

CORNERSTONE STRATEGIC VALUE FUND INC
Form N-PX
August 11, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

(Exact name of registrant as specified in charter)

7 Dawson Street Huntington Station, NY

11746

(Address of principal executive offices)

(Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 7 Dawson Street, Huntington Station, NY 11746

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2013 - June 30, 2014

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponent | Mgmt Recommendation |
|---------------------|--------|-----------|--------------|-----------------|--|-----------|---------------------|
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.1 | Elect Robert J. Alpern | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.2 | Elect Roxanne S. Austin | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.3 | Elect Sally E. Blount | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.4 | Elect W. James Farrell | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.5 | Elect Edward M. Liddy | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.6 | Elect Nancy McKinstry | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.7 | Elect Phebe N. Novakovic | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.8 | Elect William A. Osborn | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.9 | Elect Samuel C. Scott III | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.10 | Elect Glenn F. Tilton | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 1.11 | Elect Miles D. White | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 4 | Shareholder Proposal Regarding Labeling of Genetically Modified Organisms | ShrHldr | Against |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 5 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Abbott Laboratories | ABT | 002824100 | 4/25/2014 | 6 | Shareholder Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation | ShrHldr | Against |

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|---------------------------------------|------|-----------|-----------|-----|---|------|-----|
| AbbVie Inc. | ABBV | 00287Y109 | 5/9/2014 | 1.1 | Elect Robert J. Alpern | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/9/2014 | 1.2 | Elect Edward M. Liddy | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/9/2014 | 1.3 | Elect Frederick H. Waddell | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/9/2014 | 2 | Ratification of Auditor | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/9/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aberdeen Asia-Pacific Income Fund Inc | FAX | 003009107 | 3/27/2014 | 1.1 | Elect P. Gerald Malone | Mgmt | For |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/27/2014 | 1.1 | Elect P. Gerald Malone | Mgmt | For |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/27/2014 | 1.2 | Elect Peter D. Sacks | Mgmt | For |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/27/2014 | 1.3 | Elect Hugh Young | Mgmt | For |
| Aberdeen Global Income Fund Inc | FCO | 003013109 | 3/27/2014 | 1.1 | Elect P. Gerald Malone | Mgmt | For |
| Aberdeen Global Income Fund Inc | FCO | 003013109 | 3/27/2014 | 1.2 | Elect John T. Sheehy | Mgmt | For |
| Aberdeen Greater China Fund, Inc. | GCH | 003031101 | 6/9/2014 | 1.1 | Elect C. William Maher | Mgmt | For |
| Aberdeen Greater China Fund, Inc. | GCH | 003031101 | 6/9/2014 | 1.2 | Elect Jonathan J.K. Taylor | Mgmt | For |
| Aberdeen Israel Fund Inc | ISL | 00301L109 | 3/27/2014 | 1.1 | Elect James J. Cattano | Mgmt | For |
| Aberdeen Israel Fund Inc | ISL | 00301L109 | 3/27/2014 | 1.2 | Elect Steven N. Rappaport | Mgmt | For |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/9/2014 | 1.1 | Elect Martin J. Gruber | Mgmt | For |

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| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/9/2014 | 1.2 | Elect Richard J. Herring | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.1 | Elect Enrique R. Arzac | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.2 | Elect Phyllis O. Bonanno | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.3 | Elect Kenneth J. Dale | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.4 | Elect Frederic A. Escherich | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.5 | Elect Roger W. Gale | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.6 | Elect Kathleen T. McGahran | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.7 | Elect Craig R. Smith | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 1.8 | Elect Mark E. Stoeckle | Mgmt | For |
| The Adams Express Company | ADX | 006212104 | 4/10/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 10/16/2013 | 1 | Elect Gerald L. Seizert | Mgmt | For |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 10/16/2013 | 2 | Elect Derek Medina | Mgmt | For |

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|---|-----|-----------|------------|----|---|------|-----|
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 10/16/2013 | 3 | Elect Randall C. Barnes | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 1 | Elect Andrés R. Gluski | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 2 | Elect Guo Bao Zhang | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 3 | Elect Charles Harrington | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 4 | Elect Kristina M. Johnson | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 5 | Elect Tarun Khanna | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 6 | Elect Philip Lader | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 7 | Elect James H. Miller | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 8 | Elect Sandra O. Moose | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 9 | Elect John B. Morse, Jr. | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 10 | Elect Moisés Naim | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 11 | Elect Charles O. Rossotti | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 12 | Elect Sven Sandstrom | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 13 | Ratification of Auditor | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/17/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 1 | Elect Fernando Aguirre | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 2 | Elect Mark T. Bertolini | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 3 | Elect Frank M. Clark, Jr. | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 4 | Elect Betsy Z. Cohen | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 5 | Elect Molly J. Coye | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 6 | Elect Roger N. Farah | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 7 | Elect Barbara H. Franklin | Mgmt | For |

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|--------------------|-----|-----------|-----------|----|--|---------|---------|
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 8 | Elect Jeffrey E. Garten | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 9 | Elect Ellen M. Hancock | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 10 | Elect Richard J. Harrington | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 11 | Elect Edward J. Ludwig | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 12 | Elect Joseph P. Newhouse | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 14 | Elimination of Supermajority Requirements | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 15 | Right to Call a Special Meeting | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 16 | Amendment to the 2010 Stock Incentive Plan | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 18 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 19 | Shareholder Proposal Regarding Board Oversight of Political Spending | ShrHldr | Against |
| Aetna Inc. | AET | 00817Y108 | 5/30/2014 | 20 | Shareholder Proposal Regarding Indirect Political Spending Report | ShrHldr | Against |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 1 | Elect Daniel P. Amos | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 2 | Elect John Shelby Amos II | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 3 | Elect Paul S. Amos II | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 4 | Elect W. Paul Bowers | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 5 | Elect Kriss Cloninger III | Mgmt | For |

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|----------------------------------|-----|-----------|-----------|----|---|------|-----|
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 6 | Elect Elizabeth J. Hudson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 7 | Elect Douglas W. Johnson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 8 | Elect Robert B. Johnson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 9 | Elect Charles B. Knapp | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 10 | Elect Barbara K. Rimer | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 11 | Elect Melvin T. Stith | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 12 | Elect David Gary Thompson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 13 | Elect Takuro Yoshida | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/5/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 1 | Elect Chadwick C. Deaton | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 2 | Elect Edward L. Monser | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 3 | Elect Matthew H. Paull | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 4 | Elect Lawrence S. Smith | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 5 | Ratification of Auditor | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/23/2014 | 7 | Repeal of Classified Board | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 1 | Elect David E.I. Pyott | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 2 | Elect Michael R. Gallagher | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 3 | Elect Deborah Dunsire | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 4 | Elect Trevor M. Jones | Mgmt | For |

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| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 5 | Elect Louis J. Lavigne, Jr. | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 6 | Elect Peter J. McDonnell | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 7 | Elect Timothy D. Proctor | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 8 | Elect Russell T. Ray | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 9 | Elect Henri A. Termeer | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 10 | Ratification of Auditor | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 12 | Amendment to Certificate of Incorporation Allowing Action by Written Consent | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 5/6/2014 | 13 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| AllianzGI Convertible & Income Fund II | NFJ | 018829101 | 7/17/2013 | 1.1 | Elect Deborah A. DeCotis | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NFJ | 018829101 | 7/17/2013 | 1.2 | Elect Bradford K. Gallagher | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NFJ | 018829101 | 7/17/2013 | 1.3 | Elect James A. Jacobson | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NIE | 018829101 | 7/17/2013 | 1.1 | Elect Deborah A. DeCotis | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NIE | 018829101 | 7/17/2013 | 1.2 | Elect Bradford K. Gallagher | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCV | 018829101 | 7/17/2013 | 1.1 | Elect Deborah A. DeCotis | Mgmt | For |
| AllianzGI Convertible | NCV | 018829101 | 7/17/2013 | 1.2 | Elect Bradford K. | Mgmt | For |

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| & Income Fund II | | | | | Gallagher | | |
|--|-----|-----------|-----------|-----|--|---------|---------|
| AllianzGI Convertible & Income Fund II | NCV | 018829101 | 7/17/2013 | 1.3 | Elect James A. Jacobson | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NIE | 018829101 | 1/14/2014 | 1 | Issuance of Common Stock | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 1 | Elect F. Duane Ackerman | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 2 | Elect Robert D. Beyer | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 3 | Elect Kermit R. Crawford | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 4 | Elect Jack M. Greenberg | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 5 | Elect Herbert L. Henkel | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 6 | Elect Siddarth N. Mehta | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 7 | Elect Andrea Redmond | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 8 | Elect John W. Rowe | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 9 | Elect Judith A. Sprieser | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 10 | Elect Mary Alice Taylor | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 11 | Elect Thomas J. Wilson | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 13 | Approval of Material Terms of the Annual Incentive Plan | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 15 | Shareholder Proposal Regarding Retention of Shares Until | ShrHldr | Against |

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| | | | | | Retirement | | |
|-------------------------------------|-----|-----------|-----------|-----|--|---------|---------|
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Allstate Corporation | ALL | 020002101 | 5/20/2014 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/28/2014 | 1.1 | Elect Eleanor T Hoagland | Mgmt | For |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/28/2014 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt | For |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/28/2014 | 2 | Transaction of Other Business | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/28/2014 | 1.1 | Elect Eleanor T Hoagland | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/28/2014 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt | For |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 5/28/2014 | 2 | Transaction of Other Business | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 1 | Elect Gerald L. Baliles | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 2 | Elect Martin J. Barrington | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 3 | Elect John T. Casteen III | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 4 | Elect Dinyar S. Devitre | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 5 | Elect Thomas F. Farrell II | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 6 | Elect Thomas W. Jones | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 7 | Elect Debra J. | Mgmt | For |

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| | | | | | Kelly-Ennis | | |
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| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 8 | Elect W. Leo Kiely III | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 9 | Elect Kathryn B. McQuade | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 10 | Elect George Muñoz | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 11 | Elect Nabil Y. Sakkab | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 14 | Shareholder Proposal Regarding Raising Awareness of Health Effects of Tobacco Use to Low Income Groups | ShrHldr | Against |
| Altria Group, Inc. | MO | 02209S103 | 5/14/2014 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 1 | Elect Robert H. Benmosche | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 2 | Elect W. Don Cornwell | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 3 | Elect Peter R. Fisher | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 4 | Elect John Fitzpatrick | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 5 | Elect William G. Jurgensen | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 6 | Elect Christopher S. Lynch | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 7 | Elect Arthur C. Martinez | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 8 | Elect George L. Miles, Jr. | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 9 | Elect Henry S. Miller | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 10 | Elect Robert S. Miller | Mgmt | For |

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| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 11 | Elect Suzanne Nora Johnson | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 12 | Elect Ronald A. Rittenmeyer | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 13 | Elect Douglas M. Steenland | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 14 | Elect Theresa M. Stone | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 16 | Restatement of Protective Amendment to the Certificate of Incorporation | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 17 | Ratification of the Tax Asset Protection Plan | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/12/2014 | 18 | Ratification of Auditor | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 1 | Elect David Baltimore | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 2 | Elect Frank J. Biondi, Jr. | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 3 | Elect Robert A. Bradway | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 4 | Elect François de Carbonnel | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 5 | Elect Vance D. Coffman | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 6 | Elect Robert A. Eckert | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 7 | Elect Greg C. Garland | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 8 | Elect Rebecca M. Henderson | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 9 | Elect Frank C. Herringer | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 10 | Elect Tyler Jacks | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 11 | Elect Judith C. Pelham | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 12 | Elect Ronald D. Sugar | Mgmt | For |

