BERRY PETROLEUM CO

Form 4 July 01, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1. Name and Address of Reporting Person *

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

BERRY PETROLEUM CO [BRY]

Symbol

1(b).

(Print or Type Responses)

BUSCH RALPH B III

								(Check all applicable)				
(Last) (First) (Middle) 3. Date of Earliest Transaction												
	(Month/Day/Year)					Director		10% Owner				
C/O BERR	06/30/20	013				Officer (g	give title (Other (specify				
COMPANY, 1999 BROADWAY,			00/30/2	013				below)	below)			
		WAI,										
SUITE 370	00											
	(Street)		4 If Ama	ndmant Da	ta Origina	1		6 Individual o	r Joint/Group E	iling(Chaola		
	(Sirect)		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person				
DENVER,						Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	T-1.1	. I. N D	· · · · · · · · · · · · · · · · · · ·	C	•4• A		1 . C D C .	'- II- O 1		
(- 3)	(,	\ I /	Tabi	e I - Non-D	erivative	Secur	ities A	cquired, Disposed	a oi, or Benefic	cially Owned		
1.Title of	2. Transaction Da	te 2A. Deen	ned	3.	4. Securit	ties		5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year) Execution	n Date, if	Transactio	nAcquired	(A) o	r	Securities	Ownership	Indirect		
(Instr. 3)		any		Code	Disposed	of (D)	Beneficially	Form: Direct	Beneficial		
		(Month/I	Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	(D) or	Ownership		
								Following	Indirect (I)	(Instr. 4)		
						()		Reported	(Instr. 4)			
						(A)		Transaction(s)				
				C-1- V	A	or	D	(Instr. 3 and 4)				
C1 A				Code V	Amount	(D)	Price					
Class A												
Common								230,558	D			
Stock												
										As		
Class A										Co-Trustee		
Common								123,363	I	of Union		
								123,303	•	Bank Trust		
Stock												
										Shares		
Class A										Busch		
								76.500	т			
Common								76,500	I	Family		
Stock										Foundation		

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Class A Common Stock	28,208	I	As Custodian for Minor Children
			Cilidien

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Der Sector Acq (A) Disp of (I	ivative urities uired or posed O) tr. 3, 4	Expiration Date (Month/Day/Yea	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V			Date Exercisable	Expiration Date	Title	Amou or Numb of Sha	
Nonstatutory Stock Options 12-2-03	\$ 9.61						12/02/2003	12/02/2013	Class A Common Stock	10,00	
Nonstatutory Stock Options 12-2-04	\$ 21.77						12/02/2004	12/02/2014	Class A Common Stock	10,00	
Nonstatutory Stock Option 12-15-05	\$ 30.645						12/15/2005	12/15/2015	Class A Common Stock	10,00	
Nonstatutory Stock Option 12-15-06	\$ 32.565						12/15/2006	12/14/2016	Class A Common Stock	10,00	
2007 Restricted Stock Unit (1)	\$ 0 (2)						01/01/2008(3)	12/13/2017	Class A Common Stock	792	
NSO 2007	\$ 43.61						12/14/2007	12/13/2017	Class A Common Stock	3,95	
	\$ 0 (2)						03/02/2011(3)	03/02/2021		2,49	

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March 2011 Director RSU							Class A Common Stock	
March 2 2012 Director RSU Grant	\$ 0				03/02/2012	03/02/2022	Class A Common Stock	2,23
March 4, 2013 Director RSU Grant	\$ 0				03/04/2013	03/04/2023	Class A Common Stock	2,62
Phantom Stock Units	\$ 0	06/30/2013	A	518 (4)	08/08/1988	08/08/1988	Class A Common Stock	47,7

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BUSCH RALPH B III C/O BERRY PETROLEUM COMPANY 1999 BROADWAY, SUITE 3700 DENVER, CO 80202

Signatures

Kenneth A Olson under POA for Ralph Busch

07/01/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1
- (2) Each RSU represents a contingent right to receive one share of Berry Petroleum Company Class A Common Stock
- (3) The RSU is subject to a deferral election. Shares of Class A Common Stock will be delivered to the reporting person as per the terms of the deferral election.
- (4) Phantom Stock Units acquired under the Company's Non-Employee Director Deferred Compensation Plan in a transaction exempt under Rule 16b-3(c). Shares of Common Stock are issued under terms of the Plan upon resignation from the board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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