmt	For	For	For
5	Elect Andrew A. Ferrier	Mgmt	For	For	For
6	Elect Andreas Fibig	Mgmt	For	For	For
7	Elect Kathleen Hyle	Mgmt	For	For	For
8	Elect L. Patrick Lupo	Mgmt	For	For	For
9	Elect John E. McGlade	Mgmt	For	For	For
10	Elect Soren Schroder	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Non-Employee Directors Equity	Mgmt	For	For	For



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Incentive  
Plan

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Cabot Oil & Gas Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
COG	CUSIP 127097103	05/03/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Dorothy M. Ables	Mgmt	For
2	Elect Rhys J. Best	Mgmt	For
3	Elect Robert S. Boswell	Mgmt	For
4	Elect Dan O. Dinges	Mgmt	For
5	Elect Robert Kelley	Mgmt	For
6	Elect W. Matt Ralls	Mgmt	For
7	Ratification of Auditor	Mgmt	For
8	Advisory Vote on Executive Compensation	Mgmt	For
9	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For

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Calbee Inc

Ticker	Security ID:	Meeting Date	Meeting Status
2229	CINS J05190103	06/21/2017	Voted
Meeting Type	Country of Trade		
Annual	Japan		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Akira Matsumoto	Mgmt	For
4	Elect Shuji Itoh	Mgmt	For
5	Elect Yuzaburoh Mogi	Mgmt	For
6	Elect Takahisa Takahara	Mgmt	For
7	Elect Atsuko Fukushima	Mgmt	For
8	Elect Yoshihiko Miyachi	Mgmt	For
9	Elect YAO Weiwei	Mgmt	For
10	Elect Kazunari Uchida as Alternate Statutory Auditor	Mgmt	For
11	Bonus	Mgmt	For
12	Extension and Revision to Performance-Linked Equity Compensation Plan	Mgmt	For

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California Water Service Group

Ticker	Security ID:	Meeting Date	Meeting Status
CWT	CUSIP 130788102	05/24/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect Gregory E. Aliff	Mgmt	For
2	Elect Terry P. Bayer	Mgmt	For
3	Elect Edwin A. Guiles	Mgmt	For

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4	Elect Martin A. Kropelnicki	Mgmt	For	For	For
5	Elect Thomas M. Krummel	Mgmt	For	For	For
6	Elect Richard P. Magnuson	Mgmt	For	For	For
7	Elect Peter C. Nelson	Mgmt	For	For	For
8	Elect Lester A. Snow	Mgmt	For	For	For
9	Elect George A. Vera	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Caltex Australia Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CTX	CINS Q19884107	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Greig Gailey	Mgmt	For	For	For
3	Re-elect Bruce Morgan	Mgmt	For	For	For
4	Elect Melinda Conrad	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For
6	Equity Grant (MD/CEO Julian Segal)	Mgmt	For	For	For

Cameco Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CCO	CUSIP 13321L108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ian D. Bruce	Mgmt	For	For	For
1.2	Elect Daniel Camus	Mgmt	For	For	For
1.3	Elect John H. Clappison	Mgmt	For	For	For
1.4	Elect Donald H.F. Deranger	Mgmt	For	For	For
1.5	Elect Catherine A. Gignac	Mgmt	For	For	For
1.6	Elect Timothy S. Gitzel	Mgmt	For	For	For
1.7	Elect James K. Gowans	Mgmt	For	For	For
1.8	Elect Kathryn J. Jackson	Mgmt	For	For	For
1.9	Elect Donald B. Kayne	Mgmt	For	For	For
1.10	Elect A. Anne McLellan	Mgmt	For	For	For
1.11	Elect A. Neil McMillan	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Canadian Resident Status	Mgmt	Against	Abstain	N/A

Canadian Natural Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
CNQ	CUSIP 136385101	05/04/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
1.1	Elect Catherine M. Best	Mgmt	For	For	For
1.2	Elect N. Murray Edwards	Mgmt	For	For	For
1.3	Elect Timothy W. Faithfull	Mgmt	For	For	For
1.4	Elect Gary A. Filmon	Mgmt	For	For	For
1.5	Elect Christopher L. Fong	Mgmt	For	For	For
1.6	Elect Gordon D. Giffin	Mgmt	For	For	For
1.7	Elect Wilfred A. Gobert	Mgmt	For	For	For
1.8	Elect Steve W. Laut	Mgmt	For	For	For
1.9	Elect Frank J. McKenna	Mgmt	For	For	For
1.10	Elect David A. Tuer	Mgmt	For	For	For
1.11	Elect Annette Verschuren	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Canfor Corporation

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
CFP	CINS 137576104	04/26/2017		Voted	
Meeting Type		Country of Trade			
Annual		Canada			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Peter J.G. Bentley	Mgmt	For	For	For
3	Elect John R. Baird	Mgmt	For	For	For
4	Elect Ryan Barrington-Foote	Mgmt	For	For	For
5	Elect Glen Clark	Mgmt	For	For	For
6	Elect Michael J. Korenberg	Mgmt	For	For	For
7	Elect Conrad A. Pinette	Mgmt	For	For	For
8	Elect James McNeill Singleton	Mgmt	For	For	For
9	Elect Ross S. Smith	Mgmt	For	For	For
10	Elect William W. Stinson	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Board Diversity Policy	ShrHoldr	Against	For	Against

Carpenter Technology Corporation

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
CRS	CUSIP 144285103	10/11/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
1.1	Elect I. Martin Inglis	Mgmt	For	For	For

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1.2	Elect Kathryn C. Turner	Mgmt	For	For	For
1.3	Elect Stephen M. Ward, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the Stock-Based Incentive Compensation Plan	Mgmt	For	For	For
5	Amendment to the Executive Bonus Compensation Plan	Mgmt	For	For	For

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Centerra Gold Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CG	CINS 152006102	05/02/2017	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Richard W. Connor	Mgmt	For	For	For
3	Elect Eduard Kubatov	Mgmt	For	For	For
4	Elect Nurlan Kyshtobaev	Mgmt	For	For	For
5	Elect Stephen A. Lang	Mgmt	For	For	For
6	Elect Michael Parrett	Mgmt	For	For	For
7	Elect Scott G. Perry	Mgmt	For	For	For
8	Elect Jacques Perron	Mgmt	For	For	For
9	Elect Sheryl K. Pressler	Mgmt	For	For	For
10	Elect Terry V. Rogers	Mgmt	For	For	For
11	Elect Bektur Sagynov	Mgmt	For	For	For
12	Elect Bruce V. Walter	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Amendment to the Restricted Share Unit Plan	Mgmt	For	For	For
15	Approval of the Employee Share Purchase Plan	Mgmt	For	For	For
16	Transaction of Other Business	Mgmt	For	Against	Against

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Centrica plc

Ticker	Security ID:	Meeting Date	Meeting Status		
CNA	CINS G2018Z143	05/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Joan Gillman	Mgmt	For	For	For

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5	Elect Stephen Hester	Mgmt	For	For	For
6	Elect Scott Wheway	Mgmt	For	For	For
7	Elect Richard Haythornthwaite	Mgmt	For	For	For
8	Elect Iain C. Conn	Mgmt	For	For	For
9	Elect Jeff Bell	Mgmt	For	For	For
10	Elect Margherita Della Valle	Mgmt	For	For	For
11	Elect Mark Hanafin	Mgmt	For	For	For
12	Elect Mark Hodges	Mgmt	For	For	For
13	Elect Lesley Knox	Mgmt	For	For	For
14	Elect Carlos Pascual	Mgmt	For	For	For
15	Elect Steve Pusey	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authorisation of Political Donations	Mgmt	For	For	For
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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CF Industries Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CF	CUSIP 125269100	05/12/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert C. Arzbaecher	Mgmt	For	For	For
2	Elect William Davisson	Mgmt	For	For	For
3	Elect Stephen A. Furbacher	Mgmt	For	For	For
4	Elect Stephen J. Hagge	Mgmt	For	For	For
5	Elect John D. Johnson	Mgmt	For	For	For
6	Elect Robert G. Kuhbach	Mgmt	For	For	For
7	Elect Anne P. Noonan	Mgmt	For	For	For
8	Elect Edward A. Schmitt	Mgmt	For	For	For
9	Elect Theresa E Wagler	Mgmt	For	For	For
10	Elect W. Anthony Will	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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Vote on Executive Compensation						
13	Ratification of Auditor	Mgmt		For	For	For
<hr/>						
Cheniere Energy, Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
LNG	CUSIP 16411R208	01/31/2017		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Approval of the 2011 Incentive Plan	Mgmt	For	For	For	
<hr/>						
Cheniere Energy, Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
LNG	CUSIP 16411R208	05/18/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect G. Andrea Botta	Mgmt	For	For	For	
2	Elect Jack A. Fusco	Mgmt	For	For	For	
3	Elect Vicky A. Bailey	Mgmt	For	For	For	
4	Elect Nuno Brandolini	Mgmt	For	For	For	
5	Elect Jonathan Christodoro	Mgmt	For	For	For	
6	Elect David I. Foley	Mgmt	For	For	For	
7	Elect David B. Kilpatrick	Mgmt	For	For	For	
8	Elect Samuel J. Merksamer	Mgmt	For	For	For	
9	Elect Donald F. Robillard, Jr.	Mgmt	For	For	For	
10	Elect Neal A. Shear	Mgmt	For	For	For	
11	Elect Heather R. Zichal	Mgmt	For	For	For	
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
14	Ratification of Auditor	Mgmt	For	For	For	
15	Amendment to the 2011 Incentive Plan	Mgmt	For	For	For	
<hr/>						
Cherepovets Metal Factory Severstal						
Ticker	Security ID:	Meeting Date		Meeting Status		
CHMF	CINS 818150302	06/09/2017		Voted		
Meeting Type		Country of Trade				
Annual		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Alexey A. Mordashov	Mgmt	For	Abstain	Against	
3	Elect Alexey G. Kulichenko	Mgmt	For	Abstain	Against	
4	Elect Vladimir A. Lukin	Mgmt	For	Abstain	Against	

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5	Elect Andrey A. Mitukov	Mgmt	For	Abstain	Against
6	Elect Alexander A. Shevelev	Mgmt	For	Abstain	Against
7	Elect Philip J. Dayer	Mgmt	For	For	For
8	Elect Alun D. Bowen	Mgmt	For	For	For
9	Elect Veikko S. Tamminen	Mgmt	For	For	For
10	Elect Vladimir A. Mau	Mgmt	For	Abstain	Against
11	Elect Alexander A. Auzan	Mgmt	For	For	For
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	1Q2017 Interim Dividend	Mgmt	For	For	For
15	Elect Nikolay V. Lavrov	Mgmt	For	For	For
16	Elect Roman I. Antonov	Mgmt	For	For	For
17	Elect Svetlana V. Guseva	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Related Party Transactions	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Chevron Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CVX	CUSIP 166764100	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Wanda M. Austin	Mgmt	For	For	For
2	Elect Linnet F. Deily	Mgmt	For	For	For
3	Elect Robert E. Denham	Mgmt	For	For	For
4	Elect Alice P. Gast	Mgmt	For	For	For
5	Elect Enrique Hernandez, Jr.	Mgmt	For	For	For
6	Elect Jon M. Huntsman, Jr.	Mgmt	For	For	For
7	Elect Charles W. Moorman, IV	Mgmt	For	For	For
8	Elect Dambisa F. Moyo	Mgmt	For	For	For
9	Elect Ronald D. Sugar	Mgmt	For	For	For
10	Elect Inge G. Thulin	Mgmt	For	For	For
11	Elect John S. Watson	Mgmt	For	For	For
12	Elect Michael K. Wirth	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in	ShrHoldr	Against	Against	For

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18	Genocide Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	Abstain	Against
19	Shareholder Proposal Regarding Report on Transition to a Low Carbon Economy	ShrHoldr	Against	Against	For
20	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against
21	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	Against	For

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China Agri-Industries Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0606	CINS Y1375F104	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect DONG Wei	Mgmt	For	For	For
6	Elect YANG Hong	Mgmt	For	For	For
7	Elect SHI Bo	Mgmt	For	For	For
8	Elect LI Jian	Mgmt	For	For	For
9	Elect JIA Peng	Mgmt	For	Against	Against
10	Elect Ambrose LAM Wai Hon	Mgmt	For	Against	Against
11	Elect ONG Teck Chye	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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China Coal Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1898	CINS Y1434L100	06/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 Capital Investment Budget	Mgmt	For	For	For
7	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Revision of Annual Caps (2015 Coal Supply Framework Agreement)	Mgmt	For	For	For
10	2018 Coal Supply Framework Agreement	Mgmt	For	For	For
11	2018 Integrated Materials and Services Mutual Provision Framework Agreement	Mgmt	For	For	For
12	2018 Project Design, Construction and General Contracting Services Framework Agreement	Mgmt	For	For	For
13	2018 Financial Services Framework Agreement	Mgmt	For	For	For
14	Elect ZHANG Chengjie	Mgmt	For	For	For
15	Elect LEUNG Chong Shun	Mgmt	For	For	For
16	Elect DU Ji'an	Mgmt	For	For	For
17	Elect WANG Wenzhang	Mgmt	For	Against	Against

China Gas Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0384	CINS G2109G103	08/16/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect ZHOU Si	Mgmt	For	For	For
6	Elect LI Ching	Mgmt	For	For	For
7	Elect LIU Mingxing	Mgmt	For	For	For
8	Elect MAO Erwan	Mgmt	For	For	For
9	Elect Cynthia WONG Sin Yue	Mgmt	For	For	For

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10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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China Gas Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0384	CINS G2109G103	08/16/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For
4	Board Acts in Relation to Acquisition	Mgmt	For	For	For
5	Issuance of Consideration Shares	Mgmt	For	For	For

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China Modern Dairy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1117	CINS G21579100	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect HAN Chunlin	Mgmt	For	For	For
5	Elect Julian Juul Wolhardt	Mgmt	For	Against	Against
6	Elect LI Shengli	Mgmt	For	Against	Against
7	Elect Conway LEE Kong Wai	Mgmt	For	Against	Against
8	Elect LU Minfang	Mgmt	For	Against	Against
9	Elect WEN Yonping	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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China Modern Dairy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1117	CINS G21579100	08/01/2016	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For
4	Issuance of Shares in Merger	Mgmt	For	For	For
5	Authorization of Legal Formalities	Mgmt	For	For	For

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China Modern Dairy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status
1117	CINS G21579100	09/30/2016	Voted
Meeting Type	Country of Trade		
Special	Cayman Islands		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Adoption of Share Option Scheme	Mgmt	For	Against	Against

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3993	CINS Y1503Z105	04/14/2017	Voted
Meeting Type	Country of Trade		
Special	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Cooperation Arrangement	Mgmt	For	For	For
4	Board Authorization of Investment Cooperation	Mgmt	For	For	For
5	Liability Insurance	Mgmt	For	For	For
6	Introducing New Shareholders to BHR	Mgmt	For	For	For
7	Plan for Distribution of Accumulated Profits	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3993	CINS Y1503Z105	06/28/2017	Voted
Meeting Type	Country of Trade		
Other	China		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z105	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Financial Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	2017 Budget Report	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Give Guarantees	Mgmt	For	Abstain	Against
11	Authority to Issue Bonds in Overseas Market	Mgmt	For	For	For
12	Approval to Commence Hedging Business	Mgmt	For	For	For
13	Authority to Declare Interim and Quarterly Dividends	Mgmt	For	For	For
14	Authority to Repurchase H Shares	Mgmt	For	For	For
15	Legal Compliance for Corporate Bonds Issue	Mgmt	For	For	For
16	Issue size	Mgmt	For	For	For
17	Par value and issue price	Mgmt	For	For	For
18	Type and maturity	Mgmt	For	For	For
19	Interest rate	Mgmt	For	For	For
20	Issue methods	Mgmt	For	For	For
21	Offering arrangement for shareholders	Mgmt	For	For	For
22	Terms on redemption or repurchase	Mgmt	For	For	For
23	Guarantee arrangement	Mgmt	For	For	For
24	Use of proceeds	Mgmt	For	For	For
25	Protective measures for repayment	Mgmt	For	For	For
26	Listing venue	Mgmt	For	For	For
27	Term of validity	Mgmt	For	For	For
28	Ratification of Board Acts (Corporate Bonds Issue)	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
3993	CINS Y1503Z105	09/23/2016	Voted
Meeting Type	Country of Trade		
Other	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Class and Par Value	Mgmt	For	For	For
3	Issue Price and Pricing Principle	Mgmt	For	For	For
4	Number of Shares	Mgmt	For	For	For
5	Target Subscribers	Mgmt	For	For	For
6	Method of Subscriptions	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For
9	Place of Listing	Mgmt	For	For	For
10	Use of Proceeds From Fund Raising	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For
13	Board Authorization to Implement Share Issuance	Mgmt	For	For	For
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Molybdenum Company Limited

Ticker Security ID: Meeting Date Meeting Status  
 3993 CINS Y1503Z105 09/23/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
3	Major Asset Purchase (Niobium-Phosphates)	Mgmt	For	For	For
4	Report Regarding Asset Purchase	Mgmt	For	For	For
5	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
6	Article 4 Compliance	Mgmt	For	For	For
7	Article 5 Compliance	Mgmt	For	For	For
8	Related Party Certification	Mgmt	For	For	For
9	Ratification of Board Acts	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Molybdenum Company Limited

Ticker Security ID: Meeting Date Meeting Status  
 3993 CINS Y1503Z105 09/23/2016 Voted  
 Meeting Type Country of Trade  
 Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
3	Major Asset Purchase (Copper-Cobalt)	Mgmt	For	For	For
4	Report Regarding Asset Purchase	Mgmt	For	For	For
5	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
6	Article 4 Compliance	Mgmt	For	For	For
7	Article 5 Compliance	Mgmt	For	For	For
8	Related Party Certification	Mgmt	For	For	For
9	Ratification of Board Acts	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z105	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Non-public Issuance of A Shares	Mgmt	For	For	For
3	Class and Par Value	Mgmt	For	For	For
4	Issue Price and Pricing Principle	Mgmt	For	For	For
5	Number of Shares	Mgmt	For	For	For
6	Target Subscribers	Mgmt	For	For	For
7	Method of Subscriptions	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For
10	Place of Listing	Mgmt	For	For	For
11	Use of Proceeds From Fund Raising	Mgmt	For	For	For
12	Validity Period of Resolution	Mgmt	For	For	For
13	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For
14	Related Party Transactions: Non-Public Issuance of A Shares	Mgmt	For	For	For
15	Feasibility Report on Use of Proceed	Mgmt	For	For	For

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16	Report on Use of Proceeds from Previous Raised Funds	Mgmt	For	For	For
17	Board Authorization to Implement Share Issuance	Mgmt	For	For	For
18	Shareholder Return Plan	Mgmt	For	Abstain	Against
19	Risk Alert and Remedial Measures for Dilution of Current Returns	Mgmt	For	For	For
20	Undertaking by Controlling Shareholders for Dilution of Current Returns	Mgmt	For	For	For
21	Undertaking by Directors and Senior Management for Dilution of Current Returns	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2883	CINS Y15002101	06/01/2017	Voted
Meeting Type	Country of Trade		
Annual	China		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Accounts and Reports	Mgmt	For
3	Allocation of Profits/Dividends	Mgmt	For
4	Directors' Report	Mgmt	For
5	Supervisors' Report	Mgmt	For
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For
7	Elect Lawrence LAW Hong Ping	Mgmt	For
8	Authority to Give Guarantees	Mgmt	For
9	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For
10	Authority to Repurchase Domestic and/or H Shares	Mgmt	For
11	Amendments to Articles	Mgmt	For
12	Non-Voting Meeting Note	N/A	N/A

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China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2883	CINS Y15002101	06/01/2017	Voted
Meeting Type	Country of Trade		
Other	China		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Repurchase Domestic and/or H Shares	Mgmt	For	For	For

China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002101	07/22/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Give Guarantees	Mgmt	For	For	For
3	Elect QI Meisheng	Mgmt	For	For	For
4	Elect DONG Weiliang	Mgmt	For	For	For
5	Elect XIE Weizhi	Mgmt	For	For	For
6	Amendments to Articles	Mgmt	For	For	For

China Oilfield Services Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2883	CINS Y15002101	12/15/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Master Services Framework Agreement	Mgmt	For	For	For
3	Amendments to Connected Transactions Decision-making Mechanism	Mgmt	For	For	For
4	Elect LV Bo	Mgmt	For	For	For
5	Elect LI Feilong	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

China Petroleum & Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	CINS Y15010104	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For



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8	Authority to Declare Interim Dividends	Mgmt	For	For	For
9	Authority to Issue Debt Instruments	Mgmt	For	Against	Against
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Elect LI Yunpeng as Director	Mgmt	For	For	For
12	Elect ZHAO Dong as Supervisor	Mgmt	For	Against	Against
13	Amendments to Articles and Rules of Procedure for Board Meetings	Mgmt	For	For	For
14	Plan of Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For
15	Compliance of Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For
16	Undertaking of Maintaining Independent Listing Status of the Company	Mgmt	For	For	For
17	Description of Sustained Profitability and Prospects	Mgmt	For	For	For
18	Board Authorization to Deal with Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For
19	Assured Entitlement to Holders of H Shares of the Company for Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For

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China Petroleum & Chemical Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
0386	CINS Y15010104	06/28/2017	Voted		
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Assured Entitlement to Holders of H Shares of the Company for Overseas Listing of Sinopec Marketing Co., Ltd.	Mgmt	For	For	For

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China Shenhua Energy Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1088	CINS Y1504C113	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Final Dividend	Mgmt	For	For	For
7	Allocation of Special Dividend	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Debt Instruments	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Elect LING Wen	Mgmt	For	For	For
13	Elect HAN Jianguo	Mgmt	For	For	For
14	Elect LI Dong	Mgmt	For	For	For
15	Elect ZHAO Jibin	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Elect Maria TAM Wai Chu	Mgmt	For	Against	Against
18	Elect JIANG Bo	Mgmt	For	For	For
19	Elect Christina ZHONG Yingjie	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Elect ZHAI Richeng	Mgmt	For	For	For
22	Elect ZHOU Dayu	Mgmt	For	Against	Against
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Steel Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
2002	CINS Y15041109	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Taiwan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
5	Non-compete Restrictions for	Mgmt	For	For	For

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6	Chairman Wong Chao-tung Non-compete Restrictions for Director LIU Jih-gang	Mgmt	For	For	For
<hr/>					
Cimarex Energy Co.					
Ticker	Security ID:	Meeting Date	Meeting Status		
XEC	CUSIP 171798101	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David A. Hentschel	Mgmt	For	For	For
2	Elect Thomas E. Jordan	Mgmt	For	For	For
3	Elect Floyd R. Price	Mgmt	For	For	For
4	Elect Frances M. Vallejo	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For
<hr/>					
CIMIC Group Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
CIM	CINS Q2424E105	04/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	Against	Against
3	Re-elect Marcelino Fernandez Verdes	Mgmt	For	Against	Against
4	Re-elect Jose Luis del Valle Perez	Mgmt	For	Against	Against
5	Re-elect Pedro Jose Lopez Jimenez	Mgmt	For	Against	Against
6	Adopt New Constitution	Mgmt	For	For	For
<hr/>					
CNH Industrial N.V.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CNHI	CUSIP N20944109	04/14/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Elect Sergio Marchionne	Mgmt	For	For	For
5	Elect Richard Tobin	Mgmt	For	For	For

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6	Elect Mina Gerowin	Mgmt	For	For	For
7	Elect Suzanne Heywood	Mgmt	For	For	For
8	Elect Leo W. Houle	Mgmt	For	For	For
9	Elect Peter Kalantzis	Mgmt	For	For	For
10	Elect John Lanaway	Mgmt	For	For	For
11	Elect Silke Christina Scheiber	Mgmt	For	For	For
12	Elect Guido Tabellini	Mgmt	For	For	For
13	Elect Jacqueline Tammenoms Bakker	Mgmt	For	For	For
14	Elect Jacques Theurillat	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Directors' Fees	Mgmt	For	For	For

Cnooc Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0883	CINS Y1662W117	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect YUAN Guangyu	Mgmt	For	For	For
6	Elect XU Keqiang	Mgmt	For	For	For
7	Elect LIU Jian	Mgmt	For	For	For
8	Elect Lawrence J. LAU	Mgmt	For	For	For
9	Elect Kevin G. Lynch	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Cnooc Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
0883	CINS Y1662W117	12/01/2016	Voted		
Meeting Type	Country of Trade				
Special	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-exempt Continuing Connected Transactions	Mgmt	For	For	For
4	Proposed Cap for	Mgmt	For	For	For

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Non-exempt Continuing  
Connected  
Transactions

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Coeur Mining, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CDE	CUSIP 192108504	05/09/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Linda L. Adamany	Mgmt	For
2	Elect Kevin S. Crutchfield	Mgmt	For
3	Elect Sebastian Edwards	Mgmt	For
4	Elect Randolph E. Gress	Mgmt	For
5	Elect Mitchell J. Krebs	Mgmt	For
6	Elect Robert E. Mellor	Mgmt	For
7	Elect John H. Robinson	Mgmt	For
8	Elect J. Kenneth Thompson	Mgmt	For
9	Ratification of Auditor	Mgmt	For
10	Advisory Vote on Executive Compensation	Mgmt	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For

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Commercial Metals Company

Ticker	Security ID:	Meeting Date	Meeting Status
CMC	CUSIP 201723103	01/11/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Vicki L. Avril	Mgmt	For
2	Elect Robert L. Guido	Mgmt	For
3	Elect Sarah E. Raiss	Mgmt	For
4	Elect J. David Smith	Mgmt	For
5	Ratification of Auditor	Mgmt	For
6	Advisory Vote on Executive Compensation	Mgmt	For

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Companhia de Saneamento Basico do Estado de Sao Paulo - SABESP

Ticker	Security ID:	Meeting Date	Meeting Status
SBSP3	CUSIP 20441A102	04/28/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For
3	Elect Francisco Luiz Sibut Gomide	Mgmt	For
4	Election of Supervisory Council	Mgmt	For
5	Remuneration Policy	Mgmt	For
			Against
			Against

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Companhia de Saneamento Basico do Estado de Sao Paulo - SABESP

Ticker	Security ID:	Meeting Date	Meeting Status
SBSP3	CUSIP 20441A102	07/28/2016	Voted
Meeting Type	Country of Trade		
Special	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2	Elect Arno Meyer	Mgmt	For	For	For
3	Approve Updated Administrators' Global Remuneration for 2016	Mgmt	For	For	For

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Compania de Minas Buenaventura S.A.A.

Ticker	Security ID:	Meeting Date	Meeting Status
BUENAVC1	CUSIP 204448104	03/28/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
5	Election of Directors	Mgmt	For	For	For

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Concho Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CXO	CUSIP 20605P101	05/17/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Timothy A. Leach	Mgmt	For	For	For
2	Elect William H. Easter III	Mgmt	For	For	For
3	Elect John P. Surma	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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ConocoPhillips

Ticker	Security ID:	Meeting Date	Meeting Status
COP	CUSIP 20825C104	05/16/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard L. Armitage	Mgmt	For	For	For
2	Elect Richard H. Auchinleck	Mgmt	For	For	For
3	Elect Charles E. Bunch	Mgmt	For	For	For

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4	Elect John V. Faraci	Mgmt	For	For	For
5	Elect Jody L Freeman	Mgmt	For	For	For
6	Elect Gay Huey Evans	Mgmt	For	For	For
7	Elect Ryan M. Lance	Mgmt	For	For	For
8	Elect Arjun N. Murti	Mgmt	For	For	For
9	Elect Robert A. Niblock	Mgmt	For	For	For
10	Elect Harald J. Norvik	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	For	1 Year	For
14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
15	Shareholder Proposal Regarding Report on Linking Executive Pay Incentives to Low-Carbon Scenarios	ShrHoldr	Against	Against	For

Continental Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CLR	CUSIP 212015101	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William B. Berry	Mgmt	For	For	For
1.2	Elect James L. Gallogly	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Against	For	Against

Core Laboratories N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
CLB	CUSIP N22717107	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David M. Demshur	Mgmt	For	For	For
2	Elect Jan W. Sodderland	Mgmt	For	For	For
3	Elimination of Supermajority Requirement	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Cancellation of	Mgmt	For	For	For

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	Repurchased Shares					
8	Extension and Renewal of Existing Authority to Repurchase Shares	Mgmt	For	For	For	
9	Extension of Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
10	Extension of Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	

Coway Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
021240	CINS Y1786S109	03/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Share Option Grant	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect YOON Jong Ha	Mgmt	For	For	For
5	Elect BU Jay Hoon	Mgmt	For	For	For
6	Elect KIM Kwang Il	Mgmt	For	For	For
7	Elect PARK Tae Hyun	Mgmt	For	For	For
8	Elect CHOI Yeon Seok	Mgmt	For	For	For
9	Elect LEE Joong Sik	Mgmt	For	For	For
10	Elect LEE Jun Ho	Mgmt	For	For	For
11	Directors' Fees	Mgmt	For	For	For
12	Corporate Auditors' Fees	Mgmt	For	Against	Against

Coway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
021240	CINS Y1786S109	09/12/2016	Voted		
Meeting Type		Country of Trade			
Special		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YOON Jong Ha	Mgmt	For	For	For
2	Elect KIM Kwang Il	Mgmt	For	For	For

Coway Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
021240	CINS Y1786S109	10/31/2016	Voted		
Meeting Type		Country of Trade			
Special		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect LEE Hae Sun	Mgmt	For	For	For
2	Share Option Grant	Mgmt	For	For	For

Cree, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CREE	CUSIP 225447101	10/25/2016	Voted



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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Charles M. Swoboda	Mgmt	For	For	For
1.2	Elect Clyde R. Hosein	Mgmt	For	For	For
1.3	Elect Robert A. Ingram	Mgmt	For	For	For
1.4	Elect Darren R. Jackson	Mgmt	For	For	For
1.5	Elect C. Howard Nye	Mgmt	For	For	For
1.6	Elect John B. Replogle	Mgmt	For	For	For
1.7	Elect Thomas H. Werner	Mgmt	For	For	For
1.8	Elect Anne C. Whitaker	Mgmt	For	For	For
2	Amendment to the 2013 Long-Term Incentive Compensation Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Crescent Point Energy Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
CPG	CINS 22576C101	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Rene Amirault	Mgmt	For	For	For
4	Elect Peter Bannister	Mgmt	For	For	For
5	Elect Laura A. Cillis	Mgmt	For	For	For
6	Elect D. Hugh Gillard	Mgmt	For	For	For
7	Elect Robert F. Heinemann	Mgmt	For	For	For
8	Elect Barbara Munroe	Mgmt	For	For	For
9	Elect Gerald A. Romanzin	Mgmt	For	For	For
10	Elect Scott Saxberg	Mgmt	For	For	For
11	Elect Mike Jackson	Mgmt	For	For	For
12	Elect Ted Goldthorpe	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Cresud S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRES	CUSIP 226406106	10/31/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Meeting Delegates in Charge of Meeting Minutes	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For

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3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Ratification of Supervisory Council Acts	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Supervisory Council Fees	Mgmt	For	Abstain	Against
8	Election of Directors	Mgmt	For	For	For
9	Election of Supervisory Council	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Update to Shared Services Agreement	Mgmt	For	Abstain	Against
12	Income Tax on Personal Assets	Mgmt	For	For	For
13	Renew Authority to Set Terms of Global Net Program	Mgmt	For	For	For
14	Indemnification of Board Members, Supervisory Council and Employees	Mgmt	For	Abstain	Against
15	Consideration of Merger Financial Statements	Mgmt	For	Abstain	Against
16	Application of Treasury Stock	Mgmt	For	Abstain	Against

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Daido Steel Company Ltd.

Ticker Security ID:  
5471 CINS J08778110

Meeting Date  
06/28/2017

Meeting Status  
Voted

Meeting Type  
Annual  
Country of Trade  
Japan

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Share Consolidation	Mgmt	For	For	For
3	Elect Tadashi Shima	Mgmt	For	Against	Against
4	Elect Takeshi Ishiguro	Mgmt	For	For	For
5	Elect Tsukasa Nishimura	Mgmt	For	For	For
6	Elect Kazuto Tachibana	Mgmt	For	For	For
7	Elect Satoshi Yoshida	Mgmt	For	For	For
8	Elect Satoshi Tsujimoto	Mgmt	For	For	For
9	Elect Susumu Shimura	Mgmt	For	For	For
10	Elect Takeshi Mutoh	Mgmt	For	For	For
11	Elect Tadashi Imai	Mgmt	For	Against	Against
12	Elect Hitoshi Tanemura	Mgmt	For	Against	Against
13	Elect Shinichi Nishikawa	Mgmt	For	Against	Against
14	Elect Kenji Matsuo	Mgmt	For	Against	Against
15	Elect Yutaka Hattori as Alternate	Mgmt	For	For	For

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16	Statutory Auditor	Bonus	Mgmt	For	Against	Against
Daio Paper Corp.						
Ticker	Security ID:		Meeting Date		Meeting Status	
3880	CINS J79518114		06/29/2017		Voted	
Meeting Type			Country of Trade			
Annual			Japan			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A		N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For		For	For
3	Elect Masayoshi Sakoh	Mgmt	For		For	For
4	Elect Toshihiro Adachi	Mgmt	For		For	For
5	Elect Kunihiro Okazaki	Mgmt	For		For	For
6	Elect Takashi Ono	Mgmt	For		For	For
7	Elect Hiroshi Yamasaki	Mgmt	For		For	For
8	Elect Yoshiyuki Shinohara	Mgmt	For		For	For
9	Elect Toshiki Yamagami	Mgmt	For		For	For
10	Elect Tetsuya Watanabe	Mgmt	For		For	For
11	Elect Yukihiro Tanaka	Mgmt	For		For	For
12	Elect Yorifusa Wakabayashi	Mgmt	For		For	For
13	Elect Nobuhiko Yoshida	Mgmt	For		For	For
14	Elect Yukimasa Yoneda	Mgmt	For		For	For
15	Elect Mizue Unno	Mgmt	For		For	For
Darling Ingredients Inc.						
Ticker	Security ID:		Meeting Date		Meeting Status	
DAR	CUSIP 237266101		05/09/2017		Voted	
Meeting Type			Country of Trade			
Annual			United States			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Randall C. Stuewe	Mgmt	For		For	For
2	Elect Charles Adair	Mgmt	For		For	For
3	Elect D. Eugene Ewing	Mgmt	For		For	For
4	Elect Linda A. Goodspeed	Mgmt	For		For	For
5	Elect Dirk Kloosterboer	Mgmt	For		For	For
6	Elect Mary R. Korby	Mgmt	For		For	For
7	Elect Cynthia Pharr Lee	Mgmt	For		For	For
8	Elect Charles Macaluso	Mgmt	For		Against	Against
9	Elect Gary W. Mize	Mgmt	For		For	For
10	Elect Michael Rescoe	Mgmt	For		For	For
11	Ratification of Auditor	Mgmt	For		For	For
12	Advisory Vote on Executive Compensation	Mgmt	For		For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year		1 Year	For
14	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For		For	For
Deere & Company						
Ticker	Security ID:		Meeting Date		Meeting Status	
DE	CUSIP 244199105		02/22/2017		Voted	

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Samuel R. Allen	Mgmt	For	For	For
2	Elect Crandall C. Bowles	Mgmt	For	For	For
3	Elect Vance D. Coffman	Mgmt	For	For	For
4	Elect Alan C. Heuberger	Mgmt	For	For	For
5	Elect Dipak C. Jain	Mgmt	For	For	For
6	Elect Michael O. Johanns	Mgmt	For	For	For
7	Elect Clayton M. Jones	Mgmt	For	For	For
8	Elect Brian M. Krzanich	Mgmt	For	For	For
9	Elect Gregory R. Page	Mgmt	For	For	For
10	Elect Sherry M. Smith	Mgmt	For	For	For
11	Elect Dmitri L. Stockton	Mgmt	For	For	For
12	Elect Sheila G. Talton	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHolder	Against	For	Against

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Detour Gold Corporation		Meeting Date		Meeting Status	
Ticker	Security ID:	05/04/2017		Voted	
DGC	CINS 250669108				
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Lisa Colnett	Mgmt	For	For	For
3	Elect Edward C. Dowling, Jr.	Mgmt	For	For	For
4	Elect Robert E. Doyle	Mgmt	For	For	For
5	Elect Andre Falzon	Mgmt	For	For	For
6	Elect Ingrid J. Hibbard	Mgmt	For	For	For
7	Elect J. Michael Kenyon	Mgmt	For	For	For
8	Elect Paul Martin	Mgmt	For	For	For
9	Elect Alex G. Morrison	Mgmt	For	For	For
10	Elect Jonathan A. Rubenstein	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Devon Energy Corporation		Meeting Date		Meeting Status	
Ticker	Security ID:	06/07/2017		Voted	
DVN	CUSIP 25179M103				
Meeting Type		Country of Trade			

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Barbara M. Baumann	Mgmt	For	For	For
1.2	Elect John E. Bethancourt	Mgmt	For	For	For
1.3	Elect David A. Hager	Mgmt	For	For	For
1.4	Elect Robert H. Henry	Mgmt	For	For	For
1.5	Elect Michael M. Kanovsky	Mgmt	For	For	For
1.6	Elect Robert A. Mosbacher, Jr.	Mgmt	For	For	For
1.7	Elect Duane C. Radtke	Mgmt	For	For	For
1.8	Elect Mary P. Ricciardello	Mgmt	For	For	For
1.9	Elect John Richels	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Approval of the Annual Incentive Compensation Plan	Mgmt	For	For	For
6	Approval of the 2017 Long Term Incentive Plan	Mgmt	For	For	For
7	Shareholder Proposal Regarding Lobbying Related to Energy Policy and Climate Change	ShrHoldr	Against	Against	For
8	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against
9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
10	Shareholder Proposal Regarding Reserves Metrics in Executive Compensation	ShrHoldr	Against	Against	For

Diamondback Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FANG	CUSIP 25278X109	06/07/2017	Voted		
Meeting Type	Country of Trade	United States			
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven E. West	Mgmt	For	Withhold	Against
1.2	Elect Travis D. Stice	Mgmt	For	For	For
1.3	Elect Michael P. Cross	Mgmt	For	For	For
1.4	Elect David L. Houston	Mgmt	For	For	For
1.5	Elect Mark L. Plaumann	Mgmt	For	For	For
2	Advisory Vote on	Mgmt	For	For	For

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3	Executive Compensation	Ratification of Auditor	Mgmt	For	For	For
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Diamondback Energy, Inc.						
Ticker	Security ID:	Meeting Date			Meeting Status	
FANG	CUSIP 25278X109	12/07/2016			Voted	
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Increase of Authorized Common Stock	Mgmt	For	Against	Against	
<hr/>						
Domtar Corporation						
Ticker	Security ID:	Meeting Date			Meeting Status	
UFS	CUSIP 257559203	05/03/2017			Voted	
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Giannella Alvarez	Mgmt	For	For	For	
2	Elect Robert E. Apple	Mgmt	For	For	For	
3	Elect David J. Illingworth	Mgmt	For	For	For	
4	Elect Brian M. Levitt	Mgmt	For	For	For	
5	Elect David G. Maffucci	Mgmt	For	For	For	
6	Elect Pamela B. Strobel	Mgmt	For	For	For	
7	Elect Denis A. Turcotte	Mgmt	For	For	For	
8	Elect John D. Williams	Mgmt	For	For	For	
9	Elect Mary A. Winston	Mgmt	For	For	For	
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
12	Amendment to the Annual Incentive Plan for the Purposes of 162(m)	Mgmt	For	For	For	
13	Amendment to the 2007 Omnibus Incentive Plan for the purposes of 162(m) of the IRC	Mgmt	For	For	For	
14	Approval of equity compensation limit for directors under the 2007 Omnibus Incentive Plan	Mgmt	For	For	For	
15	Ratification of Auditor	Mgmt	For	For	For	
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Dongfang Electric Co Ltd						
Ticker	Security ID:	Meeting Date			Meeting Status	
1072	CINS Y20958107	06/20/2017			Voted	
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For

Dongfang Electric Co Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
1072	CINS Y20958107	10/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect ZOU Lei	Mgmt	For	For	For

Dowa Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
5714	CINS J12432126	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Share Consolidation/Reverse Stock Split	Mgmt	For	For	For
3	Elect Masao Yamada	Mgmt	For	Against	Against
4	Elect Yutaka Mitsune	Mgmt	For	For	For
5	Elect Hiroshi Nakashio	Mgmt	For	For	For
6	Elect Katsuji Matsushita	Mgmt	For	For	For
7	Elect Susumu Kagaya	Mgmt	For	For	For
8	Elect Eiji Hosoda	Mgmt	For	For	For
9	Elect Yoshiko Koizumi	Mgmt	For	For	For
10	Elect Hidefumi Kobayashi as Statutory Auditor	Mgmt	For	Against	Against
11	Elect Kohichiroh Ohba as Alternate Statutory Auditor	Mgmt	For	For	For

DS Smith plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SMDS	CINS G2848Q123	09/06/2016	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Elect Gareth Davis	Mgmt	For	For	For

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5	Elect Miles W. Roberts	Mgmt	For	For	For
6	Elect Adrian Marsh	Mgmt	For	For	For
7	Elect Chris Britton	Mgmt	For	For	For
8	Elect Ian Griffiths	Mgmt	For	For	For
9	Elect Jonathan Nicholls	Mgmt	For	For	For
10	Elect Kathleen O'Donovan	Mgmt	For	For	For
11	Elect Louise Smalley	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Authority to Set Auditor's Fees	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against

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Eldorado Gold Corporation						
Ticker	Security ID:	Meeting Date			Meeting Status	
ELD	CUSIP 284902103	04/27/2017			Voted	
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect George Albino	Mgmt	For	For	For	
1.2	Elect George Burns	Mgmt	For	For	For	
1.3	Elect Pamela M. Gibson	Mgmt	For	For	For	
1.4	Elect Robert Gilmore	Mgmt	For	For	For	
1.5	Elect Geoffrey A. Handley	Mgmt	For	For	For	
1.6	Elect Michael A. Price	Mgmt	For	For	For	
1.7	Elect Steven P. Reid	Mgmt	For	For	For	
1.8	Elect Jonathan A. Rubenstein	Mgmt	For	For	For	
1.9	Elect John Webster	Mgmt	For	For	For	
1.10	Elect Paul N. Wright	Mgmt	For	For	For	
2	Appointment of Auditor	Mgmt	For	For	For	
3	Authority to Set Auditor's Fees	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Empresas CMPC S.A.						
Ticker	Security ID:	Meeting Date			Meeting Status	
CMPC	CINS P3712V107	04/28/2017			Voted	
Meeting Type		Country of Trade				



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Ordinary Issue No.	Description	Chile Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Presentation of Report on Related Party Transactions	Mgmt	For	For	For
4	Appointment of Auditor and Risk Rating Agencies	Mgmt	For	For	For
5	Directors' Fees; Directors' Committee Fees and Budget	Mgmt	For	Abstain	Against
6	Dividend Policy	Mgmt	For	For	For
7	Transaction of Other Business	Mgmt	For	Against	Against

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Enbridge Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ENB	CUSIP 29250N105	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Pamela L. Carter	Mgmt	For	For	For
1.2	Elect Clarence P. Cazalot, Jr.	Mgmt	For	For	For
1.3	Elect Marcel R. Coutu	Mgmt	For	For	For
1.4	Elect Gregory L. Ebel	Mgmt	For	For	For
1.5	Elect J. Herb England	Mgmt	For	For	For
1.6	Elect Charles W. Fischer	Mgmt	For	For	For
1.7	Elect V. Maureen Kempston Darkes	Mgmt	For	For	For
1.8	Elect Michael McShane	Mgmt	For	For	For
1.9	Elect Al Monaco	Mgmt	For	For	For
1.10	Elect Michael E.J. Phelps	Mgmt	For	For	For
1.11	Elect Rebecca B. Roberts	Mgmt	For	For	For
1.12	Elect Dan C. Tutcher	Mgmt	For	For	For
1.13	Elect Catherine L. Williams	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Amendment to Shareholder Rights Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Shareholder Proposal Regarding Indigenous Peoples Rights Risks Report	ShrHoldr	Against	Against	For

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Enbridge Inc.

Ticker	Security ID:	Meeting Date	Meeting Status

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ENB	CUSIP 29250N105	12/15/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Amendments to By-Law No. 1 Pursuant to Merger	Mgmt	For	For	For

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Encana Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
ECA	CUSIP 292505104	05/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Peter A. Dea	Mgmt	For	For	For
1.2	Elect Fred J. Fowler	Mgmt	For	For	For
1.3	Elect Howard J. Mayson	Mgmt	For	For	For
1.4	Elect Lee A. McIntire	Mgmt	For	For	For
1.5	Elect Margaret A. McKenzie	Mgmt	For	For	For
1.6	Elect Suzanne P. Nimocks	Mgmt	For	For	For
1.7	Elect Brian G. Shaw	Mgmt	For	For	For
1.8	Elect Douglas J. Suttles	Mgmt	For	For	For
1.9	Elect Bruce G. Waterman	Mgmt	For	For	For
1.10	Elect Clayton H. Woitas	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Eni S.p.A.					
Ticker	Security ID:	Meeting Date	Meeting Status		
ENI	CINS T3643A145	04/13/2017	Take No Action		
Meeting Type		Country of Trade			
Ordinary		Italy			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports	Mgmt	For	TNA	N/A
3	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
4	Board Size	Mgmt	For	TNA	N/A
5	Board Term Length	Mgmt	For	TNA	N/A
6	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
7	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
8	List of Directors Presented by Ministry for Economy and Finance	Mgmt	For	TNA	N/A
9	List of Directors Presented by Group of Institutional	Mgmt	For	TNA	N/A

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Investors Representing 1.7% of Share Capital						
10	Election of Chair of Board	Mgmt	For	TNA	N/A	
11	Directors' Fees	Mgmt	For	TNA	N/A	
12	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
13	Non-Voting Agenda Item	N/A	N/A	TNA	N/A	
14	List of Statutory Auditors Presented by Ministry for Economy and Finance	Mgmt	N/A	TNA	N/A	
15	List of Statutory Auditors Presented by Group of Institutional Investors Representing 1.7% of Share Capital	Mgmt	N/A	TNA	N/A	
16	Election of Chair of Board of Statutory Auditors	Mgmt	For	TNA	N/A	
17	Statutory Auditors' Fees	Mgmt	For	TNA	N/A	
18	Long-Term Monetary Incentive Plan 2017-2019; Authority to Reissue Treasury Shares to Service Plan	Mgmt	For	TNA	N/A	
19	Remuneration Report	Mgmt	For	TNA	N/A	
20	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	

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EOG Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
EOG	CUSIP 26875P101	04/27/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Janet F. Clark	Mgmt	For	For	For
2	Elect Charles R. Crisp	Mgmt	For	For	For
3	Elect Robert P. Daniels	Mgmt	For	For	For
4	Elect James C. Day	Mgmt	For	For	For
5	Elect Donald F. Textor	Mgmt	For	For	For
6	Elect William R. Thomas	Mgmt	For	For	For
7	Elect Frank G. Wisner	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Increase of Authorized Common Stock	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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EQT Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
EQT	CUSIP 26884L109	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Vicky A. Bailey	Mgmt	For	For	For
2	Elect Philip G. Behrman	Mgmt	For	For	For
3	Elect Kenneth M. Burke	Mgmt	For	For	For
4	Elect A. Bray Cary, Jr.	Mgmt	For	For	For
5	Elect Margaret K. Dorman	Mgmt	For	For	For
6	Elect David L. Porges	Mgmt	For	For	For
7	Elect James E. Rohr	Mgmt	For	For	For
8	Elect Steven T. Schlotterbeck	Mgmt	For	For	For
9	Elect Stephen A. Thorington	Mgmt	For	For	For
10	Elect Lee T. Todd, Jr.	Mgmt	For	For	For
11	Elect Christine J. Toretti	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

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Eregli Demir ve Celik Fabrikalari T.A.S

Ticker	Security ID:	Meeting Date	Meeting Status		
EREGL	CINS M40710101	03/31/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Turkey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Opening; Election of Presiding Chair	Mgmt	For	TNA	N/A
5	Minutes	Mgmt	For	TNA	N/A
6	Presentation of Directors' Report	Mgmt	For	TNA	N/A
7	Presentation of Auditor's Report	Mgmt	For	TNA	N/A
8	Financial Statements	Mgmt	For	TNA	N/A
9	Ratification of Board Acts	Mgmt	For	TNA	N/A
10	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
11	Election of Directors; Bord Size; Board Term Length	Mgmt	For	TNA	N/A
12	Directors' Fees	Mgmt	For	TNA	N/A
13	Authority to Carry Out Competing Activities or Related Party Transactions	Mgmt	For	TNA	N/A

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14	Appointment of Auditor	Mgmt	For	TNA	N/A
15	Amendments to Articles 5 & 7	Mgmt	For	TNA	N/A
16	Presentation of Report on Guarantees	Mgmt	For	TNA	N/A
17	Charitable Donations	Mgmt	For	TNA	N/A
18	CLOSING	Mgmt	For	TNA	N/A

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Evolution Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVN	CINS Q3647R147	06/21/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition of Relevant Interest in Company Shares	Mgmt	For	For	For
3	Equity Grant (Executive Chairman Jake Klein)	Mgmt	For	For	For

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Evolution Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
EVN	CINS Q3647R147	11/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Elect Colin Johnstone	Mgmt	For	For	For
4	Equity Grant (Executive Chairman Jacob Klein - LTI FY2017)	Mgmt	For	For	For
5	Equity Grant (Executive Chairman Jacob Klein - Retention Rights)	Mgmt	For	Against	Against
6	Equity Grant (Finance Director and CFO Lawrie Conway - LTI FY2017)	Mgmt	For	For	For
7	Equity Grant (Executive Chairman Jacob Klein - Adjustment Issuance)	Mgmt	For	For	For
8	Equity Grant (Finance Director and CFO Lawrie Conway - Adjustment Issuance)	Mgmt	For	For	For
9	Approve NED Equity Plan	Mgmt	For	For	For

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Evrax Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
EVR	CINS G33090104	05/23/2017	Voted			
Meeting Type		Country of Trade				
Ordinary		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Disposal	Mgmt	For	For	For	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Evrax Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
EVR	CINS G33090104	06/20/2017	Voted			
Meeting Type		Country of Trade				
Annual		United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against	
3	Remuneration Policy (Binding)	Mgmt	For	Against	Against	
4	Elect Alexander G. Abramov	Mgmt	For	For	For	
5	Elect Alexander Frolov	Mgmt	For	For	For	
6	Elect Eugene Shvidler	Mgmt	For	For	For	
7	Elect Eugene Tenenbaum	Mgmt	For	For	For	
8	Elect James Karl Gruber	Mgmt	For	For	For	
9	Elect Deborah Gudgeon	Mgmt	For	For	For	
10	Elect Alexander Izosimov	Mgmt	For	For	For	
11	Elect Sir Michael Peat	Mgmt	For	For	For	
12	Appointment of Auditor	Mgmt	For	For	For	
13	Authority to Set Auditor's Fees	Mgmt	For	For	For	
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Acquisition)	Mgmt	For	For	For	
17	Authority to Repurchase Shares	Mgmt	For	For	For	
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For	
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Exxon Mobil Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
XOM	CUSIP 30231G102	05/31/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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					Mgmt
1.1	Elect Susan K. Avery	Mgmt	For	For	For
1.2	Elect Michael J. Boskin	Mgmt	For	For	For
1.3	Elect Angela F. Braly	Mgmt	For	For	For
1.4	Elect Ursula M. Burns	Mgmt	For	For	For
1.5	Elect Henrietta H. Fore	Mgmt	For	For	For
1.6	Elect Kenneth C. Frazier	Mgmt	For	For	For
1.7	Elect Douglas R. Oberhelman	Mgmt	For	For	For
1.8	Elect Samuel J. Palmisano	Mgmt	For	For	For
1.9	Elect Steven S Reinemund	Mgmt	For	For	For
1.10	Elect William C. Weldon	Mgmt	For	For	For
1.11	Elect Darren W. Woods	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against
6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHoldr	Against	For	Against
7	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
8	Shareholder Proposal Regarding Restricting Precatory Proposals	ShrHoldr	Against	Against	For
9	Shareholder Proposal Regarding Gender Pay Equity Report	ShrHoldr	Against	Against	For
10	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
11	Shareholder Policy Regarding Dividend Policy	ShrHoldr	Against	Against	For
12	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against
13	Shareholder Proposal Regarding Methane Emissions Reporting	ShrHoldr	Against	For	Against

Fibra Celulose SA

Ticker Security ID:  
FIBR3 CINS P3997N101

Meeting Date  
04/28/2017  
Country of Trade

Meeting Status  
Voted

Meeting Type

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Special Issue No.	Description	Brazil Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Share Appreciation Rights Plan	Mgmt	For	For	For
4	Rectification and Ratification of Valuation Report	Mgmt	For	For	For
5	Ratify Meeting Minutes	Mgmt	For	For	For

Fibria Celulose SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FIBR3	CINS P3997N101	04/28/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Brazil				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Accounts and Reports	Mgmt	For	For	For
5	Capital Expenditure Budget	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Board Size	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Election of Directors	Mgmt	For	For	For
11	Election of Board Member Representative of Minority Shareholders	Mgmt	For	N/A	N/A
12	Establish Supervisory Council	Mgmt	N/A	For	N/A
13	Supervisory Council Size	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Election of Supervisory Council	Mgmt	For	Abstain	Against
17	Elect Sergio Ricardo Miranda Nazare to the Supervisory Council as Representative of Minority Shareholders	Mgmt	N/A	Abstain	N/A
18	Elect Domenica Eisenstein Noronha to the Supervisory Council as Representative of Minority Shareholders	Mgmt	N/A	For	N/A
19	Remuneration Policy	Mgmt	For	Against	Against



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First Majestic Silver Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
FR	CUSIP 32076V103	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Keith Neumeyer	Mgmt	For	For	For
2.2	Elect Marjorie Co	Mgmt	For	For	For
2.3	Elect Robert A. McCallum	Mgmt	For	For	For
2.4	Elect Douglas Penrose	Mgmt	For	For	For
2.5	Elect David A. Shaw	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Stock Option Plan Renewal	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

First Quantum Minerals Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
FM	CINS 335934105	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Philip K.R. Pascall	Mgmt	For	For	For
4	Elect G. Clive Newall	Mgmt	For	For	For
5	Elect Martin R. Rowley	Mgmt	For	Against	Against
6	Elect Peter St. George	Mgmt	For	For	For
7	Elect Andrew Adams	Mgmt	For	For	For
8	Elect Paul Brunner	Mgmt	For	For	For
9	Elect Robert J. Harding	Mgmt	For	For	For
10	Elect Martin Schady	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

First Solar, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FSLR	CUSIP 336433107	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Ahearn	Mgmt	For	For	For
2	Elect Sharon L. Allen	Mgmt	For	For	For
3	Elect Richard D. Chapman	Mgmt	For	For	For
4	Elect George A. Hambro	Mgmt	For	For	For
5	Elect Craig Kennedy	Mgmt	For	For	For
6	Elect James F. Nolan	Mgmt	For	For	For

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7	Elect William J. Post	Mgmt	For	For	For
8	Elect J. Thomas Presby	Mgmt	For	For	For
9	Elect Paul H. Stebbins	Mgmt	For	Against	Against
10	Elect Michael T. Sweeney	Mgmt	For	For	For
11	Elect Mark Widmar	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

FMC Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FTI	CUSIP 30249U101	12/05/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Formosa Petrochemicals Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
6505	CINS Y2608S103	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	Taiwan				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fortescue Metals Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
FMG	CINS Q39360104	11/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Elizabeth Gaines	Mgmt	For	For	For
4	Re-elect Sharon Warburton	Mgmt	For	For	For

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Fosun International Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
0656	CINS Y2618Y108	06/06/2017	Voted			
Meeting Type		Country of Trade				
Annual		Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Final Dividend	Mgmt	For	For	For	
5	Elect ZHANG Huaqiao	Mgmt	For	Against	Against	
6	Elect David T. ZHANG	Mgmt	For	For	For	
7	Elect YANG Chao	Mgmt	For	For	For	
8	Elect WANG Can	Mgmt	For	For	For	
9	Elect KANG Lan	Mgmt	For	For	For	
10	Elect GONG Ping	Mgmt	For	For	For	
11	Elect LEE Kai-Fu	Mgmt	For	Against	Against	
12	Directors' Fees	Mgmt	For	For	For	
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
16	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against	
17	Adoption of New Share Option Scheme	Mgmt	For	Against	Against	
18	Authority to Grant Options/Shares under the 2007 Scheme	Mgmt	For	Against	Against	

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Fosun International Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
0656	CINS Y2618Y108	06/06/2017	Voted			
Meeting Type		Country of Trade				
Special		Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Grant Shares Under Share Award Scheme	Mgmt	For	For	For	
4	Grant Shares to CHEN Qiyu	Mgmt	For	For	For	
5	Grant Shares to XU Xiaoliang	Mgmt	For	For	For	
6	Grant Shares to QIN Xuetang	Mgmt	For	For	For	
7	Grant Shares to WANG Can	Mgmt	For	For	For	
8	Grant Shares to KANG Lan	Mgmt	For	For	For	

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9	Grant Shares to GONG Ping	Mgmt	For	For	For
10	Grant Shares to ZHANG Shengman	Mgmt	For	For	For
11	Grant Shares to ZHANG Huaqiao	Mgmt	For	For	For
12	Grant Shares to David T. Zhang	Mgmt	For	For	For
13	Grant Shares to YANG Chao	Mgmt	For	For	For
14	Grant Shares to LEE Kai-Fu	Mgmt	For	For	For
15	Grant Shares to Qian Jiannong	Mgmt	For	For	For
16	Grant Shares to WANG Jiping	Mgmt	For	For	For
17	Grant Shares to LI Jun	Mgmt	For	For	For
18	Grant Shares to XU Lingjiang	Mgmt	For	For	For
19	Grant shares to Other Persons	Mgmt	For	For	For
20	Ratification of Board Acts	Mgmt	For	For	For
21	Adoption of Share Option Scheme of Shanghai Henlius Biotech Co., Ltd.	Mgmt	For	For	For

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Freeport-McMoRan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FCX	CUSIP 35671D857	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard C. Adkerson	Mgmt	For	For	For
1.2	Elect Gerald J. Ford	Mgmt	For	For	For
1.3	Elect Lydia H. Kennard	Mgmt	For	For	For
1.4	Elect Andrew Langham	Mgmt	For	For	For
1.5	Elect Jon C. Madonna	Mgmt	For	For	For
1.6	Elect Courtney Mather	Mgmt	For	For	For
1.7	Elect Dustan E. McCoy	Mgmt	For	For	For
1.8	Elect Frances Fragos Townsend	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Galp Energia, SGPS, SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GALP	CINS X3078L108	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	Portugal				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Ratification of Co-Option of Paula Fernanda Ramos Amorim	Mgmt	For	For	For
3	Ratification of Co-Option of Marta Amorim	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Proposal Regarding Ratification of Board Acts	Mgmt	For	For	For
7	Shareholder Proposal Regarding Ratification of Supervisory Council Acts	Mgmt	For	For	For
8	Shareholder Proposal Regarding Ratification of Statutory Auditor Acts	Mgmt	For	For	For
9	Remuneration Policy	Mgmt	For	For	For
10	Authority for the Company and its Subsidiaries to Trade in Company Shares and Bonds	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gamesa Corporacion Tecnologica, S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CINS E54667113	06/20/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts	Mgmt	For	For	For
3	Management Reports	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Ratify Co-option and Elect Luis Javier Cortes Dominguez	Mgmt	For	For	For
7	Ratify Co-option and Elect Markus Tacke	Mgmt	For	For	For
8	Ratify Co-option and Elect Michael Sen	Mgmt	For	For	For
9	Ratify Co-option and Elect Carlos Rodriguez Quiroga Menendez	Mgmt	For	For	For

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10	Amendments to Article 1 (Company Name)	Mgmt	For	For	For
11	Amendments to Article 17 (Venue)	Mgmt	For	For	For
12	Amendments to Article 49 (Fiscal Year)	Mgmt	For	For	For
13	Consolidation of Articles of Association	Mgmt	For	For	For
14	Amendments to General Meeting Regulations' Articles 1 and 5 (Company Name)	Mgmt	For	For	For
15	Amendments to General Meeting Regulations' Article 19 (Venue)	Mgmt	For	For	For
16	Consolidation of General Meeting Regulations	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Remuneration Policy (Binding)	Mgmt	For	For	For
19	Authorisation of Legal Formalities	Mgmt	For	For	For
20	Remuneration Report (Advisory)	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gamesa Corporacion Tecnologica, S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
GAM	CINS E54667113	10/24/2016	Voted		
Meeting Type	Country of Trade				
Special	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Merger Balance Sheet	Mgmt	For	For	For
3	Merger Agreement	Mgmt	For	For	For
4	Approve Merger Common Terms	Mgmt	For	For	For
5	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
6	Authority to Trade in the Madrid, Barcelona, Valencia and Bilbao Stock Exchanges	Mgmt	For	For	For
7	Application of Tax Regime	Mgmt	For	For	For
8	Authorisation of Legal Formalities Regarding Merger	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Consolidation of Articles	Mgmt	For	For	For

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11	Special Dividend	Mgmt	For	For	For
12	Board Size	Mgmt	For	For	For
13	Elect Rosa Maria Garcia	Mgmt	For	For	For
14	Elect Mariel von Schumann	Mgmt	For	For	For
15	Elect Lisa Davis	Mgmt	For	For	For
16	Elect Klaus Helmrich	Mgmt	For	For	For
17	Elect Ralf Thomas	Mgmt	For	For	For
18	Elect Klaus Rosenfeld	Mgmt	For	For	For
19	Elect Swantje Conrad	Mgmt	For	For	For
20	Ratify Co-option and Elect Gerardo Codes Calatrava	Mgmt	For	For	For
21	Authorisation of Legal Formalities	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Genting Plantations Berhad						
Ticker	Security ID:	Meeting Date	Meeting Status			
GENP	CINS Y26930100	05/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Allocation of Profits/Dividends	Mgmt	For	For	For	
2	Directors' Fees	Mgmt	For	For	For	
3	Elect LIM Kok Thay	Mgmt	For	For	For	
4	Elect CHING Yew Chye	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
6	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	
8	Related Party Transactions	Mgmt	For	For	For	
9	Gratuity Payment to Encik Mohd Din Jusoh	Mgmt	For	For	For	

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Glencore plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
GLEN	CINS G39420107	05/24/2017	Voted			
Meeting Type		Country of Trade				
Annual		Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Reduction in Share Premium Account	Mgmt	For	For	For	
3	Elect Anthony B. Hayward	Mgmt	For	For	For	
4	Elect Leonhard Fischer	Mgmt	For	For	For	

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5	Elect Ivan Glaserberg	Mgmt	For	For	For
6	Elect Peter R. Coates	Mgmt	For	For	For
7	Elect John J. Mack	Mgmt	For	For	For
8	Elect Peter T. Grauer	Mgmt	For	Against	Against
9	Elect Patrice Merrin	Mgmt	For	For	For
10	Remuneration Report (Advisory)	Mgmt	For	For	For
11	Remuneration Policy (Advisory - Non-UK Issuer)	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Authority to Set Auditor's Fees	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gold Fields Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GFI	CUSIP 38059T106	05/24/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Terence P. Goodlace	Mgmt	For	For	For
3	Elect Alhassan Andani	Mgmt	For	For	For
4	Elect Peter Bacchus	Mgmt	For	For	For
5	Elect Yunus G.H. Suleman	Mgmt	For	For	For
6	Elect Carmen Letton	Mgmt	For	For	For
7	Re-elect Nicholas J. Holland	Mgmt	For	For	For
8	Re-elect Paul A. Schmidt	Mgmt	For	For	For
9	Elect Audit Committee Member (Yunus G.H. Suleman)	Mgmt	For	For	For
10	Elect Audit Committee Member (Alhassan Andani)	Mgmt	For	For	For
11	Elect Audit Committee Member (Peter Bacchus)	Mgmt	For	For	For
12	Elect Audit Committee Member (Richard P. Menell)	Mgmt	For	For	For
13	Elect Audit Committee	Mgmt	For	For	For



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	Member (Donald M.J. Ncube)					
14	General Authority to Issue Shares	Mgmt	For	For	For	For
15	Approve Conversion of Par Value Shares	Mgmt	For	For	For	For
16	Authority to Increase Authorised Capital	Mgmt	For	For	For	For
17	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
18	Approve Remuneration Policy	Mgmt	For	For	For	For
19	Approve NEDs' Fees	Mgmt	For	For	For	For
20	Approve Financial Assistance	Mgmt	For	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For	For
22	Amendments to Memorandum	Mgmt	For	For	For	For

Goldcorp Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
G	CUSIP 380956409	04/26/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1.1	Elect Beverley A. Briscoe	Mgmt	For
1.2	Elect Margot A. Franssen	Mgmt	For
1.3	Elect David Garofalo	Mgmt	For
1.4	Elect Clement A. Pelletier	Mgmt	For
1.5	Elect P. Randy Reifel	Mgmt	For
1.6	Elect Charlie Sartain	Mgmt	For
1.7	Elect Ian W. Telfer	Mgmt	For
1.8	Elect Blanca Trevino	Mgmt	For
1.9	Elect Kenneth F. Williamson	Mgmt	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For
3	Advisory Vote on Executive Compensation	Mgmt	For

Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
E5H	CINS V39076134	04/25/2017	Voted
Meeting Type	Country of Trade		
Annual	Mauritius		
Issue No.	Description	Proponent	Mgmt Rec
1	Accounts and Reports	Mgmt	For
2	Allocation of Profits/Dividends	Mgmt	For

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3	Directors' Fees	Mgmt	For	For	For
4	Elect LEW Syn Pau	Mgmt	For	Against	Against
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
7	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For

Golden Agri-Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
E5H	CINS V39076134	04/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Articles	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Graincorp Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
GNC	CINS Q42655102	02/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Peter J. Housden	Mgmt	For	For	For
4	Re-elect Simon L. Tregoning	Mgmt	For	For	For
5	Equity Grant (MD/CEO Mark Palmquist)	Mgmt	For	For	For

Graphic Packaging Holding Company

Ticker	Security ID:	Meeting Date	Meeting Status		
GPK	CUSIP 388689101	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect G. Andrea Botta	Mgmt	For	For	For
1.2	Elect Michael P. Doss	Mgmt	For	For	For
1.3	Elect Larry M. Venturelli	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Gruma S.A.B. de C.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
GRUMA	CINS P4948K121	04/28/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Mexico				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Abstain	Against
2	Report on Tax Compliance	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
4	Authority to Repurchase Shares	Mgmt	For	Abstain	Against
5	Election of Directors; Fees	Mgmt	For	Abstain	Against
6	Election of Audit and Corporate Governance Committees' Chairs	Mgmt	For	Abstain	Against
7	Election of Meeting Delegates	Mgmt	For	For	For
8	Minutes	Mgmt	For	For	For

Grupo Mexico SAB de CV

Ticker	Security ID:	Meeting Date	Meeting Status		
GMEXICO	CINS P49538112	04/26/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Mexico				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports; Report of the CEO	Mgmt	For	Abstain	Against
2	Report on Tax Compliance	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
4	Authority to Repurchase Shares; Report on Share Repurchase Program	Mgmt	For	Abstain	Against
5	Ratification of Board, CEO and Board Committees Acts	Mgmt	For	Abstain	Against
6	Election of Directors; Verification of Independence Status; Election of Board Committee Chairs and Members	Mgmt	For	Abstain	Against
7	Revocation and Granting of Powers	Mgmt	For	Abstain	Against
8	Directors' and Committee Members' Fees	Mgmt	For	Abstain	Against
9	Ratification of 2016 General Meeting	Mgmt	For	For	For

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10	Resolutions Election of Meeting Delegates	Mgmt	For	For	For
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Halliburton Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HAL	CUSIP 406216101	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Abdulaziz F. Alkhayyal	Mgmt	For	For	For
2	Elect William E. Albrecht	Mgmt	For	For	For
3	Elect Alan M. Bennett	Mgmt	For	For	For
4	Elect James R. Boyd	Mgmt	For	For	For
5	Elect Milton Carroll	Mgmt	For	Against	Against
6	Elect Nance K. Dicciani	Mgmt	For	For	For
7	Elect Murry S. Gerber	Mgmt	For	For	For
8	Elect Jose Carlos Grubisich	Mgmt	For	For	For
9	Elect David J. Lesar	Mgmt	For	For	For
10	Elect Robert A. Malone	Mgmt	For	For	For
11	Elect J. Landis Martin	Mgmt	For	For	For
12	Elect Jeffrey A. Miller	Mgmt	For	For	For
13	Elect Debra L. Reed	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Amendment to the Stock and Incentive Plan	Mgmt	For	For	For

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Harmony Gold Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HAR	CUSIP 413216300	11/25/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Cathie Markus	Mgmt	For	For	For
2	Re-elect Karabo Nondumo	Mgmt	For	For	For
3	Re-elect Vishnu Pillay	Mgmt	For	Against	Against
4	Re-elect Andre Wilkens	Mgmt	For	For	For
5	Elect Audit and Risk Committee Member (John Wetton)	Mgmt	For	For	For
6	Elect Audit and Risk Committee Member (Fikile De Buck)	Mgmt	For	For	For
7	Elect Audit and Risk Committee Member (Simo Lushaba)	Mgmt	For	For	For
8	Elect Audit and Risk	Mgmt	For	For	For

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	Committee Member (Modise Motloba)					
9	Elect Audit and Risk Committee Member (Karabo Nondumo)	Mgmt	For	For	For	
10	Appointment of Auditor	Mgmt	For	For	For	
11	Approve Remuneration Policy	Mgmt	For	For	For	
12	Authority to Issue Shares for Cash	Mgmt	For	For	For	
13	Approve Amendments to 2006 Share Plan	Mgmt	For	For	For	
14	Approve NEDs' Fees	Mgmt	For	For	For	

Hecla Mining Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HL	CUSIP 422704106	05/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Phillips S. Baker, Jr.	Mgmt	For	For	For
2	Elect George R. Johnson	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Amendment to the Stock Plan for Non-Employee Directors	Mgmt	For	For	For
7	Increase of Authorized Common Stock	Mgmt	For	For	For
8	Elimination of Supermajority Requirement	Mgmt	For	For	For
9	Provide Right to Call a Special Meeting	Mgmt	For	For	For

Helmerich & Payne, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HP	CUSIP 423452101	03/01/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randy A. Foutch	Mgmt	For	Against	Against
2	Elect Hans Helmerich	Mgmt	For	For	For
3	Elect John W. Lindsay	Mgmt	For	For	For
4	Elect Paula Marshall	Mgmt	For	Against	Against
5	Elect Thomas A. Petrie	Mgmt	For	For	For
6	Elect Donald F.	Mgmt	For	For	For

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	Robillard, Jr.					
7	Elect Edward B. Rust, Jr.	Mgmt	For	For	For	
8	Elect John D. Zeglis	Mgmt	For	For	For	
9	Ratification of Auditor	Mgmt	For	For	For	
10	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For	
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	For	1 Year	N/A	

Hess Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
HES	CUSIP 42809H107	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rodney F. Chase	Mgmt	For	For	For
2	Elect Terrence J. Checki	Mgmt	For	For	For
3	Elect Leonard S. Coleman	Mgmt	For	For	For
4	Elect John B. Hess	Mgmt	For	For	For
5	Elect Edith E. Holiday	Mgmt	For	For	For
6	Elect Risa Lavizzo-Mourey	Mgmt	For	For	For
7	Elect Marc S. Lipschultz	Mgmt	For	For	For
8	Elect David McManus	Mgmt	For	For	For
9	Elect Kevin O. Meyers	Mgmt	For	For	For
10	Elect James H. Quigley	Mgmt	For	For	For
11	Elect Fredric G. Reynolds	Mgmt	For	For	For
12	Elect William G. Schrader	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Approval of the 2017 Long Term Incentive Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against

Hitachi Metals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
5486	CINS J20538112	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Hideaki Takahashi	Mgmt	For	Against	Against

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3	Elect Masaru Igarashi	Mgmt	For	For	For
4	Elect Toshiko Oka	Mgmt	For	For	For
5	Elect Takashi Shimada	Mgmt	For	For	For
6	Elect Junichi Kamata	Mgmt	For	For	For
7	Elect Toyoaki Nakamura	Mgmt	For	For	For
8	Elect Toshitake Hasunuma	Mgmt	For	Against	Against
9	Elect Akitoshi Hiraki	Mgmt	For	Against	Against

Hokuetsu Kishu Paper Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
3865	CINS J21882105	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Sekio Kishimoto	Mgmt	For	Against	Against
4	Elect Hiroshi Suzuki	Mgmt	For	For	For
5	Elect Yoshinori Kawashima	Mgmt	For	For	For
6	Elect Morinobu Obata	Mgmt	For	For	For
7	Elect Mitsushige Yamamoto	Mgmt	For	For	For
8	Elect Shuhji Sekimoto	Mgmt	For	For	For
9	Elect Kimio Uchiyama	Mgmt	For	For	For
10	Elect Yasuyuki Kondoh	Mgmt	For	For	For
11	Elect Shigeharu Tachibana	Mgmt	For	For	For
12	Elect Mitsuyasu Iwata	Mgmt	For	For	For
13	Elect Kazuo Nakase	Mgmt	For	For	For
14	Elect Junichi Horikawa	Mgmt	For	Against	Against
15	Elect Keiji Watanabe	Mgmt	For	For	For

HollyFrontier Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
HFC	CUSIP 436106108	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Douglas Y. Bech	Mgmt	For	For	For
2	Elect George Damiros	Mgmt	For	For	For
3	Elect Leldon E. Echols	Mgmt	For	For	For
4	Elect R. Kevin Hardage	Mgmt	For	For	For
5	Elect Michael C. Jennings	Mgmt	For	For	For
6	Elect Robert J. Kostelnik	Mgmt	For	For	For
7	Elect James H. Lee	Mgmt	For	For	For
8	Elect Franklin Myers	Mgmt	For	For	For
9	Elect Michael E. Rose	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

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Holmen AB

Ticker	Security ID:	Meeting Date	Meeting Status		
HOLMB	CINS W4200N112	03/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Ratification of Board and CEO Acts	Mgmt	For	For	For
15	Board Size; Number of Auditors	Mgmt	For	For	For
16	Directors and Auditors' Fees	Mgmt	For	For	For
17	Election of Directors	Mgmt	For	Against	Against
18	Appointment of Auditor	Mgmt	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Remuneration Guidelines	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
0902	CINS Y3744A105	01/24/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	2017 Continuing Connected Transactions with Huaneng Group	Mgmt	For	Abstain	Against
4	2017-2019 Continuing Connected Transactions with Huaneng Finance	Mgmt	For	Abstain	Against
5	2017-2019 Continuing Connected Transactions with Tiancheng Leasing	Mgmt	For	Abstain	Against



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6 Non-Voting Meeting Note N/A N/A N/A N/A

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Huaneng Power International, Inc.

Ticker Security ID: Meeting Date Meeting Status  
0902 CINS Y3744A105 05/16/2017 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Eligibility for Private Placement	Mgmt	For	For	For
4	Methods and Time of Issuance	Mgmt	For	For	For
5	Type and Face Value of Shares	Mgmt	For	For	For
6	Target Investors and Subscription Method	Mgmt	For	For	For
7	Pricing Base Date, Issue Price and Pricing Principles	Mgmt	For	For	For
8	Number of Shares	Mgmt	For	For	For
9	Lock-up Period	Mgmt	For	For	For
10	Amount and Use of Proceeds	Mgmt	For	For	For
11	Arrangement of Undistributed Profits	Mgmt	For	For	For
12	Validity Period of Resolution	Mgmt	For	For	For
13	Listing Market	Mgmt	For	For	For
14	Preliminary Plan for Private Placement	Mgmt	For	For	For
15	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
16	Report on Deposit and Use of Raised Funds	Mgmt	For	For	For
17	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
18	Approval of Commitments of Management for Dilution Measures	Mgmt	For	For	For
19	Shareholder Return Plan	Mgmt	For	For	For
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Huaneng Power International, Inc.

Ticker Security ID: Meeting Date Meeting Status  
0902 CINS Y3744A105 06/13/2017 Voted

Meeting Type Country of Trade

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Annual Issue No.	Description	China Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Directors' Report	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against
10	Authority to Issue Short-Term Debentures	Mgmt	For	For	For
11	Authority to Issue Super Short-Term Debentures	Mgmt	For	For	For
12	Authority to Issue Debt Financing Instruments by Way of Non-Public Placement	Mgmt	For	For	For
13	General Authority to Issue Domestic and/or Overseas Debt Financing Instruments	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
15	Elect CAO Peixi	Mgmt	For	For	For
16	Elect GUO Junming	Mgmt	For	For	For
17	Elect LIU Guoyue	Mgmt	For	Against	Against
18	Elect FAN Xiaxia	Mgmt	For	For	For
19	Elect HUANG Jian	Mgmt	For	For	For
20	Elect WANG Yongxiang	Mgmt	For	For	For
21	Elect MI Dabin	Mgmt	For	For	For
22	Elect GUO Hongbo	Mgmt	For	For	For
23	Elect CHENG Heng	Mgmt	For	For	For
24	Elect LIN Chong	Mgmt	For	For	For
25	Elect YUE Heng	Mgmt	For	Against	Against
26	Elect XU Mengzhou	Mgmt	For	For	For
27	Elect LIU Jizhen	Mgmt	For	For	For
28	Elect XU Haifeng	Mgmt	For	For	For
29	Elect ZHANG Xianzhi	Mgmt	For	For	For
30	Elect YE Xiangdong	Mgmt	For	For	For
31	Elect MU Xuan	Mgmt	For	Against	Against
32	Elect ZHANG Mengjiao	Mgmt	For	For	For
33	Elect GU Jianguo	Mgmt	For	For	For
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Huaneng Power International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
0902	CINS Y3744A105	11/30/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Acquisition	Mgmt	For	For	For

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### Husky Energy Inc.

