

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-A

Pioneer Floating Rate Trust  
Form NSAR-A  
July 29, 2009

PAGE 1  
000 A000000 05/31/2009  
000 C000000 0001305767  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 PIONEER FLOATING RATE TRUST  
001 B000000 811-21654  
001 C000000 6177427825  
002 A000000 60 STATE STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
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007 C010800 8  
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008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
008 B000001 A  
008 C000001 801-8255  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
008 A000002 HIGHLAND CAPITAL MANAGEMENT, L.P.  
008 B000002 S  
008 C000002 801-54874  
008 D010002 DALLAS  
008 D020002 TX  
008 D030002 75240  
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
010 B000001 801-8255  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 PRINCETON ADMINISTRATORS, LLC  
010 C010002 PRINCETON  
PAGE 2  
010 C020002 NJ  
010 C030002 08543  
010 C040002 9095  
011 A000001 MERRILL LYNCH & CO.  
011 B000001 8-7221  
011 C010001 NEW YORK

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011 C020001 NY  
011 C030001 10281  
011 C040001 1201  
011 A000002 UBS INVESTMENT BANK  
011 B000002 8-022651  
011 C010002 STAMFORD  
011 C020002 CT  
011 C030002 06901  
011 A000003 RBC CAPITAL MARKETS CORP.  
011 B000003 8-045411  
011 C010003 MINNEAPOLIS  
011 C020003 MN  
011 C030003 55402  
011 C040003 4422  
011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
011 C020004 CT  
011 C030004 06103  
011 A000005 JANNEY MONTGOMERY SCOTT, LLC  
011 B000005 8-462  
011 C010005 PHILADELPHIA  
011 C020005 PA  
011 C030005 19103  
011 C040005 1675  
011 A000006 A.G. EDWARDS  
011 B000006 8-13580  
011 C010006 ST. LOUIS  
011 C020006 MO  
011 C030006 63103  
011 A000007 STIFEL, NICOLAUS & CO., INC.  
011 B000007 8-001447  
011 C010007 ST. LOUIS  
011 C020007 MO  
011 C030007 63102  
011 A000008 ROBERT W. BAIRD & CO.  
011 B000008 8-00497  
011 C010008 MILWAUKEE  
011 C020008 WI  
011 C030008 53201  
011 A000009 FERRIS, BAKER WATTS, INC.  
011 B000009 8-16427  
011 C010009 BALTIMORE  
011 C020009 MD  
011 C030009 21202  
PAGE 3  
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.  
011 B000010 8-03868  
011 C010010 LOUISVILLE  
011 C020010 KY  
011 C030010 40202  
011 A000011 KEYBANC CAPITAL MARKETS  
011 B000011 8-0000  
011 C010011 CLEVELAND  
011 C020011 OH  
011 C030011 44114  
011 A000012 MORGAN KEEGAN & CO., INC.  
011 B000012 8-15001  
011 C010012 MEMPHIS  
011 C020012 TN  
011 C030012 38103  
011 A000013 OPPENHEIMER & CO.

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011 B000013 8-04077  
011 C010013 NEW YORK  
011 C020013 NY  
011 C030013 10021  
011 A000014 RYAN BECK & CO., INC.  
011 B000014 8-12296  
011 C010014 FLORHAM PARK  
011 C020014 NJ  
011 C030014 07932  
011 A000015 SUNTRUST ROBINSON HUMPHREY  
011 B000015 8-17212  
011 C010015 ATLANTA  
011 C020015 GA  
011 C030015 30308  
011 A000016 WEDBUSH MORGAN SECURITIES, INC.  
011 B000016 8-12987  
011 C010016 LOS ANGELES  
011 C020016 CA  
011 C030016 90017  
011 A000017 WELLS FARGO SECURITIES, LLC  
011 B000017 8-22947  
011 C010017 SAN FRANCISCO  
011 C020017 CA  
011 C030017 94104  
012 A000001 PIONEER INVEST. MGMT. SHAREHOLDER SVCS., INC.  
012 B000001 85-1359  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02109  
012 A000002 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)  
012 B000002 85-0000  
012 C010002 BROOKLYN  
012 C020002 NY  
012 C030002 11219  
012 A000003 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)  
PAGE 4  
012 B000003 85-0000  
012 C010003 NEW YORK  
012 C020003 NY  
012 C030003 10005  
013 A000001 ERNST & YOUNG, LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02116  
013 B040001 5072  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
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015 C020001 MA  
015 C030001 02109  
015 E010001 X  
015 A000002 BANK AUSTRIA  
015 B000002 S  
015 C010002 VIENNA  
015 D010002 AUSTRIA  
015 E040002 X  
015 A000003 BANQUE BRUXELLES LAMBERT  
015 B000003 S  
015 C010003 BRUSSELS  
015 D010003 BELGIUM  
015 E040003 X  
015 A000004 BANK OF BOSTON