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| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 13 | Ratification of Auditor | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/15/2014 | 15 | Shareholder Proposal Regarding Counting Abstentions | ShrHldr | Against |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 3 | Elect H. Paulett Eberhart | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 4 | Elect Peter J. Fluor | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 5 | Elect Richard L. George | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 6 | Elect Charles W. Goodyear | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 7 | Elect John R. Gordon | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 8 | Elect Eric D. Mullins | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 9 | Elect R. A. Walker | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 10 | Ratification of Auditor | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 12 | Shareholder Proposal Regarding Report on Political Spending | ShrHldr | Against |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 13 | Shareholder Proposal Regarding Carbon Asset Risk Report | ShrHldr | Against |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 1 | Elect Anthony R. Chase | Mgmt | For |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/13/2014 | 2 | Elect Kevin P. Chilton | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 1 | Elect Lester B. Knight | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 2 | Elect Gregory C. Case | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 3 | Elect Fulvio Conti | Mgmt | For |

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|------------|------|-----------|-----------|-----|---|------|-----|
| Aon plc | AON | G0408V102 | 6/24/2014 | 4 | Elect Cheryl A. Francis | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 5 | Elect Edgar D. Jannotta | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 6 | Elect James W. Leng | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 7 | Elect J. Michael Losh | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 8 | Elect Robert S. Morrison | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 9 | Elect Richard B. Myers | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 10 | Elect Richard C. Notebaert | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 11 | Elect Gloria Santona | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 12 | Elect Carolyn Y. Woo | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 13 | Accounts and Reports | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 15 | Ratification of Statutory Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 16 | Authority to Set Auditor's Fees | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 18 | Remuneration Report (Binding) | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 19 | Remuneration Report (Advisory) | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 20 | Amendment to the 2011 Incentive Compensation Plan | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/24/2014 | 21 | Approval of Share Repurchase Contract and Repurchase Counterparties | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.1 | Elect William V. Campbell | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.2 | Elect Timothy D. Cook | Mgmt | For |

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|--------------------------------|------|-----------|-----------|-----|---|---------|-------------|
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.3 | Elect Millard S. Drexler | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.4 | Elect Albert A. Gore, Jr. | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.5 | Elect Robert A. Iger | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.6 | Elect Andrea Jung | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.7 | Elect Arthur D. Levinson | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 1.8 | Elect Ronald D. Sugar | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 2 | Technical Amendment to Articles | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 3 | Eliminate Blank Check Authority to Issue Preferred Stock | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 4 | Establish a Par Value for the Company's Common Stock of \$0.00001 Per Share | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 5 | Ratification of Auditor | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 7 | 2014 Employee Stock Plan | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 8 | Shareholder Proposal Regarding Formation of Human Rights Committee | ShrHldr | Against |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 9 | Shareholder Proposal Regarding Sustainability-Related Trade Association Memberships | ShrHldr | Against |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 10 | Shareholder Proposal Regarding Share Buybacks | ShrHldr | Do Not Vote |
| Apple Inc. | AAPL | 037833100 | 2/28/2014 | 11 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 1 | Elect Alan L. Boeckmann | Mgmt | For |

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|--------------------------------|-----|-----------|-----------|----|---|---------|---------|
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 2 | Elect Mollie Hale Carter | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 3 | Elect Terrell K. Crews | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 4 | Elect Pierre Dufour | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 5 | Elect Donald E. Felsing | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 6 | Elect Antonio dos Santos Maciel Neto | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 7 | Elect Patrick J. Moore | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 8 | Elect Thomas F. O'Neill | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 9 | Elect Francisco Sanchez | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 10 | Elect Daniel Shih | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 11 | Elect Kelvin R. Westbrook | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 12 | Elect Patricia A. Woertz | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/1/2014 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 1 | Elect Randall L. Stephenson | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 2 | Elect Reuben V. Anderson | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 3 | Elect Jaime Chico Pardo | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 4 | Elect Scott T. Ford | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 5 | Elect James P. Kelly | Mgmt | For |

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|-----------------------------|-----|-----------|-----------|----|--|---------|---------|
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 6 | Elect Jon C. Madonna | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 7 | Elect Michael B. McCallister | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 8 | Elect John B. McCoy | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 9 | Elect Beth E. Mooney | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 10 | Elect Joyce M. Roché | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 11 | Elect Matthew K. Rose | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 12 | Elect Cynthia B. Taylor | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 13 | Elect Laura D'Andrea Tyson | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 14 | Ratification of Auditor | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 16 | Approval of AT&T Severance Policy | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| AT&T Inc. | T | 00206R102 | 4/25/2014 | 19 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 1 | Elect Sharon L. Allen | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 2 | Elect Susan S. Bies | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 3 | Elect Jack O. Bovender, Jr. | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 4 | Elect Frank P. Bramble, Sr. | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 5 | Elect Pierre J. P. de Weck | Mgmt | For |

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|-----------------------------|-----|-----------|----------|----|--|---------|---------|
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 6 | Elect Arnold W. Donald | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 7 | Elect Charles K. Gifford | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 8 | Elect Charles O. Holliday, Jr. | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 9 | Elect Linda P. Hudson | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 10 | Elect Monica C. Lozano | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 11 | Elect Thomas J. May | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 12 | Elect Brian T. Moynihan | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 13 | Elect Lionel L. Nowell, III | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 14 | Elect Clayton S. Rose | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 15 | Elect R. David Yost | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 17 | Ratification of Auditor | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 18 | Amendment to Series T Preferred Stock | Mgmt | For |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 19 | Shareholder Proposal Regarding Cumulative Voting | ShrHldr | Against |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 20 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 21 | Shareholder Proposal Regarding Lending Portfolio Exposure To Climate Change Risk | ShrHldr | Against |
| Bank of America Corporation | BAC | 060505104 | 5/7/2014 | 22 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |

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|-------------------------------------|-----|-----------|-----------|-----|---|---------|---------|
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 1 | Elect Ruth E. Bruch | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 2 | Elect Nicholas M. Donofrio | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 3 | Elect Jeffrey A. Goldstein | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 4 | Elect Gerald L. Hassell | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 5 | Elect Edmund F. Kelly | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 6 | Elect Richard J. Kogan | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 7 | Elect Michael J. Kowalski | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 8 | Elect John A. Luke, Jr. | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 9 | Elect Mark A. Nordenberg | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 10 | Elect Catherine A. Rein | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 11 | Elect William C. Richardson | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 12 | Elect Samuel C. Scott III | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 13 | Elect Wesley W. von Schack | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 16 | Amendments to the Long-Term Incentive Plan | Mgmt | For |
| Bank of New York Mellon Corporation | BK | 064058100 | 4/8/2014 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.1 | Elect Jennifer S. Banner | Mgmt | For |

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|------------------|-----|-----------|-----------|------|--|---------|---------|
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.2 | Elect K. David Boyer, Jr. | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.3 | Elect Anna R. Cablik | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.4 | Elect Ronald E. Deal | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.5 | Elect James A. Faulkner | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.6 | Elect I. Patricia Henry | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.7 | Elect John P. Howe, III | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.8 | Elect Eric C. Kendrick | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.9 | Elect Kelly S. King | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.10 | Elect Louis B. Lynn | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.11 | Elect Edward C. Milligan | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.12 | Elect Charles A. Patton | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.13 | Elect Nido R. Qubein | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.14 | Elect Tollie W. Rich, Jr. | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.15 | Elect Thomas E. Skains | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.16 | Elect Thomas N. Thompson | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.17 | Elect Edwin H. Welch | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 1.18 | Elect Stephen T. Williams | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 2 | Ratification of Auditor | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 4 | Adoption of Majority Vote for Election of Directors | Mgmt | For |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 5 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| BB&T Corporation | BBT | 054937107 | 4/29/2014 | 6 | | ShrHldr | Against |

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| | | | | | Shareholder Proposal Regarding Recoupment of Unearned Bonuses | | |
|-------------------------------|-----|-----------|-----------|----|--|------|-----|
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 1 | Elect Basil L. Anderson | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 2 | Elect Henry P. Becton, Jr. | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 3 | Elect Catherine M. Burzik | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 4 | Elect Edward F. DeGraan | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 5 | Elect Vincent A. Forlenza | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 6 | Elect Claire M. Fraser | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 7 | Elect Christopher Jones | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 8 | Elect Marshall O. Larsen | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 9 | Elect Gary A. Mecklenburg | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 10 | Elect James F. Orr | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 11 | Elect Willard J. Overlock, Jr. | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 12 | Elect Rebecca W. Rimel | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 13 | Elect Bertram L. Scott | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 14 | Elect Alfred Sommer | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 17 | Approval of Performance Goals Under 2004 Employee | Mgmt | For |

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| | | | | | and Director Equity-Based Compensation Plan | | |
|-------------------------------|------|-----------|-----------|------|--|---------|---------|
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 18 | Approval of Performance Goals Under Performance Incentive Plan | Mgmt | For |
| Becton, Dickinson and Company | BDX | 075887109 | 1/28/2014 | 19 | Shareholder Proposal Regarding Independent Chairman | ShrHldr | Against |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.1 | Elect Warren E. Buffett | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.2 | Elect Charles T. Munger | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.3 | Elect Howard G. Buffett | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.4 | Elect Stephen B. Burke | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.5 | Elect Susan L. Decker | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.6 | Elect William H. Gates III | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.7 | Elect David S. Gottesman | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.8 | Elect Charlotte Guyman | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.9 | Elect Donald R. Keough | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.10 | Elect Thomas S. Murphy | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.11 | Elect Ronald L. Olson | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.12 | Elect Walter Scott, Jr. | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 1.13 | Elect Meryl B. Witmer | Mgmt | For |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| | BRKA | 084670702 | 5/3/2014 | 3 | | Mgmt | 3 Years |

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| | | | | | Frequency of Advisory Vote on Executive Compensation | | |
|--|------|-----------|-----------|------|--|---------|---------|
| Berkshire Hathaway Inc. | | | | | | | |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 4 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHldr | Against |
| Berkshire Hathaway Inc. | BRKA | 084670702 | 5/3/2014 | 5 | Shareholder Proposal Regarding Dividends | ShrHldr | Against |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.8 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.9 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Enhanced Capital and Income | CII | 09256A109 | 7/30/2013 | 1.10 | Elect W. Carl Kester | Mgmt | For |

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Fund Inc

| | | | | | | | |
|--|-----|-----------|-----------|------|-----------------------------|------|-----|
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.11 | Elect Karen P. Robards | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.3 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.4 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.5 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.6 | Elect James T. Flynn | Mgmt | For |
| BlackRock Enhanced Capital and Income Fund Inc | CII | 09256A109 | 7/30/2013 | 1.7 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| | BOE | 092501105 | 7/30/2013 | 1.2 | | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|------|-----|
| BlackRock Global Opportunities Equity Trust | | | | | Elect Kathleen F. Feldstein | | |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 1 | Elect Abdlatif Yousef Al-Hamad | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 2 | Elect Mathis Cabiallavetta | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 3 | Elect Pamela Daley | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 4 | Elect Jessica P. Einhorn | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 5 | Elect Fabrizio Freda | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 6 | Elect Murry S. Gerber | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 7 | Elect James Grosfeld | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 8 | Elect David H. Komansky | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 9 | Elect Sir Deryck C. Maughan | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 10 | Elect Cheryl D. Mills | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 11 | Elect Carlos Slim Domit | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 12 | Elect John S. Varley | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 13 | Elect Susan L. Wagner | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 14 | Amendment to the 1999 Stock Award and Incentive Plan | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 15 | Reapproval of the Performance Goals under the 1999 Annual Incentive Performance Plan | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |

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|---|-----|-----------|-----------|-----|-----------------------------|------|-----|
| BlackRock, Inc. | BLK | 09247X101 | 5/29/2014 | 17 | Ratification of Auditor | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| BlackRock International Growth and Income Trust | BGY | 092524107 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2013 | 1.1 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2013 | 1.2 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2013 | 1.3 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2013 | 1.4 | Elect Jerrold B. Harris | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 1 | Elect Bruce L. Byrnes | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 2 | Elect Nelda J. Connors | Mgmt | For |