Ticker	Security ID:	Meeting Date		Meeting Status	
HSE	CINS 448055103	05/05/2017		Voted	
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Victor LI Tzar Kuoi	Mgmt	For	Against	Against
3	Elect Canning FOK Kin Ning	Mgmt	For	Against	Against
4	Elect Stephen E. Bradley	Mgmt	For	For	For
5	Elect Asim Ghosh	Mgmt	For	For	For
6	Elect Martin J.G. Glynn	Mgmt	For	For	For
7	Elect Poh Chan Koh	Mgmt	For	For	For
8	Elect Eva KWOK Lee	Mgmt	For	For	For
9	Elect Stanley T.L. Kwok	Mgmt	For	For	For
10	Elect Frederick S.H. Ma	Mgmt	For	For	For
11	Elect George C. Magnus	Mgmt	For	For	For
12	Elect Neil D. McGee	Mgmt	For	For	For
13	Elect Robert J. Peabody	Mgmt	For	For	For
14	Elect Colin S. Russel	Mgmt	For	Against	Against
15	Elect Wayne E. Shaw	Mgmt	For	For	For
16	Elect William Shurniak	Mgmt	For	For	For
17	Elect Frank J. Sixt	Mgmt	For	Against	Against
18	Appointment of Auditor	Mgmt	For	For	For

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### Hyundai Steel Company

Ticker	Security ID:	Meeting Date		Meeting Status	
004020	cins Y38383108	03/17/2017		Voted	
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect KANG Hak Suh	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	Against	Against

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### IAMGOLD Corporation

Ticker	Security ID:	Meeting Date		Meeting Status	
IMG	CINS 450913108	05/10/2017		Voted	
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect John E. Caldwell	Mgmt	For	For	For
3	Elect Donald Charter	Mgmt	For	For	For
4	Elect Sybil Veenman	Mgmt	For	For	For
5	Elect Richard J. Hall	Mgmt	For	For	For
6	Elect Stephen J.J. Letwin	Mgmt	For	For	For
7	Elect Mahendra Naik	Mgmt	For	For	For
8	Elect Timothy R. Snider	Mgmt	For	For	For

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9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Iluka Resources Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
ILU	CINS Q4875J104	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Jenny A. Seabrook	Mgmt	For	For	For
3	Re-elect Marcelo H. Bastos	Mgmt	For	For	For
4	Remuneration Report	Mgmt	For	Against	Against

Impala Platinum Holdings Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMP	CINS S37840113	10/26/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Elect Audit Committee Member (Hugh Cameron)	Mgmt	For	For	For
3	Elect Audit Committee Member (Peter Davey)	Mgmt	For	For	For
4	Elect Audit Committee Member (Babalwa Ngonyama)	Mgmt	For	Against	Against
5	Elect Audit Committee Member (Mpho Nkeli)	Mgmt	For	For	For
6	APPROVE REMUNERATION POLICY	Mgmt	For	For	For
7	Re-elect Hugh Cameron	Mgmt	For	For	For
8	Re-elect Albertina Kekana	Mgmt	For	For	For
9	Re-elect Alastair Macfarlane	Mgmt	For	For	For
10	Re-elect Babalwa Ngonyama	Mgmt	For	Against	Against
11	Approve NEDs' Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For

Imperial Oil Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
IMO	CUSIP 453038408	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Appointment of Auditor	Mgmt	For	For	For
2.1	Elect Krystyna T. Hoeg	Mgmt	For	For	For
2.2	Elect Richard M. Kruger	Mgmt	For	For	For
2.3	Elect Jack M. Mintz	Mgmt	For	For	For
2.4	Elect David S. Sutherland	Mgmt	For	For	For
2.5	Elect D.G. Wascom	Mgmt	For	Withhold	Against
2.6	Elect Sheelagh D. Whittaker	Mgmt	For	For	For
2.7	Elect Victor L. Young	Mgmt	For	For	For

Industrias Penoles S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PE&OLES	CINS P55409141	04/25/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Mexico				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Report of the Board of Directors	Mgmt	For	Abstain	Against
2	Reports of the CEO and Auditor	Mgmt	For	Abstain	Against
3	Accounts	Mgmt	For	Abstain	Against
4	Report on the Company's Accounting and Financial Reporting Policies	Mgmt	For	Abstain	Against
5	Report of the Audit and Corporate Governance Committees	Mgmt	For	Abstain	Against
6	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
7	Authority to Repurchase Shares	Mgmt	For	Abstain	Against
8	Election of Directors; Director's Fees	Mgmt	For	Abstain	Against
9	Election of Audit and Corporate Governance Committee Chair	Mgmt	For	Abstain	Against
10	Election of Meeting Delegates	Mgmt	For	For	For
11	Minutes	Mgmt	For	For	For

Ingredient Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
INGR	CUSIP 457187102	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Luis Aranguren-Trellez	Mgmt	For	For	For
2	Elect David B. Fischer	Mgmt	For	For	For
3	Elect Ilene S. Gordon	Mgmt	For	For	For
4	Elect Paul Hanrahan	Mgmt	For	For	For
5	Elect Rhonda L. Jordan	Mgmt	For	For	For
6	Elect Gregory B. Kenny	Mgmt	For	For	For

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7	Elect Barbara A. Klein	Mgmt	For	For	For
8	Elect Victoria J. Reich	Mgmt	For	For	For
9	Elect Jorge A. Uribe	Mgmt	For	For	For
10	Elect Dwayne A. Wilson	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For

Inpex Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
1605	CINS J2467E101	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Toshiaki Kitamura	Mgmt	For	For	For
4	Elect Masaharu Sano	Mgmt	For	For	For
5	Elect Masahiro Murayama	Mgmt	For	For	For
6	Elect Seiya Itoh	Mgmt	For	For	For
7	Elect Shunichiroh Sugaya	Mgmt	For	For	For
8	Elect Takahiko Ikeda	Mgmt	For	For	For
9	Elect Yoshikazu Kurasawa	Mgmt	For	For	For
10	Elect Kimihisa Kittaka	Mgmt	For	For	For
11	Elect Nobuharu Sase	Mgmt	For	For	For
12	Elect Yasuhiko Okada	Mgmt	For	For	For
13	Elect Hiroshi Satoh	Mgmt	For	For	For
14	Elect Isao Matsushita	Mgmt	For	For	For
15	Elect Jun Yanai	Mgmt	For	For	For
16	Elect Norinao Iio	Mgmt	For	For	For
17	Elect Atsuko Nishimura	Mgmt	For	For	For
18	Bonus	Mgmt	For	For	For
19	Directors' & Statutory Auditors' Fees	Mgmt	For	For	For

International Paper Company

Ticker	Security ID:	Meeting Date	Meeting Status		
IP	CUSIP 460146103	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David J. Bronczek	Mgmt	For	For	For
2	Elect William J. Burns	Mgmt	For	For	For
3	Elect Ahmet Cemal Dorduncu	Mgmt	For	For	For
4	Elect Ilene S. Gordon	Mgmt	For	Against	Against
5	Elect Jay L. Johnson	Mgmt	For	For	For
6	Elect Stacey J. Mobley	Mgmt	For	For	For
7	Elect Kathryn D. Sullivan	Mgmt	For	For	For
8	Elect Mark S. Sutton	Mgmt	For	For	For

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9	Elect John L. Townsend, III	Mgmt	For	For	For
10	Elect William G. Walter	Mgmt	For	For	For
11	Elect J. Steven Whisler	Mgmt	For	For	For
12	Elect Ray G. Young	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For

Inversiones Aguas Metropolitanas

Ticker	Security ID:	Meeting Date	Meeting Status		
IAM	CINS P58595102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Chile				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Dividend policy	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Appointment of Risk Rating Agencies	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Election of Directors	Mgmt	For	Abstain	Against
9	Report on Board of Directors' Expenses	Mgmt	For	For	For
10	Directors' Committee Fees and Budget	Mgmt	For	For	For
11	Report on Directors' Committee Activities and Expenses	Mgmt	For	For	For
12	Publication of Company Notices	Mgmt	For	For	For
13	Transaction of Other Business	Mgmt	N/A	Against	N/A

IOI Corporation Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
IOICORP	CINS Y41763106	10/28/2016	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Karownikaran @ Karunikaran a/l	Mgmt	For	Against	Against

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	Ramasamy					
2	Elect LIM Tuang Ooi	Mgmt	For	Against	Against	
3	Elect LEE Shin Cheng	Mgmt	For	For	For	
4	Elect Peter CHIN Fah Kui	Mgmt	For	Against	Against	
5	Directors' Fees	Mgmt	For	For	For	
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
8	Authority to Repurchase Shares	Mgmt	For	For	For	
9	Mandate for Recurrent Related Party Transactions	Mgmt	For	For	For	

ITRON, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ITRI	CUSIP 465741106	05/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Frank M. Jaehnert	Mgmt	For	For	For
2	Elect Jerome J. Lande	Mgmt	For	For	For
3	Elect Timothy Leyden	Mgmt	For	For	For
4	Elect Gary E. Pruitt	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Amendment to the 2010 Stock incentive Plan	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

ITRON, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ITRI	CUSIP 465741106	09/14/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lynda L. Ziegler	Mgmt	For	For	For
2	Elect Thomas S. Glanville	Mgmt	For	Against	Against
3	Elect Diana D. Tremblay	Mgmt	For	For	For
4	Elect Peter Mainz	Mgmt	For	For	For
5	Elect Jerome J. Lande	Mgmt	For	For	For
6	Elect Frank M. Jaehnert	Mgmt	For	Against	Against
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	ELECTION OF SCRUTINY COMMISSION	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	For	N/A
9	Coverage of Meeting Costs	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Jastrzebska Spolka Weglowa SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	06/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Presentation of Supervisory Board Report (Accounts and Reports; Allocation of Profits)	Mgmt	For	For	For
9	Presentation of Supervisory Board Report (Consolidated)	Mgmt	For	For	For
10	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For

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11	Presentation of Financial Statements	Mgmt	For	For	For
12	Presentation of Management Board Report	Mgmt	For	For	For
13	Presentation of Report on Public Administration Payments	Mgmt	For	For	For
14	Presentation of Management Board Motion on Allocation of Profits	Mgmt	For	For	For
15	Presentation of Management Board Report on Other Comprehensive Income	Mgmt	For	For	For
16	Financial Statements	Mgmt	For	For	For
17	Management Board Report	Mgmt	For	For	For
18	Report on Public Administration Payments	Mgmt	For	For	For
19	Allocation of Profits/Dividends	Mgmt	For	For	For
20	Settlement of Income	Mgmt	For	For	For
21	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
22	Presentation of Management Board Report (Consolidated)	Mgmt	For	For	For
23	Financial Statements (Consolidated)	Mgmt	For	For	For
24	Management Board Report (Consolidated)	Mgmt	For	For	For
25	Ratification of Management Board Acts	Mgmt	For	For	For
26	Ratification of Supervisory Board Acts	Mgmt	For	For	For
27	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHolder	N/A	Against	N/A
28	Shareholder Proposal Regarding Amendments to Articles	ShrHolder	N/A	Abstain	N/A
29	Shareholder Proposal Regarding Disposal of Non-Current Assets	ShrHolder	N/A	Abstain	N/A
30	Shareholder Proposal Regarding Concluding Services	ShrHolder	N/A	Abstain	N/A

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31	Agreements Shareholder Proposal Regarding Concluding Agreements on Donations, Discharge of Debt and Similar	ShrHolder	N/A	Abstain	N/A
32	Shareholder Proposal Regarding Principles and Procedures for Non-Current Assets Disposal	ShrHolder	N/A	Abstain	N/A
33	Shareholder Proposal Regarding Report on Expenses	ShrHolder	N/A	Abstain	N/A
34	Shareholder Proposal Regarding Requirements for Management Board Candidate	ShrHolder	N/A	Abstain	N/A
35	Shareholder Proposal Regarding Appointment of Management Board Member	ShrHolder	N/A	Abstain	N/A
36	Shareholder Proposal Regarding Fulfilment of Obligations on the State Assets Management	ShrHolder	N/A	Abstain	N/A
37	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

JFE Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
5411	CINS J2817M100	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Eiji Hayashida	Mgmt	For	For	For
4	Elect Kohji Kakigi	Mgmt	For	For	For
5	Elect Shinichi Okada	Mgmt	For	For	For
6	Elect Naosuke Oda	Mgmt	For	For	For
7	Elect Hajime Ohshita	Mgmt	For	For	For
8	Elect Masafumi Maeda	Mgmt	For	For	For
9	Elect Masao Yoshida	Mgmt	For	For	For
10	Elect Masami Yamamoto	Mgmt	For	For	For
11	Elect Nobuya Hara	Mgmt	For	For	For
12	Elect Isao Saiki	Mgmt	For	For	For
13	Renewal of Takeover Defense Plan	Mgmt	For	For	For
14	Shareholder Proposal Regarding Removal of Masao Yoshida	ShrHolder	Against	Against	For

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Jiangxi Copper Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C100	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	Against	Against
7	Resignation of Independent Director: ZHANG Weidong	Mgmt	For	For	For
8	Elect ZHOU Donghua; Directors' Fees	Mgmt	For	For	For

Jiangxi Copper Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C100	07/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Fulfillment of Conditions of Non-public Issuance of A Shares	Mgmt	For	For	For
4	Class and Par Value	Mgmt	For	For	For
5	Method of Issue	Mgmt	For	For	For
6	Subscribers	Mgmt	For	For	For
7	Method of Subscription	Mgmt	For	For	For
8	Issue Price	Mgmt	For	For	For
9	Number of Shares	Mgmt	For	For	For
10	Lock-up Period and Place of Listing	Mgmt	For	For	For
11	Purpose of the Proceeds	Mgmt	For	For	For
12	Distribution of Profit	Mgmt	For	For	For
13	Relationship between A Share Issue and H Share Issue	Mgmt	For	For	For
14	Validity Period	Mgmt	For	For	For
15	Execution of Conditional Subscription Agreement	Mgmt	For	For	For
16	Non-public Issuance of A Shares (Revised)	Mgmt	For	For	For

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	Version)				
17	Connected Transaction	Mgmt	For	For	For
18	Ratification of Board Acts	Mgmt	For	For	For
19	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
20	Remedial Measures regarding Dilution on Current Returns	Mgmt	For	For	For
21	Undertakings of Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For	For
22	Dividend Distribution Plan	Mgmt	For	For	For
23	Special Deal	Mgmt	For	For	For
24	Fulfillment of Conditions of Issuance of Corporate Bonds	Mgmt	For	For	For
25	Nominal Value, Issue Price and Size	Mgmt	For	For	For
26	Target Subscribers	Mgmt	For	For	For
27	Term and Types	Mgmt	For	For	For
28	Interest Rate	Mgmt	For	For	For
29	Guarantee	Mgmt	For	For	For
30	Method of Issuance	Mgmt	For	For	For
31	Use of Proceeds	Mgmt	For	For	For
32	Measures for Safeguarding Repayment	Mgmt	For	For	For
33	Place of Listing	Mgmt	For	For	For
34	Board Authority	Mgmt	For	For	For
35	Validity	Mgmt	For	For	For
36	Report on Use of Proceeds from Previous Fundraising	Mgmt	For	For	For
37	Waiver of JCC's Obligation	Mgmt	For	For	For
38	Whitewash Waiver	Mgmt	For	For	For
39	Resignation of Executive Director: LIU Fangyun	Mgmt	For	For	For
40	Resignation of Executive Director: GAN Chengjiu	Mgmt	For	For	For
41	Resignation of Executive Director: SHI Jialiang	Mgmt	For	For	For
42	Resignation of Independent Director: DENG Hui	Mgmt	For	For	For

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43	Resignation of Independent Director: Qiu Guanzhou	Mgmt	For	For	For
44	Resignation of Supervisor: Wu Jinxing	Mgmt	For	For	For
45	Resignation of Supervisor: WAN Sujuan	Mgmt	For	For	For
46	Elect WANG Bo	Mgmt	For	For	For
47	Elect WU Jinxing	Mgmt	For	For	For
48	Elect WU Yuneng	Mgmt	For	For	For
49	Elect SUN Chuanyao	Mgmt	For	For	For
50	Elect LIU Erfei	Mgmt	For	For	For
51	Elect LIAO Shengsen as Supervisor	Mgmt	For	Against	Against
52	Elect ZHANG Jianhua as Supervisor	Mgmt	For	Against	Against
53	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
54	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jiangxi Copper Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0358	CINS Y4446C100	07/18/2016	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value	Mgmt	For	For	For
2	Method of Issue	Mgmt	For	For	For
3	Subscribers	Mgmt	For	For	For
4	Method of Subscription	Mgmt	For	For	For
5	Issue Price	Mgmt	For	For	For
6	Number of Shares	Mgmt	For	For	For
7	Lock-up Period and Place of Listing	Mgmt	For	For	For
8	Purpose of the Proceeds	Mgmt	For	For	For
9	Distribution of Profit	Mgmt	For	For	For
10	Relationship between A Share Issue and H Share Issue	Mgmt	For	For	For
11	Validity Period	Mgmt	For	For	For
12	Execution of Conditional Subscription Agreement	Mgmt	For	For	For
13	Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For	For
14	Connected Transaction	Mgmt	For	For	For
15	Ratification of Board Acts	Mgmt	For	For	For
16	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
17	Remedial Measures regarding Dilution on Current Returns	Mgmt	For	For	For

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18	Undertakings of Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For	For
19	Dividend Distribution Plan	Mgmt	For	For	For
20	Special Deal	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

JX Holdings Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
5020	CINS J29699105	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Business Integration	Mgmt	For	For	For
3	Amendments to Articles in Conjunction with Business Integration	Mgmt	For	For	For
4	Elect Jun Mutoh	Mgmt	For	For	For
5	Elect Yasushi Onoda	Mgmt	For	For	For
6	Elect Takashi Hirose	Mgmt	For	For	For
7	Elect Yoshiiku Miyata	Mgmt	For	For	For
8	Elect Yohji Kuwano	Mgmt	For	For	For
9	Elect Nobuko Takahashi	Mgmt	For	For	For

JXTG Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
5020	CINS J29699105	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Yasushi Kimura	Mgmt	For	Against	Against
4	Elect Yukio Uchida	Mgmt	For	For	For
5	Elect Jun Mutoh	Mgmt	For	For	For
6	Elect Junichi Kawada	Mgmt	For	For	For
7	Elect Yasushi Onoda	Mgmt	For	For	For
8	Elect Hiroji Adachi	Mgmt	For	For	For
9	Elect Katsuyuki Ohta	Mgmt	For	For	For
10	Elect Tsutomu Sugimori	Mgmt	For	For	For
11	Elect Takashi Hirose	Mgmt	For	For	For
12	Elect Shunsaku Miyake	Mgmt	For	For	For
13	Elect Shigeru Ohi	Mgmt	For	For	For
14	Elect Satoshi Taguchi	Mgmt	For	For	For
15	Elect Hiroko Ohta	Mgmt	For	For	For
16	Elect Mutsutake Ohtsuka	Mgmt	For	For	For
17	Elect Seiichi Kondoh	Mgmt	For	For	For
18	Elect Yoshiiku Miyata	Mgmt	For	For	For
19	Elect Yuji Nakajima as Statutory Auditor	Mgmt	For	Against	Against

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20 Performance-Linked Equity Compensation Plan Mgmt For For For

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KAZ Minerals Plc

Ticker Security ID: Meeting Date Meeting Status  
 KAZ CINS G5221U108 04/27/2017 Voted

Meeting Type Country of Trade  
 Annual United Kingdom

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Policy (Binding)	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Elect Simon Heale	Mgmt	For	For	For
5	Elect Oleg Novachuk	Mgmt	For	For	For
6	Elect Andrew Southam	Mgmt	For	For	For
7	Elect Lynda Armstrong	Mgmt	For	For	For
8	Elect Vladimir Kim	Mgmt	For	For	For
9	Elect Michael Lynch-Bell	Mgmt	For	For	For
10	Elect John MacKenzie	Mgmt	For	For	For
11	Elect Charles Watson	Mgmt	For	For	For
12	Appointment of Auditor	Mgmt	For	For	For
13	Authority to Set Auditor's Fees	Mgmt	For	For	For
14	Long Term Incentive Plan	Mgmt	For	For	For
15	Deferred Share Bonus Plan	Mgmt	For	For	For
16	Ammendment to the Deferred Share Bonus Plan	Mgmt	For	For	For
17	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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KGHM Polska Miedz

Ticker Security ID: Meeting Date Meeting Status  
 KGH CINS X45213109 06/21/2017 Voted

Meeting Type Country of Trade  
 Annual Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Financial Statements	Mgmt	For	For	For
8	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
9	Presentation of Management Board Report	Mgmt	For	For	For
10	Presentation of Management Board Report (Coverage of Loss)	Mgmt	For	For	For
11	Presentation of Management Board Report (Dividends)	Mgmt	For	For	For
12	Presentation of Supervisory Board Report on Management Board Reports	Mgmt	For	For	For
13	Presentation of Supervisory Board Report (Company Standing)	Mgmt	For	For	For
14	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For
15	Financial Statements	Mgmt	For	For	For
16	Financial Statements (Consolidated)	Mgmt	For	For	For
17	Management Board Report	Mgmt	For	For	For
18	Coverage of Loss	Mgmt	For	For	For
19	Distribution of Dividends	Mgmt	For	For	For
20	Ratification of Management Board Acts	Mgmt	For	Against	Against
21	Ratification of Supervisory Board Acts	Mgmt	For	For	For
22	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A
23	Shareholder Proposal Regarding Disposal of Non-Current Assets	ShrHoldr	N/A	Abstain	N/A
24	Shareholder Proposal	ShrHoldr	N/A	Abstain	N/A

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	Regarding Concluding Services Agreements					
25	Shareholder Proposal Regarding Concluding Agreements on Donations, Discharge of Debt and Similar	ShrHoldr	N/A	Abstain	N/A	
26	Shareholder Proposal Regarding Principles and Procedures for Non-Current Assets Disposal	ShrHoldr	N/A	Abstain	N/A	
27	Shareholder Proposal Regarding Report on Expenses	ShrHoldr	N/A	Abstain	N/A	
28	Shareholder Proposal Regarding Requirements for Management Board Candidate	ShrHoldr	N/A	Abstain	N/A	
29	Shareholder Proposal Regarding Appointment of Management Board Member	ShrHoldr	N/A	Abstain	N/A	
30	Shareholder Proposal Regarding Fulfilment of Obligations on the State Assets Management	ShrHoldr	N/A	Abstain	N/A	
31	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	Against	N/A	
32	Shareholder Proposal Regarding Amendments to Supervisory Board Remuneration Policy	ShrHoldr	N/A	Abstain	N/A	
33	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A	
34	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
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KGHM Polska Miedz						
Ticker	Security ID:	Meeting Date		Meeting Status		
KGH	CINS X45213109	12/07/2016		Voted		
Meeting Type	Country of Trade					
Special	Poland					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chairman	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	Agenda	Mgmt	For	For	For	

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6	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHolder	N/A	Abstain	N/A
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHolder	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHolder	N/A	Abstain	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Kinder Morgan, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
KMI	CUSIP 49456B101	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard D. Kinder	Mgmt	For	For	For
2	Elect Steven J. Kean	Mgmt	For	For	For
3	Elect Kimberly A. Dang	Mgmt	For	Against	Against
4	Elect Ted A. Gardner	Mgmt	For	Against	Against
5	Elect Anthony W. Hall, Jr.	Mgmt	For	For	For
6	Elect Gary L. Hultquist	Mgmt	For	For	For
7	Elect Ronald L. Kuehn, Jr.	Mgmt	For	For	For
8	Elect Deborah A. Macdonald	Mgmt	For	For	For
9	Elect Michael C. Morgan	Mgmt	For	For	For
10	Elect Arthur C. Reichstetter	Mgmt	For	For	For
11	Elect Fayez S. Sarofim	Mgmt	For	For	For
12	Elect C. Park Shaper	Mgmt	For	For	For
13	Elect William A. Smith	Mgmt	For	For	For
14	Elect Joel V. Staff	Mgmt	For	For	For
15	Elect Robert F. Vagt	Mgmt	For	For	For
16	Elect Perry M. Waughtal	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Shareholder Proposal Regarding Proxy Access	ShrHolder	Against	For	Against
19	Shareholder Proposal Regarding Methane Emissions Reporting	ShrHolder	Against	For	Against
20	Shareholder Proposal Regarding Sustainability Reporting	ShrHolder	Against	For	Against
21	Shareholder Proposal Regarding Climate Change Reporting	ShrHolder	Against	For	Against

Kinross Gold Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
K	CUSIP 496902404	05/03/2017		Voted	
	Meeting Type	Country of Trade			
	Annual	United States			
1.1	Elect Ian Atkinson	Mgmt	For	For	For
1.2	Elect John A. Brough	Mgmt	For	For	For
1.3	Elect John M. H. Huxley	Mgmt	For	For	For
1.4	Elect Ave G. Lethbridge	Mgmt	For	For	For
1.5	Elect Catherine McLeod-Seltzer	Mgmt	For	For	For
1.6	Elect John E. Oliver	Mgmt	For	For	For
1.7	Elect Kelly J. Osborne	Mgmt	For	For	For
1.8	Elect Una M. Power	Mgmt	For	For	For
1.9	Elect J. Paul Rollinson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Kobe Steel Ltd.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
5406	CINS J34555250	06/21/2017		Voted	
	Meeting Type	Country of Trade			
	Annual	Japan			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Hiroya Kawasaki	Mgmt	For	Against	Against
3	Elect Yoshinori Onoe	Mgmt	For	For	For
4	Elect Akira Kaneko	Mgmt	For	For	For
5	Elect Naoto Umehara	Mgmt	For	For	For
6	Elect Mitsugu Yamaguchi	Mgmt	For	For	For
7	Elect Shohei Manabe	Mgmt	For	For	For
8	Elect Fusaki Koshiishi	Mgmt	For	For	For
9	Elect Toshiya Miyake	Mgmt	For	Against	Against
10	Elect Kazuhide Naraki	Mgmt	For	For	For
11	Elect Takao Kitabata	Mgmt	For	For	For
12	Elect Hiroyuki Bamba	Mgmt	For	Against	Against
13	Elect Takao Kitabata as Alternate Audit Committee Director	Mgmt	For	For	For

Korea Zinc Inc

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
010130	CINS Y4960Y108	03/24/2017		Voted	
	Meeting Type	Country of Trade			
	Annual	Korea, Republic of			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
3	Elect CHOI Chang Keun	Mgmt	For	For	For
4	Elect LEE Je Joong	Mgmt	For	For	For
5	Elect LEE Chae Pil	Mgmt	For	For	For
6	Elect HAN Cheol Soo	Mgmt	For	For	For
7	Election of Audit	Mgmt	For	For	For

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	Committee Member: LEE Jin Gang				
8	Election of Audit Committee Member: HAN Cheol Soo	Mgmt	For	For	For
9	Directors' Fees	Mgmt	For	For	For

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Kuala Lumpur Kepong Berhad					
Ticker	Security ID:	Meeting Date	Meeting Status		
KLK	CINS Y47153104	02/15/2017	Voted		
Meeting Type		Country of Trade			
Annual		Malaysia			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Elect LEE Hau Hian	Mgmt	For	Against	Against
3	Elect YEOH Eng Khoon	Mgmt	For	For	For
4	Elect QUAH Poh Keat	Mgmt	For	For	For
5	Elect Raja Muhammad Alias bin Raja Muhammad Ali	Mgmt	For	For	For
6	Elect KWOK Kian Hai	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
10	Related Party Transactions	Mgmt	For	For	For

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Kumba Iron Ore Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
KIO	CINS S4341C103	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		South Africa			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor	Mgmt	For	For	For
2	Re-elect Allen Morgan	Mgmt	For	For	For
3	Re-elect Buyelwa Sonjica	Mgmt	For	For	For
4	Re-elect Dolly Mokgatle	Mgmt	For	For	For
5	Elect Nonkululeko Dlamini	Mgmt	For	For	For
6	Elect Seamus French	Mgmt	For	For	For
7	Elect Stephen Pearce	Mgmt	For	For	For
8	Elect Terence P. Goodlace	Mgmt	For	For	For
9	Elect Audit Committee Member (Allen Morgan)	Mgmt	For	For	For
10	Elect Audit Committee Member (Dolly Mokgatle)	Mgmt	For	For	For
11	Elect Audit Committee	Mgmt	For	For	For

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	Member (Terence P. Goodlace)					
12	Approve Remuneration Policy	Mgmt	For	For	For	For
13	General Authority to Issue Shares	Mgmt	For	For	For	For
14	Adopt New Memorandum	Mgmt	For	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For	For

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Kunlun Energy Company Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
0135	CINS G5320C108	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect WU Enlai	Mgmt	For	For	For
6	Elect ZHAO Yongqi	Mgmt	For	For	For
7	Elect Aubrey LI Kwok Sing	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Kurita Water Industries Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
6370	CINS J37221116	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Michiya Kadota	Mgmt	For	Against	Against
4	Elect Kohichi Iioka	Mgmt	For	For	For
5	Elect Kiyoshi Itoh	Mgmt	For	For	For
6	Elect Takahito Namura	Mgmt	For	For	For

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7	Elect Toshitaka Kodama	Mgmt	For	For	For
8	Elect Yoshio Yamada	Mgmt	For	For	For
9	Elect Ikuo Ishimaru	Mgmt	For	For	For
10	Elect Hirohiko Ejiri	Mgmt	For	For	For
11	Elect Tsuguto Moriwaki	Mgmt	For	For	For
12	Elect Ryohko Sugiyama	Mgmt	For	For	For
13	Elect Shigekazu Torikai as Statutory Auditor	Mgmt	For	For	For
14	Elect Yoshihiro Tsuji as Alternate Statutory Auditor	Mgmt	For	For	For

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Lee & Man Paper Manufacturing Limited

Ticker	Security ID:	Meeting Date	Meeting Status
2314	CINS G5427W130	05/10/2017	Voted
Meeting Type	Country of Trade		
Annual	Cayman Islands		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A
3	Accounts and Reports	Mgmt	For
4	Allocation of Profits/Dividends	Mgmt	For
5	Elect LEE Man Bun	Mgmt	For
6	Elect Peter A. Davies	Mgmt	For
7	Elect David CHAU Shing Yim	Mgmt	For
			Against
8	Elect POON Chung Kwong	Mgmt	For
9	Elect Tony WONG Kai Tung	Mgmt	For
			Against
10	Directors' Fees for 2016	Mgmt	For
			Against
11	Directors' Fees for 2017	Mgmt	For
			Against
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For
			For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For
			Against
14	Authority to Repurchase Shares	Mgmt	For
			For
15	Authority to Issue Repurchased Shares	Mgmt	For
			Against

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Lindsay Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
LNN	CUSIP 535555106	01/31/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1.1	Elect Michael N. Christodolou	Mgmt	For
			For
1.2	Elect W. Thomas Jagodinski	Mgmt	For
			For
1.3	Elect David B. Rayburn	Mgmt	For
			For

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2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Louisiana-Pacific Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
LPX	CUSIP 546347105	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect E. Gary Cook	Mgmt	For	For	For
2	Elect Kurt M. Landgraf	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Lukoil OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
LKOH	CINS 69343P105	12/05/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Lundin Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
LUN	CINS 550372106	05/12/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Donald K. Charter	Mgmt	For	For	For
3	Elect Paul K. Conibear	Mgmt	For	For	For
4	Elect John H. Craig	Mgmt	For	Against	Against
5	Elect Peter C. Jones	Mgmt	For	For	For
6	Elect Lukas H. Lundin	Mgmt	For	Against	Against
7	Elect Dale C. Peniuk	Mgmt	For	For	For
8	Elect William A. Rand	Mgmt	For	For	For
9	Elect Catherine J.G. Stefan	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Amendment to the 2006 Incentive Stock Option	Mgmt	For	For	For



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Plan

Lundin Petroleum AB						
Ticker	Security ID:	Meeting Date	Meeting Status			
LUPE	CINS W64566107	03/22/2017	Voted			
Meeting Type	Country of Trade					
Special	Sweden					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Distribution of Shares	Mgmt	For	For	For	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Lundin Petroleum AB						
Ticker	Security ID:	Meeting Date	Meeting Status			
LUPE	CINS W64566107	05/04/2017	Voted			
Meeting Type	Country of Trade					
Annual	Sweden					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Accounts and Reports	Mgmt	For	For	For	
13	Allocation of Profits/Dividends	Mgmt	For	For	For	
14	Ratification of Board and CEO Acts	Mgmt	For	For	For	
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
16	Board Size	Mgmt	For	For	For	
17	Elect Peggy Bruzelius	Mgmt	For	For	For	
18	Elect Ashley Heppenstall	Mgmt	For	Against	Against	
19	Elect Ian H. Lundin	Mgmt	For	Against	Against	
20	Elect Lukas H. Lundin	Mgmt	For	Against	Against	
21	Elect Grace Reksten Skaugen	Mgmt	For	For	For	
22	Elect Alex Schneider	Mgmt	For	For	For	
23	Elect Cecilia Vieweg	Mgmt	For	For	For	
24	Elect Jakob Thomasen	Mgmt	For	For	For	
25	Elect Ian H. Lundin as Chair	Mgmt	For	Against	Against	
26	Directors' Fees	Mgmt	For	For	For	
27	Appointment of Auditor	Mgmt	For	For	For	
28	Authority to Set	Mgmt	For	For	For	

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Auditor's Fees						
29	Remuneration Guidelines	Mgmt	For	Against	Against	
30	Long Term Incentive Plan	Mgmt	For	For	For	
31	Authority to Issue Shares and Convertible Securities w/ or w/o Preemptive Rights	Mgmt	For	For	For	
32	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	For	For	
33	Shareholder Proposal Regarding Sudanese Operations	ShrHoldr	Against	Against	For	
34	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Maanshan Iron & Steel Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0323	CINS Y5361G109	06/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For

Magnitogorsk Iron & Steel Works OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
MAGN	CINS 559189204	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Malayan Banking Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
MAYBANK	CINS Y54671105	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For

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2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Abdul Farid Alias	Mgmt	For	For	For
4	Elect Karownakaran @ Karunakaran a/l Ramasamy	Mgmt	For	For	For
5	Elect CHENG Kee Check	Mgmt	For	Against	Against
6	Elect Nor Hizam Bin Hashim	Mgmt	For	For	For
7	Elect Hasnita Binti Hashim	Mgmt	For	For	For
8	Elect Anthony Brent Elam	Mgmt	For	For	For
9	Elect Jamiah Binti Abdul Hamid	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Directors' Benefits	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares under Dividend Reinvestment Plan	Mgmt	For	For	For

Malayan Banking Berhad

Ticker	Security ID:	Meeting Date	Meeting Status		
MAYBANK	CINS Y54671105	04/06/2017	Voted		
Meeting Type	Country of Trade				
Special	Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Adoption of the Employees' Share Grant Plan ("ESGP")	Mgmt	For	Against	Against
2	Equity Grant to Datuk Abdul Farid Alias	Mgmt	For	Against	Against

Marathon Oil Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MRO	CUSIP 565849106	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For
2	Elect Gregory H. Boyce	Mgmt	For	For	For
3	Elect Chadwick C. Deaton	Mgmt	For	For	For
4	Elect Marcela E. Donadio	Mgmt	For	For	For
5	Elect Philip Lader	Mgmt	For	For	For
6	Elect Michael E.J. Phelps	Mgmt	For	For	For

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7	Elect Dennis H. Reilley	Mgmt	For	For	For
8	Elect Lee M. Tillman	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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### Marine Harvest ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
MHG	CINS R2326D113	06/01/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
5	Agenda	Mgmt	For	TNA	N/A
6	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
9	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
10	Remuneration Guidelines (Binding)	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
14	Elect Ole Eirik Leroy	Mgmt	For	TNA	N/A
15	Elect Lisbet Naero	Mgmt	For	TNA	N/A
16	Elect Orjan Svanevik	Mgmt	For	TNA	N/A
17	Nomination Committee	Mgmt	For	TNA	N/A
18	Quarterly Dividend Distribution	Mgmt	For	TNA	N/A
19	Authority to Distribute Dividends	Mgmt	For	TNA	N/A
20	Authority to Repurchase Shares and to Issue Treasury Shares	Mgmt	For	TNA	N/A
21	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
22	Authority to Issue Convertible Debt Instruments	Mgmt	For	TNA	N/A
23	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Mining and Metallurgical Co Norilsk Nickel					
Ticker	Security ID:	Meeting Date	Meeting Status		
GMKN	CINS 55315J102	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Financial Statements (Consolidated)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Sergey V. Barbashev	Mgmt	For	Abstain	Against
7	Elect Alexey V. Bashkirov	Mgmt	For	Abstain	Against
8	Elect Rushan A. Bogaudinov	Mgmt	For	Abstain	Against
9	Elect Sergey B. Bratukhin	Mgmt	For	Abstain	Against
10	Elect Andrey E. Bougrov	Mgmt	For	Abstain	Against
11	Elect Marianna A. Zakharova	Mgmt	For	Abstain	Against
12	Elect Stalbek S. Mishakov	Mgmt	For	Abstain	Against
13	Elect Gareth Penny	Mgmt	For	For	For
14	Elect Cornelis Johannes Gerhard Prinsloo	Mgmt	For	For	For
15	Elect Maxim M. Sokov	Mgmt	For	Abstain	Against
16	Elect Vladislav A. Soloviev	Mgmt	For	Abstain	Against
17	Elect Sergey V. Skvortsov	Mgmt	For	For	For
18	Elect Robert W. Edwards	Mgmt	For	For	For
19	Elect Artur Gagikovich Arustamov	Mgmt	For	For	For
20	Elect Anna V. Masalova	Mgmt	For	For	For
21	Elect Georgiy E. Svanidze	Mgmt	For	For	For
22	Elect Vladimir N. Shilkov	Mgmt	For	For	For
23	Elect Elena A. Yanevich	Mgmt	For	For	For
24	Appointment of Auditor (RAS)	Mgmt	For	For	For
25	Appointment of Auditor (IFRS)	Mgmt	For	For	For
26	Directors' Fees	Mgmt	For	Against	Against
27	Audit Commission Fees	Mgmt	For	For	For
28	Indemnification of Directors/Officers	Mgmt	For	For	For
29	Related Party Transactions (D&O Insurance)	Mgmt	For	For	For
30	Amendments to Articles	Mgmt	For	Against	Against
31	Participation in the "National Global Compact Network Association"	Mgmt	For	For	For

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32	Participation in the "Transportation Security Association"	Mgmt	For	For	For
33	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mitsubishi Materials Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
5711	CINS J44024107	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Hiroshi Yao	Mgmt	For	Against	Against
3	Elect Akira Takeuchi	Mgmt	For	For	For
4	Elect Osamu Iida	Mgmt	For	For	For
5	Elect Naoki Ono	Mgmt	For	For	For
6	Elect Nobuo Shibano	Mgmt	For	For	For
7	Elect Yasunobu Suzuki	Mgmt	For	For	For
8	Elect Yukio Okamoto	Mgmt	For	For	For
9	Elect Mariko Tokunoh	Mgmt	For	For	For
10	Elect Hiroshi Watanabe	Mgmt	For	For	For
11	Elect Sohichi Fukui	Mgmt	For	Against	Against
12	Elect Hiroshi Satoh	Mgmt	For	Against	Against

MMG Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1208	CINS Y6133Q102	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Hong Kong			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Elect GUO Wenqing	Mgmt	For	For	For
4	Elect ZHANG Shuqiang	Mgmt	For	Against	Against
5	Elect Kevin JIAO Jian	Mgmt	For	For	For
6	Elect XU Jiqing	Mgmt	For	For	For
7	Elect GAO Xiaoyu	Mgmt	For	Against	Against
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mol Hungarian Oil and Gas

Ticker	Security ID:	Meeting Date	Meeting Status		
MOL	CINS X5462R112	04/13/2017	Take No Action		
Meeting Type		Country of Trade			
Annual		Hungary			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Voting Method	Mgmt	For	TNA	N/A
6	Election of Meeting Bodies	Mgmt	For	TNA	N/A
7	Accounts and Reports	Mgmt	For	TNA	N/A
8	Allocation of Profit/Dividends	Mgmt	For	TNA	N/A
9	Corporate Governance Declaration	Mgmt	For	TNA	N/A
10	Ratification of Board Acts	Mgmt	For	TNA	N/A
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	TNA	N/A
12	Presentation of Information on Share Repurchase	Mgmt	For	TNA	N/A
13	Authority to Repurchase and Reissue Shares	Mgmt	For	TNA	N/A
14	Amendments to Article 14.1	Mgmt	For	TNA	N/A
15	Amendments to Article 22.8	Mgmt	For	TNA	N/A
16	Elect Jozsef Molnar to the Board of Directors	Mgmt	For	TNA	N/A
17	Elect Attila Chikan to the Supervisory Board	Mgmt	For	TNA	N/A
18	Elect John I. Charody to the Supervisory Board	Mgmt	For	TNA	N/A
19	Elect Vladimir Kestler to the Supervisory Board	Mgmt	For	TNA	N/A
20	Elect Ilona David to the Supervisory Board	Mgmt	For	TNA	N/A
21	Elect Andrea Bartfai-Mager to the Supervisory Board	Mgmt	For	TNA	N/A
22	Elect Attila Chikan to the Audit Committee	Mgmt	For	TNA	N/A
23	Elect John I. Charody to the Audit Committee	Mgmt	For	TNA	N/A
24	Elect Ilona David to the Audit Committee	Mgmt	For	TNA	N/A
25	Elect Andrea Bartfai-Mager to the Audit Committee	Mgmt	For	TNA	N/A
26	Elect Ivan Miklos to	Mgmt	For	TNA	N/A

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	the Audit Committee					
27	Election of Employee Representatives	Mgmt	For	TNA	N/A	
28	Stock Split	Mgmt	For	TNA	N/A	
<hr/>						
Mondi plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
MNDI	CINS G6258S107	05/11/2017	Voted			
Meeting Type	Country of Trade					
Annual	United Kingdom					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Tanya Fratto	Mgmt	For	For	For	
3	Elect Stephen C. Harris	Mgmt	For	For	For	
4	Elect Andrew King	Mgmt	For	For	For	
5	Elect John E. Nicholas	Mgmt	For	For	For	
6	Elect Peter Oswald	Mgmt	For	For	For	
7	Elect Fred Phaswana	Mgmt	For	For	For	
8	Elect Dominique Reiniche	Mgmt	For	For	For	
9	Elect David Williams	Mgmt	For	For	For	
10	Elect Audit Committee Member (Tanya Fratto)	Mgmt	For	For	For	
11	Elect Audit Committee Member (Stephen Harris)	Mgmt	For	For	For	
12	Elect Audit Committee Member (John Nicolas)	Mgmt	For	For	For	
13	Accounts and Reports (Mondi Limited)	Mgmt	For	For	For	
14	Remuneration Policy (Mondi Limited)	Mgmt	For	For	For	
15	Remuneration Report (Mondi Limited)	Mgmt	For	For	For	
16	Allocation of Profits/Dividends (Mondi Limited)	Mgmt	For	For	For	
17	Appointment of Auditor (Mondi Limited)	Mgmt	For	For	For	
18	Authority to Set Auditor's Fees (Mondi Limited)	Mgmt	For	For	For	
19	Approve Financial Assistance (Mondi Limited)	Mgmt	For	For	For	
20	General Authority to Issue Shares (Mondi Limited)	Mgmt	For	For	For	
21	General Authority to Issue Special Converting Shares (Mondi Limited)	Mgmt	For	For	For	



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22	Authority to Issue Shares w/o Preemptive Rights (Mondi Limited)	Mgmt	For	For	For
23	Authority to Repurchase Shares (Mondi Limited)	Mgmt	For	For	For
24	Accounts and Reports (Mondi plc)	Mgmt	For	For	For
25	Remuneration Policy (Mondi plc)	Mgmt	For	For	For
26	Remuneration Report (Mondi plc)	Mgmt	For	For	For
27	Allocation of Profits/Dividends (Mondi plc)	Mgmt	For	For	For
28	Appointment of Auditor (Mondi plc)	Mgmt	For	For	For
29	Authority to Set Auditor's Fees (Mondi plc)	Mgmt	For	For	For
30	Authority to Issue Shares w/ Preemptive Rights (Mondi plc)	Mgmt	For	For	For
31	Authority to Issue Shares w/o Preemptive Rights (Mondi plc)	Mgmt	For	For	For
32	Authority to Repurchase Shares (Mondi plc)	Mgmt	For	For	For

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Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	01/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Elect Dwight M. Barns	Mgmt	For	For	For
2	Elect Gregory H. Boyce	Mgmt	For	For	For
3	Elect David L. Chicoine	Mgmt	For	For	For
4	Elect Janice L. Fields	Mgmt	For	For	For
5	Elect Hugh Grant	Mgmt	For	For	For
6	Elect Arthur H. Harper	Mgmt	For	For	For
7	Elect Laura K. Ipsen	Mgmt	For	For	For
8	Elect Marcos Marinho Lutz	Mgmt	For	For	For
9	Elect C. Steven McMillan	Mgmt	For	For	For
10	Elect Jon R. Moeller	Mgmt	For	For	For
11	Elect George H. Poste	Mgmt	For	For	For
12	Elect Robert J. Stevens	Mgmt	For	Against	Against
13	Elect Patricia Verduin	Mgmt	For	For	For

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14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Approval of Performance Goals Under and Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Risks of Glyphosate	ShrHoldr	Against	Against	For

Monsanto Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MON	CUSIP 61166W101	12/13/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

Mosaic Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
MOS	CUSIP 61945C103	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nancy E. Cooper	Mgmt	For	For	For
2	Elect Gregory L. Ebel	Mgmt	For	For	For
3	Elect Timothy S. Gitzel	Mgmt	For	For	For
4	Elect Denise C. Johnson	Mgmt	For	For	For
5	Elect Emery N. Koenig	Mgmt	For	For	For
6	Elect Robert L. Lumpkins	Mgmt	For	For	For
7	Elect William T. Monahan	Mgmt	For	For	For
8	Elect James C. O'Rourke	Mgmt	For	For	For
9	Elect James L. Popowich	Mgmt	For	For	For
10	Elect David T. Seaton	Mgmt	For	For	For
11	Elect Steven M. Seibert	Mgmt	For	For	For
12	Elect Kelvin W. Westbrook	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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### Compensation

Murphy Oil Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
MUR	CUSIP 626717102	05/10/2017		Voted	
Meeting Type					
Annual					
Country of Trade					
United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect T. Jay Collins	Mgmt	For	For	For
2	Elect Steven A. Cosse	Mgmt	For	For	For
3	Elect Claiborne P. Deming	Mgmt	For	For	For
4	Elect Lawrence R. Dickerson	Mgmt	For	For	For
5	Elect Roger W. Jenkins	Mgmt	For	For	For
6	Elect Elisabeth W. Keller	Mgmt	For	For	For
7	Elect James V. Kelley	Mgmt	For	For	For
8	Elect Walentin Mirosh	Mgmt	For	For	For
9	Elect R. Madison Murphy	Mgmt	For	For	For
10	Elect Jeffrey W. Nolan	Mgmt	For	For	For
11	Elect Neal E. Schmale	Mgmt	For	For	For
12	Elect Laura A. Sugg	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the 2012 Long-Term Incentive Plan Performance Metrics	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
National Oilwell Varco, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
NOV	CUSIP 637071101	05/17/2017		Voted	
Meeting Type					
Annual					
Country of Trade					
United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Clay C. Williams	Mgmt	For	For	For
2	Elect Greg L. Armstrong	Mgmt	For	For	For
3	Elect Marcela E. Donadio	Mgmt	For	For	For
4	Elect Ben A. Guill	Mgmt	For	For	For
5	Elect James T. Hackett	Mgmt	For	For	For
6	Elect David D. Harrison	Mgmt	For	For	For
7	Elect Eric L. Mattson	Mgmt	For	For	For
8	Elect William R. Thomas	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Shareholder Proposal Regarding Proxy Access	ShrHoldr	For	For	For

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Neste Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
NESTE	CINS X5688A109	04/05/2017	Voted			
Meeting Type	Country of Trade					
Annual	Finland					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Accounts and Reports	Mgmt	For	For	For	
10	Allocation of Profits/Dividends	Mgmt	For	For	For	
11	Ratification of Board and CEO Acts	Mgmt	For	For	For	
12	Directors' Fees	Mgmt	For	For	For	
13	Board Size	Mgmt	For	For	For	
14	Election of Directors	Mgmt	For	For	For	
15	Authority to Set Auditor's Fees	Mgmt	For	For	For	
16	Appointment of Auditor	Mgmt	For	For	For	
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

New Gold Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NGD	CINS 644535106	04/26/2017	Voted			
Meeting Type	Country of Trade					
Mix	Canada					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect David Emerson	Mgmt	For	For	For	
3	Elect James Estey	Mgmt	For	For	For	
4	Elect Vahan Kololian	Mgmt	For	For	For	
5	Elect Martyn Konig	Mgmt	For	For	For	
6	Elect Randall Oliphant	Mgmt	For	For	For	
7	Elect Ian W Pearce	Mgmt	For	For	For	
8	Elect Hannes Portmann	Mgmt	For	For	For	
9	Elect Kay Priestly	Mgmt	For	For	For	
10	Elect Raymond Threlkeld	Mgmt	For	For	For	
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
12	Stock Option Plan Renewal	Mgmt	For	For	For	
13	Approval of the Long Term Incentive Plan	Mgmt	For	For	For	
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

Newcrest Mining Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Peter A.F. Hay	Mgmt	For	For	For
3	Re-elect Philip Aiken	Mgmt	For	For	For
4	Re-elect Richard (Rick) J. Lee	Mgmt	For	For	For
5	Re-elect John M. Spark	Mgmt	For	For	For
6	Elect Vickki McFadden	Mgmt	For	For	For
7	Equity Grant (MD/CEO Sandeep Biswas)	Mgmt	For	For	For
8	Equity Grant (Finance Director/CFO Gerard Bond)	Mgmt	For	For	For
9	Remuneration Report	Mgmt	For	For	For

Newfield Exploration Company

Ticker	Security ID:	Meeting Date	Meeting Status		
NFX	CUSIP 651290108	05/16/2017	Voted		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lee K. Boothby	Mgmt	For	For	For
2	Elect Pamela J. Gardner	Mgmt	For	For	For
3	Elect Steven Nance	Mgmt	For	For	For
4	Elect Roger B. Plank	Mgmt	For	For	For
5	Elect Thomas G. Ricks	Mgmt	For	For	For
6	Elect Juanita F. Romans	Mgmt	For	For	For
7	Elect John W. Schanck	Mgmt	For	For	For
8	Elect J. Terry Strange	Mgmt	For	For	For
9	Elect J. Kent Wells	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For	For	For
14	Approval of Material Terms under the 2017 Omnibus Incentive Plan	Mgmt	For	For	For
15	Amendment to the 2010 Employee Stock Purchase Plan	Mgmt	For	For	For

Newmont Mining Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NEM	CUSIP 651639106	04/20/2017	Voted		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Gregory H. Boyce	Mgmt	For	For	For
2	Elect Bruce R. Brook	Mgmt	For	For	For
3	Elect J. Kofi Bucknor	Mgmt	For	For	For
4	Elect Vincent A. Calarco	Mgmt	For	For	For
5	Elect Joseph A. Carrabba	Mgmt	For	For	For
6	Elect Noreen Doyle	Mgmt	For	For	For
7	Elect Gary J. Goldberg	Mgmt	For	For	For
8	Elect Veronica M. Hagen	Mgmt	For	For	For
9	Elect Jane Nelson	Mgmt	For	For	For
10	Elect Julio M. Quintana	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Report Regarding Reporting on Company's Human Rights Policies and Risks	ShrHoldr	Against	Against	For

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Nine Dragons Paper (Holdings) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2689	CINS G65318100	06/29/2017	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Longteng Packaging Materials and Chemicals Purchase Agreement and Annual Caps	Mgmt	For	For	For
4	Hong Kong International Paper Chemicals Purchase Agreement and Annual Caps	Mgmt	For	For	For
5	Longteng Packaging Paperboard Supply Agreement and Annual Caps	Mgmt	For	For	For
6	Taicang Packaging Paperboard Supply Agreement and Annual Caps	Mgmt	For	For	For
7	Honglong Packaging Paperboard Supply Agreement and Annual Caps	Mgmt	For	For	For
8	ACN Recovered Paper Purchase Agreement and Annual Caps	Mgmt	For	For	For

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9	Tianjin ACN Wastepaper Purchase Agreement and Annual Caps	Mgmt	For	For	For
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Nine Dragons Paper (Holdings) Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2689	CINS G65318100	12/07/2016	Voted		
Meeting Type	Country of Trade				
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect CHEUNG Yan	Mgmt	For	Against	Against
6	Elect LIU Ming Chung	Mgmt	For	Against	Against
7	Elect ZHANG Yuanfu	Mgmt	For	Against	Against
8	Elect Maria TAM Wai Chu	Mgmt	For	Against	Against
9	Elect NG Leung Sing	Mgmt	For	Against	Against
10	Elect LAM Yiu Kin	Mgmt	For	Against	Against
11	Directors' Fees	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Nippon Paper Industries Co.Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
3863	CINS J28583169	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Yoshio Haga	Mgmt	For	Against	Against
4	Elect Fumio Manoshiro	Mgmt	For	For	For
5	Elect Kazufumi Yamasaki	Mgmt	For	For	For
6	Elect Akihiro Utsumi	Mgmt	For	For	For
7	Elect Tohru Nozawa	Mgmt	For	For	For
8	Elect Hirofumi Fujimori	Mgmt	For	For	For
9	Elect Shohji Ueda	Mgmt	For	For	For
10	Elect Yoshimitsu Aoyama	Mgmt	For	For	For
11	Elect Makoto Fujioka	Mgmt	For	For	For

Nippon Steel & Sumitomo Metal Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
5401	CINS J55678106	06/27/2017	Voted

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Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Shohji Muneoka	Mgmt	For	Against	Against
4	Elect Kohsei Shindoh	Mgmt	For	For	For
5	Elect Sohichiroh Sakuma	Mgmt	For	For	For
6	Elect Yasumitsu Saeki	Mgmt	For	For	For
7	Elect Shinji Fujino	Mgmt	For	For	For
8	Elect Eiiji Hashimoto	Mgmt	For	For	For
9	Elect Kenji Takahashi	Mgmt	For	For	For
10	Elect Toshiharu Sakae	Mgmt	For	For	For
11	Elect Shinji Tanimoto	Mgmt	For	For	For
12	Elect Shinichi Nakamura	Mgmt	For	For	For
13	Elect Toshihiko Kunishi	Mgmt	For	For	For
14	Elect Mutsutake Ohtsuka	Mgmt	For	For	For
15	Elect Ichiroh Fujisaki	Mgmt	For	For	For
16	Elect Katsunori Nagayasu as Statutory Auditor	Mgmt	For	Against	Against

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### Nippon Suisan Kaisha Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1332	CINS J56042104	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Norio Hosomi	Mgmt	For	Against	Against
3	Elect Shinsuke Ohki	Mgmt	For	For	For
4	Elect Akiyo Matono	Mgmt	For	For	For
5	Elect Yohichi Sekiguchi	Mgmt	For	For	For
6	Elect Shinya Yamamoto	Mgmt	For	For	For
7	Elect Seiji Takahashi	Mgmt	For	For	For
8	Elect Shingo Hamada	Mgmt	For	For	For
9	Elect Kazuo Ohki	Mgmt	For	For	For
10	Elect Keisuke Yokoo	Mgmt	For	For	For
11	Elect Motohide Ozawa	Mgmt	For	For	For
12	Elect Toshinari Iyoda	Mgmt	For	Against	Against
13	Elect Kenji Kawahigashi as Alternate Statutory Auditor	Mgmt	For	Against	Against

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### Nisshin Seifun Group Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
2002	CINS J57633109	06/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Nobuki Kemmoku	Mgmt	For	For	For
4	Elect Masao Nakagawa	Mgmt	For	For	For
5	Elect Michinori Takizawa	Mgmt	For	For	For



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6	Elect Takashi Harada	Mgmt	For	For	For
7	Elect Akira Mohri	Mgmt	For	For	For
8	Elect Satoshi Odaka	Mgmt	For	For	For
9	Elect Masashi Nakagawa	Mgmt	For	For	For
10	Elect Takao Yamada	Mgmt	For	For	For
11	Elect Kiyoshi Satoh	Mgmt	For	For	For
12	Elect Akio Mimura	Mgmt	For	For	For
13	Elect Kazuhiko Fushiya	Mgmt	For	For	For
14	Elect Masashi Koike	Mgmt	For	Against	Against
15	Elect Naoto Masujima	Mgmt	For	Against	Against
16	Elect Yuji Koike	Mgmt	For	For	For
17	Elect Kenji Takihara	Mgmt	For	For	For
18	Elect Yukihiro Kumazawa as Statutory Auditor	Mgmt	For	For	For
19	Appointment of Auditor	Mgmt	For	For	For
20	Directors' Fees	Mgmt	For	For	For
21	Performance-Linked Equity Compensation Plan	Mgmt	For	Against	Against

Noble Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NBL	CUSIP 655044105	04/25/2017	Voted		
Meeting Type	Country of Trade	United States			
Annual					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jeffrey L. Berenson	Mgmt	For	For	For
2	Elect Michael A. Cawley	Mgmt	For	For	For
3	Elect Edward F. Cox	Mgmt	For	For	For
4	Elect James E. Craddock	Mgmt	For	Against	Against
5	Elect Thomas J. Edelman	Mgmt	For	For	For
6	Elect Kirby L. Hedrick	Mgmt	For	For	For
7	Elect David L. Stover	Mgmt	For	For	For
8	Elect Scott D. Urban	Mgmt	For	For	For
9	Elect William T. Van Kleef	Mgmt	For	For	For
10	Elect Molly K. Williamson	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Climate Change Policy Risk Report	ShrHoldr	Against	For	Against

Nordex SE

Ticker	Security ID:	Meeting Date	Meeting Status		
NDX1	CINS D5736K135	05/30/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
6	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
7	Appointment of Auditor	Mgmt	For	TNA	N/A

Norsk Hydro ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
NHY	CINS R61115102	05/03/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Agenda	Mgmt	For	TNA	N/A
6	Minutes	Mgmt	For	TNA	N/A
7	Accounts and Reports; Allocation of Profit/Dividends	Mgmt	For	TNA	N/A
8	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
9	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
10	Remuneration Guidelines	Mgmt	For	TNA	N/A
11	Amendment to the Nomination Committee Mandate	Mgmt	For	TNA	N/A
12	Corporate Assembly Fees	Mgmt	For	TNA	N/A
13	Nomination Committee Fees	Mgmt	For	TNA	N/A
14	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Northam Platinum Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
NHM	CINS S56540156	11/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Kelello Chabedi	Mgmt	For	For	For
3	Re-elect Lazarus Zim	Mgmt	For	For	For
4	Elect Hester Hickey	Mgmt	For	For	For
5	Elect Temba Mvusi	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	Abstain	Against
7	Elect Audit and Risk Committee Member (Ralph Havenstein)	Mgmt	For	For	For
8	Elect Audit and Risk Committee Member	Mgmt	For	For	For

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	(Hester Hickey)					
9	Elect Audit and Risk Committee Member (Emily Kgosi)	Mgmt	For	For	For	
10	APPROVE REMUNERATION POLICY	Mgmt	For	For	For	
11	Approve Amendments to the Share Incentive Plan	Mgmt	For	For	For	
12	Amendments to Memorandum (Fractional Entitlements)	Mgmt	For	For	For	
13	Amendments to Memorandum (Distributions)	Mgmt	For	For	For	
14	Approve NEDs' Fees	Mgmt	For	For	For	
15	Approve Financial Assistance	Mgmt	For	For	For	
16	Authority to Repurchase Shares	Mgmt	For	For	For	
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Norway Royal Salmon ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
NRS	CINS R6333E105	06/01/2017	Take No Action		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
5	Agenda	Mgmt	For	TNA	N/A
6	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Remuneration Guidelines	Mgmt	For	TNA	N/A
8	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
9	Elect Marianne E. Johnsen	Mgmt	For	TNA	N/A
10	Elect Kristine Landmark	Mgmt	For	TNA	N/A
11	Elect Jon Hindar	Mgmt	For	TNA	N/A
12	Elect Helge Gaso	Mgmt	For	TNA	N/A
13	Elect Eva Kristoffersen (Deputy Member)	Mgmt	For	TNA	N/A
14	Elect Martin Sivertsen (Deputy Member)	Mgmt	For	TNA	N/A
15	Directors' Fees	Mgmt	For	TNA	N/A
16	Elect Karl Olaf Jorgensen	Mgmt	For	TNA	N/A
17	Elect Anne Breiby	Mgmt	For	TNA	N/A
18	Elect Endre Glastad as Chair	Mgmt	For	TNA	N/A

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19	Nomination Committee Fees	Mgmt	For	TNA	N/A
20	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
21	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
22	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	TNA	N/A
23	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

Novatek OAO

Ticker	Security ID:	Meeting Date		Meeting Status	
NVTK	CINS 669888109	04/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports; Allocation of Profit/Dividends	Mgmt	For	For	For
4	Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Andrey I. Akimov	Mgmt	For	Abstain	Against
7	Elect Michael Borrell	Mgmt	For	Abstain	Against
8	Elect Burckhard Bergmann	Mgmt	For	Abstain	Against
9	Elect Robert Castaigne	Mgmt	For	For	For
10	Elect Leonid V. Mikhelson	Mgmt	For	Abstain	Against
11	Elect Alexander Y. Natalenko	Mgmt	For	Abstain	Against
12	Elect Viktor P. Orlov	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Elect Andrey V. Sharonov	Mgmt	For	For	For
16	Elect Olga V. Belyaeva	Mgmt	For	For	For
17	Elect Maria A. Panasenko	Mgmt	For	For	For
18	Elect Igor A. Ryaskov	Mgmt	For	For	For
19	Elect Nikolay K. Shulikin	Mgmt	For	For	For
20	Election of Management Board Chair	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Audit Commission Fees	Mgmt	For	For	For

Novatek Oao

Ticker	Security ID:	Meeting Date		Meeting Status	
NVTK	CINS 669888109	09/30/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Interim Dividend	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Against	Against
3	Amendments to General Meeting Regulations	Mgmt	For	Against	Against
4	Amendments to Board of Directors Regulations	Mgmt	For	For	For
5	Amendments to Management Board Regulations	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Novolipetsk Steel OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Interim Dividend 1Q2017	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Oleg V. Bagrin	Mgmt	For	Abstain	Against
8	Elect Thomas Veraszto	Mgmt	For	For	For
9	Elect Helmut Wieser	Mgmt	For	For	For
10	Elect Nikolai A. Gagarin	Mgmt	For	Abstain	Against
11	Elect Vladimir S. Lisin	Mgmt	For	Abstain	Against
12	Elect Karen R. Sarkisov	Mgmt	For	Abstain	Against
13	Elect Stanislav V. Shekshnya	Mgmt	For	For	For
14	Elect Benedict Sciortino	Mgmt	For	For	For
15	Elect Franz Struzl	Mgmt	For	For	For
16	Appointment of CEO and Management Board Chair	Mgmt	For	For	For
17	Elect Yulia V. Kunikhina	Mgmt	For	For	For
18	Elect Michael Y. Makeev	Mgmt	For	For	For
19	Elect Natalia V. Savina	Mgmt	For	For	For
20	Elect Elena V. Skladchikova	Mgmt	For	For	For
21	Elect Sergey V. Ushkov	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Appointment of Auditor (RAS)	Mgmt	For	For	For
24	Appointment of Auditor (IFRS)	Mgmt	For	For	For

Novolipetsk Steel OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt For
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Novolipetsk Steel OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	12/23/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (3Q2016)	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Against	Against
3	Amendments to General Meeting Regulations	Mgmt	For	For	For
4	Amendments to Board of Directors Regulations	Mgmt	For	For	For
5	Amendments to Management Board Regulations	Mgmt	For	For	For

Nucor Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NUE	CUSIP 670346105	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patrick J. Dempsey	Mgmt	For	For	For
1.2	Elect John J. Ferriola	Mgmt	For	For	For
1.3	Elect Gregory J. Hayes	Mgmt	For	For	For
1.4	Elect Victoria F. Haynes	Mgmt	For	For	For
1.5	Elect Bernard L. Kasriel	Mgmt	For	For	For
1.6	Elect Christopher J. Kearney	Mgmt	For	For	For
1.7	Elect Laurette T. Koellner	Mgmt	For	For	For
1.8	Elect John H. Walker	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
6	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against	Against	For

Occidental Petroleum Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
OXY	CUSIP 674599105	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect Howard I. Atkins	Mgmt	For	For	For
3	Elect Eugene L. Batchelder	Mgmt	For	For	For
4	Elect John E. Feick	Mgmt	For	For	For
5	Elect Margaret M. Foran	Mgmt	For	For	For
6	Elect Carlos M. Gutierrez	Mgmt	For	For	For
7	Elect Vicki A. Hollub	Mgmt	For	For	For
8	Elect William R. Klesse	Mgmt	For	For	For
9	Elect Jack B. Moore	Mgmt	For	For	For
10	Elect Avedick B. Poladian	Mgmt	For	For	For
11	Elect Elisse B. Walter	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Methane Emissions Reporting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Political Spending Report	ShrHoldr	Against	Against	For

Oil Search Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
OSH	CINS Y64695110	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	Papua New Guinea				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Re-elect Kostas G. Constantinou	Mgmt	For	Against	Against
4	Re-elect Agu J. Kantsler	Mgmt	For	For	For
5	Elect Mel Togolo	Mgmt	For	For	For
6	Elect Fiona E. Harris	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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8	Equity Grant (MD Peter Botten - Performance Rights)	Mgmt	For	For	For
9	Equity Grant (Executive Director Gereia Aopi - Performance Rights)	Mgmt	For	For	For
10	Equity Grant (MD Peter Botten - Restricted Shares)	Mgmt	For	For	For
11	Equity Grant (Executive Director Gereia Aopi - Restricted Shares)	Mgmt	For	For	For
12	Shareholder Proposal Regarding Climate Change Reporting	ShrHolder	Against	For	Against
13	Shareholder Proposal Regarding Human Rights Report	ShrHolder	Against	Against	For

Oji Holdings Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
3861	CINS J6031N109	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Kiyotaka Shindoh	Mgmt	For	Against	Against
3	Elect Susumu Yajima	Mgmt	For	For	For
4	Elect Ryohji Watari	Mgmt	For	For	For
5	Elect Kazuo Fuchigami	Mgmt	For	For	For
6	Elect Hidehiko Aoyama	Mgmt	For	For	For
7	Elect Yoshiki Koseki	Mgmt	For	For	For
8	Elect Yoshiaki Takeda	Mgmt	For	For	For
9	Elect Shohji Fujiwara	Mgmt	For	Against	Against
10	Elect Masatoshi Kaku	Mgmt	For	For	For
11	Elect Ryuhichi Kisaka	Mgmt	For	For	For
12	Elect Kazuhiko Kamada	Mgmt	For	For	For
13	Elect Hiroyuki Isono	Mgmt	For	For	For
14	Elect Michihiro Nara	Mgmt	For	For	For
15	Elect Nobuaki Terasaka	Mgmt	For	For	For
16	Elect Motokazu Ogata	Mgmt	For	For	For
17	Elect Fumihiro Yamashita	Mgmt	For	For	For
18	Elect Makoto Katsura	Mgmt	For	For	For
19	Renewal of Takeover Defense Plan	Mgmt	For	Against	Against

OJSC MMC Norilsk Nickel

Ticker	Security ID:	Meeting Date	Meeting Status		
GMKN	CINS ADPV30566	12/16/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Dividend (3Q2016)	Mgmt	For	For	For

OMV AG

Ticker	Security ID:	Meeting Date	Meeting Status		
OMV	CINS A51460110	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Austria			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Ratification of Management Board Acts	Mgmt	For	For	For
3	Ratification of Supervisory Board Acts	Mgmt	For	For	For
4	Supervisory Board Members' Fees	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Long-Term Incentive Plan 2017	Mgmt	For	For	For
7	Share Part of Annual Bonus 2017	Mgmt	For	For	For

ONEOK, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OKE	CUSIP 682680103	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Brian L. Derksen	Mgmt	For	For	For
2	Elect Julie H. Edwards	Mgmt	For	For	For
3	Elect John W. Gibson	Mgmt	For	For	For
4	Elect Randall J. Larson	Mgmt	For	For	For
5	Elect Steven J. Malcolm	Mgmt	For	For	For
6	Elect Kevin S. McCarthy	Mgmt	For	For	For
7	Elect Jim W. Mogg	Mgmt	For	For	For
8	Elect Pattye L. Moore	Mgmt	For	For	For
9	Elect Gary D. Parker	Mgmt	For	For	For
10	Elect Eduardo A. Rodriguez	Mgmt	For	For	For
11	Elect Terry K. Spencer	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

ONEOK, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OKE	CUSIP 682680103	06/30/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Increase of Authorized Common Stock	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

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### Origin Energy Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ORG	CINS Q71610101	10/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Gordon Cairns	Mgmt	For	For	For
3	Re-elect Bruce W. D. Morgan	Mgmt	For	For	For
4	REMUNERATION REPORT	Mgmt	For	For	For
5	Equity Grant (MD/CEO Grant King)	Mgmt	For	For	For
6	RENEWAL OF PROPORTIONAL TAKEOVER PROVISIONS	Mgmt	For	For	For

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### Ormat Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ORA	CUSIP 686688102	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stanley Stern	Mgmt	For	For	For
2	Elect David Granot	Mgmt	For	For	For
3	Elect Robert E. Joyal	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	Amendments to Certificate of Incorporation - Bundled	Mgmt	For	For	For

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### Outokumpu Oyj

Ticker	Security ID:	Meeting Date	Meeting Status		
OUT1V	CINS X61161109	03/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Finland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Board and CEO Acts	Mgmt	For	For	For
12	Remuneration Guidelines	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Election of Directors	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Amendments to Articles Regarding Domicile; Auditor Qualifications; Meeting Venue	Mgmt	For	For	For
18	FORFEITURE OF SHARES IN THE JOINT BOOK ENTRY ACCOUNT	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Authority to Issue Shares w/ or w/o Preemptive Rights; Authority to Issue Treasury Shares	Mgmt	For	For	For
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Packaging Corporation of America

Ticker	Security ID:	Meeting Date	Meeting Status		
PKG	CUSIP 695156109	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Cheryl K. Beebe	Mgmt	For	For	For
2	Elect Duane C. Farrington	Mgmt	For	For	For
3	Elect Hasan Jameel	Mgmt	For	For	For
4	Elect Mark W. Kowlzan	Mgmt	For	For	For
5	Elect Robert C. Lyons	Mgmt	For	For	For
6	Elect Thomas P. Maurer	Mgmt	For	For	For
7	Elect Samuel Menco	Mgmt	For	For	For
8	Elect Roger B. Porter	Mgmt	For	For	For
9	Elect Thomas S. Souleles	Mgmt	For	For	For
10	Elect Paul T. Stecko	Mgmt	For	For	For
11	Elect James D. Woodrum	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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Compensation					
14	Ratification of Auditor	Mgmt	For	For	For
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Pan American Silver Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
PAAS	CUSIP 697900108	05/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ross J. Beaty	Mgmt	For	For	For
1.2	Elect Michael Steinmann	Mgmt	For	For	For
1.3	Elect Michael L. Carroll	Mgmt	For	For	For
1.4	Elect C. Noel Dunn	Mgmt	For	For	For
1.5	Elect Neil de Gelder	Mgmt	For	For	For
1.6	Elect David C. Press	Mgmt	For	For	For
1.7	Elect Walter T. Segsworth	Mgmt	For	For	For
1.8	Elect Gillian D. Winckler	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

PAO Severstal					
Ticker	Security ID:	Meeting Date	Meeting Status		
CHMF	CINS 818150302	09/02/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PAO Severstal					
Ticker	Security ID:	Meeting Date	Meeting Status		
CHMF	CINS 818150302	12/02/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividends (3Q2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pennon Group plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
PNN	CINS G8295T213	07/01/2016	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report	Mgmt	For	For	For

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	(Advisory)					
4	Elect Sir John Parker	Mgmt	For	For	For	For
5	Elect Martin D. Angle	Mgmt	For	For	For	For
6	Elect Neil Cooper	Mgmt	For	For	For	For
7	Elect Susan J. Davy	Mgmt	For	For	For	For
8	Elect Christopher Loughlin	Mgmt	For	For	For	For
9	Elect Ian J. McAulay	Mgmt	For	For	For	For
10	Elect Gill Rider	Mgmt	For	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For	For
13	Authorisation of Political Donations	Mgmt	For	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For	For
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against	Against

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Pescanova S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PVA	CINS E8013L130	03/21/2017	Voted		
Meeting Type		Country of Trade			
Special		Spain			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Ratify Vote Orientation for Nueva Pescanova's General Meeting	Mgmt	For	For	For
5	Annul Vote Orientation for Nueva Pescanova's General Meeting and Vote In Favor of Loan Capitalisation	Mgmt	Against	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Accept Invitation to Capitalise NPVA Loans	Mgmt	Against	Against	For
8	Reject Invitation to Capitalise NPVA Loans	Mgmt	For	For	For
9	Elect Alejandro	Mgmt	For	For	For

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	Fernandez Zugazabeitia					
10	Elect Luis Malo De Molina	Mgmt	For	For	For	
	Lezama-Leguizamon					
11	Elect Juan Manuel Ginzo	Mgmt	For	For	For	
	Santiso					
12	Authorisation of Legal Formalities	Mgmt	For	For	For	
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Pescanova S.A.

