**EQUINIX INC** Form 4 November 12, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Meyers Charles J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**EQUINIX INC [EQIX]** 

(First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 11/10/2015

Director 10% Owner Other (specify

(Check all applicable)

X\_ Officer (give title below) below)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Chief Operating Officer 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

REDWOOD CITY, CA 94065

ONE LAGOON DRIVE

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(9-02)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common 11/10/2015 Stock

\$0 51 (1) (1)

1,954 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	11/10/2015		A(2)	30 (2)	(2)	(2)	Common Stock	861 (2)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A(3)	190 (3)	(3)	(3)	Common Stock	5,358 (3)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A(4)	150 (4)	<u>(4)</u>	<u>(4)</u>	Common Stock	4,222 (4)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A(5)	152 (5)	<u>(5)</u>	(5)	Common Stock	4,286 (5)	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Meyers Charles J ONE LAGOON DRIVE REDWOOD CITY, CA 94065

**Chief Operating Officer** 

# **Signatures**

Darrin B. Short, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This report reflects shares received by the Reporting Person as a result of the Reporting Person's receipt of the pro rata portion of a special distribution declared by the Board of Directors of the issuer on September 28, 2015 and paid on November 10, 2015 (the "Special Distribution").

**(2)** 

Reporting Owners 2

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Includes 30 previously unreported unvested performance stock units ("PSUs") allocated on November 10, 2015 in connection with the special distribution and associated with the previously reported RSU grant received by the reporting person on February 14, 2013, the vesting of which was subject to both continued service and the attainment of certain revenue and EBITDA targets for 2013. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 28, 2014, 25% of the award vested on February 15, 2015, and 25% of the award is scheduled to vest on February 15, 2016, subject solely to continued service.

Includes 190 previously unreported unvested performance stock units ("PSUs") allocated on November 10, 2015 in connection with the special distribution and associated with the previously reported RSU grant received by the reporting person on March 6, 2014, the vesting of which was subject to both continued service and the attainment of certain revenue and EBITDA targets for 2014. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 15, 2015, with 25% additional units scheduled to vest on each of February 15, 2016 and February 15, 2017, subject solely to continued service.

- Includes 150 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the reporting person on February 12, 2015. Subject to continuous Service throughout the vesting period, 33.33% of the award is scheduled to vest on January 15, 2016, with an additional 33.33% units scheduled to vest on each of January 15, 2016 and January 15, 2017.
- Includes 152 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special

  Distribution and associated with the previously reported RSU grant received by the reporting person on August 14, 2013. Subject to continuous Service throughout the vesting period, the first 25% of the restricted stock units vested on September 1, 2014, and an additional 25% shall vest on each September 1st thereafter until fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.