

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 29, 2016

000 A000000 06/30/2016
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 The Swiss Helvetia Fund, Inc.
001 B000000 811-05128
001 C000000 8007302932
002 A000000 875 Third Avenue, 22nd Floor
002 B000000 New York
002 C000000 NY
002 D010000 10022
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 SCHRODER INVESTMENT MANAGEMENT N.A. INC.
008 B000001 A
008 C000001 801-15834
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10022
008 A000002 SCHRODER INVESTMENT MANAGEMENT N.A. LTD.
008 B000002 S
008 C000002 801-37163
008 D010002 LONDON
008 D050002 UNITED KINGDOM
008 D060002 EC2V 7QA
010 A000001 J.P. MORGAN INVESTOR SERVICES CO.
010 B000001 84-17190
010 C010001 BOSTON
010 C020001 MA
010 C030001 02108
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 PRICewaterhouseCOOPERS LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SCHRODER FUND ADVISORS LLC
014 B000001 8-40973
015 A000001 J.P. MORGAN CHASE BANK
015 B000001 S
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10081
018 000000 Y
019 A000000 N
019 B000000
020 A000001 UBS AG

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020 B000001 13-3873456
 020 C000001 10
 020 A000002 JPMORGAN CHASE & CO
 020 B000002 13-3224022
 020 C000002
 020 A000003 BANK OF AMERICA CORP.
 020 B000003 56-2058405
 020 C000003 7
 020 A000004 CREDIT SUISSE GROUP
 020 B000004 13-2853402
 020 C000004 6
 020 A000005 Investment Technology Group
 020 B000005 13-3757717
 020 C000005 5
 020 A000006 HSBC HOLDINGS PLC
 020 B000006 13-2650272
 020 C000006 4
 020 A000007 BARCLAYS CAPITAL, INC.
 020 B000007 13-4942190
 020 C000007 3
 020 A000008 MORGAN STANLEY & CO., INC.
 020 B000008 13-2655998
 020 C000008 3
 020 A000009 VONTOBEL HOLDING AG
 020 B000009 00-0000000
 020 C000009 2
 020 A000010 CITIGROUP, INC.
 020 B000010 11-2418067
 020 C000010 2
 021 000000 58
 022 A000001 CREDIT SUISSE GROUP
 022 B000001 13-2853402
 022 C000001 1097
 022 D000001 0
 023 C000000 1326
 023 D000000 0
 024 000000 Y
 025 A000001 CREDIT SUISSE GROUP
 025 B000001 13-2853402
 025 C000001 E
 025 D000001 4854
 025 A000002 UBS AG
 025 B000002 13-3873456
 025 C000002 E
 025 D000002 10895
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 250000
 048 A020000 0.700
 048 B010000 350000

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048	B020000	0.600
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048	C020000	0.550
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048	D020000	0.500
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
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048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	550000
048	K020000	0.450
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050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
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055	B000000	N
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057	000000	N
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060	B000000	N
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062	I000000	0.0
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062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0

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062	O000000	0.0
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062	R000000	0.0
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066	F000000	N
066	G000000	N
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068	B000000	Y
069	000000	N
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070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
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070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	30208
071	B000000	39498
071	C000000	322570
071	D000000	9
072	A000000	6
072	B000000	0
072	C000000	7113
072	D000000	0
072	E000000	0
072	F000000	1117
072	G000000	45

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072 H000000	0
072 I000000	16
072 J000000	21
072 K000000	0
072 L000000	62
072 M000000	271
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	51
072 S000000	170
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	138
072 X000000	1891
072 Y000000	0
072 Z000000	5222
072AA000000	0
072BB000000	7246
072CC010000	0
072CC020000	6194
072DD010000	0
072DD020000	0
072EE000000	0
073 A010000	0.0000
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	4795
074 B000000	0
074 C000000	0
074 D000000	0
074 E000000	3086
074 F000000	309807
074 G000000	0
074 H000000	0
074 I000000	6299
074 J000000	0
074 K000000	0
074 L000000	2451
074 M000000	10784
074 N000000	337222
074 O000000	0
074 P000000	187
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	246
074 S000000	0
074 T000000	336789
074 U010000	28082
074 U020000	0
074 V010000	11.99
074 V020000	0.00
074 W000000	0.0000
074 X000000	452
074 Y000000	0
075 A000000	0
075 B000000	330939

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076	000000	10.35	
077	A000000	Y	
077	B000000	N	
077	C000000	Y	
077	D000000	N	
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077	F000000	N	
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077	M000000	N	
077	N000000	N	
077	O000000	N	
077	P000000	N	
077	Q010000	N	
077	Q020000	N	
077	Q030000	N	
078	000000	N	
080	C000000		
086	A010000	345	
086	A020000	3312	
086	B010000	242	
086	B020000	2436	
086	C010000	0	
086	C020000	0	
086	D010000	0	
086	D020000	0	
086	E010000	0	
086	E020000	0	
086	F010000	0	
086	F020000	0	
087	A010000	THE SWISS HELVETIA FUND, INC.	
087	A020000	870875101	
087	A030000	SWZ	
088	A000000	N	
088	B000000	N	
088	C000000	N	
088	D000000	N	
SIGNATURE	ALAN MANDEL		
TITLE	TREASURER		