Ticker	Security ID:	Meeting Date	Meeting Status			
PVA	CINS E8013L130	09/20/2016	Voted			
Meeting Type	Country of Trade					
Mix	Spain					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	
					Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Accounts and Reports; Ratification of Board Acts	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Remuneration Report	Mgmt	For	For	For	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Board Size	Mgmt	For	For	For	
10	Elect Carlos Federico Sanz	Mgmt	For	For	For	
	Navarro					
11	Elect Cesar Real Rodriguez	Mgmt	For	For	For	
12	Elect Leopoldo Fernandez Zugazabeitia	Mgmt	For	For	For	
13	Shareholder Proposal Regarding Board Size	ShrHoldr	N/A	Against	N/A	
14	Shareholder Proposal Regarding Dismissal of Cesar Mata Moreton	ShrHoldr	N/A	Against	N/A	
15	Shareholder Proposal Regarding Election of Luis Chicharro Ortega	ShrHoldr	N/A	Against	N/A	
16	Shareholder Proposal Regarding Election of Wilson Hudkins Caceres	ShrHoldr	N/A	Against	N/A	
17	Shareholder Proposal Regarding Election of Luis Aurelio Martin Bernardo	ShrHoldr	N/A	Against	N/A	
18	Vote Orientation for Nueva Pescanova's Shareholders' General Meeting	Mgmt	For	For	For	

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19 Authorisation of Legal Mgmt For For For  
Formalities

Petrochina Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0857 CINS Y6883Q104 06/08/2017 Voted

Meeting Type Country of Trade  
Annual China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Authority to Declare Interim Dividends	Mgmt	For	For	For
8	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	Against	Against
9	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Issue Debt Instruments	Mgmt	For	For	For
11	Elect WANG Yilin	Mgmt	For	Against	Against
12	Elect WANG Dongjin	Mgmt	For	For	For
13	Elect YU Baocai	Mgmt	For	Against	Against
14	Elect LIU Yuezhen	Mgmt	For	Against	Against
15	Elect LIU Hongbin	Mgmt	For	For	For
16	Elect HOU Qijun	Mgmt	For	For	For
17	Elect DUAN Liangwei	Mgmt	For	For	For
18	Elect QIN Weizhong	Mgmt	For	For	For
19	Elect LIN Boqiang	Mgmt	For	Against	Against
20	Elect ZHANG Biyi	Mgmt	For	Against	Against
21	Elect Elsie LEUNG Oi Sie	Mgmt	For	For	For
22	Elect Tatsuhito Tokuchi	Mgmt	For	For	For
23	Elect Simon P. Henry	Mgmt	For	For	For
24	Elect XU Wenrong	Mgmt	For	Against	Against
25	Elect ZHANG Fengshan	Mgmt	For	For	For
26	Elect JIANG Lifu	Mgmt	For	For	For
27	Elect LU Yaozhong	Mgmt	For	Against	Against

Petrochina Company Limited

Ticker Security ID: Meeting Date Meeting Status  
0857 CINS Y6883Q104 10/20/2016 Voted

Meeting Type Country of Trade  
Special China

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect ZHANG Jianhua	Mgmt	For	Against	Against
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Petroleo Brasileiro S.A. - Petrobras

Ticker	Security ID:	Meeting Date	Meeting Status
PETR3	CUSIP 71654V408	01/31/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Liquigas Distribuidora Disposal	Mgmt	For
		For	For
2	PetroquimicaSuape and CITEPE Disposal	Mgmt	For
		For	For

Petroleo Brasileiro S.A. - Petrobras

Ticker	Security ID:	Meeting Date	Meeting Status
PETR3	CUSIP 71654V408	03/27/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Elect Adriano Pereira de Paula to the Supervisory Board	Mgmt	For
		For	For
2	Petroquimica Suape and CITEPE Disposal	Mgmt	For
		For	For

Petroleo Brasileiro S.A. - Petrobras

Ticker	Security ID:	Meeting Date	Meeting Status
PETR3	CUSIP 71654V408	04/27/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For
		For	For
2	Election of Supervisory Council	Mgmt	For
		Abstain	Against
3	Elect Reginaldo Ferreira Alexandre as the Supervisory Council Member Representative of Minority Shareholders	Mgmt	For
		For	For
4	Elect Francisco Vidal Luna as the Supervisory Council Member Representative of Minority Shareholders	Mgmt	For
		Abstain	Against
5	Remuneration Policy	Mgmt	For
		Against	Against
6	Amendments to Articles	Mgmt	For
		For	For
7	Consolidation of Articles	Mgmt	For
		For	For
8	Amend Nomination Policy (for Non-Executive and Executive Directors, and Supervisory Council	Mgmt	For
		For	For



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Members)

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Petroleo Brasileiro S.A. - Petrobras						
Ticker	Security ID:	Meeting Date	Meeting Status			
PETR3	CUSIP 71654V408	08/04/2016	Voted			
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Amendments to Articles	Mgmt	For	For	For	
2	Consolidation of Articles	Mgmt	For	For	For	
3	Ratify Co-option of Pedro Pullen Parente	Mgmt	For	For	For	
4	Approve Waiver of Grace Period for Executive Appointment	Mgmt	For	For	For	

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Petroleo Brasileiro S.A. - Petrobras						
Ticker	Security ID:	Meeting Date	Meeting Status			
PETR3	CUSIP 71654V408	11/30/2016	Voted			
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Marcelo Mesquita de Siqueira Filho to the Board as Representative of Minority Shareholders	Mgmt	For	For	For	
2	Sale of Share Ownership (NTS)	Mgmt	For	For	For	
3	Authority to Waive Preemptive Rights	Mgmt	For	For	For	
4	Amendments to Articles	Mgmt	For	For	For	
5	Consolidation of Articles	Mgmt	For	For	For	

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Petronas Dagangan Berhad						
Ticker	Security ID:	Meeting Date	Meeting Status			
PETD	CINS Y6885A107	04/19/2017	Voted			
Meeting Type		Country of Trade				
Annual		Malaysia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Mohd Ibrahimuddin Mohd Yunus	Mgmt	For	For	For	
2	Elect Anuar Ahmad	Mgmt	For	Against	Against	
3	Elect Vimala V.R. Menon	Mgmt	For	For	For	
4	Directors' Fees	Mgmt	For	For	For	
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	

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Phillips 66						
Ticker	Security ID:	Meeting Date	Meeting Status			

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PSX Meeting Type	CUSIP	718546104	05/03/2017	Voted		
Annual	Country of Trade	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect William R. Loomis, Jr.	Mgmt	For	For	For	
2	Elect Glenn F. Tilton	Mgmt	For	For	For	
3	Elect Marna C. Whittington	Mgmt	For	For	For	
4	Ratification of Auditor	Mgmt	For	For	For	
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Phosagro OJSC						
Ticker	Security ID:	Meeting Date	Meeting Status			
PHOR	CINS 71922G209	01/16/2017	Voted			
Special	Country of Trade	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Amendments to Charter	Mgmt	For	Abstain	Against	
4	Interim Dividend	Mgmt	For	For	For	
5	Related Party Transactions	Mgmt	For	Abstain	Against	
6	Related Party Transactions	Mgmt	For	Abstain	Against	
7	Related Party Transactions	Mgmt	For	Abstain	Against	
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Phosagro OJSC						
Ticker	Security ID:	Meeting Date	Meeting Status			
PHOR	CINS 71922G209	05/30/2017	Voted			
Annual	Country of Trade	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Financial Statements	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Elect Igor D. Antoshin	Mgmt	N/A	Abstain	N/A	
6	Elect Maksim V. Volkov	Mgmt	N/A	For	N/A	
7	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A	
8	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A	
9	Elect Yuriy N. Krugovykh	Mgmt	N/A	Abstain	N/A	
10	Elect Vladimir S. Litvinenko	Mgmt	N/A	Abstain	N/A	
11	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A	
12	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A	
13	Elect James B. Rogers	Mgmt	N/A	For	N/A	
14	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A	
15	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A	
16	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A	

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17	Elect Alexander F. Sharabaiko	Mgmt	N/A	Abstain	N/A
18	Elect Ekaterina V. Viktorova	Mgmt	For	For	For
19	Elect Galina A. Kalinina	Mgmt	For	For	For
20	Elect Pavel N. Sinitza	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	07/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Charter	Mgmt	For	Abstain	Against
2	Interim Dividend	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	10/03/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to General Meeting Regulations	Mgmt	For	Abstain	Against
2	Amendments to Board of Directors Regulations	Mgmt	For	Abstain	Against
3	Allocation of Retained Earnings/Dividends	Mgmt	For	For	For
4	Related Party Transactions (Supplementary Guarantee Agreement no.4)	Mgmt	For	Abstain	Against
5	Related Party Transactions (Supplementary Guarantee Agreement no.1)	Mgmt	For	Abstain	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Pilgrim's Pride Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PPC	CUSIP 72147K108	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Gilberto Tomazoni	Mgmt	For	For	For
1.2	Elect Joesley Mendonca Batista	Mgmt	For	Withhold	Against

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1.3	Elect Wesley Mendonca Batista	Mgmt	For	For	For
1.4	Elect William W. Lovette	Mgmt	For	For	For
1.5	Elect Andre Nogueira de Souza	Mgmt	For	For	For
1.6	Elect Wallim Cruz de Vasconcellos Junior	Mgmt	For	For	For
1.7	Elect David E. Bell	Mgmt	For	For	For
1.8	Elect Michael L. Cooper	Mgmt	For	For	For
1.9	Elect Charles Macaluso	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	N/A	For	N/A
6	Shareholder Proposal Regarding Board Diversity	ShrHoldr	N/A	For	N/A
7	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	N/A	Against	N/A

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Pioneer Natural Resources Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PXD	CUSIP 723787107	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Edison C. Buchanan	Mgmt	For	For	For
2	Elect Andrew F. Cates	Mgmt	For	For	For
3	Elect Timothy L. Dove	Mgmt	For	For	For
4	Elect Phillip A. Gobe	Mgmt	For	For	For
5	Elect Larry R. Grillot	Mgmt	For	For	For
6	Elect Stacy P. Methvin	Mgmt	For	For	For
7	Elect Royce W. Mitchell	Mgmt	For	For	For
8	Elect Frank A. Risch	Mgmt	For	For	For
9	Elect Scott D. Sheffield	Mgmt	For	For	For
10	Elect Mona K. Sutphen	Mgmt	For	For	For
11	Elect J. Kenneth Thompson	Mgmt	For	For	For
12	Elect Phoebe A. Wood	Mgmt	For	For	For
13	Elect Michael D. Wortley	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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17	Compensation Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For	Against
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PJSC Gazprom

Ticker	Security ID:	Meeting Date	Meeting Status		
GAZP	CINS 368287207	06/30/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits	Mgmt	For	For	For
4	Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Against	Against
7	Audit Commission's Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Board Regulations	Mgmt	For	For	For
10	Amendments to Management Board Regulations	Mgmt	For	For	For
11	Amendments to Management Board Chair Regulations	Mgmt	For	For	For
12	Amendments to Corporate Governance Code	Mgmt	For	Abstain	Against
13	Membership in Global Gas Center Association	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Elect Andrey I. Akimov	Mgmt	N/A	Abstain	N/A
16	Elect Viktor A. Zubkov	Mgmt	N/A	Abstain	N/A
17	Elect Timur A. Kulibaev	Mgmt	N/A	Abstain	N/A
18	Elect Denis V. Manturov	Mgmt	N/A	For	N/A
19	Elect Vitaly A. Markelov	Mgmt	N/A	Abstain	N/A
20	Elect Viktor G Martynov	Mgmt	N/A	For	N/A
21	Elect Vladimir A. Mau	Mgmt	N/A	Abstain	N/A
22	Elect Alexey B. Miller	Mgmt	N/A	Abstain	N/A
23	Elect Alexander V. Novak	Mgmt	N/A	Abstain	N/A
24	Elect Dmitry N. Patrushev	Mgmt	N/A	Abstain	N/A
25	Elect Mikhail L. Sereda	Mgmt	N/A	Abstain	N/A
26	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
27	Elect Vladimir I. Alisov	Mgmt	For	N/A	N/A
28	Elect Vadim K. Bikulov	Mgmt	For	For	For
29	Elect Alexander A. Gladkov	Mgmt	For	For	For
30	Elect Alexander S. Ivannikov	Mgmt	For	N/A	N/A
31	Elect Margarita I. Mironova	Mgmt	For	For	For
32	Elect Lidia V. Morozova	Mgmt	For	N/A	N/A

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33	Elect Yuri S. Nosov	Mgmt	For	For	For
34	Elect Karen I. Oganyan	Mgmt	For	For	For
35	Elect Dmitry A. Pashkovskiy	Mgmt	For	N/A	N/A
36	Elect Alexandra A. Petrova	Mgmt	For	For	For
37	Elect Sergey R. Platonov	Mgmt	For	For	For
38	Elect Mikhail N. Rosseyev	Mgmt	For	N/A	N/A
39	Elect Oksana V. Tarasenko	Mgmt	For	For	For
40	Elect Tatyana V. Fisenko	Mgmt	For	For	For
41	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
42	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PJSC Lukoil

Ticker	Security ID:	Meeting Date	Meeting Status		
LKOH	CINS 69343P105	06/21/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Vagit Y. Alekperov	Mgmt	N/A	Abstain	N/A
5	Elect Victor V. Blazheev	Mgmt	N/A	Abstain	N/A
6	Elect Toby T. Gati	Mgmt	N/A	For	N/A
7	Elect Valery I. Grayfer	Mgmt	N/A	Abstain	N/A
8	Elect Igor S. Ivanov	Mgmt	N/A	Abstain	N/A
9	Elect Ravil U. Maganov	Mgmt	N/A	Abstain	N/A
10	Elect Roger Munnings	Mgmt	N/A	For	N/A
11	Elect Richard H. Matzke	Mgmt	N/A	Abstain	N/A
12	Elect Nikolai M. Nikolaev	Mgmt	N/A	Abstain	N/A
13	Elect Ivan Pictet	Mgmt	N/A	For	N/A
14	Elect Leonid A. Fedun	Mgmt	N/A	Abstain	N/A
15	Elect Lyubov N. Khoba	Mgmt	N/A	Abstain	N/A
16	Elect Ivan N. Vrublevsky	Mgmt	For	For	For
17	Elect Pavel A. Suloev	Mgmt	For	For	For
18	Elect Aleksandr V. Surkov	Mgmt	For	For	For
19	Directors' Fees (Members)	Mgmt	For	For	For
20	Directors' Fees (New Members)	Mgmt	For	For	For
21	Audit Commission Members' Fees (FY2016)	Mgmt	For	For	For
22	Audit Commission Members' Fees (FY2017)	Mgmt	For	For	For
23	Appointment of Auditor	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For
25	Amendments to General	Mgmt	For	For	For

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	Meeting Regulations					
26	Amendments to Board of Directors Regulations	Mgmt	For	For	For	
27	D&O Insurance	Mgmt	For	For	For	

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Polish Oil & Gas SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PGN	CINS X6582S105	06/28/2017		Voted		
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chair	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	Attendance List	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Financial Statements	Mgmt	For	For	For	
8	Accounts and Reports (Consolidated)	Mgmt	For	For	For	
9	Ratification of Management Board Acts	Mgmt	For	For	For	
10	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
11	Allocation of Profits/Dividends	Mgmt	For	For	For	
12	Changes to the Supervisory Board Composition	Mgmt	For	Abstain	Against	
13	Property Purchase	Mgmt	For	For	For	
14	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	For	Abstain	Against	
15	Shareholder Proposal Regarding Amendments to Management Board Remuneration Regulations	ShrHoldr	For	Abstain	Against	
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Polish Oil & Gas SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PGN	CINS X6582S105	08/25/2016		Voted		
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Election of Presiding Chairman	Mgmt	For	For	For	
5	Attendance List	Mgmt	For	For	For	

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6	Compliance with Rules of Convocation	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Acquisition of Shares in Gazoprojekt S.A.	Mgmt	For	For	For
9	Authority to Repurchase and Dispose of Shares	Mgmt	For	Abstain	Against
10	Shareholder Proposal Regarding Supervisory Board Members' Fees	ShrHoldr	N/A	For	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Polish Oil & Gas SA

Ticker	Security ID:	Meeting Date	Meeting Status		
PGN	CINS X6582S105	11/24/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Attendance List	Mgmt	For	For	For
6	Compliance with Rules of Convocation	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Amendments to Previously Adopted Resolution (Share Repurchase)	Mgmt	For	Abstain	Against
9	Acquisition of Assets for In-Kind Contribution	Mgmt	For	For	For
10	Cancellation of Shares; Authority to Reduce Share Capital	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	For	For
12	Shareholder Proposal Regarding Management Board Remuneration	ShrHoldr	For	Abstain	Against
13	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	For	Abstain	Against
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Polski Koncern Naftowy ORLEN S.A

Ticker	Security ID:	Meeting Date	Meeting Status		
PKN	CINS X6922W204	01/24/2017	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against



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						Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For	For
6	Agenda	Mgmt	For	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For	For
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	For	Abstain	Against	Against
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	For	Abstain	Against	Against
10	Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A

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Polski Koncern Naftowy ORLEN S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PKN	CINS X6922W204	06/30/2017	Voted
Meeting Type	Country of Trade		
Annual	Poland		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For
8	Presentation of Management Board Report	Mgmt	For	For	For
9	Presentation of Financial Statements and Allocation of Profit	Mgmt	For	For	For
10	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
11	Presentation of Supervisory Board Report	Mgmt	For	For	For
12	Management Board Report	Mgmt	For	For	For
13	Financial Statements	Mgmt	For	For	For
14	Financial Statements (Consolidated)	Mgmt	For	For	For

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15	Allocation of Profits/Dividends	Mgmt	For	For	For
16	Ratification of Management Board Acts	Mgmt	For	For	For
17	Ratification of Supervisory Board Acts	Mgmt	For	For	For
18	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	For	N/A
19	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
20	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	Abstain	N/A
21	Shareholder Proposal Regarding Disposal of Fixed Assets	ShrHoldr	N/A	Abstain	N/A
22	Shareholder Proposal Regarding Service Agreements	ShrHoldr	N/A	Abstain	N/A
23	Shareholder Proposal Regarding Donations, Debt Release Agreements and Similar	ShrHoldr	N/A	Abstain	N/A
24	Shareholder Proposal Regarding Sale of Fixed Assets	ShrHoldr	N/A	Abstain	N/A
25	Shareholder Proposal Regarding Report on Expenses	ShrHoldr	N/A	Abstain	N/A
26	Shareholder Proposal Regarding Requirements for Management Board Candidates	ShrHoldr	N/A	Abstain	N/A
27	Shareholder Proposal Regarding Obligations Arising from Act on Asset Management	ShrHoldr	N/A	Abstain	N/A
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Polymetal International Plc

Ticker Security ID: Meeting Date Meeting Status  
POLY CINS G7179S101 05/16/2017 Voted

Meeting Type Country of Trade  
Annual Jersey

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For

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3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Bobby Godsell	Mgmt	For	For	For
6	Elect Vitaly N. Nesis	Mgmt	For	For	For
7	Elect Konstantin Yanakov	Mgmt	For	For	For
8	Elect Marina Gronberg	Mgmt	For	For	For
9	Elect Jean-Pascal Duvieusart	Mgmt	For	For	For
10	Elect Jonathan Best	Mgmt	For	For	For
11	Elect Russell Skirrow	Mgmt	For	For	For
12	Elect Leonard Homeniuk	Mgmt	For	For	For
13	Elect Christine Coignard	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

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Posco						
Ticker	Security ID:	Meeting Date	Meeting Status			
005490	CINS Y70750115	03/10/2017	Voted			
Meeting Type		Country of Trade				
Annual		Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against	
3	Elect KIM Shin Bae	Mgmt	For	For	For	
4	Elect JANG Seung Hwa	Mgmt	For	Against	Against	
5	Elect CHUNG Moon Ki	Mgmt	For	For	For	
6	Election of Audit Committee Member: JANG Seung Hwa	Mgmt	For	Against	Against	
7	Election of Audit Committee Member: CHUNG Moon Ki	Mgmt	For	For	For	
8	Elect KWON Oh Joon	Mgmt	For	For	For	
9	Elect OH In Hwan	Mgmt	For	For	For	
10	Elect CHOI Jung Woo	Mgmt	For	For	For	
11	Elect JANG In Hwa	Mgmt	For	For	For	
12	Elect YOO Sung	Mgmt	For	For	For	
13	Directors' Fees	Mgmt	For	For	For	

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Potash Corporation of Saskatchewan Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
POT	CUSIP 73755L107	05/09/2017	Voted			

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Christopher M. Burley	Mgmt	For	For	For
1.2	Elect Donald G. Chynoweth	Mgmt	For	For	For
1.3	Elect John W. Estey	Mgmt	For	For	For
1.4	Elect Gerald W. Grandey	Mgmt	For	For	For
1.5	Elect C. Steven Hoffman	Mgmt	For	For	For
1.6	Elect Alice D. Laberge	Mgmt	For	For	For
1.7	Elect Consuelo E. Madere	Mgmt	For	For	For
1.8	Elect Keith G. Martell	Mgmt	For	For	For
1.9	Elect Aaron W. Regent	Mgmt	For	For	For
1.10	Elect Jochen E. Tilk	Mgmt	For	For	For
1.11	Elect Zoe A. Yujnovich	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Potash Corporation of Saskatchewan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
POT	CUSIP 73755L107	11/03/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For

Pretium Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PVG	CINS 74139C102	05/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Robert Quartermain	Mgmt	For	For	For
4	Elect C. Noel Dunn	Mgmt	For	For	For
5	Elect Joseph J. Ovsenek	Mgmt	For	For	For
6	Elect George Paspalas	Mgmt	For	For	For
7	Elect Peter Birkey	Mgmt	For	For	For
8	Elect Shaoyang Shen	Mgmt	For	For	For
9	Elect Nicole S. Adshead-Bell	Mgmt	For	For	For
10	Elect David Smith	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

PT PP London Sumatra Indonesia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status
LSIP	CINS Y7137X101	05/31/2017	Voted
Meeting Type		Country of Trade	

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Annual Issue No.	Description	Indonesia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors' Report	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' and Commissioners' Fees	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

PT Vale Indonesia Tbk

Ticker	Security ID:	Meeting Date	Meeting Status		
INCO	CINS Y7150Y101	03/27/2017	Voted		
Annual Issue No.	Description	Indonesia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board of Directors' Report	Mgmt	For	For	For
2	Board of Commissioners' Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Election of Commissioners (Slate)	Mgmt	For	Against	Against
6	Commissioners' Fees	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Against	Against

Randgold Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
RRS	CUSIP 752344309	05/02/2017	Voted		
Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Remuneration Policy (Advisory -- Non-UK Issuer)	Mgmt	For	For	For
5	Elect Safiatou F. Ba-N'Daw	Mgmt	For	For	For
6	Elect Mark Bristow	Mgmt	For	For	For
7	Elect Christopher Coleman	Mgmt	For	For	For
8	Elect Jamil Kassum	Mgmt	For	For	For
9	Elect Olivia F. Kirtley	Mgmt	For	For	For
10	Elect Jeanine Mabunda Lioko	Mgmt	For	For	For

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11	Elect Andrew Quinn	Mgmt	For	For	For
12	Elect Graham Shuttleworth	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Share Grants (Non-Executive Directors)	Mgmt	For	For	For
17	Share Grants (Senior Independent Director)	Mgmt	For	For	For
18	Share Grants (Chair)	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For

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Range Resources Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
RRC	CUSIP 75281A109	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Elect Brenda A. Cline	Mgmt	For	For	For
2	Elect Anthony V. Dub	Mgmt	For	For	For
3	Elect Allen Finkelson	Mgmt	For	For	For
4	Elect James M. Funk	Mgmt	For	For	For
5	Elect Christopher A. Helms	Mgmt	For	For	For
6	Elect Robert A. Innamorati	Mgmt	For	For	For
7	Elect Mary Ralph Lowe	Mgmt	For	For	For
8	Elect Gregory G. Maxwell	Mgmt	For	For	For
9	Elect Kevin S. McCarthy	Mgmt	For	For	For
10	Elect Steffen E. Palko	Mgmt	For	For	For
11	Elect Jeffrey L. Ventura	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

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Range Resources Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
RRC	CUSIP 75281A109	09/15/2016	Voted

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Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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Reliance Steel & Aluminum Co.					
Ticker	Security ID:	Meeting Date	Meeting Status		
RS	CUSIP 759509102	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sarah J. Anderson	Mgmt	For	For	For
2	Elect Karen W. Colonias	Mgmt	For	For	For
3	Elect John G. Figueroa	Mgmt	For	For	For
4	Elect Thomas W. Gimbel	Mgmt	For	For	For
5	Elect David H. Hannah	Mgmt	For	For	For
6	Elect Douglas M. Hayes	Mgmt	For	For	For
7	Elect Mark V. Kaminski	Mgmt	For	For	For
8	Elect Robert A. McEvoy	Mgmt	For	For	For
9	Elect Gregg J. Mollins	Mgmt	For	For	For
10	Elect Andrew G. Sharkey III	Mgmt	For	For	For
11	Elect Douglas W. Stotlar	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

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Rengo Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
3941	CINS J64382104	06/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Kiyoshi Ohtsubo	Mgmt	For	Against	Against
3	Elect Moriaki Maeda	Mgmt	For	For	For
4	Elect Ichiroh Hasegawa	Mgmt	For	For	For
5	Elect Yasuhiro Baba	Mgmt	For	For	For
6	Elect Hiromi Sambe	Mgmt	For	For	For
7	Elect Shigechika Ishida	Mgmt	For	For	For
8	Elect Yohsuke Kawamoto	Mgmt	For	For	For
9	Elect Kohichi Hirano	Mgmt	For	For	For
10	Elect Hirofumi Hori	Mgmt	For	For	For
11	Elect Sadatoshi Inoue	Mgmt	For	For	For
12	Elect Mitsumasa Yokota	Mgmt	For	For	For
13	Elect Tohru Ohsako	Mgmt	For	For	For
14	Elect Takeshi Hosokawa	Mgmt	For	For	For
15	Elect Yukio Okano	Mgmt	For	Against	Against
16	Elect Kenjiroh Nakano	Mgmt	For	For	For
17	Elect Hiroshi Ebihara	Mgmt	For	Against	Against
18	Elect Shinichi Yokoyama	Mgmt	For	For	For

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19	Elect Kenji Tsujimoto As Statutory Auditor	Mgmt	For	For	For
20	Directors' Fees	Mgmt	For	For	For

Repsol, S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
REP	CINS E8471S130	05/18/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Appointment of Auditor for FY2017 (Deloitte)	Mgmt	For	For	For
6	Appointment of Auditor for FY2018-2020 (PricewaterhouseCooper s)	Mgmt	For	For	For
7	First Scrip Dividend	Mgmt	For	For	For
8	Second Scrip Dividend	Mgmt	For	For	For
9	Authority to Issue Convertible Debt Instruments	Mgmt	For	For	For
10	Elect Rene Dahan	Mgmt	For	For	For
11	Elect Manuel Manrique Cecilia	Mgmt	For	For	For
12	Elect Luis Suarez de Lezo Mantilla	Mgmt	For	For	For
13	Ratify Co-option and Elect Antonio Massanell Lavilla	Mgmt	For	For	For
14	Elect Maite Ballester Fornes	Mgmt	For	For	For
15	Elect Isabel Torremocha Ferrezuelo	Mgmt	For	For	For
16	Elect Mariano Marzo Carpio	Mgmt	For	For	For
17	Remuneration Report (Advisory)	Mgmt	For	For	For
18	2017 STIP (CEO)	Mgmt	For	For	For
19	Long-Term Incentive Plan 2017-2020	Mgmt	For	For	For
20	Amendment to Long-Term Incentive Plans	Mgmt	For	For	For
21	Remuneration Policy (Binding)	Mgmt	For	For	For
22	Authorisation of Legal Formalities	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A



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Rio Tinto plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RIO	CINS G75754104	04/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Remuneration Report (Advisory - UK)	Mgmt	For	Against	Against
4	Remuneration Report (Advisory - AUS)	Mgmt	For	Against	Against
5	Approval of Termination Payments	Mgmt	For	For	For
6	Elect Megan Clark	Mgmt	For	For	For
7	Elect David Constable	Mgmt	For	For	For
8	Elect Jan du Plessis	Mgmt	For	For	For
9	Elect Ann Godbehere	Mgmt	For	For	For
10	Elect Simon Henry	Mgmt	For	For	For
11	Elect Jean-Sebastien Jacques	Mgmt	For	For	For
12	Elect Sam H. Laidlaw	Mgmt	For	For	For
13	Elect Michael L'Estrange	Mgmt	For	For	For
14	Elect Chris J. Lynch	Mgmt	For	For	For
15	Elect Paul M. Tellier	Mgmt	For	For	For
16	Elect Simon R. Thompson	Mgmt	For	For	For
17	Elect John S. Varley	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Authority to Set Auditor's Fees	Mgmt	For	For	For
20	Authorisation of Political Donations	Mgmt	For	For	For
21	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
22	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
23	Authority to Repurchase Shares	Mgmt	For	For	For
24	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Rio Tinto plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RIO	CINS G75754104	06/27/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Sale of Coal & Allied Industries Limited	Mgmt	For	Abstain	Against
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Ros Agro Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AGRO	CINS 749655205	04/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cyprus				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Presiding Chair	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Financial Statements (Consolidated)	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Auditor's Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	Abstain	Against
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	Abstain	Against
11	Election of Directors	Mgmt	For	Abstain	Against
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Ros Agro Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AGRO	CINS 749655205	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	Cyprus				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Presiding Chairman	Mgmt	For	For	For
2	Interim Dividend (1H2016)	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	Abstain	Against

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Rosneft Oil Co OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
ROSN	CINS 67812M207	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Audit Commission Fees	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Olga A. Andrianova	Mgmt	For	For	For
10	Elect Alexander E. Bogashov	Mgmt	For	For	For

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11	Elect Sergey I. Poma	Mgmt	For	For	For
12	Elect Zakhar B. Sabantsev	Mgmt	For	For	For
13	Elect Pavel G. Shumov	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Related Party Transactions (Deposit Agreements with BANK VBRR AO)	Mgmt	For	For	For
16	Related Party Transactions (Deposit Agreements with Bank GPB AO)	Mgmt	For	For	For
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Amendments to Articles	Mgmt	For	For	For
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Royal Dutch Shell Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RDSB	CINS G7690A118	05/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Policy (Binding)	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Elect Catherine J. Hughes	Mgmt	For	For	For
5	Elect Roberto Egydio Setubal	Mgmt	For	For	For
6	Elect Ben Van Beurden	Mgmt	For	For	For
7	Elect Guy Elliott	Mgmt	For	For	For
8	Elect Euleen GOH Yiu Kiang	Mgmt	For	For	For
9	Elect Charles O. Holliday, Jr.	Mgmt	For	For	For
10	Elect Gerard Kleisterlee	Mgmt	For	For	For
11	Elect Sir Nigel Sheinwald	Mgmt	For	For	For
12	Elect Linda G. Stuntz	Mgmt	For	For	For
13	Elect Jessica Uhl	Mgmt	For	For	For
14	Elect Hans Wijers	Mgmt	For	For	For
15	Elect Gerrit Zalm	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Shareholder Proposal Regarding GHG Reduction Targets	ShrHoldr	Against	Against	For

Royal Gold, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
RGLD	CUSIP 780287108	11/16/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William M. Hayes	Mgmt	For	For	For
2	Elect Ronald J. Vance	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Increase of Authorized Common Stock	Mgmt	For	Against	Against

S-Oil Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
010950	CINS Y80710109	03/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Election of Directors (Slate)	Mgmt	For	Against	Against
3	Election of Audit Committee Members (Slate)	Mgmt	For	Against	Against
4	Directors' Fees	Mgmt	For	Against	Against

S-Oil Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
010950	CINS Y80710109	09/05/2016	Voted		
Meeting Type	Country of Trade				
Special	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Othman Al-Ghamdi	Mgmt	For	Against	Against

Saipem S.p.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SPM	CINS T82000117	04/28/2017	Take No Action		
Meeting Type	Country of Trade				
Mix	Italy				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Accounts and Reports; Allocation of Losses	Mgmt	For	TNA	N/A

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3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	List Presented by Eni S.p.A. and CDP Equity S.p.A.	Mgmt	For	TNA	N/A
6	List Presented by Group of Institutional Investors Representing 1.492% of Share Capital	Mgmt	For	TNA	N/A
7	Election of Chair of Board of Statutory Auditors	Mgmt	For	TNA	N/A
8	Statutory Auditors' Fees	Mgmt	For	TNA	N/A
9	Increase in Auditor's Fees	Mgmt	For	TNA	N/A
10	Remuneration Policy	Mgmt	For	TNA	N/A
11	Authority to Repurchase Shares	Mgmt	For	TNA	N/A
12	Authority to Reissue Shares	Mgmt	For	TNA	N/A
13	Authorise Interruption of Limitation Period Against Pietro Franco Tali	Mgmt	For	TNA	N/A
14	Reverse Stock Split	Mgmt	For	TNA	N/A
15	Amendments to Articles	Mgmt	For	TNA	N/A

Salzgitter AG

Ticker	Security ID:	Meeting Date	Meeting Status		
SZG	CINS D80900109	06/01/2017	Take No Action		
Meeting Type		Country of Trade			
Annual		Germany			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A
9	Increase in Authorised Capital	Mgmt	For	TNA	N/A
10	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	Mgmt	For	TNA	N/A
11	Amendments to Articles	Mgmt	For	TNA	N/A

Samyang Corporation

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Ticker	Security ID:	Meeting Date	Meeting Status		
145990	CINS Y7490S111	03/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Election of Directors (Slate)	Mgmt	For	For	For
3	Election of Corporate Auditor	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Corporate Auditors' Fees	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Santos Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
STO	CINS Q82869118	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Peter R. Coates	Mgmt	For	For	For
3	Elect Guy M. Cowan	Mgmt	For	For	For
4	Elect Peter R. Hearl	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For
6	Equity Grant (MD/CEO Kevin Gallagher)	Mgmt	For	For	For
7	Shareholder Proposal Regarding Facilitating Nonbinding Proposals	ShrHoldr	Against	Abstain	Against
8	Shareholder Proposal Regarding Climate Change Reporting	ShrHoldr	Against	For	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sappi Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SAP	CINS S73544108	02/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Re-elect Michael A. Fallon	Mgmt	For	For	For
3	Re-elect Deenadayalen (Len) Konar	Mgmt	For	For	For
4	Re-elect John D. McKenzie	Mgmt	For	For	For
5	Re-elect Glen T. Pearce	Mgmt	For	For	For

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6	Elect Audit Committee Member (Deenadayalen Konar)	Mgmt	For	For	For
7	Elect Audit Committee Member (Michael Fallon)	Mgmt	For	For	For
8	Elect Audit Committee Member (Nkateko Mageza)	Mgmt	For	Against	Against
9	Elect Audit Committee Member (Karen Osar)	Mgmt	For	For	For
10	Elect Audit Committee Member (Robertus Renders)	Mgmt	For	For	For
11	Appointment of Auditor Authority to Issue	Mgmt	For	For	For
12	Shares Pursuant to Incentive Plan	Mgmt	For	For	For
13	Authority to Issue Shares Pursuant to Scheme	Mgmt	For	For	For
14	Approve Remuneration Policy	Mgmt	For	For	For
15	Approve NEDs' Fees	Mgmt	For	For	For
16	Approve Financial Assistance	Mgmt	For	For	For
17	Authorisation of Legal Formalities	Mgmt	For	For	For

Sasol Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SOL	CINS 803866102	11/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Re-elect Colin Beggs	Mgmt	For	For	For
2	Re-elect Henk Dijkgraaf	Mgmt	For	For	For
3	Re-elect JJ Njeke	Mgmt	For	For	For
4	Re-elect Bongani Nqwababa	Mgmt	For	For	For
5	Re-elect Peter Robertson	Mgmt	For	For	For
6	Elect Stephen Cornell	Mgmt	For	For	For
7	Elect Manuel Cuambe	Mgmt	For	For	For
8	Elect Paul Victor	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Elect Audit Committee Member (Colin Beggs)	Mgmt	For	For	For
11	Elect Audit Committee Member (Nomgando Matyumza)	Mgmt	For	For	For
12	Elect Audit Committee Member (Imogen Mkhize)	Mgmt	For	For	For
13	Elect Audit Committee Member (Johnson Njeke)	Mgmt	For	For	For
14	Elect Audit Committee	Mgmt	For	For	For

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	Member (Stephen Westwell)					
15	Approve Remuneration Policy	Mgmt	For	For	For	
16	Approve Long-Term Incentive Plan	Mgmt	For	For	For	
17	Authority to Issue Shares Pursuant to the Long-Term Incentive Plan	Mgmt	For	For	For	
18	Approve NEDs' Fees	Mgmt	For	For	For	
19	Approve Financial Assistance	Mgmt	For	For	For	
20	Amendments to Memorandum (Clause 9.1)	Mgmt	For	For	For	
21	Amendments to Memorandum (Clause 22.2)	Mgmt	For	For	For	
22	Amendment to Memorandum (Clause 23.1.12)	Mgmt	For	For	For	
23	General Authority to Repurchase Shares	Mgmt	For	For	For	
24	Specific Authority to Repurchase Shares	Mgmt	For	For	For	

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Schlumberger N.V. (Schlumberger Limited)

Ticker	Security ID:	Meeting Date	Meeting Status		
SLB	CUSIP 806857108	04/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter L.S. Currie	Mgmt	For	For	For
2	Elect Miguel M. Galuccio	Mgmt	For	For	For
3	Elect V. Maureen Kempston Darkes	Mgmt	For	For	For
4	Elect Paal Kibsgaard	Mgmt	For	For	For
5	Elect Nikolay Kudryavtsev	Mgmt	For	For	For
6	Elect Helge Lund	Mgmt	For	For	For
7	Elect Michael E. Marks	Mgmt	For	For	For
8	Elect Indra K. Nooyi	Mgmt	For	For	For
9	Elect Lubna S. Olayan	Mgmt	For	For	For
10	Elect Leo Rafael Reif	Mgmt	For	For	For
11	Elect Tore I. Sandvold	Mgmt	For	For	For
12	Elect Henri Seydoux	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of Financial Statements/Dividends	Mgmt	For	For	For



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16	Ratification of Auditor	Mgmt	For	For	For
17	Approval of the 2017 Omnibus Stock Incentive Plan	Mgmt	For	For	For
18	Amendment to the Discounted Stock Purchase Plan	Mgmt	For	For	For

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### Schweitzer-Mauduit

Ticker	Security ID:	Meeting Date	Meeting Status		
SWM	CUSIP 808541106	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Claire L. Arnold	Mgmt	For	For	For
1.2	Elect Jeffrey J. Keenan	Mgmt	For	For	For
1.3	Elect Marco Levi	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

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### Seaboard Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SEB	CUSIP 811543107	04/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven J. Bresky	Mgmt	For	For	For
1.2	Elect David A. Adamsen	Mgmt	For	For	For
1.3	Elect Douglas W. Baena	Mgmt	For	For	For
1.4	Elect Edward I. Shifman, Jr.	Mgmt	For	Withhold	Against
1.5	Elect Paul M. Squires	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Approval of the Executive Incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

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### Severn Trent plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SVT	CINS G8056D159	07/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report	Mgmt	For	For	For

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	(Advisory)					
3	Allocation of Profits/Dividends	Mgmt	For	For	For	For
4	Elect Emma FitzGerald	Mgmt	For	For	For	For
5	Elect Kevin S. Beeston	Mgmt	For	For	For	For
6	Elect Dominique Reiniche	Mgmt	For	For	For	For
7	Elect Andrew J. Duff	Mgmt	For	For	For	For
8	Elect John Coghlan	Mgmt	For	For	For	For
9	Elect Olivia Garfield	Mgmt	For	For	For	For
10	Elect James Bowling	Mgmt	For	For	For	For
11	Elect Philip Remnant	Mgmt	For	For	For	For
12	Elect Angela Strank	Mgmt	For	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For	For
15	Authorisation of Political Donations	Mgmt	For	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For	For
19	Amendments to Articles (Untraced Shareholders)	Mgmt	For	For	For	For
20	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against	Against

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Sibanye Gold Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SGL	CINS S7627H100	04/25/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Ordinary	South Africa				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Approve Transaction	Mgmt	For	Against	Against
2	Authority to Increase Authorised Capital	Mgmt	For	Against	Against
3	Amendment to Memorandum	Mgmt	For	Against	Against
4	Authority to Issue Shares Pursuant to Transaction (Section 41)	Mgmt	For	Against	Against
5	Authority to Issue Shares Pursuant to the Proposed Rights Offer	Mgmt	For	Against	Against
6	Waiver of Mandatory Offer	Mgmt	For	Against	Against
7	Authority to Issue Shares for Cash	Mgmt	For	For	For

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8 Non-Voting Meeting Note N/A N/A N/A N/A

Sibanye Gold Limited

Ticker Security ID: Meeting Date Meeting Status  
SGL CINS S7627H100 05/23/2017 Voted

Meeting Type Country of Trade  
Annual South Africa

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Appointment of Auditor	Mgmt	For	For	For
3	Re-elect Chris Chadwick	Mgmt	For	For	For
4	Re-elect Robert CHAN Tze Leung	Mgmt	For	For	For
5	Re-elect Timothy Cumming	Mgmt	For	For	For
6	Re-elect Charl Keyter	Mgmt	For	For	For
7	Re-elect Sello Moloko	Mgmt	For	For	For
8	Elect Audit Committee Member (Keith Rayner)	Mgmt	For	For	For
9	Elect Audit Committee Member (Rick Menell)	Mgmt	For	For	For
10	Elect Audit Committee Member (Nkosemtu Nika)	Mgmt	For	For	For
11	Elect Audit Committee Member (Sue van der Merwe)	Mgmt	For	For	For
12	General Authority to Issue Shares	Mgmt	For	For	For
13	Authority to Issue Shares for Cash	Mgmt	For	For	For
14	Approve 2017 Share Plan	Mgmt	For	For	For
15	APPROVE REMUNERATION POLICY	Mgmt	For	For	For
16	Approve NEDs' Fees	Mgmt	For	For	For
17	Approve Financial Assistance	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

Silver Wheaton Corp.

Ticker Security ID: Meeting Date Meeting Status  
SLW CUSIP 828336107 05/10/2017 Voted

Meeting Type Country of Trade  
Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect George L. Brack	Mgmt	For	For	For
1.2	Elect John A. Brough	Mgmt	For	For	For
1.3	Elect R. Peter Gillin	Mgmt	For	For	For
1.4	Elect Chantal Gosselin	Mgmt	For	For	For
1.5	Elect Douglas M. Holtby	Mgmt	For	For	For
1.6	Elect Charles A. Jeannes	Mgmt	For	For	For
1.7	Elect Eduardo Luna	Mgmt	For	For	For

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1.8	Elect Randy V.J. Smallwood	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Change in Company Name	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

SK Holdings Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
034730	CINS Y8T642129	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Elect JANG Dong Hyun	Mgmt	For	Against	Against
5	Elect JANG Yong Seok	Mgmt	For	For	For
6	Election of Audit Committee Member: JANG Yong Seok	Mgmt	For	For	For
7	Share Option Grant	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	Against	Against

SK Innovation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
096770	CINS Y8063L103	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect KIM Joon	Mgmt	For	For	For
4	Elect YU Jeong Jun	Mgmt	For	For	For
5	Elect KIM Jong Hoon	Mgmt	For	For	For
6	Election of Audit Committee Member: KIM Jong Hoon	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For

Smurfit Kappa Group Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SKG	CINS G8248F104	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	Ireland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy	Mgmt	For	For	For

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	(Advisory -- Non-UK Issuer)				
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Ken Bowles	Mgmt	For	For	For
6	Elect Jorgen Buhl Rasmussen	Mgmt	For	For	For
7	Elect Liam O'Mahony	Mgmt	For	For	For
8	Elect Anthony Smurfit	Mgmt	For	For	For
9	Elect Godefridus P.F. Beurskens	Mgmt	For	For	For
10	Elect Christel Bories	Mgmt	For	For	For
11	Elect Irial Finan	Mgmt	For	For	For
12	Elect James A. Lawrence	Mgmt	For	For	For
13	Elect John Moloney	Mgmt	For	For	For
14	Elect Roberto Newell	Mgmt	For	For	For
15	Elect Gonzalo Restrepo Lopez	Mgmt	For	For	For
16	Elect Rosemary Thorne	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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South32 Limited

Ticker	Security ID:	Meeting Date	Meeting Status
S32	CINS Q86668102	11/24/2016	Voted
Meeting Type		Country of Trade	
Annual		Australia	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Re-elect Wayne Osborn	Mgmt	For
3	Re-elect Keith Rumble	Mgmt	For
4	Remuneration Report	Mgmt	For
5	Equity Grant (MD/CEO Graham Kerr)	Mgmt	For

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Southern Copper Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
SCCO	CUSIP 84265V105	04/27/2017	Voted
Meeting Type		Country of Trade	
Annual		United States	
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against

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					Mgmt For
1.1	Elect German Larrea Mota-Velasco	Mgmt	For	For	For
1.2	Elect Oscar Gonzalez Rocha	Mgmt	For	Withhold	Against
1.3	Elect Emilio Carrillo Gamboa	Mgmt	For	Withhold	Against
1.4	Elect Alfredo Casar Perez	Mgmt	For	For	For
1.5	Elect Enrique Castillo Sanchez Mejorada	Mgmt	For	For	For
1.6	Elect Xavier Garcia de Quevedo Topete	Mgmt	For	For	For
1.7	Elect Daniel Muniz Quintanilla	Mgmt	For	For	For
1.8	Elect Luis Miguel Palomino Bonilla	Mgmt	For	For	For
1.9	Elect Gilberto Perezalonso Cifuentes	Mgmt	For	For	For
1.10	Elect Carlos Ruiz Sacristan	Mgmt	For	For	For
2	Approval of a One-Year Extension of the Directors' Stock Award Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Southwestern Energy Company					
Ticker	Security ID:	Meeting Date	Meeting Status		
SWN	CUSIP 845467109	05/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John D. Gass	Mgmt	For	For	For
2	Elect Catherine A. Kehr	Mgmt	For	For	For
3	Elect Greg D. Kerley	Mgmt	For	For	For
4	Elect Jon A. Marshall	Mgmt	For	For	For
5	Elect Elliott Pew	Mgmt	For	For	For
6	Elect Terry W. Rathert	Mgmt	For	For	For
7	Elect Alan H. Stevens	Mgmt	For	For	For
8	Elect William J. Way	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Amendment to the 2013 Incentive Plan	Mgmt	For	For	For

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12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Counting Abstentions	ShrHolder	Against	Against	For

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Spectra Energy Corp					
Ticker	Security ID:	Meeting Date	Meeting Status		
SE	CUSIP 847560109	12/15/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For

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SSAB Svenskt Stal AB					
Ticker	Security ID:	Meeting Date	Meeting Status		
SSABA	CINS W8615U108	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Accounts and Reports	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Ratification of Board and CEO Acts	Mgmt	For	For	For
13	Board Size	Mgmt	For	For	For
14	Directors and Auditors' Fees	Mgmt	For	For	For
15	Election of Directors	Mgmt	For	For	For
16	Elect Bengt Kjell as Chair	Mgmt	For	For	For
17	Number of Auditors; Appointment of Auditor	Mgmt	For	For	For
18	Remuneration Guidelines	Mgmt	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Shareholder Proposal Regarding Zero Tolerance Policy for Work Place Accidents	ShrHolder	N/A	Against	N/A
21	Shareholder Proposal Regarding Implementation of Zero Tolerance Policy	ShrHolder	N/A	Against	N/A
22	Shareholder Proposal	ShrHolder	N/A	Against	N/A

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	Regarding Zero Tolerance Policy Report					
23	Shareholder Proposal Regarding Equality	ShrHoldr	N/A	Against	N/A	
24	Shareholder Proposal Regarding Implementing Equality	ShrHoldr	N/A	Against	N/A	
25	Shareholder Proposal Regarding Annual Report	ShrHoldr	N/A	Against	N/A	
26	Shareholder Proposal Regarding Shareholders' Association	ShrHoldr	N/A	Against	N/A	
27	Shareholder Proposal Regarding Directors' Fees	ShrHoldr	N/A	Against	N/A	
28	Shareholder Proposal Regarding Nomination Committee Instructions	ShrHoldr	N/A	Against	N/A	
29	Shareholder Proposal Regarding Small and Medium-sized Shareholders	ShrHoldr	N/A	Against	N/A	
30	Shareholder Proposal Regarding Directors' Fees Petition	ShrHoldr	N/A	Against	N/A	
31	Shareholder Proposal Regarding Unequal Voting Rights Petition	ShrHoldr	N/A	Against	N/A	
32	Shareholder Proposal Regarding Recruitment of Politicians	ShrHoldr	N/A	Against	N/A	
33	Shareholder Proposal Regarding Unequal Voting Rights	ShrHoldr	N/A	For	N/A	
34	Shareholder Proposal Regarding Politicians as Board Members	ShrHoldr	N/A	Against	N/A	
35	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Statoil ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
STL	CINS R8413J103	05/11/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Election of the	Mgmt	For	TNA	N/A



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	Presiding Chair				
6	Agenda	Mgmt	For	TNA	N/A
7	Minutes	Mgmt	For	TNA	N/A
8	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
9	Authority to Distribute Interim Dividend	Mgmt	For	TNA	N/A
10	Authority to Issue Shares for 2016 Fourth Quarter Dividend	Mgmt	For	TNA	N/A
11	Authority to Issue Shares for 2017 First Quarter Dividend	Mgmt	For	TNA	N/A
12	Shareholder Proposal Regarding Exploration Drilling in the Barents Sea	ShrHoldr	Against	TNA	N/A
13	Shareholder Proposal Regarding Discontinuation of Exploration Activities	ShrHoldr	Against	TNA	N/A
14	Shareholder Proposal Regarding Risk Management Process	ShrHoldr	Against	TNA	N/A
15	Corporate Governance Report	Mgmt	For	TNA	N/A
16	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
17	Share-Based Incentive Guidelines (Binding)	Mgmt	For	TNA	N/A
18	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
19	Corporate Assembly Fees	Mgmt	For	TNA	N/A
20	Nomination Committee Fees	Mgmt	For	TNA	N/A
21	Authority to Repurchase Shares for Employee Share Savings Plan	Mgmt	For	TNA	N/A
22	Authority to Repurchase Shares for Cancellation	Mgmt	For	TNA	N/A
23	Adjustment of Marketing Instructions	Mgmt	For	TNA	N/A
24	Non-Voting Meeting Note	N/A	N/A	TNA	N/A

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Steel Dynamics, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
STLD	CUSIP 858119100	05/18/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark D. Millett	Mgmt	For	For	For
1.2	Elect Keith E. Busse	Mgmt	For	For	For
1.3	Elect Frank D. Byrne	Mgmt	For	For	For
1.4	Elect Kenneth W. Cornew	Mgmt	For	For	For
1.5	Elect Traci M. Dolan	Mgmt	For	For	For
1.6	Elect Jurgen Kolb	Mgmt	For	For	For
1.7	Elect James C. Marcuccilli	Mgmt	For	For	For
1.8	Elect Bradley S. Seaman	Mgmt	For	For	For
1.9	Elect Gabriel L. Shaheen	Mgmt	For	For	For
1.10	Elect Richard P. Teets, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Stillwater Mining Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SWC	CUSIP 86074Q102	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Elect George M. Bee	Mgmt	For	For	For
4	Elect Michael McMullen	Mgmt	For	For	For
5	Elect Patrice Merrin	Mgmt	For	Against	Against
6	Elect Lawrence Peter O'Hagan	Mgmt	For	For	For
7	Elect Michael S. Parrett	Mgmt	For	For	For
8	Elect Brian Schweitzer	Mgmt	For	For	For
9	Elect Gary A. Sugar	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Amendment to the 2012 Equity Incentive Plan	Mgmt	For	For	For
14	Transaction of Other Business	Mgmt	For	Against	Against
15	Right to Adjourn Meeting	Mgmt	For	For	For

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Stora Enso Oyj

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Ticker	Security ID:	Meeting Date	Meeting Status		
STERV	CINS X8T9CM113	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Finland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Accounts and Reports	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Ratification of Board and CEO Acts	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Board Size	Mgmt	For	For	For
15	Election of Directors	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For
19	Amendments to Nomination Board Charter	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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### Suez Environnement Company SA

Ticker	Security ID:	Meeting Date	Meeting Status		
SEV	CINS F6327G101	05/10/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Ratification of the Co-option of Francesco Caltagirone	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	For	For
10	Remuneration Policy (Chair)	Mgmt	For	For	For
11	Remuneration of Gerard Mestrallet,	Mgmt	For	For	For

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12	Chair Remuneration Policy of CEO	Mgmt	For	For	For
13	Remuneration of Jean-Louis Chaussade, CEO	Mgmt	For	For	For
14	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
15	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
16	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights Through Private Placement	Mgmt	For	For	For
19	Greenshoe	Mgmt	For	For	For
20	Authority to Increase Capital in Considerations for Contributions in Kind	Mgmt	For	For	For
21	Authority Increase Capital in Case of Exchange Offer	Mgmt	For	For	For
22	Employee Stock Purchase Plan	Mgmt	For	For	For
23	Overseas Employee Stock Purchase Plan	Mgmt	For	For	For
24	Authority to Issue Restricted Shares	Mgmt	For	For	For
25	Global Ceiling on Capital Increases	Mgmt	For	For	For
26	Authorisation of Legal Formalities	Mgmt	For	For	For
27	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sumitomo Forestry Co, Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
1911	CINS J77454122	06/23/2017	Voted
Meeting Type	Country of Trade		
Annual	Japan		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For

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3	Bonus	Mgmt	For	For	For
Sumitomo Metal Mining Co Ltd					
Ticker	Security ID:	Meeting Date	Meeting Status		
5713	CINS J77712123	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Share Consolidation	Mgmt	For	For	For
4	Elect Yoshiaki Nakazato	Mgmt	For	For	For
5	Elect Takeshi Kubota	Mgmt	For	For	For
6	Elect Harumasa Kurokawa	Mgmt	For	For	For
7	Elect Akira Nozaki	Mgmt	For	For	For
8	Elect Hiroshi Asahi	Mgmt	For	For	For
9	Elect Tsutomu Ushijima	Mgmt	For	For	For
10	Elect Hitoshi Taimatsu	Mgmt	For	For	For
11	Elect Kazuhisa Nakano	Mgmt	For	For	For
12	Elect Kazushi Ino	Mgmt	For	For	For
13	Elect Yuuichi Yamada	Mgmt	For	Against	Against
14	Elect Kazuhiro Mishina As Alternate Statutory Auditor	Mgmt	For	For	For

Suncor Energy Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SU	CUSIP 867224107	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patricia M. Bedient	Mgmt	For	For	For
1.2	Elect Mel E. Benson	Mgmt	For	For	For
1.3	Elect Jacynthe Cote	Mgmt	For	For	For
1.4	Elect Dominic D'Alessandro	Mgmt	For	For	For
1.5	Elect John D. Gass	Mgmt	For	For	For
1.6	Elect John R. Huff	Mgmt	For	For	For
1.7	Elect Maureen McCaw	Mgmt	For	For	For
1.8	Elect Michael W. O'Brien	Mgmt	For	For	For
1.9	Elect Eira Thomas	Mgmt	For	For	For
1.10	Elect Steven W. Williams	Mgmt	For	For	For
1.11	Elect Michael M. Wilson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Amendment to the Stock Option Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

SunPower Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		

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SPWR	CUSIP 867652406	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helle Kristoffersen	Mgmt	For	For	For
1.2	Elect Thomas R. McDaniel	Mgmt	For	For	For
1.3	Elect Thomas H. Werner	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Surgutneftegaz OJSC					
Ticker	Security ID:	Meeting Date	Meeting Status		
SNGS	CINS 868861204	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	Abstain	Against
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Audit Commission Regulations	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Abstain	Against
7	Audit Commission Fees	Mgmt	For	Abstain	Against
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Aleksandr V. Agarev	Mgmt	N/A	Abstain	N/A
10	Elect Vladimir L. Bogdanov	Mgmt	N/A	Abstain	N/A
11	Elect Alexander N. Bulanov	Mgmt	N/A	Abstain	N/A
12	Elect Ivan K. Dinichenko	Mgmt	N/A	For	N/A
13	Elect Vladimir P. Erokhin	Mgmt	N/A	Abstain	N/A
14	Elect Viktor M. Krivosheev	Mgmt	N/A	Abstain	N/A
15	Elect Nikolay I. Matveev	Mgmt	N/A	Abstain	N/A
16	Elect Vladimir I. Raritsky	Mgmt	N/A	Abstain	N/A
17	Elect Ildus S. Usmanov	Mgmt	N/A	Abstain	N/A
18	Elect Vladimir A. Shashkov	Mgmt	N/A	For	N/A
19	Elect Taisiya P. Klinovskaya	Mgmt	For	Against	Against
20	Elect Valentina V. Musikhina	Mgmt	For	Against	Against
21	Elect Tamara F. Oleynik	Mgmt	For	Against	Against
22	Appointment of Auditor	Mgmt	For	For	For
23	D&O Insurance	Mgmt	For	For	For

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Svenska Cellulosa Aktiebolaget SCA						
Ticker	Security ID:	Meeting Date	Meeting Status			
SCAB	CINS W90152120	04/05/2017	Voted			
Meeting Type	Country of Trade					
Annual	Sweden					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
11	Accounts and Reports	Mgmt	For	For	For	
12	Allocation of Profits/Dividends; Spin-Off	Mgmt	For	For	For	
13	Dividend Record Date	Mgmt	For	For	For	
14	Ratification of Board and CEO Acts	Mgmt	For	For	For	
15	Board Size	Mgmt	For	For	For	
16	Number of Auditors	Mgmt	For	For	For	
17	Directors and Auditors' Fees	Mgmt	For	For	For	
18	Elect Par Boman	Mgmt	For	For	For	
19	Elect Ewa Bjorling	Mgmt	For	For	For	
20	Elect Maija-Liisa Friman	Mgmt	For	For	For	
21	Elect Annemarie Gardshol	Mgmt	For	For	For	
22	Elect Magnus Groth	Mgmt	For	For	For	
23	Elect Johan Malmquist	Mgmt	For	For	For	
24	Elect Bert Nordberg	Mgmt	For	Against	Against	
25	Elect Louise Svanberg	Mgmt	For	For	For	
26	Elect Barbara Milian Thoralfsson	Mgmt	For	For	For	
27	Elect Lars Rebien Sorensen	Mgmt	For	For	For	
28	Elect Par Boman as Chair	Mgmt	For	For	For	
29	Appointment of Auditor	Mgmt	For	For	For	
30	Nomination Committee Guidelines Pursuant to Distribution of Shares	Mgmt	For	For	For	
31	Nomination Committee	Mgmt	For	For	For	
32	Remuneration Guidelines	Mgmt	For	For	For	
33	Amendments to Articles Regarding Registered Office; Dividends	Mgmt	For	For	For	
34	Authority to Reduce Share Capital	Mgmt	For	For	For	
35	Bonus Issue	Mgmt	For	For	For	

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36	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
37	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Svenska Cellulosa Aktiebolaget SCA

Ticker	Security ID:	Meeting Date	Meeting Status		
SCAB	CINS W90152120	05/17/2017	Voted		
Meeting Type	Country of Trade				
Special	Sweden				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Board Size	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For
11	Elect Charlotte Bengtsson	Mgmt	For	For	For
12	Elect Lennart Evrell	Mgmt	For	For	For
13	Elect Ulf Larsson	Mgmt	For	For	For
14	Elect Martin Lindqvist	Mgmt	For	For	For
15	Elect Lotta Lyra	Mgmt	For	For	For
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
18	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tahoe Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
THO	CUSIP 873868103	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect C. Kevin McArthur	Mgmt	For	For	For
1.2	Elect Ronald W. Clayton	Mgmt	For	For	For
1.3	Elect Tanya M. Jakusconek	Mgmt	For	For	For
1.4	Elect Charles A. Jeannes	Mgmt	For	For	For
1.5	Elect Drago G. Kistic	Mgmt	For	For	For
1.6	Elect Alan C. Moon	Mgmt	For	For	For
1.7	Elect A. Dan Rovig	Mgmt	For	For	For
1.8	Elect Paul B. Sweeney	Mgmt	For	For	For
1.9	Elect James S. Voorhees	Mgmt	For	For	For
1.10	Elect Kenneth F. Williamson	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Targa Resources Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TRGP	CUSIP 87612G101	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Elect Charles R. Crisp	Mgmt	For	For	For
2	Elect Laura C. Fulton	Mgmt	For	For	For
3	Elect Michael A. Heim	Mgmt	For	For	For
4	Elect James W. Whalen	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
8	Amendment to the 2010 Stock Incentive Plan	Mgmt	For	For	For
9	Issuance of Common Stock	Mgmt	For	For	For

Tatneft OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
TATN	CINS 876629205	06/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	Russian Federation				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Radik R. Gaizatullin	Mgmt	For	Abstain	Against
7	Elect Laszlo Gerecs	Mgmt	For	For	For
8	Elect Nail G. Ibragimov	Mgmt	For	Abstain	Against
9	Elect Yuri L. Levin	Mgmt	For	For	For
10	Elect Nail U. Maganov	Mgmt	For	Abstain	Against
11	Elect Renat H. Muslimov	Mgmt	For	Abstain	Against
12	Elect Rafail S. Nurmukhametov	Mgmt	For	Abstain	Against
13	Elect Renat K. Sabirov	Mgmt	For	Abstain	Against
14	Elect Valery Y. Sorokin	Mgmt	For	Abstain	Against
15	Elect Shafagat F. Takhautdinov	Mgmt	For	Abstain	Against
16	Elect Rustam K. Khalimov	Mgmt	For	Abstain	Against
17	Elect Azat K. Khamaev	Mgmt	For	Abstain	Against
18	Elect Rais S. Khisamov	Mgmt	For	Abstain	Against
19	Elect Rene F. Steiner	Mgmt	For	For	For
20	Elect Ksenia G. Borzunova	Mgmt	For	For	For
21	Elect Ranilya R. Gizatova	Mgmt	For	For	For
22	Elect Guzel R. Gilfanova	Mgmt	For	For	For
23	Elect Venera G. Kuzmina	Mgmt	For	For	For
24	Elect Taskirya G. Nurkhametova	Mgmt	For	For	For
25	Elect Liliya R. Rakhimzyanova	Mgmt	For	For	For
26	Elect Nazilya R. Farkhutdinova	Mgmt	For	For	For
27	Elect Ravil A.	Mgmt	For	For	For

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28	Sharifullin Appointment of Auditor	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Amendments to General Meeting Regulations	Mgmt	For	For	For
31	Amendments to Board of Directors Regulations	Mgmt	For	For	For
32	Amendments to CEO Regulations	Mgmt	For	For	For
33	Amendments to Management Board Regulations	Mgmt	For	For	For
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Technip

Ticker	Security ID:	Meeting Date	Meeting Status		
TEC	CINS F90676101	12/05/2016	Voted		
Meeting Type	Country of Trade				
Special	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Merger by Absorption of the Company by TechnipFMC	Mgmt	For	For	For
6	Removal of Double-Voting Rights	Mgmt	For	For	For
7	Dissolution without Liquidation of the Company	Mgmt	For	For	For
8	Authorisation of Legal Formalities	Mgmt	For	For	For

Teck Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TECK.B	CUSIP 878742204	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mayank M. Ashar	Mgmt	For	For	For
1.2	Elect Quan Chong	Mgmt	For	For	For
1.3	Elect Laura L. Dottori-Attanasio	Mgmt	For	For	For
1.4	Elect Edward C. Dowling	Mgmt	For	For	For
1.5	Elect Eiichi Fukuda	Mgmt	For	For	For
1.6	Elect Norman B. Keevil	Mgmt	For	For	For
1.7	Elect Norman B. Keevil III	Mgmt	For	For	For
1.8	Elect Takeshi Kubota	Mgmt	For	Withhold	Against
1.9	Elect Donald R. Lindsay	Mgmt	For	For	For
1.10	Elect Tracey L. McVicar	Mgmt	For	For	For
1.11	Elect Kenneth W. Pickering	Mgmt	For	For	For
1.12	Elect Una M. Power	Mgmt	For	For	For
1.13	Elect Warren S.R.	Mgmt	For	For	For

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	Seyffert					
1.14	Elect Timothy R. Snider	Mgmt	For	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For

### Tenaris S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEN	CUSIP 88031M109	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Presentation of Accounts and Reports	Mgmt	For	For	For
2	Financial Statements (Consolidated)	Mgmt	For	For	For
3	Financial Statements (Separate)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Election of Directors; Board Size	Mgmt	For	Against	Against
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authorisation of Electronic Communication	Mgmt	For	For	For

### Ternium S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
TX	CUSIP 880890108	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For
2	Approval of 2016 Reports	Mgmt	For	For	For
3	Allocation of Profits and Dividends	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Election of Directors (Slate)	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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8	Delegation of Authorities	Mgmt	For	For	For
9	Appointment of Attorney-in-Fact	Mgmt	For	For	For

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Tesoro Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TSO	CUSIP 881609101	03/24/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Issuance for Merger	Mgmt	For	For	For
2	Increase of Authorized Common Stock	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For

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Tesoro Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TSO	CUSIP 881609101	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rodney F. Chase	Mgmt	For	For	For
2	Elect Edward G. Galante	Mgmt	For	For	For
3	Elect Gregory J. Goff	Mgmt	For	For	For
4	Elect David Lilley	Mgmt	For	For	For
5	Elect Mary Pat McCarthy	Mgmt	For	For	For
6	Elect Jim W. Nokes	Mgmt	For	For	For
7	Elect William H. Schumann, III	Mgmt	For	For	For
8	Elect Susan Tomasky	Mgmt	For	For	For
9	Elect Michael E. Wiley	Mgmt	For	For	For
10	Elect Patrick Y. Yang	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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The Andersons, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ANDE	CUSIP 034164103	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patrick E. Bowe	Mgmt	For	For	For
1.2	Elect Michael J. Anderson	Mgmt	For	For	For
1.3	Elect Gerard M Anderson	Mgmt	For	For	For
1.4	Elect Catherine M. Kilbane	Mgmt	For	For	For
1.5	Elect Robert J. King, Jr.	Mgmt	For	For	For

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1.6	Elect Ross W. Manire	Mgmt	For	For	For
1.7	Elect Donald L. Mennel	Mgmt	For	For	For
1.8	Elect Patrick S. Mullin	Mgmt	For	For	For
1.9	Elect John T. Stout, Jr.	Mgmt	For	For	For
1.10	Elect Jacqueline F. Woods	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

The Navigator Company S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PTI	CINS X67182109	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Portugal				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Consolidated Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Proposal Regarding Special Dividend	Mgmt	For	For	For
7	Shareholder Proposal Regarding Ratification of Board and Supervisory Council Acts	Mgmt	For	For	For
8	Remuneration Policy	Mgmt	For	Against	Against
9	Amendments to Articles (Financial Year)	Mgmt	For	For	For
10	Authority to Trade in Company Stock and Bonds	Mgmt	For	For	For
11	Appointment of Alternate Auditor	Mgmt	For	For	For

The Navigator Company S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
NVG	CINS X67182109	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Portugal				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Financial Year)	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For

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	(Corporate Purpose)				
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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The Southern Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SO	CUSIP 842587107	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Juanita Powell Baranco	Mgmt	For	For	For
2	Elect Jon A. Boscia	Mgmt	For	For	For
3	Elect Henry A. Clark III	Mgmt	For	For	For
4	Elect Thomas A. Fanning	Mgmt	For	For	For
5	Elect David J. Grain	Mgmt	For	For	For
6	Elect Veronica M. Hagen	Mgmt	For	For	For
7	Elect Warren A. Hood, Jr.	Mgmt	For	For	For
8	Elect Linda P. Hudson	Mgmt	For	For	For
9	Elect Donald M. James	Mgmt	For	For	For
10	Elect John D. Johns	Mgmt	For	For	For
11	Elect Dale E. Klein	Mgmt	For	For	For
12	Elect William G. Smith, Jr.	Mgmt	For	For	For
13	Elect Steven R. Specker	Mgmt	For	For	For
14	Elect Larry D. Thompson	Mgmt	For	For	For
15	Elect E. Jenner Wood III	Mgmt	For	For	For
16	Elimination of Supermajority Requirement	Mgmt	For	For	For
17	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
18	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
19	Ratification of Auditor	Mgmt	For	For	For
20	Shareholder Proposal Regarding Reporting on Company Climate Change Policies	ShrHoldr	Against	For	Against

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The Williams Companies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WMB	CUSIP 969457100	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan S. Armstrong	Mgmt	For	For	For
2	Elect Stephen W. Bergstrom	Mgmt	For	For	For
3	Elect Stephen I. Chazen	Mgmt	For	For	For
4	Elect Charles I. Cogut	Mgmt	For	For	For
5	Elect Kathleen B.	Mgmt	For	For	For

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	Cooper					
6	Elect Michael A. Creel	Mgmt	For	For	For	For
7	Elect Peter A. Ragauss	Mgmt	For	For	For	For
8	Elect Scott D. Sheffield	Mgmt	For	For	For	For
9	Elect Murray D. Smith	Mgmt	For	For	For	For
10	Elect William H. Spence	Mgmt	For	For	For	For
11	Elect Janice D. Stoney	Mgmt	For	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	For

The Williams Companies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WMB	CUSIP 969457100	11/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Alan S. Armstrong	Mgmt	For	For	For
2	Elect Stephen W. Bergstrom	Mgmt	For	For	For
3	Elect Stephen I. Chazen	Mgmt	For	For	For
4	Elect Kathleen B. Cooper	Mgmt	For	For	For
5	Elect Peter A. Ragauss	Mgmt	For	For	For
6	Elect Scott D. Sheffield	Mgmt	For	For	For
7	Elect Murray D. Smith	Mgmt	For	For	For
8	Elect William H. Spence	Mgmt	For	For	For
9	Elect Janice D. Stoney	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For

ThyssenKrupp AG

Ticker	Security ID:	Meeting Date	Meeting Status		
TKA	CINS D8398Q119	01/27/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratification of Management Board Acts	Mgmt	For	TNA	N/A
7	Ratification of Supervisory Board Acts	Mgmt	For	TNA	N/A
8	Appointment of Auditor	Mgmt	For	TNA	N/A

Tianjin Capital Environmental Protection Group Co., Ltd.

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Ticker	Security ID:	Meeting Date	Meeting Status		
1065	CINS Y88228112	03/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Elect TANG Fusheng	Mgmt	For	For	For
4	Remuneration of TANG Fusheng	Mgmt	For	For	For

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### Tianjin Capital Environmental Protection Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1065	CINS Y88228112	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Type and Par Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Subscribers and Method of Subscription	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issue Price and Pricing Principle	Mgmt	For	For	For
7	Lock-up Arrangement	Mgmt	For	For	For
8	Gross Proceeds and Use of Proceeds	Mgmt	For	For	For
9	Listing Application	Mgmt	For	For	For
10	Arrangement Relating to Accumulated Undistributed Profits	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Plan to Issue Shares w/out Preemptive Rights	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Three-year Shareholders Return Plan (2016-2018)	Mgmt	For	For	For
15	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For
17	Compliance with Relevant Laws and Regulations	Mgmt	For	For	For
18	Report on Use of Previously Raised Funds	Mgmt	For	For	For
19	Approval of	Mgmt	For	For	For



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	Undertakings of Management for Dilution Measures					
20	Board Authorization to Implement Private Placement	Mgmt	For	For	For	For
21	Management Principles on Use of Proceeds	Mgmt	For	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

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Tianjin Capital Environmental Protection Group Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1065	CINS Y88228112	12/30/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Type and Par Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Subscribers and Method of Subscription	Mgmt	For	For	For
5	Size of Issuance	Mgmt	For	For	For
6	Issue Price and Pricing Principle	Mgmt	For	For	For
7	Lock-up Arrangement	Mgmt	For	For	For
8	Gross Proceeds and Use of Proceeds	Mgmt	For	For	For
9	Listing Application	Mgmt	For	For	For
10	Arrangement Relating to Accumulated Undistributed Profits	Mgmt	For	For	For
11	Validity Period of Resolution	Mgmt	For	For	For
12	Plan to Issue Shares w/out Preemptive Rights	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Three-year Shareholders Return Plan (2016-2018)	Mgmt	For	For	For
15	Approval of Dilution Measures for Share Issuance	Mgmt	For	For	For
16	Amendments to Articles	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Tonengeneral Sekiyu KK

Ticker	Security ID:	Meeting Date	Meeting Status
5012	CINS J8657U110	12/21/2016	Voted
Meeting Type	Country of Trade		

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Special Issue No.	Description	Japan Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Share Exchange Agreement with JX Holdings, Inc.	Mgmt	For	For	For
3	Absorption-Type Merger Agreement with JX Nippon Oil & Energy Corporation	Mgmt	For	For	For
4	Absorption-Type Merger Agreement with EMG Marketing Godo Kaisha	Mgmt	For	For	For

Total SA

Ticker	Security ID:	Meeting Date	Meeting Status		
FP	CINS F92124100	05/26/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends; Scrip Dividend Option	Mgmt	For	For	For
8	Scrip Dividend Option for Interim Dividend	Mgmt	For	For	For
9	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
10	Elect Patricia Barbizet	Mgmt	For	For	For
11	Elect Marie-Christine Coisne-Roquette	Mgmt	For	For	For
12	Elect Mark Cutifani	Mgmt	For	For	For
13	Elect Carlos Tavares	Mgmt	For	For	For
14	Related Party Transactions	Mgmt	For	For	For
15	Remuneration of Patrick Pouyanne, Chairman and CEO	Mgmt	For	Against	Against
16	Remuneration Policy (Chair and CEO)	Mgmt	For	For	For
17	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For

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Tourmaline Oil Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TOU	CINS 89156V106	06/07/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Michael L. Rose	Mgmt	For	For	For
4	Elect Brian G. Robinson	Mgmt	For	Against	Against
5	Elect Jill T. Angevine	Mgmt	For	For	For
6	Elect William D. Armstrong	Mgmt	For	For	For
7	Elect Lee A. Baker	Mgmt	For	For	For
8	Elect Robert W. Blakely	Mgmt	For	For	For
9	Elect John W. Elick	Mgmt	For	For	For
10	Elect Phillip A. Lamoreaux	Mgmt	For	For	For
11	Elect Andrew B. MacDonald	Mgmt	For	For	For
12	Elect Lucy M. Miller	Mgmt	For	For	For
13	Elect Ronald C. Wigham	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Adoption of New Bylaws	Mgmt	For	For	For
16	Approval of Unallocated Options Under the Share Option Plan	Mgmt	For	For	For

Tractor Supply Company

Ticker	Security ID:	Meeting Date	Meeting Status		
TSCO	CUSIP 892356106	05/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Cynthia T. Jamison	Mgmt	For	For	For
1.2	Elect Johnston C. Adams	Mgmt	For	For	For
1.3	Elect Peter D. Bewley	Mgmt	For	For	For
1.4	Elect Ramkumar Krishnan	Mgmt	For	For	For
1.5	Elect George MacKenzie	Mgmt	For	For	For
1.6	Elect Edna K. Morris	Mgmt	For	For	For
1.7	Elect Mark J. Weikel	Mgmt	For	For	For
1.8	Elect Gregory A. Sandfort	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

TransCanada Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
TRP	CUSIP 89353D107	05/05/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kevin E. Benson	Mgmt	For	For	For
1.2	Elect Derek H. Burney	Mgmt	For	For	For
1.3	Elect Stephan Cretier	Mgmt	For	For	For
1.4	Elect Russell K. Girling	Mgmt	For	For	For
1.5	Elect S. Barry Jackson	Mgmt	For	For	For
1.6	Elect John E. Lowe	Mgmt	For	For	For
1.7	Elect Paula Rosput Reynolds	Mgmt	For	For	For
1.8	Elect Mary Pat Salomone	Mgmt	For	For	For
1.9	Elect Indira V. Samarasekera	Mgmt	For	For	For
1.10	Elect D. Michael G. Stewart	Mgmt	For	For	For
1.11	Elect Siim A. Vanaselja	Mgmt	For	For	For
1.12	Elect Richard E. Waugh	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Transocean Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
RIG	CUSIP H8817H100	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Management Acts	Mgmt	For	For	For
3	Allocation of Losses	Mgmt	For	For	For
4	Elect Glyn A. Barker	Mgmt	For	For	For
5	Elect Vanessa C.L. Chang	Mgmt	For	For	For
6	Elect Frederico Fleury Curado	Mgmt	For	For	For
7	Elect Chadwick C. Deaton	Mgmt	For	For	For
8	Elect Vincent J. Inrieri	Mgmt	For	For	For
9	Elect Martin B. McNamara	Mgmt	For	For	For
10	Elect Samuel J. Merksamer	Mgmt	For	For	For
11	Elect Merrill A. Miller, Jr.	Mgmt	For	Against	Against
12	Elect Edward R. Muller	Mgmt	For	For	For
13	Elect Tan Ek Kia	Mgmt	For	For	For
14	Elect Jeremy D. Thigpen	Mgmt	For	For	For
15	Election of Chairman of the Board	Mgmt	For	Against	Against
16	Elect Frederico Fleury Curado	Mgmt	For	For	For

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17	Elect Vincent J. Inrieri	Mgmt	For	For	For
18	Elect Martin B. McNamara	Mgmt	For	For	For
19	Elect Tan Ek Kia	Mgmt	For	For	For
20	Reelection of Independent Proxy	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Advisory Vote on Executive Compensation	Mgmt	For	For	For
23	Ratification of Maximum Aggregate Director Compensation	Mgmt	For	For	For
24	Ratification of Maximum Aggregate Executive Compensation	Mgmt	For	For	For
25	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Turkiye Petrol Rafinerileri A.S

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPRS	CINS M8966X108	03/29/2017	Take No Action		
Meeting Type	Country of Trade				
Ordinary	Turkey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Opening; Election of Presiding Chair	Mgmt	For	TNA	N/A
5	Directors' Report	Mgmt	For	TNA	N/A
6	Presentation of Auditors' Report	Mgmt	For	TNA	N/A
7	Financial Statements	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
10	Amendments to Article 6 Regarding Authorised Capital	Mgmt	For	TNA	N/A
11	Election of Directors	Mgmt	For	TNA	N/A
12	Compensation Policy	Mgmt	For	TNA	N/A
13	Directors' Fees	Mgmt	For	TNA	N/A
14	Appointment of Auditor	Mgmt	For	TNA	N/A
15	Charitable Donations	Mgmt	For	TNA	N/A
16	Presentation of Report on Guarantees	Mgmt	For	TNA	N/A
17	Authority to Carry out Competing Activities or Related Party Transactions	Mgmt	For	TNA	N/A

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18	Wishes	Mgmt	For	TNA	N/A
Turquoise Hill Resources Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
TRQ	CINS 900435108	05/12/2017		Voted	
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect James W. Gill	Mgmt	For	For	For
3	Elect R. Peter Gillin	Mgmt	For	For	For
4	Elect Ulf Quellmann	Mgmt	For	For	For
5	Elect Russel C. Robertson	Mgmt	For	For	For
6	Elect Maryse Saint-Laurent	Mgmt	For	For	For
7	Elect Craig Stegman	Mgmt	For	For	For
8	Elect Jeffery D. Tygesen	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
Tyson Foods, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
TSN	CUSIP 902494103	02/09/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John H. Tyson	Mgmt	For	For	For
2	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For
3	Elect Mike Beebe	Mgmt	For	For	For
4	Elect Mikel A. Durham	Mgmt	For	For	For
5	Elect Tom Hayes	Mgmt	For	For	For
6	Elect Kevin M. McNamara	Mgmt	For	For	For
7	Elect Cheryl S. Miller	Mgmt	For	For	For
8	Elect Brad T. Sauer	Mgmt	For	For	For
9	Elect Jeffrey K. Schomburger	Mgmt	For	For	For
10	Elect Robert Thurber	Mgmt	For	For	For
11	Elect Barbara A. Tyson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Against	Against	For

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17	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Water Stewardship Policy	ShrHoldr	Against	For	Against

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United States Steel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
X	CUSIP 912909108	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Patricia Diaz Dennis	Mgmt	For	For	For
2	Elect Dan O. Dinges	Mgmt	For	For	For
3	Elect John G. Drosdick	Mgmt	For	For	For
4	Elect John J. Engel	Mgmt	For	For	For
5	Elect Murry S. Gerber	Mgmt	For	For	For
6	Elect Stephen J. Girsky	Mgmt	For	For	For
7	Elect Mario Longhi	Mgmt	For	For	For
8	Elect Paul A. Mascarenas	Mgmt	For	For	For
9	Elect Glenda G. McNeal	Mgmt	For	For	For
10	Elect Robert J. Stevens	Mgmt	For	For	For
11	Elect David S. Sutherland	Mgmt	For	For	For
12	Elect Patricia A. Tracey	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	2016 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
16	Technical Amendments to Articles	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For

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United Utilities Group PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
UU	CINS G92755100	07/22/2016	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Report (Advisory)	Mgmt	For	For	For
4	Elect John McAdam	Mgmt	For	For	For
5	Elect Steven L. Mogford	Mgmt	For	For	For
6	Elect Stephen A. Carter	Mgmt	For	For	For
7	Elect Mark S. Clare	Mgmt	For	For	For
8	Elect Russ Houlden	Mgmt	For	For	For

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9	Elect Brian May	Mgmt	For	For	For
10	Elect Sara Weller	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
14	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Repurchase Shares	Mgmt	For	For	For
16	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against
17	Authorisation of Political Donations	Mgmt	For	For	For

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### Vale SA

Ticker	Security ID:	Meeting Date	Meeting Status		
VALE3	CUSIP 91912E105	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	Abstain	Against
4	Election of Supervisory Council	Mgmt	For	Abstain	Against
5	Remuneration Policy	Mgmt	For	Against	Against
6	Supervisory Council's Fees	Mgmt	For	For	For

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### Vale SA

Ticker	Security ID:	Meeting Date	Meeting Status		
VALE3	CUSIP 91912E105	06/27/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Conversion of Preferred Shares	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Merger Agreement	Mgmt	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For
5	Valuation Report	Mgmt	For	For	For
6	Merger (Valepar)	Mgmt	For	For	For
7	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For



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Vale SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
VALE3	CUSIP 91912E105	08/12/2016	Voted			
Meeting Type	Country of Trade					
Special	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Ratification of Co-options of Motomu Takahashi and Marcelo Gasparino da Silva (Alternate Director)	Mgmt	For	Against	Against	
2	Amendments to Articles Regarding Executive Board Age Limits	Mgmt	For	Against	Against	
3	Amendments to Articles Regarding General Meeting Chairman	Mgmt	For	For	For	

Valero Energy Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
VLO	CUSIP 91913Y100	05/03/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect H. Paulett Eberhart	Mgmt	For	For	For	
2	Elect Joseph W. Gorder	Mgmt	For	For	For	
3	Elect Kimberly S. Greene	Mgmt	For	For	For	
4	Elect Deborah P. Majoras	Mgmt	For	For	For	
5	Elect Donald L. Nickles	Mgmt	For	For	For	
6	Elect Philip J. Pfeiffer	Mgmt	For	For	For	
7	Elect Robert A. Profusek	Mgmt	For	For	For	
8	Elect Susan Kaufman Purcell	Mgmt	For	For	For	
9	Elect Stephen M. Waters	Mgmt	For	For	For	
10	Elect Randall J. Weisenburger	Mgmt	For	For	For	
11	Elect Rayford Wilkins Jr.	Mgmt	For	For	For	
12	Ratification of Auditor	Mgmt	For	For	For	
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

Vedanta Resources Plc						
Ticker	Security ID:	Meeting Date	Meeting Status			
VED	CINS G9328D100	08/05/2016	Voted			
Meeting Type	Country of Trade					
Annual	United Kingdom					

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Ravi Rajagopal	Mgmt	For	For	For
5	Elect Anil Agarwal	Mgmt	For	For	For
6	Elect Navin Agarwal	Mgmt	For	For	For
7	Elect Tom Albanese	Mgmt	For	For	For
8	Elect Ekaterina Zotova	Mgmt	For	For	For
9	Elect Aman Mehta	Mgmt	For	Against	Against
10	Elect Deepak S. Parekh	Mgmt	For	Against	Against
11	Elect Geoffrey Green	Mgmt	For	Against	Against
12	Appointment of Auditor	Mgmt	For	For	For
13	Authority to Set Auditor's Fees	Mgmt	For	For	For
14	Amendment to the Performance Share Plan	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against

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### Vedanta Resources Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
VED	CINS G9328D100	09/06/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For

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### Veolia Environnement

Ticker	Security ID:	Meeting Date	Meeting Status		
VIE	CINS F9686M107	04/20/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Approval of Non	Mgmt	For	For	For

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Tax-Deductible Expenses					
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Related Party Transactions	Mgmt	For	Against	Against
10	Elect Olivier Mareuse	Mgmt	For	For	For
11	Elect Marion Guillou	Mgmt	For	For	For
12	Elect Paolo Scaroni	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Remuneration Policy (Chair and CEO)	Mgmt	For	Against	Against
15	Remuneration of Antoine Frerot, Chair and CEO	Mgmt	For	Against	Against
16	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
17	Relocation of Corporate Headquarters	Mgmt	For	For	For
18	Amendment Regarding Vice Chair Age Limits	Mgmt	For	For	For
19	Authorisation of Legal Formalities	Mgmt	For	For	For

Verbund AG

Ticker	Security ID:	Meeting Date	Meeting Status		
VER	CINS A91460104	04/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	Austria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Management Board Acts	Mgmt	For	For	For
4	Ratification of Supervisory Board Acts	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Gerhard Roiss to the Supervisory Board	Mgmt	For	For	For
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Vestas Wind Systems A/S

Ticker	Security ID:	Meeting Date	Meeting Status		
VWS	CINS K9773J128	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	Denmark				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Elect Bert Nordberg	Mgmt	For	For	For
9	Elect Carsten Bjerg	Mgmt	For	For	For
10	Elect Eija Pitkanen	Mgmt	For	For	For
11	Elect Henrik Andersen	Mgmt	For	For	For
12	Elect Henry Stenson	Mgmt	For	For	For
13	Elect Lars G. Josefsson	Mgmt	For	For	For
14	Elect Lykke Friis	Mgmt	For	For	For
15	Elect Torben Ballegaard Sorensen	Mgmt	For	For	For
16	Directors' Fees for 2016	Mgmt	For	For	For
17	Directors' Fees for 2017	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Authority to Reduce Share Capital	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Amendments to Articles Regarding Attendance	Mgmt	For	For	For
22	Authority to Carry Out Formalities	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Voestalpine AG

Ticker	Security ID:	Meeting Date	Meeting Status		
VOE	CINS A9101Y103	07/06/2016	Voted		
Meeting Type	Country of Trade				
Ordinary	Austria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Management Board Acts	Mgmt	For	For	For
4	Ratification of Supervisory Board Acts	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Supervisory Board Members' Fees	Mgmt	For	Against	Against
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Weatherford International plc

Ticker	Security ID:	Meeting Date	Meeting Status
WFT	CUSIP G48833100	06/15/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mohamed A. Awad	Mgmt	For	For	For
2	Elect David J. Butters	Mgmt	For	Against	Against
3	Elect John D. Gass	Mgmt	For	For	For
4	Elect Emyr Jones Parry	Mgmt	For	For	For
5	Elect Francis S. Kalman	Mgmt	For	For	For
6	Elect William E. Macaulay	Mgmt	For	For	For
7	Elect Mark A. McCollum	Mgmt	For	For	For
8	Elect Robert K. Moses, Jr.	Mgmt	For	For	For
9	Elect Guillermo Ortiz Martinez	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Amendment to the 2010 Omnibus Incentive Plan	Mgmt	For	For	For

