## Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form 4

ELLSWORTH GROWTH & INCOME FUND LTD Form 4

September 09, 2016

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FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
			Was	hington,	D.C. 20	549			Number:	3235-0287 January 31,	
Check thi if no long	ter.										
subject to Section 1 Form 4 or	6. r		GES IN BENEFICIAL OWNE SECURITIES					Estimated a burden hou response			
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940											
Print or Type R	Responses)										
Nakamura Kuni Symbol				er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			ELLSWORTH GROWTH & INCOME FUND LTD [ECF]					(Check all applicable)			
				. Date of Earliest Transaction Month/Day/Year)				X_ Director 10% Owner Officer (give title Other (specify			
	O INVESTOR CORPORATE		05/27/20	•				below)	below)		
				ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
RYE, NY 10	0580								More than One Re		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ities Acc	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	y (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Shares	05/27/2016			Р	3,200	А	\$ 7.75	3,200	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of (Mont		6. Date Exercisable and Expiration Date (Month/Day/Year)		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
Repo	rting O	wners		Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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**Reporting Owner Name / Address** 

	Director	10% Owner	Officer	Other
Nakamura Kuni C/O GAMCO INVESTORS, INC. ONE CORPORATE CENTER RYE, NY 10580	Х			
Signatures				
Agnes Mullady as Attorney-in-Fact Nakamura	for Kuni		09/09	/2016
<u>**Signature of Reporting Perso</u>	n		E	Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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