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|-------------------------------------|-----|-----------|-----------|-----|--|---------|---------|
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 3 | Elect Kristina M. Johnson | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 4 | Elect Edward J. Ludwig | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 5 | Elect Michael F. Mahoney | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 6 | Elect Ernest Mario | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 7 | Elect N.J. Nicholas, Jr. | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 8 | Elect Pete M. Nicholas | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 9 | Elect Uwe E. Reinhardt | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 10 | Elect David J. Roux | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 11 | Elect John E. Sununu | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 13 | Amendment to the 2006 Global Employee Stock Ownership Plan | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Boston Scientific Corporation | BSX | 101137107 | 5/6/2014 | 15 | Shareholder Proposal Regarding Animal Welfare | ShrHldr | Against |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/25/2014 | 1.1 | Elect John P. Calamos, Sr. | Mgmt | For |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/25/2014 | 1.2 | Elect William R. Rybak | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 1 | Elect Richard D. Fairbank | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 2 | Elect Patrick W. Gross | Mgmt | For |

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|-----------------------------------|-----|-----------|----------|----|--|------|-----|
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 3 | Elect Ann F. Hackett | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 4 | Elect Lewis Hay, III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 5 | Elect Benjamin P. Jenkins, III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 6 | Elect Pierre E. Leroy | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 7 | Elect Peter E. Raskind | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 8 | Elect Mayo A. Shattuck III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 9 | Elect Bradford H. Warner | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 10 | Elect Catherine G. West | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 11 | Ratification of Auditor | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 12 | Amendment to the 2004 Stock Incentive Plan | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 14 | Elimination of Supermajority Requirement for Future | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 15 | Amendments to the Bylaws and the Certificate Elimination of Supermajority Requirement for the | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 16 | Removal of Directors Elimination of Supermajority Requirement for Certain | Mgmt | For |

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| | | | | | Business Combinations | | |
|-----------------------------------|-----|-----------|-----------|----|---|---------|---------|
| Capital One Financial Corporation | COF | 14040H105 | 5/1/2014 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 1 | Elect Colleen F. Arnold | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 2 | Elect George S. Barrett | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 3 | Elect Glenn A. Britt | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 4 | Elect Carrie S. Cox | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 5 | Elect Calvin Darden | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 6 | Elect Bruce L. Downey | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 7 | Elect John F. Finn | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 8 | Elect Patricia A. Hemingway Hall | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 9 | Elect Clayton M. Jones | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 10 | Elect Gregory B. Kenny | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 11 | Elect David P. King | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 12 | Elect Richard C. Notebaert | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 13 | Ratification of Auditor | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/6/2013 | 15 | Shareholder Proposal Regarding Political Contributions and Expenditures | ShrHldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 1 | Elect David L. Calhoun | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 2 | Elect Daniel M. Dickinson | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 3 | Elect Juan Gallardo | Mgmt | For |

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|---------------------|-----|-----------|-----------|----|---|---------|---------|
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 4 | Elect Jesse J. Greene, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 6 | Elect Peter A. Magowan | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 7 | Elect Dennis A. Muilenburg | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 8 | Elect Douglas R. Oberhelman | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 9 | Elect William A. Osborn | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 10 | Elect Edward B. Rust, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 11 | Elect Susan C. Schwab | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 12 | Elect Miles D. White | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 15 | Approval of the 2014 Long-Term Incentive Plan | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 16 | Approval of the Executive Short-Term Incentive Plan | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 17 | Shareholder Proposal Regarding Review of Human Rights Policies | ShrHldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 18 | Shareholder Proposal Regarding Sales to the Government of Sudan | ShrHldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/11/2014 | 19 | Shareholder Proposal Regarding Cumulative Voting | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 1 | Elect Linnet F. Deily | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 2 | Elect Robert E. Denham | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 3 | Elect Alice P. Gast | Mgmt | For |

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|---------------------|-----|-----------|-----------|----|---|---------|---------|
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 4 | Elect Enrique Hernandez, Jr. | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 6 | Elect George L. Kirkland | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 7 | Elect Charles W. Moorman, IV | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 8 | Elect Kevin W. Sharer | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 9 | Elect John G. Stumpf | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 10 | Elect Ronald D. Sugar | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 11 | Elect Carl Ware | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 12 | Elect John S. Watson | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 15 | Shareholder Proposal Regarding Charitable Contributions | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 17 | Shareholder Proposal Regarding Hydraulic Fracturing | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 18 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 20 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHldr | Against |
| Chevron Corporation | CVX | 166764100 | 5/28/2014 | 21 | Shareholder Proposal Regarding Country Selection Guidelines | ShrHldr | Against |

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|----------------------|------|-----------|------------|-----|---|------|-----|
| The China Fund, Inc. | CHN | 169373107 | 3/13/2014 | 1.1 | Elect William Kirby | Mgmt | For |
| The China Fund, Inc. | CHN | 169373107 | 3/13/2014 | 1.2 | Elect Nigel S. Tulloch | Mgmt | For |
| The China Fund, Inc. | CHN | 169373107 | 3/13/2014 | 1.3 | Elect Gary L. French | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/23/2014 | 1 | Elect Eric J. Foss | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/23/2014 | 2 | Elect Roman Martinez IV | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/23/2014 | 3 | Elect William D. Zollars | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/23/2014 | 4 | Ratification of Auditor | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/23/2014 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 1 | Elect Carol A. Bartz | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 2 | Elect Marc Benioff | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 3 | Elect Gregory Q. Brown | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 4 | Elect M. Michele Burns | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 5 | Elect Michael D. Capellas | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 6 | Elect John T. Chambers | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 7 | Elect Brian L. Halla | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 8 | Elect John L. Hennessy | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 9 | Elect Kristina M. Johnson | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 10 | Elect Roderick C. McGeary | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 11 | Elect Arun Sarin | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 12 | Elect Steven M. West | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 13 | Amendment to the | Mgmt | For |

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| | | | | | 2005 Stock Incentive Plan | | |
|---------------------|------|-----------|------------|----|---|---------|---------|
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 15 | Ratification of Auditor | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/19/2013 | 16 | Shareholder Proposal Regarding Proxy Advice Contest | ShrHldr | Against |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 1 | Elect Michael L. Corbat | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 2 | Elect Duncan P. Hennes | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 3 | Elect Franz B. Humer | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 4 | Elect Eugene M. McQuade | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 5 | Elect Michael E. O'Neill | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 6 | Elect Gary M. Reiner | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 7 | Elect Judith Rodin | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 8 | Elect Robert L. Ryan | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 9 | Elect Anthony M. Santomero | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 10 | Elect Joan E. Spero | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 11 | Elect Diana L. Taylor | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 12 | Elect William S. Thompson, Jr. | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 13 | Elect James S. Turley | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 14 | Elect Ernesto Zedillo Ponce de Leon | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 17 | Approval of the 2014 Stock Incentive Plan | Mgmt | For |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 18 | Shareholder Proposal Regarding Retention | ShrHldr | Against |

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| | | | | | of Shares Until Retirement | | |
|--|-----|-----------|-----------|-----|---|---------|---------|
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 20 | Shareholder Proposal Regarding Director Indemnification | ShrHldr | Against |
| Citigroup Inc. | C | 172967424 | 4/22/2014 | 21 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/28/2014 | 1.1 | Elect Leslie H. Gelb | Mgmt | For |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/28/2014 | 1.2 | Elect Kenneth D. Fuller | Mgmt | For |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/28/2014 | 1.3 | Elect William R. Hutchinson | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2013 | 1.1 | Elect Edmund J. Burke | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2013 | 1.2 | Elect John F. Mee | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2013 | 1.3 | Elect Vincent W. Versaci | Mgmt | For |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/15/2013 | 1.1 | Elect Robert L. Butler | Mgmt | For |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/15/2013 | 1.2 | Elect James E. Canty | Mgmt | For |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/15/2013 | 1.3 | Elect Richard C. Rantzow | Mgmt | For |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/15/2013 | 1.4 | Elect Vincent W. Versaci | Mgmt | For |
| | GLO | 18914E106 | 7/15/2013 | 1.1 | | Mgmt | For |

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|-----------------------------------|-----|-----------|-----------|-----|---|---------|---------|
| Clough Global Opportunities Fund. | | | | | Elect Adam D. Crescenzi | | |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/15/2013 | 1.2 | Elect Jerry G. Rutledge | Mgmt | For |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/15/2013 | 1.3 | Elect Vincent W. Versaci | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 7 | Elect Helene D. Gayle | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 8 | Elect Evan G. Greenberg | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 9 | Elect Alexis M. Herman | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 10 | Elect Muhtar Kent | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 11 | Elect Robert A. Kotick | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 12 | Elect Maria Elena Lagomasino | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 13 | Elect Sam Nunn | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 14 | Elect James D. Robinson III | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 15 | Elect Peter V. Ueberroth | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 17 | 2014 Equity Plan | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 18 | Ratification of Auditor | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 1 | Elect Herbert A. Allen | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 2 | Elect Ronald W. Allen | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 3 | Elect Ana Botín | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 4 | Elect Howard G. Buffett | Mgmt | For |
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 5 | Elect Richard M. Daley | Mgmt | For |

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|---|------|-----------|-----------|-----|---|------|-----|
| Coca-Cola Company | KO | 191216100 | 4/23/2014 | 6 | Elect Barry Diller | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/3/2014 | 1 | Elect Michael Patsalos-Fox | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/3/2014 | 2 | Elect Robert E. Weissman | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/3/2014 | 3 | Amendment to the 2009 Incentive Compensation Plan | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/3/2014 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/3/2014 | 5 | Ratification of Auditor | Mgmt | For |
| Cohen & Steers Dividend Majors Fund, Inc. | DVM | 19248G106 | 4/24/2014 | 1 | Combination of Funds | Mgmt | For |
| Cohen & Steers Dividend Majors Fund, Inc. | DVM | 19248G106 | 4/24/2014 | 1.1 | Elect Bonnie Cohen | Mgmt | For |
| Cohen & Steers Dividend Majors Fund, Inc. | DVM | 19248G106 | 4/24/2014 | 1.2 | Elect Michael Clark | Mgmt | For |
| Cohen & Steers Dividend Majors Fund, Inc. | DVM | 19248G106 | 4/24/2014 | 1.3 | Elect Richard E. Kroon | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/24/2014 | 1.1 | Elect Bonnie Cohen | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/24/2014 | 1.2 | Elect Michael Clark | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/24/2014 | 1.3 | Elect Richard E. Kroon | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/24/2014 | 1.1 | Elect Bonnie Cohen | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/24/2014 | 1.2 | Elect Michael Clark | Mgmt | For |

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| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/24/2014 | 1.3 | Elect Richard E. Kroon | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.1 | Elect Kenneth J. Bacon | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.2 | Elect Sheldon M. Bonovitz | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.3 | Elect Edward D. Breen | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.4 | Elect Joseph J. Collins | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.5 | Elect J. Michael Cook | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.6 | Elect Gerald L. Hassell | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.7 | Elect Jeffrey A. Honickman | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.8 | Elect Eduardo G. Mestre | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.9 | Elect Brian L. Roberts | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.10 | Elect Ralph J. Roberts | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.11 | Elect Johnathan A. Rodgers | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 1.12 | Elect Judith Rodin | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 4 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Comcast Corporation | CMCSA | 20030N200 | 5/21/2014 | 5 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 1 | Elect Richard L. Armitage | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 2 | Elect Richard H. Auchinleck | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 3 | | Mgmt | For |

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|---------------------------|-----|-----------|-----------|----|--|--|---------|---------|
| | | | | | | Elect Charles E. Bunch | | |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 4 | | Elect James E. Copeland, Jr. | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 5 | | Elect Jody L Freeman | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 6 | | Elect Gay Huey Evans | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 7 | | Elect Ryan M. Lance | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 8 | | Elect Robert A. Niblock | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 9 | | Elect Harald J. Norvik | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 10 | | Elect William E. Wade, Jr. | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 11 | | Ratification of Auditor | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 12 | | Advisory Vote on Executive Compensation | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 13 | | 2014 Omnibus Stock and Performance Incentive Plan | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 14 | | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| ConocoPhillips | COP | 20825C104 | 5/13/2014 | 15 | | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHldr | Against |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 1 | | Elect Kevin Burke | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 2 | | Elect Vincent A. Calarco | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 3 | | Elect George Campbell, Jr. | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 4 | | Elect Michael J. Del Giudice | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 5 | | Elect Ellen V. Futter | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 6 | | Elect John F. Hennessy III | Mgmt | For |

