

QUALCOMM INC/DE
Form 4
September 18, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ABERLE DEREK K

(Last) (First) (Middle)

5775 MOREHOUSE DR.

(Street)

SAN DIEGO, CA 92121-1714

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
QUALCOMM INC/DE [QCOM]

3. Date of Earliest Transaction
(Month/Day/Year)
09/16/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Code V Amount (D) Price | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--|--|---|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) Code | Disposed of (D) | | Date Exercisable | Expiration Date | Title |
|-----------------------|------------------------------|------------------|-----------------|--------------------------|-----|------------------|-----------------|-------|
| | | | | (Instr. 3, 4, and 5) (A) | (D) | | | |
| Restricted Stock Unit | (1) | 09/16/2014(2) | A | 14,529,3268 (3) | (4) | 11/09/2014(4) | Common Stock | |
| Restricted Stock Unit | (1) | 09/16/2014(2) | A | 34,868,4948 (5) | (6) | 11/09/2016(6) | Common Stock | |
| Restricted Stock Unit | (1) | 09/16/2014(7) | A | 13,855,4593 (8) | (9) | 11/20/2016(9) | Common Stock | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------|-------|
| | Director | 10% Owner | Officer | Other |
| ABERLE DEREK K 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714 | | | President | |

Signatures

By: Noreen E. Burns, Attorney-in-Fact For: Derek K. Aberle
Date: 09/16/2014

__Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
These Restricted Stock Units were granted subject to performance vesting and service vesting requirements. On September 30, 2012, the Compensation Committee certified that the performance vesting conditions were satisfied. The Company previously reported these
- (2) Restricted Stock Units upon satisfaction of both the performance and service vesting requirements but has accelerated its reporting of these Restricted Stock Units to correspond with Compensation Committee certification of satisfaction of the performance vesting requirements.
These Restricted Stock Units include an initial grant of 13,837 Restricted Stock Units, plus dividend equivalents accrued through the
- (3) transaction date in the form of additional Restricted Stock Units that vest and are paid at the same time and in the same manner as the underlying Restricted Stock Units.
- (4) These Restricted Stock Units vest in full on November 9, 2014.
These Restricted Stock Units include an initial grant of 33,207 Restricted Stock Units, plus dividend equivalents accrued through the
- (5) transaction date in the form of additional Restricted Stock Units that vest and are paid at the same time and in the same manner as the underlying Restricted Stock Units.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 9, 2014, 2015 and 2016.
- (7) These Restricted Stock Units were granted subject to performance vesting and service vesting requirements. On September 16, 2014, the Compensation Committee certified that the performance vesting conditions were satisfied.
- (8) These Restricted Stock Units include an initial grant of 13,654 Restricted Stock Units, plus dividend equivalents accrued through the transaction date in the form of additional Restricted Stock Units that vest and are paid at the same time and in the same manner as the

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underlying Restricted Stock Units.

- (9) These Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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