West Fraser Timber Co. Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
WFT	CINS 952845105	04/19/2017	Voted
Meeting Type	Country of Trade		
Annual	Canada		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Hank Ketcham	Mgmt	For	For	For
4	Elect Reid Carter	Mgmt	For	For	For
5	Elect John Floren	Mgmt	For	For	For
6	Elect Brian G. Kenning	Mgmt	For	For	For
7	Elect John K. Ketcham	Mgmt	For	Against	Against
8	Elect Gerald J. Miller	Mgmt	For	For	For
9	Elect Robert L. Phillips	Mgmt	For	For	For
10	Elect Janice Rennie	Mgmt	For	For	For
11	Elect Ted Seraphim	Mgmt	For	For	For
12	Elect Gillian D. Winckler	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

WestRock Company

Ticker	Security ID:	Meeting Date	Meeting Status
WRK	CUSIP 96145D105	01/27/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Timothy J.	Mgmt	For	For	For

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	Bernlohr					
2	Elect J. Powell Brown	Mgmt	For	For	For	
3	Elect Michael E. Campbell	Mgmt	For	For	For	
4	Elect Terrell K. Crews	Mgmt	For	For	For	
5	Elect Russell M. Currey	Mgmt	For	For	For	
6	Elect John A. Luke, Jr.	Mgmt	For	For	For	
7	Elect Gracia C. Martore	Mgmt	For	For	For	
8	Elect James E. Nevels	Mgmt	For	For	For	
9	Elect Timothy H. Powers	Mgmt	For	For	For	
10	Elect Steven C. Voorhees	Mgmt	For	For	For	
11	Elect Bettina M. Whyte	Mgmt	For	For	For	
12	Elect Alan D. Wilson	Mgmt	For	For	For	
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
15	Ratification of Auditor	Mgmt	For	For	For	

Weyerhaeuser Company

Ticker	Security ID:	Meeting Date	Meeting Status		
WY	CUSIP 962166104	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mark A. Emmert	Mgmt	For	For	For
2	Elect Rick R. Holley	Mgmt	For	For	For
3	Elect Sara Grootwassink Lewis	Mgmt	For	For	For
4	Elect John F. Morgan, Sr.	Mgmt	For	For	For
5	Elect Nicole W. Piasecki	Mgmt	For	For	For
6	Elect Marc F. Racicot	Mgmt	For	For	For
7	Elect Lawrence A. Selzer	Mgmt	For	For	For
8	Elect Doyle R. Simons	Mgmt	For	For	For
9	Elect D. Michael Steuert	Mgmt	For	For	For
10	Elect Kim Williams	Mgmt	For	For	For
11	Elect Charles R. Williamson	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

Wilmar International Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
F34	CINS Y9586L109	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Singapore				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Directors' Fees	Mgmt	For	For	For
4	Elect KUOK Khoon Hong	Mgmt	For	Against	Against
5	Elect KWAH Thiam Hock	Mgmt	For	Against	Against
6	Elect TAY Kah Chye	Mgmt	For	For	For
7	Elect KUOK Khoon Hua	Mgmt	For	Against	Against
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
10	Authority to Grant Shares Under Wilmar Executives Share Option Scheme 2009	Mgmt	For	Against	Against
11	Related Party Transactions	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For

Woodside Petroleum Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
WPL	CINS 980228100	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Michael A. Chaney	Mgmt	For	For	For
3	Elect Lawrence Archibald	Mgmt	For	For	For
4	Elect Ian Macfarlane	Mgmt	For	For	For
5	REMUNERATION REPORT	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Board Spill Resolution	Mgmt	Against	Against	For

Worthington Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WOR	CUSIP 981811102	09/29/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kerrii B. Anderson	Mgmt	For	For	For
1.2	Elect John P. McConnell	Mgmt	For	For	For
1.3	Elect Mary Schiavo	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the 2006 Equity Incentive Plan for Non-Employee Directors	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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Yamana Gold Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
YRI	CUSIP 98462Y100	05/04/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John A. Begeman	Mgmt	For	For	For	
1.2	Elect Christiane Bergevin	Mgmt	For	For	For	
1.3	Elect Alexander J. Davidson	Mgmt	For	For	For	
1.4	Elect Richard P. Graff	Mgmt	For	For	For	
1.5	Elect Kimberly Keating	Mgmt	For	For	For	
1.6	Elect Nigel Lees	Mgmt	For	For	For	
1.7	Elect Peter J. Marrone	Mgmt	For	For	For	
1.8	Elect Patrick J. Mars	Mgmt	For	For	For	
1.9	Elect Carl L. Renzoni	Mgmt	For	For	For	
1.10	Elect Jane Sadowsky	Mgmt	For	For	For	
1.11	Elect Dino Titaro	Mgmt	For	For	For	
2	Appointment of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Yamato Kogyo Co., Ltd.						
Ticker	Security ID:	Meeting Date	Meeting Status			
5444	CINS J96524111	06/29/2017	Voted			
Meeting Type	Country of Trade					
Annual	Japan					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Allocation of Profits/Dividends	Mgmt	For	For	For	
3	Elect Hiroyuki Inoue	Mgmt	For	Against	Against	
4	Elect Kengo Nakaya	Mgmt	For	For	For	
5	Elect Katsumasa Kohata	Mgmt	For	For	For	
6	Elect Damri Tunshevavong	Mgmt	For	For	For	
7	Elect Takenosuke Yasufuku	Mgmt	For	Against	Against	
8	Elect Motoyoshi Maruyama	Mgmt	For	Against	Against	
9	Elect Kazuhiro Tsukamoto	Mgmt	For	Against	Against	
10	Special Allowances for Directors and Statutory Auditors	Mgmt	For	Against	Against	
11	Directors' and Statutory Auditors' Fees	Mgmt	For	For	For	

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Yanzhou Coal Mining Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1171	CINS Y97417102	03/10/2017	Voted			
Meeting Type	Country of Trade					
Special	China					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	



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1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Provision of Loans to Yancoal International (Holding) Co., Ltd.	Mgmt	For	For	For
4	Extension of Repayment Date of Outstanding Loans	Mgmt	For	For	For
5	Appointment of Overseas Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Elect KONG Xiangguo	Mgmt	For	For	For
7	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For
8	Authority to Give Guarantees	Mgmt	For	For	For
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	03/10/2017	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Proceeding of the Company's Non-public Issuance of A Shares	Mgmt	For	For	For

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	06/29/2017	Voted		
Meeting Type	Annual	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Liability Insurance	Mgmt	For	For	For
9	Appointment of International and PRC Auditors and Authority to Set Fees	Mgmt	For	For	For
10	Acquisition	Mgmt	For	For	For
11	Finance Service Agreement	Mgmt	For	For	For
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
21	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
26	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
27	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
29	Authority to Give Guarantees	Mgmt	For	For	For
30	Approval of Domestic and Overseas Financing Businesses	Mgmt	For	For	For
31	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
32	Authority to H Repurchase Shares	Mgmt	For	For	For
33	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
34	Elect LI Xiyong	Mgmt	For	For	For
35	Elect LI Wei	Mgmt	For	For	For
36	Elect WU Xiangqian	Mgmt	For	For	For
37	Elect WU Yuxiang	Mgmt	For	For	For
38	Elect ZHAO Qingchun	Mgmt	For	For	For
39	Elect GUO Dechun	Mgmt	For	For	For
40	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
41	Elect KONG Xiangguo	Mgmt	For	For	For
42	Elect JIA Shaohua	Mgmt	For	For	For
43	Elect POON Chiu Kwok	Mgmt	For	Against	Against
44	Elect QI Anbang	Mgmt	For	For	For
45	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
46	Elect GU Shisheng as Supervisor	Mgmt	For	For	For
47	Elect ZHOU Hong as Supervisor	Mgmt	For	Against	Against
48	Elect MENG Qingjian as Supervisor	Mgmt	For	For	For
49	Elect ZHANG Ning as Supervisor	Mgmt	For	Against	Against
50	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	06/29/2017	Voted		
Meeting Type	Other	Country of Trade			
		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Authority to Repurchase H Shares	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	08/19/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Eligibility for Issuance of A Shares	Mgmt	For	For	For
3	Class and Nominal Value	Mgmt	For	For	For
4	Method and Time of Issuance	Mgmt	For	For	For
5	Issue Price and Pricing Principle	Mgmt	For	For	For
6	Issue Size	Mgmt	For	For	For
7	Use of Proceeds	Mgmt	For	For	For
8	Lock-up Period	Mgmt	For	For	For
9	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
10	Validity Period For Resolution	Mgmt	For	For	For
11	Place of Listing	Mgmt	For	For	For
12	Method of Subscription	Mgmt	For	For	For
13	Feasibility Report on Use of Proceeds	Mgmt	For	For	For
14	Non-Public Issuance of A Shares Plan	Mgmt	For	For	For
15	Board Authorization To Implement Non-Public Issuance of A Shares	Mgmt	For	For	For
16	Dilution of Immediate Return and Remedial Measures	Mgmt	For	For	For
17	Undertaking of Controlling Shareholder, Directors and Senior Management Regarding the Recovery of Immediate Return	Mgmt	For	For	For

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18	Shareholders Return	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For

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Yanzhou Coal Mining Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1171	CINS Y97417102	08/19/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Class and Nominal Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Issue Price and Pricing Principle	Mgmt	For	For	For
5	Issue Size	Mgmt	For	For	For
6	Use of Proceeds	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Arrangement for Accumulated Undistributed Profits	Mgmt	For	For	For
9	Validity Period of Resolution	Mgmt	For	For	For
10	Listing Market	Mgmt	For	For	For
11	Method of Subscription	Mgmt	For	For	For
12	Plan For Private Placement	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Yara International ASA

Ticker	Security ID:	Meeting Date	Meeting Status		
YAR	CINS R9900C106	05/11/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Opening of Meeting; Agenda	Mgmt	For	TNA	N/A
6	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
7	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
8	Remuneration Guidelines	Mgmt	For	TNA	N/A
9	Presentation of Corporate Governance Report	Mgmt	For	TNA	N/A
10	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
11	Directors' Fees	Mgmt	For	TNA	N/A
12	Nomination Committee Fees	Mgmt	For	TNA	N/A
13	Authority to	Mgmt	For	TNA	N/A

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14	Repurchase Shares	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
YoungPoong Corporation						
Ticker	Security ID:	Meeting Date	Meeting Status			
000670	CINS Y9858R107	03/24/2017	Voted			
Meeting Type	Country of Trade					
Annual	Korea, Republic of					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against	
2	Election of Directors (Slate)	Mgmt	For	For	For	
3	Election of Audit Committee Members (Slate)	Mgmt	For	For	For	
4	Directors' Fees	Mgmt	For	For	For	
YPF S.A.						
Ticker	Security ID:	Meeting Date	Meeting Status			
YPPD	CUSIP 984245100	04/28/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Election of Meeting Delegates in Charge of Meeting Minutes	Mgmt	For	For	For	
2	Authority to Waive Preemptive Rights in Relation to Long-Term Incentive Plan	Mgmt	For	Abstain	Against	
3	Accounts and Reports	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Authority to Set Auditor's Fees	Mgmt	For	For	For	
6	Appointment of Auditor; Fees	Mgmt	For	For	For	
7	Ratification of Board and Supervisory Council Acts	Mgmt	For	For	For	
8	Ratification of Directors' Fees	Mgmt	For	For	For	
9	Ratification of Supervisory Council Fees	Mgmt	For	For	For	
10	Supervisory Council Size	Mgmt	For	Abstain	Against	
11	Election of Supervisory Council Members and Alternates (Class	Mgmt	For	Abstain	Against	

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	D)				
12	Board Size	Mgmt	For	For	For
13	Election of Directors (Class D)	Mgmt	For	For	For
14	Directors' Fees; Supervisory Council's Fees	Mgmt	For	For	For
15	Merger by Absorption	Mgmt	For	For	For
16	Merger Valuation Report and Other Reports	Mgmt	For	For	For
17	Merger Agreement	Mgmt	For	For	For
18	Authority to Sign the Merger Agreement in Representation of the Company	Mgmt	For	For	For
19	Authority to Extend the Terms of the Global Notes Program	Mgmt	For	For	For

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Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Repurchase H Shares	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Authority to Issue Corporate Bonds	Mgmt	For	For	For

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Zhaojin Mining Industry Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1818	CINS Y988A6104	06/09/2017	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Authority to Repurchase H Shares	Mgmt	For	For	For

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	08/15/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Authority to Issue Renewable Bonds	Mgmt	For	For	For	

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	09/19/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Employee Shares Subscription Plan	Mgmt	For	For	For	

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Zhaojin Mining Industry Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
1818	CINS Y988A6104	09/19/2016	Voted			
Meeting Type		Country of Trade				
Other		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Employee Shares Subscription Plan	Mgmt	For	For	For	

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Zijin Mining Group Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
2899	CINS Y9892H107	06/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Authority to Issue Debt Financing	Mgmt	For	For	For	
4	Authority to Give Guarantees	Mgmt	For	For	For	
5	Provision of Guarantee	Mgmt	For	For	For	
6	Directors' Report	Mgmt	For	For	For	
7	Independent Directors' Report	Mgmt	For	For	For	
8	Supervisors' Report	Mgmt	For	For	For	
9	Financial Report	Mgmt	For	For	For	
10	Annual Report	Mgmt	For	For	For	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
12	Allocation of Profits/Dividends	Mgmt	For	For	For	
13	Directors' and	Mgmt	For	For	For	

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Supervisors' Fees					
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Zijin Mining Group Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H107	08/25/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For
4	Formulation of Administrative Policy of Approval of the establishment of the Administrative Measures for Employee Stock Ownership Scheme	Mgmt	For	For	For
5	Board Authorization to Implement Employee Stock Ownership Scheme	Mgmt	For	For	For
6	Satisfaction of Conditions for Non-public Issuance of A Shares	Mgmt	For	For	For
7	Class and Nominal Value	Mgmt	For	For	For
8	Method and Time of Issuance	Mgmt	For	For	For
9	Issuing Objects and Methods of Subscription;	Mgmt	For	For	For
10	Subscription Price and Pricing Principles;	Mgmt	For	For	For
11	Number of Shares to Be Issued	Mgmt	For	For	For
12	Lock-up Period	Mgmt	For	For	For
13	Use of Proceeds Raised	Mgmt	For	For	For
14	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A Shares	Mgmt	For	For	For
15	Listing place	Mgmt	For	For	For
16	Validity period	Mgmt	For	For	For
17	Plan for the Non-public Issuance of A	Mgmt	For	For	For



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18	Shares Feasibility Report on the Use of Proceeds Raised in Non-public Issuance of A Shares	Mgmt	For	For	For
19	Not to Produce a Report on Use of Proceeds Previously Raised	Mgmt	For	For	For
20	Connected Transactions under Non-public Issuance of A Shares	Mgmt	For	For	For
21	Conditional Share subscription Agreement for Non-public Issuance of A Shares	Mgmt	For	For	For
22	Conditional Share Subscription Agreement for Non-public Issuance of A Shares with Phase 1 of Employee Stock Ownership Scheme	Mgmt	For	For	For
23	Dilutive Impact of Non-public Issuance of A Shares on Immediate Returns and Adoption of Recovery Measures	Mgmt	For	For	For
24	Undertakings of Directors and Senior Management of Company in relation to Recovery Measures for Returns	Mgmt	For	For	For
25	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For
26	Eligibility for Issuance of Renewable Corporate Bond	Mgmt	For	For	For
27	Issue Size and Nominal Value	Mgmt	For	For	For
28	Maturity	Mgmt	For	For	For
29	Interest Rate and Interest Determination Principles	Mgmt	For	For	For
30	Issuance Method	Mgmt	For	For	For
31	Guarantee Measures	Mgmt	For	For	For
32	Terms of Redemption	Mgmt	For	For	For
33	Terms of Interest Payments	Mgmt	For	For	For
34	Interest Payment	Mgmt	For	For	For

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	Restrictions				
35	Use of Proceeds	Mgmt	For	For	For
36	Target Subscribers and Arrangements For Existing Shareholders	Mgmt	For	For	For
37	Underwriting and Listing Arrangements	Mgmt	For	For	For
38	Repayment Safeguards	Mgmt	For	For	For
39	Validity of Resolution	Mgmt	For	For	For
40	Renewable Measures	Mgmt	For	For	For

Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H107	08/25/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Class and Nominal Value	Mgmt	For	For	For
3	Method and Time of Issuance	Mgmt	For	For	For
4	Issuing Objects and Methods of Subscription	Mgmt	For	For	For
5	Subscription Price and Pricing Principles	Mgmt	For	For	For
6	Number of Shares to Be Issued	Mgmt	For	For	For
7	Lock-up Period	Mgmt	For	For	For
8	Use of Proceeds	Mgmt	For	For	For
9	Arrangement of Accumulated Distributable Profits before Non-public Issuance of A Shares	Mgmt	For	For	For
10	Listing place	Mgmt	For	For	For
11	Validity period	Mgmt	For	For	For
12	Plan for the Non-public Issuance of A Shares	Mgmt	For	For	For
13	Board Authorization to Implement Non-public Issuance of A Shares	Mgmt	For	For	For

Zijin Mining Group Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
2899	CINS Y9892H107	12/30/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles	Mgmt	For	For	For
4	Remuneration and	Mgmt	For	For	For

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	Assessment of Directors and Supervisors				
5	One-off Use of Personal Option Bonus Remuneration	Mgmt	For	For	For
6	Amendments to the Administrative Measures of Connected Transactions	Mgmt	For	For	For
7	Elect CHEN Jinghe	Mgmt	For	Against	Against
8	Elect LAN Fusheng	Mgmt	For	For	For
9	Elect ZOU Laichang	Mgmt	For	For	For
10	Elect LIN Hongfu	Mgmt	For	For	For
11	Elect FANG Qixue	Mgmt	For	For	For
12	Elect LIN Hongying	Mgmt	For	For	For
13	Elect LI Jian	Mgmt	For	Against	Against
14	Elect LU Shihua	Mgmt	For	For	For
15	Elect ZHU Guang	Mgmt	For	For	For
16	Elect Kenneth SIT Hoi Wah	Mgmt	For	For	For
17	Elect CAI Meifeng	Mgmt	For	For	For
18	Elect LIN Shuiqing	Mgmt	For	For	For
19	Elect XU Qiang	Mgmt	For	For	For
20	Elect FAN Wensheng	Mgmt	For	For	For

Fund Name : VanEck Vectors Oil Refiners ETF

Caltex Australia Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
CTX	CINS Q19884107	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Greig Gailey	Mgmt	For	For	For
3	Re-elect Bruce Morgan	Mgmt	For	For	For
4	Elect Melinda Conrad	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	For	For
6	Equity Grant (MD/CEO Julian Segal)	Mgmt	For	For	For

Cosmo Energy Holdings Co., Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
5021	CINS J08906109	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Keizoh Morikawa	Mgmt	For	Against	Against
4	Elect Hiroshi Kiriya	Mgmt	For	For	For
5	Elect Yasushi Ohe	Mgmt	For	For	For
6	Elect Kenichi Taki	Mgmt	For	For	For
7	Elect Kohji Moriyama	Mgmt	For	For	For
8	Elect Musabbeh Al Kaabi	Mgmt	For	For	For
9	Elect Khalifa Al Suwaidi	Mgmt	For	Against	Against
10	Elect Sakae Kanno	Mgmt	For	For	For

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11	Elect Teruo Miyamoto	Mgmt	For	For	For
12	Elect Katsuhisa Ohtaki	Mgmt	For	For	For
13	Elect Sohichi Yukawa	Mgmt	For	For	For
14	Elect Takehiko Kitawaki	Mgmt	For	For	For

Formosa Petrochemicals Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
6505	CINS Y2608S103	06/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Taiwan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Galp Energia, SGPS, SA

Ticker	Security ID:	Meeting Date	Meeting Status		
GALP	CINS X3078L108	05/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		Portugal			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Ratification of Co-Option of Paula Fernanda Ramos Amorim	Mgmt	For	For	For
3	Ratification of Co-Option of Marta Amorim	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Shareholder Proposal Regarding Ratification of Board Acts	Mgmt	For	For	For
7	Shareholder Proposal Regarding Ratification of Supervisory Council Acts	Mgmt	For	For	For
8	Shareholder Proposal Regarding Ratification of Statutory Auditor Acts	Mgmt	For	For	For
9	Remuneration Policy	Mgmt	For	For	For
10	Authority for the Company and its Subsidiaries to Trade in Company Shares and Bonds	Mgmt	For	For	For
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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HollyFrontier Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
HFC	CUSIP 436106108	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Douglas Y. Bech	Mgmt	For	For	For
2	Elect George Damiris	Mgmt	For	For	For
3	Elect Leldon E. Echols	Mgmt	For	For	For
4	Elect R. Kevin Hardage	Mgmt	For	For	For
5	Elect Michael C. Jennings	Mgmt	For	For	For
6	Elect Robert J. Kostelnik	Mgmt	For	For	For
7	Elect James H. Lee	Mgmt	For	For	For
8	Elect Franklin Myers	Mgmt	For	For	For
9	Elect Michael E. Rose	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Idemitsu Kosan Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
5019	CINS J2388K103	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Takashi Tsukioka	Mgmt	For	For	For
3	Elect Daisuke Seki	Mgmt	For	For	For
4	Elect Takashi Matsushita	Mgmt	For	For	For
5	Elect Shunichi Kitoh	Mgmt	For	For	For
6	Elect Susumu Nibuya	Mgmt	For	For	For
7	Elect Kazuo Maruyama	Mgmt	For	For	For
8	Elect Toshiaki Sagishima	Mgmt	For	For	For
9	Elect Kiyoshi Homma	Mgmt	For	For	For
10	Elect Eri Yokota	Mgmt	For	For	For
11	Elect Ryohsuke Itoh	Mgmt	For	For	For
12	Elect Takeo Kikkawa	Mgmt	For	For	For
13	Elect Mackenzie D. Clugston	Mgmt	For	For	For
14	Elect Junko Kai as Alternate Statutory Auditor	Mgmt	For	For	For

JX Holdings Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
5020	CINS J29699105	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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2	Business Integration	Mgmt	For	For	For
3	Amendments to Articles in Conjunction with Business Integration	Mgmt	For	For	For
4	Elect Jun Mutoh	Mgmt	For	For	For
5	Elect Yasushi Onoda	Mgmt	For	For	For
6	Elect Takashi Hirose	Mgmt	For	For	For
7	Elect Yoshiiku Miyata	Mgmt	For	For	For
8	Elect Yohji Kuwano	Mgmt	For	For	For
9	Elect Nobuko Takahashi	Mgmt	For	For	For

JXTG Holdings, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
5020	CINS J29699105	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Yasushi Kimura	Mgmt	For	Against	Against
4	Elect Yukio Uchida	Mgmt	For	For	For
5	Elect Jun Mutoh	Mgmt	For	For	For
6	Elect Junichi Kawada	Mgmt	For	For	For
7	Elect Yasushi Onoda	Mgmt	For	For	For
8	Elect Hiroji Adachi	Mgmt	For	For	For
9	Elect Katsuyuki Ohta	Mgmt	For	For	For
10	Elect Tsutomu Sugimori	Mgmt	For	For	For
11	Elect Takashi Hirose	Mgmt	For	For	For
12	Elect Shunsaku Miyake	Mgmt	For	For	For
13	Elect Shigeru Ohi	Mgmt	For	For	For
14	Elect Satoshi Taguchi	Mgmt	For	For	For
15	Elect Hiroko Ohta	Mgmt	For	For	For
16	Elect Mutsutake Ohtsuka	Mgmt	For	For	For
17	Elect Seiichi Kondoh	Mgmt	For	For	For
18	Elect Yoshiiku Miyata	Mgmt	For	For	For
19	Elect Yuji Nakajima as Statutory Auditor	Mgmt	For	Against	Against
20	Performance-Linked Equity Compensation Plan	Mgmt	For	For	For

Marathon Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MPC	CUSIP 56585A102	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steven A. Davis	Mgmt	For	For	For
2	Elect Gary R. Heminger	Mgmt	For	For	For
3	Elect J. Michael Stice	Mgmt	For	For	For
4	Elect John P. Surma	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Approval of 162(m)-related	Mgmt	For	For	For

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	provisions of the Amended and Restated 2012 Incentive Compensation Plan				
8	Shareholder Proposal Regarding Indigenous Rights Risk	ShrHoldr	Against	For	Against
9	Shareholder Proposal Regarding Climate Change Report	ShrHoldr	Against	For	Against
10	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Against	For	Against

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Neste Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NESTE	CINS X5688A109	04/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	Finland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Board and CEO Acts	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Board Size	Mgmt	For	For	For
14	Election of Directors	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PZOL	CINS M7846U102	07/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Efraim Sadka	Mgmt	For	For	For

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Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
PZOL	CINS M7846U102	08/25/2016	Voted
Meeting Type	Country of Trade		

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Ordinary Issue No.	Description	Israel Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Zadik Bino	Mgmt	For	For	For
3	Elect Menachem Brenner	Mgmt	For	For	For
4	Elect Gil Bino	Mgmt	For	For	For
5	Elect Hadar Bino Shmueli	Mgmt	For	For	For
6	Elect Yitzhak Ezer	Mgmt	For	For	For
7	Elect Dalia Lev	Mgmt	For	For	For
8	Elect Gabriel Rotter	Mgmt	For	For	For
9	Elect Arik Schor	Mgmt	For	For	For
10	Elect Revital Aviram	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Discussion of Auditor Fees for 2015	Mgmt	For	For	For
13	Discussion of Annual Report for 2015	Mgmt	For	For	For
14	Compensation Policy	Mgmt	For	For	For

### Paz Oil Company Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
PZOL	CINS M7846U102	11/27/2016	Voted		
Meeting Type	Country of Trade				
Special	Israel				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles	Mgmt	For	For	For
3	Employment Terms of Chairman	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### PBF Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PBF	CUSIP 69318G106	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas J. Nibley	Mgmt	For	For	For
2	Elect Spencer Abraham	Mgmt	For	For	For
3	Elect Wayne A. Budd	Mgmt	For	For	For
4	Elect S. Eugene Edwards	Mgmt	For	For	For
5	Elect William E. Hantke	Mgmt	For	For	For
6	Elect Dennis M. Houston	Mgmt	For	For	For
7	Elect Edward F. Kosnik	Mgmt	For	For	For
8	Elect Robert J. Lavinia	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	2017 Equity Incentive Plan	Mgmt	For	For	For

### Phillips 66

Ticker	Security ID:	Meeting Date	Meeting Status
PSX	CUSIP 718546104	05/03/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William R. Loomis, Jr.	Mgmt	For	For	For
2	Elect Glenn F. Tilton	Mgmt	For	For	For
3	Elect Marna C. Whittington	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Polski Koncern Naftowy ORLEN S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PKN	CINS X6922W204	01/24/2017	Voted
Meeting Type	Country of Trade		
Special	Poland		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHolder	For	Abstain	Against
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHolder	For	Abstain	Against
10	Changes to Supervisory Board Composition	ShrHolder	N/A	Abstain	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Polski Koncern Naftowy ORLEN S.A

Ticker	Security ID:	Meeting Date	Meeting Status
PKN	CINS X6922W204	06/30/2017	Voted
Meeting Type	Country of Trade		
Annual	Poland		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For

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7	Election of Scrutiny Commission	Mgmt	For	For	For
8	Presentation of Management Board Report	Mgmt	For	For	For
9	Presentation of Financial Statements and Allocation of Profit	Mgmt	For	For	For
10	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
11	Presentation of Supervisory Board Report	Mgmt	For	For	For
12	Management Board Report	Mgmt	For	For	For
13	Financial Statements	Mgmt	For	For	For
14	Financial Statements (Consolidated)	Mgmt	For	For	For
15	Allocation of Profits/Dividends	Mgmt	For	For	For
16	Ratification of Management Board Acts	Mgmt	For	For	For
17	Ratification of Supervisory Board Acts	Mgmt	For	For	For
18	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	For	N/A
19	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
20	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	Abstain	N/A
21	Shareholder Proposal Regarding Disposal of Fixed Assets	ShrHoldr	N/A	Abstain	N/A
22	Shareholder Proposal Regarding Service Agreements	ShrHoldr	N/A	Abstain	N/A
23	Shareholder Proposal Regarding Donations, Debt Release Agreements and Similar	ShrHoldr	N/A	Abstain	N/A
24	Shareholder Proposal Regarding Sale of Fixed Assets	ShrHoldr	N/A	Abstain	N/A
25	Shareholder Proposal Regarding Report on Expenses	ShrHoldr	N/A	Abstain	N/A
26	Shareholder Proposal Regarding Requirements for	ShrHoldr	N/A	Abstain	N/A

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	Management Board Candidates					
27	Shareholder Proposal Regarding Obligations Arising from Act on Asset Management	ShrHoldr	N/A	Abstain	N/A	
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
S-Oil Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
010950	CINS Y80710109	03/30/2017		Voted		
Meeting Type		Country of Trade				
Annual		Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against	
2	Election of Directors (Slate)	Mgmt	For	Against	Against	
3	Election of Audit Committee Members (Slate)	Mgmt	For	Against	Against	
4	Directors' Fees	Mgmt	For	Against	Against	
<hr/>						
S-Oil Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
010950	CINS Y80710109	09/05/2016		Voted		
Meeting Type		Country of Trade				
Special		Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Othman Al-Ghamdi	Mgmt	For	Against	Against	
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Saras S.p.A.						
Ticker	Security ID:	Meeting Date		Meeting Status		
SRS	CINS T83058106	04/20/2017		Take No Action		
Meeting Type		Country of Trade				
Mix		Italy				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A	
3	Election of Director or Reduction in Board Size	Mgmt	For	TNA	N/A	
4	Remuneration Policy	Mgmt	For	TNA	N/A	
5	Authority to Repurchase and Reissue Shares	Mgmt	For	TNA	N/A	
6	Amendments to Article 18	Mgmt	For	TNA	N/A	
7	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
8	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
<hr/>						
Showa Shell Sekiyu Kabushiki Kaisha						
Ticker	Security ID:	Meeting Date		Meeting Status		
5002	CINS J75390104	03/30/2017		Voted		

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Meeting Type		Country of Trade			
Annual		Japan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Tsuyoshi Kameoka	Mgmt	For	For	For
4	Elect Tomonori Okada	Mgmt	For	For	For
5	Elect Minoru Takeda	Mgmt	For	For	For
6	Elect Takashi Nakamura	Mgmt	For	For	For
7	Elect Norio Ohtsuka	Mgmt	For	For	For
8	Elect Yuko Yasuda	Mgmt	For	For	For
9	Elect Nabil A. Al-Nuaim	Mgmt	For	Against	Against
10	Elect Anwar Hejazi	Mgmt	For	Against	Against
11	Elect Tsutomu Yoshioka as Statutory Auditor	Mgmt	For	Against	Against
12	Elect Kazuo Mura as Alternate Statutory Auditor	Mgmt	For	For	For
13	Bonus	Mgmt	For	Against	Against

Sinopec Shanghai Petrochemical Company Limited

Ticker	Security ID:	Meeting Date		Meeting Status	
0338	CINS Y80373106	06/15/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2017 Financial Budget	Mgmt	For	For	For
8	Appointment of PRC and International Auditors and Authority to Set Fees	Mgmt	For	For	For
9	Waiver of Pre-Emptive Rights	Mgmt	For	For	For
10	Independent Supervisors' Fees	Mgmt	For	Against	Against
11	Elect ZHAI Yalin	Mgmt	For	For	For
12	Elect FAN Qingyong	Mgmt	For	For	For
13	Elect ZHENG Yunrui	Mgmt	For	For	For
14	Elect CHOI Ting Ki	Mgmt	For	For	For
15	Amendment to the A Shares Option Incentive Scheme	Mgmt	For	For	For
16	Amendments to Articles and Rules of Procedure for Board Meetings	Mgmt	For	For	For
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
18	Elect WANG Zhiqing	Mgmt	For	For	For

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19	Elect WU Haijun	Mgmt	For	For	For
20	Elect GAO Jinping	Mgmt	For	For	For
21	Elect JIN Qiang	Mgmt	For	For	For
22	Elect GUO Xiaojun	Mgmt	For	For	For
23	Elect ZHOU Meiyun	Mgmt	For	For	For
24	Elect LEI Dianwu	Mgmt	For	Against	Against
25	Elect MO Zhenglin	Mgmt	For	Against	Against
26	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
27	Elect ZHANG Yimin	Mgmt	For	Against	Against
28	Elect LIU Yunhong	Mgmt	For	For	For
29	Elect DU Weifeng	Mgmt	For	For	For
30	Elect PAN Fei	Mgmt	For	For	For
31	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Sinopec Shanghai Petrochemical Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0338	CINS Y80373106	10/18/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Mutual Product Supply and Sale Services Framework Agreement (2017-2019)	Mgmt	For	For	For
4	Comprehensive Services Framework Agreement (2017-2019)	Mgmt	For	For	For

SK Innovation Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
096770	CINS Y8063L103	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Elect KIM Joon	Mgmt	For	For	For
4	Elect YU Jeong Jun	Mgmt	For	For	For
5	Elect KIM Jong Hoon	Mgmt	For	For	For
6	Election of Audit Committee Member: KIM Jong Hoon	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For

Tesoro Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TSO	CUSIP 881609101	03/24/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Stock Issuance for Merger	Mgmt	For	For	For
2	Increase of Authorized Common	Mgmt	For	For	For

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3	Stock Right to Adjourn Meeting	Mgmt	For	For	For
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Tesoro Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TSO	CUSIP 881609101	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rodney F. Chase	Mgmt	For	For	For
2	Elect Edward G. Galante	Mgmt	For	For	For
3	Elect Gregory J. Goff	Mgmt	For	For	For
4	Elect David Lilley	Mgmt	For	For	For
5	Elect Mary Pat McCarthy	Mgmt	For	For	For
6	Elect Jim W. Nokes	Mgmt	For	For	For
7	Elect William H. Schumann, III	Mgmt	For	For	For
8	Elect Susan Tomasky	Mgmt	For	For	For
9	Elect Michael E. Wiley	Mgmt	For	For	For
10	Elect Patrick Y. Yang	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Tonengeneral Sekiyu KK

Ticker	Security ID:	Meeting Date	Meeting Status		
5012	CINS J8657U110	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Jun Mutoh	Mgmt	For	Against	Against
4	Elect Takashi Hirose	Mgmt	For	For	For
5	Elect Tomohide Miyata	Mgmt	For	For	For
6	Elect Yasushi Onoda	Mgmt	For	For	For
7	Elect Yuji Saita	Mgmt	For	For	For
8	Elect Yoshikazu Yokoi	Mgmt	For	For	For
9	Elect Hiroyuki Yokota	Mgmt	For	For	For
10	Elect Yasuhiko Oshida	Mgmt	For	For	For
11	Elect Makoto Matsuo	Mgmt	For	For	For
12	Elect Yoshiiku Miyata	Mgmt	For	For	For

Tonengeneral Sekiyu KK

Ticker	Security ID:	Meeting Date	Meeting Status		
5012	CINS J8657U110	12/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Share Exchange Agreement with JX	Mgmt	For	For	For

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	Holdings, Inc.					
3	Absorption-Type Merger Agreement with JX Nippon Oil & Energy Corporation	Mgmt	For	For	For	
4	Absorption-Type Merger Agreement with EMG Marketing Godo Kaisha	Mgmt	For	For	For	

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Turkiye Petrol Rafinerileri A.S

Ticker	Security ID:	Meeting Date	Meeting Status		
TUPRS	CINS M8966X108	03/29/2017	Take No Action		
Meeting Type		Country of Trade			
Ordinary		Turkey			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Opening; Election of Presiding Chair	Mgmt	For	TNA	N/A
5	Directors' Report	Mgmt	For	TNA	N/A
6	Presentation of Auditors' Report	Mgmt	For	TNA	N/A
7	Financial Statements	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
10	Amendments to Article 6 Regarding Authorised Capital	Mgmt	For	TNA	N/A
11	Election of Directors	Mgmt	For	TNA	N/A
12	Compensation Policy	Mgmt	For	TNA	N/A
13	Directors' Fees	Mgmt	For	TNA	N/A
14	Appointment of Auditor	Mgmt	For	TNA	N/A
15	Charitable Donations	Mgmt	For	TNA	N/A
16	Presentation of Report on Guarantees	Mgmt	For	TNA	N/A
17	Authority to Carry out Competing Activities or Related Party Transactions	Mgmt	For	TNA	N/A
18	Wishes	Mgmt	For	TNA	N/A

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Valero Energy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
VLO	CUSIP 91913Y100	05/03/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect H. Paulett Eberhart	Mgmt	For	For	For
2	Elect Joseph W. Gorder	Mgmt	For	For	For

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3	Elect Kimberly S. Greene	Mgmt	For	For	For
4	Elect Deborah P. Majoras	Mgmt	For	For	For
5	Elect Donald L. Nickles	Mgmt	For	For	For
6	Elect Philip J. Pfeiffer	Mgmt	For	For	For
7	Elect Robert A. Profusek	Mgmt	For	For	For
8	Elect Susan Kaufman Purcell	Mgmt	For	For	For
9	Elect Stephen M. Waters	Mgmt	For	For	For
10	Elect Randall J. Weisenburger	Mgmt	For	For	For
11	Elect Rayford Wilkins Jr.	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Western Refining Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
WNR	CUSIP 959319104	03/24/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
1	Merger	Mgmt	For
2	Right to Adjourn Meeting	Mgmt	For
3	Advisory Vote on Golden Parachutes	Mgmt	For
4	Increase of Authorized Tesoro Common Stock	Mgmt	For

Fund Name : VanEck Vectors Oil Services ETF

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Baker Hughes Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status
BHI	CUSIP 057224107	04/27/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
1	Elect Gregory D. Brenneman	Mgmt	For
2	Elect Clarence P. Cazalot, Jr.	Mgmt	For
3	Elect Martin S. Craighead	Mgmt	For
4	Elect William H. Easter III	Mgmt	For
5	Elect Lynn L. Elsenhans	Mgmt	For
6	Elect Anthony G. Fernandes	Mgmt	For



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7	Elect Claire W. Gargalli	Mgmt	For	For	For
8	Elect Pierre J. Jungels	Mgmt	For	For	For
9	Elect James A. Lash	Mgmt	For	For	For
10	Elect J. Larry Nichols	Mgmt	For	For	For
11	Elect James W. Stewart	Mgmt	For	For	For
12	Elect Charles L. Watson	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

Baker Hughes Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
BHI	CUSIP 057224107	06/30/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For
3	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
4	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
5	Approval of Performance Terms under the STI Plan and LTI Plan	Mgmt	For	For	For

Carbo Ceramics Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CRR	CUSIP 140781105	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Sigmund L. Cornelius	Mgmt	For	For	For
1.2	Elect Chad C. Deaton	Mgmt	For	For	For
1.3	Elect Gary A. Kolstad	Mgmt	For	For	For
1.4	Elect Henry E. Lentz	Mgmt	For	For	For
1.5	Elect Randy L. Limbacher	Mgmt	For	For	For
1.6	Elect William C. Morris	Mgmt	For	For	For
1.7	Elect Robert S. Rubin	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the Omnibus Incentive Plan	Mgmt	For	For	For
4	Issuance of Common Stock	Mgmt	For	For	For

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5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Core Laboratories N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
CLB	CUSIP N22717107	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David M. Demshur	Mgmt	For	For	For
2	Elect Jan W. Sodderland	Mgmt	For	For	For
3	Elimination of Supermajority Requirement	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Cancellation of Repurchased Shares	Mgmt	For	For	For
8	Extension and Renewal of Exisiting Authority to Repurchase Shares	Mgmt	For	For	For
9	Extension of Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
10	Extension of Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Diamond Offshore Drilling, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DO	CUSIP 25271C102	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James S. Tisch	Mgmt	For	For	For
2	Elect Marc Edwards	Mgmt	For	For	For
3	Elect John R. Bolton	Mgmt	For	For	For
4	Elect Charles Fabrikant	Mgmt	For	Against	Against
5	Elect Paul G. Gaffney II	Mgmt	For	For	For
6	Elect Edward Grebow	Mgmt	For	For	For
7	Elect Herbert C. Hofmann	Mgmt	For	For	For
8	Elect Kenneth I. Siegel	Mgmt	For	For	For
9	Elect Clifford M. Sobel	Mgmt	For	For	For
10	Elect Andrew H. Tisch	Mgmt	For	For	For
11	Elect Raymond S. Troubh	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

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13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For	Against

Dril-Quip, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DRQ	CUSIP 262037104	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Blake T. DeBerry	Mgmt	For	For	For
2	Elect John V. Lovoi	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For	For	For

Ensco plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ESV	CUSIP G3157S106	05/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect J. Roderick Clark	Mgmt	For	For	For
2	Elect Roxanne J. Decyk	Mgmt	For	For	For
3	Elect Mary Francis	Mgmt	For	For	For
4	Elect C. Christopher Gaut	Mgmt	For	Against	Against
5	Elect Gerald W. Haddock	Mgmt	For	For	For
6	Elect Francis S. Kalman	Mgmt	For	For	For
7	Elect Keith O. Rattie	Mgmt	For	For	For
8	Elect Paul E. Rowsey III	Mgmt	For	For	For
9	Elect Carl G. Trowell	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Appointment of U.K. Auditor	Mgmt	For	For	For
12	Authority to Set U.K. Auditor's Fees	Mgmt	For	For	For
13	Amendment to the 2012 Long-Term Incentive Plan	Mgmt	For	For	For
14	Remuneration Policy (UK)	Mgmt	For	For	For

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15	Binding) Remuneration Report (UK -- Advisory)	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Accounts and Reports	Mgmt	For	For	For
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights for General Purposes	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights for Specified Purposes	Mgmt	For	For	For

FMC Technologies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FTI	CUSIP 30249U101	12/05/2016	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Merger/Acquisition	Mgmt	For
2	Right to Adjourn Meeting	Mgmt	For
3	Advisory Vote on Golden Parachutes	Mgmt	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year
			1 Year
			For

Halliburton Company

Ticker	Security ID:	Meeting Date	Meeting Status
HAL	CUSIP 406216101	05/17/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Elect Abdulaziz F. Alkhayyal	Mgmt	For
2	Elect William E. Albrecht	Mgmt	For
3	Elect Alan M. Bennett	Mgmt	For
4	Elect James R. Boyd	Mgmt	For
5	Elect Milton Carroll	Mgmt	For
6	Elect Nance K. Dicciani	Mgmt	For
7	Elect Murry S. Gerber	Mgmt	For
8	Elect Jose Carlos Grubisich	Mgmt	For
9	Elect David J. Lesar	Mgmt	For
10	Elect Robert A. Malone	Mgmt	For
11	Elect J. Landis Martin	Mgmt	For
12	Elect Jeffrey A. Miller	Mgmt	For
			For
			For
			Against
			Against

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13	Elect Debra L. Reed	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Amendment to the Stock and Incentive Plan	Mgmt	For	For	For

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Helmerich & Payne, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HP	CUSIP 423452101	03/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randy A. Foutch	Mgmt	For	Against	Against
2	Elect Hans Helmerich	Mgmt	For	For	For
3	Elect John W. Lindsay	Mgmt	For	For	For
4	Elect Paula Marshall	Mgmt	For	Against	Against
5	Elect Thomas A. Petrie	Mgmt	For	For	For
6	Elect Donald F. Robillard, Jr.	Mgmt	For	For	For
7	Elect Edward B. Rust, Jr.	Mgmt	For	For	For
8	Elect John D. Zeglis	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	For	1 Year	N/A

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McDermott International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MDR	CUSIP 580037109	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John F. Bookout III	Mgmt	For	For	For
1.2	Elect David Dickson	Mgmt	For	For	For
1.3	Elect Stephen G. Hanks	Mgmt	For	For	For
1.4	Elect Erich Kaeser	Mgmt	For	For	For
1.5	Elect Gary P. Luquette	Mgmt	For	For	For
1.6	Elect William H. Schumann, III	Mgmt	For	For	For
1.7	Elect Mary Shafer-Malicki	Mgmt	For	For	For
1.8	Elect David A. Trice	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For

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Compensation					
4	Ratification of Auditor	Mgmt		For	For
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Nabors Industries Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NBR	CUSIP G6359F103	06/06/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Tanya S. Beder	Mgmt	For	For	For
1.2	Elect James R. Crane	Mgmt	For	Withhold	Against
1.3	Elect John P. Kotts	Mgmt	For	Withhold	Against
1.4	Elect Michael C. Linn	Mgmt	For	Withhold	Against
1.5	Elect Anthony G. Petrello	Mgmt	For	For	For
1.6	Elect Dag Skattum	Mgmt	For	For	For
1.7	Elect John Yearwood	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against
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National Oilwell Varco, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
NOV	CUSIP 637071101	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Clay C. Williams	Mgmt	For	For	For
2	Elect Greg L. Armstrong	Mgmt	For	For	For
3	Elect Marcela E. Donadio	Mgmt	For	For	For
4	Elect Ben A. Guill	Mgmt	For	For	For
5	Elect James T. Hackett	Mgmt	For	For	For
6	Elect David D. Harrison	Mgmt	For	For	For
7	Elect Eric L. Mattson	Mgmt	For	For	For
8	Elect William R. Thomas	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Shareholder Proposal Regarding Proxy Access	ShrHoldr	For	For	For
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Noble Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
NE	CUSIP G65431101	04/28/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Elect Ashley Almanza	Mgmt	For	For	For
2	Elect Michael A. Cawley	Mgmt	For	For	For
3	Elect Julie H. Edwards	Mgmt	For	For	For
4	Elect Gordon T. Hall	Mgmt	For	For	For
5	Elect Scott D. Josey	Mgmt	For	For	For
6	Elect Jon A. Marshall	Mgmt	For	For	For
7	Elect Mary P. Ricciardello	Mgmt	For	For	For
8	Elect David W. Williams	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Appointment of UK Statutory Auditor	Mgmt	For	For	For
11	Authority to Set UK Statutory Auditor's Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of Directors' Remuneration Report	Mgmt	For	For	For
15	Approval of Directors' Remuneration Policy	Mgmt	For	For	For
16	Amendment to the 2015 Omnibus Incentive Plan	Mgmt	For	For	For
17	Approval of the 2017 Director Omnibus Plan	Mgmt	For	For	For

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Oceaneering International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OII	CUSIP 675232102	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William B. Berry	Mgmt	For	For	For
1.2	Elect T. Jay Collins	Mgmt	For	For	For
1.3	Elect Jon Erik Reinhardsen	Mgmt	For	Withhold	Against
2	Amendment to the 2010 Incentive Plan	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Ratification of Auditor	Mgmt	For	For	For

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Oil States International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
OIS	CUSIP 678026105	05/09/2017	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	Description	United States Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Lawrence R. Dickerson	Mgmt	For	For	For
1.2	Elect Christopher T. Seaver	Mgmt	For	For	For
1.3	Elect Cindy B. Taylor	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Patterson-UTI Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PTEN	CUSIP 703481101	04/20/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

Patterson-UTI Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PTEN	CUSIP 703481101	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark S. Siegel	Mgmt	For	For	For
1.2	Elect Charles O. Buckner	Mgmt	For	For	For
1.3	Elect Michael W. Conlon	Mgmt	For	For	For
1.4	Elect William A Hendricks, Jr.	Mgmt	For	For	For
1.5	Elect Curtis W. Huff	Mgmt	For	For	For
1.6	Elect Terry H. Hunt	Mgmt	For	For	For
1.7	Elect Tiffany J. Thom	Mgmt	For	For	For
2	Amendment to the 2014 Long-Term Incentive Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Rowan Companies plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RDC	CUSIP G7665A101	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt



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1	Elect William E. Albrecht	Mgmt	For	For	For
2	Elect Thomas P. Burke	Mgmt	For	For	For
3	Elect Thomas R. Hix	Mgmt	For	For	For
4	Elect Jack B. Moore	Mgmt	For	For	For
5	Elect Thierry Pilenko	Mgmt	For	For	For
6	Elect Suzanne P. Nimocks	Mgmt	For	For	For
7	Elect John J. Quicke	Mgmt	For	For	For
8	Elect Tore I. Sandvold	Mgmt	For	For	For
9	Elect Charles L. Szews	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Remuneration Policy (UK - Binding)	Mgmt	For	For	For
13	Remuneration Report (UK - Advisory)	Mgmt	For	For	For
14	Accounts and Reports	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Ratification of Statutory Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Amendment to the Incentive Plan	Mgmt	For	For	For
19	Authority to Repurchase Shares	Mgmt	For	For	For
20	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
22	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Schlumberger N.V. (Schlumberger Limited)

Ticker	Security ID:	Meeting Date	Meeting Status		
SLB	CUSIP 806857108	04/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter L.S. Currie	Mgmt	For	For	For
2	Elect Miguel M. Galuccio	Mgmt	For	For	For
3	Elect V. Maureen Kempston Darkes	Mgmt	For	For	For
4	Elect Paal Kibsgaard	Mgmt	For	For	For

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5	Elect Nikolay Kudryavtsev	Mgmt	For	For	For
6	Elect Helge Lund	Mgmt	For	For	For
7	Elect Michael E. Marks	Mgmt	For	For	For
8	Elect Indra K. Nooyi	Mgmt	For	For	For
9	Elect Lubna S. Olayan	Mgmt	For	For	For
10	Elect Leo Rafael Reif	Mgmt	For	For	For
11	Elect Tore I. Sandvold	Mgmt	For	For	For
12	Elect Henri Seydoux	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of Financial Statements/Dividends	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Approval of the 2017 Omnibus Stock Incentive Plan	Mgmt	For	For	For
18	Amendment to the Discounted Stock Purchase Plan	Mgmt	For	For	For

Seadrill Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
SDRL	CUSIP G7945E105	09/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John Fredriksen	Mgmt	For	For	For
2	Elect Kate Blankenship	Mgmt	For	Against	Against
3	Elect Paul M. Leand Jr.	Mgmt	For	For	For
4	Elect Orjan Svanevik	Mgmt	For	Against	Against
5	Elect Hans Petter Aas	Mgmt	For	For	For
6	Elect Per Wullf	Mgmt	For	Against	Against
7	Elect Georgina Sousa	Mgmt	For	For	For
8	Amendments to Articles Regarding Quorum	Mgmt	For	Against	Against
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For

Superior Energy Services, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SPN	CUSIP 868157108	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Harold J. Bouillion	Mgmt	For	For	For
1.2	Elect David D. Dunlap	Mgmt	For	For	For
1.3	Elect James M. Funk	Mgmt	For	For	For
1.4	Elect Terence E. Hall	Mgmt	For	For	For
1.5	Elect Peter D. Kinnear	Mgmt	For	For	For

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1.6	Elect Janiece M. Longoria	Mgmt	For	For	For
1.7	Elect Michael M. McShane	Mgmt	For	For	For
1.8	Elect W. Matt Ralls	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Tenaris S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
TEN	CUSIP 88031M109	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Presentation of Accounts and Reports	Mgmt	For	For	For
2	Financial Statements (Consolidated)	Mgmt	For	For	For
3	Financial Statements (Separate)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Election of Directors; Board Size	Mgmt	For	Against	Against
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authorisation of Electronic Communication	Mgmt	For	For	For

Tidewater Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TDW	CUSIP 886423102	07/21/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect M. Jay Allison	Mgmt	For	For	For
1.2	Elect James C. Day	Mgmt	For	For	For
1.3	Elect Richard T. du Moulin	Mgmt	For	For	For
1.4	Elect Morris E. Foster	Mgmt	For	For	For
1.5	Elect J. Wayne Leonard	Mgmt	For	For	For
1.6	Elect Richard D. Paterson	Mgmt	For	For	For
1.7	Elect Richard A. Pattarozzi	Mgmt	For	For	For
1.8	Elect Jeffrey M. Platt	Mgmt	For	For	For
1.9	Elect Robert L. Potter	Mgmt	For	For	For
1.10	Elect Cindy B. Taylor	Mgmt	For	For	For

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1.11 2	Elect Jack E. Thompson Advisory Vote on Executive Compensation	Mgmt Mgmt	For For	For For	For For
3	Ratification of Auditor	Mgmt	For	For	For

Transocean Ltd.

TICKER RIG	Security ID: CUSIP H8817H100	Meeting Date 05/11/2017	Meeting Status Voted		
Meeting Type Annual	Country of Trade United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Management Acts	Mgmt	For	For	For
3	Allocation of Losses	Mgmt	For	For	For
4	Elect Glyn A. Barker	Mgmt	For	For	For
5	Elect Vanessa C.L. Chang	Mgmt	For	For	For
6	Elect Frederico Fleury Curado	Mgmt	For	For	For
7	Elect Chadwick C. Deaton	Mgmt	For	For	For
8	Elect Vincent J. Intrieri	Mgmt	For	For	For
9	Elect Martin B. McNamara	Mgmt	For	For	For
10	Elect Samuel J. Merksamer	Mgmt	For	For	For
11	Elect Merrill A. Miller, Jr.	Mgmt	For	Against	Against
12	Elect Edward R. Muller	Mgmt	For	For	For
13	Elect Tan Ek Kia	Mgmt	For	For	For
14	Elect Jeremy D. Thigpen	Mgmt	For	For	For
15	Election of Chairman of the Board	Mgmt	For	Against	Against
16	Elect Frederico Fleury Curado	Mgmt	For	For	For
17	Elect Vincent J. Intrieri	Mgmt	For	For	For
18	Elect Martin B. McNamara	Mgmt	For	For	For
19	Elect Tan Ek Kia	Mgmt	For	For	For
20	Reelection of Independent Proxy	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Advisory Vote on Executive Compensation	Mgmt	For	For	For
23	Ratification of Maximum Aggregate Director Compensation	Mgmt	For	For	For
24	Ratification of Maximum Aggregate Executive Compensation	Mgmt	For	For	For

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25 Frequency of Advisory Mgmt 1 Year 1 Year For  
Vote on Executive  
Compensation

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U.S. Silica Holdings Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
SLCA	CUSIP 90346E103	05/04/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1.1	Elect Peter Bernard	Mgmt	For	For	For
1.2	Elect William J. Kacal	Mgmt	For	For	For
1.3	Elect Charles W. Shaver	Mgmt	For	For	For
1.4	Elect Bryan A. Shinn	Mgmt	For	For	For
1.5	Elect J. Michael Stice	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
5	Amendment to Certificate to Remove Certain Provisions Relating to Ownership of Stock by Former Controlling Shareholder	Mgmt	For	For	For

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Weatherford International plc

Ticker	Security ID:	Meeting Date	Meeting Status
WFT	CUSIP G48833100	06/15/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt

1	Elect Mohamed A. Awad	Mgmt	For	For	For
2	Elect David J. Butters	Mgmt	For	Against	Against
3	Elect John D. Gass	Mgmt	For	For	For
4	Elect Emyr Jones Parry	Mgmt	For	For	For
5	Elect Francis S. Kalman	Mgmt	For	For	For
6	Elect William E. Macaulay	Mgmt	For	For	For
7	Elect Mark A. McCollum	Mgmt	For	For	For
8	Elect Robert K. Moses, Jr.	Mgmt	For	For	For
9	Elect Guillermo Ortiz Martinez	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Amendment to the 2010 Omnibus Incentive Plan	Mgmt	For	For	For

Fund Name : VanEck Vectors Pharmaceutical ETF

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Abbott Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
ABT	CUSIP 002824100	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert J. Alpern	Mgmt	For	For	For
1.2	Elect Roxanne S. Austin	Mgmt	For	For	For
1.3	Elect Sally E. Blount	Mgmt	For	For	For
1.4	Elect Edward M. Liddy	Mgmt	For	For	For
1.5	Elect Nancy McKinstry	Mgmt	For	For	For
1.6	Elect Phebe N. Novakovic	Mgmt	For	For	For
1.7	Elect William A. Osborn	Mgmt	For	For	For
1.8	Elect Samuel C. Scott III	Mgmt	For	For	For
1.9	Elect Daniel J. Starks	Mgmt	For	For	For
1.10	Elect Glenn F. Tilton	Mgmt	For	For	For
1.11	Elect Miles D. White	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Approval of 2017 Incentive Stock Program	Mgmt	For	For	For
6	Employee Stock Purchase Plan for Non-U.S. Employees	Mgmt	For	For	For
7	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

AbbVie Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ABBV	CUSIP 00287Y109	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert J. Alpern	Mgmt	For	For	For
1.2	Elect Edward M. Liddy	Mgmt	For	For	For
1.3	Elect Melody B Meyer	Mgmt	For	For	For
1.4	Elect Frederick H. Waddell	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Repeal of Classified Board	Mgmt	For	For	For
5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
6	Shareholder Proposal Regarding Independent	ShrHoldr	Against	For	Against

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Chair

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Akorn, Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/27/2017		Voted	
AKRX	CUSIP 009728106				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John Kapoor	Mgmt	For	For	For
1.2	Elect Kenneth Abramowitz	Mgmt	For	Withhold	Against
1.3	Elect Adrienne Graves	Mgmt	For	For	For
1.4	Elect Ronald Johnson	Mgmt	For	Withhold	Against
1.5	Elect Steven Meyer	Mgmt	For	Withhold	Against
1.6	Elect Terry Allison Rappuhn	Mgmt	For	For	For
1.7	Elect Brian Tambi	Mgmt	For	Withhold	Against
1.8	Elect Alan Weinstein	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Approval of the 2017 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Akorn, Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	07/01/2016		Voted	
AKRX	CUSIP 009728106				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John N. Kapoor	Mgmt	For	For	For
1.2	Elect Kenneth S. Abramowitz	Mgmt	For	Withhold	Against
1.3	Elect Adrienne L. Graves	Mgmt	For	For	For
1.4	Elect Ronald M. Johnson	Mgmt	For	Withhold	Against
1.5	Elect Steven J. Meyer	Mgmt	For	Withhold	Against
1.6	Elect Terry Allison Rappuhn	Mgmt	For	For	For
1.7	Elect Brian Tambi	Mgmt	For	For	For
1.8	Elect Alan Weinstein	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	Abstain	Against
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Akorn, Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	12/16/2016		Voted	
AKRX	CUSIP 009728106				
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	2016 Employee Stock	Mgmt	For	For	For

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	Purchase Plan				
2	Amendment to the 2014 Stock Option Plan	Mgmt	For	For	For

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AmerisourceBergen Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ABC	CUSIP 03073E105	03/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ornella Barra	Mgmt	For	For	For
2	Elect Steven H. Collis	Mgmt	For	For	For
3	Elect Douglas R. Conant	Mgmt	For	For	For
4	Elect D. Mark Durcan	Mgmt	For	For	For
5	Elect Richard W. Gochnauer	Mgmt	For	For	For
6	Elect Lon R. Greenberg	Mgmt	For	For	For
7	Elect Jane E. Henney	Mgmt	For	For	For
8	Elect Kathleen Hyle	Mgmt	For	For	For
9	Elect Michael J. Long	Mgmt	For	For	For
10	Elect Henry W. McGee	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendments to Certificate of Incorporation To Permit Removal of Directors Without Cause	Mgmt	For	For	For

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Astrazeneca plc

Ticker	Security ID:	Meeting Date	Meeting Status		
AZN	CUSIP 046353108	04/27/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Elect Leif Johansson	Mgmt	For	For	For
6	Elect Pascal Soriot	Mgmt	For	For	For
7	Elect Marc Dunoyer	Mgmt	For	For	For
8	Elect Genevieve B. Berger	Mgmt	For	For	For
9	Elect Philip Broadley	Mgmt	For	For	For
10	Elect Bruce Burlington	Mgmt	For	For	For
11	Elect Graham Chipchase	Mgmt	For	For	For
12	Elect Rudy H.P. Markham	Mgmt	For	For	For
13	Elect Shriti Vadera	Mgmt	For	For	For



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14	Elect Marcus Wallenberg	Mgmt	For	Against	Against
15	Remuneration Report (Advisory)	Mgmt	For	For	For
16	Remuneration Policy (Binding)	Mgmt	For	For	For
17	Authorisation of Political Donations	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Repurchase Shares	Mgmt	For	For	For
21	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Bristol-Myers Squibb Company

Ticker	Security ID:	Meeting Date	Meeting Status		
BMJ	CUSIP 110122108	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter J. Arduini	Mgmt	For	For	For
2	Elect Robert J. Bertolini	Mgmt	For	For	For
3	Elect Giovanni Caforio	Mgmt	For	For	For
4	Elect Matthew W. Emmens	Mgmt	For	For	For
5	Elect Laurie H. Glimcher	Mgmt	For	For	For
6	Elect Michael Grobstein	Mgmt	For	For	For
7	Elect Alan J. Lacy	Mgmt	For	For	For
8	Elect Dinesh Paliwal	Mgmt	For	For	For
9	Elect Theodore R. Samuels	Mgmt	For	For	For
10	Elect Gerald Storch	Mgmt	For	Against	Against
11	Elect Vicki L. Sato	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendment to the 2012 Stock Award and Incentive Plan for the Purposes of Section 162(m)	Mgmt	For	For	For
15	Amendment to the 2012 Stock Award and Incentive Plan	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Right to	ShrHolder	Against	For	Against

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Call a Special Meeting

Eli Lilly and Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
LLY	CUSIP 532457108	05/01/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Michael L. Eskew	Mgmt	For	For	For	
2	Elect William G. Kaelin, Jr.	Mgmt	For	For	For	
3	Elect John C. Lechleiter	Mgmt	For	For	For	
4	Elect David A. Ricks	Mgmt	For	For	For	
5	Elect Marschall S. Runge	Mgmt	For	For	For	
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
8	Ratification of Auditor	Mgmt	For	For	For	
9	Amendment to the Directors' Deferral Plan	Mgmt	For	For	For	
10	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against	

Endo International plc						
Ticker	Security ID:	Meeting Date		Meeting Status		
ENDP	CUSIP G30401106	06/08/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Roger H. Kimmel	Mgmt	For	For	For	
2	Elect Paul V. Campanelli	Mgmt	For	For	For	
3	Elect Shane M. Cooke	Mgmt	For	For	For	
4	Elect Nancy J. Hutson	Mgmt	For	For	For	
5	Elect Michael Hyatt	Mgmt	For	For	For	
6	Elect Douglas S. Ingram	Mgmt	For	For	For	
7	Elect William P. Montague	Mgmt	For	For	For	
8	Elect Todd B. Sisitsky	Mgmt	For	For	For	
9	Elect Jill D. Smith	Mgmt	For	For	For	
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
13	Amendments to the Company's Memorandum	Mgmt	For	For	For	

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	of Association				
14	Amendments to the Company's Articles of Association	Mgmt	For	For	For
15	Amendment to the 2015 Stock Incentive Plan	Mgmt	For	For	For

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Glaxosmithkline plc

Ticker	Security ID:	Meeting Date	Meeting Status		
GSK	CUSIP 37733W105	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Elect Emma Walmsley	Mgmt	For	For	For
5	Elect Vivienne Cox	Mgmt	For	For	For
6	Elect Patrick Vallance	Mgmt	For	For	For
7	Elect Sir Philip Hampton	Mgmt	For	For	For
8	Elect Sir Roy Anderson	Mgmt	For	For	For
9	Elect Manvinder S. Banga	Mgmt	For	For	For
10	Elect Simon Dingemans	Mgmt	For	For	For
11	Elect Lynn L. Elsenhans	Mgmt	For	For	For
12	Elect Jesse Goodman	Mgmt	For	For	For
13	Elect Judy C. Lewent	Mgmt	For	For	For
14	Elect Urs Rohner	Mgmt	For	For	For
15	Appointment of Auditor	Mgmt	For	For	For
16	Authority to Set Auditor's Fees	Mgmt	For	For	For
17	Authorisation of Political Donations	Mgmt	For	For	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Exemption From Statement of Senior Auditor's Name	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14	Mgmt	For	For	For

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24	Days 2017 Performance Share Plan	Mgmt	For	For	For
25	2017 Deferred Annual Bonus Plan	Mgmt	For	For	For

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GW Pharmaceuticals plc

Ticker	Security ID:	Meeting Date	Meeting Status
GWPH	CUSIP 36197T103	03/14/2017	Voted
Meeting Type	Country of Trade	United States	
Annual			

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Elect Geoffrey W. Guy	Mgmt	For	For	For
4	Elect Thomas Lynch	Mgmt	For	For	For
5	Elect Cabot Brown	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
9	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

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Jazz Pharmaceuticals plc

Ticker	Security ID:	Meeting Date	Meeting Status
JAZZ	CUSIP G50871105	08/04/2016	Voted
Meeting Type	Country of Trade	United States	
Annual			

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Paul L. Berns	Mgmt	For	For	For
2	Elect Patrick G. Enright	Mgmt	For	For	For
3	Elect Seamus C. Mulligan	Mgmt	For	For	For
4	Elect Norbert G. Riedel	Mgmt	For	For	For
5	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Amendments to Memorandum of Association	Mgmt	For	For	For
8	Amendments to Articles of Association	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For

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10	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
12	Right to Adjourn Meeting	Mgmt	For	For	For
13	Amendment to the 2011 Equity Incentive Plan	Mgmt	For	Against	Against
14	Amendment to the 2007 Director Stock Option Plan	Mgmt	For	For	For

Johnson & Johnson

Ticker	Security ID:	Meeting Date	Meeting Status		
JNJ	CUSIP 478160104	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mary C. Beckerle	Mgmt	For	For	For
2	Elect D. Scott Davis	Mgmt	For	For	For
3	Elect Ian E.L. Davis	Mgmt	For	For	For
4	Elect Alex Gorsky	Mgmt	For	For	For
5	Elect Mark B. McClellan	Mgmt	For	For	For
6	Elect Anne M. Mulcahy	Mgmt	For	For	For
7	Elect William D. Perez	Mgmt	For	For	For
8	Elect Charles Prince	Mgmt	For	For	For
9	Elect A. Eugene Washington	Mgmt	For	For	For
10	Elect Ronald A. Williams	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	RE-APPROVAL OF THE MATERIAL TERMS OF PERFORMANCE GOALS UNDER THE 2012 LONG-TERM INCENTIVE PLAN	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

Mallinckrodt plc

Ticker	Security ID:	Meeting Date	Meeting Status		
MNK	CUSIP G5785G107	03/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Melvin D. Booth	Mgmt	For	For	For
2	Elect David R. Carlucci	Mgmt	For	For	For
3	Elect J. Martin Carroll	Mgmt	For	For	For

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4	Elect Diane H. Gulyas	Mgmt	For	For	For
5	Elect JoAnn A. Reed	Mgmt	For	For	For
6	Elect Angus C. Russell	Mgmt	For	For	For
7	Elect Virgil D. Thompson	Mgmt	For	For	For
8	Elect Mark C. Trudeau	Mgmt	For	For	For
9	Elect Kneeland C. Youngblood	Mgmt	For	For	For
10	Elect Joseph A. Zaccagnino	Mgmt	For	For	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Authority to Determine Reissue Price for Treasury Shares	Mgmt	For	For	For
15	Amendments to the Memorandum of Association	Mgmt	For	For	For
16	Amendments to the Articles of Association	Mgmt	For	For	For
17	Reduction of Company Capital	Mgmt	For	For	For

Mckesson Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/27/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Andy D. Bryant	Mgmt	For	For	For
2	Elect Wayne A. Budd	Mgmt	For	For	For
3	Elect N. Anthony Coles	Mgmt	For	For	For
4	Elect John H. Hammergren	Mgmt	For	For	For
5	Elect M. Christine Jacobs	Mgmt	For	For	For
6	Elect Donald R. Knauss	Mgmt	For	For	For
7	Elect Marie L. Knowles	Mgmt	For	For	For
8	Elect Edward A. Mueller	Mgmt	For	For	For
9	Elect Susan R. Salka	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For
13	Shareholder Proposal Regarding Political Contributions and	ShrHoldr	Against	For	Against

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### Expenditures Report

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Merck & Co., Inc.						
Ticker	Security ID:		Meeting Date		Meeting Status	
MRK	CUSIP 58933Y105		05/23/2017		Voted	
Meeting Type			Country of Trade			
Annual			United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Leslie A. Brun	Mgmt	For	For	For	
2	Elect Thomas R. Cech	Mgmt	For	For	For	
3	Elect Pamela J. Craig	Mgmt	For	For	For	
4	Elect Kenneth C. Frazier	Mgmt	For	For	For	
5	Elect Thomas H. Glocer	Mgmt	For	For	For	
6	Elect Rochelle B. Lazarus	Mgmt	For	For	For	
7	Elect John H. Noseworthy	Mgmt	For	For	For	
8	Elect Carlos E. Represas	Mgmt	For	For	For	
9	Elect Paul B. Rothman	Mgmt	For	For	For	
10	Elect Patricia F. Russo	Mgmt	For	For	For	
11	Elect Craig B. Thompson	Mgmt	For	For	For	
12	Elect Wendell P. Weeks	Mgmt	For	Against	Against	
13	Elect Peter C. Wendell	Mgmt	For	For	For	
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
16	Ratification of Auditor	Mgmt	For	For	For	
17	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against	
18	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against	Against	For	
19	Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas	ShrHoldr	Against	Against	For	
20	Shareholder Proposal Regarding Report on Board Oversight of Product Safety and Quality	ShrHoldr	Against	Against	For	

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Mylan N.V.						
Ticker	Security ID:		Meeting Date		Meeting Status	
MYL	CUSIP N59465109		06/22/2017		Voted	
Meeting Type			Country of Trade			
Annual			United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Heather Bresch	Mgmt	For	For	For	
2	Elect Wendy Cameron	Mgmt	For	Against	Against	
3	Elect Robert J.	Mgmt	For	For	For	

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	Cindrich					
4	Elect Robert J. Coury	Mgmt	For	For	For	For
5	Elect JoEllen Lyons Dillon	Mgmt	For	For	For	For
6	Elect Neil F. Dimick	Mgmt	For	Against	Against	Against
7	Elect Melina Higgins	Mgmt	For	For	For	For
8	Elect Rajiv Malik	Mgmt	For	For	For	For
9	Elect Mark Parrish	Mgmt	For	Against	Against	Against
10	Elect Randall L. Vanderveen	Mgmt	For	For	For	For
11	Elect Sjoerd S. Vollebregt	Mgmt	For	For	For	For
12	Adoption of the Dutch Annual Accounts	Mgmt	For	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For	For
14	Appointment of Auditor for the Dutch Annual Accounts	Mgmt	For	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	For
17	Authorization for Board to Acquire Ordinary and Preferred Shares	Mgmt	For	For	For	For

Novartis AG

Ticker	Security ID:	Meeting Date	Meeting Status		
NOVN	CUSIP 66987V109	02/28/2017	Voted		
Meeting Type	Country of Trade	United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Board and Management Acts	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Cancellation of Shares and Reduction in Share Capital	Mgmt	For	For	For
5	Board Compensation	Mgmt	For	For	For
6	Executive Compensation	Mgmt	For	For	For
7	Compensation Report	Mgmt	For	For	For
8	Elect Jorg Reinhardt as Chairman	Mgmt	For	For	For
9	Elect Nancy C. Andrews	Mgmt	For	For	For
10	Elect Dimitri Azar	Mgmt	For	For	For
11	Elect Ton Buchner	Mgmt	For	For	For
12	Elect Srikant M. Datar	Mgmt	For	For	For
13	Elect Elizabeth Doherty	Mgmt	For	For	For
14	Elect Ann M. Fudge	Mgmt	For	For	For
15	Elect Pierre Landolt	Mgmt	For	For	For
16	Elect Andreas von	Mgmt	For	For	For



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17	Planta Elect Charles L. Sawyers	Mgmt	For	For	For
18	Elect Enrico Vanni	Mgmt	For	For	For
19	Elect William T. Winters	Mgmt	For	For	For
20	Elect Frans van Houten	Mgmt	For	For	For
21	Elect Srikant Datar as Compensation Committee Member	Mgmt	For	For	For
22	Elect Ann M. Fudge as Compensation Committee Member	Mgmt	For	For	For
23	Elect Enrico Vanni as Compensation Committee Member	Mgmt	For	For	For
24	Elect William T. Winters as Compensation Committee Member	Mgmt	For	For	For
25	Appointment of Auditor	Mgmt	For	For	For
26	Appointment of Independent Proxy	Mgmt	For	For	For
27	Additional or Miscellaneous Proposals	ShrHoldr	N/A	Against	N/A

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Pfizer Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
PFE	CUSIP 717081103	04/27/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Dennis A. Ausiello	Mgmt	For	For	For	
2	Elect Ronald E. Blaylock	Mgmt	For	For	For	
3	Elect W. Don Cornwell	Mgmt	For	For	For	
4	Elect Joseph J. Echevarria	Mgmt	For	For	For	
5	Elect Frances D. Fergusson	Mgmt	For	For	For	
6	Elect Helen H. Hobbs	Mgmt	For	For	For	
7	Elect James M. Kilts	Mgmt	For	For	For	
8	Elect Shantanu Narayen	Mgmt	For	For	For	
9	Elect Suzanne Nora Johnson	Mgmt	For	For	For	
10	Elect Ian C. Read	Mgmt	For	For	For	
11	Elect Stephen W. Sanger	Mgmt	For	For	For	
12	Elect James C. Smith	Mgmt	For	For	For	
13	Ratification of Auditor	Mgmt	For	For	For	
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
15	Frequency of Advisory Vote on Executive	Mgmt	1 Year	1 Year	For	

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16	Compensation Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

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Sanofi						
Ticker	Security ID:	Meeting Date		Meeting Status		
SAN	CUSIP 80105N105	05/10/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Accounts and Reports	Mgmt	For	For	For	
2	Consolidated Accounts and Reports	Mgmt	For	For	For	
3	Allocation of Profits/Dividends	Mgmt	For	For	For	
4	Related Party Transactions (Olivier Brandicourt)	Mgmt	For	For	For	
5	Directors' Fees	Mgmt	For	For	For	
6	Elect Fabienne Lecorvaisier	Mgmt	For	For	For	
7	Elect Bernard Charles	Mgmt	For	For	For	
8	Elect Melanie G Lee	Mgmt	For	For	For	
9	Remuneration Policy (Chairman)	Mgmt	For	For	For	
10	Remuneration Policy (CEO)	Mgmt	For	For	For	
11	Remuneration of Serge Weinberg (Chair)	Mgmt	For	For	For	
12	Remuneration of Olivier Brandicourt, CEO	Mgmt	For	Against	Against	
13	Approval to Reappoint PricewaterhouseCoopers as one of the Company's Independent Auditors for the Next Six Years	Mgmt	For	For	For	
14	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	
15	Authority to Issue Shares and Convertible Debt w/ Preemptive Rights	Mgmt	For	For	For	
16	Authority to Issue Shares and	Mgmt	For	For	For	

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	Convertible Debt w/o Preemptive Rights					
17	Authority to Issue Shares Through Private Placement	Mgmt	For	For	For	
18	Authority to Issue Debt Instruments	Mgmt	For	For	For	
19	Greenshoe	Mgmt	For	For	For	
20	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	For	For	
21	Authority to Increase Capital Through Capitalisations	Mgmt	For	For	For	
22	Employee Stock Purchase Plan	Mgmt	For	For	For	
23	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For	
24	Amendments to Articles	Mgmt	For	For	For	
25	Authorisation of Legal Formalities	Mgmt	For	For	For	

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Shire Plc

Ticker	Security ID:	Meeting Date	Meeting Status
SHP	CUSIP 82481R106	04/25/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against Mgmt
1	Accounts and Reports	Mgmt	For
2	Remuneration Report (Advisory)	Mgmt	For
3	Elect Dominic Blakemore	Mgmt	For
4	Elect Olivier Bohuon	Mgmt	For
5	Elect William M. Burns	Mgmt	For
6	Elect Ian T. Clark	Mgmt	For
7	Elect Gail D. Fosler	Mgmt	For
8	Elect Steven Gillis	Mgmt	For
9	Elect David Ginsburg	Mgmt	For
10	Elect Susan Kilsby	Mgmt	For
11	Elect Sara Mathew	Mgmt	For
12	Elect Anne E. Minto	Mgmt	For
13	Elect Flemming Ornskov	Mgmt	For
14	Elect Jeffrey Poulton	Mgmt	For
15	Elect Albert P.L. Stroucken	Mgmt	For
16	Appointment of Auditor	Mgmt	For
17	Authority to Set Auditor's Fees	Mgmt	For
18	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For
19	Authority to Issue Shares w/o Preemptive	Mgmt	For

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20	Rights Authority to Issue Shares w/o Preemptive Rights (Specific Capital Investment)	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Amendments to Articles	Mgmt	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Valeant Pharmaceuticals International, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
VRX	CUSIP 91911K102	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Richard U. DeSchutter	Mgmt	For	For	For
2	Elect Frederic N. Eshelman	Mgmt	For	For	For
3	Elect D. Robert Hale	Mgmt	For	For	For
4	Elect Argeris N. Karabelas	Mgmt	For	For	For
5	Elect Sarah B. Kavanagh	Mgmt	For	For	For
6	Elect Joseph C. Papa	Mgmt	For	For	For
7	Elect Robert N. Power	Mgmt	For	For	For
8	Elect Russel C. Robertson	Mgmt	For	For	For
9	Elect Thomas W. Ross, Sr.	Mgmt	For	For	For
10	Elect Amy B. Wechsler	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For

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Zoetis Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ZTS	CUSIP 98978V103	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gregory Norden	Mgmt	For	For	For
2	Elect Louise M. Parent	Mgmt	For	For	For
3	Elect Robert W. Scully	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Fund Name : VanEck Vectors Poland ETF

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Alior Bank SA

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Ticker	Security ID:	Meeting Date	Meeting Status		
ALR	CINS X0081M123	04/21/2017	Voted		
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	For	Abstain	Against
7	Coverage of Meeting Costs	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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### Alior Bank SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ALR	CINS X0081M123	06/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Financial Statements	Mgmt	For	For	For
8	Presentation of Management Board Report	Mgmt	For	For	For
9	Supervisory Board Report	Mgmt	For	For	For
10	Financial Statements	Mgmt	For	For	For
11	Management Board Report	Mgmt	For	For	For
12	Presentation of Financial Statements (Group)	Mgmt	For	For	For
13	Presentation of Management Board Report (Group)	Mgmt	For	For	For
14	Financial Statements (Group)	Mgmt	For	For	For
15	Management Board Report (Group)	Mgmt	For	For	For
16	Allocation of Profits/Dividends	Mgmt	For	For	For

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17	Ratify Wojciech Sobieraj	Mgmt	For	For	For
18	Ratify Malgorzata Bartler	Mgmt	For	For	For
19	Ratify Krzysztof Czuba	Mgmt	For	For	For
20	Ratify Joanna Krzyzanowska	Mgmt	For	For	For
21	Ratify Witold Skrok	Mgmt	For	For	For
22	Ratify Barbara Smalska	Mgmt	For	For	For
23	Ratify Katarzyna Sulkowska	Mgmt	For	For	For
24	Ratify Michal Krupinski	Mgmt	For	For	For
25	Ratify Malgorzata Iwanicz	Mgmt	For	For	For
26	Ratify Przemyslaw Dabrowski	Mgmt	For	For	For
27	Ratify Dariusz Gaterek	Mgmt	For	For	For
28	Ratify Stanislaw Kaczoruk	Mgmt	For	For	For
29	Ratify Niels Lundorff	Mgmt	For	For	For
30	Ratify Marek Michalski	Mgmt	For	For	For
31	Ratify Slawomir Niemierka	Mgmt	For	For	For
32	Ratify Krzysztof Obloj	Mgmt	For	For	For
33	Ratify Maciej Rapkiewicz	Mgmt	For	For	For
34	Ratify Pawel Szymanski	Mgmt	For	For	For
35	Ratify Helene Zaleski	Mgmt	For	For	For
36	Amendments to General Meeting Regulations	Mgmt	For	For	For
37	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
38	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
39	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Alior Bank SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ALR	CINS X0081M123	07/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Demerger	Mgmt	For	For	For
7	Approval of Uniform Text of Articles	Mgmt	For	For	For
8	Changes to Supervisory Board Composition	Mgmt	For	Abstain	Against
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Asseco Poland SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ACP	CINS X02540130	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Opening of Meeting; Election of Presiding Chair	Mgmt	For	For	For
3	Compliance with Rules of Convocation; Election of Scrutiny Commission	Mgmt	For	For	For
4	Agenda	Mgmt	For	For	For
5	Presentation of Report on Company's Financial Results	Mgmt	For	For	For
6	Presentation of Management Board Report	Mgmt	For	For	For
7	Presentation of Financial Statements	Mgmt	For	For	For
8	Presentation of Auditor's Report	Mgmt	For	For	For
9	Presentation of Supervisory Board Report	Mgmt	For	For	For
10	Accounts and Reports	Mgmt	For	For	For
11	Presentation of Accounts and Reports (Group)	Mgmt	For	For	For
12	Presentation of Auditor's Report (Group)	Mgmt	For	For	For
13	Accounts and Reports (Group)	Mgmt	For	For	For
14	Ratification of Management Board Acts	Mgmt	For	For	For
15	Ratification of Supervisory Board Acts	Mgmt	For	For	For
16	Allocation of Profits/Dividends	Mgmt	For	For	For
17	Property Sale	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Bank Handlowy w Warszawie S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BHW	CINS X05318104	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding	Mgmt	For	For	For