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| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 7 | Elect John F. Killian | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 8 | Elect John McAvoy | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 9 | Elect Armando J. Olivera | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 10 | Elect Sally H. Piñero | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 11 | Elect Michael W. Ranger | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 12 | Elect L. Frederick Sutherland | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 14 | Employee Stock Purchase Plan | Mgmt | For |
| Consolidated Edison, Inc. | ED | 209115104 | 5/19/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 1.1 | Elect Susan L. Decker | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 1.2 | Elect Richard M. Libenson | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 1.3 | Elect John W. Meisenbach | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 1.4 | Elect Charles T. Munger | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 4 | Shareholder Proposal Regarding Simple Majority Vote | ShrHldr | Against |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2014 | 5 | Repeal of Classified Board | Mgmt | Abstain |
| Credit Suisse Asset Management Income Fund Inc. | CIK | 224916106 | 4/22/2014 | 1.1 | Elect James J. Cattano | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|---------|---------|
| Credit Suisse Asset Management Income Fund Inc. | CIK | 224916106 | 4/22/2014 | 1.2 | Elect Steven N. Rappaport | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 1 | Elect Donna M. Alvarado | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 2 | Elect John B. Breaux | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 3 | Elect Pamela L. Carter | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 4 | Elect Steven T. Halverson | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 5 | Elect Edward J. Kelly, III | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 6 | Elect Gilbert H. Lamphere | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 7 | Elect John D. McPherson | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 8 | Elect Timothy T. O'Toole | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 9 | Elect David M. Ratcliffe | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 10 | Elect Donald J. Shepard | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 11 | Elect Michael J. Ward | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 12 | Elect J.C. Watts, Jr. | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 13 | Elect J. Steven Whisler | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 14 | Ratification of Auditor | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/7/2014 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 1 | Elect C. David Brown II | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 2 | Elect Nancy-Ann M. Deparle | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 3 | Elect David W. Dorman | Mgmt | For |

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|--------------------------|-----|-----------|-----------|----|---|------|-----|
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 4 | Elect Anne M. Finucane | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 5 | Elect Larry J. Merlo | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 6 | Elect Jean-Pierre Millon | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 7 | Elect Richard J. Swift | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 8 | Elect William C. Weldon | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 9 | Elect Tony L. White | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 10 | Ratification of Auditor | Mgmt | For |
| CVS Caremark Corporation | CVS | 126650100 | 5/8/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 1 | Elect Samuel R. Allen | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 2 | Elect Crandall C. Bowles | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 3 | Elect Vance D. Coffman | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 4 | Elect Charles O. Holliday, Jr. | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 5 | Elect Dipak C. Jain | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 6 | Elect Clayton M. Jones | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 7 | Elect Joachim Milberg | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 8 | Elect Richard B. Myers | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 9 | Elect Gregory R. Page | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 10 | Elect Thomas H. Patrick | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 11 | Elect Sherry M. Smith | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/26/2014 | 13 | Ratification of Auditor | Mgmt | For |

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|---|-------|-----------|-----------|------|---------------------------------|------|-----|
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.1 | Elect Thomas L. Bennett | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.2 | Elect Joseph W. Chow | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.3 | Elect Patrick P. Coyne | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.4 | Elect John A. Fry | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.5 | Elect Anthony D. Knerr | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.6 | Elect Lucinda S. Landreth | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.7 | Elect Frances A. Sevilla-Sacasa | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.8 | Elect Thomas K. Whitford | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.9 | Elect Janet L. Yeomans | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/21/2013 | 1.10 | Elect J. Richard Zecher | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 1 | Elect Richard H. Anderson | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 2 | Elect Edward H. Bastian | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 3 | Elect Roy J. Bostock | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 4 | Elect John S. Brinzo | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 5 | Elect Daniel A. Carp | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 6 | Elect David G. DeWalt | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 7 | Elect William H. Easter III | Mgmt | For |

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| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 8 | Elect Mickey P. Foret | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 9 | Elect Shirley C. Franklin | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 10 | Elect David R. Goode | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 11 | Elect George N. Mattson | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 12 | Elect Paula Rosput Reynolds | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 13 | Elect Kenneth C. Rogers | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 14 | Elect Kenneth B. Woodrow | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 16 | Ratification of Auditor | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 17 | Change in Board Size | Mgmt | For |
| Delta Air Lines, Inc. | DALRQ | 247361702 | 6/27/2014 | 18 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.1 | Elect Barbara M. Baumann | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.2 | Elect John E. Bethancourt | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.3 | Elect Robert H. Henry | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.4 | Elect John A. Hill | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.5 | Elect Michael M. Kanovsky | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.6 | Elect Robert A. Mosbacher, Jr. | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.7 | Elect J. Larry Nichols | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.8 | Elect Duane C. Radtke | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.9 | Elect Mary P. Ricciardello | Mgmt | For |

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| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 1.10 | Elect John Richels | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 3 | Ratification of Auditor | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 4 | Shareholder Proposal Regarding Carbon Asset Risk Report | ShrHldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 5 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/4/2014 | 6 | Shareholder Proposal Regarding Report on Lobbying Activities Related to Energy Policy and Climate Change | ShrHldr | Against |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 1 | Elect Neil R. Austrian | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 2 | Elect Ralph F. Boyd, Jr. | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 3 | Elect Abelardo E. Bru | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 4 | Elect David B. Dillon | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 5 | Elect Samuel A. DiPiazza, Jr. | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 6 | Elect Dixon R. Doll | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 7 | Elect Charles R. Lee | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 8 | Elect Peter A. Lund | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 9 | Elect Nancy S. Newcomb | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 10 | Elect Lorrie M. Norrington | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 11 | Elect Anthony J. Vinciguerra | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 12 | Elect Michael D. White | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 13 | Ratification of Auditor | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 14 | | Mgmt | For |

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| | | | | | Advisory Vote on Executive Compensation | | |
|-----------------|-----|-----------|-----------|----|---|---------|---------|
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 15 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| DIRECTV | DTV | 25490A309 | 4/29/2014 | 16 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 14 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 15 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 1 | Elect Susan E. Arnold | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 2 | Elect John S. Chen | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 3 | Elect Jack Dorsey | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 4 | Elect Robert A. Iger | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 5 | Elect Fred H. Langhammer | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 6 | Elect Aylwin B. Lewis | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 7 | Elect Monica C. Lozano | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 8 | Elect Robert W. Matschullat | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 9 | Elect Sheryl Sandberg | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 10 | Elect Orin C. Smith | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 11 | Ratification of Auditor | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/18/2014 | 13 | Right to Call a Special Meeting | Mgmt | For |
| | DOW | 260543103 | 5/15/2014 | 1 | | Mgmt | For |

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| | | | | | | | | |
|--|-----|-----------|-----------|-----|--|---|---------|---------|
| Dow Chemical Company | | | | | | Elect Arnold A. Allemang | | |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 2 | | Elect Ajay S. Banga | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 3 | | Elect Jacqueline K. Barton | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 4 | | Elect James A. Bell | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 5 | | Elect Jeff M. Fettig | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 6 | | Elect Andrew N. Liveris | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 7 | | Elect Paul Polman | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 8 | | Elect Dennis H. Reilley | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 9 | | Elect James M. Ringler | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 10 | | Elect Ruth G. Shaw | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 11 | | Ratification of Auditor | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 12 | | Advisory Vote on Executive Compensation | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 13 | | Amendment to the 2012 Stock Incentive Plan | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 14 | | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Dow Chemical Company | DOW | 260543103 | 5/15/2014 | 15 | | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/19/2014 | 1.1 | | Elect Geraldine M. McNamara | Mgmt | For |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/19/2014 | 1.2 | | Elect Christian H. Poindexter | Mgmt | For |

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|--|-----|-----------|-----------|-----|------------------------------|------|-----|
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 6/19/2014 | 1.3 | Elect Carl F. Pollard | Mgmt | For |
| DWS High Income Opportunities Fund Inc | DHG | 23339M204 | 9/4/2013 | 1.1 | Elect Henry P. Becton, Jr. | Mgmt | For |
| DWS High Income Opportunities Fund Inc | DHG | 23339M204 | 9/4/2013 | 1.2 | Elect Paul K. Freeman | Mgmt | For |
| DWS High Income Opportunities Fund Inc | DHG | 23339M204 | 9/4/2013 | 1.3 | Elect William R. McClayton | Mgmt | For |
| DWS High Income Opportunities Fund Inc | DHG | 23339M204 | 9/4/2013 | 1.4 | Elect Jean Gleason Stromberg | Mgmt | For |
| Eaton Vance Senior Income Trust | EOI | 278274105 | 7/19/2013 | 1.1 | Elect Helen Frame Peters | Mgmt | For |
| Eaton Vance Senior Income Trust | EOI | 278274105 | 7/19/2013 | 1.2 | Elect Lynn A. Stout | Mgmt | For |
| Eaton Vance Senior Income Trust | EOI | 278274105 | 7/19/2013 | 1.3 | Elect Ralph F. Verni | Mgmt | For |
| Eaton Vance Tax-Managed Diversified Equity Income Fund | ETY | 27828N102 | 8/23/2013 | 1.1 | Elect Scott E. Eston | Mgmt | For |
| Eaton Vance Tax-Managed Diversified Equity Income Fund | ETY | 27828N102 | 8/23/2013 | 1.2 | Elect Benjamin C. Esty | Mgmt | For |
| Eaton Vance Tax-Managed Diversified Equity Income Fund | ETY | 27828N102 | 8/23/2013 | 1.3 | Elect Thomas E. Faust, Jr. | Mgmt | For |
| Eaton Vance Tax-Managed Diversified Equity Income Fund | ETY | 27828N102 | 8/23/2013 | 1.4 | Elect Allen R. Freedman | Mgmt | For |
| | EXD | 27829M103 | 4/24/2014 | 1.1 | Elect Scott E. Eston | Mgmt | For |

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Eaton Vance
Tax-Managed Global
Diversified Equity
Income Fund

| | | | | | | | |
|--|-----|-----------|-----------|-----|------------------------------|------|-----|
| Eaton Vance Tax-Managed Global Diversified Equity Income Fund | EXD | 27829M103 | 4/24/2014 | 1.2 | Elect Thomas E. Faust Jr. | Mgmt | For |
|--|-----|-----------|-----------|-----|------------------------------|------|-----|

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|--|-----|-----------|-----------|-----|----------------------------|------|-----|
| Eaton Vance Tax-Managed Global Diversified Equity Income Fund | EXD | 27829M103 | 4/24/2014 | 1.3 | Elect Allen R. Freedman | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------------|------|-----|

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|--|-----|-----------|-----------|-----|----------------------------|------|-----|
| Eaton Vance Tax-Managed Global Diversified Equity Income Fund | EXD | 27829M103 | 4/24/2014 | 1.4 | Elect Valerie A. Mosley | Mgmt | For |
|--|-----|-----------|-----------|-----|----------------------------|------|-----|

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|-----------|------|-----------|-----------|-----|---------------------------|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 1.1 | Elect Fred D. Anderson | Mgmt | For |
|-----------|------|-----------|-----------|-----|---------------------------|------|-----|

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|-----------|------|-----------|-----------|-----|-----------------------------|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 1.2 | Elect Edward W. Barnholt | Mgmt | For |
|-----------|------|-----------|-----------|-----|-----------------------------|------|-----|

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|-----------|------|-----------|-----------|-----|---------------------|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 1.3 | Elect Scott D. Cook | Mgmt | For |
|-----------|------|-----------|-----------|-----|---------------------|------|-----|

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|-----------|------|-----------|-----------|-----|-----------------------|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 1.4 | Elect John J. Donahoe | Mgmt | For |
|-----------|------|-----------|-----------|-----|-----------------------|------|-----|

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|-----------|------|-----------|-----------|---|---|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
|-----------|------|-----------|-----------|---|---|------|-----|

