#### FLUSHING FINANCIAL CORP

Form 4

August 01, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Fry David W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

FLUSHING FINANCIAL CORP

3. Date of Earliest Transaction

(Check all applicable)

**Executive Vice President** 

[FFIC]

(Last) (First) (Middle)

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

07/30/2013

1979 MARCUS AVENUE, SUITE

(Street)

E140

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### LAKE SUCCESS, NY 11042

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/30/2013		Code V M	Amount 10,000 (1)	(D)	Price \$ 16.77	90,409	D		
Common Stock	07/30/2013		M	5,000 (2)	A	\$ 17.88	95,409	D		
Common Stock	07/31/2013		M	10,000 (3)	A	\$ 16.44	105,409	D		
Common Stock	07/30/2013		M	10,000 (4)	A	\$ 16.65	115,409	D		
Common Stock	07/30/2013		F	32,274 (5)	D	\$ 19.17	83,135	D		

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Common 23,928 (6) I 401(K) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(-8., F, -1, -F) ext. (-1.1)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option Exercise (Right to buy)	\$ 16.77	07/30/2013		M		10,000	<u>(1)</u>	06/14/2014	Common Stock	10,000	
Employee Stock Option Exercise (Right to buy)	\$ 17.88	07/30/2013		M		5,000	(2)	06/20/2015	Common Stock	5,000	
Employee Stock Option Exercise (Right to buy)	\$ 16.44	07/30/2013		M		10,000	(3)	06/19/2016	Common Stock	10,000	
Employee Stock Option Exercise (Right to buy)	\$ 16.65	07/30/2013		M		10,000	<u>(4)</u>	06/18/2017	Common Stock	10,000	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fry David W Executive
1979 MARCUS AVENUE, SUITE E140 Vice
LAKE SUCCESS, NY 11042 President

## **Signatures**

Signed by Russell A. Fleishman Under Power of Attorney by David W. Fry

08/01/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option exercise from June 15, 2004 grant. Options became exercisable on December 21, 2004.
- (2) Stock option exercise from June 21, 2005 grant. Options became exercisable on December 21, 2005.
- (3) Stock option exercise from June 20, 2006 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (4) Stock option exercise from June 19, 2007 grant. Options became exercisable in five equal installments beginning on the first anniversary of the date of grant.
- (5) Shares withheld to satisfy option price and taxes.
- (6) Shares held in FB 401k Savings Plan a/o 8/1/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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