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4	Chair Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Financial Statements	Mgmt	For	For	For
7	Financial Statements (Consolidated)	Mgmt	For	For	For
8	Management Board Report	Mgmt	For	For	For
9	Ratification of Management Board Acts	Mgmt	For	For	For
10	Supervisory Board Report	Mgmt	For	For	For
11	Ratification of Supervisory Board Acts	Mgmt	For	For	For
12	Allocation of Profits/Dividends	Mgmt	For	For	For
13	Changes to the Supervisory Board Composition	Mgmt	For	Abstain	Against
14	Policy for the Assessment of Supervisory Board Members	Mgmt	For	For	For
15	Amendments to General Meeting Regulations	Mgmt	For	For	For
16	Maximum Variable Pay Ratio - Report	Mgmt	For	For	For
17	Amendments to Articles (Board Term Length)	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Bank Handlowy w Warszawie S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
BHW	CINS X05318104	09/22/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Changes to Supervisory Board Composition	Mgmt	For	Abstain	Against
7	Amendments to Articles	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Bank Millennium SA

Ticker	Security ID:	Meeting Date	Meeting Status
MIL	CINS X05407105	03/31/2017	Voted
Meeting Type	Country of Trade		



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Annual Issue No.	Description	Poland Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Voting Method	Mgmt	For	For	For
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Election of Voting Committee	Mgmt	For	For	For
8	Accounts and Reports	Mgmt	For	For	For
9	Supervisory Board Report	Mgmt	For	For	For
10	Accounts and Reports (Consolidated)	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Ratification of Board and Management Acts	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Changes to Supervisory Board	Mgmt	For	Abstain	Against
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Bank Pekao S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
PEO	CINS X0R77T117	06/08/2017	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Poland Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	Against	N/A
9	Shareholder Proposal Regarding Adoption of Uniform Text of Articles	ShrHoldr	N/A	Against	N/A
10	Shareholder Proposal Regarding Changes in the Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
11	Shareholder Proposal Regarding Coverage of Meeting	ShrHoldr	N/A	For	N/A

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12	Costs Non-Voting Agenda Item	N/A	N/A	N/A	N/A
Bank Polska Kasa Opieki S.A.					
Ticker	Security ID:	Meeting Date		Meeting Status	
PEO	CINS X0R77T117	04/19/2017		Voted	
Meeting Type		Country of Trade			
Annual		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Scrutiny Commission	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Management Board Report	Mgmt	For	For	For
8	Presentation of Financial Statements	Mgmt	For	For	For
9	Presentation of Management Board Report (Group)	Mgmt	For	For	For
10	Presentation of Financial Statements (Group)	Mgmt	For	For	For
11	Presentation of Profit Allocation Proposal	Mgmt	For	For	For
12	Presentation of Supervisory Board Report	Mgmt	For	For	For
13	Management Board Report	Mgmt	For	For	For
14	Financial Statements	Mgmt	For	For	For
15	Management Board Report (Group)	Mgmt	For	For	For
16	Financial Statements (Group)	Mgmt	For	For	For
17	Allocation of Profits/Dividends	Mgmt	For	For	For
18	Supervisory Board Report	Mgmt	For	For	For
19	Ratification of Supervisory Board Acts	Mgmt	For	For	For
20	Ratification of Management Acts	Mgmt	For	For	For
21	Remuneration Policy	Mgmt	For	For	For
22	Presentation of Report on Application of Principles of Corporate Governance for Supervised	Mgmt	For	For	For

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	Institutions				
23	Amendments to Articles	Mgmt	For	For	For
24	Adoption of Uniform Text of Articles	Mgmt	For	For	For
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Bank Zachodni WBK SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
BZW	CINS X0646L107	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Financial Statements	Mgmt	For	For	For
7	Financial Statements (Consolidated)	Mgmt	For	For	For
8	Management Board Report	Mgmt	For	For	For
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Ratification of Management Board Acts	Mgmt	For	For	For
11	Supervisory Board Report; Remuneration Policy	Mgmt	For	For	For
12	Ratification of Supervisory Board Acts	Mgmt	For	For	For
13	Election of Supervisory Board	Mgmt	For	Abstain	Against
14	Election of Supervisory Board Chair	Mgmt	For	Abstain	Against
15	Supervisory Board Fees	Mgmt	For	Abstain	Against
16	Amendments to Articles (Corporate Purpose)	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights (Incentive Scheme V)	Mgmt	For	For	For
18	Incentive Scheme VI	Mgmt	For	For	For
19	Maximum Variable Payout Ratio	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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CCC SA					
Ticker	Security ID:	Meeting Date	Meeting Status		
CCC	CINS X5818P109	01/10/2017	Voted		
Meeting Type	Country of Trade				

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Special Issue No.	Description	Poland Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Scrutiny Commission	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Waiver of Preemptive Rights (Shares)	Mgmt	For	For	For
9	Authority to Issue Convertible Warrants w/o Preemptive Rights	Mgmt	For	For	For
10	Waiver of Preemptive Rights (Warrants)	Mgmt	For	For	For
11	Share Listing, Dematerialisation and Registration	Mgmt	For	For	For
12	Amendments to Articles (Share Issuance)	Mgmt	For	For	For
13	Amendments to Articles (Share Capital)	Mgmt	For	For	For
14	Authority to Repurchase Shares	Mgmt	For	For	For
15	Creation of Reserves for Share Repurchase	Mgmt	For	For	For
16	Amendments to Articles (Voting Cap)	Mgmt	For	Against	Against
17	Election of Supervisory Board Member	Mgmt	For	Abstain	Against
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

CCC SA

Ticker

Security ID:  
CINS X5818P109

Meeting Date  
06/08/2017

Meeting Status  
Voted

Meeting Type

Country of Trade  
Poland

Annual

Issue No.	Description	Poland Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of	Mgmt	For	For	For

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	Convocation					
5	Agenda	Mgmt	For	For	For	
6	Presentation of Management Board Reports	Mgmt	For	For	For	
7	Presentation of Supervisory Board Reports	Mgmt	For	For	For	
8	Financial Statements	Mgmt	For	For	For	
9	Financial Statements (Consolidated)	Mgmt	For	For	For	
10	Allocation of Spare Capital/Dividends	Mgmt	For	For	For	
11	Allocation of Profits/Dividends	Mgmt	For	For	For	
12	Ratification of Management Board Acts	Mgmt	For	For	For	
13	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
14	Supervisory Board Size	Mgmt	For	For	For	
15	Election of Supervisory Board	Mgmt	For	Abstain	Against	
16	Election of Supervisory Board Chair	Mgmt	For	Abstain	Against	
17	Authority to Issue Shares and Subscription Warrants w/o Preemptive Rights (Incentive Plan)	Mgmt	For	For	For	
18	Authority to Issue Shares and Subscription Warrants w/o Preemptive Rights (Convertible Debt Instruments)	Mgmt	For	For	For	
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

CD Projekt SA

Ticker	Security ID:	Meeting Date	Meeting Status		
CDR	CINS X6002X106	05/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec		
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Presentation of Accounts and	Mgmt	For	For	For

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Reports					
7	Financial Statements	Mgmt	For	For	For
8	Financial Statements (Consolidated)	Mgmt	For	For	For
9	Management Board Report	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratify Adam Kicinski	Mgmt	For	For	For
12	Ratify Marcin Iwinski	Mgmt	For	For	For
13	Ratify Piotr Nielubowicz	Mgmt	For	For	For
14	Ratify Adam Badowski	Mgmt	For	For	For
15	Ratify Michal Nowakowski	Mgmt	For	For	For
16	Ratify Piotr Karwowski	Mgmt	For	For	For
17	Ratify Katarzyna Szwarz	Mgmt	For	For	For
18	Ratify Piotr Pagowski	Mgmt	For	For	For
19	Ratify Michal Bien	Mgmt	For	For	For
20	Ratify Krzysztof Kilian	Mgmt	For	For	For
21	Ratify Maciej Majewski	Mgmt	For	For	For
22	Ratify Grzegorz Kujawski	Mgmt	For	For	For
23	Election of Supervisory Board	Mgmt	For	Abstain	Against
24	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

CD Projekt SA

Ticker	Security ID:	Meeting Date	Meeting Status		
CDR	CINS X6002X106	11/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Agenda	Mgmt	For	For	For
5	Amendments to Articles (Voting Limit)	Mgmt	For	Against	Against
6	Authority to Repurchase Shares	Mgmt	For	Against	Against
7	Merger by Absorption	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Cyfrowy Polsat SA

Ticker	Security ID:	Meeting Date	Meeting Status		
CPS	CINS X1809Y100	01/23/2017	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For

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5	Election of Ballot Committee	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Cross-border Merger by Absorption	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Cyfrowy Polsat SA

Ticker Security ID: Meeting Date Meeting Status  
 CPS CINS X1809Y100 06/27/2017 Voted

Meeting Type Country of Trade  
 Annual Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Voting Commission	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Accounts and Reports	Mgmt	For	For	For
8	Presentation of Accounts and Reports (Consolidated)	Mgmt	For	For	For
9	Presentation of Accounts and Reports (Netshare Sp. z o. o.)	Mgmt	For	For	For
10	Presentation of Financial Statements (Metelem Holding Company Ltd.)	Mgmt	For	For	For
11	Presentation of Supervisory Board Report (Accounts and Reports; Profit Allocation)	Mgmt	For	For	For
12	Presentation of the Supervisory Board Report (Company's Standing)	Mgmt	For	For	For
13	Management Board Report	Mgmt	For	For	For
14	Financial Statements	Mgmt	For	For	For
15	Management Board Report (Consolidated)	Mgmt	For	For	For
16	Financial Statements (Consolidated)	Mgmt	For	For	For
17	Management Board Report (Netshare Sp Z.o.o)	Mgmt	For	For	For
18	Financial Statements (Netshare Sp	Mgmt	For	For	For

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19	Z.o.o) Financial Statements (Metelem Holding Company Ltd.)	Mgmt	For	For	For
20	Supervisory Board Report	Mgmt	For	For	For
21	Ratification of Management Board Acts	Mgmt	For	For	For
22	Ratification of Supervisory Board Acts	Mgmt	For	For	For
23	Ratification of Management Board Acts (Netshare Sp. z o.o.)	Mgmt	For	For	For
24	Ratification of Management Board Acts (Metelem Holding Company Ltd.)	Mgmt	For	For	For
25	Allocation of Profits/Dividends	Mgmt	For	For	For
26	Allocation of Profits/Dividends (Netshare Sp. z o.o.)	Mgmt	For	For	For
27	Allocation of Profits/Dividends (Metelem Holding Company Ltd.)	Mgmt	For	For	For
28	Merger by Absorption	Mgmt	For	For	For
29	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Cyfrowy Polsat SA

Ticker	Security ID:	Meeting Date	Meeting Status		
CPS	CINS X1809Y100	09/30/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Special	Poland				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Ballot Committee	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Shareholder Proposal Regarding Supervisory Board Size	ShrHoldr	For	For	For
8	Shareholder Proposal Regarding Election of Tomasz Szelag	ShrHoldr	For	Against	Against
9	Coverage of Meeting	Mgmt	For	For	For



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Costs		N/A	N/A	N/A	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
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Cyfrowy Polsat SA					
Ticker	Security ID:	Meeting Date		Meeting Status	
CPS	CINS X1809Y100	11/10/2016		Voted	
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Election of Ballot Committee	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Merger by Absorption	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Enea SA					
Ticker	Security ID:	Meeting Date		Meeting Status	
ENA	CINS X2232G104	12/15/2016		Voted	
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
7	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Eurocash SA					
Ticker	Security ID:	Meeting Date		Meeting Status	
EUR	CINS X2382S106	04/25/2017		Voted	
Meeting Type		Country of Trade			
Annual		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Compliance with Rules of Convocation	Mgmt	For	For	For

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4	Election of Presiding Chair	Mgmt	For	For	For
5	Attendance List	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Accounts and Reports	Mgmt	For	For	For
8	Presentation of Accounts and Reports (Group)	Mgmt	For	For	For
9	Presentation of Supervisory Board Report	Mgmt	For	For	For
10	Accounts and Reports	Mgmt	For	For	For
11	Accounts and Reports (Group)	Mgmt	For	For	For
12	Allocation of Profits/Dividends	Mgmt	For	For	For
13	Ratification of Management Board Acts	Mgmt	For	For	For
14	Ratification of Supervisory Board Acts	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Adoption of Uniform Text of Articles	Mgmt	For	For	For
17	Amendments to Supervisory Board Regulations	Mgmt	For	For	For
18	Presentation of Information Regarding the Exclusion of Preemptive Rights in Respect to Bonds	Mgmt	For	For	For
19	Employees Incentive and Reward Scheme	Mgmt	For	For	For
20	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Grupa Lotos SA

Ticker Security ID: Meeting Date Meeting Status

LTS CINS X32440103 03/17/2017 Voted

Meeting Type Country of Trade

Special Poland

Issue No. Description Proponent Mgmt Rec Vote Cast For/Against Mgmt

1 Non-Voting Meeting Note N/A N/A N/A N/A

2 Non-Voting Meeting Note N/A N/A N/A N/A

3 Non-Voting Agenda Item N/A N/A N/A N/A

4 Election of Presiding Chair Mgmt For For For

5 Compliance with Rules of Convocation Mgmt For For For

6 Agenda Mgmt For For For

7 Adoption of Rules for Selection and Appointment of Management and Mgmt For For For

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	Supervisory Boards					
8	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A	N/A
9	Shareholder Proposal Regarding Approval of Uniform Text of Articles	ShrHoldr	N/A	For	N/A	N/A
10	Shareholder Proposal Regarding Amendment to a Previous Resolution	ShrHoldr	N/A	For	N/A	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
<hr/>						
Grupa Lotos SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
LTS	CINS X32440103	06/14/2017	Voted			
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For	For
5	Agenda	Mgmt	For	For	For	For
6	Presentation of Financial Statements	Mgmt	For	For	For	For
7	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For	For
8	Presentation of Management Board Report	Mgmt	For	For	For	For
9	Presentation of Supervisory Board Report	Mgmt	For	For	For	For
10	Financial Statements	Mgmt	For	For	For	For
11	Financial Statements (Consolidated)	Mgmt	For	For	For	For
12	Management Board Report	Mgmt	For	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For	For
14	Ratification of Management Board Acts	Mgmt	For	For	For	For
15	Ratification of Supervisory Board Acts	Mgmt	For	For	For	For
16	Authority to Participate in the Share Capital Increase of LOTOS Upstream Sp. z o.o.	Mgmt	For	For	For	For
17	Board Size	Mgmt	For	For	For	For

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18	Changes to the Supervisory Board Composition	Mgmt	For	Abstain	Against
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Grupa Lotos SA

Ticker	Security ID:	Meeting Date		Meeting Status	
LTS	CINS X32440103	09/14/2016		Voted	
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Approval of Uniform Text of Articles	Mgmt	For	For	For
9	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Grupa Lotos SA

Ticker	Security ID:	Meeting Date		Meeting Status	
LTS	CINS X32440103	12/22/2016		Voted	
Meeting Type		Country of Trade			
Special		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
9	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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ING Bank Slaski SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
BSK	CINS X0645S103	04/21/2017	Voted			
Meeting Type	Country of Trade					
Annual	Poland					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chairman	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	Agenda	Mgmt	For	For	For	
6	Presentation of Management Board Report	Mgmt	For	For	For	
7	Presentation of Supervisory Board Reports	Mgmt	For	For	For	
8	Financial Statements	Mgmt	For	For	For	
9	Management Board Report	Mgmt	For	For	For	
10	Financial Statements (Group)	Mgmt	For	For	For	
11	Management Board Report (Group)	Mgmt	For	For	For	
12	Supervisory Board Reports; Remuneration Policy	Mgmt	For	For	For	
13	Ratification of Management Acts	Mgmt	For	For	For	
14	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
15	Allocation of Profits/Dividends	Mgmt	For	For	For	
16	Amendments to Articles	Mgmt	For	For	For	
17	Changes to Supervisory Board	Mgmt	For	Abstain	Against	
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Jastrzebska Spolka Weglowa SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
JSW	CINS X4038D103	01/12/2017	Voted			
Meeting Type	Country of Trade					
Special	Poland					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chairman	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	ELECTION OF SCRUTINY COMMISSION	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	

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7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	For	N/A
9	Coverage of Meeting Costs	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Jastrzebska Spolka Weglowa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
JSW	CINS X4038D103	06/23/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Presentation of Supervisory Board Report (Accounts and Reports; Allocation of Profits)	Mgmt	For	For	For
9	Presentation of Supervisory Board Report (Consolidated)	Mgmt	For	For	For
10	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For
11	Presentation of Financial Statements	Mgmt	For	For	For
12	Presentation of Management Board Report	Mgmt	For	For	For
13	Presentation of Report on Public Administration Payments	Mgmt	For	For	For
14	Presentation of Management Board Motion on Allocation of Profits	Mgmt	For	For	For

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15	Presentation of Management Board Report on Other Comprehensive Income	Mgmt	For	For	For
16	Financial Statements	Mgmt	For	For	For
17	Management Board Report	Mgmt	For	For	For
18	Report on Public Administration Payments	Mgmt	For	For	For
19	Allocation of Profits/Dividends	Mgmt	For	For	For
20	Settlement of Income	Mgmt	For	For	For
21	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
22	Presentation of Management Board Report (Consolidated)	Mgmt	For	For	For
23	Financial Statements (Consolidated)	Mgmt	For	For	For
24	Management Board Report (Consolidated)	Mgmt	For	For	For
25	Ratification of Management Board Acts	Mgmt	For	For	For
26	Ratification of Supervisory Board Acts	Mgmt	For	For	For
27	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	Against	N/A
28	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	Abstain	N/A
29	Shareholder Proposal Regarding Disposal of Non-Current Assets	ShrHoldr	N/A	Abstain	N/A
30	Shareholder Proposal Regarding Concluding Services Agreements	ShrHoldr	N/A	Abstain	N/A
31	Shareholder Proposal Regarding Concluding Agreements on Donations, Discharge of Debt and Similar	ShrHoldr	N/A	Abstain	N/A
32	Shareholder Proposal Regarding Principles and Procedures for Non-Current Assets Disposal	ShrHoldr	N/A	Abstain	N/A
33	Shareholder Proposal Regarding Report on	ShrHoldr	N/A	Abstain	N/A

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34	Expenses Shareholder Proposal Regarding Requirements for Management Board Candidate	ShrHoldr	N/A	Abstain	N/A
35	Shareholder Proposal Regarding Appointment of Management Board Member	ShrHoldr	N/A	Abstain	N/A
36	Shareholder Proposal Regarding Fulfilment of Obligations on the State Assets Management	ShrHoldr	N/A	Abstain	N/A
37	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Jeronimo Martins - S.G.P.S., S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
JMT	CINS X40338109	04/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	Portugal				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Individual Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Consolidated Accounts and Reports	Mgmt	For	For	For
6	Shareholder Proposal Regarding Ratification of Board and Audit Committee Acts	Mgmt	For	For	For
7	Remuneration Policy	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Appointment of Ernst & Young as Statutory Auditor	Mgmt	For	For	For
11	Appointment of KPMG as Statutory Auditor	Mgmt	For	N/A	N/A
12	Vote Against Alternatives A and B for External Auditor	Mgmt	For	N/A	N/A
13	Vote Abstain Alternatives A and B for External Auditor	Mgmt	For	N/A	N/A
14	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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KGHM Polska Miedz

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Presentation of Financial Statements	Mgmt	For	For	For
8	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
9	Presentation of Management Board Report	Mgmt	For	For	For
10	Presentation of Management Board Report (Coverage of Loss)	Mgmt	For	For	For
11	Presentation of Management Board Report (Dividends)	Mgmt	For	For	For
12	Presentation of Supervisory Board Report on Management Board Reports	Mgmt	For	For	For
13	Presentation of Supervisory Board Report (Company Standing)	Mgmt	For	For	For
14	Presentation of Supervisory Board Report (Activities)	Mgmt	For	For	For
15	Financial Statements	Mgmt	For	For	For
16	Financial Statements (Consolidated)	Mgmt	For	For	For
17	Management Board Report	Mgmt	For	For	For
18	Coverage of Loss	Mgmt	For	For	For
19	Distribution of Dividends	Mgmt	For	For	For
20	Ratification of Management Board Acts	Mgmt	For	Against	Against
21	Ratification of Supervisory Board Acts	Mgmt	For	For	For
22	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A

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23	Shareholder Proposal Regarding Disposal of Non-Current Assets	ShrHolder	N/A	Abstain	N/A
24	Shareholder Proposal Regarding Concluding Services Agreements	ShrHolder	N/A	Abstain	N/A
25	Shareholder Proposal Regarding Concluding Agreements on Donations, Discharge of Debt and Similar	ShrHolder	N/A	Abstain	N/A
26	Shareholder Proposal Regarding Principles and Procedures for Non-Current Assets Disposal	ShrHolder	N/A	Abstain	N/A
27	Shareholder Proposal Regarding Report on Expenses	ShrHolder	N/A	Abstain	N/A
28	Shareholder Proposal Regarding Requirements for Management Board Candidate	ShrHolder	N/A	Abstain	N/A
29	Shareholder Proposal Regarding Appointment of Management Board Member	ShrHolder	N/A	Abstain	N/A
30	Shareholder Proposal Regarding Fulfilment of Obligations on the State Assets Management	ShrHolder	N/A	Abstain	N/A
31	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHolder	N/A	Against	N/A
32	Shareholder Proposal Regarding Amendments to Supervisory Board Remuneration Policy	ShrHolder	N/A	Abstain	N/A
33	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHolder	N/A	Abstain	N/A
34	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

KGHM Polska Miedz

Ticker Security ID: Meeting Date Meeting Status  
 KGH CINS X45213109 12/07/2016 Voted

Meeting Type Country of Trade  
 Special Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding	Mgmt	For	For	For

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4	Chairman Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Kruk SA

Ticker	Security ID:	Meeting Date	Meeting Status		
KRU	CINS X4598E103	05/15/2017	Voted		
Meeting Type	Annual	Country of Trade	Poland		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Presentation of Management Board Report	Mgmt	For	For	For
7	Presentation of Supervisory Board Report	Mgmt	For	For	For
8	Management Board Report	Mgmt	For	For	For
9	Financial Statements	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Management Board Report (Consolidated)	Mgmt	For	For	For
12	Finacial Statements (Consolidated)	Mgmt	For	For	For
13	Ratification of Management Board Acts	Mgmt	For	For	For
14	Ratification of Supervisory Board Acts	Mgmt	For	For	For
15	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Kruk SA

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
KRU Meeting Type Special	CINS X4598E103	11/29/2016		Voted	
		Country of Trade Poland			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Private Placement	Mgmt	For	For	For
7	Amendments to Articles (Share Capital)	Mgmt	For	For	For
8	Authority to Issue Bonds to Management and Supervisory Board Members	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

LPP S.A.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
LPP Meeting Type Annual	Security ID: CINS X5053G103	Meeting Date 06/19/2017		Meeting Status Voted	
		Country of Trade Poland			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Opening of Meeting; Election of Presiding Chair	Mgmt	For	For	For
3	Compliance with Rules of Convocation; Attendance List	Mgmt	For	For	For
4	Election of Scrutiny Commission	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Presentations of Reports	Mgmt	For	For	For
7	Management Board Report	Mgmt	For	For	For
8	Supervisory Board Report	Mgmt	For	For	For
9	Financial Statements	Mgmt	For	For	For
10	Financial Statements (Consolidated)	Mgmt	For	For	For
11	Ratification of Management Acts	Mgmt	For	For	For
12	Ratification of Supervisory Board Acts	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	Amendments to Articles (Corporate Purpose)	Mgmt	For	For	For
15	Equity Compensation	Mgmt	For	For	For

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16	Plan Non-Voting Agenda Item	N/A	N/A	N/A	N/A
mBank S.A.					
Ticker	Security ID:	Meeting Date		Meeting Status	
MBK	CINS X0742L100	03/30/2017		Voted	
Meeting Type		Country of Trade			
Annual		Poland			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Election of Vote Counting Commission	Mgmt	For	For	For
5	Presentation of Management Board Reports	Mgmt	For	For	For
6	Presentation of Supervisory Board Reports	Mgmt	For	For	For
7	Presentation of Accounts and Reports	Mgmt	For	For	For
8	Presentation of Accounts and Reports (Consolidated)	Mgmt	For	For	For
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Allocation of Retained Earnings	Mgmt	For	For	For
12	Ratify Cezary Stypulkowski	Mgmt	For	For	For
13	Ratify Lidia Jablonowska-Luba	Mgmt	For	For	For
14	Ratify Przemyslaw Gdanski	Mgmt	For	For	For
15	Ratify Hans Dieter Kemler	Mgmt	For	For	For
16	Ratify Jaroslaw Mastalerz	Mgmt	For	For	For
17	Ratify Cezary Kocik	Mgmt	For	For	For
18	Ratify Jorg Hessenmuller	Mgmt	For	For	For
19	Ratify Christoph Heins	Mgmt	For	For	For
20	Ratification of Co-Option of Ralph Michael Mandel	Mgmt	For	For	For
21	Ratification of Co-Option of Jorg Hessenmuller	Mgmt	For	For	For
22	Ratify Maciej Lesny	Mgmt	For	For	For
23	Ratify Andre Carls	Mgmt	For	For	For
24	Ratify Thorsten Kanzler	Mgmt	For	For	For
25	Ratify Martin Blessing	Mgmt	For	For	For
26	Ratify Wieslaw Thor	Mgmt	For	For	For
27	Ratify Teresa Mokrysz	Mgmt	For	For	For
28	Ratify Waldemar Stawski	Mgmt	For	For	For

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29	Ratify Marek Wierzbowski	Mgmt	For	For	For
30	Ratify Stephan Engels	Mgmt	For	For	For
31	Ratify Martin Zielke	Mgmt	For	For	For
32	Ratify Agnieszka Slomka-Golebiowska	Mgmt	For	For	For
33	Ratify Marcus Chromik	Mgmt	For	For	For
34	Ratify Ralph Michael Mandel	Mgmt	For	For	For
35	Ratify Jorg Hessenmuller	Mgmt	For	For	For
36	Ratify Malgorzata Anczewska	Mgmt	For	For	For
37	Ratify Pawel Bogusz	Mgmt	For	For	For
38	Ratify Cezary Kocik	Mgmt	For	For	For
39	Ratify Dariusz Solski	Mgmt	For	For	For
40	Ratify Pawel Przybylek	Mgmt	For	For	For
41	Ratify Monika Powroznik	Mgmt	For	For	For
42	Ratify Lukasz Witkowski	Mgmt	For	For	For
43	Ratify Jaroslaw Kowalczyk	Mgmt	For	For	For
44	Ratify Adam Jaroszewicz	Mgmt	For	For	For
45	Ratify Karol Bach	Mgmt	For	For	For
46	Ratify Michal Marczak	Mgmt	For	For	For
47	Ratify Cezary Stypulkowski	Mgmt	For	For	For
48	Ratify Hans Dieter Kemler	Mgmt	For	For	For
49	Ratify Pawel Przybylek	Mgmt	For	For	For
50	Ratify Wlodzimierz Walus	Mgmt	For	For	For
51	Ratify Pawel Graniewski	Mgmt	For	For	For
52	Accounts and Reports (Consolidated)	Mgmt	For	For	For
53	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
54	Amendments to Articles	Mgmt	For	For	For
55	Remuneration Report	Mgmt	For	Against	Against
56	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
57	Board Size	Mgmt	For	For	For
58	Election of Supervisory Board	Mgmt	For	For	For
59	Supervisory Board Members' Fees	Mgmt	For	For	For
60	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Orange Polska SA

Ticker Security ID: Meeting Date Meeting Status  
 TPS CINS X5984X100 04/19/2017 Voted

Meeting Type Country of Trade  
 Annual Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Presentation of Management Board Report	Mgmt	For	For	For
8	Presentation on Coverage of Losses	Mgmt	For	For	For
9	Presentation on Allocation of Profits	Mgmt	For	For	For
10	Presentation of Management Board Report on Consolidated Financial Statements	Mgmt	For	For	For
11	Presentation of Supervisory Board Report	Mgmt	For	For	For
12	Presentation on Allocation of Profits of TP Invest and Orange Customer Service	Mgmt	For	For	For
13	Management Board Report	Mgmt	For	For	For
14	Financial Statements	Mgmt	For	For	For
15	Coverage of Losses	Mgmt	For	For	For
16	Allocation of Profits/Dividends	Mgmt	For	For	For
17	Management Board Report (Group)	Mgmt	For	For	For
18	Financial Statements (Group)	Mgmt	For	For	For
19	Ratification of Management and Supervisory Board Acts	Mgmt	For	For	For
20	Allocation of Profits/Dividends of TP Invest Sp. z o. o and Orange Customer Service Sp. z o. o	Mgmt	For	For	For
21	Ratification of Management Board Acts (TP Invest Sp. z o.o)	Mgmt	For	For	For
22	Ratification of Management Board Acts (Orange Customer Service)	Mgmt	For	For	For
23	Amendments to General Meeting Regulations	Mgmt	For	For	For
24	Elect Federico Colom	Mgmt	For	Against	Against

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25	Artola Elect John Russell Houlden	Mgmt	For	For	For
26	Elect Patrice Lambert de Diesbach	Mgmt	For	For	For
27	Elect Valerie Therond	Mgmt	For	Against	Against
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Orange Polska SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TPS	CINS X5984X100	07/21/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Election of Scrutiny Commission	Mgmt	For	For	For
7	Merger by Absorption	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Adoption of Uniform Text of Articles	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

PGE Polska Grupa Energetyczna SA

Ticker	Security ID:	Meeting Date	Meeting Status		
PGE	CINS X6447Z104	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Election of Presiding Chair	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Withdrawal from the Election of Scrutiny Commission	Mgmt	For	For	For
7	Financial Statements	Mgmt	For	For	For
8	Financial Statements (Consolidated)	Mgmt	For	For	For
9	Management Board Report	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Management and Supervisory Board Acts	Mgmt	For	Against	Against
12	Shareholder Proposal	ShrHoldr	For	Against	Against



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	Regarding Amendments to Management Board Remuneration Policy					
13	Shareholder Proposal Regarding Amendments to	ShrHoldr	N/A	For	N/A	
	Articles					
14	Shareholder Proposal Regarding Uniform Text of	ShrHoldr	N/A	For	N/A	
	Articles					
15	Shareholder Proposal Regarding Disposal of Non-Current	ShrHoldr	For	For	For	
	Assets					
16	Shareholder Proposal Regarding Conclusion of Services	ShrHoldr	For	For	For	
	Agreements					
17	Shareholder Proposal Regarding Conclusion of Agreements on	ShrHoldr	For	For	For	
	Donations, Discharge of Debt and					
	Similar					
18	Shareholder Proposal Regarding Principles and Procedures for	ShrHoldr	For	For	For	
	Non-Current Assets					
	Disposal					
19	Shareholder Proposal Regarding Report on	ShrHoldr	For	For	For	
	Expenses					
20	Shareholder Proposal Regarding	ShrHoldr	For	For	For	
	Requirements for					
	Management Board					
	Candidate					
21	Shareholder Proposal Regarding Appointment of Management Board	ShrHoldr	For	For	For	
	Member					
22	Shareholder Proposal Regarding Fulfilment of Obligations on the	ShrHoldr	For	For	For	
	State Assets					
	Management					
23	Shareholder Proposal Regarding Changes to the Supervisory Board	ShrHoldr	For	Abstain	Against	
	Composition					
24	Presentation of the Results of Management	Mgmt	For	For	For	
	Board Members					
	Qualification					
	Procedure					
25	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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PGE Polska Grupa Energetyczna SA

Ticker Security ID:  
PGE CINS X6447Z104

Meeting Date  
09/05/2016

Meeting Status  
Voted

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Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
6	Agenda	Mgmt	For	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For	For
8	Amendment to Par Value; Capitalisation of Reserves	Mgmt	For	For	For	For
9	Amendments to Articles	Mgmt	For	For	For	For
10	Transfer of Supplementary Capital	Mgmt	For	For	For	For
11	Removal of Supervisory Board Member	Mgmt	For	Abstain	Against	Against
12	Elect Supervisory Board Member	Mgmt	For	Abstain	Against	Against
13	Coverage of Meeting Costs	Mgmt	For	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A

PGE Polska Grupa Energetyczna SA

Ticker	Security ID:	Meeting Date	Meeting Status			
PGE	CINS X6447Z104	12/14/2016	Voted			
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For	For
6	Agenda	Mgmt	For	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For	For
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A	N/A
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A	N/A
10	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A	N/A

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	(Corporate Purpose)					
11	Coverage of Meeting Costs	Mgmt	For	For	For	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
PKO Bank SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
PKO	CINS X6919X108	03/13/2017	Voted			
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chair	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	Agenda	Mgmt	For	For	For	
6	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHolder	N/A	Abstain	N/A	
7	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHolder	N/A	Abstain	N/A	
8	Amendments to Articles	Mgmt	For	For	For	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
Polish Oil & Gas SA						
Ticker	Security ID:	Meeting Date	Meeting Status			
PGN	CINS X6582S105	06/28/2017	Voted			
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Election of Presiding Chair	Mgmt	For	For	For	
4	Compliance with Rules of Convocation	Mgmt	For	For	For	
5	Attendance List	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Financial Statements	Mgmt	For	For	For	
8	Accounts and Reports (Consolidated)	Mgmt	For	For	For	
9	Ratification of Management Board Acts	Mgmt	For	For	For	
10	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
11	Allocation of Profits/Dividends	Mgmt	For	For	For	
12	Changes to the	Mgmt	For	Abstain	Against	

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Supervisory Board Composition					
13	Property Purchase	Mgmt	For	For	For
14	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	For	Abstain	Against
15	Shareholder Proposal Regarding Amendments to Management Board Remuneration Regulations	ShrHoldr	For	Abstain	Against
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Polish Oil & Gas SA

Ticker PGN Security ID: CINS X6582S105 Meeting Date 08/25/2016 Meeting Status Voted

Meeting Type Special Country of Trade Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Attendance List	Mgmt	For	For	For
6	Compliance with Rules of Convocation	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Acquisition of Shares in Gazoprojekt S.A.	Mgmt	For	For	For
9	Authority to Repurchase and Dispose of Shares	Mgmt	For	Abstain	Against
10	Shareholder Proposal Regarding Supervisory Board Members' Fees	ShrHoldr	N/A	For	N/A
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Polish Oil & Gas SA

Ticker PGN Security ID: CINS X6582S105 Meeting Date 11/24/2016 Meeting Status Voted

Meeting Type Special Country of Trade Poland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chairman	Mgmt	For	For	For
5	Attendance List	Mgmt	For	For	For
6	Compliance with Rules of Convocation	Mgmt	For	For	For
7	Agenda	Mgmt	For	For	For
8	Amendments to	Mgmt	For	Abstain	Against

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	Previously Adopted Resolution (Share Repurchase)					
9	Acquisition of Assets for In-Kind Contribution	Mgmt	For	For	For	
10	Cancellation of Shares; Authority to Reduce Share Capital	Mgmt	For	For	For	
11	Amendments to Articles of Incorporation	Mgmt	For	For	For	
12	Shareholder Proposal Regarding Management Board Remuneration	ShrHolder	For	Abstain	Against	
13	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHolder	For	Abstain	Against	
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Polski Koncern Naftowy ORLEN S.A						
Ticker	Security ID:	Meeting Date		Meeting Status		
PKN	CINS X6922W204	01/24/2017		Voted		
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Election of Presiding Chairman	Mgmt	For	For	For	
5	Compliance with Rules of Convocation	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Election of Scrutiny Commission	Mgmt	For	For	For	
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHolder	For	Abstain	Against	
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHolder	For	Abstain	Against	
10	Changes to Supervisory Board Composition	ShrHolder	N/A	Abstain	N/A	
11	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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Polski Koncern Naftowy ORLEN S.A						
Ticker	Security ID:	Meeting Date		Meeting Status		
PKN	CINS X6922W204	06/30/2017		Voted		
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For
8	Presentation of Management Board Report	Mgmt	For	For	For
9	Presentation of Financial Statements and Allocation of Profit	Mgmt	For	For	For
10	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For
11	Presentation of Supervisory Board Report	Mgmt	For	For	For
12	Management Board Report	Mgmt	For	For	For
13	Financial Statements	Mgmt	For	For	For
14	Financial Statements (Consolidated)	Mgmt	For	For	For
15	Allocation of Profits/Dividends	Mgmt	For	For	For
16	Ratification of Management Board Acts	Mgmt	For	For	For
17	Ratification of Supervisory Board Acts	Mgmt	For	For	For
18	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	For	N/A
19	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A
20	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	Abstain	N/A
21	Shareholder Proposal Regarding Disposal of Fixed Assets	ShrHoldr	N/A	Abstain	N/A
22	Shareholder Proposal Regarding Service Agreements	ShrHoldr	N/A	Abstain	N/A
23	Shareholder Proposal Regarding Donations, Debt Release Agreements and Similar	ShrHoldr	N/A	Abstain	N/A
24	Shareholder Proposal Regarding Sale of	ShrHoldr	N/A	Abstain	N/A

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	Fixed Assets					
25	Shareholder Proposal Regarding Report on Expenses	ShrHoldr	N/A	Abstain	N/A	
26	Shareholder Proposal Regarding Requirements for Management Board Candidates	ShrHoldr	N/A	Abstain	N/A	
27	Shareholder Proposal Regarding Obligations Arising from Act on Asset Management	ShrHoldr	N/A	Abstain	N/A	
28	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
Powszechna Kasa Oszczednosci Bank Polski SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PKO	CINS X6919X108	06/22/2017		Voted		
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Election of Presiding Chair	Mgmt	For	For	For	
5	Compliance with Rules of Convocation	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Presentation of Accounts and Reports and Profit	Mgmt	For	For	For	
8	Distribution Proposal Presentation of Accounts and Reports (Consolidated)	Mgmt	For	For	For	
9	Presentation of Supervisory Board Reports	Mgmt	For	For	For	
10	Management Board Report	Mgmt	For	For	For	
11	Financial Statements	Mgmt	For	For	For	
12	Management Board Report (Consolidated)	Mgmt	For	For	For	
13	Financial Statements (Consolidated)	Mgmt	For	For	For	
14	Supervisory Board Report	Mgmt	For	For	For	
15	Allocation of Profits/Dividends	Mgmt	For	For	For	
16	Ratify Zbigniew Jagiello	Mgmt	For	For	For	
17	Ratify Janusz Derda	Mgmt	For	For	For	
18	Ratify Bartosz Drabikowski	Mgmt	For	For	For	
19	Ratify Maks Kraczkowski	Mgmt	For	For	For	
20	Ratify Mieczyslaw Krol	Mgmt	For	For	For	

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21	Ratify Piotr Mazur	Mgmt	For	For	For
22	Ratify Jakub Papierski	Mgmt	For	For	For
23	Ratify Jan Rosciszewski	Mgmt	For	For	For
24	Ratify Piotr Alicki	Mgmt	For	For	For
25	Ratify Jaroslaw Myjak	Mgmt	For	For	For
26	Ratify Jacek Oblekowski	Mgmt	For	For	For
27	Ratify Piotr Sadownik	Mgmt	For	For	For
28	Ratify Grazyna Ciurzynska	Mgmt	For	For	For
29	Ratify Zbigniew Hajlasz	Mgmt	For	For	For
30	Ratify Miroslaw Barszcz	Mgmt	For	For	For
31	Ratify Adam Budnikowski	Mgmt	For	For	For
32	Ratify Wojciech Jasinski	Mgmt	For	For	For
33	Ratify Andrzej Kisielewicz	Mgmt	For	For	For
34	Ratify Elzbieta Maczynska-Ziemacka	Mgmt	For	For	For
35	Ratify Janusz Ostaszewski	Mgmt	For	For	For
36	Ratify Jerzy Gora	Mgmt	For	For	For
37	Ratify Miroslaw Czekaj	Mgmt	For	For	For
38	Ratify Piotr Marczak	Mgmt	For	For	For
39	Ratify Marek Mroczkowski	Mgmt	For	For	For
40	Ratify Krzysztof Kilian	Mgmt	For	For	For
41	Ratify Zofia Dzik	Mgmt	For	For	For
42	Ratify Malgorzata Dec-Kruczkowska	Mgmt	For	For	For
43	Ratify Agnieszka Winnik-Kalembe	Mgmt	For	For	For
44	Changes to Supervisory Board Composition	Mgmt	For	Abstain	Against
45	Presentation of Supervisory Board Report (Remuneration Policy; Corporate Governance)	Mgmt	For	For	For
46	Changes to Supervisory Board Composition	Mgmt	For	Abstain	Against
47	Amendments to Articles	Mgmt	For	For	For
48	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Powszechny Zaklad Ubezpieczen SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PZU	CINS X6919T107	06/29/2017		Voted		
Meeting Type		Country of Trade				
Annual		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Election of Presiding Chair	Mgmt	For	For	For	
5	Compliance with Rules of Convocation	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Presentation of	Mgmt	For	For	For	



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	Financial Statements					
8	Presentation of Management Board Report	Mgmt	For	For	For	
9	Presentation of Financial Statements (Consolidated)	Mgmt	For	For	For	
10	Presentation of Management Board Report (Consolidated)	Mgmt	For	For	For	
11	Presentation of Supervisory Board Report (Accounts and Reports)	Mgmt	For	For	For	
12	Presentation of Supervisory Board Reports	Mgmt	For	For	For	
13	Financial Statements	Mgmt	For	For	For	
14	Management Board Report	Mgmt	For	For	For	
15	Financial Statements (Consolidated)	Mgmt	For	For	For	
16	Management Board Report (Consolidated)	Mgmt	For	For	For	
17	Allocation of Profits/Dividends	Mgmt	For	For	For	
18	Ratification of Management Board Acts	Mgmt	For	For	For	
19	Ratification of Supervisory Board Acts	Mgmt	For	For	For	
20	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHoldr	N/A	For	N/A	
21	Shareholder Proposal Regarding Amendments to Articles	ShrHoldr	N/A	For	N/A	
22	Shareholder Proposal Regarding Changes to the Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A	
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
PZU Group SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
PZU	CINS X6919T107	01/18/2017		Voted		
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Election of Presiding Chairman	Mgmt	For	For	For	
5	Compliance with Rules	Mgmt	For	For	For	

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	of Convocation					
6	Agenda	Mgmt	For	For	For	
7	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A	
8	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A	
9	Shareholder Proposal Regarding Removal of Supervisory Board Member	ShrHoldr	N/A	Abstain	N/A	
10	Shareholder Proposal Regarding Election of Supervisory Board Member	ShrHoldr	N/A	Abstain	N/A	
11	Coverage of Meeting Costs	Mgmt	For	For	For	
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

PZU Group SA

Ticker	Security ID:	Meeting Date	Meeting Status			
PZU	CINS X6919T107	04/12/2017	Voted			
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Elect Presiding Chair	Mgmt	For	For	For	
5	Compliance with the Rules of Convocation	Mgmt	For	For	For	
6	Agenda	Mgmt	For	For	For	
7	Authority to Issue Subordinated Bonds	Mgmt	For	For	For	
8	Shareholder Proposal Regarding Changes to Supervisory Board Composition	ShrHoldr	N/A	Abstain	N/A	
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

Rockcastle Global Real Estate Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status			
ROCK	CINS V7688C103	06/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		Mauritius				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Accounts and Reports	Mgmt	For	For	For	
2	Elect Mark Olivier	Mgmt	For	For	For	
3	Elect Spiro Noussis	Mgmt	For	For	For	
4	Elect Nick Matulovich	Mgmt	For	For	For	
5	Elect Rory Kirk	Mgmt	For	For	For	
6	Elect Yan Ng	Mgmt	For	For	For	

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7	Elect Andre van der Veer	Mgmt	For	For	For
8	Elect Barry L. Stuhler	Mgmt	For	For	For
9	Elect Karen Bodenstein	Mgmt	For	For	For
10	Elect Marek Noetzel	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Authority to Set Auditor's Fees	Mgmt	For	For	For
13	Directors' Fees	Mgmt	For	For	For
14	Authority to Issue Share w/ or w/o Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares for Cash	Mgmt	For	For	For
16	Authorisation of Legal Formalities	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For

Synthos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SNS	CINS X9803F100	05/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Opening of Meeting; Election of Presiding Chair	Mgmt	For	For	For
3	Compliance with Rules of Convocation	Mgmt	For	For	For
4	Agenda	Mgmt	For	For	For
5	Presentation of Supervisory Board Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Accounts and Reports (Consolidated)	Mgmt	For	For	For
8	Ratification of Management Board Acts	Mgmt	For	For	For
9	Ratification of Supervisory Board Acts	Mgmt	For	For	For
10	Use of Reserve Capital	Mgmt	For	For	For
11	Allocation of Profit	Mgmt	For	For	For
12	Dividends	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	Abstain	Against
14	Election of Supervisory Board	Mgmt	For	Abstain	Against
15	Supervisory Board Fees	Mgmt	For	Abstain	Against
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Synthos S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
SNS	CINS X9803F100	09/19/2016	Voted		
Meeting Type	Country of Trade				
Special	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Opening of Meeting; Election of Presiding Chairman	Mgmt	For	For	For
3	Compliance with Rules of Convocation	Mgmt	For	For	For
4	Agenda	Mgmt	For	For	For
5	Transfer of Reserves	Mgmt	For	Abstain	Against
6	Amendments to Articles	Mgmt	For	For	For
7	Adoption of Uniform Text of Articles	Mgmt	For	For	For
8	Coverage of Meeting Costs	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

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Tauron Polska Energia SA

Ticker	Security ID:	Meeting Date	Meeting Status		
TPE	CINS X893AL104	05/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Election of Presiding Chair	Mgmt	For	For	For
5	Compliance with Rules of Convocation	Mgmt	For	For	For
6	Agenda	Mgmt	For	For	For
7	Voting Method	Mgmt	For	For	For
8	Election of Scrutiny Committee	Mgmt	For	For	For
9	Presentation of Financial Statements	Mgmt	For	For	For
10	Presentation of Supervisory Board Reports	Mgmt	For	For	For
11	Financial Statements (Consolidated)	Mgmt	For	For	For
12	Management Board Report (Consolidated)	Mgmt	For	For	For
13	Financial Statements	Mgmt	For	For	For
14	Management Board Report	Mgmt	For	For	For
15	Coverage of Losses	Mgmt	For	For	For
16	Ratification of Management Board Acts	Mgmt	For	For	For
17	Ratification of Supervisory Board	Mgmt	For	For	For

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	Acts					
18	Supervisory Board Size	Mgmt	For	For	For	
19	Election of Supervisory Board Members	Mgmt	For	Abstain	Against	
20	Shareholder Proposal Regarding Amendments to Management Board Remuneration Policy	ShrHolder	N/A	For	N/A	
21	Shareholder Proposal Regarding Amendments to Articles	ShrHolder	N/A	Abstain	N/A	
22	Shareholder Proposal Regarding Disposal of Company's Assets	ShrHolder	N/A	Abstain	N/A	
23	Shareholder Proposal Regarding Regulations on Agreements for Services	ShrHolder	N/A	Abstain	N/A	
24	Shareholder Proposal Regarding Regulations on Agreements for Donations, Debt Exemptions, and Similar Agreements	ShrHolder	N/A	Abstain	N/A	
25	Shareholder Proposal Regarding Regulations on Disposal of Assets	ShrHolder	N/A	Abstain	N/A	
26	Shareholder Proposal Regarding Obligation of Publication of Statements on Services	ShrHolder	N/A	Abstain	N/A	
27	Shareholder Proposal Regarding Requirements for Candidates to Management Board	ShrHolder	N/A	Abstain	N/A	
28	Shareholder Proposal Regarding Fulfilment of Obligations from Articles 17.7, 18.2, 20, and 23 of Act on State Property Management	ShrHolder	N/A	Abstain	N/A	
29	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
<hr/>						
Tauron Polska Energia SA						
Ticker	Security ID:	Meeting Date		Meeting Status		
TPE	CINS X893AL104	12/15/2016		Voted		
Meeting Type		Country of Trade				
Special		Poland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	

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3	Election of Presiding Chairman	Mgmt	For	For	For
4	Compliance with Rules of Convocation	Mgmt	For	For	For
5	Agenda	Mgmt	For	For	For
6	Voting Procedure for Election of Scrutiny Commission	Mgmt	For	For	For
7	Election of Scrutiny Commission	Mgmt	For	For	For
8	Shareholder Proposal Regarding Remuneration Policy (Management Board)	ShrHoldr	N/A	Abstain	N/A
9	Shareholder Proposal Regarding Remuneration Policy (Supervisory Board)	ShrHoldr	N/A	Abstain	N/A
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Fund Name : VanEck Vectors Rare Earth/Strategic Metals ETF

Assore Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ASR	CINS S07525116	11/25/2016	Voted		
Meeting Type	Country of Trade				
Annual	South Africa				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Re-elect Thandeka N. Mgoduso	Mgmt	For	For	For
2	Re-elect Sydney Mhlarhi	Mgmt	For	For	For
3	Elect Audit and Risk Committee Members	Mgmt	For	Against	Against
4	Approve Remuneration Policy	Mgmt	For	Against	Against
5	Approve NEDs' Fees	Mgmt	For	For	For
6	Approve Executive Directors' Fees	Mgmt	For	For	For
7	Approve Financial Assistance	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For

China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	04/14/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Cooperation Arrangement	Mgmt	For	For	For
4	Board Authorization of Investment Cooperation	Mgmt	For	For	For
5	Liability Insurance	Mgmt	For	For	For
6	Introducing New	Mgmt	For	For	For

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Shareholders to					
BHR					
7	Plan for Distribution of Accumulated Profits	Mgmt	For	For	For
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China Molybdenum Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
3993	CINS Y1503Z113	06/28/2017		Voted	
Meeting Type		Country of Trade			
Other		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Authority to Repurchase H Shares	Mgmt	For	For	For
<hr/>					
China Molybdenum Company Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
3993	CINS Y1503Z113	06/28/2017		Voted	
Meeting Type		Country of Trade			
Annual		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Financial Report	Mgmt	For	For	For
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	For	For
7	2017 Budget Report	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Give Guarantees	Mgmt	For	Abstain	Against
10	Authority to Issue Bonds in Overseas Market	Mgmt	For	For	For
11	Approval to Commence Hedging Business	Mgmt	For	For	For
12	Authority to Declare Interim and Quarterly Dividends	Mgmt	For	For	For
13	Authority to Repurchase H Shares	Mgmt	For	For	For
14	Legal Compliance for Corporate Bonds Issue	Mgmt	For	For	For
15	Issue Size	Mgmt	For	For	For
16	Par Value and Issue Price	Mgmt	For	For	For
17	Type and Maturity	Mgmt	For	For	For
18	Interest Rate	Mgmt	For	For	For
19	Issue Methods	Mgmt	For	For	For
20	Offering Arrangement for Shareholders	Mgmt	For	For	For
21	Terms on Redemption or Repurchase	Mgmt	For	For	For

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22	Guarantee Arrangement	Mgmt	For	For	For
23	Use of Proceeds	Mgmt	For	For	For
24	Protective Measures for Repayment	Mgmt	For	For	For
25	Listing Venue	Mgmt	For	For	For
26	Term of Validity	Mgmt	For	For	For
27	Ratification of Board Acts (Corporate Bonds Issue)	Mgmt	For	For	For
28	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
2	Major Asset Purchase (Niobium-Phosphates)	Mgmt	For	For	For
3	Report Regarding Asset Purchase	Mgmt	For	For	For
4	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
5	Article 4 Compliance	Mgmt	For	For	For
6	Article 5 Compliance	Mgmt	For	For	For
7	Related Party Certification	Mgmt	For	For	For
8	Ratification of Board Acts	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Eligibility for Major Asset Reorganization	Mgmt	For	For	For
2	Major Asset Purchase (Copper-Cobalt)	Mgmt	For	For	For
3	Report Regarding Asset Purchase	Mgmt	For	For	For
4	Article 13 Resolution Regarding Backdoor Listing	Mgmt	For	For	For
5	Article 4 Compliance	Mgmt	For	For	For
6	Article 5 Compliance	Mgmt	For	For	For
7	Related Party Certification	Mgmt	For	For	For
8	Ratification of Board Acts	Mgmt	For	For	For

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China Molybdenum Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status
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3993	CINS Y1503Z113	09/23/2016	Voted			
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Eligibility for Non-public Issuance of A Shares	Mgmt	For	For	For	
2	Class and Par Value	Mgmt	For	For	For	
3	Issue Price and Pricing Principle	Mgmt	For	For	For	
4	Number of Shares	Mgmt	For	For	For	
5	Target Subscribers	Mgmt	For	For	For	
6	Method of Subscriptions	Mgmt	For	For	For	
7	Lock-up Period	Mgmt	For	For	For	
8	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For	
9	Place of Listing	Mgmt	For	For	For	
10	Use of Proceeds From Fund Raising	Mgmt	For	For	For	
11	Validity Period of Resolution	Mgmt	For	For	For	
12	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For	
13	Related Party Transactions: Non-Public Issuance of A Shares	Mgmt	For	For	For	
14	Feasibility Report on Use of Proceed	Mgmt	For	For	For	
15	Report on Use of Proceeds from Previous Raised Funds	Mgmt	For	For	For	
16	Board Authorization to Implement Share Issuance	Mgmt	For	For	For	
17	Shareholder Return Plan	Mgmt	For	Abstain	Against	
18	Risk Alert and Remedial Measures for Dilution of Current Returns	Mgmt	For	For	For	
19	Undertaking by Controlling Shareholders for Dilution of Current Returns	Mgmt	For	For	For	
20	Undertaking by Directors and Senior Management for Dilution of Current Returns	Mgmt	For	For	For	
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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China Molybdenum Company Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
3993	CINS Y1503Z113	09/23/2016	Voted		
Meeting Type	Country of Trade				
Other	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Class and Par Value	Mgmt	For	For	For
2	Issue Price and Pricing Principle	Mgmt	For	For	For
3	Number of Shares	Mgmt	For	For	For
4	Target Subscribers	Mgmt	For	For	For
5	Method of Subscriptions	Mgmt	For	For	For
6	Lock-up Period	Mgmt	For	For	For
7	Arrangement on Accumulated Profit Distribution	Mgmt	For	For	For
8	Place of Listing	Mgmt	For	For	For
9	Use of Proceeds From Fund Raising	Mgmt	For	For	For
10	Validity Period of Resolution	Mgmt	For	For	For
11	Revised Version of Proposal on the Non-public Issuance of A Shares	Mgmt	For	For	For
12	Board Authorization to Implement Share Issuance	Mgmt	For	For	For

China Northern Rare Earth (Group) High-Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date	Meeting Status		
600111	CINS Y40840103	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Independent Directors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Financial Budget	Mgmt	For	For	For
7	Allocation of Profits/Dividends	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	Against	Against
9	Approval of Line of Credit	Mgmt	For	For	For
10	Amendments to Management Measures on Financing Guarantee	Mgmt	For	Abstain	Against
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Shareholder Returns Plan for Years from	Mgmt	For	Abstain	Against

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	2017 to 2019					
13	Financial Services Agreement	Mgmt	For	Against	Against	
14	Intra-company Contract to Purchase Raw Earth Ore	Mgmt	For	For	For	
15	Amendments to Articles	Mgmt	For	For	For	
16	Appointment of Auditor	Mgmt	For	For	For	
17	Elect Yin Jianwei	Mgmt	For	Against	Against	
18	Elect GU Ming	Mgmt	For	Against	Against	
19	Elect CHEN Jianli	Mgmt	For	For	For	

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China Northern Rare Earth (Group) High-Tech Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600111	CINS Y40840103	05/15/2017		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Related Party Transactions with Inner Mongolia BaoTou Steel Union and BaoTou Steel Mining	Mgmt	For	For	For	

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China Northern Rare Earth (Group) High-Tech Co., Ltd.						
Ticker	Security ID:	Meeting Date		Meeting Status		
600111	CINS Y40840103	09/06/2016		Voted		
Meeting Type		Country of Trade				
Special		China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Size of Issuance	Mgmt	For	For	For	
3	Par Value and Issuance Price	Mgmt	For	For	For	
4	Bond Type and Maturity	Mgmt	For	For	For	
5	Interest Rate	Mgmt	For	For	For	
6	Guarantee Arrangement	Mgmt	For	For	For	
7	Use of Raised Funds	Mgmt	For	For	For	
8	Method of Issuance	Mgmt	For	For	For	
9	Redemption or Resale Provisions	Mgmt	For	For	For	
10	Issuance Targets and Arrangement for Placement to Shareholders	Mgmt	For	For	For	
11	Underwriting Method	Mgmt	For	For	For	
12	Listing Market	Mgmt	For	For	For	
13	Credit and Guarantee Measures for Debt Repayment	Mgmt	For	For	For	
14	Validity Period	Mgmt	For	For	For	
15	Board Authorization to Implement Corporate Bond Issuance	Mgmt	For	For	For	
16	Authority to Give Guarantees	Mgmt	For	For	For	
17	Amendments to Articles	Mgmt	For	For	For	

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18	Elect WANG Zhancheng as Director	Mgmt	For	For	For
19	Elect XING Bin as Supervisor	Mgmt	For	Against	Against

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China Northern Rare Earth (Group) High-Tech Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
600111	CINS Y40840103	11/23/2016		Voted	
Meeting Type		Country of Trade			
Special		China			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approval of Line of Credit	Mgmt	For	For	For

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China Rare Earth Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0769	CINS G21089100	06/16/2017		Voted	
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect QIAN Yuanying	Mgmt	For	For	For
5	Elect HUANG Chunhua	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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CITIC Dameng Holdings Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
1091	CINS G2202Z107	06/21/2017		Voted	
Meeting Type		Country of Trade			
Annual		Bermuda			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Lyu Yanzheng	Mgmt	For	For	For
5	Elect CHEN Jiqiu	Mgmt	For	For	For
6	Elect LIN Zhijun	Mgmt	For	For	For
7	Elect MO Shijian	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive	Mgmt	For	Against	Against

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11	Rights Authority to Repurchase Shares	Mgmt	For	For	For
12	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Eramet S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
ERA	CINS F3145H130	05/23/2017	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Accounts and Reports	Mgmt	For	For	For
6	Consolidated Accounts and Reports	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Allocation of Losses	Mgmt	For	For	For
9	Ratification of the Co-option of Jean-Yves Gilet	Mgmt	For	For	For
10	Elect Michel Antseleve	Mgmt	For	Against	Against
11	Elect Philippe Gomes	Mgmt	For	For	For
12	Elect Catherine Ronge	Mgmt	For	For	For
13	Elect Christine Coignard	Mgmt	For	For	For
14	Elect Christel Bories	Mgmt	For	For	For
15	Remuneration Policy (Chair and CEO)	Mgmt	For	For	For
16	Remuneration Policy (Deputy CEO)	Mgmt	For	For	For
17	Remuneration of Patrick Buffet, Chair and CEO	Mgmt	For	For	For
18	Remuneration of Georges Duval, Deputy CEO (until April 22, 2016)	Mgmt	For	For	For
19	Remuneration of Philippe Vecten, Deputy CEO (until April 22, 2016)	Mgmt	For	For	For
20	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For
21	Directors' Fees	Mgmt	For	For	For
22	Authority to Increase Capital Through	Mgmt	For	For	For

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23	Capitalisations Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
24	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
25	Authority to Issue Shares w/o Preemptive Rights Through Private Placement	Mgmt	For	Against	Against
26	Authority to Issue Shares w/o Preemptive Rights in Consideration for Securities Issued by Subsidiaries	Mgmt	For	Against	Against
27	Authority to Increase Capital in Consideration for Contributions in Kind	Mgmt	For	Against	Against
28	Global Ceiling on Capital Increases	Mgmt	For	For	For
29	Employee Stock Purchase Plan	Mgmt	For	For	For
30	Authority to Cancel Shares and Reduce Capital	Mgmt	For	For	For
31	Amendments to Articles Regarding Director Share Ownership	Mgmt	For	For	For
32	Authorisation of Legal Formalities	Mgmt	For	For	For

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Galaxy Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status
GXY	CINS Q39596103	05/18/2017	Voted
Meeting Type	Country of Trade		
Annual	Australia		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Non-Voting Meeting Note	N/A	N/A
2	Remuneration Report	Mgmt	For
3	Re-elect Martin R. Rowley	Mgmt	For
4	Elect Peter Bacchus	Mgmt	For
5	Elect John R. Turner	Mgmt	For
6	Ratify Placement of Securities (Apollo Options)	Mgmt	For
7	Ratify Placement of Securities (Canaccord)	Mgmt	For
8	Ratify Placement of Securities (OL Master Warrants - Facility Agreement)	Mgmt	For

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9	Ratify Placement of Securities (OL Master Warrants - New Facility)	Mgmt	For	For	For
10	Ratify Placement of Securities (Primer)	Mgmt	For	For	For
11	Ratify Placement of Securities (James Bay Tenements)	Mgmt	For	For	For
12	Ratify Placement of Securities (Capital Raising)	Mgmt	For	For	For
13	Approve Share Consolidation	Mgmt	For	For	For
14	Approve Employee Option Plan	Mgmt	For	For	For
15	Equity Grant (Chairman Martin Rowley)	Mgmt	For	Against	Against
16	Equity Grant (MD Anthony Tse)	Mgmt	For	For	For
17	Equity Grant (NED ZHANG Jian-Nan)	Mgmt	For	Against	Against
18	Equity Grant (NED Peter Bacchus)	Mgmt	For	Against	Against
19	Equity Grant (NED John Turner)	Mgmt	For	Against	Against
20	Approve Increase in NEDs' Fee Cap	Mgmt	For	Against	Against

Iluka Resources Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ILU	CINS Q4875J104	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Re-elect Jenny A. Seabrook	Mgmt	For	For	For
3	Re-elect Marcelo H. Bastos	Mgmt	For	For	For
4	Remuneration Report	Mgmt	For	Against	Against

Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	01/03/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Authority to Give Guarantees for Working Capital Loan	Mgmt	For	For	For
2	Authority to Give	Mgmt	For	For	For

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	Guarantees for Overseas Financing				
3	2017 Technology and Equipment Investment Plan	Mgmt	For	For	For

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Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Directors Report	Mgmt	For	For	For
2	Supervisors Report	Mgmt	For	For	For
3	Annual Report and Summary	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Related Party Transactions	Mgmt	For	For	For
7	Appointment of Auditor and Internal Control Firm	Mgmt	For	For	For
8	Replenishment of Working Capital with Surplus Raised Funds	Mgmt	For	For	For

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Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	05/31/2017	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHENG Fangfang	Mgmt	For	For	For
2	Elect MA Baoping	Mgmt	For	Against	Against
3	Elect ZHANG Jixiang	Mgmt	For	For	For
4	Elect MA Jiancheng	Mgmt	For	For	For
5	Elect WANG Xiaoming	Mgmt	For	For	For
6	Elect OU Shiqin	Mgmt	For	For	For
7	Elect LIU Haibin	Mgmt	For	For	For
8	Elect TIAN Gaoliang	Mgmt	For	For	For
9	Elect YANG Rong	Mgmt	For	For	For
10	Elect YANG Weiqiao	Mgmt	For	For	For
11	Elect LIU Gang	Mgmt	For	For	For
12	Elect SHEN Zhanxin	Mgmt	For	For	For
13	Elect ZHANG Xiaodong	Mgmt	For	Against	Against

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Jinduicheng Molybdenum Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
601958	CINS Y44498106	10/17/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect CHENG Fangfang as Director	Mgmt	For	For	For



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Lithium Americas Corp.						
Ticker	Security ID:	Meeting Date	Meeting Status			
LAC	CINS 53680Q108	03/27/2017	Voted			
Meeting Type		Country of Trade				
Special		Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Private Placement	Mgmt	For	For	For	

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Lynas Corporation Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
LYC	CINS Q5683J103	11/30/2016	Voted			
Meeting Type		Country of Trade				
Annual		Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Remuneration Report	Mgmt	For	For	For	
3	Re-elect Kathleen Conlon	Mgmt	For	For	For	
4	Equity Grant (MD/CEO Amanda Lacaze)	Mgmt	For	For	For	
5	AMENDMENT TO TERMS OF CONVERTIBLE BONDS	Mgmt	For	For	For	

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Nemaska Lithium Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
NMX	CINS 64045C106	12/14/2016	Voted			
Meeting Type		Country of Trade				
Mix		Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Michel Baril	Mgmt	For	For	For	
3	Elect Guy Bourassa	Mgmt	For	Against	Against	
4	Elect Judy Baker	Mgmt	For	For	For	
5	Elect Rene Lessard	Mgmt	For	For	For	
6	Elect Francois Biron	Mgmt	For	For	For	
7	Elect Paul-Henri Couture	Mgmt	For	For	For	
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
9	Reconfirmation of Shareholder Rights Plan	Mgmt	For	For	For	
10	Stock Option Plan Renewal	Mgmt	For	For	For	

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North Mining Shares Company Limited						
Ticker	Security ID:	Meeting Date	Meeting Status			
0433	CINS G6661B121	05/23/2017	Voted			
Meeting Type		Country of Trade				
Annual		Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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3	Accounts and Reports	Mgmt	For	For	For
4	Elect YANG Ying Min	Mgmt	For	Against	Against
5	Elect ZHANG Jia Kun	Mgmt	For	For	For
6	Elect CHENG Chak Ho	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Orocobre Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
ORE	CINS Q7142R106	11/23/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-elect Robert Hubbard	Mgmt	For	For	For
4	Re-elect Fernando Oris de Roa	Mgmt	For	For	For
5	Elect Leanne Haywood	Mgmt	For	For	For
6	Equity Grant (MD/CEO Richard Seville)	Mgmt	For	For	For
7	Approve Termination Payments (MD/CEO Richard Seville)	Mgmt	For	For	For

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Osaka Titanium technologies Co.,Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
5726	CINS J6281H109	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yasuaki Sugizaki	Mgmt	For	Against	Against
3	Elect Takahisa Miyake	Mgmt	For	For	For
4	Elect Mitsuo Takamura	Mgmt	For	For	For
5	Elect Masato Ichise	Mgmt	For	For	For
6	Elect Akira Takamatsu	Mgmt	For	For	For
7	Elect Nae Iijima	Mgmt	For	For	For
8	Elect Fumio Sugizaki as Statutory Auditor	Mgmt	For	For	For

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Pilbara Minerals Limited

Ticker	Security ID:	Meeting Date	Meeting Status
PLS	CINS Q7539C100	01/25/2017	Voted
Meeting Type	Country of Trade		

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Ordinary Issue No.	Description	Australia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Ratify Placement of Securities	Mgmt	For	For	For
3	Approve Issue of Securities	Mgmt	For	For	For
4	Approve Employee Award Plan	Mgmt	For	For	For

Pilbara Minerals Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PLS	CINS Q7539C100	11/24/2016	Voted		
Meeting Type	Country of Trade				
Annual	Australia				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	REMUNERATION REPORT	Mgmt	For	For	For
3	Elect Ken Brinsden	Mgmt	For	For	For
4	Elect Anthony W. Kiernan	Mgmt	For	For	For
5	Elect Steve Scudamore	Mgmt	For	For	For
6	Re-elect John Young	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Equity Grant (NED Anthony Kiernan)	Mgmt	For	Against	Against
9	Equity Grant (NED Steve Scudamore)	Mgmt	For	Against	Against
10	Ratify Placement of Securities	Mgmt	For	For	For
11	Increase in NEDs' Fee Cap	Mgmt	For	For	For

Toho Titanium Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
5727	CINS J85366102	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Yorhihiro Nishiyama	Mgmt	For	For	For
3	Elect Tomoyuki Urabe	Mgmt	For	For	For
4	Elect Hideo Takatori	Mgmt	For	For	For
5	Elect Hiroshi Matsubara	Mgmt	For	For	For
6	Elect Toshiji Matsuo	Mgmt	For	For	For
7	Elect Noriaki Matsuki	Mgmt	For	For	For
8	Elect Seiichi Murayama	Mgmt	For	For	For
9	Elect Yoshihisa Murasawa	Mgmt	For	For	For
10	Elect Yasuhiko Ikubo	Mgmt	For	For	For

Tronox Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status
TROX	CUSIP Q9235V101	04/21/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Thomas Casey	Mgmt	For	For	For
2	Elect Andrew P. Hines	Mgmt	For	For	For
3	Elect Wayne A. Hinman	Mgmt	For	For	For
4	Elect Peter Johnston	Mgmt	For	For	For
5	Elect Ilan Kaufthal	Mgmt	For	For	For
6	Elect Jeffrey N. Quinn	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Tronox Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TROX	CUSIP Q9235V101	11/03/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Majority Vote for Election of Class A Directors	Mgmt	For	For	For
2	Amendment to Constitution Regarding the CEO's Role As a Director	Mgmt	For	For	For

Tronox Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
TROX	CUSIP Q9235V201	11/03/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Adoption of Majority Vote for Election of Class A Directors	Mgmt	For	For	For

Xiamen Tungsten Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
600549	CINS Y6454T107	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Employee Restricted Shares Plan	Mgmt	For	For	For
2	Assessment Measures of Employee Restricted Shares Plan	Mgmt	For	For	For
3	Board Authorization to Implement Employee Restricted Shares Plan	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For

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6	Accounts and Reports	Mgmt	For	For	For
7	Annual Report	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Authority to Issue Short-term Commercial Papers	Mgmt	For	For	For
10	Authority to Issue Medium-term Notes	Mgmt	For	For	For
11	Authority to Give Guarantees	Mgmt	For	For	For
12	Authority to Give Guarantees by Controlled Subsidiary for its Wholly-owned Subsidiary	Mgmt	For	For	For
13	Investment in Wealth Management Products	Mgmt	For	For	For
14	Signing of Loan Agreement	Mgmt	For	For	For
15	Signing of Long-term Procurement Agreement	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Elect ZHOU Min	Mgmt	For	For	For
18	Elect XU Jisong	Mgmt	For	Against	Against
19	Elect ZHANG Zhen	Mgmt	For	Against	Against

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Xiamen Tungsten Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
600549 CINS Y6454T107 09/02/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Confirmation of Entrusted Wealth Management	Mgmt	For	For	For
2	Use of Idle Funds for Cash Wealth Management	Mgmt	For	For	For
3	Elect HOU Xiaoliang	Mgmt	For	For	For

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Xiamen Tungsten Co., Ltd.  
Ticker Security ID: Meeting Date Meeting Status  
600549 CINS Y6454T107 12/29/2016 Voted  
Meeting Type Country of Trade  
Special China  
Issue No. Description Proponent Mgmt Rec Vote Cast For/Against  
Mgmt

1	Acquisition of Equity Interest in Chengdu Lianhong Molybdenum Industry Co., Ltd	Mgmt	For	For	For
2	Agreement on Procurement with Makeng	Mgmt	For	For	For
3	Agreement on Procurement with	Mgmt	For	For	For

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4	Panluo Supply Agreement Renewal with Suzhou Aizhi Gaosi	Mgmt	For	For	For
5	Amendments to Implementation Plan for Annual Remuneration	Mgmt	For	Abstain	Against

Fund Name : VanEck Vectors Retail ETF

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Amazon.com, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMZN	CUSIP 023135106	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jeffrey P. Bezos	Mgmt	For	For	For
2	Elect Tom A. Alberg	Mgmt	For	For	For
3	Elect John Seely Brown	Mgmt	For	For	For
4	Elect Jamie S. Gorelick	Mgmt	For	For	For
5	Elect Daniel P. Huttenlocher	Mgmt	For	For	For
6	Elect Judith A. McGrath	Mgmt	For	For	For
7	Elect Jonathan J. Rubinstein	Mgmt	For	For	For
8	Elect Thomas O. Ryder	Mgmt	For	Against	Against
9	Elect Patricia Q. Stonesifer	Mgmt	For	For	For
10	Elect Wendell P. Weeks	Mgmt	For	Against	Against
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
14	Amendment to the 1997 Stock Incentive Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Report on Use of Criminal Background Checks in Hiring Decisions	ShrHolder	Against	For	Against
16	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHolder	Against	Against	For
17	Shareholder Proposal Regarding Counting Abstentions	ShrHolder	Against	Against	For

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AmerisourceBergen Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ABC	CUSIP 03073E105	03/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Ornella Barra	Mgmt	For	For	For
2	Elect Steven H. Collis	Mgmt	For	For	For
3	Elect Douglas R. Conant	Mgmt	For	For	For
4	Elect D. Mark Durcan	Mgmt	For	For	For
5	Elect Richard W. Gochnauer	Mgmt	For	For	For
6	Elect Lon R. Greenberg	Mgmt	For	For	For
7	Elect Jane E. Henney	Mgmt	For	For	For
8	Elect Kathleen Hyle	Mgmt	For	For	For
9	Elect Michael J. Long	Mgmt	For	For	For
10	Elect Henry W. McGee	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendments to Certificate of Incorporation To Permit Removal of Directors Without Cause	Mgmt	For	For	For

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AutoZone, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AZO	CUSIP 053332102	12/14/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Douglas H. Brooks	Mgmt	For	For	For
2	Elect Linda A. Goodspeed	Mgmt	For	For	For
3	Elect Sue E. Gove	Mgmt	For	For	For
4	Elect Earl G. Graves, Jr.	Mgmt	For	For	For
5	Elect Enderson Guimaraes	Mgmt	For	For	For
6	Elect J.R. Hyde III	Mgmt	For	For	For
7	Elect D. Bryan Jordan	Mgmt	For	For	For
8	Elect W. Andrew McKenna	Mgmt	For	For	For
9	Elect George R. Mrkonic, Jr.	Mgmt	For	For	For
10	Elect Luis P. Nieto	Mgmt	For	For	For
11	Elect William C. Rhodes III	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Approval of Amended Executive Stock Purchase Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Bed Bath & Beyond Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
BBBY	CUSIP 075896100	06/29/2017	Voted

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Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Warren Eisenberg	Mgmt	For	For	For
2	Elect Leonard Feinstein	Mgmt	For	For	For
3	Elect Steven H. Temares	Mgmt	For	For	For
4	Elect Dean S. Adler	Mgmt	For	For	For
5	Elect Stanley F. Barshay	Mgmt	For	For	For
6	Elect Klaus Eppler	Mgmt	For	For	For
7	Elect Patrick R. Gaston	Mgmt	For	For	For
8	Elect Jordan Heller	Mgmt	For	For	For
9	Elect Victoria A. Morrison	Mgmt	For	For	For
10	Elect Virginia P. Ruesterholz	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Re-Approval of the Performance Goals Under the 2012 Incentive Compensation Plan	Mgmt	For	For	For

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Bed Bath & Beyond Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
BBBY	CUSIP 075896100	07/01/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Warren Eisenberg	Mgmt	For	For	For
2	Elect Leonard Feinstein	Mgmt	For	For	For
3	Elect Steven H. Temares	Mgmt	For	For	For
4	Elect Dean S. Adler	Mgmt	For	For	For
5	Elect Stanley F. Barshay	Mgmt	For	For	For
6	Elect Gerri T. Elliott	Mgmt	For	For	For
7	Elect Klaus Eppler	Mgmt	For	For	For
8	Elect Patrick R. Gaston	Mgmt	For	For	For
9	Elect Jordan Heller	Mgmt	For	For	For
10	Elect Victoria A. Morrison	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against
14	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Against	Against	For



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15	Shareholder Proposal Regarding Approval of Severance Agreements	ShrHoldr	Against	For	Against
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Best Buy Co., Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BBY	CUSIP 086516101	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lisa M. Caputo	Mgmt	For	For	For
2	Elect J. Patrick Doyle	Mgmt	For	For	For
3	Elect Russell P. Fradin	Mgmt	For	For	For
4	Elect Kathy J. Higgins Victor	Mgmt	For	For	For
5	Elect Hubert Joly	Mgmt	For	For	For
6	Elect David W. Kenny	Mgmt	For	For	For
7	Elect Karen A. McLoughlin	Mgmt	For	For	For
8	Elect Thomas L. Millner	Mgmt	For	For	For
9	Elect Claudia F. Munce	Mgmt	For	For	For
10	Elect Gerard R. Vittecoq	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendment to the 2014 Omnibus Incentive Plan	Mgmt	For	For	For

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Cardinal Health, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CAH	CUSIP 14149Y108	11/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David J. Anderson	Mgmt	For	For	For
2	Elect Colleen F. Arnold	Mgmt	For	For	For
3	Elect George S. Barrett	Mgmt	For	For	For
4	Elect Carrie S. Cox	Mgmt	For	For	For
5	Elect Calvin Darden	Mgmt	For	For	For
6	Elect Bruce L. Downey	Mgmt	For	For	For
7	Elect Patricia Hemingway Hall	Mgmt	For	For	For
8	Elect Clayton M. Jones	Mgmt	For	For	For
9	Elect Gregory B. Kenny	Mgmt	For	For	For
10	Elect Nancy Killefer	Mgmt	For	For	For
11	Elect David P. King	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Amendment to the 2011 Long Term Incentive Plan	Mgmt	For	For	For
14	Advisory Vote on Executive	Mgmt	For	For	For

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### Compensation

Costco Wholesale Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
COST	CUSIP 22160K105	01/26/2017		Voted		
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Susan L. Decker	Mgmt	For	For	For	
1.2	Elect Richard A. Galanti	Mgmt	For	Withhold	Against	
1.3	Elect John W. Meisenbach	Mgmt	For	For	For	
1.4	Elect Charles T. Munger	Mgmt	For	Withhold	Against	
2	Ratification of Auditor	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
CVS Health Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
CVS	CUSIP 126650100	05/10/2017		Voted		
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Richard M. Bracken	Mgmt	For	For	For	
2	Elect C. David Brown II	Mgmt	For	For	For	
3	Elect Alecia A. DeCoudreaux	Mgmt	For	For	For	
4	Elect Nancy-Ann M. DeParle	Mgmt	For	For	For	
5	Elect David W. Dorman	Mgmt	For	For	For	
6	Elect Anne M. Finucane	Mgmt	For	For	For	
7	Elect Larry J. Merlo	Mgmt	For	For	For	
8	Elect Jean-Pierre Millon	Mgmt	For	For	For	
9	Elect Mary L. Schapiro	Mgmt	For	For	For	
10	Elect Richard J. Swift	Mgmt	For	For	For	
11	Elect William C. Weldon	Mgmt	For	For	For	
12	Elect Tony L. White	Mgmt	For	For	For	
13	Ratification of Auditor	Mgmt	For	For	For	
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
16	Approval of the 2017 Incentive Compensation Plan	Mgmt	For	For	For	
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against	
18	Shareholder Proposal	ShrHoldr	Against	Against	For	

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	Regarding Report on Ratio Between CEO and Employee Pay				
19	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against	Against	For

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Dollar General Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
DG	CUSIP 256677105	05/31/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Warren F. Bryant	Mgmt	For	For	For
2	Elect Michael M. Calbert	Mgmt	For	For	For
3	Elect Sandra B. Cochran	Mgmt	For	Against	Against
4	Elect Patricia Fili-Krushel	Mgmt	For	For	For
5	Elect Paula A. Price	Mgmt	For	For	For
6	Elect William C. Rhodes III	Mgmt	For	For	For
7	Elect David B. Rickard	Mgmt	For	For	For
8	Elect Todd J. Vasos	Mgmt	For	For	For
9	Amendment to the 2007 Stock Incentive Plan	Mgmt	For	For	For
10	Reapproval of the Material Terms under the Annual Incentive Plan	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
13	Ratification of Auditor	Mgmt	For	For	For