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|-----------|------|-----------|-----------|---|--|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 3 | Amendment to 2008 Equity Incentive Plan | Mgmt | For |
|-----------|------|-----------|-----------|---|--|------|-----|

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|-----------|------|-----------|-----------|---|-------------------------|------|-----|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 4 | Ratification of Auditor | Mgmt | For |
|-----------|------|-----------|-----------|---|-------------------------|------|-----|

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|-----------|------|-----------|-----------|---|---|---------|---------|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 5 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
|-----------|------|-----------|-----------|---|---|---------|---------|

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|-----------|------|-----------|-----------|---|--|---------|---------|
| EBay Inc. | EBAY | 278642103 | 5/13/2014 | 6 | Shareholder Proposal Regarding PayPal Spin-Off | ShrHldr | Against |
|-----------|------|-----------|-----------|---|--|---------|---------|

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|-------------|-----|-----------|----------|---|--------------------------------|------|-----|
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 1 | Elect Douglas M. Baker, Jr. | Mgmt | For |
|-------------|-----|-----------|----------|---|--------------------------------|------|-----|

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|-------------|-----|-----------|----------|---|-----------------------|------|-----|
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 2 | Elect Barbara J. Beck | Mgmt | For |
|-------------|-----|-----------|----------|---|-----------------------|------|-----|

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|-------------|-----|-----------|----------|---|------------------------|------|-----|
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 3 | Elect Leslie S. Biller | Mgmt | For |
|-------------|-----|-----------|----------|---|------------------------|------|-----|

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|-------------|-----|-----------|----------|---|----------------------|------|-----|
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 4 | Elect Carl M. Casale | Mgmt | For |
|-------------|-----|-----------|----------|---|----------------------|------|-----|

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|-----------------|-----|-----------|-----------|----|---|---------|---------|
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 5 | Elect Stephen I. Chazen | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 6 | Elect Jerry A. Grundhofer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 7 | Elect Arthur J. Higgins | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 8 | Elect Joel W. Johnson | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 9 | Elect Michael Larson | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 10 | Elect Jerry W. Levin | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 11 | Elect Robert L. Lumpkins | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 12 | Elect Victoria J. Reich | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 13 | Elect Suzanne M. Vautrinot | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 14 | Elect John J. Zillmer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 16 | Reapproval of the Management Performance Incentive Plan | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/8/2014 | 18 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 1 | Elect Michael W. Brown | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 2 | Elect Randolph L. Cowen | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 3 | Elect Gail Deegan | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 4 | Elect James S. DiStasio | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 5 | Elect John R. Egan | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 6 | Elect William D. Green | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 7 | Elect Edmund F. Kelly | Mgmt | For |

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|----------------------|-----|-----------|-----------|-----|--|---------|---------|
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 8 | Elect Jami Miscik | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 9 | Elect Paul Sagan | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 10 | Elect David N. Strohm | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 11 | Elect Joseph M. Tucci | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 12 | Ratification of Auditor | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| EMC Corporation | EMC | 268648102 | 4/30/2014 | 15 | Shareholder Proposal Regarding Incorporation of Values in Political Spending | ShrHldr | Against |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.1 | Elect David N. Farr | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.2 | Elect Harriet Green | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.3 | Elect Charles A. Peters | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.4 | Elect Joseph W. Prueher | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.5 | Elect August A. Busch III | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 1.6 | Elect James S. Turley | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 3 | Ratification of Auditor | Mgmt | For |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 4 | Shareholder Proposal Regarding Sustainability Report | ShrHldr | Against |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 5 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| Emerson Electric Co. | EMR | 291011104 | 2/4/2014 | 6 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |

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|---------------------|-----|-----------|----------|----|---|---------|---------|
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 1 | Election of Directors | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 2 | Elect Maureen S. Bateman | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 3 | Elect Leo P. Denault | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 4 | Elect Kirkland H. Donald | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 5 | Elect Gary W. Edwards | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 6 | Elect Alexis M. Herman | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 7 | Elect Donald C. Hintz | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 8 | Elect Stuart L. Levenick | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 9 | Elect Blanche L. Lincoln | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 10 | Elect Stewart C. Myers | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 11 | Elect Steven V. Wilkinson | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 14 | Shareholder Proposal Regarding Decommissioning of Indian Point Nuclear Reactors | ShrHldr | Against |
| Entergy Corporation | ETR | 29364G103 | 5/2/2014 | 15 | Shareholder Proposal Regarding Reporting on Nuclear Safety | ShrHldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 1 | Elect Janet F. Clark | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 2 | Elect Charles R. Crisp | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 3 | Elect James C. Day | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 4 | Elect Mark G. Papa | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 5 | Elect H. Leighton Steward | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 6 | Elect Donald F. Textor | Mgmt | For |

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|---------------------|-----|-----------|----------|----|--|---------|---------|
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 7 | Elect William R. Thomas | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 8 | Elect Frank G. Wisner | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 9 | Ratification of Auditor | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 11 | Shareholder Proposal Regarding Hydraulic Fracturing | ShrHldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 5/1/2014 | 12 | Shareholder Proposal Regarding Methane Reduction Targets | ShrHldr | Against |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 1 | Elect Anthony K. Anderson | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 2 | Elect Ann C. Berzin | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 3 | Elect John A. Canning Jr. | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 4 | Elect Christopher M. Crane | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 5 | Elect Yves C. de Balmann | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 6 | Elect Nicholas DeBenedictis | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 7 | Elect Nelson A. Diaz | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 8 | Elect Sue Ling Gin | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 9 | Elect Paul L. Joskow | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 10 | Elect Robert J. Lawless | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 11 | Elect Richard W. Mies | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 12 | Elect William C. Richardson | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 13 | Elect John W. Rogers, Jr. | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 14 | Elect Mayo A. Shattuck III | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 15 | Elect Stephen D. Steinour | Mgmt | For |

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|---------------------------------|------|-----------|-----------|-----|--|---------|---------|
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 16 | Ratification of Auditor | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 18 | Renewal of the Annual Incentive Plan for Senior Executives | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 5/6/2014 | 19 | Shareholder Proposal Regarding Pay Ratio | ShrHldr | Against |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 1 | Elect Gary G. Benanav | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 2 | Election of Directors | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 3 | Elect William J. DeLaney | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 4 | Elect Nicholas J. LaHowchic | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 5 | Elect Thomas P. Mac Mahon | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 6 | Elect Frank Mergenthaler | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 7 | Elect Woodrow A. Myers, Jr. | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 8 | Elect John O. Parker, Jr. | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 9 | Elect George Paz | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 10 | Elect William L. Roper | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 11 | Elect Seymour Sternberg | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/7/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.1 | Elect Michael J. Boskin | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.2 | Elect Peter Brabeck-Letmathe | Mgmt | For |

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|-------------------------|-----|-----------|-----------|------|---|---------|---------|
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.3 | Elect Ursula M. Burns | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.4 | Elect Larry R. Faulkner | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.5 | Elect Jay S. Fishman | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.6 | Elect Henrietta H. Fore | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.7 | Elect Kenneth C. Frazier | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.8 | Elect William W. George | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.9 | Elect Samuel J. Palmisano | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.10 | Elect Steven S. Reinemund | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.11 | Elect Rex W. Tillerson | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 1.12 | Elect William C. Weldon | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 4 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 5 | Shareholder Proposal Regarding Multiple Board Service | ShrHldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 6 | Shareholder Proposal Regarding Adopting Sexual Orientation and Gender Identity Anti-Bias Policy | ShrHldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/28/2014 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| | XOM | 30231G102 | 5/28/2014 | 8 | | ShrHldr | Against |

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| Exxon Mobil Corporation | | | | | | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.1 | Elect Marc L. Andreessen | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.2 | Elect Erskine B. Bowles | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.3 | Elect Susan D. Desmond-Hellmann | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.4 | Elect Donald E. Graham | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.5 | Elect Reed Hastings | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.6 | Elect Sheryl K. Sandberg | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.7 | Elect Peter A. Thiel | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 1.8 | Elect Mark Zuckerberg | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 2 | Ratification of Auditor | Mgmt | For | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 3 | Shareholder Proposal Regarding Recapitalization | ShrHldr | Against | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 4 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 5 | Shareholder Proposal Regarding Incorporation of Values in Political Spending | ShrHldr | Against | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 6 | Shareholder Proposal Regarding Report on Childhood Obesity Risks | ShrHldr | Against | |
| Facebook Inc. | FB | 30303M102 | 5/22/2014 | 7 | Shareholder Proposal Regarding Sustainability Report | ShrHldr | Against | |
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/13/2013 | 1.1 | Elect Thomas M. O'Neill | Mgmt | For | |

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|---|------|-----------|-----------|-----|--|------|--------|
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/13/2013 | 1.2 | Elect John S. Walsh | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt | 1 Year |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 1 | Elect Nicholas K. Akins | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 2 | Elect B. Evan Bayh III | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 3 | Elect Ulysses L. Bridgeman, Jr. | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 4 | Elect Emerson L. Brumback | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 5 | Elect James P. Hackett | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 6 | Elect Gary R. Heminger | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 7 | Elect Jewell D. Hoover | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 8 | Elect Kevin T. Kabat | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 9 | Elect Mitchel D. Livingston | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 10 | Elect Michael B. McCallister | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 11 | Elect Hendrick G. Meijer | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 12 | Elect Marsha C. Williams | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/15/2014 | 14 | 2014 Incentive Compensation Plan | Mgmt | For |
| First Trust Dividend and Income Fund | FAV | 33731L100 | 9/16/2013 | 1 | Approval of a New Sub-Advisory Agreement Between First Trust Dividend & Income Fund and Chartwell Investment | Mgmt | For |

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| | | | | | Partners, L.P. | | |
|--|-------|-----------|-----------|-----|---|------|-----|
| First Trust Dividend and Income Fund | FAV | 33731L100 | 6/10/2014 | 1 | Approval of a New Investment Sub-Advisory Agreement | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEO | 33731L100 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEO | 33731L100 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEO | 33731L100 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEO | 33731L100 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| First Trust Mortgage Income Fund | FMY | 33734E103 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| First Trust Specialty Finance & Financial Opportunities Fund | FGB | 33733G109 | 4/23/2014 | 1.1 | Elect Robert F. Keith | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 1 | Elect Stephen G. Butler | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 2 | Elect Kimberly A. Casiano | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 3 | Elect Anthony F. Earley, Jr. | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 4 | Elect Edsel B. Ford II | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 5 | Elect William C. Ford, Jr. | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 6 | Elect Richard A. Gephardt | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 7 | Elect James P. Hackett | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 8 | Elect James H. Hance, Jr. | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 9 | Elect William W. Helman IV | Mgmt | For |

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| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 10 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 11 | Elect John C. Lechleiter | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 12 | Elect Ellen R. Marram | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 13 | Elect Alan Mulally | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 14 | Elect Homer A. Neal | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 15 | Elect Gerald L. Shaheen | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 16 | Elect John L. Thornton | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 17 | Ratification of Auditor | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 18 | Advisory Vote on Executive Compensation | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 19 | 2014 Stock Plan for Non-Employee Directors | Mgmt | For |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 20 | Shareholder Proposal Regarding Recapitalization | ShrHldr | Against |
| Ford Motor Company | FDMTP | 345370860 | 5/8/2014 | 21 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/12/2014 | 1.1 | Elect Salvatore J. Zizza | Mgmt | For |
| GAMCO Natural Resources, Gold & Income Trust | GGN | 36465A109 | 5/12/2014 | 1.1 | Elect Frank J. Fahrenkopf, Jr. | Mgmt | For |
| GAMCO Natural Resources, Gold & Income Trust | GGN | 36465A109 | 5/12/2014 | 1.2 | Elect Salvatore J. Zizza | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 1 | Elect Domenico De Sole | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 2 | Elect Robert J. Fisher | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 3 | Elect William S. Fisher | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 4 | Elect Isabella D. Goren | Mgmt | For |

