

TURNER JOSEPH W
Form 4
August 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TURNER JOSEPH W

2. Issuer Name and Ticker or Trading Symbol
GREAT SOUTHERN BANCORP, INC. [GSBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
08/01/2018

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
President/CEO

CARE OF GREAT SOUTHERN BANK, 218 S. GLENSTONE AVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SPRINGFIELD, MO 65802

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common stock	08/01/2018		G	D	250	\$ 59.5	D
Common stock					2,478		I Spouse
Common stock					10,400		I Children's Trust
Common stock					369,738		I LTD Family Partnership
Common stock					12,971 ⁽¹⁾		I 401(k) Plan

Edgar Filing: TURNER JOSEPH W - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 3)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase	\$ 19.53					(2)	11/16/2021	Common stock	6,000
Option to purchase	\$ 24.82					(3)	11/28/2022	Common stock	6,000
Option to purchase	\$ 29.64					(4)	12/18/2023	Common stock	6,000
Option to purchase	\$ 32.59					(5)	10/15/2024	Common stock	6,000
Option to purchase	\$ 50.71					(6)	11/18/2025	Common Stock	6,000
Option to purchase	\$ 41.3					(7)	10/24/2026	Common Stock	6,000
Option to purchase	\$ 52.2					(8)	11/15/2027	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TURNER JOSEPH W
CARE OF GREAT SOUTHERN BANK
218 S. GLENSTONE AVE
SPRINGFIELD, MO 65802

President/CEO

Signatures

Matt Snyder, Attorney-in-fact for Joseph W.
Turner

08/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects reporting person's holdings of units in Issuer's common stock fund under Issuer's 401(k) plan. Number of shares shown as beneficially owned under the plan represents the approximate equivalent number of shares of Issuer's common stock
- (2) 1,500 shares vest on 11/16/2013, 11/16/2014, 11/16/2015 and 11/16/2016
- (3) 1,500 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (4) 1,500 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (5) 1,500 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019
- (6) 1,500 shares vest on 11/18/2017, 11/18/2018, 11/18/2019 and 11/18/2020
- (7) 1,500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021
- (8) 1,500 shares vest on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.