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Gap Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GPS	CUSIP 364760108	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert J. Fisher	Mgmt	For	Against	Against
2	Elect William S. Fisher	Mgmt	For	For	For
3	Elect Tracy Gardner	Mgmt	For	For	For
4	Elect Brian D. Goldner	Mgmt	For	For	For
5	Elect Isabella D. Goren	Mgmt	For	For	For
6	Elect Bob L. Martin	Mgmt	For	For	For
7	Elect Jorge P. Montoya	Mgmt	For	For	For
8	Elect Arthur Peck	Mgmt	For	For	For
9	Elect Mayo A. Shattuck III	Mgmt	For	For	For
10	Elect Katherine Tsang	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For

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12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the Employee Stock Purchase Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against	Against	For

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Kohl's Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
KSS	CUSIP 500255104	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter Boneparth	Mgmt	For	For	For
2	Elect Steven A. Burd	Mgmt	For	For	For
3	Elect Kevin Mansell	Mgmt	For	For	For
4	Elect Jonas Prising	Mgmt	For	For	For
5	Elect John E. Schlifske	Mgmt	For	For	For
6	Elect Adrienne Shapira	Mgmt	For	For	For
7	Elect Frank V. Sica	Mgmt	For	For	For
8	Elect Stephanie A. Streeter	Mgmt	For	For	For
9	Elect Nina G. Vaca	Mgmt	For	For	For
10	Elect Stephen E. Watson	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	Against	For

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Kroger Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
KR	CUSIP 501044101	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nora. A. Aufreiter	Mgmt	For	For	For
2	Elect Robert D. Beyer	Mgmt	For	For	For
3	Elect Anne Gates	Mgmt	For	For	For
4	Elect Susan J. Kropf	Mgmt	For	For	For
5	Elect W. Rodney McMullen	Mgmt	For	For	For

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6	Elect Jorge P. Montoya	Mgmt	For	For	For
7	Elect Clyde R. Moore	Mgmt	For	For	For
8	Elect James A. Runde	Mgmt	For	For	For
9	Elect Ronald L. Sargent	Mgmt	For	For	For
10	Elect Bobby S. Shackouls	Mgmt	For	For	For
11	Elect Mark S. Sutton	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Deforestation and Supply Chain	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

L Brands, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LB	CUSIP 501797104	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Donna A. James	Mgmt	For	For	For
2	Elect Jeffrey H. Miro	Mgmt	For	For	For
3	Elect Michael G. Morris	Mgmt	For	For	For
4	Elect Raymond Zimmerman	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
8	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Against	For	Against

Lowe's Companies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LOW	CUSIP 548661107	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1.1	Elect Raul Alvarez	Mgmt	For	For	For
1.2	Elect Angela F. Braly	Mgmt	For	For	For
1.3	Elect Sandra B. Cochran	Mgmt	For	Withhold	Against
1.4	Elect Laurie Z. Douglas	Mgmt	For	For	For
1.5	Elect Richard W. Dreiling	Mgmt	For	For	For
1.6	Elect Robert L. Johnson	Mgmt	For	Withhold	Against
1.7	Elect Marshall O. Larsen	Mgmt	For	For	For
1.8	Elect James H. Morgan	Mgmt	For	For	For
1.9	Elect Robert A. Niblock	Mgmt	For	For	For
1.10	Elect Bertram L. Scott	Mgmt	For	For	For
1.11	Elect Eric C. Wiseman	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Report on Renewable Energy Sourcing	ShrHoldr	Against	Against	For

Macy's Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
M	CUSIP 55616P104	05/19/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Elect Francis S. Blake	Mgmt	For	For	For
2	Elect John A. Bryant	Mgmt	For	For	For
3	Elect Deirdre P. Connelly	Mgmt	For	For	For
4	Elect Jeff Gennette	Mgmt	For	For	For
5	Elect Leslie D. Hale	Mgmt	For	For	For
6	Elect William H. Lenehan	Mgmt	For	For	For
7	Elect Sara L. Levinson	Mgmt	For	For	For
8	Elect Terry J. Lundgren	Mgmt	For	For	For
9	Elect Joyce M. Roche	Mgmt	For	For	For
10	Elect Paul C. Varga	Mgmt	For	For	For
11	Elect Marna C. Whittington	Mgmt	For	For	For
12	Elect Annie A. Young-Scrivner	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Reapproval of the Senior Executive Incentive Compensation Plan	Mgmt	For	For	For

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Mckesson Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/27/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Andy D. Bryant	Mgmt	For	For	For
2	Elect Wayne A. Budd	Mgmt	For	For	For
3	Elect N. Anthony Coles	Mgmt	For	For	For
4	Elect John H. Hammergren	Mgmt	For	For	For
5	Elect M. Christine Jacobs	Mgmt	For	For	For
6	Elect Donald R. Knauss	Mgmt	For	For	For
7	Elect Marie L. Knowles	Mgmt	For	For	For
8	Elect Edward A. Mueller	Mgmt	For	For	For
9	Elect Susan R. Salka	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For
13	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

Ross Stores, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ROST	CUSIP 778296103	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael Balmuth	Mgmt	For	For	For
2	Elect K. Gunnar Bjorklund	Mgmt	For	For	For
3	Elect Michael J. Bush	Mgmt	For	For	For
4	Elect Norman A. Ferber	Mgmt	For	Against	Against
5	Elect Sharon D. Garrett	Mgmt	For	For	For
6	Elect Stephen D. Milligan	Mgmt	For	For	For
7	Elect George P. Orban	Mgmt	For	For	For
8	Elect Michael O'Sullivan	Mgmt	For	For	For
9	Elect Lawrence S. Peiros	Mgmt	For	For	For
10	Elect Gregory L. Quesnel	Mgmt	For	For	For
11	Elect Barbara Rentler	Mgmt	For	For	For
12	Approval of the 2017 Equity Incentive Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For

### Sysco Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SYX	CUSIP 871829107	11/16/2016	Voted		
Meeting Type	Country of Trade		United States		
Annual	United States		United States		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Daniel J. Brutto	Mgmt	For	For	For
2	Elect John M. Cassaday	Mgmt	For	For	For
3	Elect Judith B. Craven	Mgmt	For	For	For
4	Elect William J. DeLaney	Mgmt	For	For	For
5	Elect Joshua D. Frank	Mgmt	For	For	For
6	Elect Larry C. Glasscock	Mgmt	For	For	For
7	Elect Jonathan Golden	Mgmt	For	Against	Against
8	Elect Bradley M. Halverson	Mgmt	For	For	For
9	Elect Hans-Joachim Koerber	Mgmt	For	For	For
10	Elect Nancy S. Newcomb	Mgmt	For	For	For
11	Elect Nelson Peltz	Mgmt	For	For	For
12	Elect Edward D. Shirley	Mgmt	For	For	For
13	Elect Richard G. Tilghman	Mgmt	For	For	For
14	Elect Jackie M. Ward	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against	Against	For

### Target Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TGT	CUSIP 87612E106	06/14/2017	Voted		
Meeting Type	Country of Trade		United States		
Annual	United States		United States		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Roxanne S. Austin	Mgmt	For	For	For
2	Elect Douglas M. Baker, Jr.	Mgmt	For	Against	Against
3	Elect Brian C. Cornell	Mgmt	For	For	For
4	Elect Calvin Darden	Mgmt	For	For	For
5	Elect Henrique De Castro	Mgmt	For	For	For
6	Elect Robert L. Edwards	Mgmt	For	For	For
7	Elect Melanie L. Healey	Mgmt	For	For	For
8	Elect Donald R. Knauss	Mgmt	For	For	For
9	Elect Monica C. Lozano	Mgmt	For	For	For
10	Elect Mary E. Minnick	Mgmt	For	For	For
11	Elect Derica W. Rice	Mgmt	For	For	For



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12	Elect Kenneth L. Salazar	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Approval of the Executive Officer Cash Incentive Plan	Mgmt	For	For	For

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The Home Depot, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HD	CUSIP 437076102	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gerard J. Arpey	Mgmt	For	For	For
2	Elect Ari Bousbib	Mgmt	For	For	For
3	Elect Jeffery H. Boyd	Mgmt	For	For	For
4	Elect Gregory D. Brenneman	Mgmt	For	For	For
5	Elect J. Frank Brown	Mgmt	For	For	For
6	Elect Albert P. Carey	Mgmt	For	For	For
7	Elect Armando Codina	Mgmt	For	For	For
8	Elect Helena B. Foulkes	Mgmt	For	For	For
9	Elect Linda R. Gooden	Mgmt	For	For	For
10	Elect Wayne M. Hewett	Mgmt	For	For	For
11	Elect Karen L. Katen	Mgmt	For	For	For
12	Elect Craig A. Menear	Mgmt	For	For	For
13	Elect Mark Vadon	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Advisory Vote on Political Contributions	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against

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The TJX Companies, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TJX	CUSIP 872540109	06/06/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt

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1	Elect Zein Abdalla	Mgmt	For	For	For
2	Elect Jose B. Alvarez	Mgmt	For	For	For
3	Elect Alan M. Bennett	Mgmt	For	For	For
4	Elect David T. Ching	Mgmt	For	For	For
5	Elect Ernie Herrman	Mgmt	For	For	For
6	Elect Michael F. Hines	Mgmt	For	For	For
7	Elect Amy B. Lane	Mgmt	For	For	For
8	Elect Carol Meyrowitz	Mgmt	For	For	For
9	Elect Jackwyn L. Nemerov	Mgmt	For	For	For
10	Elect John F. O'Brien	Mgmt	For	For	For
11	Elect Willow B. Shire	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	REAPPROVAL OF MATERIAL TERMS OF PERFORMANCE GOALS UNDER THE STOCK INCENTIVE PLAN	Mgmt	For	For	For
14	Reapproval of Material Terms of Performance Goals Under the Cash Incentive Plans	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Linking Executive Pay to Diversity Metrics	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay	ShrHoldr	Against	Against	For
19	Shareholder Report Regarding Gender and Ethnicity Pay Equity	ShrHoldr	Against	For	Against
20	Shareholder Proposal Regarding Net Zero Greenhouse Gas Emissions	ShrHoldr	Against	Against	For

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Wal-Mart Stores Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WMT	CUSIP 931142103	06/02/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James I. Cash, Jr.	Mgmt	For	For	For
2	Elect Timothy P. Flynn	Mgmt	For	For	For
3	Elect Carla A. Harris	Mgmt	For	For	For
4	Elect Thomas W. Horton	Mgmt	For	For	For
5	Elect Marissa A. Mayer	Mgmt	For	For	For
6	Elect C. Douglas	Mgmt	For	For	For

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	McMillon					
7	Elect Gregory B. Penner	Mgmt	For	For	For	
8	Elect Steven S Reinemund	Mgmt	For	For	For	
9	Elect Kevin Y. Systrom	Mgmt	For	For	For	
10	Elect S. Robson Walton	Mgmt	For	For	For	
11	Elect Stuart Walton	Mgmt	For	For	For	
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
14	Ratification of Auditor	Mgmt	For	For	For	
15	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	Against	For	
16	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	For	Against	
17	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	For	

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Walgreens Boots Alliance, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WBA	CUSIP 931427108	01/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Janice M. Babiak	Mgmt	For	For	For
2	Elect David J. Brailer	Mgmt	For	For	For
3	Elect William C. Foote	Mgmt	For	For	For
4	Elect Ginger L. Graham	Mgmt	For	For	For
5	Elect John A. Lederer	Mgmt	For	For	For
6	Elect Dominic P. Murphy	Mgmt	For	For	For
7	Elect Stefano Pessina	Mgmt	For	For	For
8	Elect Leonard D. Schaeffer	Mgmt	For	For	For
9	Elect Nancy M. Schlichting	Mgmt	For	For	For
10	Elect James A. Skinner	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Approval of Amended and Restated 2011 Cash-Based Incentive Plan	Mgmt	For	For	For
14	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For
15	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHoldr	Against	Against	For

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Whole Foods Market, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
WFM	CUSIP 966837106	02/17/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect John Elstrott	Mgmt	For	For	For	
2	Elect Mary Ellen Coe	Mgmt	For	For	For	
3	Elect Shahid Hassan	Mgmt	For	For	For	
4	Elect Stephanie Kugelman	Mgmt	For	For	For	
5	Elect John Mackey	Mgmt	For	For	For	
6	Elect Walter Robb	Mgmt	For	For	For	
7	Elect Jonathan Seiffer	Mgmt	For	For	For	
8	Elect Morris Siegel	Mgmt	For	For	For	
9	Elect Jonathan Sokoloff	Mgmt	For	Against	Against	
10	Elect Ralph Sorenson	Mgmt	For	For	For	
11	Elect Gabrielle Sulzberger	Mgmt	For	For	For	
12	Elect William Tindell, III	Mgmt	For	Against	Against	
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
15	Ratification of Auditor	Mgmt	For	For	For	
16	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For	
17	Shareholder Proposal Regarding Food Waste	ShrHoldr	Against	Against	For	

Fund Name : VanEck Vectors Russia ETF

Cherepovets Metal Factory Severstal						
Ticker	Security ID:	Meeting Date	Meeting Status			
CHMF	CINS 818150302	06/09/2017	Voted			
Meeting Type	Country of Trade					
Annual	Russian Federation					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Elect Alexey A. Mordashov	Mgmt	For	Abstain	Against	
3	Elect Alexey G. Kulichenko	Mgmt	For	Abstain	Against	
4	Elect Vladimir A. Lukin	Mgmt	For	Abstain	Against	
5	Elect Andrey A. Mitukov	Mgmt	For	Abstain	Against	
6	Elect Alexander A. Shevelev	Mgmt	For	Abstain	Against	
7	Elect Philip J. Dayer	Mgmt	For	For	For	
8	Elect Alun D. Bowen	Mgmt	For	For	For	
9	Elect Veikko S. Tamminen	Mgmt	For	For	For	
10	Elect Vladimir A. Mau	Mgmt	For	Abstain	Against	
11	Elect Alexander A. Auzan	Mgmt	For	For	For	

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12	Accounts and Reports	Mgmt	For	For	For
13	Allocation of Profits/Dividends	Mgmt	For	For	For
14	1Q2017 Interim Dividend	Mgmt	For	For	For
15	Elect Nikolay V. Lavrov	Mgmt	For	For	For
16	Elect Roman I. Antonov	Mgmt	For	For	For
17	Elect Svetlana V. Guseva	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Related Party Transactions	Mgmt	For	For	For
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Inter Rao Ues JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
IRAO	CINS X39961101	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Annual Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Audit Commission Fees	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Boris I. Ayuyev	Mgmt	N/A	Abstain	N/A
10	Elect Andrey E. Bugrov	Mgmt	N/A	For	N/A
11	Elect Anatoly A. Gavrilenko	Mgmt	N/A	Abstain	N/A
12	Elect Boris Y. Kovalchuk	Mgmt	N/A	Abstain	N/A
13	Elect Evgeny I. Logovinsky	Mgmt	N/A	Abstain	N/A
14	Elect Alexander M. Lokshin	Mgmt	N/A	Abstain	N/A
15	Elect Andrey E. Murov	Mgmt	N/A	Abstain	N/A
16	Elect Alexey V. Nuzhdov	Mgmt	N/A	Abstain	N/A
17	Elect Ronald Pollett	Mgmt	N/A	For	N/A
18	Elect Elena V. Sapozhnikova	Mgmt	N/A	For	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
20	Elect Denis V. Fedorov	Mgmt	N/A	Abstain	N/A
21	Elect Dmitry E. Shugayev	Mgmt	N/A	Abstain	N/A
22	Elect Gennady I. Bukayev	Mgmt	For	For	For
23	Elect Tatiana B. Zaltsman	Mgmt	For	For	For
24	Elect Svetlana N. Kovaleva	Mgmt	For	For	For
25	Elect Igor V. Feoktistov	Mgmt	For	For	For
26	Elect Tatiana V. Fisenko	Mgmt	For	For	For
27	Appointment of Auditor	Mgmt	For	For	For
28	Amendments to Articles	Mgmt	For	Against	Against
29	Amendments to Board of Directors	Mgmt	For	For	For

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	Regulations				
30	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
31	Amendments to Management Board Regulations	Mgmt	For	For	For
32	Membership in Organisations	Mgmt	For	For	For
33	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Irkutsk Electronetwork Company OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
IESK	CINS X4037W110	06/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Maria A. Bobrik	Mgmt	N/A	Abstain	N/A
7	Elect Andrey V. Borisychev	Mgmt	N/A	Abstain	N/A
8	Elect Boris P. Varnavsky	Mgmt	N/A	Abstain	N/A
9	Elect Alexander K. Zakharov	Mgmt	N/A	Abstain	N/A
10	Elect Efim S. Kuimov	Mgmt	N/A	Abstain	N/A
11	Elect Aleksandr M. Lobanov	Mgmt	N/A	Abstain	N/A
12	Elect Anna A. Malevinskaya	Mgmt	N/A	Abstain	N/A
13	Elect Vadim V. Nedotko	Mgmt	N/A	Abstain	N/A
14	Elect Mikhail Y. Khardikov	Mgmt	N/A	Abstain	N/A
15	Elect Dmitry S. Shumeev	Mgmt	N/A	Abstain	N/A
16	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
17	Elect Yulia G. Zelentsova	Mgmt	For	For	For
18	Elect Julia V. Rafeyeva	Mgmt	For	For	For
19	Elect Tatyana D. Silvedoyne	Mgmt	For	N/A	N/A
20	Elect Elena G. Talaliykina	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

JSC VTB Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
VTBR	CINS X0494D108	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For

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7	Audit Commission Fees	Mgmt	For	For	For
8	Board Size	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Matthias Warnig	Mgmt	For	Abstain	Against
11	Elect Sergey N. Galitsky	Mgmt	For	For	For
12	Elect Yves-Thibault de Silguy	Mgmt	For	For	For
13	Elect Sergey K. Dubinin	Mgmt	For	Abstain	Against
14	Elect Andrey L. Kostin	Mgmt	For	Abstain	Against
15	Elect Shahmar Movsumov	Mgmt	For	For	For
16	Elect Valery S. Petrov	Mgmt	For	For	For
17	Elect Nikolay R. Podguzov	Mgmt	For	Abstain	Against
18	Elect Anton G. Siluanov	Mgmt	For	Abstain	Against
19	Elect Vladimir V. Chistyukhin	Mgmt	For	Abstain	Against
20	Elect Andrey Sharonov	Mgmt	For	Abstain	Against
21	Audit Commission Size	Mgmt	For	For	For
22	Elect Evgeny Sh. Gontmakher	Mgmt	For	For	For
23	Elect Mikhail P. Krasnov	Mgmt	For	For	For
24	Elect Anastasiya Olshanova	Mgmt	For	For	For
25	Elect Sergey R. Platonov	Mgmt	For	For	For
26	Elect Igor N. Repin	Mgmt	For	For	For
27	Elect Zakhar B. Sabantsev	Mgmt	For	For	For
28	Appointment of Auditor	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Amendments to Board Regulations	Mgmt	For	For	For
31	Amendments to Management Board Regulations	Mgmt	For	For	For
32	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

JSC VTB Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
VTBR	CINS 46630Q202	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec		
		Vote Cast	For/Against Mgmt		
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Audit Commission Fees	Mgmt	For	For	For
8	Board Size	Mgmt	For	For	For
9	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
10	Elect Matthias Warnig	Mgmt	For	Abstain	Against
11	Elect Sergey N. Galitsky	Mgmt	For	For	For
12	Elect Yves-Thibault de Silguy	Mgmt	For	For	For
13	Elect Sergey K. Dubinin	Mgmt	For	Abstain	Against
14	Elect Andrey L. Kostin	Mgmt	For	Abstain	Against

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15	Elect Shahmar Movsumov	Mgmt	For	For	For
16	Elect Valery S. Petrov	Mgmt	For	For	For
17	Elect Nikolay R. Podguzov	Mgmt	For	Abstain	Against
18	Elect Anton G. Siluanov	Mgmt	For	Abstain	Against
19	Elect Vladimir V. Chistyukhin	Mgmt	For	Abstain	Against
20	Elect Andrey Sharonov	Mgmt	For	Abstain	Against
21	Audit Commission Size	Mgmt	For	For	For
22	Election of Audit Commission	Mgmt	For	For	For
23	Appointment of Auditor	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For
25	Amendments to Board Regulations	Mgmt	For	For	For
26	Amendments to Management Board Regulations	Mgmt	For	For	For

JSC VTB Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
VTBR	CINS 46630Q202	12/08/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Stock Conversion)	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Amendments to Articles (Major and Related Party Transactions)	Mgmt	For	Against	Against
7	Amendments to General Meeting Regulations	Mgmt	For	Against	Against
8	Amendments to Board Regulations	Mgmt	For	Against	Against

JSC VTB Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
VTBR	CINS X0494D108	12/08/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Amendments to Articles (Stock Conversion)	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Amendments to Articles (Major and Related Party Transactions)	Mgmt	For	Against	Against
6	Amendments to General Meeting	Mgmt	For	Against	Against



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7	Regulations Amendments to Board	Mgmt	For	Against	Against
8	Regulations Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
JSC VTB Bank					
Ticker	Security ID:	Meeting Date		Meeting Status	
VTBR	CINS X0494D108	12/16/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend for Preferred Shares (3Q2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
JSC VTB Bank					
Ticker	Security ID:	Meeting Date		Meeting Status	
VTBR	CINS 46630Q202	12/16/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Dividend for Preferred Shares (3Q2016)	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Lenta Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
LNTA	CINS 52634T200	06/23/2017		Voted	
Meeting Type		Country of Trade			
Annual		Virgin Islands (British)			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stephen R. Johnson	Mgmt	For	For	For
2	Elect Anton Artemiev	Mgmt	For	For	For
3	Elect Michael Lynch-Bell	Mgmt	For	For	For
4	Elect John E. Oliver	Mgmt	For	For	For
5	Elect Dmitry V. Shvets	Mgmt	For	For	For
6	Elect Stephen Peel	Mgmt	For	For	For
7	Elect Martin Elling	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
<hr/>					
Lukoil OAO					
Ticker	Security ID:	Meeting Date		Meeting Status	
LKOH	CINS 69343P105	12/05/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend	Mgmt	For	For	For
2	Directors' Fees	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
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Magnit PAO					

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Ticker	Security ID:	Meeting Date	Meeting Status		
MGNT	CINS 55953Q202	06/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Aleksandr V. Aleksandrov	Mgmt	For	For	For
6	Elect Aleksey A. Pshenichny	Mgmt	For	For	For
7	Elect Dmitry I. Chenikov	Mgmt	For	For	For
8	Elect Khachatur E. Pombykhchan	Mgmt	For	Abstain	Against
9	Elect Sergey N. Galitsky	Mgmt	For	Abstain	Against
10	Elect Aslan Y. Shkhachemukov	Mgmt	For	Abstain	Against
11	Elect Vladimir E. Gordeychuk	Mgmt	For	Abstain	Against
12	Elect Roman G. Yefimenko	Mgmt	For	For	For
13	Elect Irina G. Tsiplenkova	Mgmt	For	For	For
14	Elect Lyubov A. Shaguch	Mgmt	For	For	For
15	Appointment of Auditor (RAS)	Mgmt	For	For	For
16	Appointment of Auditor (IFRS)	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Magnit PAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MGNT	CINS 55953Q202	09/08/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Dividend (1H2016)	Mgmt	For	For	For
3	Major Transaction (Loan Agreement)	Mgmt	For	For	For
4	Major Transaction (Guarantee Agreement)	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Magnit PAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MGNT	CINS 55953Q202	12/08/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Interim Dividend (3Q2016)	Mgmt	For	For	For
2	Early Termination of Audit Commission Powers	Mgmt	For	For	For
3	Elect Roman G. Yefimenko	Mgmt	For	For	For
4	Elect Angela V. Udovichenko	Mgmt	For	For	For
5	Elect Lyubov A. Shaguch	Mgmt	For	For	For
6	Major Transactions (Loan Agreement)	Mgmt	For	For	For
7	Major Transactions (Guarantee Agreement)	Mgmt	For	For	For
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mail.ru Group Ltd

Ticker	Security ID:	Meeting Date	Meeting Status		
MAIL	CINS 560317208	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Virgin Islands (British)				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Elect Dmitry Grishin	Mgmt	For	Against	Against
3	Elect Vladimir Yakovlevich Streshinsky	Mgmt	For	For	For
4	Elect Sergey Soldatenkov	Mgmt	For	For	For
5	Elect Anna Serebryanikova	Mgmt	For	For	For
6	Elect Vlad Wolfson	Mgmt	For	For	For
7	Elect Mark R. Sorour	Mgmt	For	For	For
8	Elect Charles St Leger Searle	Mgmt	For	For	For
9	Elect Vasileios Sgourdos	Mgmt	For	Against	Against

Megafon Ojsc

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	08/19/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Early Termination of Board Powers	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Robert Wilhelm Andersson	Mgmt	N/A	Abstain	N/A
5	Elect Gustav Jonas Markus Bengtsson	Mgmt	N/A	Abstain	N/A
6	Elect Aleksandr	Mgmt	N/A	Abstain	N/A

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7	Yurievich Esikov Elect Nikolay Borisovich Krylov	Mgmt	N/A	Abstain	N/A
8	Elect Lord Paul Myners	Mgmt	N/A	For	N/A
9	Elect Carl Peter Christian Luiga	Mgmt	N/A	Abstain	N/A
10	Elect Per Emil Nilsson	Mgmt	N/A	Abstain	N/A
11	Elect Jan Erik Rudberg	Mgmt	N/A	For	N/A
12	Elect Ingrid Maria Stenmark	Mgmt	N/A	Abstain	N/A
13	Elect Vladimir Yakovlevich Streshinsky	Mgmt	N/A	Abstain	N/A
14	Elect Ivan Vladimirovich Tavrin	Mgmt	N/A	Abstain	N/A
15	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Megafon Ojsc

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	09/16/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Spin-off of JSC First Tower Company	Mgmt	For	For	For
2	Merger with JSC Mobicom Volga and JSC Yaroslav-GSM	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Megafon Ojsc

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	11/25/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Early Termination of Board Powers	Mgmt	For	For	For
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Robert W Andersson	Mgmt	N/A	Abstain	N/A
4	Elect Henriette Ohland Wendt	Mgmt	N/A	Abstain	N/A
5	Elect Aleksandr Yurievich Esikov	Mgmt	N/A	Abstain	N/A
6	Elect Nikolay Borisovich Krylov	Mgmt	N/A	Abstain	N/A

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7	Elect Lord Paul Myners	Mgmt	N/A	For	N/A
8	Elect Per Emil Nilsson	Mgmt	N/A	Abstain	N/A
9	Elect Jan Erik Rudberg	Mgmt	N/A	For	N/A
10	Elect Ingrid Maria Stenmark	Mgmt	N/A	Abstain	N/A
11	Elect Vladimir Yakovlevich Streshinsky	Mgmt	N/A	Abstain	N/A
12	Elect Ivan Vladimirovich Tavrín	Mgmt	N/A	Abstain	N/A
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Megafon Ojsc

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	12/09/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (3Q2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Megafon PJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	01/20/2017	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Acquisition	Mgmt	For	For	For

Megafon PJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
MFON	CINS 58517T209	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Robert W Andersson	Mgmt	N/A	Abstain	N/A
7	Elect Henriette Ohland Wendt	Mgmt	N/A	Abstain	N/A
8	Elect Boris O. Dobrodeev	Mgmt	N/A	Abstain	N/A
9	Elect Aleksandr Y. Esikov	Mgmt	N/A	Abstain	N/A
10	Elect Nikolay B. Krylov	Mgmt	N/A	Abstain	N/A
11	Elect Lord Paul Myners	Mgmt	N/A	For	N/A
12	Elect Douglas Gordon Lubbe	Mgmt	N/A	Abstain	N/A
13	Elect Hannu-Matti Makinen	Mgmt	N/A	Abstain	N/A

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14	Elect Pavel A. Mitrofanov	Mgmt	N/A	Abstain	N/A
15	Elect Ardavan Moshiri	Mgmt	N/A	Abstain	N/A
16	Elect Per Emil Nilsson	Mgmt	N/A	Abstain	N/A
17	Elect Jan Erik Rudberg	Mgmt	N/A	For	N/A
18	Elect Ingrid Maria Stenmark	Mgmt	N/A	Abstain	N/A
19	Elect Vladimir Y. Streshinsky	Mgmt	N/A	Abstain	N/A
20	Election of Management Board; Management Board Size	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Election of Audit Commission	Mgmt	For	For	For
23	Membership in Big Data Association	Mgmt	For	For	For
24	Membership in Internet of Things Association	Mgmt	For	For	For

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Mining and Metallurgical Co Norilsk		Nickel			
Ticker	Security ID:	Meeting Date		Meeting Status	
GMKN	CINS 55315J102	06/09/2017		Voted	
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Financial Statements (Consolidated)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Sergey V. Barbashev	Mgmt	For	Abstain	Against
7	Elect Alexey V. Bashkirov	Mgmt	For	Abstain	Against
8	Elect Rushan A. Bogaudinov	Mgmt	For	Abstain	Against
9	Elect Sergey B. Bratukhin	Mgmt	For	Abstain	Against
10	Elect Andrey E. Bougrov	Mgmt	For	Abstain	Against
11	Elect Marianna A. Zakharova	Mgmt	For	Abstain	Against
12	Elect Stalbek S. Mishakov	Mgmt	For	Abstain	Against
13	Elect Gareth Penny	Mgmt	For	For	For
14	Elect Cornelis Johannes Gerhard Prinsloo	Mgmt	For	For	For
15	Elect Maxim M. Sokov	Mgmt	For	Abstain	Against
16	Elect Vladislav A. Soloviev	Mgmt	For	Abstain	Against
17	Elect Sergey V. Skvortsov	Mgmt	For	For	For
18	Elect Robert W. Edwards	Mgmt	For	For	For
19	Elect Artur Gagikovich Arustamov	Mgmt	For	For	For
20	Elect Anna V. Masalova	Mgmt	For	For	For

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21	Elect Georgiy E. Svanidze	Mgmt	For	For	For
22	Elect Vladimir N. Shilkov	Mgmt	For	For	For
23	Elect Elena A. Yanevich	Mgmt	For	For	For
24	Appointment of Auditor (RAS)	Mgmt	For	For	For
25	Appointment of Auditor (IFRS)	Mgmt	For	For	For
26	Directors' Fees	Mgmt	For	Against	Against
27	Audit Commission Fees	Mgmt	For	For	For
28	Indemnification of Directors/Officers	Mgmt	For	For	For
29	Related Party Transactions (D&O Insurance)	Mgmt	For	For	For
30	Amendments to Articles	Mgmt	For	Against	Against
31	Participation in the "National Global Compact Network Association"	Mgmt	For	For	For
32	Participation in the "Transportation Security Association"	Mgmt	For	For	For
33	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Mobile Telesystems PJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
MTSS	CUSIP 607409109	06/29/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				Mgmt
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
1	Meeting Procedures	Mgmt	For	For	For
2	Meeting Procedures	Mgmt	For	For	For
3	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	For	For
4.1	Elect Alexander E. Gorbunov	Mgmt	For	Withhold	Against
4.2	Elect Andrey A. Dubovskov	Mgmt	For	Withhold	Against
4.3	Elect Ron Sommer	Mgmt	For	Withhold	Against
4.4	Elect Artem I. Zassoursky	Mgmt	For	Withhold	Against
4.5	Elect Michel Combes	Mgmt	For	For	For
4.6	Elect Stanley Miller	Mgmt	For	For	For
4.7	Elect Vsevolod V. Rosanov	Mgmt	For	Withhold	Against
4.8	Elect Regina von Flemming	Mgmt	For	For	For
4.9	Elect Thomas Holtrop	Mgmt	For	For	For
5	Elect Irina R. Borisenkova	Mgmt	For	For	For
6	Elect Maxim A. Mamonov	Mgmt	For	For	For
7	Elect Anatoliy G. Panarin	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	Against	Against
10	Amendments to Board of Directors	Mgmt	For	For	For

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11	Regulations Merger with Subsidiaries	Mgmt	For	For	For
12	Amendments to Articles (Merger)	Mgmt	For	For	For
<hr/>					
Mobile Telesystems PJSC					
Ticker	Security ID:	Meeting Date		Meeting Status	
MTSS	CUSIP 607409109	09/30/2016		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Meeting Procedures	Mgmt	For	For	For
2	Interim Dividends (1H2016)	Mgmt	For	For	For
3	Membership in Moscow Confederation of Industrial and Entrepreneurs (Employers)	Mgmt	For	For	For
4	Membership in Russian-German Chamber of Commerce	Mgmt	For	For	For
<hr/>					
Moscow Exchange Micex OJSC					
Ticker	Security ID:	Meeting Date		Meeting Status	
MOEX	CINS X5504J102	04/27/2017		Voted	
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Alexander K. Afanasiev	Mgmt	For	Abstain	Against
7	Elect Anatoly Braverman	Mgmt	For	Abstain	Against
8	Elect Mikhail V. Bratanov	Mgmt	N/A	For	N/A
9	Elect Yuan Wang	Mgmt	For	For	For
10	Elect Oleg V. Viyugin	Mgmt	N/A	For	N/A
11	Elect Andrei F. Golikov	Mgmt	For	Abstain	Against
12	Elect Maria V. Gordon	Mgmt	For	For	For
13	Elect Valery P. Goreglyad	Mgmt	For	Abstain	Against
14	Elect Yuriy O. Denisov	Mgmt	For	For	For
15	Elect Bella I. Zlatkis	Mgmt	For	Abstain	Against
16	Elect Anatoly M. Karachinskiy	Mgmt	For	For	For
17	Elect Alexey L. Kudrin	Mgmt	For	Abstain	Against
18	Elect Duncan Paterson	Mgmt	For	For	For
19	Elect Rainer Riess	Mgmt	For	For	For
20	Elect Vladislav V. Zimin	Mgmt	For	For	For
21	Elect Mikhail S. Kireev	Mgmt	For	For	For
22	Elect Olga I. Romantsova	Mgmt	For	For	For



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23	Appointment of Auditor	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	Against	Against
25	Amendments to General Meeting Regulations	Mgmt	For	For	For
26	Amendments to Board Regulations	Mgmt	For	For	For
27	Amendments to Management Board Regulations	Mgmt	For	For	For
28	Authority to Reduce Share Capital	Mgmt	For	For	For
29	Directors' Fees	Mgmt	For	For	For
30	Audit Commission Fees	Mgmt	For	For	For
31	Related Party Transaction (D&O Insurance)	Mgmt	For	For	For
32	Related Party Transactions	Mgmt	For	For	For
33	Related Party Transactions	Mgmt	For	For	For

Moscow Exchange Micex OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
MOEX	CINS X5504J102	09/02/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger by Absorption	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Novatek OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
NVTK	CINS 669888109	04/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports; Allocation of Profit/Dividends	Mgmt	For	For	For
4	Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Andrey I. Akimov	Mgmt	For	Abstain	Against
7	Elect Michael Borrell	Mgmt	For	Abstain	Against
8	Elect Burckhard Bergmann	Mgmt	For	Abstain	Against
9	Elect Robert Castaigne	Mgmt	For	For	For
10	Elect Leonid V. Mikhelson	Mgmt	For	Abstain	Against
11	Elect Alexander Y. Natalenko	Mgmt	For	Abstain	Against
12	Elect Viktor P. Orlov	Mgmt	For	For	For
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Elect Andrey V.	Mgmt	For	For	For

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	Sharonov					
16	Elect Olga V. Belyaeva	Mgmt	For	For	For	For
17	Elect Maria A. Panasenko	Mgmt	For	For	For	For
18	Elect Igor A. Ryaskov	Mgmt	For	For	For	For
19	Elect Nikolay K. Shulikin	Mgmt	For	For	For	For
20	Election of Management Board Chair	Mgmt	For	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For	For
22	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
23	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
24	Audit Commission Fees	Mgmt	For	For	For	For

Novatek Oao

Ticker	Security ID:	Meeting Date	Meeting Status		
NVTK	CINS 669888109	09/30/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Against	Against
3	Amendments to General Meeting Regulations	Mgmt	For	Against	Against
4	Amendments to Board of Directors Regulations	Mgmt	For	For	For
5	Amendments to Management Board Regulations	Mgmt	For	Against	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Novolipetsk Steel OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	06/02/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Interim Dividend 1Q2017	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Oleg V. Bagrin	Mgmt	For	Abstain	Against
8	Elect Thomas Veraszto	Mgmt	For	For	For
9	Elect Helmut Wieser	Mgmt	For	For	For
10	Elect Nikolai A. Gagarin	Mgmt	For	Abstain	Against
11	Elect Vladimir S. Lisin	Mgmt	For	Abstain	Against
12	Elect Karen R. Sarkisov	Mgmt	For	Abstain	Against
13	Elect Stanislav V. Shekshnya	Mgmt	For	For	For
14	Elect Benedict Sciortino	Mgmt	For	For	For
15	Elect Franz Struzl	Mgmt	For	For	For
16	Appointment of CEO and	Mgmt	For	For	For

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Management Board					
Chair					
17	Elect Yulia V. Kunikhina	Mgmt	For	For	For
18	Elect Michael Y. Makeev	Mgmt	For	For	For
19	Elect Natalia V. Savina	Mgmt	For	For	For
20	Elect Elena V. Skladchikova	Mgmt	For	For	For
21	Elect Sergey V. Ushkov	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Appointment of Auditor (RAS)	Mgmt	For	For	For
24	Appointment of Auditor (IFRS)	Mgmt	For	For	For

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Novolipetsk Steel OJSC					
Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	09/30/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Novolipetsk Steel OJSC					
Ticker	Security ID:	Meeting Date	Meeting Status		
NLMK	CINS 67011E204	12/23/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (3Q2016)	Mgmt	For	For	For
2	Amendments to Articles	Mgmt	For	Against	Against
3	Amendments to General Meeting Regulations	Mgmt	For	For	For
4	Amendments to Board of Directors Regulations	Mgmt	For	For	For
5	Amendments to Management Board Regulations	Mgmt	For	For	For

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OJSC MMC Norilsk Nickel					
Ticker	Security ID:	Meeting Date	Meeting Status		
GMKN	CINS ADPV30566	12/16/2016	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Dividend (3Q2016)	Mgmt	For	For	For

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PAO Severstal					
Ticker	Security ID:	Meeting Date	Meeting Status		
CHMF	CINS 818150302	09/02/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### PAO Severstal

Ticker	Security ID:	Meeting Date	Meeting Status		
CHMF	CINS 818150302	12/02/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividends (3Q2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	01/16/2017	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Charter	Mgmt	For	Abstain	Against
4	Interim Dividend	Mgmt	For	For	For
5	Related Party Transactions	Mgmt	For	Abstain	Against
6	Related Party Transactions	Mgmt	For	Abstain	Against
7	Related Party Transactions	Mgmt	For	Abstain	Against
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	05/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Igor D. Antoshin	Mgmt	N/A	Abstain	N/A
6	Elect Maksim V. Volkov	Mgmt	N/A	For	N/A
7	Elect Andrey A. Guryev	Mgmt	N/A	Abstain	N/A
8	Elect Andrey G. Guryev	Mgmt	N/A	Abstain	N/A
9	Elect Yuriy N. Krugovykh	Mgmt	N/A	Abstain	N/A
10	Elect Vladimir S. Litvinenko	Mgmt	N/A	Abstain	N/A
11	Elect Sven Ombudstvedt	Mgmt	N/A	For	N/A
12	Elect Roman V. Osipov	Mgmt	N/A	Abstain	N/A
13	Elect James B. Rogers	Mgmt	N/A	For	N/A
14	Elect Ivan I. Rodionov	Mgmt	N/A	Abstain	N/A
15	Elect Marcus J. Rhodes	Mgmt	N/A	For	N/A

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16	Elect Mikhail K. Rybnikov	Mgmt	N/A	Abstain	N/A
17	Elect Alexander F. Sharabaiko	Mgmt	N/A	Abstain	N/A
18	Elect Ekaterina V. Viktorova	Mgmt	For	For	For
19	Elect Galina A. Kalinina	Mgmt	For	For	For
20	Elect Pavel N. Sinitisa	Mgmt	For	For	For
21	Appointment of Auditor	Mgmt	For	For	For
22	Directors' Fees	Mgmt	For	For	For
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	07/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to Charter	Mgmt	For	Abstain	Against
2	Interim Dividend	Mgmt	For	For	For
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### Phosagro OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PHOR	CINS 71922G209	10/03/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Amendments to General Meeting Regulations	Mgmt	For	Abstain	Against
2	Amendments to Board of Directors Regulations	Mgmt	For	Abstain	Against
3	Allocation of Retained Earnings/Dividends	Mgmt	For	For	For
4	Related Party Transactions (Supplementary Guarantee Agreement no.4)	Mgmt	For	Abstain	Against
5	Related Party Transactions (Supplementary Guarantee Agreement no.1)	Mgmt	For	Abstain	Against
6	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
7	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

### PJSC Alrosa

Ticker	Security ID:	Meeting Date	Meeting Status		
ALRS	CINS X0085A109	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Annual Report	Mgmt	For	For	For

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2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits	Mgmt	For	For	For
4	Dividends	Mgmt	For	For	For
5	Directors' Fees	Mgmt	For	For	For
6	Audit Commission Fees	Mgmt	For	Abstain	Against
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Elect Petr V. Alekseev	Mgmt	N/A	Abstain	N/A
9	Elect Sergey V. Barsukov	Mgmt	N/A	Abstain	N/A
10	Elect Georgy K. Basharin	Mgmt	N/A	Abstain	N/A
11	Elect Egor A. Borisov	Mgmt	N/A	Abstain	N/A
12	Elect Aleksandr S. Galushka	Mgmt	N/A	Abstain	N/A
13	Elect Maria V. Gordon	Mgmt	N/A	For	N/A
14	Elect Evgeniya V. Grigoryeva	Mgmt	N/A	Abstain	N/A
15	Elect Andrey A. Guryev	Mgmt	N/A	For	N/A
16	Elect Galina I. Danchikova	Mgmt	N/A	Abstain	N/A
17	Elect Kirill A. Dmitriev	Mgmt	N/A	Abstain	N/A
18	Elect Sergey S. Ivanov	Mgmt	N/A	Abstain	N/A
19	Elect Valentina I. Kondratyeva	Mgmt	N/A	Abstain	N/A
20	Elect Dmitry V. Konov	Mgmt	N/A	For	N/A
21	Elect Valentina Lemesheva	Mgmt	N/A	For	N/A
22	Elect Galina M. Makarova	Mgmt	N/A	For	N/A
23	Elect Sergei V. Mestnikov	Mgmt	N/A	Abstain	N/A
24	Elect Andrey A. Panov	Mgmt	N/A	Abstain	N/A
25	Elect Anton G. Siluanov	Mgmt	N/A	Abstain	N/A
26	Elect Oleg R. Fedorov	Mgmt	N/A	For	N/A
27	Elect Evgeny A. Chekin	Mgmt	N/A	Abstain	N/A
28	Elect Aleksey O. Chekunkov	Mgmt	N/A	Abstain	N/A
29	Elect Anna I. Vasilyeva	Mgmt	For	For	For
30	Elect Aleksandr S. Vasilchenko	Mgmt	For	For	For
31	Elect Dmitry G. Vladimirov	Mgmt	For	For	For
32	Elect Viktor N. Pushmin	Mgmt	For	For	For
33	Appointment of Auditor	Mgmt	For	For	For
34	Amendments to Articles	Mgmt	For	For	For
35	Amendments to General Meeting Regulations	Mgmt	For	For	For
36	Amendments to Board Regulations	Mgmt	For	For	For
37	Amendments to Code of Corporate Governance	Mgmt	For	For	For
38	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PJSC Gazprom

Ticker	Security ID:	Meeting Date	Meeting Status
GAZP	CINS 368287207	06/30/2017	Voted
Meeting Type		Country of Trade	
Annual		Russian Federation	
Issue No.	Description	Proponent	Mgmt Rec
		Vote Cast	For/Against

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					Mgmt
1	Annual Report	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Allocation of Profits	Mgmt	For	For	For
4	Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Against	Against
7	Audit Commission's Fees	Mgmt	For	For	For
8	Amendments to Articles	Mgmt	For	For	For
9	Amendments to Board Regulations	Mgmt	For	For	For
10	Amendments to Management Board Regulations	Mgmt	For	For	For
11	Amendments to Management Board Chair Regulations	Mgmt	For	For	For
12	Amendments to Corporate Governance Code	Mgmt	For	Abstain	Against
13	Membership in Global Gas Center Association	Mgmt	For	For	For
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
15	Elect Andrey I. Akimov	Mgmt	N/A	Abstain	N/A
16	Elect Viktor A. Zubkov	Mgmt	N/A	Abstain	N/A
17	Elect Timur A. Kulibaev	Mgmt	N/A	Abstain	N/A
18	Elect Denis V. Manturov	Mgmt	N/A	For	N/A
19	Elect Vitaly A. Markelov	Mgmt	N/A	Abstain	N/A
20	Elect Viktor G Martynov	Mgmt	N/A	For	N/A
21	Elect Vladimir A. Mau	Mgmt	N/A	Abstain	N/A
22	Elect Alexey B. Miller	Mgmt	N/A	Abstain	N/A
23	Elect Alexander V. Novak	Mgmt	N/A	Abstain	N/A
24	Elect Dmitry N. Patrushev	Mgmt	N/A	Abstain	N/A
25	Elect Mikhail L. Sereda	Mgmt	N/A	Abstain	N/A
26	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
27	Elect Vladimir I. Alisov	Mgmt	For	N/A	N/A
28	Elect Vadim K. Bikulov	Mgmt	For	For	For
29	Elect Alexander A. Gladkov	Mgmt	For	For	For
30	Elect Alexander S. Ivannikov	Mgmt	For	N/A	N/A
31	Elect Margarita I. Mironova	Mgmt	For	For	For
32	Elect Lidia V. Morozova	Mgmt	For	N/A	N/A
33	Elect Yuri S. Nosov	Mgmt	For	For	For
34	Elect Karen I. Oganyan	Mgmt	For	For	For
35	Elect Dmitry A. Pashkovskiy	Mgmt	For	N/A	N/A
36	Elect Alexandra A. Petrova	Mgmt	For	For	For
37	Elect Sergey R. Platonov	Mgmt	For	For	For
38	Elect Mikhail N. Rosseyev	Mgmt	For	N/A	N/A
39	Elect Oksana V. Tarasenko	Mgmt	For	For	For

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40	Elect Tatyana V. Fisenko	Mgmt	For	For	For
41	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
42	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

PJSC Lukoil

Ticker	Security ID:	Meeting Date	Meeting Status		
LKOH	CINS 69343P105	06/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	For	For
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Elect Vagit Y. Alekperov	Mgmt	N/A	Abstain	N/A
5	Elect Victor V. Blazheev	Mgmt	N/A	Abstain	N/A
6	Elect Toby T. Gati	Mgmt	N/A	For	N/A
7	Elect Valery I. Grayfer	Mgmt	N/A	Abstain	N/A
8	Elect Igor S. Ivanov	Mgmt	N/A	Abstain	N/A
9	Elect Ravil U. Maganov	Mgmt	N/A	Abstain	N/A
10	Elect Roger Munnings	Mgmt	N/A	For	N/A
11	Elect Richard H. Matzke	Mgmt	N/A	Abstain	N/A
12	Elect Nikolai M. Nikolaev	Mgmt	N/A	Abstain	N/A
13	Elect Ivan Pictet	Mgmt	N/A	For	N/A
14	Elect Leonid A. Fedun	Mgmt	N/A	Abstain	N/A
15	Elect Lyubov N. Khoba	Mgmt	N/A	Abstain	N/A
16	Elect Ivan N. Vrublevsky	Mgmt	For	For	For
17	Elect Pavel A. Suloev	Mgmt	For	For	For
18	Elect Aleksandr V. Surkov	Mgmt	For	For	For
19	Directors' Fees (Members)	Mgmt	For	For	For
20	Directors' Fees (New Members)	Mgmt	For	For	For
21	Audit Commission Members' Fees (FY2016)	Mgmt	For	For	For
22	Audit Commission Members' Fees (FY2017)	Mgmt	For	For	For
23	Appointment of Auditor	Mgmt	For	For	For
24	Amendments to Articles	Mgmt	For	For	For
25	Amendments to General Meeting Regulations	Mgmt	For	For	For
26	Amendments to Board of Directors Regulations	Mgmt	For	For	For
27	D&O Insurance	Mgmt	For	For	For

Polymetal International Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
POLY	CINS G7179S101	05/16/2017	Voted		
Meeting Type		Country of Trade			
Annual		Jersey			



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect Bobby Godsell	Mgmt	For	For	For
6	Elect Vitaly N. Nesis	Mgmt	For	For	For
7	Elect Konstantin Yanakov	Mgmt	For	For	For
8	Elect Marina Gronberg	Mgmt	For	For	For
9	Elect Jean-Pascal Duvieusart	Mgmt	For	For	For
10	Elect Jonathan Best	Mgmt	For	For	For
11	Elect Russell Skirrow	Mgmt	For	For	For
12	Elect Leonard Homeniuk	Mgmt	For	For	For
13	Elect Christine Coignard	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For

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Rosneft Oil Co OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
ROSN	CINS 67812M207	06/22/2017	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits	Mgmt	For	For	For
5	Dividends	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Audit Commission Fees	Mgmt	For	For	For
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Olga A. Andrianova	Mgmt	For	For	For
10	Elect Alexander E. Bogashov	Mgmt	For	For	For
11	Elect Sergey I. Poma	Mgmt	For	For	For
12	Elect Zakhar B. Sabantsev	Mgmt	For	For	For
13	Elect Pavel G. Shumov	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Related Party Transactions (Deposit Agreements with BANK	Mgmt	For	For	For

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	VBRRAO)					
16	Related Party Transactions (Deposit Agreements with Bank GPB AO)	Mgmt	For	For	For	
17	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
20	Amendments to Articles	Mgmt	For	For	For	
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
<hr/>						
RusHydro JSC (RusGidro)						
Ticker	Security ID:	Meeting Date		Meeting Status		
HYDR	CINS 782183404	06/26/2017		Voted		
Meeting Type		Country of Trade				
Annual		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Annual Report	Mgmt	For	For	For	
2	Financial Statements	Mgmt	For	For	For	
3	Allocation of Profits	Mgmt	For	For	For	
4	Dividends	Mgmt	For	For	For	
5	Directors' Fees	Mgmt	For	For	For	
6	Audit Commission Fees	Mgmt	For	For	For	
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
8	Elect Artem D. Avetisyan	Mgmt	For	Abstain	Against	
9	Elect Nikolay G. Shulginov	Mgmt	For	Abstain	Against	
10	Elect Maxim S. Bystrov	Mgmt	For	Abstain	Against	
11	Elect Vyacheslav M. Kravchenko	Mgmt	For	Abstain	Against	
12	Elect Pavel S. Grachev	Mgmt	For	For	For	
13	Elect Yuri P. Trutnev	Mgmt	For	Abstain	Against	
14	Elect Sergey N. Ivanov	Mgmt	For	Abstain	Against	
15	Elect Vyacheslav V. Pivovarov	Mgmt	For	For	For	
16	Elect Nikolay R. Podguzov	Mgmt	For	Abstain	Against	
17	Elect Alexey O. Chekunkov	Mgmt	For	Abstain	Against	
18	Elect Sergey V. Shishin	Mgmt	For	Abstain	Against	
19	Elect Nikolay D. Rogalev	Mgmt	For	Abstain	Against	
20	Elect Andrey N. Shishkin	Mgmt	For	Abstain	Against	
21	Elect Natalia N. Annikova	Mgmt	For	For	For	
22	Elect Tatyana V. Zobkova	Mgmt	For	For	For	
23	Elect Igor N. Repin	Mgmt	For	For	For	
24	Elect Marina A. Kostina	Mgmt	For	For	For	
25	Elect Dmitry I. Simochkin	Mgmt	For	For	For	
26	Appointment of Auditor	Mgmt	For	For	For	
27	Amendments to Articles	Mgmt	For	For	For	
28	Amendments to General Meeting Regulations	Mgmt	For	For	For	
29	Amendments to Board	Mgmt	For	For	For	

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30	Meeting Regulations Amendments to Management Board Regulations	Mgmt	For	For	For
31	Amendments to Regulations on Directors' Fees	Mgmt	For	For	For
32	Amendments to Regulations on Audit Commission's Fees	Mgmt	For	For	For
33	Membership in Organisations	Mgmt	For	For	For
34	Related Party Transactions	Mgmt	For	For	For
35	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
Sistema JSFC					
Ticker	Security ID:	Meeting Date		Meeting Status	
AFKC	CINS 48122U204	06/24/2017		Voted	
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Meeting Procedures	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Marina V. Bugorskaya	Mgmt	For	For	For
5	Elect Ekaterina Y. Kuznetsova	Mgmt	For	For	For
6	Elect Aleksey E. Lipsky	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Elect Anna G. Belova	Mgmt	For	For	For
9	Elect Sergey F. Boev	Mgmt	For	Abstain	Against
10	Elect Andrey A. Dubovsky	Mgmt	For	Abstain	Against
11	Elect Vladimir P. Evtushenkov	Mgmt	For	Abstain	Against
12	Elect Felix V. Evtushenkov	Mgmt	For	Abstain	Against
13	Elect Ron Sommer	Mgmt	For	Abstain	Against
14	Elect Robert S. Kocharyan	Mgmt	For	Abstain	Against
15	Elect Jeannot Krecke	Mgmt	For	For	For
16	Elect Roger Munnings	Mgmt	For	For	For
17	Elect Mikhail V. Shamolin	Mgmt	For	Abstain	Against
18	Elect David Iakobachvili	Mgmt	For	For	For
19	Appointment of Auditor (RAS)	Mgmt	For	For	For
20	Appointment of Auditor (IFRS)	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	For	For
22	Amendments to General Meeting Regulations	Mgmt	For	For	For
23	Amendments to Board of	Mgmt	For	For	For

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	Directors Regulations					
24	Amendments to Management Board Regulations	Mgmt	For	For	For	
25	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

Sistema JSFC

Ticker	Security ID:	Meeting Date	Meeting Status		
AFKC	CINS 48122U204	09/23/2016	Voted		
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (1H2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Surgutneftegaz OJSC

Ticker	Security ID:	Meeting Date	Meeting Status		
SNGS	CINS 868861204	06/29/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	Abstain	Against
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Audit Commission Regulations	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	Abstain	Against
7	Audit Commission Fees	Mgmt	For	Abstain	Against
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Elect Aleksandr V. Agarev	Mgmt	N/A	Abstain	N/A
10	Elect Vladimir L. Bogdanov	Mgmt	N/A	Abstain	N/A
11	Elect Alexander N. Bulanov	Mgmt	N/A	Abstain	N/A
12	Elect Ivan K. Dinichenko	Mgmt	N/A	For	N/A
13	Elect Vladimir P. Erokhin	Mgmt	N/A	Abstain	N/A
14	Elect Viktor M. Krivosheev	Mgmt	N/A	Abstain	N/A
15	Elect Nikolay I. Matveev	Mgmt	N/A	Abstain	N/A
16	Elect Vladimir I. Raritsky	Mgmt	N/A	Abstain	N/A
17	Elect Ildus S. Usmanov	Mgmt	N/A	Abstain	N/A
18	Elect Vladimir A. Shashkov	Mgmt	N/A	For	N/A
19	Elect Taisiya P. Klinovskaya	Mgmt	For	Against	Against
20	Elect Valentina V. Musikhina	Mgmt	For	Against	Against
21	Elect Tamara F. Oleynik	Mgmt	For	Against	Against
22	Appointment of Auditor	Mgmt	For	For	For

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23	D&O Insurance	Mgmt	For	For	For
Tatneft OAO					
Ticker	Security ID:	Meeting Date	Meeting Status		
TATN	CINS 876629205	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Radik R. Gaizatullin	Mgmt	For	Abstain	Against
7	Elect Laszlo Gerecs	Mgmt	For	For	For
8	Elect Nail G. Ibragimov	Mgmt	For	Abstain	Against
9	Elect Yuri L. Levin	Mgmt	For	For	For
10	Elect Nail U. Maganov	Mgmt	For	Abstain	Against
11	Elect Renat H. Muslimov	Mgmt	For	Abstain	Against
12	Elect Rafail S. Nurmukhametov	Mgmt	For	Abstain	Against
13	Elect Renat K. Sabirov	Mgmt	For	Abstain	Against
14	Elect Valery Y. Sorokin	Mgmt	For	Abstain	Against
15	Elect Shafagat F. Takhautdinov	Mgmt	For	Abstain	Against
16	Elect Rustam K. Khalimov	Mgmt	For	Abstain	Against
17	Elect Azat K. Khamaev	Mgmt	For	Abstain	Against
18	Elect Rais S. Khisamov	Mgmt	For	Abstain	Against
19	Elect Rene F. Steiner	Mgmt	For	For	For
20	Elect Ksenia G. Borzunova	Mgmt	For	For	For
21	Elect Ranilya R. Gizatova	Mgmt	For	For	For
22	Elect Guzel R. Gilfanova	Mgmt	For	For	For
23	Elect Venera G. Kuzmina	Mgmt	For	For	For
24	Elect Taskirya G. Nurkhametova	Mgmt	For	For	For
25	Elect Liliya R. Rakhimzyanova	Mgmt	For	For	For
26	Elect Nazilya R. Farkhutdinova	Mgmt	For	For	For
27	Elect Ravil A. Sharifullin	Mgmt	For	For	For
28	Appointment of Auditor	Mgmt	For	For	For
29	Amendments to Articles	Mgmt	For	For	For
30	Amendments to General Meeting Regulations	Mgmt	For	For	For
31	Amendments to Board of Directors Regulations	Mgmt	For	For	For
32	Amendments to CEO Regulations	Mgmt	For	For	For
33	Amendments to Management Board Regulations	Mgmt	For	For	For
34	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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Uralkaliy PAO (Uralkali JSC)						
Ticker	Security ID:	Meeting Date		Meeting Status		
URKA	CINS X9519W108	08/29/2016		Voted		
Meeting Type		Country of Trade				
Special		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Major Transaction	Mgmt	For	For	For	
3	Related Party Transactions (JSC URALCHEM)	Mgmt	For	For	For	
4	Related Party Transactions (JSC Voskresensk Mineral Fertilizers)	Mgmt	For	For	For	
5	Related Party Transactions (JSC VSMPO-AVISMA)	Mgmt	For	For	For	
6	Related Party Transactions (JSC Voskresensk Mineral Fertilizers)	Mgmt	For	For	For	
7	Related Party Transactions (JSC URALCHEM)	Mgmt	For	For	For	
8	Related Party Transactions (JSC VSMPO-AVISMA)	Mgmt	For	For	For	
9	Related Party Transactions (D&O Insurance)	Mgmt	For	For	For	
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
Uralkaliy PAO (Uralkali JSC)						
Ticker	Security ID:	Meeting Date		Meeting Status		
URKA	CINS X9519W108	09/27/2016		Voted		
Meeting Type		Country of Trade				
Special		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Meeting Procedures	Mgmt	For	For	For	
3	Early Termination of Board Powers	Mgmt	For	For	For	
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
5	Elect Dmitry V. Konyaev	Mgmt	For	Abstain	Against	
6	Elect Dmitry A. Lobyak	Mgmt	For	Abstain	Against	
7	Elect Luc Marc J. Maene	Mgmt	For	For	For	
8	Elect Dmitry A. Mazepin	Mgmt	For	Abstain	Against	
9	Elect Robert J. Margetts	Mgmt	For	For	For	
10	Elect Dmitry V. Osipov	Mgmt	For	Abstain	Against	
11	Elect Paul J. Ostling	Mgmt	For	For	For	
12	Elect Dimitry V. Tatyatin	Mgmt	For	Abstain	Against	
13	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
14	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
15	Early Termination of Audit Commission	Mgmt	For	For	For	

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	Powers					
16	Elect Irina A. Grinkevich	Mgmt	For	For	For	
17	Elect Andrey V. Yermizin	Mgmt	For	For	For	
18	Elect Maria A. Kuzmina	Mgmt	For	For	For	
19	Elect Irina V. Razumova	Mgmt	For	For	For	
20	Elect Yaroslava I. Serdyuk	Mgmt	For	For	For	

VimpelCom Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
VIP	CUSIP 92719A106	03/30/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Change in Company Name	Mgmt	For	For	For
2	Amendments to Bylaws	Mgmt	For	For	For

VimpelCom Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
VIP	CUSIP 92719A106	08/05/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
2	Elect Stan Chudnovsky	Mgmt	For	For	For
3	Elect Mikhail Fridman	Mgmt	For	Abstain	Against
4	Elect Gennady Gazin	Mgmt	For	For	For
5	Elect Andrei Gusev	Mgmt	For	Abstain	Against
6	Elect Gunnar Holt	Mgmt	For	Abstain	Against
7	Elect Julian Horn-Smith	Mgmt	For	Abstain	Against
8	Elect Jorn Jensen	Mgmt	For	For	For
9	Elect Nils Katla	Mgmt	For	Abstain	Against
10	Elect Alexey M. Reznikovich	Mgmt	For	Abstain	Against

X5 Retail Group N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
FIVE	CINS 98387E205	05/12/2017	Voted		
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Ratification of Management Board Acts	Mgmt	For	For	For
7	Ratification of Supervisory Board Acts	Mgmt	For	For	For
8	Elect M. Fridman to the Supervisory	Mgmt	For	For	For

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9	Board Elect P. Musial to the Supervisory Board	Mgmt	For	For	For
10	Supervisory Board Fees	Mgmt	For	Against	Against
11	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
12	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
13	Authority to Repurchase Shares	Mgmt	For	For	For
14	Appointment of Auditor	Mgmt	For	For	For
15	Other Business and Closing	Mgmt	For	For	For

Yandex N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
YNDX	CUSIP N97284108	05/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Elect Herman O. Gref	Mgmt	For	For	For
5	Elect Arkady Volozh	Mgmt	For	For	For
6	Authority to Cancel Shares	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	Against	Against
9	Authority to Suppress Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For

Fund Name : VanEck Vectors Russia Small- Cap ETF

Aeroflot

Ticker	Security ID:	Meeting Date	Meeting Status		
AFLT	CINS X00096101	06/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Meeting Procedures	Mgmt	For	For	For
3	Annual Report	Mgmt	For	For	For
4	Financial Statements	Mgmt	For	For	For
5	Allocation of Profits	Mgmt	For	For	For
6	Dividends	Mgmt	For	For	For
7	Amendments to Regulations on Directors'	Mgmt	For	Abstain	Against



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Fees					
8	Directors' Fees	Mgmt	For	Against	Against
9	Audit Commission's Fees	Mgmt	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
11	Elect Kirill G. Androsov	Mgmt	N/A	Abstain	N/A
12	Elect Mikhail V. Voevodin	Mgmt	N/A	Abstain	N/A
13	Elect Aleksey A. Germanovich	Mgmt	N/A	For	N/A
14	Elect Igor A. Kamenskoy	Mgmt	N/A	For	N/A
15	Elect Lars E. A. Bergstrom	Mgmt	N/A	For	N/A
16	Elect Alexander N. Nazarov	Mgmt	N/A	Abstain	N/A
17	Elect Roman V. Pakhomov	Mgmt	N/A	Abstain	N/A
18	Elect Dmitry N. Peskov	Mgmt	N/A	Abstain	N/A
19	Elect Mikhail I. Polunboyarinov	Mgmt	N/A	Abstain	N/A
20	Elect Vladimir V. Potapov	Mgmt	N/A	Abstain	N/A
21	Elect Vitaly G. Saveliev	Mgmt	N/A	Abstain	N/A
22	Elect Dmitry P. Saprykin	Mgmt	N/A	Abstain	N/A
23	Elect Vasily V. Sidorov	Mgmt	N/A	For	N/A
24	Elect Jury B. Slyusar	Mgmt	N/A	Abstain	N/A
25	Elect Sergey V. Chemezov	Mgmt	N/A	Abstain	N/A
26	Elect Igor V. Belikov	Mgmt	For	For	For
27	Elect Mikhail V. Sorokin	Mgmt	For	For	For
28	Elect Ekaterina S. Nikitina	Mgmt	For	For	For
29	Elect Sergey I. Ubugunov	Mgmt	For	For	For
30	Elect Vasily P. Shipilov	Mgmt	For	For	For
31	Appointment of Auditor (RAS)	Mgmt	For	For	For
32	Appointment of Auditor (IFRS)	Mgmt	For	For	For
33	Amendments to Articles	Mgmt	For	For	For
34	Amendments to General Meeting Regulations	Mgmt	For	For	For
35	Amendments to Board Regulations	Mgmt	For	For	For
36	Amendments to Management Board Regulations	Mgmt	For	For	For
37	Related Party Transactions (Pobeda)	Mgmt	For	For	For
38	Related Party Transactions (Rossiya)	Mgmt	For	For	For
39	Related Party Transactions (Rossiya)	Mgmt	For	For	For
40	Related Party Transactions	Mgmt	For	For	For

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41	(Pobeda) D&O Insurance	Mgmt	For	For	For
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Aeroflot					
Ticker	Security ID:	Meeting Date		Meeting Status	
AFLT	CINS X00096101	12/26/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions	Mgmt	For	For	For

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Akron OAO (Acron JSC)					
Ticker	Security ID:	Meeting Date		Meeting Status	
AKRN	CINS X00311104	06/22/2017		Voted	
Meeting Type		Country of Trade			
Annual		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Elect Nikolai B. Arutyunov	Mgmt	N/A	For	N/A
7	Elect Igor V. Belikov	Mgmt	N/A	Abstain	N/A
8	Elect Vladimir V. Gavrikov	Mgmt	N/A	Abstain	N/A
9	Elect Georgy N. Golukhov	Mgmt	N/A	Abstain	N/A
10	Elect Alexander A. Dynkin	Mgmt	N/A	Abstain	N/A
11	Elect Yuri Y. Malyshev	Mgmt	N/A	For	N/A
12	Elect Alexander V. Popov	Mgmt	N/A	Abstain	N/A
13	Elect Arkady I. Sverdlov	Mgmt	N/A	Abstain	N/A
14	Elect Vladimir G. Sister	Mgmt	N/A	For	N/A
15	Directors' Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Elect Valentina V. Alexandrova	Mgmt	For	For	For
18	Elect Irina G. Dudicheva	Mgmt	For	For	For
19	Elect Elena G. Zubrilova	Mgmt	For	For	For
20	Elect Elena S. Potapova	Mgmt	For	For	For
21	Elect Tatyana V. Khrapova	Mgmt	For	For	For

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Akron OAO (Acron JSC)					
Ticker	Security ID:	Meeting Date		Meeting Status	
AKRN	CINS X00311104	09/09/2016		Voted	
Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend	Mgmt	For	For	For

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(1H2016)						
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
DIXY Group OJSC						
Ticker	Security ID:	Meeting Date		Meeting Status		
DIXY	CINS X1887L103	06/01/2017		Voted		
Meeting Type		Country of Trade				
Annual		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Annual Report	Mgmt	For	For	For	
3	Financial Statements	Mgmt	For	For	For	
4	Allocation of Profits/Dividends	Mgmt	For	For	For	
5	Board Size	Mgmt	For	For	For	
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
7	Elect Igor A. Kesayev	Mgmt	N/A	Abstain	N/A	
8	Elect Sergey S. Belyakov	Mgmt	N/A	Abstain	N/A	
9	Elect Steven J. Vellard	Mgmt	N/A	For	N/A	
10	Elect Alexander M. Kobzev	Mgmt	N/A	Abstain	N/A	
11	Elect Artem I. Afanasyev	Mgmt	N/A	Abstain	N/A	
12	Elect Yuriy V. Poletaev	Mgmt	N/A	For	N/A	
13	Elect Leo P. McLaughlin	Mgmt	N/A	Abstain	N/A	
14	Elect Vladimir L. Katzman	Mgmt	N/A	Abstain	N/A	
15	Elect Alexander A.J. Williams	Mgmt	N/A	For	N/A	
16	Elect Aleksander V. Kritskyi	Mgmt	N/A	For	N/A	
17	Elect Alexey V. Krivoshapko	Mgmt	N/A	Abstain	N/A	
18	Elect Denis A. Spirin	Mgmt	N/A	Abstain	N/A	
19	Elect Dmitriy G. Guschin	Mgmt	N/A	Abstain	N/A	
20	Directors' Fees	Mgmt	For	For	For	
21	Elect Laura K. Basangova	Mgmt	For	For	For	
22	Elect Irina S. Shotaeva	Mgmt	For	For	For	
23	Elect Yulia S. Zhuvaga	Mgmt	For	For	For	
24	Appointment of Auditor (RAS)	Mgmt	For	For	For	
25	Appointment of Auditor (IFRS)	Mgmt	For	For	For	

Etalon Group Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
ETLN	CINS 29760G103	12/15/2016		Voted		
Meeting Type		Country of Trade				
Special		Guernsey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Transfer of Country of Incorporation, Amendment to Articles and Change of Company Name	Mgmt	For	Against	Against	

GlobalTrans Investment Plc

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Ticker	Security ID:	Meeting Date	Meeting Status		
GLTR	CINS 37949E204	04/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cyprus				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Accounts and Reports	Mgmt	For	Abstain	Against
2	Allocation of Profits/Dividends	Mgmt	For	Abstain	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	Abstain	Against
4	Ratification of Board Acts	Mgmt	For	Abstain	Against
5	Elect John Carroll Colley	Mgmt	For	Abstain	Against
6	Elect Johann Franz Durrer	Mgmt	For	Abstain	Against
7	Elect Alexander Eliseev	Mgmt	For	Abstain	Against
8	Elect Andrey Gomon	Mgmt	For	Abstain	Against
9	Elect Elia Nicolaou	Mgmt	For	Abstain	Against
10	Elect George Papaioannou	Mgmt	For	Abstain	Against
11	Elect Melina Pyrgou	Mgmt	For	Abstain	Against
12	Elect Konstantin Shirokov	Mgmt	For	Abstain	Against
13	Elect Alexaxander Storozhev	Mgmt	For	Abstain	Against
14	Elect Alexander Tarasov	Mgmt	For	Abstain	Against
15	Elect Michael Thomaides	Mgmt	For	Abstain	Against
16	Elect Marios Tofaros	Mgmt	For	Abstain	Against
17	Elect Sergey Tolmachev	Mgmt	For	Abstain	Against
18	Elect Michael Zampelas	Mgmt	For	Abstain	Against

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### Gruppa LSR OAO (LSR Group OJSC)

Ticker	Security ID:	Meeting Date	Meeting Status		
LSRG	CINS 50218G206	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Annual Report	Mgmt	For	For	For
3	Financial Statements	Mgmt	For	For	For
4	Allocation of Profits/Dividends; Directors' and Audit Commission Fees	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Dmitry V. Goncharov	Mgmt	For	Abstain	Against
8	Elect Vasily M. Kostritsa	Mgmt	For	Abstain	Against
9	Elect Aleksy P. Makhnev	Mgmt	For	For	For
10	Elect Andrey Y. Molchanov	Mgmt	For	Abstain	Against
11	Elect Vitaly G. Podolsky	Mgmt	For	For	For
12	Elect Alexander M. Prisyazhnyuk	Mgmt	For	For	For

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13	Elect Elena V. Tumanovna	Mgmt	For	Abstain	Against
14	Elect Olga M. Sheykina	Mgmt	For	Abstain	Against
15	Elect Evgeny V. Yatsyshin	Mgmt	For	Abstain	Against
16	Elect Natalia S. Klevtsova	Mgmt	For	For	For
17	Elect Ludmila V. Fradina	Mgmt	For	For	For
18	Elect Irina A. Sharapova	Mgmt	For	For	For
19	Appointment of Auditor (RAS)	Mgmt	For	For	For
20	Appointment of Auditor (IFRS)	Mgmt	For	For	For
21	Amendments to Articles	Mgmt	For	Against	Against
22	Amendments to Board of Directors Regulations	Mgmt	For	Against	Against
23	Amendments to General Meeting Regulations	Mgmt	For	For	For
24	Related Party Transaction (LLC LSR-Stroy)	Mgmt	For	For	For
25	Related Party Transaction (LLC LSR-Stroy)	Mgmt	For	For	For
26	Related Party Transaction (JSC LSR Real Estate-M)	Mgmt	For	For	For
27	Related Party Transaction (LLC LSR)	Mgmt	For	For	For
28	Related Party Transaction (LLC LSR)	Mgmt	For	For	For

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Highland Gold Mining Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
HGM	CINS G4472X101	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	Jersey				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Duncan Baxter	Mgmt	For	For	For
4	Elect Valery Oyf	Mgmt	For	For	For
5	Elect John Mann	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Set Auditor's Fees	Mgmt	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

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IRC Limited

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Ticker	Security ID:	Meeting Date	Meeting Status		
1029	CINS Y41792105	06/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
5	Elect Cheng Chi Kin	Mgmt	For	For	For
6	Elect Yury Makarov	Mgmt	For	For	For
7	Elect Jonathan Eric Martin Smith	Mgmt	For	For	For
8	Elect Simon Murray	Mgmt	For	Against	Against
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
11	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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### IRC Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1029	CINS Y41792105	12/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Hong Kong				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Subscription Agreement	Mgmt	For	For	For

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### ITE Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ITE	CINS G63336104	01/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Mark Shashoua	Mgmt	For	For	For
4	Elect Andrew Beach	Mgmt	For	For	For
5	Elect Sharon Baylay	Mgmt	For	For	For
6	Elect Neil England	Mgmt	For	For	For
7	Elect Linda Jensen	Mgmt	For	For	For
8	Elect Stephen Puckett	Mgmt	For	For	For
9	Elect Marco Sodi	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Authority to Set Auditor's Fees	Mgmt	For	For	For
12	Remuneration Policy (Binding)	Mgmt	For	For	For

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13	Remuneration Report (Advisory)	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

Mechel OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MTLR	CUSIP 583840608	02/27/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions (Amendments to Guarantee Agreement no.K2600/10-0709LB/D00 0-P/4)	Mgmt	For	For	For
2	Related Party Transactions (Amendments to Guarantee Agreement no.KC-743000/2008/0010 4-P/3)	Mgmt	For	For	For
3	Related Party Transactions (Amendments to Guarantee Agreement no.KC-757000/2008/0002 1-P/3)	Mgmt	For	For	For
4	Related Party Transactions (Amendments to Guarantee Agreement no.3732-P/4)	Mgmt	For	For	For
5	Related Party Transactions (Amendments to Guarantee Agreement no. 4114-P/4)	Mgmt	For	For	For
6	Related Party Transactions (Amendments to Guarantee Agreement no.	Mgmt	For	For	For

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7	84-85/13-B-P-2) Related Party Transactions (Share Pledge Agreement)	Mgmt	For	For	For
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Mechel OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MTLR	CUSIP 583840608	05/17/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions (Supplementary Guarantee Agreement)	Mgmt	For	For	For
2	Related Party Transactions (Share Pledge Agreement)	Mgmt	For	For	For

Mechel OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MTLR	CUSIP 583840608	06/30/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2.1	Elect Igor V. Zyuzin	Mgmt	For	Withhold	Against
2.2	Elect Oleg V. Korzhov	Mgmt	For	Withhold	Against
2.3	Elect Georgiy G. Petrov	Mgmt	For	For	For
2.4	Elect Alexandr N. Kotsky	Mgmt	For	For	For
2.5	Elect Yuriy N. Malyshev	Mgmt	For	For	For
2.6	Elect Alexander D. Orischin	Mgmt	For	For	For
2.7	Elect Victor A. Trigubko	Mgmt	For	Withhold	Against
2.8	Elect Tigran G. Khachaturov	Mgmt	For	Withhold	Against
2.9	Elect Aleksandr N. Shokhin	Mgmt	For	For	For
3	Elect Natalia S. Zykova	Mgmt	For	For	For
4	Elect Alexander N. Kapralov	Mgmt	For	For	For
5	Elect Irina V. Bolkhovskikh	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Abstain	Against
8	Amendments to Regulations on Directors' Remuneration	Mgmt	For	Abstain	Against

O'Key Group SA

Ticker	Security ID:	Meeting Date	Meeting Status		
OKEY	CINS 670866201	04/28/2017	Take No Action		
Meeting Type		Country of Trade			



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Ordinary Issue No.	Description	Luxembourg Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
2	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
3	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
4	Accounts and Reports	Mgmt	For	TNA	N/A
5	Consolidated Accounts and Reports	Mgmt	For	TNA	N/A
6	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
7	Directors' Fees	Mgmt	For	TNA	N/A
8	Ratification of Board Acts	Mgmt	For	TNA	N/A
9	Appointment of Auditor	Mgmt	For	TNA	N/A
10	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A

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OGK-2 JSC (Second Generation Company of Wholesale Electricity Market)

Ticker	Security ID:	Meeting Date	Meeting Status		
OGKB	CINS X7762E106	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Elect Roman E. Abdullin	Mgmt	N/A	Abstain	N/A
6	Elect Stanislav A. Ananiev	Mgmt	N/A	Abstain	N/A
7	Elect Albert F. Bikmurzin	Mgmt	N/A	Abstain	N/A
8	Elect Yury E. Dolin	Mgmt	N/A	Abstain	N/A
9	Elect Yevgeny N. Zemlyanoy	Mgmt	N/A	Abstain	N/A
10	Elect Irina Y. Korobkina	Mgmt	N/A	Abstain	N/A
11	Elect Denis V. Kulikov	Mgmt	N/A	Abstain	N/A
12	Elect Aleksandr V. Rogov	Mgmt	N/A	Abstain	N/A
13	Elect Mikhail V. Sorokin	Mgmt	N/A	Abstain	N/A
14	Elect Denis V. Fedorov	Mgmt	N/A	Abstain	N/A
15	Elect Sergey S. Fil	Mgmt	N/A	Abstain	N/A
16	Elect Elena V. Khimichuk	Mgmt	N/A	Abstain	N/A
17	Elect Pavel O. Shatskiy	Mgmt	N/A	Abstain	N/A
18	Elect Aleksandr V. Shevchuk	Mgmt	N/A	Abstain	N/A
19	Elect Vadim K. Bikulov	Mgmt	For	For	For
20	Elect Denis A. Korshnyakov	Mgmt	For	For	For
21	Elect Yury A. Linovitsky	Mgmt	For	For	For
22	Elect Margarita I. Mironova	Mgmt	For	For	For
23	Elect Alexander M.	Mgmt	For	For	For

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	Yuzifovich					
24	Appointment of Auditor	Mgmt	For	For	For	
25	Amendments to Articles	Mgmt	For	Against	Against	
26	Amendments to General Meeting Regulations	Mgmt	For	For	For	
27	Amendments to Board of Directors Regulations	Mgmt	For	For	For	
28	Amendments to Audit Commission Regulations	Mgmt	For	For	For	
29	Directors' Fees	Mgmt	For	Against	Against	
30	Related Party Transactions (Gazprom Enefgoholding)	Mgmt	For	For	For	
31	Related Party Transactions (Gazprom Enefgoholding)	Mgmt	For	For	For	
32	Related Party Transactions (Gazprom PJSC)	Mgmt	For	For	For	