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| Gap Inc. | GPS | 364760108 | 5/20/2014 | 5 | Elect Bob L. Martin | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 6 | Elect Jorge P. Montoya | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 7 | Elect Glenn K. Murphy | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 8 | Elect Mayo A. Shattuck III | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 9 | Elect Katherine Tsang | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 10 | Elect Padmasree Warrior | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 11 | Ratification of Auditor | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/20/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/12/2014 | 1.1 | Elect Clarence A. Davis | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/12/2014 | 1.2 | Elect Arthur V. Ferrara | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.1 | Elect Rodney B. Berens | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.2 | Elect Lewis B. Cullman | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.3 | Elect Spencer Davidson | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.4 | Elect Gerald M. Edelman | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.5 | Elect John D. Gordan, III | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.6 | Elect Betsy F. Gotbaum | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.7 | Elect Sidney R. Knafel | Mgmt | For |

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| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.8 | Elect Daniel M. Neidich | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 1.9 | Elect Jeffrey W. Priest | Mgmt | For |
| General American Investors Company, Inc. | GAM | 368802104 | 4/16/2014 | 2 | Ratification of Auditor | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 1 | Elect Mary T. Barra | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 2 | Elect Nicholas D. Chabraja | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 3 | Elect James S. Crown | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 4 | Elect William P. Fricks | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 5 | Elect Paul G. Kaminski | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 6 | Elect John M. Keane | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 7 | Elect Lester L. Lyles | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 8 | Elect James N. Mattis | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 9 | Elect Phebe N. Novakovic | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 10 | Elect William A. Osborn | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 11 | Elect Laura J Schumacher | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 12 | Elect Sir Robert Walmsley | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 13 | Ratification of Auditor | Mgmt | For |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |

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|------------------------------|----|-----------|-----------|----|---|---------|---------|
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| General Dynamics Corporation | GD | 369550108 | 5/7/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 1 | Elect W. Geoffrey Beattie | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 2 | Elect John J. Brennan | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 3 | Elect James I. Cash, Jr. | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 4 | Elect Francisco D'Souza | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 5 | Elect Marijn E. Dekkers | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 6 | Elect Ann M. Fudge | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 7 | Elect Susan Hockfield | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 8 | Elect Jeffrey R. Immelt | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 9 | Elect Andrea Jung | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 10 | Elect Robert W. Lane | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 11 | Elect Rochelle B. Lazarus | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 12 | Elect James J. Mulva | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 13 | Elect James E. Rohr | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 14 | Elect Mary L. Schapiro | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 15 | Elect Robert J. Swieringa | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 16 | Elect James S. Tisch | Mgmt | For |
| | GE | 369604103 | 4/23/2014 | 17 | | Mgmt | For |

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|--------------------------|-----|-----------|-----------|----|---|---------|---------|
| General Electric Company | | | | | Elect Douglas A. Warner III | | |
| General Electric Company | GE | 369604103 | 4/23/2014 | 18 | Advisory Vote on Executive Compensation | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 19 | Ratification of Auditor | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/23/2014 | 20 | Shareholder Proposal Regarding Cumulative Voting | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 21 | Shareholder Proposal Regarding Retention of Shares | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 22 | Shareholder Proposal Regarding Double Board Nominees | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 23 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 24 | Shareholder Proposal Regarding Cessation of All Stock Options and Bonuses | ShrHldr | Against |
| General Electric Company | GE | 369604103 | 4/23/2014 | 25 | Shareholder Proposal Regarding Sale of Company | ShrHldr | Against |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 1 | Elect Bradbury H. Anderson | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 2 | Elect R. Kerry Clark | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 3 | Elect Paul Danos | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 4 | Elect William T. Esrey | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 5 | Elect Raymond V. Gilmartin | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 6 | Elect Judith Richards Hope | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 7 | Elect Heidi G. Miller | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 8 | Elect Hilda Ochoa-Brillembourg | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 9 | Elect Steve Odland | Mgmt | For |

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|--------------------------|-----|-----------|-----------|----|--|---------|---------|
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 10 | Elect Kendall J. Powell | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 11 | Elect Michael D. Rose | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 12 | Elect Robert L. Ryan | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 13 | Elect Dorothy A. Terrell | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 15 | Ratification of Auditor | Mgmt | For |
| General Mills, Inc. | GIS | 370334104 | 9/24/2013 | 16 | Shareholder Proposal Regarding Post-Consumer Product Packaging | ShrHldr | Against |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 1 | Elect Lloyd C. Blankfein | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 2 | Elect M. Michele Burns | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 3 | Elect Gary D. Cohn | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 4 | Elect Claes Dahlbäck | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 5 | Elect William W. George | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 6 | Elect James A. Johnson | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 7 | Elect Lakshmi N. Mittal | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 8 | Elect Adebayo O. Ogunlesi | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 9 | Elect Peter Oppenheimer | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 10 | Elect James J. Schiro | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 11 | Elect Debora L. Spar | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 12 | Elect Mark E. Tucker | Mgmt | For |
| | GS | 38141G104 | 5/16/2014 | 13 | Elect David A. Viniar | Mgmt | For |

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Goldman Sachs Group
Inc.

| | | | | | | | |
|-----------------------------|------|-----------|-----------|------|---|---------|---------|
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/16/2014 | 16 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.1 | Elect Larry Page | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.2 | Elect Sergey Brin | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.3 | Elect Eric E. Schmidt | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.4 | Elect L. John Doerr | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.5 | Elect Diane B. Greene | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.6 | Elect John L. Hennessy | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.7 | Elect Ann Mather | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.8 | Elect Paul S. Otellini | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.9 | Elect K. Ram Shriram | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 1.10 | Elect Shirley M. Tilghman | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 4 | Shareholder Proposal Regarding Recapitalization | ShrHldr | Against |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 5 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 6 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHldr | Against |
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 7 | Shareholder Proposal Regarding Tax Policy Principles | ShrHldr | Against |

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|---|------|-----------|-----------|---|--|---------|---------|
| Google Inc. | GOOG | 38259P508 | 5/14/2014 | 8 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 1 | Amendment of Agreement and Declaration of Trust Regarding Board Size | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 2 | Elect Randall C. Barnes | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 3 | Elect Donald C. Cacciapaglia | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 4 | Elect Donald A. Chubb Jr. | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 5 | Elect Jerry B. Farley | Mgmt | For |
| Guggenheim Equal Weight Enhanced Equity Income Fund | GEQ | 40167M106 | 4/3/2014 | 6 | Elect Maynard F. Oliverius | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 1 | Elect Marc L. Andreessen | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 2 | Elect Shumeet Banerji | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 3 | Elect Robert R. Bennett | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 4 | Elect Rajiv L. Gupta | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 5 | Elect Raymond J. Lane | Mgmt | For |

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|-------------------------|-----|-----------|-----------|----|--|---------|---------|
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 6 | Elect Ann M. Livermore | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 7 | Elect Raymond E. Ozzie | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 8 | Elect Gary M Reiner | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 9 | Elect Patricia F. Russo | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 10 | Elect James A. Skinner | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 11 | Elect Margaret C. Whitman | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 12 | Elect Ralph V. Whitworth | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/19/2014 | 15 | Shareholder Proposal Regarding Formation of a Human Rights Committee | ShrHldr | Against |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 1 | Elect F. Duane Ackerman | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 2 | Elect Francis S. Blake | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 3 | Elect Ari Bousbib | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 4 | Elect Gregory D. Brenneman | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 5 | Elect J. Frank Brown | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 6 | Elect Albert P. Carey | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 7 | Elect Armando M. Codina | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 8 | Elect Helena B. Foulkes | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 9 | Elect Wayne M. Hewett | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 10 | Elect Karen Katen | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|---|---------|---------|
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 11 | Elect Mark Vadon | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| Home Depot, Inc. | HD | 437076102 | 5/22/2014 | 15 | Shareholder Proposal Regarding Employment Diversity Report | ShrHldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 12 | Elect Robin L. Washington | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 1 | Elect Gordon M. Bethune | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 2 | Elect Kevin Burke | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 3 | Elect Jaime Chico Pardo | Mgmt | For |

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|------------------------------|------|-----------|-----------|-----|---|------|-----|
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 4 | Elect David M. Cote | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 5 | Elect D. Scott Davis | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 6 | Elect Linnet F. Deily | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 7 | Elect Judd Gregg | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 8 | Elect Clive Hollick | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 9 | Elect Grace D. Lieblein | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 10 | Elect George Paz | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/28/2014 | 11 | Elect Bradley T. Sheares | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 2/3/2014 | 1 | Elimination of Fundamental Policy Governing Semi-Annual Repurchase Offers | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/9/2014 | 1.1 | Elect Leslie H. Gelb | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/9/2014 | 1.2 | Elect Stephane R. F. Henry | Mgmt | For |
| The India Fund, Inc. | IFN | 454089103 | 6/9/2014 | 1.3 | Elect Luis F. Rubio | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 1 | Elect Charlene Barshefsky | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 2 | Elect Andy D. Bryant | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 3 | Elect Susan L. Decker | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 4 | Elect John J. Donahoe | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 5 | Elect Reed E. Hundt | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 6 | Elect Brian M. Krzanich | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 7 | Elect James D. Plummer | Mgmt | For |

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|---|------|-----------|-----------|----|---|------|-----|
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 8 | Elect David S. Pottruck | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 9 | Elect Frank D. Yearly | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 10 | Elect David B. Yoffie | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 11 | Ratification of Auditor | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/22/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 1 | Elect Alain J. P. Belda | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 2 | Elect William R. Brody | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 3 | Elect Kenneth I. Chenault | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 4 | Elect Michael L. Eskew | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 5 | Elect David N. Farr | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 6 | Elect Shirley A. Jackson | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 7 | Elect Andrew N. Liveris | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 8 | Elect W. James McNerney, Jr. | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 9 | Elect James W. Owens | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 10 | Elect Virginia M. Rometty | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 11 | Elect Joan E. Spero | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 12 | Elect Sidney Taurel | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 13 | Elect Lorenzo H. Zambrano Treviño | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 14 | Ratification of Auditor | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |

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|---|-----|-----------|-----------|----|---|---------|---------|
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 16 | Re-approve Performance Goals of the Company's Long-Term Incentive Awards | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 17 | 2014 Employees Stock Purchase Plan | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 19 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/29/2014 | 20 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| International Paper Company | IP | 460146103 | 5/12/2014 | 1 | Elect David J. Bronczek | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 2 | Elect Ahmet C. Dördüncü | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 3 | Elect John V. Faraci | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 4 | Elect Ilene S. Gordon | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 5 | Elect Jay L. Johnson | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 6 | Elect Stacey J. Mobley | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 7 | Elect Joan E. Spero | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 8 | Elect John L. Townsend, III | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 9 | Elect John F. Turner | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 10 | Elect William G. Walter | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 11 | Elect J. Steven Whisler | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|---------|---------|
| International Paper Company | IP | 460146103 | 5/12/2014 | 12 | Ratification of Auditor | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 13 | Approval of Material Terms of Performance Goals Under the 2009 Incentive Compensation Plan | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/12/2014 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Invesco | VLT | 46132L107 | 8/2/2013 | 1 | Elect R. Craig Kennedy | Mgmt | For |
| Invesco | VLT | 46132L107 | 8/2/2013 | 2 | Elect Colin D. Meadows | Mgmt | For |
| Invesco | VLT | 46132L107 | 8/2/2013 | 3 | Elect Hugo F. Sonnenschein | Mgmt | For |
| Invesco Mun Income Opportunities Trust II | IIM | 46132P108 | 8/8/2013 | 1.1 | Elect Albert R. Dowden | Mgmt | For |
| Invesco Mun Income Opportunities Trust II | IIM | 46132P108 | 8/8/2013 | 1.2 | Elect Hugo F. Sonnenschein | Mgmt | For |
| Invesco Mun Income Opportunities Trust II | IIM | 46132P108 | 8/8/2013 | 1.3 | Elect Raymond Stickel, Jr. | Mgmt | For |
| John Hancock Premium Dividend Fund | PDT | 41013T105 | 2/18/2014 | 1.1 | Elect James R. Boyle | Mgmt | For |
| John Hancock Premium Dividend Fund | PDT | 41013T105 | 2/18/2014 | 1.2 | Elect William H. Cunningham | Mgmt | For |