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015 B000004 S  
015 C010004 SAO PAULO  
015 D010004 BRAZIL  
015 E040004 X  
015 A000005 NATIONAL AUSTRALIA BANK  
015 B000005 S  
015 C010005 MELBOURNE  
015 D010005 AUSTRALIA  
015 E040005 X  
015 A000006 ROYAL BANK OF CANADA  
015 B000006 S  
015 C010006 TORONTO  
015 D010006 CANADA  
015 E040006 X  
015 A000007 DEN DANSKE BANK  
015 B000007 S  
015 C010007 COPENHAGEN  
015 D010007 DENMARK  
015 E040007 X  
015 A000008 MERITA BANK  
015 B000008 S  
015 C010008 HELSINKI  
015 D010008 FINLAND  
015 E040008 X  
015 A000009 BANQUE PARIBAS  
PAGE 5  
015 B000009 S  
015 C010009 PARIS  
015 D010009 FRANCE  
015 E040009 X  
015 A000010 DRESDNER BANK  
015 B000010 S  
015 C010010 FRANKFURT  
015 D010010 GERMANY  
015 E040010 X  
015 A000011 CITIBANK, N.A.  
015 B000011 S  
015 C010011 ATHENS  
015 D010011 GREECE  
015 E040011 X  
015 A000012 STANDARD CHARTERED BANK  
015 B000012 S  
015 C010012 HONG KONG  
015 D010012 HONG KONG  
015 E040012 X  
015 A000013 CITIBANK, N.A.  
015 B000013 S  
015 C010013 MUMBAI  
015 D010013 INDIA  
015 E040013 X  
015 A000014 BANCA COMMERCIALE ITALIANA  
015 B000014 S  
015 C010014 MILAN  
015 D010014 ITALY  
015 E040014 X  
015 A000015 THE SUMITOMO BANK, LIMITED  
015 B000015 S  
015 C010015 TOKYO  
015 D010015 JAPAN  
015 E040015 X  
015 A000016 CITIBANK, N.A.  
015 B000016 S

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015 C010016 SEOUL  
015 D010016 SOUTH KOREA  
015 E040016 X  
015 A000017 HONG KONG BANK MALAYSIA  
015 B000017 S  
015 C010017 KUALA LUMPUR  
015 D010017 MALAYSIA  
015 E040017 X  
015 A000018 CITIBANK, N.A.  
015 B000018 S  
015 C010018 MEXICO CITY  
015 D010018 MEXICO  
015 E040018 X  
015 A000019 MEESPIERSON  
015 B000019 S  
    PAGE 6  
015 C010019 AMSTERDAM  
015 D010019 NETHERLANDS  
015 E040019 X  
015 A000020 NATIONAL NOMINEES LIMITED  
015 B000020 S  
015 C010020 AUCKLAND  
015 D010020 NEW ZEALAND  
015 E040020 X  
015 A000021 DEN NORSE BANK  
015 B000021 S  
015 C010021 OSLO  
015 D010021 NORWAY  
015 E040021 X  
015 A000022 CITIBANK, N.A.  
015 B000022 S  
015 C010022 WARSAW  
015 D010022 POLAND  
015 E040022 X  
015 A000023 BANCO COMERCIAL PORTUGUESE SA  
015 B000023 S  
015 C010023 LISBON  
015 D010023 PORTUGAL  
015 E040023 X  
015 A000024 STANDARD CHARTERED BANK  
015 B000024 S  
015 C010024 SINGAPORE  
015 D010024 SINGAPORE  
015 E040024 X  
015 A000025 BANCO DE SANTANDER  
015 B000025 S  
015 C010025 MADRID  
015 D010025 SPAIN  
015 E040025 X  
015 A000026 SKANDINAVISKA ENSKILDA BANKEN  
015 B000026 S  
015 C010026 STOCKHOLM  
015 D010026 SWEDEN  
015 E040026 X  
015 A000027 UNION BANK OF SWITZERLAND AG  
015 B000027 S  
015 C010027 ZURICH  
015 D010027 SWITZERLAND  
015 E040027 X  
015 A000028 CENTRAL TRUST OF CHINA  
015 B000028 S  
015 C010028 TAIPEI

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015 D010028 TAIWAN  
015 E040028 X  
015 A000029 HONG KONG AND SHANGHAI BANKING CORPORATION  
015 B000029 S  
015 C010029 BANGKOK

PAGE 7

015 D010029 THAILAND  
015 E040029 X  
015 A000030 MIDLAND BANK  
015 B000030 S  
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015 D010030 UNITED KINGDOM  
015 E040030 X  
015 A000031 FIRST NATIONAL BANK OF SOUTH AFRICA  
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015 C010031 JOHANNESBURG  
015 D010031 SOUTH AFRICA  
015 E040031 X

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022 A000001 BANC OF AMERICA SECURITIES, LLC

022 B000001 56-2058405

022 C000001 856546

022 D000001 2114

022 A000002 DEUTSCHE BANK SECURITIES, INC.

022 B000002 13-2730828

022 C000002 722074

022 D000002 2095

022 A000003 J.P. MORGAN SECURITIES, INC.

022 B000003 13-3299429

022 C000003 708857

022 D000003 12522

022 A000004 BARCLAYS CAPITAL, INC.

022 C000004 504183

022 D000004 0

022 A000005 BROWN BROTHERS HARRIMAN & CO.

022 B000005 13-4973745

022 C000005 465686

022 D000005 0

022 A000006 BNP PARIBAS SECURITIES CORP.

022 B000006 13-3235334

022 C000006 439140

022 D000006 0

022 A000007 WACHOVIA SECURITIES, LLC

PAGE 8

022 B000007 54-0796506

022 C000007 11141

022 D000007 0

022 A000008 STATE STREET GLOBAL MARKETS, LLC

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022 C000008 9735  
 022 D000008 0  
 022 A000009 CITIGROUP GLOBAL MARKETS, INC.  
 022 B000009 11-2418191  
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 022 A000010 MORGAN STANLEY & CO., INC.  
 022 B000010 13-2655998  
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 023 C000000 3732042  
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086	F020000	0
087	A010000	COMMON STOCK
087	A020000	72369J102
087	A030000	PHD
087	B010000	PREFERRED STOCK M7

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087 B020000 72369J201  
087 C010000 PREFERRED STOCK W7  
087 C020000 72369J300  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y

PAGE 13

SIGNATURE MARY KELLERMANN  
TITLE ACCOUNTING MANAGER