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OGK-2 JSC (Second Generation Company of Wholesale Electricity Market)						
Ticker	Security ID:	Meeting Date		Meeting Status		
OGKB	CINS X7762E106	12/16/2016		Voted		
Meeting Type		Country of Trade				
Special		Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Early Termination of Board Powers	Mgmt	For	For	For	
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
4	Elect Denis V. Fedorov	Mgmt	For	Abstain	Against	
5	Elect Pavel O. Shatskiy	Mgmt	For	Abstain	Against	
6	Elect Sergey S. Fil	Mgmt	For	Abstain	Against	
7	Elect Yevgeny N. Zemlyanoy	Mgmt	For	Abstain	Against	
8	Elect Irina Y. Korobkina	Mgmt	For	Abstain	Against	
9	Elect Aleksandr V. Rogov	Mgmt	For	Abstain	Against	
10	Elect Denis N. Bashuk	Mgmt	For	Abstain	Against	
11	Elect Yury E. Dolin	Mgmt	For	Abstain	Against	
12	Elect Elena V. Khimichuk	Mgmt	For	Abstain	Against	
13	Elect Roman E. Abdullin	Mgmt	For	Abstain	Against	
14	Elect Albert F. Bikmurzin	Mgmt	For	Abstain	Against	
15	Elect Denis V. Kulikov	Mgmt	For	Abstain	Against	
16	Elect Aleksandr V. Shevchuk	Mgmt	For	For	For	
17	Elect Stanislav A. Ananiev	Mgmt	For	Abstain	Against	
18	Major Transaction	Mgmt	For	For	For	
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Oriflame Holding AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
ORI	CINS H5884A109	05/09/2017		Take No Action		

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Meeting Type		Country of Trade				
Annual		Switzerland				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A	
2	Accounts and Reports	Mgmt	For	TNA	N/A	
3	Compensation Report	Mgmt	For	TNA	N/A	
4	Allocation of Profits	Mgmt	For	TNA	N/A	
5	Dividends from Reserves	Mgmt	For	TNA	N/A	
6	Ratification of Board and Management Acts	Mgmt	For	TNA	N/A	
7	Elect Mona Abbasi	Mgmt	For	TNA	N/A	
8	Elect Magnus Brannstrom	Mgmt	For	TNA	N/A	
9	Elect Anders Dahlvig	Mgmt	For	TNA	N/A	
10	Elect Alexander af Jochnick	Mgmt	For	TNA	N/A	
11	Elect Jonas af Jochnick	Mgmt	For	TNA	N/A	
12	Elect Robert af Jochnick	Mgmt	For	TNA	N/A	
13	Elect Anna Malmhake	Mgmt	For	TNA	N/A	
14	Elect Christian Salamon	Mgmt	For	TNA	N/A	
15	Elect Karen Tobiasen	Mgmt	For	TNA	N/A	
16	Appoint Alexander af Jochnick as Board Chair	Mgmt	For	TNA	N/A	
17	Elect Alexander af Jochnick as Compensation Committee Member	Mgmt	For	TNA	N/A	
18	Elect Karen Tobiasen as Compensation Committee Member	Mgmt	For	TNA	N/A	
19	Appointment of Independent Proxy	Mgmt	For	TNA	N/A	
20	Appointment of Auditor	Mgmt	For	TNA	N/A	
21	Board Compensation	Mgmt	For	TNA	N/A	
22	Executive Compensation (Fixed)	Mgmt	For	TNA	N/A	
23	Executive Compensation (Variable)	Mgmt	For	TNA	N/A	
24	Increase in Authorized Capital	Mgmt	For	TNA	N/A	

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Petro Welt Technologies AG						
Ticker	Security ID:	Meeting Date		Meeting Status		
O2C	CINS A1291D106	06/16/2017		Voted		
Meeting Type		Country of Trade				
Ordinary		Austria				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	
3	Allocation of Profits	Mgmt	For	For	For	
4	Ratification of Management Board Acts	Mgmt	For	For	For	
5	Ratification of Supervisory Board	Mgmt	For	For	For	

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	Acts				
6	Supervisory Board Members' Fees	Mgmt	For	For	For
7	Elect Maurice Dijols	Mgmt	For	For	For
8	Elect Remi Paul	Mgmt	For	For	For
9	Elect Ralf Wojtek	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For

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Petropavlovsk Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
POG	CINS G7053A101	06/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		United Kingdom			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Shareholder Proposal Regarding Election of Vladislav Egorov (Renova Nominee)	ShrHolder	Against	Against	For
7	Shareholder Proposal Regarding Election of Bruce M. Buck (Renova Nominee)	ShrHolder	Against	Against	For
8	Shareholder Proposal Regarding Election of Ian Ashby (M&G and Sothic Nominee)	ShrHolder	Against	Against	For
9	Shareholder Proposal Regarding Election of Garrett Soden (M&G and Sothic Nominee)	ShrHolder	Against	Against	For
10	Elect Pavel Maslovskiy	Mgmt	For	For	For
11	Elect Andrey Maruta	Mgmt	For	For	For
12	Elect Robert Jenkins	Mgmt	For	For	For
13	Elect Alexander Green	Mgmt	For	For	For
14	Elect Andrew Vickerman	Mgmt	For	For	For
15	Elect Peter Hambro	Mgmt	For	For	For
16	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
18	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	For	For	For

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QIWI plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
QIWI	CUSIP 74735M108	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Elect Ron Khalifa	Mgmt	For	For	For
6	Elect Marcus J. Rhodes	Mgmt	For	For	For
7	Elect Osama Bedier	Mgmt	For	For	For
8	Elect Boris Kim	Mgmt	For	Abstain	Against
9	Elect Sergey Solonin	Mgmt	For	Abstain	Against
10	Elect Elena V. Budnik	Mgmt	For	Abstain	Against
11	Elect Evgeny L. Dankevich	Mgmt	For	Abstain	Against
12	Approval of Director's Remuneration	Mgmt	For	For	For
13	Executive Directors' Fees	Mgmt	For	For	For
14	Article Amendments - Technical	Mgmt	For	For	For

Raspadszkaya OAO					
Ticker	Security ID:	Meeting Date	Meeting Status		
RASP	CINS X7457E106	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Elena V. Gordeeva to the Audit Commission	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Board Size	Mgmt	For	For	For
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Elect Aleksey A. Ivanov	Mgmt	For	Abstain	Against
8	Elect Nikolay V. Ivanov	Mgmt	For	Abstain	Against
9	Elect Aleksander V. Kuznetsov	Mgmt	For	Abstain	Against
10	Elect Ilya M. Lifshits	Mgmt	For	Abstain	Against
11	Elect Olga A. Pokrovskaja	Mgmt	For	Abstain	Against
12	Elect John Terry Robinson	Mgmt	For	Abstain	Against
13	Elect Sergey S. Stepanov	Mgmt	For	Abstain	Against
14	Elect Eric Stoyell	Mgmt	For	For	For
15	Elect Alexander V. Frolov	Mgmt	For	Abstain	Against
16	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Raven Russia Limited			
Ticker	Security ID:	Meeting Date	Meeting Status

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
RUS CINS G73741103 07/06/2016 Voted					
Meeting Type Country of Trade					
Special Guernsey					
1	Waiver of Mandatory Takeover Requirement (Invesco Concert Party)	Mgmt	For	For	For
2	Related Party Transactions (Invesco Perpetual High Income Fund)	Mgmt	For	For	For
3	Placing (Convertible Preference Shares)	Mgmt	For	For	For
4	Amendments to Articles (Convertible Preference Shares)	Mgmt	For	For	For
5	Disapplication of Preemption Rights (Placing)	Mgmt	For	For	For

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Raven Russia Limited					
Ticker Security ID: Meeting Date Meeting Status					
RUS CINS G73741103 10/14/2016 Voted					
Meeting Type Country of Trade					
Special Guernsey					
1	Tender Offer	Mgmt	For	For	For

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Ros Agro Plc					
Ticker Security ID: Meeting Date Meeting Status					
AGRO CINS 749655205 04/13/2017 Voted					
Meeting Type Country of Trade					
Annual Cyprus					
1	Election of Presiding Chair	Mgmt	For	For	For
2	Financial Statements	Mgmt	For	For	For
3	Financial Statements (Consolidated)	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Auditor's Report	Mgmt	For	For	For
6	Annual Report	Mgmt	For	Abstain	Against
7	Appointment of Auditor	Mgmt	For	Against	Against
8	Authority to Set Auditor's Fees	Mgmt	For	Against	Against
9	Allocation of Profits/Dividends	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	Abstain	Against
11	Election of Directors	Mgmt	For	Abstain	Against
12	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Meeting Type	Country of Trade
Ros Agro Plc	
Ticker Security ID: Meeting Date Meeting Status	
AGRO CINS 749655205 09/23/2016 Voted	
Meeting Type Country of Trade	

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Special Issue No.	Description	Cyprus Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Presiding Chairman	Mgmt	For	For	For
2	Interim Dividend (1H2016)	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	Abstain	Against

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Summit Ascent Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
102	CINS G8565U130	06/09/2017	Voted		
Meeting Type	Country of Trade				
Annual	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect John Peter Ben WANG	Mgmt	For	For	For
5	Elect Alfred PANG Hing Chung	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Authority to Repurchase Shares	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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TCS Group Holding

Ticker	Security ID:	Meeting Date	Meeting Status		
TCS	CINS 87238U203	05/29/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cyprus				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Election of Presiding Chairperson	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Elect Martin Cocker	Mgmt	For	For	For
4	Elect Philippe Delpal	Mgmt	For	Against	Against
5	Directors' Remuneration	Mgmt	For	For	For
6	Authority to Repurchase Shares	Mgmt	For	For	For

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TMK PAO (Trubnaya Metallurgicheskaya Komp)

Ticker	Security ID:	Meeting Date	Meeting Status
TRMK	CINS 87260R201	06/08/2017	Voted
Meeting Type	Country of Trade		
Special	Russian Federation		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Elect Mikhail Y. Alexeev	Mgmt	N/A	For	N/A
4	Elect Andrey Y. Kaplunov	Mgmt	N/A	Abstain	N/A
5	Elect Sergey V. Kravchenko	Mgmt	N/A	For	N/A
6	Elect Peter L. O'Brien	Mgmt	N/A	For	N/A
7	Elect Sergey T. Papin	Mgmt	N/A	Abstain	N/A
8	Elect Dmitry A. Pumpyansky	Mgmt	N/A	Abstain	N/A
9	Elect Robert M. Foresman	Mgmt	N/A	For	N/A
10	Elect Igor B. Khmelevsky	Mgmt	N/A	Abstain	N/A
11	Elect Anatoly B. Chubais	Mgmt	N/A	Abstain	N/A
12	Elect Alexander G. Shiryaev	Mgmt	N/A	Abstain	N/A
13	Elect Alexander N. Shokhin	Mgmt	N/A	For	N/A
14	Elect Aleksandr D. Pumpyansky	Mgmt	N/A	Abstain	N/A
15	Elect Aleksandr V. Maksimenko	Mgmt	For	For	For
16	Elect Aleksandr P. Vorobyov	Mgmt	For	For	For
17	Elect Nina V. Posdnyakova	Mgmt	For	For	For
18	Appointment of Auditor	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	Abstain	Against
20	Amendments to General Meeting Regulations	Mgmt	For	Abstain	Against
21	Amendments to Board of Directors Regulations	Mgmt	For	Abstain	Against
22	Amendments to Audit Commission Regulations	Mgmt	For	Abstain	Against
23	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

TMK PAO (Trubnaya Metallurgicheskaya Komp)

Ticker	Security ID:	Meeting Date	Meeting Status		
TRMK	CINS 87260R201	09/29/2016	Voted		
Meeting Type	Country of Trade				
Special	Russian Federation				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Interim Dividend (1H2016)	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For

TMK PAO (Trubnaya Metallurgicheskaya Komp)

Ticker	Security ID:	Meeting Date	Meeting Status
TRMK	CINS 87260R201	12/30/2016	Voted



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Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Related Party Transactions	Mgmt	For	For	For
3	Related Party Transactions	Mgmt	For	For	For
4	Related Party Transactions	Mgmt	For	For	For

Unipro PAO ao

Ticker		Security ID:		Meeting Date		Meeting Status	
UPRO		CINS X2156X109		06/23/2017		Voted	
Meeting Type		Country of Trade					
Annual		Russian Federation					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A		
2	Accounts and Reports	Mgmt	For	For	For		
3	Allocation of Profits/Dividends	Mgmt	For	For	For		
4	1Q2017 Interim Dividend	Mgmt	For	For	For		
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
6	Elect Klaus Schafer	Mgmt	For	Abstain	Against		
7	Elect Christopher Jost Delbruck	Mgmt	For	Abstain	Against		
8	Elect Gunter Eckhardt Rummler	Mgmt	For	Abstain	Against		
9	Elect Patrick Wolff	Mgmt	For	Abstain	Against		
10	Elect Reiner Hartmann	Mgmt	For	Abstain	Against		
11	Elect Maxim G. Shirokov	Mgmt	For	Abstain	Against		
12	Elect Tatyana A. Mitrova	Mgmt	For	For	For		
13	Elect Aleksey A. Germanovich	Mgmt	For	For	For		
14	Elect Anna G. Belova	Mgmt	For	For	For		
15	Elect Gerd Hansal Uwe	Mgmt	For	For	For		
16	Elect Nicolo Pren	Mgmt	For	For	For		
17	Elect Alexey S. Asyaev	Mgmt	For	For	For		
18	Elect Denis A. Alekseenkov	Mgmt	For	For	For		
19	Appointment of Auditor	Mgmt	For	For	For		
20	Directors' Fees	Mgmt	For	For	For		
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A		

Vostok New Ventures Ltd.

Ticker		Security ID:		Meeting Date		Meeting Status	
VNILSDB		CINS W9897B107		05/16/2017		Voted	
Meeting Type		Country of Trade					
Annual		Bermuda					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt		
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A		
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A		

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9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Board Size; Number of Auditors	Mgmt	For	For	For
12	Directors and Auditors' Fees	Mgmt	For	Against	Against
13	Directors and Auditors' Fees	Mgmt	For	Against	Against
14	Election of Directors; Appointment of Auditor	Mgmt	For	Against	Against
15	Nomination Committee	Mgmt	For	For	For
16	Remuneration Guidelines	Mgmt	For	For	For
17	Long-term Incentive Program	Mgmt	For	For	For
18	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
19	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
20	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
21	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Vostok New Ventures Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
VNLSDB	CINS W9897B107	08/05/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	BlaBlaCar Acquisition	Mgmt	For	Abstain	Against
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Vostok New Ventures Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
VNLSDB	CINS W9897B107	11/18/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Private Placement	Mgmt	For	For	For
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
9	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
10	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Yunipro PAO

Ticker	Security ID:	Meeting Date	Meeting Status
UPRO	CINS X2156X109	12/08/2016	Voted

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Meeting Type		Country of Trade			
Special		Russian Federation			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Interim Dividend (3Q2016)	Mgmt	For	For	For
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Fund Name : VanEck Vectors Semiconductor ETF

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Analog Devices, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ADI	CUSIP 032654105	03/08/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Ray Stata	Mgmt	For	For	For
2	Elect Vincent T. Roche	Mgmt	For	For	For
3	Elect James A. Champy	Mgmt	For	For	For
4	Elect Bruce R. Evans	Mgmt	For	For	For
5	Elect Edward H. Frank	Mgmt	For	For	For
6	Elect Mark M. Little	Mgmt	For	For	For
7	Elect Neil S. Novich	Mgmt	For	For	For
8	Elect Kenton J. Sicchitano	Mgmt	For	For	For
9	Elect Lisa T. Su	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

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Applied Materials, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AMAT	CUSIP 038222105	03/09/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Judy Bruner	Mgmt	For	For	For
2	Elect Eric Chen	Mgmt	For	For	For
3	Elect Aart J. de Geus	Mgmt	For	For	For
4	Elect Gary E. Dickerson	Mgmt	For	For	For
5	Elect Stephen R. Forrest	Mgmt	For	For	For
6	Elect Thomas J. Iannotti	Mgmt	For	For	For
7	Elect Alexander A. Karsner	Mgmt	For	For	For
8	Elect Adrianna C. Ma	Mgmt	For	For	For
9	Elect Dennis D. Powell	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Amendment to Employee Stock Incentive Plan	Mgmt	For	For	For

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13	Approval of the 162(m) Senior Executive Bonus Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

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ARM Holdings plc

Ticker	Security ID:	Meeting Date	Meeting Status		
ARM	CUSIP 042068106	08/30/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Approve Scheme of Arrangement	Mgmt	For	For	For
2	Effect Scheme of Arrangement	Mgmt	For	For	For

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ASML Holding N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
ASML	CUSIP N07059210	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Management Board Acts	Mgmt	For	For	For
3	Ratification of Supervisory Board Acts	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendment to Remuneration Policy	Mgmt	For	For	For
6	Long-term Incentive Plan	Mgmt	For	For	For
7	Authority to Issue Shares under Employee Incentive Plans	Mgmt	For	For	For
8	Elect P. van der Meer Mohr to the Supervisory Board	Mgmt	For	For	For
9	Elect C.M.S. Smits-Nusteling to the Supervisory Board	Mgmt	For	For	For
10	Elect D.A. Grose to the Supervisory Board	Mgmt	For	For	For
11	Elect W. Ziebart to the Supervisory Board	Mgmt	For	For	For
12	Supervisory Board Fees	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For

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15	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares for Mergers/Acquisitions	Mgmt	For	For	For
17	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Repurchase Additional Shares	Mgmt	For	For	For
20	Cancellation of Shares	Mgmt	For	For	For

Broadcom Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
AVGO	CUSIP Y09827109	04/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Hock E. Tan	Mgmt	For	For	For
2	Elect James V. Diller, Sr.	Mgmt	For	For	For
3	Elect Lewis C. Eggebrecht	Mgmt	For	For	For
4	Elect Kenneth Y. Hao	Mgmt	For	For	For
5	Elect Eddy W. Hartenstein	Mgmt	For	For	For
6	Elect Check Kian Low	Mgmt	For	For	For
7	Elect Donald Macleod	Mgmt	For	For	For
8	Elect Peter J. Marks	Mgmt	For	For	For
9	Elect Dr. Henry S. Samueli	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Ordinary and Special Preference Shares	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Cadence Design Systems, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CDNS	CUSIP 127387108	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mark W. Adams	Mgmt	For	For	For
2	Elect Susan L. Bostrom	Mgmt	For	For	For
3	Elect James D. Plummer	Mgmt	For	For	For
4	Elect Alberto	Mgmt	For	For	For

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Sangiovanni-Vincentelli					
5	Elect John B. Shoven	Mgmt	For	For	For
6	Elect Roger S. Siboni	Mgmt	For	For	For
7	Elect Young K. Sohn	Mgmt	For	For	For
8	Elect Lip-Bu Tan	Mgmt	For	For	For
9	Amendment to the Omnibus Equity Incentive Plan	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Cavium, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
CAVM	CUSIP 14964U108	06/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Edward H. Frank	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Integrated Device Technology, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
IDTI	CUSIP 458118106	09/20/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect John A. Schofield	Mgmt	For	For	For
1.2	Elect Gregory L. Waters	Mgmt	For	For	For
1.3	Elect Umesh Padval	Mgmt	For	For	For
1.4	Elect Gordon Parnell	Mgmt	For	For	For
1.5	Elect Ken Kannappan	Mgmt	For	For	For
1.6	Elect Robert A. Rango	Mgmt	For	For	For
1.7	Elect Norman Taffe	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Intel Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
INTC	CUSIP 458140100	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	For	For

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2	Elect Aneel Bhusri	Mgmt	For	For	For
3	Elect Andy D. Bryant	Mgmt	For	For	For
4	Elect Reed E. Hundt	Mgmt	For	For	For
5	Elect Omar Ishrak	Mgmt	For	For	For
6	Elect Brian M. Krzanich	Mgmt	For	For	For
7	Elect Tsu-Jae King Liu	Mgmt	For	For	For
8	Elect David S. Pottruck	Mgmt	For	For	For
9	Elect Gregory D. Smith	Mgmt	For	For	For
10	Elect Frank D. Yeary	Mgmt	For	For	For
11	Elect David Bruce Yoffie	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the 2006 Equity Incentive Plan	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Advisory Vote on Political Contributions	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

Lam Research Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
LRCX	CUSIP 512807108	11/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Martin B. Anstice	Mgmt	For	For	For
1.2	Elect Eric K. Brandt	Mgmt	For	For	For
1.3	Elect Michael R. Cannon	Mgmt	For	For	For
1.4	Elect Youssef A. El-Mansy	Mgmt	For	For	For
1.5	Elect Christine A. Heckart	Mgmt	For	For	For
1.6	Elect Catherine P. Lego	Mgmt	For	For	For
1.7	Elect Stephen Newberry	Mgmt	For	For	For
1.8	Elect Abhijit Y. Talwalkar	Mgmt	For	For	For
1.9	Elect Rick Lih-Shyng Tsai	Mgmt	For	Withhold	Against
1.10	Elect John T. Dickson	Mgmt	For	Withhold	Against
1.11	Elect Gary B. Moore	Mgmt	For	Withhold	Against
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

Linear Technology Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
LLTC	CUSIP 535678106	10/18/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Merger	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Ratification of Auditor	Mgmt	For	For	For
5	Right to Adjourn Meeting	Mgmt	For	For	For
6	Elect Robert H. Swanson, Jr.	Mgmt	For	For	For
7	Elect Lothar Maier	Mgmt	For	For	For
8	Elect Arthur C. Agnos	Mgmt	For	For	For
9	Elect John J. Gordon	Mgmt	For	For	For
10	Elect David S. Lee	Mgmt	For	Against	Against
11	Elect Richard M. Moley	Mgmt	For	For	For
12	Elect Thomas S. Volpe	Mgmt	For	For	For

Marvell Technology Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVL	CUSIP G5876H105	06/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Tudor Brown	Mgmt	For	For	For
2	Elect Peter A. Feld	Mgmt	For	For	For
3	Elect Richard S. Hill	Mgmt	For	For	For
4	Elect Oleg Khaykin	Mgmt	For	For	For
5	Elect Matthew J. Murphy	Mgmt	For	For	For
6	Elect Michael G. Strachan	Mgmt	For	For	For
7	Elect Robert E. Switz	Mgmt	For	For	For
8	Elect Randhir Thakur	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Marvell Technology Group Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
MRVL	CUSIP G5876H105	11/08/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter A. Feld	Mgmt	For	For	For
2	Elect Juergen W. Gromer	Mgmt	For	Against	Against
3	Elect Richard S. Hill	Mgmt	For	For	For
4	Elect John G. Kassakian	Mgmt	For	Against	Against
5	Elect Oleg Khaykin	Mgmt	For	For	For
6	Elect Arturo Krueger	Mgmt	For	For	For
7	Elect Matthew J. Murphy	Mgmt	For	For	For
8	Elect Michael G. Strachan	Mgmt	For	For	For



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	Strachan					
9	Elect Robert E. Switz	Mgmt	For	For	For	
10	Elect Randhir Thakur	Mgmt	For	For	For	
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against	
12	Ratification of Auditor	Mgmt	For	For	For	
13	Adoption of Proxy Access	Mgmt	For	For	For	
14	Amendment to Advance Notice Provision	Mgmt	For	For	For	
15	Elimination of Supermajority Requirement to Amend Bye-Laws	Mgmt	For	For	For	
16	Elimination of Supermajority Requirement for Business Combinations	Mgmt	For	For	For	
17	Authority to Adopt Shareholder Rights Plan	Mgmt	For	For	For	
18	Permit Removal of Directors Without Cause	Mgmt	For	For	For	
19	Plurality Voting Standard for Contested Elections	Mgmt	For	For	For	

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Maxim Integrated Products, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
MXIM	CUSIP 57772K101	11/09/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William (Bill) P. Sullivan	Mgmt	For	For	For
1.2	Elect Tunc Doluca	Mgmt	For	For	For
1.3	Elect Tracy C. Accardi	Mgmt	For	For	For
1.4	Elect James R. Bergman	Mgmt	For	Withhold	Against
1.5	Elect Joseph R. Bronson	Mgmt	For	For	For
1.6	Elect Robert E. Grady	Mgmt	For	For	For
1.7	Elect William D. Watkins	Mgmt	For	For	For
1.8	Elect MaryAnn Wright	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2008 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendment to the 1996 Stock Incentive Plan	Mgmt	For	For	For
5	Exclusive Forum Provision	Mgmt	For	Against	Against
6	Advisory Vote on Executive	Mgmt	For	For	For

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### Compensation

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Microchip Technology Incorporated					
Ticker	Security ID:	Meeting Date	Meeting Status		
MCHP	CUSIP 595017104	08/15/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steve Sanghi	Mgmt	For	For	For
2	Elect Matthew W. Chapman	Mgmt	For	For	For
3	Elect L. B. Day	Mgmt	For	Against	Against
4	Elect Esther L. Johnson	Mgmt	For	For	For
5	Elect Wade F. Meyercord	Mgmt	For	For	For
6	Incentive Compensation Plan	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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Micron Technology, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
MU	CUSIP 595112103	01/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert L. Bailey	Mgmt	For	For	For
2	Elect Richard M. Beyer	Mgmt	For	For	For
3	Elect Patrick J. Byrne	Mgmt	For	For	For
4	Elect D. Mark Durcan	Mgmt	For	For	For
5	Elect Mercedes Johnson	Mgmt	For	For	For
6	Elect Lawrence N. Mondry	Mgmt	For	For	For
7	Elect Robert E. Switz	Mgmt	For	For	For
8	Amendment to the 2007 Equity Incentive Plan	Mgmt	For	For	For
9	NOL Shareholder Rights Plan	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Microsemi Corporation					
Ticker	Security ID:	Meeting Date	Meeting Status		
MSCC	CUSIP 595137100	02/14/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James J. Peterson	Mgmt	For	For	For
2	Elect Dennis R. Leibel	Mgmt	For	For	For
3	Elect Kimberly E. Alexy	Mgmt	For	For	For
4	Elect Thomas R. Anderson	Mgmt	For	For	For
5	Elect William E. Bendush	Mgmt	For	For	For
6	Elect Paul F. Folino	Mgmt	For	For	For

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7	Elect William L. Healey	Mgmt	For	For	For
8	Elect Matthew E. Massengill	Mgmt	For	For	For
9	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	Against	Against
10	Ratification of Auditor	Mgmt	For	For	For

NVIDIA Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NVDA	CUSIP 67066G104	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert K. Burgess	Mgmt	For	For	For
2	Elect Tench Coxe	Mgmt	For	For	For
3	Elect Persis S. Drell	Mgmt	For	For	For
4	Elect James C. Gaither	Mgmt	For	For	For
5	Elect Jen-Hsun Huang	Mgmt	For	For	For
6	Elect Dawn Hudson	Mgmt	For	For	For
7	Elect Harvey C. Jones	Mgmt	For	For	For
8	Elect Michael G. McCaffery	Mgmt	For	For	For
9	Elect William J. Miller	Mgmt	For	For	For
10	Elect Mark L. Perry	Mgmt	For	For	For
11	Elect A. Brooke Seawell	Mgmt	For	For	For
12	Elect Mark A. Stevens	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For

NXP Semiconductors N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
NXPI	CUSIP N6596X109	01/27/2017	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Steven M. Mollenkopf	Mgmt	For	For	For
2	Elect Derek K. Aberle	Mgmt	For	For	For
3	Elect George S. Davis	Mgmt	For	For	For
4	Elect Donald J. Rosenberg	Mgmt	For	For	For
5	Elect Brian Modoff	Mgmt	For	For	For
6	Discharge of Board and Management Members	Mgmt	For	For	For
7	Asset Sale Pursuant to Tender Offer	Mgmt	For	For	For
8	Liquidation	Mgmt	For	For	For
9	Amendment to Financial Year	Mgmt	For	For	For
10	Conversion to Private Company	Mgmt	For	For	For

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NXP Semiconductors N.V.

Ticker	Security ID:	Meeting Date	Meeting Status		
NXPI	CUSIP N6596X109	06/01/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Ratification of Board Acts	Mgmt	For	For	For
3	Elect Richard L. Clemmer	Mgmt	For	For	For
4	Elect Peter L. Bonfield	Mgmt	For	For	For
5	Elect Johannes P. Huth	Mgmt	For	For	For
6	Elect Kenneth A. Goldman	Mgmt	For	Against	Against
7	Elect Marion Helmes	Mgmt	For	For	For
8	Elect Josef Kaeser	Mgmt	For	Against	Against
9	Elect Ian Loring	Mgmt	For	For	For
10	Elect Eric Meurice	Mgmt	For	For	For
11	Elect Peter Smitham	Mgmt	For	For	For
12	Elect Julie Southern	Mgmt	For	For	For
13	Elect Gregory L. Summe	Mgmt	For	For	For
14	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
15	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
16	Authority to Repurchase Shares	Mgmt	For	For	For
17	Authority to Cancel Repurchased Shares in the Company's Capital	Mgmt	For	For	For

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ON Semiconductor Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ON	CUSIP 682189105	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Atsushi Abe	Mgmt	For	For	For
2	Elect Alan Campbell	Mgmt	For	For	For
3	Elect Curtis J. Crawford, PhD	Mgmt	For	For	For
4	Elect Gilles Delfassy	Mgmt	For	For	For
5	Elect Emmanuel T. Hernandez	Mgmt	For	For	For
6	Elect Keith D. Jackson	Mgmt	For	For	For
7	Elect Paul A. Mascarenas	Mgmt	For	For	For
8	Elect Daryl A. Ostrander	Mgmt	For	For	For
9	Elect Teresa M. Ressel	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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Vote on Executive Compensation						
12	Ratification of Auditor	Mgmt	For	For	For	For
13	Amendment to the 2010 Stock Incentive Plan	Mgmt	For	For	For	For
14	Amendment to the 2000 Employee Stock Purchase Plan	Mgmt	For	For	For	For
15	Certificate Amendment to Eliminate Restrictions on Removal of Directors	Mgmt	For	For	For	For
16	Increase of Authorized Common Stock	Mgmt	For	For	For	For

Qorvo, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
QRVO	CUSIP 74736K101	08/03/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ralph G. Quinsey	Mgmt	For	For	For
1.2	Elect Robert A. Bruggeworth	Mgmt	For	For	For
1.3	Elect Daniel A. DiLeo	Mgmt	For	For	For
1.4	Elect Jeffery R. Gardner	Mgmt	For	For	For
1.5	Elect Charles Scott Gibson	Mgmt	For	For	For
1.6	Elect John R. Harding	Mgmt	For	For	For
1.7	Elect David H.Y. Ho	Mgmt	For	For	For
1.8	Elect Roderick D. Nelson	Mgmt	For	For	For
1.9	Elect Dr. Walden C. Rhines	Mgmt	For	For	For
1.10	Elect Walter H. Wilkinson, Jr.	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Amendment to the Cash Bonus Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

QUALCOMM Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
QCOM	CUSIP 747525103	03/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Barbara T. Alexander	Mgmt	For	For	For
2	Elect Jeffry W. Henderson	Mgmt	For	For	For

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3	Elect Thomas W. Horton	Mgmt	For	For	For
4	Elect Paul E. Jacobs	Mgmt	For	For	For
5	Elect Ann M. Livermore	Mgmt	For	For	For
6	Elect Harish M. Manwani	Mgmt	For	For	For
7	Elect Mark D. McLaughlin	Mgmt	For	For	For
8	Elect Steven M. Mollenkopf	Mgmt	For	For	For
9	Elect Clark T. Randt, Jr.	Mgmt	For	Against	Against
10	Elect Francisco Ros	Mgmt	For	For	For
11	Elect Anthony J. Vinciguerra	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Shareholder Proposal Regarding Amendments to Proxy Access Bylaw	ShrHoldr	Against	Against	For

Skyworks Solutions, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SWKS	CUSIP 83088M102	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David J. Aldrich	Mgmt	For	For	For
2	Elect Kevin L. Beebe	Mgmt	For	For	For
3	Elect Timothy R. Furey	Mgmt	For	For	For
4	Elect Liam K. Griffin	Mgmt	For	For	For
5	Elect Balakrishnan S. Iyer	Mgmt	For	For	For
6	Elect Christine King	Mgmt	For	For	For
7	Elect David P. McGlade	Mgmt	For	For	For
8	Elect David J. McLachlan	Mgmt	For	For	For
9	Elect Robert A. Schriesheim	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Taiwan Semiconductor Manufacturing Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
2330	CUSIP 874039100	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Amendments to Articles	Mgmt	For	For	For
4	Amendments to Procedural Rules:	Mgmt	For	For	For

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	Acquisition and Disposal of Assets					
5.1	Elect Non-Independent Director: Mark LIU	Mgmt	For	For	For	
5.2	Elect Non-Independent Director: C.C. WEI	Mgmt	For	For	For	
<hr/>						
Teradyne, Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
TER	CUSIP 880770102	05/09/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Michael A. Bradley	Mgmt	For		For	For
2	Elect Daniel W. Christman	Mgmt	For		For	For
3	Elect Edwin J. Gillis	Mgmt	For		For	For
4	Elect Timothy E. Guertin	Mgmt	For		For	For
5	Elect Mark E. Jagiela	Mgmt	For		For	For
6	Elect Mercedes Johnson	Mgmt	For		For	For
7	Elect Paul J. Tufano	Mgmt	For		For	For
8	Elect Roy A. Vallee	Mgmt	For		For	For
9	Advisory Vote on Executive Compensation	Mgmt	For		For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt		1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For		For	For
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Texas Instruments Incorporated						
Ticker	Security ID:	Meeting Date	Meeting Status			
TXN	CUSIP 882508104	04/20/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast	For/Against Mgmt
1	Elect Ralph W. Babb, Jr.	Mgmt	For		For	For
2	Elect Mark A. Blinn	Mgmt	For		For	For
3	Elect Todd M. Bluedorn	Mgmt	For		Against	Against
4	Elect Daniel A. Carp	Mgmt	For		For	For
5	Elect Janet F. Clark	Mgmt	For		For	For
6	Elect Carrie S. Cox	Mgmt	For		For	For
7	Elect J.M. Hobby	Mgmt	For		For	For
8	Elect Ronald Kirk	Mgmt	For		For	For
9	Elect Pamela H. Patsley	Mgmt	For		Against	Against
10	Elect Robert E. Sanchez	Mgmt	For		For	For
11	Elect Wayne R. Sanders	Mgmt	For		For	For
12	Elect Richard K. Templeton	Mgmt	For		For	For
13	Advisory Vote on Executive Compensation	Mgmt	For		For	For
14	Frequency of Advisory Vote on Executive	Mgmt		1 Year	1 Year	For

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Compensation					
15	Ratification of Auditor	Mgmt	For	For	For
Xilinx, Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
XLNX	CUSIP 983919101	08/10/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dennis Segers	Mgmt	For	For	For
2	Elect Moshe N. Gavriellov	Mgmt	For	For	For
3	Elect Saar Gillai	Mgmt	For	For	For
4	Elect Ronald Jankov	Mgmt	For	For	For
5	Elect Thomas H. Lee	Mgmt	For	For	For
6	Elect J. Michael Patterson	Mgmt	For	For	For
7	Elect Albert A. Pimentel	Mgmt	For	For	For
8	Elect Marshall C. Turner, Jr.	Mgmt	For	For	For
9	Elect Elizabeth W. Vanderslice	Mgmt	For	For	For
10	Amendment to the 2007 Equity Incentive Plan	Mgmt	For	For	For
11	Amendment to the 2007 Equity Incentive Plan	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
Fund Name : VanEck Vectors Solar Energy ETF					

Atlantica Yield plc					
Ticker	Security ID:	Meeting Date	Meeting Status		
ABY	CUSIP G0751N103	06/23/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Appointment of Auditor	Mgmt	For	For	For
5	Authority to Set Auditor's Fees	Mgmt	For	For	For
6	Elect Joaquin Fernandez de Pierola Marin	Mgmt	For	For	For
7	Elect Maria Jose Esteruelas	Mgmt	For	For	For
8	Elect Santiago Seage	Mgmt	For	For	For
9	Elect Daniel Villalba	Mgmt	For	For	For
10	Elect Jackson Robinson	Mgmt	For	For	For
11	Elect Robert Dove	Mgmt	For	For	For



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12	Elect Andrea Brentan	Mgmt	For	For	For
13	Elect Francisco Jose Martinez	Mgmt	For	For	For

Canadian Solar Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CSIQ	CUSIP 136635109	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Shawn Qu	Mgmt	For	For	For
1.2	Elect Robert McDermott	Mgmt	For	For	For
1.3	Elect Lars-Eric Johansson	Mgmt	For	For	For
1.4	Elect Harry E. Ruda	Mgmt	For	For	For
1.5	Elect Andrew WONG Luen Cheung	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

First Solar, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FSLR	CUSIP 336433107	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael J. Ahearn	Mgmt	For	For	For
2	Elect Sharon L. Allen	Mgmt	For	For	For
3	Elect Richard D. Chapman	Mgmt	For	For	For
4	Elect George A. Hambro	Mgmt	For	For	For
5	Elect Craig Kennedy	Mgmt	For	For	For
6	Elect James F. Nolan	Mgmt	For	For	For
7	Elect William J. Post	Mgmt	For	For	For
8	Elect J. Thomas Presby	Mgmt	For	For	For
9	Elect Paul H. Stebbins	Mgmt	For	Against	Against
10	Elect Michael T. Sweeney	Mgmt	For	For	For
11	Elect Mark Widmar	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

GCL Poly-Energy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3800	CINS G3774X108	02/09/2017	Voted		
Meeting Type	Country of Trade				
Special	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	New Coal Purchase Framework Agreement	Mgmt	For	Against	Against

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4	Wafer Products Supply Framework Agreement	Mgmt	For	Against	Against
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GCL Poly-Energy Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
3800	CINS G3774X108	05/24/2017	Voted		
Meeting Type		Country of Trade			
Annual		Cayman Islands			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect ZHU Zhanjun	Mgmt	For	For	For
5	Elect ZHU Yufeng	Mgmt	For	Against	Against
6	Elect SUN Wei	Mgmt	For	For	For
7	Elect Charles YEUNG Man Chung	Mgmt	For	For	For
8	Elect YIP Tai Him	Mgmt	For	Against	Against
9	Directors' Fees	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

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Gigasolar Materials Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
3691	CINS Y270AA108	06/07/2017	Voted		
Meeting Type		Country of Trade			
Annual		Taiwan			
Issue No.	Description	Proponent	Mgmt	Rec	Vote Cast For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	For	For
6	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
7	Amendments to Procedural Rules: Capital Loans	Mgmt	For	For	For
8	Amendments to Procedural Rules: Endorsements and Guarantees	Mgmt	For	For	For
9	Shares Release of	Mgmt	For	For	For

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10	Subsidiary Hexun Green Electricity Co., Ltd. Shares Release of Subsidiary Shuoquan Materials Co., Ltd.	Mgmt	For	For	For	
<hr/>						
Gintech Energy Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
3514	CINS Y270A0100	06/20/2017		Voted		
Meeting Type		Country of Trade				
Annual		Taiwan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Accounts and Reports	Mgmt	For	For	For	
3	Loss Disposition	Mgmt	For	For	For	
4	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For	
5	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	Against	Against	
6	Private Placement	Mgmt	For	Against	Against	
7	Employee Restricted Shares Plan	Mgmt	For	For	For	
<hr/>						
Hanergy Thin Film Power Group Limited						
Ticker	Security ID:	Meeting Date		Meeting Status		
0566	CINS G4288J106	06/08/2017		Voted		
Meeting Type		Country of Trade				
Annual		Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Accounts and Reports	Mgmt	For	Against	Against	
4	Elect Yuan Yabin	Mgmt	For	For	For	
5	Elect Eddie LAM Yat Ming	Mgmt	For	For	For	
6	Elect Wang Xiong	Mgmt	For	For	For	
7	Elect SI Haijian	Mgmt	For	For	For	
8	Elect HUANG Songchun	Mgmt	For	For	For	
9	Elect Xu Xiaohua	Mgmt	For	For	For	
10	Elect ZHANG Bin	Mgmt	For	For	For	
11	Directors' Fees	Mgmt	For	For	For	
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For	
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against	
14	Authority to Repurchase Shares	Mgmt	For	For	For	
15	Authority to Issue	Mgmt	For	Against	Against	

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Repurchased Shares					
16	Amendments to Bye-Laws	Mgmt	For	For	For
17	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
<hr/>					
JA Solar Holdings Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
JASO	CUSIP 466090206	06/30/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Elect Erying Jia	Mgmt	For	Against	Against
3	Transaction of Other Business	Mgmt	For	Against	Against
<hr/>					
JinkoSolar Holding Co., Ltd.					
Ticker	Security ID:	Meeting Date		Meeting Status	
JKS	CUSIP 47759T100	12/26/2016		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Kangping Chen	Mgmt	For	Against	Against
2	Elect Xianhua Li	Mgmt	For	For	For
3	Appointment of Auditor	Mgmt	For	For	For
4	Authority to Set Auditor's Fees	Mgmt	For	For	For
5	Authorization of Legal Formalities	Mgmt	For	For	For
<hr/>					
Motech Industries Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
6244	CINS Y61397108	05/26/2017		Voted	
Meeting Type		Country of Trade			
Annual		Taiwan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Loss Disposition	Mgmt	For	For	For
4	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
5	Amendments to Procedural Rules: Derivatives Trading	Mgmt	For	For	For
6	Adoption of Employee Restricted Stock Plan	Mgmt	For	For	For
<hr/>					
Neo Solar Power Corp.					
Ticker	Security ID:	Meeting Date		Meeting Status	
3576	CINS Y6247H105	06/14/2017		Voted	
Meeting Type		Country of Trade			
Annual		Taiwan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Loss Disposition	Mgmt	For	For	For
4	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	Against	Against
5	Private Placement	Mgmt	For	Against	Against
6	Adoption of Employee Restricted Stock Plan	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For

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REC Silicon PLC

Ticker	Security ID:	Meeting Date	Meeting Status		
REC	CINS R7154S108	05/03/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Norway				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
5	Election of Presiding Chair; Minutes	Mgmt	For	TNA	N/A
6	Agenda	Mgmt	For	TNA	N/A
7	Directors' Fees; Nomination Committee Fees	Mgmt	For	TNA	N/A
8	Authority to Set Auditor's Fees	Mgmt	For	TNA	N/A
9	Accounts and Reports; Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
10	Remuneration Guidelines (Advisory)	Mgmt	For	TNA	N/A
11	Incentive Guidelines (Binding)	Mgmt	For	TNA	N/A
12	Authority to Issue Shares w/ or w/o Preemptive Rights for Investments, Mergers and Acquisitions	Mgmt	For	TNA	N/A
13	Authority to Issue Shares w/ or w/o Preemptive Rights for Effectuation of Mandatory Share Issues or Consolidation of Shares	Mgmt	For	TNA	N/A

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14	Authority to Issue Shares w/ or w/o Preemptive Rights to Provide Financial Flexibility	Mgmt	For	TNA	N/A
15	Authority to Repurchase Shares Pursuant to LTIP	Mgmt	For	TNA	N/A
16	Authority to Repurchase Shares to Increase Return for Shareholders	Mgmt	For	TNA	N/A
17	Election of Directors	Mgmt	For	TNA	N/A
18	Nomination Committee	Mgmt	For	TNA	N/A

Saeta Yield

Ticker	Security ID:	Meeting Date	Meeting Status		
SAY	CINS E8541K109	06/20/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Remuneration Report	Mgmt	For	Against	Against
6	Ratify Co-option and Elect Antoine Kerrenneur	Mgmt	For	For	For
7	Amend Remuneration Policy	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Special Dividend	Mgmt	For	For	For
10	Authority to Issue Convertible Debt Instruments	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	For	For
12	Authorisation of Legal Formalities	Mgmt	For	For	For
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Shunfeng International Clean Energy Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1165	CINS G8116S105	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect LUO Xin	Mgmt	For	For	For
5	Elect TAO Wenquan	Mgmt	For	For	For
6	Elect ZHAO Yuwen	Mgmt	For	For	For
7	Directors' Fees	Mgmt	For	For	For

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8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
10	Authority to Repurchase Shares	Mgmt	For	For	For
11	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against

Sino-American Silicon Products Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
5483	CINS Y8022X107	06/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Taiwan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Loss Disposition	Mgmt	For	For	For
4	Allocation of Dividends from Capital Reserve	Mgmt	For	For	For
5	Adoption of Employee Restricted Stock Plan	Mgmt	For	For	For
6	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	For	Against	Against
7	Amendments to Articles	Mgmt	For	For	For
8	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
9	Amendments to Procedural Rules: Capital Loans	Mgmt	For	For	For
10	Amendments to Procedural Rules: Endorsements and Guarantees	Mgmt	For	Against	Against
11	Amendments to Procedural Rules: Derivatives Trading	Mgmt	For	For	For
12	Elect LU Ming-Kung	Mgmt	For	For	For
13	Elect YAO Tan-Liang	Mgmt	For	For	For
14	Elect HSU Hsiu-Lan	Mgmt	For	For	For
15	Elect Representative of Solartech Energy Corp.	Mgmt	For	Abstain	Against
16	Elect WEI Hong-Cheng	Mgmt	For	For	For
17	Elect TSAI Wen-Huei	Mgmt	For	For	For
18	Elect SUN Rong-Kang	Mgmt	For	For	For
19	Elect Representative	Mgmt	For	Abstain	Against

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	of Kai Chiang Co.					
20	Elect Representative of Kun Chang Investment Co.	Mgmt	For	Abstain	Against	
21	Elect Representative of Mau Hong Investment Co.	Mgmt	For	Abstain	Against	
22	Elect CHEN Ting-Ko	Mgmt	For	For	For	
23	Elect LIN Shing-Hsien	Mgmt	For	For	For	
24	Elect Angela HUANG	Mgmt	For	For	For	
25	Non-compete Restrictions for Directors	Mgmt	For	For	For	
26	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

SMA Solar Technology AG

Ticker	Security ID:	Meeting Date	Meeting Status		
S92	CINS D7008K108	05/23/2017	Take No Action		
Meeting Type	Country of Trade				
Annual	Germany				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
2	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
3	Non-Voting Meeting Note	N/A	N/A	TNA	N/A
4	Non-Voting Agenda Item	N/A	N/A	TNA	N/A
5	Allocation of Profits/Dividends	Mgmt	For	TNA	N/A
6	Ratify Roland Grebe	Mgmt	For	TNA	N/A
7	Ratify Jurgen Reinert	Mgmt	For	TNA	N/A
8	Ratify Pierre-Pascal Urbon	Mgmt	For	TNA	N/A
9	Ratify Roland Bent	Mgmt	For	TNA	N/A
10	Ratify Oliver Dietzel	Mgmt	For	TNA	N/A
11	Ratify Peter Drews	Mgmt	For	TNA	N/A
12	Ratify Erik Ehrentraut	Mgmt	For	TNA	N/A
13	Ratify Kim Fausing	Mgmt	For	TNA	N/A
14	Ratify Johannes Hade	Mgmt	For	TNA	N/A
15	Ratify Heike Haigis	Mgmt	For	TNA	N/A
16	Ratify Winfried Hoffmann	Mgmt	For	TNA	N/A
17	Ratify Alexa Hergenrother	Mgmt	For	TNA	N/A
18	Ratify Yvonne Siebert	Mgmt	For	TNA	N/A
19	Ratify Matthias Victor	Mgmt	For	TNA	N/A
20	Ratify Hans-Dieter Werner	Mgmt	For	TNA	N/A
21	Ratify Reiner Wettlaufer	Mgmt	For	TNA	N/A
22	Appointment of Auditor	Mgmt	For	TNA	N/A
23	Amendments to Remuneration Policy	Mgmt	For	TNA	N/A
24	Elect Alexa Hergenrother as Supervisory Board Member	Mgmt	For	TNA	N/A

SolarCity Corporation



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Ticker	Security ID:	Meeting Date	Meeting Status		
SCTY	CUSIP 83416T100	11/17/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

SolarEdge Technologies Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
SEDG	CUSIP 83417M104	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Tal Payne	Mgmt	For	For	For
2	Elect Marcel Gani	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Solartech Energy Corp.					
Ticker	Security ID:	Meeting Date	Meeting Status		
3561	CINS Y806AL105	06/22/2017	Voted		
Meeting Type		Country of Trade			
Annual		Taiwan			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Loss Disposition	Mgmt	For	For	For
4	Amendments to Articles	Mgmt	For	For	For
5	Amendments to Procedural Rules: Election of Directors	Mgmt	For	For	For
6	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For
7	Amendments to Procedural Rules: Endorsements and Guarantees	Mgmt	For	For	For
8	Amendments to Procedural Rules: Capital Loans	Mgmt	For	For	For
9	Amendments to Procedural Rules: Derivatives Trading	Mgmt	For	For	For
10	Amendments to Procedural Rules for Shareholder Meetings	Mgmt	For	For	For
11	Authority to Issue Shares w/ or w/o	Mgmt	For	Against	Against

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Preemptive Rights						
12	Elect LIU Kang-Xin	Mgmt	For	For	For	
13	Elect ZHANG Jin-Long	Mgmt	For	For	For	
14	Elect LU Ming-Guang	Mgmt	For	Against	Against	
15	Elect YAO Dang-Liang	Mgmt	For	Against	Against	
16	Elect ZHANG Yu-Da	Mgmt	For	For	For	
17	Elect ZENG Sheng-Cheng	Mgmt	For	For	For	
18	Elect Representative of CDIB Venture Capital Corp.	Mgmt	For	Abstain	Against	
19	Elect Ji Sheng Industrial Co.,Ltd	Mgmt	For	Abstain	Against	
20	Elect LAI Wu-Lang	Mgmt	For	For	For	
21	Elect HUANG Ming-Fu	Mgmt	For	For	For	
22	Elect PAN Shi-Chang	Mgmt	For	For	For	
23	Non-compete Restrictions for Directors	Mgmt	For	Against	Against	

SunPower Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SPWR	CUSIP 867652406	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Helle Kristoffersen	Mgmt	For	For	For
1.2	Elect Thomas R. McDaniel	Mgmt	For	For	For
1.3	Elect Thomas H. Werner	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Sunrun Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
RUN	CUSIP 86771W105	05/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Edward Fenster	Mgmt	For	For	For
1.2	Elect Richard Wong	Mgmt	For	For	For
1.3	Elect Leslie Dach	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Trina Solar Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
TSL	CUSIP 89628E104	09/19/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect YEUNG Kwok On	Mgmt	For	For	For

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2	Elect Qian Zhao	Mgmt	For	Against	Against
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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Trina Solar Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
TSL	CUSIP 89628E104	12/16/2016		Voted	
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger	Mgmt	For	Against	Against
2	Right to Adjourn Meeting	Mgmt	For	Against	Against

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United Photovoltaics Group Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0686	CINS G9261Q100	03/21/2017		Voted	
Meeting Type		Country of Trade			
Special		Bermuda			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Terms of Convertible Debt Instruments	Mgmt	For	For	For

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United Photovoltaics Group Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0686	CINS G9261Q100	05/11/2017		Voted	
Meeting Type		Country of Trade			
Special		Bermuda			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Modification of Company Name	Mgmt	For	For	For
4	Amendment to Terms of Convertible Bonds	Mgmt	For	For	For

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United Photovoltaics Group Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
0686	CINS G9261Q100	05/26/2017		Voted	
Meeting Type		Country of Trade			
Annual		Bermuda			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Elect Alan Li	Mgmt	For	Against	Against
5	Elect LU Zhenwei	Mgmt	For	Against	Against
6	Elect JIANG Wei	Mgmt	For	For	For
7	Elect LI Hao	Mgmt	For	For	For
8	Elect Tony YEN Yuen Ho	Mgmt	For	For	For
9	Elect MA Kwong Wing	Mgmt	For	For	For
10	Directors' Fees	Mgmt	For	For	For

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11	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
12	Authority to Repurchase Shares	Mgmt	For	For	For
13	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
14	Authority to Issue Repurchased Shares	Mgmt	For	Against	Against
15	Refreshment of Share Option Scheme	Mgmt	For	Against	Against

United Photovoltaics Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0686	CINS G9261Q100	05/26/2017	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Subscription Agreement	Mgmt	For	For	For

United Photovoltaics Group Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
0686	CINS G9261Q100	11/28/2016	Voted		
Meeting Type	Country of Trade				
Special	Bermuda				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Orix Subscription	Mgmt	For	For	For
4	CM Subscription	Mgmt	For	For	For
5	NEX Subscription	Mgmt	For	For	For

Xinyi Solar Holdings Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
968	CINS G9829N102	05/31/2017	Voted		
Meeting Type	Country of Trade				
Annual	Cayman Islands				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Elect LEE Yin Yee	Mgmt	For	Against	Against
6	Elect TUNG Ching Sai	Mgmt	For	Against	Against
7	Elect LEE Yau Ching	Mgmt	For	For	For
8	Directors' Fees	Mgmt	For	For	For
9	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
10	Authority to Repurchase Shares	Mgmt	For	For	For

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11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	Against	Against
12	Authority to Issue Repurchased Shares	Mgmt	For	For	For

Fund Name : VanEck Vectors Steel ETF

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AK Steel Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AKS	CUSIP 001547108	05/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dennis C. Cuneo	Mgmt	For	For	For
2	Elect Sheri H. Edison	Mgmt	For	For	For
3	Elect Mark G. Essig	Mgmt	For	For	For
4	Elect William K. Gerber	Mgmt	For	For	For
5	Elect Gregory B. Kenny	Mgmt	For	For	For
6	Elect Ralph S. Michael, III	Mgmt	For	For	For
7	Elect Roger K. Newport	Mgmt	For	For	For
8	Elect James A. Thomson	Mgmt	For	For	For
9	Elect Dwayne A. Wilson	Mgmt	For	For	For
10	Elect Vicente Wright	Mgmt	For	For	For
11	Elect Arlene M. Yocum	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Approval of the Omnibus Management Incentive Plan	Mgmt	For	For	For

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AK Steel Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AKS	CUSIP 001547108	09/07/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Authorized Common Stock	Mgmt	For	For	For

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Allegheny Technologies Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
ATI	CUSIP 01741R102	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James C. Diggs	Mgmt	For	For	For
2	Elect J. Brett Harvey	Mgmt	For	For	For
3	Elect David J. Morehouse	Mgmt	For	For	For

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4	Approval of 2017 Incentive Plan	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For

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### ArcelorMittal S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
MT	CUSIP 03938L104	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Consolidated Accounts and Reports	Mgmt	For	For	For
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	For	For
5	Ratification of Board Acts	Mgmt	For	For	For
6	Elect Lakshmi N. Mittal	Mgmt	For	For	For
7	Elect Bruno Lafont	Mgmt	For	For	For
8	Elect Michel Wurth	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Performance Share Unit Plan	Mgmt	For	Against	Against
11	Reverse Stock Split	Mgmt	For	For	For
12	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
13	Amendments to Articles	Mgmt	For	For	For
14	Dematerialisation of Bearer Shares	Mgmt	For	For	For

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### Carpenter Technology Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CRS	CUSIP 144285103	10/11/2016	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect I. Martin Inglis	Mgmt	For	For	For
1.2	Elect Kathryn C. Turner	Mgmt	For	For	For
1.3	Elect Stephen M. Ward, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Amendment to the Stock-Based Incentive Compensation Plan	Mgmt	For	For	For
5	Amendment to the	Mgmt	For	For	For

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Executive Bonus  
Compensation  
Plan

Cliffs Natural Resources Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
CLF	CUSIP 18683K101	04/25/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect John T. Baldwin	Mgmt	For	For	For	
1.2	Elect Robert P. Fisher, Jr.	Mgmt	For	For	For	
1.3	Elect C. Lourenco Goncalves	Mgmt	For	For	For	
1.4	Elect Susan M. Green	Mgmt	For	For	For	
1.5	Elect Joseph A. Rutkowski	Mgmt	For	For	For	
1.6	Elect Eric M. Rychel	Mgmt	For	For	For	
1.7	Elect Michael D. Siegal	Mgmt	For	For	For	
1.8	Elect Gabriel Stoliar	Mgmt	For	For	For	
1.9	Elect Douglas C. Taylor	Mgmt	For	For	For	
2	Increase of Authorized Common Stock	Mgmt	For	For	For	
3	Amendment to the Amended and Restated 2015 Equity Incentive Plan	Mgmt	For	For	For	
4	Executive Management Performance Incentive Plan	Mgmt	For	For	For	
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
7	Ratification of Auditor	Mgmt	For	For	For	
Commercial Metals Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
CMC	CUSIP 201723103	01/11/2017	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Vicki L. Avril	Mgmt	For	For	For	
2	Elect Robert L. Guido	Mgmt	For	For	For	
3	Elect Sarah E. Raiss	Mgmt	For	For	For	
4	Elect J. David Smith	Mgmt	For	For	For	
5	Ratification of Auditor	Mgmt	For	For	For	
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
Gibraltar Industries Inc.						
Ticker	Security ID:	Meeting Date	Meeting Status			
ROCK	CUSIP 374689107	05/05/2017	Voted			
Meeting Type	Country of Trade					

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Annual Issue No.	Description	United States		Vote Cast	For/Against Mgmt
		Proponent	Mgmt Rec		
1	Elect Sharon M. Brady	Mgmt	For	For	For
2	Elect Frank G. Heard	Mgmt	For	For	For
3	Elect Craig A. Hindman	Mgmt	For	For	For
4	Elect Vinod M. Khilnani	Mgmt	For	For	For
5	Elect William P. Montague	Mgmt	For	For	For
6	Elect James B. Nish	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Approval of Material Terms of the Special Performance Stock Unit Grant	Mgmt	For	For	For
10	Approval of the material terms of the Annual Performance Stock Unit Grant	Mgmt	For	For	For
11	Amendment to the 2015 Equity Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For

L.B. Foster Company

Ticker	Security ID:	Meeting Date	Meeting Status		
FSTR	CUSIP 350060109	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Robert P. Bauer	Mgmt	For	For	For
1.2	Elect Lee B. Foster II	Mgmt	For	For	For
1.3	Elect Dirk Junge	Mgmt	For	For	For
1.4	Elect Diane B. Owen	Mgmt	For	For	For
1.5	Elect Robert S. Purgason	Mgmt	For	For	For
1.6	Elect William H. Rackoff	Mgmt	For	For	For
1.7	Elect Suzanne B. Rowland	Mgmt	For	For	For
1.8	Elect Bradley S. Vizi	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Mechel OAO

Ticker	Security ID:	Meeting Date	Meeting Status
MTLR	CUSIP 583840608	05/17/2017	Voted
Meeting Type	Country of Trade		
Special	United States		



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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Related Party Transactions (Supplementary Guarantee Agreement)	Mgmt	For	For	For
2	Related Party Transactions (Share Pledge Agreement)	Mgmt	For	For	For

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### Mechel OAO

Ticker	Security ID:	Meeting Date	Meeting Status		
MTLR	CUSIP 583840608	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Allocation of Profits/Dividends	Mgmt	For	For	For
2.1	Elect Igor V. Zyuzin	Mgmt	For	Withhold	Against
2.2	Elect Oleg V. Korzhov	Mgmt	For	Withhold	Against
2.3	Elect Georgiy G. Petrov	Mgmt	For	For	For
2.4	Elect Alexandr N. Kotsky	Mgmt	For	For	For
2.5	Elect Yuriy N. Malyshev	Mgmt	For	For	For
2.6	Elect Alexander D. Orischin	Mgmt	For	For	For
2.7	Elect Victor A. Trigubko	Mgmt	For	Withhold	Against
2.8	Elect Tigran G. Khachaturov	Mgmt	For	Withhold	Against
2.9	Elect Aleksandr N. Shokhin	Mgmt	For	For	For
3	Elect Natalia S. Zykova	Mgmt	For	For	For
4	Elect Alexander N. Kapralov	Mgmt	For	For	For
5	Elect Irina V. Bolkhovskikh	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Amendments to Articles	Mgmt	For	Abstain	Against
8	Amendments to Regulations on Directors' Remuneration	Mgmt	For	Abstain	Against

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### Nucor Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
NUE	CUSIP 670346105	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Patrick J. Dempsey	Mgmt	For	For	For
1.2	Elect John J. Ferriola	Mgmt	For	For	For
1.3	Elect Gregory J. Hayes	Mgmt	For	For	For
1.4	Elect Victoria F. Haynes	Mgmt	For	For	For
1.5	Elect Bernard L. Kasriel	Mgmt	For	For	For

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1.6	Elect Christopher J. Kearney	Mgmt	For	For	For
1.7	Elect Laurette T. Koellner	Mgmt	For	For	For
1.8	Elect John H. Walker	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
6	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against	Against	For

Olympic Steel, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ZEUS	CUSIP 68162K106	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael D. Siegal	Mgmt	For	For	For
1.2	Elect Arthur F. Anton	Mgmt	For	For	For
1.3	Elect Donald R. McNeeley	Mgmt	For	Withhold	Against
1.4	Elect Michael G. Rippey	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Posco

Ticker	Security ID:	Meeting Date	Meeting Status		
005490	CUSIP 693483109	03/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect KIM Shin Bae	Mgmt	For	For	For
3	Elect JANG Seung Hwa	Mgmt	For	Against	Against
4	Elect CHUNG Moon Ki	Mgmt	For	For	For
5	Election of Audit Committee Member: JANG Seung Hwa	Mgmt	For	Against	Against
6	Election of Audit Committee Member: CHUNG Moon Ki	Mgmt	For	For	For

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7	Elect KWON Oh Joon	Mgmt	For	For	For
8	Elect OH In Hwan	Mgmt	For	For	For
9	Elect CHOI Jung Woo	Mgmt	For	For	For
10	Elect JANG In Hwa	Mgmt	For	For	For
11	Elect YOO Sung	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For

Reliance Steel & Aluminum Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
RS	CUSIP 759509102	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Sarah J. Anderson	Mgmt	For	For	For
2	Elect Karen W. Colonias	Mgmt	For	For	For
3	Elect John G. Figueroa	Mgmt	For	For	For
4	Elect Thomas W. Gimbel	Mgmt	For	For	For
5	Elect David H. Hannah	Mgmt	For	For	For
6	Elect Douglas M. Hayes	Mgmt	For	For	For
7	Elect Mark V. Kaminski	Mgmt	For	For	For
8	Elect Robert A. McEvoy	Mgmt	For	For	For
9	Elect Gregg J. Mollins	Mgmt	For	For	For
10	Elect Andrew G. Sharkey	Mgmt	For	For	For
11	Elect Douglas W. Stotlar	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

Rio Tinto plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RIO	CUSIP 767204100	04/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory - UK)	Mgmt	For	Against	Against
3	Remuneration Report (Advisory - AUS)	Mgmt	For	Against	Against
4	Approval of Termination Payments	Mgmt	For	For	For
5	Elect Megan Clark	Mgmt	For	For	For
6	Elect David Constable	Mgmt	For	For	For
7	Elect Jan du Plessis	Mgmt	For	For	For
8	Elect Ann Godbehere	Mgmt	For	For	For
9	Elect Simon Henry	Mgmt	For	For	For
10	Elect Jean-Sebastien Jacques	Mgmt	For	For	For
11	Elect Sam H. Laidlaw	Mgmt	For	For	For
12	Elect Michael	Mgmt	For	For	For

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	L'Estrange					
13	Elect Chris J. Lynch	Mgmt	For	For	For	For
14	Elect Paul M. Tellier	Mgmt	For	For	For	For
15	Elect Simon R. Thompson	Mgmt	For	For	For	For
16	Elect John S. Varley	Mgmt	For	For	For	For
17	Appointment of Auditor	Mgmt	For	For	For	For
18	Authority to Set Auditor's Fees	Mgmt	For	For	For	For
19	Authorisation of Political Donations	Mgmt	For	For	For	For
20	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For	For
21	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For	For
22	Authority to Repurchase Shares	Mgmt	For	For	For	For
23	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For	For

Rio Tinto plc

Ticker	Security ID:	Meeting Date	Meeting Status		
RIO	CUSIP 767204100	06/27/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Sale of Coal & Allied Industries Limited	Mgmt	For	Abstain	Against

Ryerson Holding Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
RYI	CUSIP 783754104	04/26/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kirk K. Calhoun	Mgmt	For	For	For
1.2	Elect Jacob Kotzubei	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For

Schnitzer Steel Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SCHN	CUSIP 806882106	01/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Wayland R. Hicks	Mgmt	For	For	For
1.2	Elect Judith A. Johansen	Mgmt	For	For	For
1.3	Elect Tamara L. Lundgren	Mgmt	For	For	For
2	Advisory Vote on Executive	Mgmt	For	For	For

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		Compensation			
3	Ratification of Auditor	Mgmt	For	For	For
Steel Dynamics, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
STLD	CUSIP 858119100	05/18/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Mark D. Millett	Mgmt	For	For	For
1.2	Elect Keith E. Busse	Mgmt	For	For	For
1.3	Elect Frank D. Byrne	Mgmt	For	For	For
1.4	Elect Kenneth W. Cornew	Mgmt	For	For	For
1.5	Elect Traci M. Dolan	Mgmt	For	For	For
1.6	Elect Jurgen Kolb	Mgmt	For	For	For
1.7	Elect James C. Marcuccilli	Mgmt	For	For	For
1.8	Elect Bradley S. Seaman	Mgmt	For	For	For
1.9	Elect Gabriel L. Shaheen	Mgmt	For	For	For
1.10	Elect Richard P. Teets, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
SunCoke Energy Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
SXC	CUSIP 86722A103	05/04/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Peter B. Hamilton	Mgmt	For	For	For
2	Elect James E. Sweetnam	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
Tenaris S.A.					
Ticker	Security ID:	Meeting Date		Meeting Status	
TEN	CUSIP 88031M109	05/03/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Presentation of Accounts and Reports	Mgmt	For	For	For
2	Financial Statements (Consolidated)	Mgmt	For	For	For
3	Financial Statements (Separate)	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For

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5	Ratification of Board Acts	Mgmt	For	For	For
6	Election of Directors; Board Size	Mgmt	For	Against	Against
7	Directors' Fees	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Authorisation of Electronic Communication	Mgmt	For	For	For

Ternium S.A.

Ticker	Security ID:	Meeting Date	Meeting Status		
TX	CUSIP 880890108	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1	Approval of Consolidated Financial Statements	Mgmt	For	For	For
2	Approval of 2016 Reports	Mgmt	For	For	For
3	Allocation of Profits and Dividends	Mgmt	For	For	For
4	Ratification of Board Acts	Mgmt	For	For	For
5	Election of Directors (Slate)	Mgmt	For	For	For
6	Directors' Fees	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Delegation of Authorities	Mgmt	For	For	For
9	Appointment of Attorney-in-Fact	Mgmt	For	For	For

TimkenSteel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
TMST	CUSIP 887399103	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1.1	Elect Randall H. Edwards	Mgmt	For	For	For
1.2	Elect Ward J. Timken, Jr.	Mgmt	For	For	For
1.3	Elect Randall A. Wotring	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

United States Steel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
X	CUSIP 912909108	04/25/2017		Voted	
	Meeting Type	Country of Trade			
	Annual	United States			
1	Elect Patricia Diaz Dennis	Mgmt	For	For	For
2	Elect Dan O. Dinges	Mgmt	For	For	For
3	Elect John G. Drosdick	Mgmt	For	For	For
4	Elect John J. Engel	Mgmt	For	For	For
5	Elect Murry S. Gerber	Mgmt	For	For	For
6	Elect Stephen J. Girsky	Mgmt	For	For	For
7	Elect Mario Longhi	Mgmt	For	For	For
8	Elect Paul A. Mascarenas	Mgmt	For	For	For
9	Elect Glenda G. McNeal	Mgmt	For	For	For
10	Elect Robert J. Stevens	Mgmt	For	For	For
11	Elect David S. Sutherland	Mgmt	For	For	For
12	Elect Patricia A. Tracey	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	2016 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
16	Technical Amendments to Articles	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Vale SA					
	Ticker	Security ID:	Meeting Date	Meeting Status	
	VALE3	CUSIP 91912E105	04/20/2017	Voted	
	Meeting Type	Country of Trade			
	Annual	United States			
1	Accounts and Reports	Mgmt	For	Against	Against
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Election of Directors	Mgmt	For	Abstain	Against
4	Election of Supervisory Council	Mgmt	For	Abstain	Against
5	Remuneration Policy	Mgmt	For	Against	Against
6	Supervisory Council's Fees	Mgmt	For	For	For

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Vale SA					
	Ticker	Security ID:	Meeting Date	Meeting Status	
	VALE3	CUSIP 91912E105	06/27/2017	Voted	
	Meeting Type	Country of Trade			
	Special	United States			
1	Conversion of Preferred	Mgmt	For	For	For

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	Shares					
2	Amendments to Articles	Mgmt	For	For	For	For
3	Merger Agreement	Mgmt	For	For	For	For
4	Ratification of Appointment of Appraiser	Mgmt	For	For	For	For
5	Valuation Report	Mgmt	For	For	For	For
6	Merger (Valepar)	Mgmt	For	For	For	For
7	Amendments to Article 5 (Reconciliation of Share Capital)	Mgmt	For	For	For	For

Vale SA

Ticker	Security ID:	Meeting Date	Meeting Status		
VALE3	CUSIP 91912E105	08/12/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Ratification of Co-options of Motomu Takahashi and Marcelo Gasparino da Silva (Alternate Director)	Mgmt	For	Against	Against
2	Amendments to Articles Regarding Executive Board Age Limits	Mgmt	For	Against	Against
3	Amendments to Articles Regarding General Meeting Chairman	Mgmt	For	For	For

Vedanta Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
VEDL	CUSIP 92242Y100	09/08/2016	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Scheme of Arrangement	Mgmt	For	For	For
2	Scheme of Arrangement	Mgmt	For	For	For
3	Reduction in Share Premium Account	Mgmt	For	For	For

Worthington Industries, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WOR	CUSIP 981811102	09/29/2016	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Kerrii B. Anderson	Mgmt	For	For	For
1.2	Elect John P. McConnell	Mgmt	For	For	For
1.3	Elect Mary Schiavo	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For



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3	Amendment to the 2006 Equity Incentive Plan for Non-Employee Directors	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
Fund Name : VanEck Vectors Unconventional Oil & Gas ETF					

Anadarko Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APC	CUSIP 032511107	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Anthony R. Chase	Mgmt	For	For	For
2	Elect David E. Constable	Mgmt	For	For	For
3	Elect H. Paulett Eberhart	Mgmt	For	For	For
4	Elect Claire S. Farley	Mgmt	For	For	For
5	Elect Peter J. Fluor	Mgmt	For	For	For
6	Elect Richard L. George	Mgmt	For	For	For
7	Elect Joseph W. Gordor	Mgmt	For	For	For
8	Elect John R. Gordon	Mgmt	For	For	For
9	Elect Sean Gourley	Mgmt	For	For	For
10	Elect Mark C. McKinley	Mgmt	For	For	For
11	Elect Eric D. Mullins	Mgmt	For	For	For
12	Elect R. A. Walker	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Antero Resources Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
AR	CUSIP 03674X106	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Paul M. Rady	Mgmt	For	For	For
1.2	Elect Glen C. Warren, Jr.	Mgmt	For	Withhold	Against
1.3	Elect James R. Levy	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

Apache Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
APA	CUSIP 037411105	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Annell R. Bay	Mgmt	For	For	For
2	Elect John J. Christmann	Mgmt	For	For	For

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IV					
3	Elect Chansoo Joung	Mgmt	For	For	For
4	Elect William C. Montgomery	Mgmt	For	For	For
5	Elect Amy H. Nelson	Mgmt	For	For	For
6	Elect Daniel W. Rabun	Mgmt	For	For	For
7	Elect Peter A. Ragauss	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

ARC Resources Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
ARX	CINS 00208D408	05/04/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect David L. Collyer	Mgmt	For	For	For
3	Elect John P. Dielwart	Mgmt	For	For	For
4	Elect Fred J. Dymont	Mgmt	For	For	For
5	Elect Timothy J. Hearn	Mgmt	For	For	For
6	Elect James C. Houck	Mgmt	For	For	For
7	Elect Harold N. Kvisle	Mgmt	For	For	For
8	Elect Kathleen M. O'Neill	Mgmt	For	For	For
9	Elect Herbert C. Pinder, Jr.	Mgmt	For	For	For
10	Elect William G. Sembo	Mgmt	For	For	For
11	Elect Nancy L. Smith	Mgmt	For	For	For
12	Elect Myron M Stadnyk	Mgmt	For	For	For
13	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Cabot Oil & Gas Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
COG	CUSIP 127097103	05/03/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Dorothy M. Ables	Mgmt	For	For	For
2	Elect Rhys J. Best	Mgmt	For	For	For
3	Elect Robert S. Boswell	Mgmt	For	For	For
4	Elect Dan O. Dinges	Mgmt	For	For	For
5	Elect Robert Kelley	Mgmt	For	For	For
6	Elect W. Matt Ralls	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Frequency of Advisory	Mgmt	1 Year	1 Year	For

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### Vote on Executive Compensation

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Carrizo Oil & Gas, Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CRZO	CUSIP 144577103	05/16/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Sylvester P. Johnson IV	Mgmt	For	For	For	
1.2	Elect Steven Webster	Mgmt	For	For	For	
1.3	Elect F. Gardner Parker	Mgmt	For	For	For	
1.4	Elect Thomas L. Carter, Jr.	Mgmt	For	For	For	
1.5	Elect Robert F. Fulton	Mgmt	For	For	For	
1.6	Elect Roger A. Ramsey	Mgmt	For	For	For	
1.7	Elect Frank A. Wojtek	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
4	Increase of Authorized Common Stock	Mgmt	For	Against	Against	
5	Approval of the 2017 Incentive Plan	Mgmt	For	For	For	
6	Ratification of Auditor	Mgmt	For	For	For	

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Cenovus Energy Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
CVE	CUSIP 15135U109	04/26/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Appointment of Auditor	Mgmt	For	For	For	
2.1	Elect Susan F. Dabarno	Mgmt	For	For	For	
2.2	Elect Patrick D. Daniel	Mgmt	For	For	For	
2.3	Elect Ian W. Delaney	Mgmt	For	For	For	
2.4	Elect Brian C. Ferguson	Mgmt	For	For	For	
2.5	Elect Steven F. Leer	Mgmt	For	For	For	
2.6	Elect Richard J. Marcogliese	Mgmt	For	For	For	
2.7	Elect Claude Mongeau	Mgmt	For	For	For	
2.8	Elect Charles M. Rampacek	Mgmt	For	For	For	
2.9	Elect Colin Taylor	Mgmt	For	For	For	
2.10	Elect Wayne G. Thomson	Mgmt	For	For	For	
2.11	Elect Rhonda I. Zygocki	Mgmt	For	For	For	
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For	

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Chesapeake Energy Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		

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CHK	CUSIP 165167107	05/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gloria R. Boyland	Mgmt	For	For	For
2	Elect Luke R. Corbett	Mgmt	For	Against	Against
3	Elect Archie W. Dunham	Mgmt	For	For	For
4	Elect Robert D. Lawler	Mgmt	For	For	For
5	Elect R. Brad Martin	Mgmt	For	For	For
6	Elect Merrill A. Miller, Jr.	Mgmt	For	Against	Against
7	Elect Thomas L. Ryan	Mgmt	For	Against	Against
8	Increase of Authorized Common Stock	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For	For	For

### Cimarex Energy Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
XEC	CUSIP 171798101	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect David A. Hentschel	Mgmt	For	For	For
2	Elect Thomas E. Jordan	Mgmt	For	For	For
3	Elect Floyd R. Price	Mgmt	For	For	For
4	Elect Frances M. Vallejo	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For

### Concho Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CXO	CUSIP 20605P101	05/17/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Timothy A. Leach	Mgmt	For	For	For
2	Elect William H. Easter III	Mgmt	For	For	For
3	Elect John P. Surma	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
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### Continental Resources, Inc.