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|------------------------------------|-----|-----------|-----------|-----|---|---------|---------|
| John Hancock Premium Dividend Fund | PDT | 41013T105 | 2/18/2014 | 1.3 | Elect Grace K. Fey | Mgmt | For |
| John Hancock Premium Dividend Fund | PDT | 41013T105 | 2/18/2014 | 1.4 | Elect Hassell H. McClellan | Mgmt | For |
| John Hancock Premium Dividend Fund | PDT | 41013T105 | 2/18/2014 | 1.5 | Elect Gregory A. Russo | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 1 | Elect Mary Sue Coleman | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 2 | Elect James G. Cullen | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 3 | Elect Ian E.L. Davis | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 4 | Elect Alex Gorsky | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 5 | Elect Susan L. Lindquist | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 6 | Elect Mark B. McClellan | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 7 | Elect Anne M. Mulcahy | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 8 | Elect Leo F. Mullin | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 9 | Elect William D. Perez | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 10 | Elect Charles Prince | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 11 | Elect A. Eugene Washington | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 12 | Elect Ronald A. Williams | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/24/2014 | 15 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 1.1 | Elect Natalie A. Black | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 1.2 | Elect Raymond L. Conner | Mgmt | For |

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|------------------------|-----|-----------|-----------|-----|--|---------|---------|
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 1.3 | Elect William H. Lacy | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 1.4 | Elect Alex A. Molinaroli | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/29/2014 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 1 | Elect Linda B. Bammann | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 2 | Elect James A. Bell | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 3 | Elect Crandall C. Bowles | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 4 | Elect Stephen B. Burke | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 5 | Elect James S. Crown | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 6 | Elect James Dimon | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 7 | Elect Timothy P. Flynn | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 8 | Elect Laban P. Jackson, Jr. | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 9 | Elect Michael A. Neal | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 10 | Elect Lee R. Raymond | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 11 | Elect William C. Weldon | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 13 | Ratification of Auditor | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 15 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/20/2014 | 16 | Shareholder Proposal Regarding Cumulative Voting | ShrHldr | Against |
| Kellogg Company | K | 487836108 | 4/25/2014 | 1.1 | Elect John Bryant | Mgmt | For |

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|-----------------|----|-----------|-----------|-----|---|---------|---------|
| Kellogg Company | K | 487836108 | 4/25/2014 | 1.2 | Elect Stephanie A. Burns | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 1.3 | Elect June Montgomery Tabron | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 1.4 | Elect Rogelio Rebolledo | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 3 | Repeal of Classified Board | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 4 | Ratification of Auditor | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/25/2014 | 5 | Shareholder Proposal Regarding Human Rights Report | ShrHldr | Against |
| Kellogg Company | K | 487836108 | 4/25/2014 | 6 | Shareholder Proposal Regarding Simple Majority Vote | ShrHldr | Against |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 1 | Elect Reuben V. Anderson | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 2 | Elect Robert D. Beyer | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 3 | Elect David B. Dillon | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 4 | Elect Susan J. Kropf | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 5 | Elect David B. Lewis | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 6 | Elect W. Rodney McMullen | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 7 | Elect Jorge P. Montoya | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 8 | Elect Clyde R. Moore | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 9 | Elect Susan M. Phillips | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 10 | Elect Steven R. Rogel | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 11 | Elect James A. Runde | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 12 | Elect Ronald L. Sargent | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 13 | Elect Bobby S. Shackouls | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 14 | | Mgmt | For |

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| | | | | | 2014 Long-Term Incentive and Cash Bonus Plan | | |
|--|-----|-----------|-----------|-----|--|---------|---------|
| Kroger Co. | KR | 501044101 | 6/26/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 16 | Ratification of Auditor | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 17 | Shareholder Proposal Regarding Human Rights Report | ShrHldr | Against |
| Kroger Co. | KR | 501044101 | 6/26/2014 | 18 | Shareholder Proposal Regarding Extended Producer Responsibility | ShrHldr | Against |
| Legg Mason BW Global Income Opportunities Fund Inc | BWG | 52469B100 | 2/28/2014 | 1.1 | Elect Leslie H. Gelb | Mgmt | For |
| Legg Mason BW Global Income Opportunities Fund Inc | BWG | 52469B100 | 2/28/2014 | 1.2 | Elect Kenneth D. Fuller | Mgmt | For |
| Legg Mason BW Global Income Opportunities Fund Inc | BWG | 52469B100 | 2/28/2014 | 1.3 | Elect William R. Hutchinson | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 1 | Elect Michael L. Eskew | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 2 | Elect Karen N. Horn | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 3 | Elect William Kaelin | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 4 | Elect John C. Lechleiter | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 5 | Elect Marschall S. Runge | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 6 | Ratification of Auditor | Mgmt | For |
| Eli Lilly and Company | LLY | 532457108 | 5/5/2014 | 7 | Advisory Vote on Executive Compensation | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/28/2014 | 1.1 | Elect Eileen A. Kamerick | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/28/2014 | 1.2 | Elect Riordan Roett | Mgmt | For |

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|---------------------------------|-----|-----------|-----------|-----|-------------------------------|------|-----|
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/28/2014 | 1.3 | Elect Jeswald W. Salacuse | Mgmt | For |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 3/28/2014 | 1.4 | Elect Kenneth D. Fuller | Mgmt | For |
| LMP Real Estate Income Fund Inc | RIT | 50208C108 | 4/25/2014 | 1.1 | Elect Daniel P. Cronin | Mgmt | For |
| LMP Real Estate Income Fund Inc | RIT | 50208C108 | 4/25/2014 | 1.2 | Elect Paolo M. Cucchi | Mgmt | For |
| LMP Real Estate Income Fund Inc | RIT | 50208C108 | 4/25/2014 | 1.3 | Elect Riordan Roett | Mgmt | For |
| LMP Real Estate Income Fund Inc | RIT | 50208C108 | 4/25/2014 | 1.4 | Elect Kenneth D. Fuller | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 1 | Elect Daniel F. Akerson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 2 | Elect Nolan D. Archibald | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 3 | Elect Rosalind G. Brewer | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 4 | Elect David B. Burritt | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 5 | Elect James O. Ellis, Jr. | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 6 | Elect Thomas J. Falk | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 7 | Elect Marillyn A. Hewson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 8 | Elect Gwendolyn S. King | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 9 | Elect James M. Loy | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 10 | Elect Douglas H. McCorkindale | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 11 | Elect Joseph W. Ralston | Mgmt | For |

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|-----------------------------|-----|-----------|-----------|----|---|---------|---------|
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 12 | Elect Anne L. Stevens | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 15 | Amendment to the 2011 Incentive Performance Award Plan | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 17 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Lockheed Martin Corporation | LMT | 539830109 | 4/24/2014 | 18 | Shareholder Proposal Regarding Recoupment of Unearned Bonuses | ShrHldr | Against |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 1 | Elect Stephen F. Bollenbach | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 2 | Elect Deirdre P. Connelly | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 3 | Elect Meyer Feldberg | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 4 | Elect Sara L. Levinson | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 5 | Elect Terry J. Lundgren | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 6 | Elect Joseph Neubauer | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 7 | Elect Joyce M. Roché | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 8 | Elect Paul C. Varga | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 9 | Elect Craig E. Weatherup | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 10 | Elect Marna C. Whittington | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 11 | Ratification of Auditor | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 12 | | Mgmt | For |

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| | | | | | Advisory Vote on Executive Compensation | | |
|---------------------------------------|-----|-----------|-----------|-----|--|---------|---------|
| Macy's Inc. | M | 55616P104 | 5/16/2014 | 13 | Amendment to the Omnibus Incentive Compensation Plan | Mgmt | For |
| Madison Strategic Sector Premium Fund | MSP | 558268108 | 7/31/2013 | 1.1 | Elect Katherine L. Frank | Mgmt | For |
| Madison Strategic Sector Premium Fund | MSP | 558268108 | 7/31/2013 | 1.2 | Elect James R. Imhoff, Jr. | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 7 | Elect Michael E.J. Phelps | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 8 | Elect Dennis H. Reilley | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 9 | Elect Lee M. Tillman | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 10 | Ratification of Auditor | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 12 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 13 | Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Targets | ShrHldr | Against |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 1 | Elect Gregory H. Boyce | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 2 | Elect Pierre R. Brondeau | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 3 | Elect Linda Z. Cook | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 4 | Elect Chadwick C. Deaton | Mgmt | For |
| Marathon Oil Corporation | MRO | 565849106 | 4/30/2014 | 5 | Elect Shirley A. Jackson | Mgmt | For |
| | MRO | 565849106 | 4/30/2014 | 6 | Elect Philip Lader | Mgmt | For |

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Marathon Oil Corporation

| | | | | | | | |
|----------------------------------|-----|-----------|-----------|----|---|------|-----|
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 1 | Elect Óscar Fajul Martín | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 2 | Elect Daniel S. Glaser | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 3 | Elect H. Edward Hanway | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 4 | Elect Ian Lang | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 5 | Elect Elaine La Roche | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 6 | Elect Steven A. Mills | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 7 | Elect Bruce P. Nolop | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 8 | Elect Marc D. Oken | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 9 | Elect Morton O. Schapiro | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 10 | Elect Adele S. Simmons | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 11 | Elect Lloyd M. Yates | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 12 | Elect R. David Yost | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/15/2014 | 14 | Ratification of Auditor | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 1 | Elect Susan E. Arnold | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 2 | Elect Richard H. Lenny | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 3 | Elect Walter E. Massey | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 4 | Elect Cary D. McMillan | Mgmt | For |

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|------------------------|-----|-----------|-----------|----|---|---------|---------|
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 5 | Elect Sheila A. Penrose | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 6 | Elect John W. Rogers, Jr. | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 7 | Elect Roger W. Stone | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 8 | Elect Miles D. White | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 9 | Advisory Vote on Executive Compensation | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 10 | Reapproval of Material Terms Under the 2009 Cash Incentive Plan | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 11 | Ratification of Auditor | Mgmt | For |
| McDonald's Corporation | MCD | 580135101 | 5/22/2014 | 12 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 1 | Elect Andy D. Bryant | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 2 | Elect Wayne A. Budd | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 3 | Elect John H. Hammergren | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 4 | Elect Alton F. Irby III | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 5 | Elect M. Christine Jacobs | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 6 | Elect Marie L. Knowles | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 7 | Elect David M. Lawrence | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 8 | Elect Edward A. Mueller | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 9 | Elect Jane E. Shaw | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 10 | Ratification of Auditor | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |

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|----------------------|-----|-----------|-----------|----|---|---------|---------|
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 12 | 2013 Stock Plan | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 13 | Amendment to the 2000 Employee Stock Purchase Plan | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 14 | Restoration of Right to Call a Special Meeting | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 17 | Shareholder Proposal Regarding Retention of Shares | ShrHldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/31/2013 | 18 | Shareholder Proposal Regarding Recoupment Report | ShrHldr | Against |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 1 | Elect Leslie A. Brun | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 2 | Elect Thomas R. Cech | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 3 | Elect Kenneth C. Frazier | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 4 | Elect Thomas H. Glocer | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 5 | Elect William B. Harrison, Jr. | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 6 | Elect C. Robert Kidder | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 7 | Elect Rochelle B. Lazarus | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 8 | Elect Carlos E. Represas | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 9 | Elect Patricia F. Russo | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 10 | Elect Craig B. Thompson | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 11 | Elect Wendell P. Weeks | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 12 | Elect Peter C. Wendell | Mgmt | For |