Ticker	Security ID: CLR CUSIP 212015101	Meeting Date 05/18/2017	Meeting Status Voted		
Meeting Type Annual		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect William B. Berry	Mgmt	For	For	For
1.2	Elect James L. Gallogly	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Board Diversity	ShrHoldr	Against	For	Against

### Crescent Point Energy Corp.

Ticker	Security ID: CPG CUSIP 22576C101	Meeting Date 05/24/2017	Meeting Status Voted		
Meeting Type Annual		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Board Size	Mgmt	For	For	For
2.1	Elect Rene Amirault	Mgmt	For	For	For
2.2	Elect Peter Bannister	Mgmt	For	For	For
2.3	Elect Laura A. Cillis	Mgmt	For	For	For
2.4	Elect D. Hugh Gillard	Mgmt	For	For	For
2.5	Elect Robert F. Heinemann	Mgmt	For	For	For
2.6	Elect Barbara Munroe	Mgmt	For	For	For
2.7	Elect Gerald A. Romanzin	Mgmt	For	For	For
2.8	Elect Scott Saxberg	Mgmt	For	For	For
2.9	Elect Mike Jackson	Mgmt	For	For	For
2.10	Elect Ted Goldthorpe	Mgmt	For	For	For
3	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

### Devon Energy Corporation

Ticker	Security ID: DVN CUSIP 25179M103	Meeting Date 06/07/2017	Meeting Status Voted		
Meeting Type Annual		Country of Trade United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Barbara M. Baumann	Mgmt	For	For	For
1.2	Elect John E. Bethancourt	Mgmt	For	For	For

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1.3	Elect David A. Hager	Mgmt	For	For	For
1.4	Elect Robert H. Henry	Mgmt	For	For	For
1.5	Elect Michael M. Kanovsky	Mgmt	For	For	For
1.6	Elect Robert A. Mosbacher, Jr.	Mgmt	For	For	For
1.7	Elect Duane C. Radtke	Mgmt	For	For	For
1.8	Elect Mary P. Ricciardello	Mgmt	For	For	For
1.9	Elect John Richels	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Approval of the Annual Incentive Compensation Plan	Mgmt	For	For	For
6	Approval of the 2017 Long Term Incentive Plan	Mgmt	For	For	For
7	Shareholder Proposal Regarding Lobbying Related to Energy Policy and Climate Change	ShrHoldr	Against	Against	For
8	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against
9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
10	Shareholder Proposal Regarding Reserves Metrics in Executive Compensation	ShrHoldr	Against	Against	For

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Diamondback Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FANG	CUSIP 25278X109	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec		
			Vote Cast		
			For/Against		
			Mgmt		
1.1	Elect Steven E. West	Mgmt	For	Withhold	Against
1.2	Elect Travis D. Stice	Mgmt	For	For	For
1.3	Elect Michael P. Cross	Mgmt	For	For	For
1.4	Elect David L. Houston	Mgmt	For	For	For
1.5	Elect Mark L. Plaumann	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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Diamondback Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FANG	CUSIP 25278X109	12/07/2016	Voted

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Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Increase of Authorized Common Stock	Mgmt	For	Against	Against

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Encana Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
ECA	CUSIP 292505104	05/02/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Peter A. Dea	Mgmt	For	For	For
1.2	Elect Fred J. Fowler	Mgmt	For	For	For
1.3	Elect Howard J. Mayson	Mgmt	For	For	For
1.4	Elect Lee A. McIntire	Mgmt	For	For	For
1.5	Elect Margaret A. McKenzie	Mgmt	For	For	For
1.6	Elect Suzanne P. Nimocks	Mgmt	For	For	For
1.7	Elect Brian G. Shaw	Mgmt	For	For	For
1.8	Elect Douglas J. Suttles	Mgmt	For	For	For
1.9	Elect Bruce G. Waterman	Mgmt	For	For	For
1.10	Elect Clayton H. Woitas	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Energen Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	
EGN	CUSIP 29265N108	05/03/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Kenneth W. Dewey	Mgmt	For	For	For
2	Elect M. James Gorrie	Mgmt	For	For	For
3	Elect James T. McManus, II	Mgmt	For	For	For
4	Elect Laurence M. Downes	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Enerplus Corporation					
Ticker	Security ID:	Meeting Date		Meeting Status	

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ERF	CUSIP 292766102	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David H. Barr	Mgmt	For	For	For
1.2	Elect Michael R. Culbert	Mgmt	For	For	For
1.3	Elect Ian C. Dundas	Mgmt	For	For	For
1.4	Elect Hilary A. Foulkes	Mgmt	For	For	For
1.5	Elect Robert Hodgins	Mgmt	For	For	For
1.6	Elect Susan M. MacKenzie	Mgmt	For	For	For
1.7	Elect Elliott Pew	Mgmt	For	For	For
1.8	Elect Glen D. Roane	Mgmt	For	Withhold	Against
1.9	Elect Sheldon B. Steeves	Mgmt	For	For	For
2	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
3	Reapproval of the Share Award Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For

EOG Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
EOG	CUSIP 26875P101	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Janet F. Clark	Mgmt	For	For	For
2	Elect Charles R. Crisp	Mgmt	For	For	For
3	Elect Robert P. Daniels	Mgmt	For	For	For
4	Elect James C. Day	Mgmt	For	For	For
5	Elect Donald F. Textor	Mgmt	For	For	For
6	Elect William R. Thomas	Mgmt	For	For	For
7	Elect Frank G. Wisner	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Increase of Authorized Common Stock	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

EQT Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EQT	CUSIP 26884L109	04/19/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Vicky A. Bailey	Mgmt	For	For	For
2	Elect Philip G. Behrman	Mgmt	For	For	For
3	Elect Kenneth M. Burke	Mgmt	For	For	For



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4	Elect A. Bray Cary, Jr.	Mgmt	For	For	For
5	Elect Margaret K. Dorman	Mgmt	For	For	For
6	Elect David L. Porges	Mgmt	For	For	For
7	Elect James E. Rohr	Mgmt	For	For	For
8	Elect Steven T. Schlotterbeck	Mgmt	For	For	For
9	Elect Stephen A. Thorington	Mgmt	For	For	For
10	Elect Lee T. Todd, Jr.	Mgmt	For	For	For
11	Elect Christine J. Toretta	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

Gulfport Energy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
GPOR	CUSIP 402635304	06/08/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael G. Moore	Mgmt	For	For	For
2	Elect Craig Groeschel	Mgmt	For	For	For
3	Elect David L. Houston	Mgmt	For	For	For
4	Elect C. Doug Johnson	Mgmt	For	For	For
5	Elect Ben T. Morris	Mgmt	For	For	For
6	Elect Scott E. Streller	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For
9	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Hess Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
HES	CUSIP 42809H107	06/07/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Rodney F. Chase	Mgmt	For	For	For
2	Elect Terrence J. Checki	Mgmt	For	For	For
3	Elect Leonard S. Coleman	Mgmt	For	For	For
4	Elect John B. Hess	Mgmt	For	For	For
5	Elect Edith E. Holiday	Mgmt	For	For	For
6	Elect Risa Lavizzo-Mourey	Mgmt	For	For	For
7	Elect Marc S. Lipschultz	Mgmt	For	For	For
8	Elect David McManus	Mgmt	For	For	For
9	Elect Kevin O. Meyers	Mgmt	For	For	For
10	Elect James H. Quigley	Mgmt	For	For	For

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11	Elect Fredric G. Reynolds	Mgmt	For	For	For
12	Elect William G. Schrader	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Approval of the 2017 Long Term Incentive Plan	Mgmt	For	For	For
17	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against

Husky Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HSE	CINS 448055103	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Victor LI Tzar Kuoi	Mgmt	For	Against	Against
3	Elect Canning FOK Kin Ning	Mgmt	For	Against	Against
4	Elect Stephen E. Bradley	Mgmt	For	For	For
5	Elect Asim Ghosh	Mgmt	For	For	For
6	Elect Martin J.G. Glynn	Mgmt	For	For	For
7	Elect Poh Chan Koh	Mgmt	For	For	For
8	Elect Eva KWOK Lee	Mgmt	For	For	For
9	Elect Stanley T.L. Kwok	Mgmt	For	For	For
10	Elect Frederick S.H. Ma	Mgmt	For	For	For
11	Elect George C. Magnus	Mgmt	For	For	For
12	Elect Neil D. McGee	Mgmt	For	For	For
13	Elect Robert J. Peabody	Mgmt	For	For	For
14	Elect Colin S. Russel	Mgmt	For	Against	Against
15	Elect Wayne E. Shaw	Mgmt	For	For	For
16	Elect William Shurniak	Mgmt	For	For	For
17	Elect Frank J. Sixt	Mgmt	For	Against	Against
18	Appointment of Auditor	Mgmt	For	For	For

Laredo Petroleum, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
LPI	CUSIP 516806106	05/10/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Randy A. Foutch	Mgmt	For	For	For
1.2	Elect Peter R. Kagan	Mgmt	For	For	For
1.3	Elect Edmund P. Segner III	Mgmt	For	For	For
1.4	Elect Myles W. Scoggins	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on	Mgmt	For	Against	Against

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### Executive Compensation

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Marathon Oil Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
MRO	CUSIP 565849106	05/31/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Gaurdie E. Banister, Jr.	Mgmt	For	For	For	
2	Elect Gregory H. Boyce	Mgmt	For	For	For	
3	Elect Chadwick C. Deaton	Mgmt	For	For	For	
4	Elect Marcela E. Donadio	Mgmt	For	For	For	
5	Elect Philip Lader	Mgmt	For	For	For	
6	Elect Michael E.J. Phelps	Mgmt	For	For	For	
7	Elect Dennis H. Reilley	Mgmt	For	For	For	
8	Elect Lee M. Tillman	Mgmt	For	For	For	
9	Ratification of Auditor	Mgmt	For	For	For	
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	

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Matador Resources Company						
Ticker	Security ID:	Meeting Date		Meeting Status		
MTDR	CUSIP 576485205	06/01/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Joseph Wm. Foran	Mgmt	For	For	For	
2	Elect Reynald A. Baribault	Mgmt	For	For	For	
3	Elect R. Gaines Baty	Mgmt	For	For	For	
4	Elect William M. Byerley	Mgmt	For	For	For	
5	Elect Julia P. Forrester	Mgmt	For	For	For	
6	Elect Kenneth L. Stewart	Mgmt	For	For	For	
7	Increase of Authorized Common Stock	Mgmt	For	For	For	
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
9	Ratification of Auditor	Mgmt	For	For	For	

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Memorial Resource Development Corp.						
Ticker	Security ID:	Meeting Date		Meeting Status		
MRD	CUSIP 58605Q109	09/15/2016		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	

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1	Merger	Mgmt	For	For	Mgmt For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Right to Adjourn Meeting	Mgmt	For	For	For
<hr/>					
National Fuel Gas Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
NFG	CUSIP 636180101	03/09/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Rebecca Ranich	Mgmt	For	For	For
1.2	Elect Jeffrey W. Shaw	Mgmt	For	For	For
1.3	Elect Thomas E. Skains	Mgmt	For	For	For
1.4	Elect Ronald J. Tanski	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
4	Reapproval of 2012 Annual At Risk Compensation Incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
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Newfield Exploration Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
NFX	CUSIP 651290108	05/16/2017		Voted	
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lee K. Boothby	Mgmt	For	For	For
2	Elect Pamela J. Gardner	Mgmt	For	For	For
3	Elect Steven Nance	Mgmt	For	For	For
4	Elect Roger B. Plank	Mgmt	For	For	For
5	Elect Thomas G. Ricks	Mgmt	For	For	For
6	Elect Juanita F. Romans	Mgmt	For	For	For
7	Elect John W. Schanck	Mgmt	For	For	For
8	Elect J. Terry Strange	Mgmt	For	For	For
9	Elect J. Kent Wells	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Approval of the 2017 Omnibus Incentive Plan	Mgmt	For	For	For
14	Approval of Material Terms under the 2017 Omnibus Incentive Plan	Mgmt	For	For	For

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15	Amendment to the 2010 Employee Stock Purchase Plan	Mgmt	For	For	For
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Noble Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
NBL	CUSIP 655044105	04/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jeffrey L. Berenson	Mgmt	For	For	For
2	Elect Michael A. Cawley	Mgmt	For	For	For
3	Elect Edward F. Cox	Mgmt	For	For	For
4	Elect James E. Craddock	Mgmt	For	Against	Against
5	Elect Thomas J. Edelman	Mgmt	For	For	For
6	Elect Kirby L. Hedrick	Mgmt	For	For	For
7	Elect David L. Stover	Mgmt	For	For	For
8	Elect Scott D. Urban	Mgmt	For	For	For
9	Elect William T. Van Kleef	Mgmt	For	For	For
10	Elect Molly K. Williamson	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Approval of the 2017 Long-Term Incentive Plan	Mgmt	For	For	For
15	Shareholder Proposal Regarding Climate Change Policy Risk Report	ShrHoldr	Against	For	Against

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Oasis Petroleum Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
OAS	CUSIP 674215108	05/04/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ted Collins, Jr.	Mgmt	For	Withhold	Against
1.2	Elect John E. Hagale	Mgmt	For	For	For
1.3	Elect Douglas E. Swanson, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Occidental Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
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OXY	CUSIP 674599105	05/12/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect Howard I. Atkins	Mgmt	For	For	For
3	Elect Eugene L. Batchelder	Mgmt	For	For	For
4	Elect John E. Feick	Mgmt	For	For	For
5	Elect Margaret M. Foran	Mgmt	For	For	For
6	Elect Carlos M. Gutierrez	Mgmt	For	For	For
7	Elect Vicki A. Hollub	Mgmt	For	For	For
8	Elect William R. Klesse	Mgmt	For	For	For
9	Elect Jack B. Moore	Mgmt	For	For	For
10	Elect Avedick B. Poladian	Mgmt	For	For	For
11	Elect Elisse B. Walter	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Climate Change Policy Risk	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Methane Emissions Reporting	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Political Spending Report	ShrHoldr	Against	Against	For

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Parsley Energy Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
PE	CUSIP 701877102	06/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Bryan Sheffield	Mgmt	For	For	For
1.2	Elect A.R. Alameddine	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For

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PDC Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
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PDCE	CUSIP 69327R101	05/30/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect David C. Parke	Mgmt	For	For	For
1.2	Elect Jeffrey C. Swoveland	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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### Peyto Exploration & Development Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
PEY	CINS 717046106	05/11/2017	Voted		
Meeting Type		Country of Trade			
Annual		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Donald Gray	Mgmt	For	For	For
4	Elect Michael MacBean	Mgmt	For	For	For
5	Elect Brian Davis	Mgmt	For	For	For
6	Elect Darren Gee	Mgmt	For	For	For
7	Elect Gregory S. Fletcher	Mgmt	For	Against	Against
8	Elect Stephen J. Chetner	Mgmt	For	Against	Against
9	Elect Scott Robinson	Mgmt	For	For	For
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

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### Pioneer Natural Resources Company

Ticker	Security ID:	Meeting Date	Meeting Status		
PXD	CUSIP 723787107	05/18/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Edison C. Buchanan	Mgmt	For	For	For
2	Elect Andrew F. Cates	Mgmt	For	For	For
3	Elect Timothy L. Dove	Mgmt	For	For	For
4	Elect Phillip A. Gobe	Mgmt	For	For	For
5	Elect Larry R. Grillot	Mgmt	For	For	For
6	Elect Stacy P. Methvin	Mgmt	For	For	For
7	Elect Royce W. Mitchell	Mgmt	For	For	For
8	Elect Frank A. Risch	Mgmt	For	For	For
9	Elect Scott D. Sheffield	Mgmt	For	For	For
10	Elect Mona K. Sutphen	Mgmt	For	For	For
11	Elect J. Kenneth Thompson	Mgmt	For	For	For
12	Elect Phoebe A. Wood	Mgmt	For	For	For
13	Elect Michael D. Wortley	Mgmt	For	For	For

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14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against	For	Against

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PrairieSky Royalty Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
PSK	CINS 739721108	04/25/2017	Voted		
Meeting Type	Country of Trade				
Mix	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect James Estey	Mgmt	For	For	For
3	Elect Margaret A. McKenzie	Mgmt	For	For	For
4	Elect Andrew M. Philips	Mgmt	For	For	For
5	Elect Sheldon B. Steeves	Mgmt	For	For	For
6	Elect Grant A. Zawalsky	Mgmt	For	For	For
7	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
8	Amendment to the Stock Option Plan	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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QEP Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
QEP	CUSIP 74733V100	05/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert F. Heinemann	Mgmt	For	For	For
2	Elect Michael J. Minarovic	Mgmt	For	For	For
3	Elect David A. Trice	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Reapproval of the Material Terms of the Cash Incentive Plan	Mgmt	For	For	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Elimination of Supermajority	Mgmt	For	For	For



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Requirement

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Range Resources Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
RRC	CUSIP 75281A109	05/17/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Brenda A. Cline	Mgmt	For	For	For	
2	Elect Anthony V. Dub	Mgmt	For	For	For	
3	Elect Allen Finkelson	Mgmt	For	For	For	
4	Elect James M. Funk	Mgmt	For	For	For	
5	Elect Christopher A. Helms	Mgmt	For	For	For	
6	Elect Robert A. Innamorati	Mgmt	For	For	For	
7	Elect Mary Ralph Lowe	Mgmt	For	For	For	
8	Elect Gregory G. Maxwell	Mgmt	For	For	For	
9	Elect Kevin S. McCarthy	Mgmt	For	For	For	
10	Elect Steffen E. Palko	Mgmt	For	For	For	
11	Elect Jeffrey L. Ventura	Mgmt	For	For	For	
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
14	Ratification of Auditor	Mgmt	For	For	For	
15	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against	

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Range Resources Corporation						
Ticker	Security ID:	Meeting Date		Meeting Status		
RRC	CUSIP 75281A109	09/15/2016		Voted		
Meeting Type		Country of Trade				
Special		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Merger/Acquisition	Mgmt	For	For	For	
2	Right to Adjourn Meeting	Mgmt	For	For	For	

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Rice Energy Inc.						
Ticker	Security ID:	Meeting Date		Meeting Status		
RICE	CUSIP 762760106	05/31/2017		Voted		
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Daniel J. Rice III	Mgmt	For	For	For	
1.2	Elect John McCartney	Mgmt	For	For	For	
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
3	Ratification of Auditor	Mgmt	For	For	For	

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RSP Permian Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
RSPP	CUSIP 74978Q105	02/24/2017	Voted		
Meeting Type		Country of Trade			
Special		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Merger/Acquisition	Mgmt	For	For	For
2	Right to Adjourn Meeting	Mgmt	For	For	For

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RSP Permian Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
RSPP	CUSIP 74978Q105	05/25/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steven Gray	Mgmt	For	For	For
1.2	Elect Michael Grimm	Mgmt	For	Withhold	Against
1.3	Elect Scott McNeill	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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Seven Generations Energy Limited					
Ticker	Security ID:	Meeting Date	Meeting Status		
VII	CINS 81783Q105	05/04/2017	Voted		
Meeting Type		Country of Trade			
Mix		Canada			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Set Board Size at Twelve	Mgmt	For	For	For
3	Elect C. Kent Jespersen	Mgmt	For	For	For
4	Elect Patrick Carlson	Mgmt	For	For	For
5	Elect Marty Procter	Mgmt	For	For	For
6	Elect Kevin Brown	Mgmt	For	For	For
7	Elect Avik Dey	Mgmt	For	For	For
8	Elect Harvey Doerr	Mgmt	For	For	For
9	Elect Paul Hand	Mgmt	For	For	For
10	Elect Dale J. Hohm	Mgmt	For	For	For
11	Elect W.J. McAdam	Mgmt	For	For	For
12	Elect Kaush Rakhit	Mgmt	For	For	For
13	Elect M. Jacqueline Sheppard	Mgmt	For	For	For
14	Elect D. Jeff van Steenbergen	Mgmt	For	For	For
15	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
16	Approve 2017 Stock Option Plan	Mgmt	For	For	For
17	Approve 2017 Performance and Restricted Share Unit Plan	Mgmt	For	For	For

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### SM Energy Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SM	CUSIP 78454L100	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Larry W. Bickle	Mgmt	For	For	For
2	Elect Stephen R. Brand	Mgmt	For	For	For
3	Elect Loren M. Leiker	Mgmt	For	For	For
4	Elect Javan D. Ottoson	Mgmt	For	For	For
5	Elect Ramiro G. Peru	Mgmt	For	For	For
6	Elect Julio M. Quintana	Mgmt	For	For	For
7	Elect Rose M. Robeson	Mgmt	For	For	For
8	Elect William D. Sullivan	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Amendment to the Employee Stock Purchase Plan	Mgmt	For	For	For

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### Southwestern Energy Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SWN	CUSIP 845467109	05/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John D. Gass	Mgmt	For	For	For
2	Elect Catherine A. Kehr	Mgmt	For	For	For
3	Elect Greg D. Kerley	Mgmt	For	For	For
4	Elect Jon A. Marshall	Mgmt	For	For	For
5	Elect Elliott Pew	Mgmt	For	For	For
6	Elect Terry W. Rathert	Mgmt	For	For	For
7	Elect Alan H. Stevens	Mgmt	For	For	For
8	Elect William J. Way	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Amendment to the 2013 Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	Against	For

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### Tourmaline Oil Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
TOU	CINS 89156V106	06/07/2017	Voted
Meeting Type	Country of Trade		
Mix	Canada		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Michael L. Rose	Mgmt	For	For	For
4	Elect Brian G. Robinson	Mgmt	For	Against	Against
5	Elect Jill T. Angevine	Mgmt	For	For	For
6	Elect William D. Armstrong	Mgmt	For	For	For
7	Elect Lee A. Baker	Mgmt	For	For	For
8	Elect Robert W. Blakely	Mgmt	For	For	For
9	Elect John W. Elick	Mgmt	For	For	For
10	Elect Phillip A. Lamoreaux	Mgmt	For	For	For
11	Elect Andrew B. MacDonald	Mgmt	For	For	For
12	Elect Lucy M. Miller	Mgmt	For	For	For
13	Elect Ronald C. Wigham	Mgmt	For	For	For
14	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
15	Adoption of New Bylaws	Mgmt	For	For	For
16	Approval of Unallocated Options Under the Share Option Plan	Mgmt	For	For	For

Whitecap Resources Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WCP	CINS 96467A200	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Canada				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Board Size	Mgmt	For	For	For
3	Elect Grant B. Fagerheim	Mgmt	For	For	For
4	Elect Gregory S. Fletcher	Mgmt	For	Against	Against
5	Elect Daryl H. Gilbert	Mgmt	For	Against	Against
6	Elect Glenn A. McNamara	Mgmt	For	For	For
7	Elect Stephen C. Nikiforuk	Mgmt	For	For	For
8	Elect Kenneth Stickland	Mgmt	For	For	For
9	Elect Grant A. Zawalsky	Mgmt	For	Against	Against
10	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For

Whiting Petroleum Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
WLL	CUSIP 966387102	05/02/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Philip E. Doty	Mgmt	For	For	For
1.2	Elect Carin S. Knickel	Mgmt	For	For	For
2	Advisory Vote on Executive	Mgmt	For	For	For

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3	Compensation Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

WPX Energy, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WPX	CUSIP 98212B103	05/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John A. Carrig	Mgmt	For	For	For
2	Elect William R. Granberry	Mgmt	For	For	For
3	Elect Robert K. Herdman	Mgmt	For	For	For
4	Elect Kelt Kindick	Mgmt	For	For	For
5	Elect Karl F. Kurz	Mgmt	For	For	For
6	Elect Henry E. Lentz	Mgmt	For	For	For
7	Elect George A. Lorch	Mgmt	For	For	For
8	Elect William G. Lowrie	Mgmt	For	For	For
9	Elect Kimberly S. Lubel	Mgmt	For	For	For
10	Elect Richard E. Muncrief	Mgmt	For	For	For
11	Elect David F. Work	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
Fund Name : VanEck Vectors Uranium + Nuclear Energy ETF					

Ameren Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
AEE	CUSIP 023608102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Warner L. Baxter	Mgmt	For	For	For
2	Elect Catherine S. Brune	Mgmt	For	For	For
3	Elect J. Edward Coleman	Mgmt	For	For	For
4	Elect Ellen M. Fitzsimmons	Mgmt	For	For	For
5	Elect Rafael Flores	Mgmt	For	For	For
6	Elect Walter J. Galvin	Mgmt	For	For	For
7	Elect Richard J. Harshman	Mgmt	For	For	For
8	Elect Gayle P.W. Jackson	Mgmt	For	For	For
9	Elect James C. Johnson	Mgmt	For	For	For
10	Elect Steven H. Lipstein	Mgmt	For	For	For
11	Elect Stephen R. Wilson	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

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15	Shareholder Proposal Regarding Renewable Energy Report	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Coal Combustion Waste	ShrHoldr	Against	For	Against

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Babcock International Group plc

Ticker	Security ID:	Meeting Date	Meeting Status		
BAB	CINS G0689Q152	07/21/2016	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Remuneration Report (Advisory)	Mgmt	For	Against	Against
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Elect Michael J. Turner	Mgmt	For	For	For
5	Elect Peter Rogers	Mgmt	For	For	For
6	Elect William Tame	Mgmt	For	For	For
7	Elect Archie Bethel	Mgmt	For	For	For
8	Elect John Davies	Mgmt	For	For	For
9	Elect Franco Martinelli	Mgmt	For	For	For
10	Elect Sir David Omand	Mgmt	For	For	For
11	Elect Ian Duncan	Mgmt	For	For	For
12	Elect Anna Stewart	Mgmt	For	For	For
13	Elect Jeff Randall	Mgmt	For	For	For
14	Elect Myles Lee	Mgmt	For	For	For
15	Elect Victoire de Margerie	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Set Auditor's Fees	Mgmt	For	For	For
18	Authorisation of Political Donations	Mgmt	For	For	For
19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
21	Authority to Repurchase Shares	Mgmt	For	For	For
22	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	Against	Against

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BWX Technologies Inc.

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Ticker	Security ID:	Meeting Date	Meeting Status		
BWXT	CUSIP 05605H100	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Rex D. Geveden	Mgmt	For	For	For
1.2	Elect Robert L. Nardelli	Mgmt	For	For	For
1.3	Elect Barbara A Niland	Mgmt	For	For	For
1.4	Elect Charles W. Pryor, Jr.	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For

Cameco Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
CCO	CUSIP 13321L108	05/11/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Ian D. Bruce	Mgmt	For	For	For
1.2	Elect Daniel Camus	Mgmt	For	For	For
1.3	Elect John H. Clappison	Mgmt	For	For	For
1.4	Elect Donald H.F. Deranger	Mgmt	For	For	For
1.5	Elect Catherine A. Gignac	Mgmt	For	For	For
1.6	Elect Timothy S. Gitzel	Mgmt	For	For	For
1.7	Elect James K. Gowans	Mgmt	For	For	For
1.8	Elect Kathryn J. Jackson	Mgmt	For	For	For
1.9	Elect Donald B. Kayne	Mgmt	For	For	For
1.10	Elect A. Anne McLellan	Mgmt	For	For	For
1.11	Elect A. Neil McMillan	Mgmt	For	For	For
2	Appointment of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Canadian Resident Status	Mgmt	Against	Abstain	N/A

CEZ, a.s. (Ceske Energeticke Zavody)

Ticker	Security ID:	Meeting Date	Meeting Status		
BAACEZ	CINS X2337V121	06/21/2017	Voted		
Meeting Type	Country of Trade				
Annual	Czech Republic				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Financial Statements	Mgmt	For	For	For
6	Allocation of	Mgmt	For	For	For

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	Profits/Dividends					
7	Amendments to Articles	Mgmt	For	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For	For
9	Charitable Donations	Mgmt	For	For	For	For
10	Non-Voting Agenda Item	N/A	N/A	N/A	N/A	N/A
11	Elect Vaclav Paces	Mgmt	For	For	For	For
12	Election of Audit Committee Members	Mgmt	For	Abstain	Abstain	Against
13	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A

CGN Power Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
1816	CINS Y1300C101	05/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Annual Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	2016 Investment Plan and Capital Expenditure Budget	Mgmt	For	For	For
8	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
9	Elect ZHANG Shanming	Mgmt	For	For	For
10	Elect GAO Ligang	Mgmt	For	For	For
11	Elect TAN Jiansheng	Mgmt	For	For	For
12	Elect SHI Bing	Mgmt	For	For	For
13	Elect ZHONG Huiling	Mgmt	For	For	For
14	Elect ZHANG Yong	Mgmt	For	For	For
15	Elect NA Xizhi	Mgmt	For	For	For
16	Elect HU Yiguang	Mgmt	For	For	For
17	Elect Francis SIU Wai Keung	Mgmt	For	Against	Against
18	Elect CHEN Sui as Supervisor	Mgmt	For	For	For
19	Elect YANG Lanhe as Supervisor	Mgmt	For	For	For
20	Elect CHEN Rongzhen as Supervisor	Mgmt	For	For	For
21	GAO Ligang	Mgmt	For	For	For
22	NA Xizhi	Mgmt	For	For	For
23	HU Yiguang	Mgmt	For	For	For
24	Francis SIU Wai Keung	Mgmt	For	For	For
25	Pan Yinsheng	Mgmt	For	For	For
26	Yang Lanhe	Mgmt	For	For	For
27	Chen Rongzhen	Mgmt	For	For	For
28	CAI Zihua	Mgmt	For	For	For
29	WANG Hongxin	Mgmt	For	For	For
30	Authority to Issue H Shares w/o Preemptive Rights	Mgmt	For	Against	Against

CGN Power Company Limited



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Ticker	Security ID:	Meeting Date	Meeting Status		
1816	CINS Y1300C101	11/16/2016	Voted		
Meeting Type	Country of Trade				
Special	China				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Share Transfer Agreement	Mgmt	For	For	For
3	General Services Framework Agreement	Mgmt	For	For	For
4	Supplement Agreement to the Engineering Services Framework Agreement	Mgmt	For	For	For
5	Supplement Agreement to the Nuclear Fuel Supply and Service Framework Agreement	Mgmt	For	For	For
6	Authority to Issue Mid- to Long-term Bonds	Mgmt	For	For	For
7	Extension of Closing Date and Authorization Period for Short-term Debenture Issue	Mgmt	For	For	For

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Chugoku Electric Power Company					
Ticker	Security ID:	Meeting Date	Meeting Status		
9504	CINS J07098106	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Tomohide Karita	Mgmt	For	Against	Against
4	Elect Mareshige Shimizu	Mgmt	For	For	For
5	Elect Akira Sakotani	Mgmt	For	For	For
6	Elect Nobuo Watanabe	Mgmt	For	For	For
7	Elect Moriyoshi Ogawa	Mgmt	For	For	For
8	Elect Hideo Matsumura	Mgmt	For	For	For
9	Elect Masaki Hirano	Mgmt	For	For	For
10	Elect Hideo Matsuoka	Mgmt	For	For	For
11	Elect Akimasa Iwasaki	Mgmt	For	For	For
12	Elect Shigeru Ashitani	Mgmt	For	Against	Against
13	Elect Takafumi Shigetoh	Mgmt	For	Against	Against
14	Shareholder Proposal Regarding Distribution of Electricity	ShrHoldr	Against	Against	For
15	Shareholder Proposal Regarding Nuclear Safety Agreement	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Nuclear	ShrHoldr	Against	Against	For

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	Disaster Prevention Expenses				
17	Shareholder Proposal Regarding Suspension of Nuclear Operations	ShrHoldr	Against	Against	For
18	Shareholder Proposal regarding Reprocessing Spent Nuclear Fuel	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Construction of New Coal Fired Plants	ShrHoldr	Against	Against	For

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Dominion Resources, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
D	CUSIP 25746U109	05/10/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect William P. Barr	Mgmt	For	For	For
2	Elect Helen E. Dragas	Mgmt	For	For	For
3	Elect James O. Ellis, Jr.	Mgmt	For	For	For
4	Elect Thomas F. Farrell II	Mgmt	For	For	For
5	Elect John W. Harris	Mgmt	For	For	For
6	Elect Ronald W. Jibson	Mgmt	For	For	For
7	Elect Mark J. Kington	Mgmt	For	For	For
8	Elect Joseph M. Rigby	Mgmt	For	For	For
9	Elect Pamela L. Royal	Mgmt	For	For	For
10	Elect Robert H. Spilman, Jr.	Mgmt	For	For	For
11	Elect Susan N. Story	Mgmt	For	Against	Against
12	Elect Michael E. Szymanczyk	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Company Name Change	Mgmt	For	For	For
17	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Climate Change Expertise on Board	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Climate Change Reporting	ShrHoldr	Against	For	Against
20	Shareholder Proposal	ShrHoldr	Against	Against	For

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Regarding Methane  
Emissions  
Report

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Duke Energy Corporation		Meeting Date		Meeting Status	
Ticker	Security ID:	05/04/2017		Voted	
DUK	CUSIP 26441C204				
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Michael J. Angelakis	Mgmt	For	For	For
1.2	Elect Michael G. Browning	Mgmt	For	For	For
1.3	Elect Theodore F. Craver, Jr.	Mgmt	For	For	For
1.4	Elect Daniel R. DiMicco	Mgmt	For	For	For
1.5	Elect John H. Forsgren	Mgmt	For	For	For
1.6	Elect Lynn J. Good	Mgmt	For	For	For
1.7	Elect John T. Herron	Mgmt	For	For	For
1.8	Elect James B. Hyler, Jr.	Mgmt	For	For	For
1.9	Elect William E. Kennard	Mgmt	For	For	For
1.10	Elect E. Marie McKee	Mgmt	For	For	For
1.11	Elect Charles W. Moorman, IV	Mgmt	For	For	For
1.12	Elect Carlos A. Saladrigas	Mgmt	For	For	For
1.13	Elect Thomas E. Skains	Mgmt	For	For	For
1.14	Elect William E. Webster	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Elimination of Supermajority Requirement	Mgmt	For	For	For
6	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
7	Shareholder Proposal Regarding Reporting on Company Climate Change Policies and Technological Advances	ShrHoldr	Against	For	Against
8	Shareholder Proposal Regarding Health Effects of Coal	ShrHoldr	Against	Against	For

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Electricite de France		Meeting Date		Meeting Status	
Ticker	Security ID:	05/18/2017		Voted	
EDF	CINS F2940H113				

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Meeting Type		Country of Trade				
Mix		France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against	Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
5	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	N/A
6	Accounts and Reports; Approval of Non-Tax Deductible Expenses	Mgmt	For	For	For	For
7	Consolidated Accounts and Reports	Mgmt	For	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For	For
9	Shareholder Proposal (A) Regarding Distribution of Dividends	ShrHoldr	Against	Against	For	For
10	Scrip Dividend	Mgmt	For	For	For	For
11	Related Party Transactions (BNP Paribas)	Mgmt	For	For	For	For
12	Related Party Transactions (Societe Generale)	Mgmt	For	For	For	For
13	Related Party Transactions (Areva NP)	Mgmt	For	For	For	For
14	Related Party Transactions (Partial Disposal of RTE)	Mgmt	For	For	For	For
15	Related Party Transactions (Governance of RTE)	Mgmt	For	For	For	For
16	Related Party Transactions (Previously Approved)	Mgmt	For	For	For	For
17	Remuneration of Jean -Bernard Levy, Chair and CEO	Mgmt	For	For	For	For
18	Remuneration Policy (Chair and CEO)	Mgmt	For	For	For	For
19	Authority to Repurchase and Reissue Shares	Mgmt	For	For	For	For
20	Ratification of the Co-option of Michele Rousseau	Mgmt	For	For	For	For
21	Directors' Fees	Mgmt	For	For	For	For
22	Employee Stock Purchase Plan	Mgmt	For	For	For	For

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23	Amendments to Articles Regarding Alternate Auditors	Mgmt	For	For	For
24	Appointment of Auditor (Deloitte)	Mgmt	For	For	For
25	Appointment of Auditor (KPMG)	Mgmt	For	For	For
26	Authorisation of Legal Formalities	Mgmt	For	For	For

Electricite de France

Ticker	Security ID:	Meeting Date	Meeting Status		
EDF	CINS F2940H113	07/26/2016	Voted		
Meeting Type	Country of Trade				
Mix	France				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
5	Transfer of Reserves	Mgmt	For	For	For
6	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
7	Authority to Set Offering Price of Shares	Mgmt	For	For	For
8	Employee Stock Purchase Plan	Mgmt	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights Through Private Placement	Mgmt	For	For	For
10	Acknowledgement of Previous Capital Authorities	Mgmt	For	For	For
11	Authorization of Legal Formalities	Mgmt	For	For	For

Endesa SA

Ticker	Security ID:	Meeting Date	Meeting Status		
ELE	CINS E41222113	04/26/2017	Voted		
Meeting Type	Country of Trade				
Ordinary	Spain				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts	Mgmt	For	For	For
2	Management Reports	Mgmt	For	For	For
3	Ratification of Board Acts	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Appointment of Auditor	Mgmt	For	For	For
6	Elect Miquel Roca Junyent	Mgmt	For	Against	Against
7	Elect Alejandro Echevarria Busquet	Mgmt	For	For	For
8	Remuneration Report	Mgmt	For	For	For

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9	Loyalty Plan 2017-2019	Mgmt	For	For	For
10	Authorisation of Legal Formalities	Mgmt	For	For	For

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Entergy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ETR	CUSIP 29364G103	05/05/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Maureen S. Bateman	Mgmt	For	For	For
2	Elect P.J. Condon	Mgmt	For	For	For
3	Elect Leo P. Denault	Mgmt	For	For	For
4	Elect Kirkland H. Donald	Mgmt	For	For	For
5	Elect Philip L. Frederickson	Mgmt	For	For	For
6	Elect Alexis M. Herman	Mgmt	For	For	For
7	Elect Donald C. Hintz	Mgmt	For	For	For
8	Elect Stuart L. Levenick	Mgmt	For	For	For
9	Elect Blanche L. Lincoln	Mgmt	For	For	For
10	Elect Karen A. Puckett	Mgmt	For	For	For
11	Elect W.J. Tauzin	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Distributed Generation	ShrHoldr	Against	Against	For

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Exelon Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
EXC	CUSIP 30161N101	04/25/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Anthony K. Anderson	Mgmt	For	For	For
2	Elect Ann C. Berzin	Mgmt	For	For	For
3	Elect Christopher M. Crane	Mgmt	For	For	For
4	Elect Yves C. de Balmann	Mgmt	For	For	For
5	Elect Nicholas DeBenedictis	Mgmt	For	For	For
6	Elect Nancy L. Gioia	Mgmt	For	For	For
7	Elect Linda P. Jojo	Mgmt	For	For	For
8	Elect Paul L. Joskow	Mgmt	For	For	For
9	Elect Robert J. Lawless	Mgmt	For	Against	Against
10	Elect Richard W. Mies	Mgmt	For	For	For
11	Elect John W. Rogers, Jr.	Mgmt	For	For	For
12	Elect Mayo A. Shattuck	Mgmt	For	For	For

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	III				
13	Elect Stephen D. Steinour	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Firstenergy Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
FE	CUSIP 337932107	05/16/2017	Voted		
Meeting Type	Annual	Country of Trade	United States		
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Paul T. Addison	Mgmt	For	For	For
1.2	Elect Michael J. Anderson	Mgmt	For	For	For
1.3	Elect William T. Cottle	Mgmt	For	For	For
1.4	Elect Steven J. Demetriou	Mgmt	For	For	For
1.5	Elect Julia L. Johnson	Mgmt	For	For	For
1.6	Elect Charles E. Jones	Mgmt	For	For	For
1.7	Elect Donald T. Misheff	Mgmt	For	For	For
1.8	Elect Thomas N. Mitchell	Mgmt	For	For	For
1.9	Elect James F. O'Neil III	Mgmt	For	For	For
1.10	Elect Christopher D. Pappas	Mgmt	For	Withhold	Against
1.11	Elect Luis A. Reyes	Mgmt	For	For	For
1.12	Elect George M. Smart	Mgmt	For	For	For
1.13	Elect Jerry Sue Thornton	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Increase of Authorized Common Stock	Mgmt	For	For	For
6	Elimination of Supermajority Requirement	Mgmt	For	For	For
7	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
8	Adoption of Proxy Access	Mgmt	For	For	For
9	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
10	Shareholder Proposal Regarding Climate Change Policy Risk Report	ShrHoldr	Against	For	Against

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11 Shareholder Proposal ShrHoldr Against Against For  
 Regarding Simple  
 Majority  
 Vote

Fortum Oyj

Ticker Security ID: Meeting Date Meeting Status  
 FORTUM CINS X2978Z118 04/04/2017 Voted

Meeting Type Country of Trade  
 Annual Finland

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
4	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
5	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
6	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
7	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
8	Non-Voting Agenda Item	N/A	N/A	N/A	N/A
9	Accounts and Reports	Mgmt	For	For	For
10	Allocation of Profits/Dividends	Mgmt	For	For	For
11	Ratification of Board and CEO Acts	Mgmt	For	For	For
12	Directors' Fees	Mgmt	For	For	For
13	Board Size	Mgmt	For	For	For
14	Election of Directors	Mgmt	For	For	For
15	Authority to Set Auditor's Fees	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Authority to Repurchase Shares	Mgmt	For	For	For
18	Authority to Issue Treasury Shares	Mgmt	For	For	For
19	Non-Voting Agenda Item	N/A	N/A	N/A	N/A

Hokuriku Electric Power

Ticker Security ID: Meeting Date Meeting Status  
 9505 CINS J22050108 06/28/2017 Voted

Meeting Type Country of Trade  
 Annual Japan

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Tateki Ataka	Mgmt	For	Against	Against
4	Elect Nobuhiko Ishiguro	Mgmt	For	For	For
5	Elect Shiroh Ojima	Mgmt	For	For	For
6	Elect Yutaka Kanai	Mgmt	For	For	For
7	Elect Tatsuo Kawada	Mgmt	For	Against	Against
8	Elect Susumu Kyuwa	Mgmt	For	Against	Against
9	Elect Motonobu Sugawa	Mgmt	For	For	For
10	Elect Hiroaki Sono	Mgmt	For	For	For
11	Elect Shigeo Takagi	Mgmt	For	For	For
12	Elect Yukihiro Takabayashi	Mgmt	For	For	For



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13	Elect Kohichi Mizuno	Mgmt	For	For	For
14	Elect Shigeru Yano	Mgmt	For	For	For
15	Shareholder Proposal Regarding Decommissioning Nuclear Operations	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Nuclear Fuel Acquisition	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Spent Nuclear Fuel	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Amendment of Articles Regarding Director and Statutory Auditor Liabilities	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Company Consultants	ShrHoldr	Against	Against	For
20	Shareholder Proposal Regarding Individual Compensation Disclosure	ShrHoldr	Against	For	Against

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Kansai Electric Power Co. Inc. (The)

Ticker	Security ID:	Meeting Date	Meeting Status		
9503	CINS J30169106	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Makoto Yagi	Mgmt	For	Against	Against
4	Elect Shigeki Iwane	Mgmt	For	For	For
5	Elect Hideki Toyomatsu	Mgmt	For	For	For
6	Elect Jiroh Kagawa	Mgmt	For	For	For
7	Elect Yoshihiro Doi	Mgmt	For	For	For
8	Elect Takashi Morimoto	Mgmt	For	For	For
9	Elect Tomio Inoue	Mgmt	For	For	For
10	Elect Yasushi Sugimoto	Mgmt	For	For	For
11	Elect Hidehiko Yukawa	Mgmt	For	For	For
12	Elect Tomihiko Ohishi	Mgmt	For	For	For
13	Elect Yasuji Shimamoto	Mgmt	For	For	For
14	Elect Noriyuki Inoue	Mgmt	For	Against	Against
15	Elect Takamune Okihara	Mgmt	For	For	For
16	Elect Tetsuya Kobayashi	Mgmt	For	Against	Against
17	Elect Yasuhiro Yashima	Mgmt	For	For	For
18	Elect Fumio Ohtsubo	Mgmt	For	For	For
19	Shareholder Proposal Regarding Company Vision	ShrHoldr	Against	Against	For
20	Shareholder Proposal Regarding Disclosure of Shareholder Meeting	ShrHoldr	Against	For	Against

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	Minutes				
21	Shareholder Proposal Regarding Corporate Social Responsibility	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding CSR Disclosure and Stakeholder Engagement	ShrHoldr	Against	Against	For
23	Shareholder Proposal Regarding Retention of Human Resources and Transmission of Technology	ShrHoldr	Against	Against	For
24	Shareholder Proposal Regarding Promoting Energy Conservation	ShrHoldr	Against	Against	For
25	Shareholder Proposal Regarding Distribution of Dividends	ShrHoldr	Against	Against	For
26	Shareholder Proposal Regarding Removal of Shigeki Iwane	ShrHoldr	Against	Against	For
27	Shareholder Proposal Regarding Individual Compensation Disclosure	ShrHoldr	Against	For	Against
28	Shareholder Proposal Regarding Establishment of Workstyle Reform Promotion Committee	ShrHoldr	Against	Against	For
29	Shareholder Proposal Regarding Nuclear Fuel	ShrHoldr	Against	Against	For
30	Shareholder Proposal Regarding Establishment of Aging Nuclear Power Plants Committee	ShrHoldr	Against	Against	For
31	Shareholder Proposal Regarding Withdrawal From Nuclear Power Generation	ShrHoldr	Against	Against	For
32	Shareholder Proposal Regarding Increasing Corporate Transparency	ShrHoldr	Against	Against	For
33	Shareholder Proposal Regarding Individual Compensation Disclosure	ShrHoldr	Against	Against	For
34	Shareholder Proposal Regarding Diversifying Energy Sources	ShrHoldr	Against	Against	For

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35	Shareholder Proposal Regarding Separation of Business Activities	ShrHolder	Against	Against	For
36	Shareholder Proposal Regarding Nuclear Plant Operations	ShrHolder	Against	Against	For
37	Shareholder Proposal Regarding Corporate Culture	ShrHolder	Against	Against	For
38	Shareholder Proposal Regarding Prohibition of Re-Employing Government Employees	ShrHolder	Against	Against	For
39	Shareholder Proposal Regarding Board Size and Board Independence	ShrHolder	Against	Against	For
40	Shareholder Proposal Regarding Denuclearization	ShrHolder	Against	Against	For

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Korea Electric Power Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
015760	CUSIP 500631106	01/10/2017	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Elect MOON Bong Soo as Director	Mgmt	For
			Against
			Against

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Korea Electric Power Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
015760	CUSIP 500631106	03/21/2017	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For
			Against
			Against
2	Directors' Fees	Mgmt	For
			Against
3	Election of President	Mgmt	For
			Against
			Against

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Korea Electric Power Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
015760	CUSIP 500631106	10/24/2016	Voted
Meeting Type	Country of Trade		
Special	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Against
			Mgmt
1	Election of Audit Committee Member: KIM Joo Sun	Mgmt	For
			For
			For
2	Amendments to Articles	Mgmt	For
			For
			For

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Kyushu Electric Power Co Inc

Ticker	Security ID:	Meeting Date	Meeting Status
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9508	CINS J38468104	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Masayoshi Nuki	Mgmt	For	Against	Against
4	Elect Michiaki Uriu	Mgmt	For	For	For
5	Elect Naofumi Satoh	Mgmt	For	For	For
6	Elect Tomoyuki Aramaki	Mgmt	For	For	For
7	Elect Kazuhiro Izaki	Mgmt	For	For	For
8	Elect Yuzoh Sasaki	Mgmt	For	For	For
9	Elect Haruyoshi Yamamoto	Mgmt	For	For	For
10	Elect Hideomi Yakushinji	Mgmt	For	For	For
11	Elect Akira Nakamura	Mgmt	For	For	For
12	Elect Yoshiroh Watanabe	Mgmt	For	For	For
13	Elect Takashi Yamasaki	Mgmt	For	For	For
14	Elect Masahiko Inuzuka	Mgmt	For	For	For
15	Elect Kazuhiro Ikebe	Mgmt	For	Against	Against
16	Elect Akiyoshi Watanabe	Mgmt	For	Against	Against
17	Elect Ritsuko Kikukawa	Mgmt	For	For	For
18	Elect Fumiko Furushoh as a Statutory Auditor	Mgmt	For	For	For
19	Elect Kiyoaki Shiotsugu as an Alternative Statutory Auditor	Mgmt	For	For	For
20	Shareholder Proposal Regarding Change in Business Purpose	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding Company Morals	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding CSR Management	ShrHoldr	Against	Against	For
23	Shareholder Proposal Regarding Safety Investigation Committee	ShrHoldr	Against	Against	For
24	Shareholder Proposal Regarding Establishment of Power Cost Verification Committee	ShrHoldr	Against	Against	For

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Mitsubishi Heavy Industries Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
7011	CINS J44002129	06/22/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of	Mgmt	For	For	For

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Profits/Dividends						
3	Share Consolidation	Mgmt	For	For	For	
4	Elect Hideaki Ohmiya	Mgmt	For	Against	Against	
5	Elect Shunichi Miyanaga	Mgmt	For	For	For	
6	Elect Masanori Koguchi	Mgmt	For	For	For	
7	Elect Michisuke Nayama	Mgmt	For	For	For	
8	Elect Naoyuki Shinohara	Mgmt	For	For	For	
9	Elect Ken Kobayashi	Mgmt	For	For	For	
10	Elect Seiji Izumisawa	Mgmt	For	For	For	
11	Elect Toshifumi Gotoh	Mgmt	For	For	For	
12	Elect Nobuo Kuroyanagi	Mgmt	For	Against	Against	
13	Elect Christina Ahmadjian	Mgmt	For	For	For	
14	Elect Shinichiroh Itoh	Mgmt	For	Against	Against	
15	Appointment of Auditor	Mgmt	For	For	For	

PG&E Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
PCG	CUSIP 69331C108	05/30/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Elect Lewis Chew	Mgmt	For	For	For	
2	Elect Anthony F. Earley, Jr.	Mgmt	For	For	For	
3	Elect Fred J. Fowler	Mgmt	For	For	For	
4	Elect Jeh C. Johnson	Mgmt	For	For	For	
5	Elect Richard C. Kelly	Mgmt	For	For	For	
6	Elect Roger H. Kimmel	Mgmt	For	For	For	
7	Elect Richard A. Meserve	Mgmt	For	For	For	
8	Elect Forrest E. Miller	Mgmt	For	For	For	
9	Elect Eric D. Mullins	Mgmt	For	For	For	
10	Elect Rosendo G. Parra	Mgmt	For	For	For	
11	Elect Barbara L. Rambo	Mgmt	For	For	For	
12	Elect Anne Shen Smith	Mgmt	For	For	For	
13	Elect Geisha J. Williams	Mgmt	For	For	For	
14	Ratification of Auditor	Mgmt	For	For	For	
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For	
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For	
17	Shareholder Proposal Regarding Charitable Contributions	ShrHoldr	Against	Against	For	

Pinnacle West Capital Corporation

Ticker	Security ID:	Meeting Date	Meeting Status			
PNW	CUSIP 723484101	05/17/2017	Voted			
Meeting Type		Country of Trade				
Annual		United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1.1	Elect Donald E. Brandt	Mgmt	For	For	For	
1.2	Elect Denis A. Cortese	Mgmt	For	For	For	
1.3	Elect Richard P. Fox	Mgmt	For	For	For	
1.4	Elect Michael L.	Mgmt	For	Withhold	Against	

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1.5	Gallagher Elect Roy A. Herberger, Jr.	Mgmt	For	For	For
1.6	Elect Dale E. Klein	Mgmt	For	For	For
1.7	Elect Humberto S. Lopez	Mgmt	For	For	For
1.8	Elect Kathryn L. Munro	Mgmt	For	For	For
1.9	Elect Bruce J. Nordstrom	Mgmt	For	For	For
1.10	Elect Paula J. Sims	Mgmt	For	For	For
1.11	Elect David Wagener	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Amendment to the 2012 Long-Term Incentive Plan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For

Public Service Enterprise Group Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
PEG	CUSIP 744573106	04/18/2017	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Willie A. Deese	Mgmt	For	For	For
2	Elect Albert R. Gamber, Jr.	Mgmt	For	For	For
3	Elect William V. Hickey	Mgmt	For	For	For
4	Elect Ralph Izzo	Mgmt	For	For	For
5	Elect Shirley Ann Jackson	Mgmt	For	For	For
6	Elect David Lilley	Mgmt	For	For	For
7	Elect Thomas A. Renyi	Mgmt	For	For	For
8	Elect Hak Cheol Shin	Mgmt	For	For	For
9	Elect Richard J. Swift	Mgmt	For	For	For
10	Elect Susan Tomasky	Mgmt	For	For	For
11	Elect Alfred W. Zollar	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Ratification of Auditor	Mgmt	For	For	For

Tohoku Electric Power Co Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
9506	CINS J85108108	06/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Elect Makoto Kaiwa	Mgmt	For	Against	Against

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4	Elect Hiroya Harada	Mgmt	For	For	For
5	Elect Mitsuhiro Sakamoto	Mgmt	For	For	For
6	Elect Takao Watanabe	Mgmt	For	For	For
7	Elect Shinichi Okanobu	Mgmt	For	For	For
8	Elect Hiroshi Tanae	Mgmt	For	For	For
9	Elect Noboru Hasegawa	Mgmt	For	For	For
10	Elect Shunji Yamamoto	Mgmt	For	For	For
11	Elect Naoto Miura	Mgmt	For	For	For
12	Elect Haruyuki Nakano	Mgmt	For	Against	Against
13	Elect Jiroh Masuko	Mgmt	For	For	For
14	Elect Kohjiroh Higuchi	Mgmt	For	Against	Against
15	Elect Toshinori Abe	Mgmt	For	Against	Against
16	Elect Satoshi Seino	Mgmt	For	For	For
17	Elect Shiroh Kondoh	Mgmt	For	For	For
18	Shareholder Proposal Regarding Withdrawal from Nuclear Power Generation and Development of Renewable Energy	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Decommissioning of the Onagawa Nuclear Power Plant	ShrHoldr	Against	Against	For
20	Shareholder Proposal Regarding Nuclear Fuel Operations	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding Spent Nuclear Fuel	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding Renewable Energy	ShrHoldr	Against	Against	For

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Tokyo Electric Power Company Holdings, Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status		
9501	CINS J86914108	06/23/2017	Voted		
Meeting Type	Country of Trade				
Annual	Japan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Elect Junji Annen	Mgmt	For	For	For
3	Elect Shohei Utsuda	Mgmt	For	For	For
4	Elect Yoshinori Kaneko	Mgmt	For	For	For
5	Elect Toshihiro Kawasaki	Mgmt	For	For	For
6	Elect Takashi Kawamura	Mgmt	For	For	For
7	Elect Hideko Kunii	Mgmt	For	For	For
8	Elect Tomoaki Kobayakawa	Mgmt	For	For	For
9	Elect Hideo Takaura	Mgmt	For	For	For
10	Elect Noriaki Taketani	Mgmt	For	For	For
11	Elect Kazuhiko Toyama	Mgmt	For	For	For
12	Elect Keita Nishiyama	Mgmt	For	For	For
13	Elect Shigenori Makino	Mgmt	For	For	For

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14	Elect Seiji Moriya	Mgmt	For	For	For
15	Shareholder Proposal Regarding Restarting Nuclear Operations	ShrHolder	Against	Against	For
16	Shareholder Proposal Regarding Election of Haruki Murata	ShrHolder	Against	Against	For
17	Shareholder Proposal Regarding Election of Tadashi Higashikawa	ShrHolder	Against	Against	For
18	Shareholder Proposal Regarding the Sale of TEPCO Power Grid	ShrHolder	Against	Against	For
19	Shareholder Proposal Regarding Impairment Accounting for Kashiwazaki-Kariwa Power Station	ShrHolder	Against	Against	For
20	Shareholder Proposal Regarding Reactor-Decommissionin g Research Facilities	ShrHolder	Against	Against	For
21	Shareholder Proposal Regarding Audit Committee	ShrHolder	Against	Against	For
22	Shareholder Proposal Regarding Evacuee Safety Agreements	ShrHolder	Against	Against	For
23	Shareholder Proposal Regarding Evacuation Drills Under a Nuclear Accident Scenario	ShrHolder	Against	Against	For
24	Shareholder Proposal Regarding Establishment of a Nuclear Disaster Recuperation Fund	ShrHolder	Against	Against	For
25	Shareholder Proposal Regarding Provision of Recuperation for Workers	ShrHolder	Against	Against	For
26	Shareholder Proposal Regarding Facility Inspection	ShrHolder	Against	Against	For

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Xcel Energy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
XEL	CUSIP 98389B100	05/17/2017	Voted		
Meeting Type		Country of Trade			
Annual		United States			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Gail K. Boudreaux	Mgmt	For	For	For



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2	Elect Richard K. Davis	Mgmt	For	Against	Against
3	Elect Benjamin G.S. Fowke III	Mgmt	For	For	For
4	Elect Richard T. O'Brien	Mgmt	For	For	For
5	Elect Christopher J. Policinski	Mgmt	For	For	For
6	Elect James T. Prokopanko	Mgmt	For	For	For
7	Elect A. Patricia Sampson	Mgmt	For	For	For
8	Elect James J. Sheppard	Mgmt	For	For	For
9	Elect David A. Westerlund	Mgmt	For	For	For
10	Elect Kim Williams	Mgmt	For	For	For
11	Elect Timothy V. Wolf	Mgmt	For	For	For
12	Elect Daniel Yohannes	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Independent Board Chair	ShrHoldr	Against	For	Against

Fund Name : VanEck Vectors Vietnam ETF

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Baoviet Holdings						
Ticker	Security ID:	Meeting Date	Meeting Status			
BVH	CINS Y0704L105	04/27/2017	Voted			
Meeting Type	Country of Trade					
Annual	Vietnam					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Business Report and Business Plan	Mgmt	For	For	For	
4	Accounts and Reports	Mgmt	For	For	For	
5	Allocation of Profits/Dividends	Mgmt	For	For	For	
6	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Supervisors' Report	Mgmt	For	For	For	
9	Report on Increasing Authorized Capital	Mgmt	For	Abstain	Against	
10	Authority to Relocate Headquater	Mgmt	For	Abstain	Against	
11	Transaction of Other Business	Mgmt	For	Against	Against	
12	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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Baoviet Holdings			
Ticker	Security ID:	Meeting Date	Meeting Status

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
BVH	CINS Y0704L105	05/26/2017		Voted	
Meeting Type		Country of Trade			
Annual		Vietnam			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Business Report	Mgmt	For	For	For
4	Business Plan	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Consolidated Financial Statements	Mgmt	For	For	For
7	Financial Statements	Mgmt	For	For	For
8	2016 Fees	Mgmt	For	Abstain	Against
9	2017 Fees	Mgmt	For	Abstain	Against
10	Allocation of 2016 Profits/Dividends	Mgmt	For	For	For
11	Allocation of 2017 Profits/Dividends	Mgmt	For	For	For
12	Report on Increasing Authorized Capital	Mgmt	For	Abstain	Against
13	Directors' and Management's Liability Insurance	Mgmt	For	Abstain	Against
14	Authority to Relocate Headquarter	Mgmt	For	For	For
15	Amendments to Articles - Change of Address	Mgmt	For	For	For
16	Appointment of Auditor	Mgmt	For	For	For
17	Transaction of Other Business	Mgmt	For	Against	Against

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Danang Rubber Joint Stock Company					
Ticker	Security ID:	Meeting Date		Meeting Status	
DRC	CINS Y1970H109	04/24/2017		Voted	
Meeting Type		Country of Trade			
Annual		Vietnam			
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Business Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Directors' Report	Mgmt	For	For	For
7	Sueprvisors' Report	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	For	For
11	Transaction of Other Business	Mgmt	For	Against	Against

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
Donaco International Limited					
Ticker	Security ID:	Meeting Date		Meeting Status	
DNA	CINS Q32504104	11/24/2016		Voted	
Meeting Type		Country of Trade			

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Annual Issue No.	Description	Australia Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Remuneration Report	Mgmt	For	For	For
3	Re-Elect Robert A. Hines	Mgmt	For	For	For
4	Re-Elect Benedict Paul Reichel	Mgmt	For	Against	Against

Eclat Textile Co., Ltd.

Ticker	Security ID:	Meeting Date	Meeting Status		
1476	CINS Y2237Y109	06/16/2017	Voted		
Meeting Type	Country of Trade				
Annual	Taiwan				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	For	For
3	Allocation of Profits/Dividends	Mgmt	For	For	For
4	Capitalization of Profits and Issuance of New Shares	Mgmt	For	For	For
5	Amendments to Procedural Rules: Acquisition and Disposal of Assets	Mgmt	For	For	For

FLC Group JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
FLC	CINS Y2587U103	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Business Plan	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Transaction of Other Business	Mgmt	For	Against	Against

FLC Group JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
FLC	CINS Y2587U103	10/03/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Amendments to Articles - Change of Business Scope	Mgmt	For	Abstain	Against
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Gemadept Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
GMD	CINS Y2690B109	05/26/2017	Voted

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Meeting Type		Country of Trade			
Annual		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	2017 BUSINESS PLAN	Mgmt	For	For	For
7	Share Issuance for Loan Conversion	Mgmt	For	Against	Against
8	Divestment of Capital in Subsidiaries	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against

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Hansae Company Limited		Meeting Date		Meeting Status	
Ticker	Security ID:	03/17/2017		Voted	
105630	CINS Y30637105				
Meeting Type		Country of Trade			
Annual		Korea, Republic of			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Elect KIM Joong Jae	Mgmt	For	For	For
3	ELECTION OF AUDIT COMMITTEE MEMBER: KIM JOONG JAE	Mgmt	For	For	For
4	Directors' Fees	Mgmt	For	Against	Against

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Hoa Phat Group Jsc		Meeting Date		Meeting Status	
Ticker	Security ID:	03/10/2017		Voted	
HPG	CINS Y3231H100				
Meeting Type		Country of Trade			
Annual		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	Abstain	Against
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
8	Annual Business Plan	Mgmt	For	For	For
9	Allocation of Funds	Mgmt	For	For	For
10	2017 Dividend Plan	Mgmt	For	For	For
11	Amendments to Articles	Mgmt	For	Against	Against
12	Dung Quat Steel Complex Construction Project	Mgmt	For	For	For

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13	Transaction of Other Business	Mgmt	For	Against	Against
14	Elect TRAN Dinh Long	Mgmt	For	Against	Against
15	Elect TRAN Tuan Duong	Mgmt	For	For	For
16	Elect NGUYEN Manh Tuan	Mgmt	For	For	For
17	Elect DOAN Gia Cuong	Mgmt	For	For	For
18	Elect TA Tuan Quang	Mgmt	For	Against	Against
19	Elect HOANG Quang Viet	Mgmt	For	Against	Against
20	Elect NGUYEN Ngoc Quang	Mgmt	For	For	For
21	Elect NGUYEN Viet Thang	Mgmt	For	For	For
22	Elect Jacobsen Christian Hans	Mgmt	For	For	For
23	Elect BUI Thi Hai Van	Mgmt	For	Abstain	Against
24	Elect VU Thanh Thuy	Mgmt	For	Abstain	Against
25	Elect PHAN Thi Thuy Trang	Mgmt	For	Abstain	Against

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Hoang Anh Gia Lai Joint Stock Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HAG	CINS Y29819102	05/26/2017	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Authority to Change Conversion Price for HAG-CB2011 Bonds	Mgmt	For	Abstain	Against
5	Conversion of Bonds	Mgmt	For	Abstain	Against
6	Ratification of Board Acts	Mgmt	For	Abstain	Against

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Hoang Anh Gia Lai Joint Stock Company

Ticker	Security ID:	Meeting Date	Meeting Status		
HAG	CINS Y29819102	06/30/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Business Report	Mgmt	For	For	For
4	Business Plan	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	Against	Against
6	Appointment of Auditor	Mgmt	For	For	For
7	Related Party Transactions	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For
10	Resignation of Director and Supervisor	Mgmt	For	For	For
11	Transaction of Other Business	Mgmt	For	Against	Against
12	Election of Directors (Slate)	Mgmt	For	Abstain	Against
13	Election of Supervisors	Mgmt	For	Abstain	Against

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Hoang Anh Gia Lai Joint Stock Company						
Ticker	Security ID:	Meeting Date	Meeting Status			
HAG	CINS Y29819102	09/15/2016	Voted			
Meeting Type	Country of Trade					
Annual	Vietnam					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
3	Report on 2015 Business and Investment Activities	Mgmt	For	For	For	
4	2016 Business Plan	Mgmt	For	For	For	
5	Accounts and Reports	Mgmt	For	For	For	
6	Allocation of Profits/Dividends	Mgmt	For	For	For	
7	Supervisors' Report	Mgmt	For	For	For	
8	Appointment of Auditor	Mgmt	For	For	For	
9	Related Party Transactions	Mgmt	For	Abstain	Against	
10	Restructuring	Mgmt	For	Abstain	Against	
11	Amendments to Articles	Mgmt	For	Abstain	Against	
12	Board Authorization to Implement Shareholder Resolutions	Mgmt	For	For	For	
13	Transaction of Other Business	Mgmt	For	Against	Against	

Hoang Huy Investment Services JSC						
Ticker	Security ID:	Meeting Date	Meeting Status			
HHS	CINS Y3R31R101	03/25/2017	Voted			
Meeting Type	Country of Trade					
Annual	Vietnam					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	
2	Directors' Report	Mgmt	For	For	For	
3	Management's Report	Mgmt	For	For	For	
4	Business and Investment Report	Mgmt	For	For	For	
5	Supervisors' Report	Mgmt	For	For	For	
6	Accounts and Reports	Mgmt	For	For	For	
7	Appointment of Auditor	Mgmt	For	For	For	
8	Allocation of Profits/Dividends	Mgmt	For	For	For	
9	Directors' and Supervisors' Fees	Mgmt	For	For	For	
10	Transaction of Other Business	Mgmt	For	Against	Against	

Hoang Huy Investment Services JSC						
Ticker	Security ID:	Meeting Date	Meeting Status			
HHS	CINS Y3R31R101	07/22/2016	Voted			
Meeting Type	Country of Trade					
Special	Vietnam					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt	
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A	

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2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Waiver of Mandatory Takeover Requirement	Mgmt	For	Abstain	Against
4	Board Size	Mgmt	For	For	For
5	Transaction of Other Business	Mgmt	For	Against	Against

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Joint Stock Commercial Bank for Foreign Trade of Vietnam

Ticker	Security ID:	Meeting Date	Meeting Status		
VCB	CINS Y444A7106	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Business Report and Business Plan	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Directors' and Supervisors' Fees	Mgmt	For	For	For
8	Resignation of Director	Mgmt	For	For	For
9	Constitution of Procedural Rules for Election	Mgmt	For	For	For
10	Election of Directors (Slate)	Mgmt	For	Abstain	Against
11	Accounts and Reports and Appointment of Auditor	Mgmt	For	For	For
12	Issuance of Shares w/o Preemptive Rights	Mgmt	For	Abstain	Against
13	Transaction of Other Business	Mgmt	For	Against	Against
14	Election of Directors	Mgmt	For	Abstain	Against

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Joint Stock Commercial Bank for Foreign Trade of Vietnam

Ticker	Security ID:	Meeting Date	Meeting Status		
VCB	CINS Y444A7106	10/21/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Establishment of Wholly-owned Subsidiary in Laos	Mgmt	For	For	For

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Kido Group Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
KDC	CINS Y4788V104	06/16/2017	Voted
Meeting Type	Country of Trade		
Annual	Vietnam		

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Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Supervisors' Report	Mgmt	For	For	For
4	Business Report	Mgmt	For	For	For
5	Allocation of 2016 Profits/Dividends	Mgmt	For	For	For
6	Business Plan	Mgmt	For	For	For
7	Allocation of 2017 Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Amendment to Foreign Investor Restrictions	Mgmt	For	For	For
10	Amendments to Articles - Business Lines	Mgmt	For	For	For
11	Transaction of Other Business	Mgmt	For	Against	Against

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### KinhBac City Development Holding Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
KBC	CINS Y4788W102	09/21/2016	Voted
Meeting Type	Country of Trade		
Other	Vietnam		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Board Authorization to Implement Dividend Payment and Increase In Share Capital	Mgmt	For	For	For
4	Authority to Issue Convertible Debt Instruments	Mgmt	For	For	For

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### Masan Group Corp

Ticker	Security ID:	Meeting Date	Meeting Status
MSN	CINS Y5825M106	04/24/2017	Voted
Meeting Type	Country of Trade		
Annual	Vietnam		

  

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Supervisors' Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Business Plan	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
9	Appointment of Board Chairman as General Director	Mgmt	For	Against	Against
10	Issuance of Shares w/o Preemptive	Mgmt	For	For	For



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	Rights				
11	Authority to Issue Share under Employee Stock Option Scheme	Mgmt	For	For	For
12	Board Authorization to Conduct Internal Transactions	Mgmt	For	Abstain	Against
13	Transaction of Other Business	Mgmt	For	Against	Against

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Masan Group Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
MSN	CINS Y5825M106	12/09/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Private Placement	Mgmt	For	For	For
4	2015 Dividend Allocation and 2016 Dividend Advance	Mgmt	For	For	For
5	Authorization for Chairman/CEO and Deputy CEO to Implement Dividend Matters	Mgmt	For	For	For
6	Approval of the Offshore Offering and Listing of Non-Convertible Bonds	Mgmt	For	For	For
7	Authorization for Chairman/CEO and Deputy CEO to Implement the Debt Offering	Mgmt	For	For	For

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McNex Company Limited

Ticker	Security ID:	Meeting Date	Meeting Status		
097520	CINS Y5S05U100	03/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Korea, Republic of				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Allocation of Profits/Dividends	Mgmt	For	Against	Against
2	Amendments to Articles	Mgmt	For	For	For
3	Elect YU In Soo	Mgmt	For	Against	Against
4	Directors' Fees	Mgmt	For	For	For
5	Corporate Auditors' Fees	Mgmt	For	For	For

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No Va Land Investment Group Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
NVL	CINS Y6372L103	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against

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					Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Business Report	Mgmt	For	For	For
6	Accounts and Reports	Mgmt	For	For	For
7	Allocation of 2016 Profits/Dividends	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Directors' and Supervisors' Fees	Mgmt	For	For	For
10	Business Plan	Mgmt	For	For	For
11	Issuance of Shares to Increase Authorized Capital	Mgmt	For	Against	Against
12	Bonus Share Issuance	Mgmt	For	For	For
13	Authority to Issue Share under Employee Stock Option Scheme	Mgmt	For	For	For
14	Amendments to Governance Structure	Mgmt	For	For	For
15	Amendments to Articles	Mgmt	For	For	For
16	Transaction of Other Business	Mgmt	For	Against	Against

Ocean Group Jsc

Ticker	Security ID:	Meeting Date	Meeting Status		
OGC	CINS Y6416J106	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Business Report and Business Plan	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Supervisors' Self-Assessment Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
9	Appointment of Auditor	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against

PetroVietnam Construction Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PVX	CINS Y6825S101	05/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Accounts and Reports	Mgmt	For	Against	Against

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3	Business Plan	Mgmt	For	For	For
4	Transaction of Other Business	Mgmt	For	Against	Against

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PetroVietnam Drilling and Well Services JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PVD	CINS Y6825E102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Business Report and Business Plan	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Supervisors' Report	Mgmt	For	For	For
8	Supervisors' Assessment Report	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	Abstain	Against
10	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
11	Appointment of Auditor	Mgmt	For	For	For
12	Transaction of Other Business	Mgmt	For	Against	Against

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PetroVietnam Drilling and Well Services JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
PVD	CINS Y6825E102	07/29/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Resignation of TRAN Van Hoat	Mgmt	For	For	For
5	Elect HO Ngoc Yen Phuong	Mgmt	For	Against	Against

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Petrovietnam Fertilizer and Chemicals Co

Ticker	Security ID:	Meeting Date	Meeting Status		
DPM	CINS Y6825J101	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Business Report and Business Plan	Mgmt	For	For	For

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6	Accounts and Reports	Mgmt	For	For	For
7	Accounts and Reports; Allocation of 2016 and 2017 Profit/Dividend	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against

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PetroVietnam Power NhonTrach 2 JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
NT2	CINS Y6S25V102	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Business Report	Mgmt	For	For	For
5	Business Plan	Mgmt	For	For	For
6	Supervisors' Report	Mgmt	For	For	For
7	Accounts and Reports	Mgmt	For	For	For
8	Allocation of Profits/Dividends	Mgmt	For	For	For
9	Appointment of Auditor	Mgmt	For	For	For
10	Amendments to Articles	Mgmt	For	Against	Against
11	Amendments to Articles - Function of R&D Fund	Mgmt	For	For	For
12	Transaction of Other Business	Mgmt	For	Against	Against
13	Election of Directors	Mgmt	For	Abstain	Against
14	Election of Supervisors	Mgmt	For	Abstain	Against

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Petrovietnam Technical Services Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
PVS	CINS Y6807J103	04/28/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors', Supervisors' , and Management's Report	Mgmt	For	For	For
3	Business Plan	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Scrip Dividend and Increase Authorized Capital	Mgmt	For	For	For
8	Authority to Change Stock Exchange	Mgmt	For	For	For
9	Elect DO Quoc Hoan as Director and HO Thi	Mgmt	For	Against	Against

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	Oanh as Supervisor					
10	Directors' and Suprevisors' Fees	Mgmt	For	For	For	
11	Transaction of Other Business	Mgmt	For	Against	Against	

Petrovietnam Transportation Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PVT	CINS Y6825F109	04/05/2017	Voted		
Meeting Type		Country of Trade			
Annual		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report	Mgmt	For	For	For
4	Management's Report	Mgmt	For	For	For
5	2017 Business Plan	Mgmt	For	For	For
6	Expansion Plan	Mgmt	For	For	For
7	Reorganizing Plan	Mgmt	For	For	For
8	Supervisors' Report	Mgmt	For	For	For
9	Accounts and Reports	Mgmt	For	For	For
10	Appointment of Auditor	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
13	Amendments to Articles - Number of Directors	Mgmt	For	Abstain	Against
14	Ratification of Board Acts	Mgmt	For	For	For
15	Transaction of Other Business	Mgmt	For	Against	Against
16	Election of Directors	Mgmt	For	Abstain	Against

Petrovietnam Transportation Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
PVT	CINS Y6825F109	09/15/2016	Voted		
Meeting Type		Country of Trade			
Other		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Approval to Appoint Additional Director	Mgmt	For	For	For
4	Non-Voting Meeting Note	N/A	N/A	N/A	N/A

Saigon Securities Incorporation

Ticker	Security ID:	Meeting Date	Meeting Status		
SSI	CINS Y7398S106	04/21/2017	Voted		
Meeting Type		Country of Trade			
Annual		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Business Reports and	Mgmt	For	For	For

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	Business Plan				
3	Accounts and Reports	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Proposed 2017 Directors' and Supervisors' Fees	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Appointment of Board Chairman as General Director	Mgmt	For	Against	Against
8	Authority to Issue Share under Employee Stock Option Scheme	Mgmt	For	For	For
9	Transaction of Other Business	Mgmt	For	Against	Against
10	Elect NGO Van Diem as Director	Mgmt	For	For	For
11	Elect HO Thi Huong Tra as Supervisor	Mgmt	For	Against	Against

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Saigon Thuong Tin Commercial Joint Stock Bank		Meeting Date		Meeting Status	
Ticker	Security ID:	Meeting Date		Meeting Status	
STB	CINS Y7398P102	06/30/2017		Voted	
Meeting Type		Country of Trade			
Annual		Vietnam			
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Management Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports 2015	Mgmt	For	For	For
6	Allocation of Profits/Dividends 2015	Mgmt	For	For	For
7	Appointment of Auditor 2016	Mgmt	For	For	For
8	Accounts and Reports 2016	Mgmt	For	For	For
9	Allocation of Profits/Dividends 2016	Mgmt	For	For	For
10	Directors' and Supervisors' Remuneration 2016	Mgmt	For	For	For
11	Directors' and Supervisors' Remuneration 2017	Mgmt	For	For	For
12	Investment Plan	Mgmt	For	For	For
13	Appointment of Auditor 2017	Mgmt	For	For	For
14	Finance Company	Mgmt	For	For	For
15	Insurance Company	Mgmt	For	For	For
16	Non-insurance Company	Mgmt	For	For	For
17	Board Authorization	Mgmt	For	For	For

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18	Amendments to Articles - Business Lines	Mgmt	For	For	For
19	Amendments to Articles	Mgmt	For	For	For
20	Transaction of Other Business	Mgmt	For	Against	Against
21	Election of Directors (Slate)	Mgmt	For	Abstain	Against
22	Election of Supervisors	Mgmt	For	Abstain	Against

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### Saigon-Hanoi Commercial JS Bank

Ticker	Security ID:	Meeting Date	Meeting Status		
SHB	CINS Y7398F104	04/27/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Business Report	Mgmt	For	For	For
3	Business Plan	Mgmt	For	For	For
4	Allocation of Profits/Dividends	Mgmt	For	For	For
5	Election of Directors	Mgmt	For	Abstain	Against
6	Transaction of Other Business	Mgmt	For	Against	Against

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### SOCO International plc

Ticker	Security ID:	Meeting Date	Meeting Status		
SIA	CINS G8248C127	06/13/2017	Voted		
Meeting Type	Country of Trade				
Annual	United Kingdom				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Remuneration Policy (Binding)	Mgmt	For	For	For
4	Remuneration Report (Advisory)	Mgmt	For	For	For
5	Elect Rui C. de Sousa	Mgmt	For	For	For
6	Elect Edward T. Story	Mgmt	For	For	For
7	Elect Roger Cagle	Mgmt	For	For	For
8	Elect Cynthia Cagle	Mgmt	For	For	For
9	Elect Robert G. Gray	Mgmt	For	For	For
10	Elect Olivier M.G. Barbaroux	Mgmt	For	For	For
11	Elect Ettore Contini	Mgmt	For	For	For
12	Elect Antonio Vitor Martins Monteiro	Mgmt	For	For	For
13	Appointment of Auditor	Mgmt	For	For	For
14	Authority to Set Auditor's Fees	Mgmt	For	For	For
15	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
16	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For
17	Authority to Issue	Mgmt	For	For	For

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	Shares w/o Preemptive Rights (Specified Capital Investment)				
18	Authority to Repurchase Shares	Mgmt	For	For	For
19	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	For	For	For

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Tan Tao Investment Industry Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
ITA	CINS Y84914103	06/24/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Appointment of DANG Quang Hanh as the General Manager and Legal Representative	Mgmt	For	For	For
5	Amendments to Articles	Mgmt	For	Abstain	Against
6	Annual Business Plan	Mgmt	For	For	For
7	Authority to Make Investment and Divestiture	Mgmt	For	For	For
8	Construction of Tan Tao Plaza	Mgmt	For	For	For
9	Authority to Raise Capital	Mgmt	For	Against	Against
10	Board Chair Authorization to Implement Shareholder Resolutions	Mgmt	For	For	For
11	Appointment of Auditor	Mgmt	For	For	For
12	Transaction of Other Business	Mgmt	For	Against	Against

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Thanh Thanh Cong Tay Ninh JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
SBT	CINS Y09690101	05/25/2017	Voted		
Meeting Type	Country of Trade				
Special	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Share Swap For Merger	Mgmt	For	For	For
4	Authority to Issue Swap Shares	Mgmt	For	For	For
5	Merger: Additional Listing of Shares	Mgmt	For	For	For
6	Merger Contract	Mgmt	For	For	For



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7	Business Scope Upon Merger	Mgmt	For	For	For
8	Merger: Amendments to Articles	Mgmt	For	For	For
9	Adoption of New Articles Upon Merger	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against

Thanh Thanh Cong Tay Ninh JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
SBT	CINS Y09690101	08/02/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
5	Increase in Authorized Capital	Mgmt	For	For	For
6	Board Authorization to Implement Share Issuance and Capital Increase	Mgmt	For	For	For

Thanh Thanh Cong Tay Ninh JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
SBT	CINS Y09690101	10/31/2016	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Directors' Report, Supervisors' Report, and Management Report	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Allocation of Profits/Dividends	Mgmt	For	For	For
6	Annual Business Plan	Mgmt	For	For	For
7	Appointment of Auditor	Mgmt	For	For	For
8	Related Party Transactions	Mgmt	For	For	For
9	Directors' and Supervisors' Fees	Mgmt	For	For	For
10	Statement of Election of Directors (Slate)	Mgmt	For	Abstain	Against
11	Statement of Election of Supervisors (Slate)	Mgmt	For	Abstain	Against
12	Transaction of Other Business	Mgmt	For	Against	Against
13	Election of Directors	Mgmt	For	Abstain	Against

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14	(Slate) Election of Supervisors (Slate)	Mgmt	For	Abstain	Against
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Vietnam Construction and Import - Export JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
VCG	CINS Y9366E101	04/20/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Business Report and Business Plan	Mgmt	For	For	For
4	Accounts and Reports	Mgmt	For	For	For
5	Management's Report of 5-year Plan	Mgmt	For	For	For
6	5-year Business Plan	Mgmt	For	For	For
7	Directors' Report	Mgmt	For	For	For
8	Directors' Report of 5-year Plan	Mgmt	For	For	For
9	Supervisors' Report	Mgmt	For	For	For
10	Supervisors' Report of 5-year Plan	Mgmt	For	For	For
11	Allocation of Profits/Dividends	Mgmt	For	For	For
12	Directors' and Supervisors' Fees	Mgmt	For	Abstain	Against
13	Appointment of Auditor	Mgmt	For	For	For
14	Transaction of Other Business	Mgmt	For	Against	Against
15	Election of Directors (Slate)	Mgmt	For	Abstain	Against

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Vietnam Dairy Products JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
VNM	CINS Y9365V104	04/15/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Accounts and Reports	Mgmt	For	For	For
4	Directors' Report	Mgmt	For	For	For
5	Supervisors' Report	Mgmt	For	For	For
6	Allocation of 2016 Profits/Dividends	Mgmt	For	For	For
7	Five-year Plan 2017-2021 and 2017 Dividend Plan	Mgmt	For	For	For
8	Appointment of Auditor	Mgmt	For	For	For
9	Directors' and Supervisors'	Mgmt	For	For	For

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	Fees				
10	Amendments to Governance Structure	Mgmt	For	For	For
11	Change in Board Size	Mgmt	For	For	For
12	Amendments to Articles	Mgmt	For	For	For
13	Transaction of Other Business	Mgmt	For	Against	Against
14	Elect Michael Chye Hin Fah	Mgmt	For	For	For
15	Elect NGUYEN Ba Duong	Mgmt	For	For	For
16	Elect DANG Thi Thu Ha	Mgmt	For	For	For
17	Elect NGUYEN Hong Hien	Mgmt	For	For	For
18	Elect DO Le Hung	Mgmt	For	For	For
19	Elect LEE Meng Tat	Mgmt	For	For	For
20	Elect LE Thanh Liem	Mgmt	For	For	For
21	Elect MAI Kieu Lien	Mgmt	For	For	For
22	Elect LE Thi Bang Tam	Mgmt	For	For	For

Vingroup JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
VIC	CINS Y9375L104	04/26/2017	Voted		
Meeting Type	Country of Trade				
Annual	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Directors' Report	Mgmt	For	For	For
3	Management's Report	Mgmt	For	For	For
4	Supervisors' Report	Mgmt	For	For	For
5	Accounts and Reports	Mgmt	For	For	For
6	Allocation of Profits/Dividends	Mgmt	For	For	For
7	Issuance and Exchange of Shares w/o Preemptive Rights	Mgmt	For	For	For
8	Directors' and Supervisors' Fees	Mgmt	For	For	For
9	Amendments to Articles	Mgmt	For	For	For
10	Transaction of Other Business	Mgmt	For	Against	Against
11	Election of Supervisors	Mgmt	For	Abstain	Against

Vingroup JSC

Ticker	Security ID:	Meeting Date	Meeting Status		
VIC	CINS Y9375L104	08/19/2016	Voted		
Meeting Type	Country of Trade				
Other	Vietnam				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
4	Issuance of Shares w/ Preemptive Rights	Mgmt	For	For	For
5	Board Authorization to Implement Share Issuance	Mgmt	For	For	For

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Vingroup JSC

Ticker	Security ID:	Meeting Date	Meeting Status
VIC	CINS Y9375L104	12/22/2016	Voted
Meeting Type		Country of Trade	
Other		Vietnam	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
2	Non-Voting Meeting Note	N/A	N/A	N/A	N/A
3	Merger and Absorption	Mgmt	For	Abstain	Against
4	Approval of Merger Contract	Mgmt	For	Abstain	Against
5	Board Authorization to Implement Merger Transaction	Mgmt	For	Abstain	Against

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Registrant: VanEck Vectors ETF Trust

By: /s/ Jan F. van Eck

Name: Jan F. van Eck

Title: Chief Executive Officer

Date: Aug 30, 2017