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|-------------------|-----|-----------|-----------|----|--|---------|---------|
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/27/2014 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 1 | Elect Cheryl W. Gris  | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 2 | Elect Carlos M. Gutierrez | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 3 | Elect R. Glenn Hubbard | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 4 | Elect Steven A. Kandarian | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 5 | Elect John M. Keane | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 6 | Elect Alfred F. Kelly, Jr. | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 7 | Elect William E. Kennard | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 8 | Elect James M. Kilts | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 9 | Elect Catherine R. Kinney | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 10 | Elect Denise M. Morrison | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 11 | Elect Kenton J. Sicchitano | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 12 | Elect Lulu C. Wang | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 13 | Ratification of Auditor | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 15 | 2015 Stock and Incentive Compensation Plan | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/22/2014 | 16 | | Mgmt | For |

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Non-Management
Director Stock
Compensation Plan

| | | | | | | | |
|-----------------------|------|-----------|------------|----|--|------|-----|
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 1 | Elect Steven A. Ballmer | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 2 | Elect Dina Dublon | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 3 | Elect William H. Gates III | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 4 | Elect Maria Klawe | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 5 | Elect Stephen J. Luczo | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 6 | Elect David F. Marquardt | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 7 | Elect Charles H. Noski | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 8 | Elect Helmut G. W. Panke | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 9 | Elect John W. Thompson | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 10 | Approval of the Material Terms of the Executive Officer Incentive Plan | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Microsoft Corporation | MSFT | 594918104 | 11/19/2013 | 12 | Ratification of Auditor | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 1 | Elect Erskine B. Bowles | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 2 | Elect Howard J. Davies | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 3 | Elect Thomas H. Glocer | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 4 | Elect James P. Gorman | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 5 | Elect Robert H. Herz | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 6 | Elect C. Robert Kidder | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 7 | Elect Klaus Kleinfield | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 8 | Elect Donald T. Nicolaisen | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|---------|---------|
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 9 | Elect Hutham S. Olayan | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 10 | Elect James W. Owens | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 11 | Elect O. Griffith Sexton | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 12 | Elect Ryosuke Tamakoshi | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 13 | Elect Masaaki Tanaka | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 14 | Elect Laura D'Andrea Tyson | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 15 | Elect Rayford Wilkins, Jr. | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 16 | Ratification of Auditor | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Morgan Stanley | MPQ | 617446448 | 5/13/2014 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 8/22/2013 | 1.1 | Elect Jack L. Rivkin | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 8/22/2013 | 1.2 | Elect Tom D. Seip | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 8/22/2013 | 1.1 | Elect Jack L. Rivkin | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 8/22/2013 | 1.2 | Elect Tom D. Seip | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 1 | Elect Bruce R. Brook | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 2 | Elect J. Kofi Bucknor | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 3 | Elect Vincent A. Calarco | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 4 | Elect Joseph A. Carrabba | Mgmt | For |
| | NEM | 651639106 | 4/23/2014 | 5 | Elect Noreen Doyle | Mgmt | For |

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|------------------------------|-----|-----------|-----------|-----|--|---------|---------|--|
| Newmont Mining Corporation | | | | | | | | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 6 | Elect Gary J. Goldberg | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 7 | Elect Veronica M. Hagen | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 8 | Elect Jane Nelson | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 9 | Elect Donald C. Roth | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 10 | Ratification of Auditor | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Newmont Mining Corporation | NEM | 651639106 | 4/23/2014 | 12 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 1.1 | Elect Alan B. Graf, Jr. | Mgmt | For | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 1.2 | Elect John C. Lechleiter | Mgmt | For | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 1.3 | Elect Phyllis M. Wise | Mgmt | For | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 2 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 3 | Ratification of Auditor | Mgmt | For | |
| Nike Inc. | NKE | 654106103 | 9/19/2013 | 4 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 1 | Elect Thomas D. Bell, Jr. | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 2 | Elect Erskine B. Bowles | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 3 | Elect Robert A. Bradway | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 4 | Elect Wesley G. Bush | Mgmt | For | |
| | NSC | 655844108 | 5/8/2014 | 5 | Elect Daniel A. Carp | Mgmt | For | |

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|--|-----|-----------|----------|-----|---|---------|---------|--|
| Norfolk Southern Corporation | | | | | | | | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 6 | Elect Karen N. Horn | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 7 | Elect Steven F. Leer | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 8 | Elect Michael D. Lockhart | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 9 | Elect Amy E. Miles | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 10 | Elect Charles W. Moorman, IV | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 11 | Elect Martin H. Nesbitt | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 12 | Elect James A Squires | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 13 | Elect John R. Thompson | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 14 | Ratification of Auditor | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Norfolk Southern Corporation | NSC | 655844108 | 5/8/2014 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against | |
| Nuveen AMT-Free Municipal Income Fund | NEA | 670657105 | 8/7/2013 | 1.1 | Elect Judith M. Stockdale | Mgmt | For | |
| Nuveen AMT-Free Municipal Income Fund | NEA | 670657105 | 8/7/2013 | 1.2 | Elect Carole E. Stone | Mgmt | For | |
| Nuveen AMT-Free Municipal Income Fund | NEA | 670657105 | 8/7/2013 | 1.3 | Elect Virginia L. Stringer | Mgmt | For | |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/7/2013 | 1.1 | Elect Judith M. Stockdale | Mgmt | For | |
| Nuveen Dividend Advantage Municipal | NXZ | 67070F100 | 8/7/2013 | 1.2 | Elect Carole E. Stone | Mgmt | For | |

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Fund

| | | | | | | | |
|---|-----|-----------|----------|-----|----------------------------|------|-----|
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/7/2013 | 1.3 | Elect Virginia L. Stringer | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070F100 | 8/7/2013 | 1.1 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070F100 | 8/7/2013 | 1.2 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070F100 | 8/7/2013 | 1.3 | Elect Virginia L. Stringer | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/7/2013 | 1.1 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/7/2013 | 1.2 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/7/2013 | 1.3 | Elect Virginia L. Stringer | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.1 | Elect John P. Amboian | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.2 | Elect Robert P. Bremner | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.3 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.4 | Elect David J. Kundert | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.5 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.6 | Elect Carole E. Stone | Mgmt | For |
| | NPF | 670977107 | 8/7/2013 | 1.7 | | Mgmt | For |

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|--|-----|-----------|-----------|-----|-------------------------------|------|-----|
| Nuveen Quality Income Municipal Fund | | | | | Elect Virginia L. Stringer | | |
| Nuveen Quality Income Municipal Fund | NPF | 670977107 | 8/7/2013 | 1.8 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 4/11/2014 | 1.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 4/11/2014 | 1.2 | Elect David J. Kundert | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 4/11/2014 | 1.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 4/11/2014 | 1.4 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | NBD | 67074Q102 | 8/7/2013 | 1.1 | Elect William C. Hunter | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | NBD | 67074Q102 | 8/7/2013 | 1.2 | Elect Judith M. Stockdale | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | NBD | 67074Q102 | 8/7/2013 | 1.3 | Elect Carole E. Stone | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | NBD | 67074Q102 | 8/7/2013 | 1.4 | Elect Virginia L. Stringer | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 1 | Elect John D. Wren | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 2 | Elect Bruce Crawford | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 3 | Elect Alan R. Batkin | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 4 | Elect Mary C. Choksi | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 5 | Elect Robert C. Clark | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 6 | Elect Leonard S. Coleman, Jr. | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 7 | Elect Errol M. Cook | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 8 | Elect Susan S. Denison | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 9 | | Mgmt | For |

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|--------------------|------|-----------|------------|------|--|---------|---------|
| | | | | | Elect Michael A. Henning | | |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 10 | Elect John R. Murphy | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 11 | Elect John R. Purcell | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 12 | Elect Linda Johnson Rice | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 13 | Elect Gary L. Roubos | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Omnicom Group Inc. | OMC | 681919106 | 5/20/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.1 | Elect Jeffrey S. Berg | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.2 | Elect Raymond Bingham | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.3 | Elect Michael J. Boskin | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.4 | Elect Safra A. Catz | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.5 | Elect Bruce R. Chizen | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.6 | Elect George H. Conrades | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.7 | Elect Lawrence J. Ellison | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.8 | Elect Hector Garcia-Molina | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.9 | Elect Jeffrey O. Henley | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.10 | Elect Mark V. Hurd | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 1.11 | Elect Naomi O. Seligman | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 3 | Amendment to the 2000 Long-Term Incentive Plan | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 4 | Ratification of Auditor | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 5 | Shareholder Proposal Regarding Human | ShrHldr | Against |

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| | | | | | | Rights Committee | |
|--------------------|------|-----------|------------|----|--|------------------|---------|
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 6 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 7 | Shareholder Proposal Regarding Counting Abstentions | ShrHldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 8 | Shareholder Proposal Regarding the Use of Multiple Metrics for Executive Performance Measures | ShrHldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 10/31/2013 | 9 | Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | ShrHldr | Against |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 1 | Elect Shona L. Brown | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 2 | Elect George W. Buckley | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 3 | Elect Ian M. Cook | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 4 | Elect Dina Dublon | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 5 | Elect Rona A. Fairhead | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 6 | Elect Ray L. Hunt | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 7 | Elect Alberto Ibarguen | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 8 | Elect Indra K. Nooyi | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 9 | Elect Sharon Percy Rockefeller | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 10 | Elect James J. Schiro | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 11 | Elect Lloyd G. Trotter | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 12 | Elect Daniel Vasella | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 13 | Elect Alberto Weisser | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 14 | Ratification of Auditor | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 15 | Advisory Vote on Executive | Mgmt | For |

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| | | | | | Compensation | | |
|-----------------------------------|-----|-----------|-----------|-----|---|---------|---------|
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 16 | Approval of Executive Incentive Compensation Plan | Mgmt | For |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 17 | Shareholder Proposal Regarding Approval of Political Contributions Policy | ShrHldr | Against |
| PepsiCo, Inc. | PEP | 713448108 | 5/7/2014 | 18 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.1 | Elect Enrique R. Arzac | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.2 | Elect Phyllis O. Bonanno | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.3 | Elect Kenneth J. Dale | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.4 | Elect Frederic A. Escherich | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.5 | Elect Roger W. Gale | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.6 | Elect Kathleen T. McGahran | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.7 | Elect Craig R. Smith | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 1.8 | Elect Mark E. Stoeckle | Mgmt | For |
| Petroleum & Resources Corporation | PEO | 716549100 | 4/10/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 1 | Elect Dennis A. Ausiello | Mgmt | For |

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|-------------|-----|-----------|-----------|----|---|---------|---------|
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 2 | Elect W. Don Cornwell | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 3 | Elect Frances D. Fergusson | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 4 | Elect Helen H. Hobbs | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 5 | Elect Constance J. Horner | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 6 | Elect James M. Kilts | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 7 | Elect George A. Lorch | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 8 | Elect Shantanu Narayen | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 9 | Elect Suzanne Nora Johnson | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 10 | Elect Ian C. Read | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 11 | Elect Stephen W. Sanger | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 12 | Elect Marc Tessier-Lavigne | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 15 | 2014 Stock Plan | Mgmt | For |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 16 | Shareholder Proposal Regarding Approval of Political Contributions Policy | ShrHldr | Against |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Pfizer Inc. | PFE | 717081103 | 4/24/2014 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 1 | Elect William R. Loomis, Jr. | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 2 | Elect Glenn F. Tilton | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 3 | Elect Marna C. Whittington | Mgmt | For |

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|-----------------------------------|-----|-----------|-----------|----|--|---------|---------|
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 4 | Ratification of Auditor | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/7/2014 | 6 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHldr | Against |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 1 | Elect Richard O. Berndt | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 2 | Elect Charles E. Bunch | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 3 | Elect Paul W. Chellgren | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 4 | Elect William S. Demchak | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 5 | Elect Andrew T. Feldstein | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 6 | Elect Kay Coles James | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 7 | Elect Richard B. Kelson | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 8 | Elect Anthony A. Massaro | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 9 | Elect Jane G. Pepper | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 10 | Elect Donald J. Shepard | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 11 | Elect Lorene K. Steffes | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 12 | Elect Dennis F. Strigl | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 13 | Elect Thomas J. Usher | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 14 | Elect George H. Walls, Jr. | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 15 | Elect Helge H. Wehmeier | Mgmt | For |
| | PNC | 693475105 | 4/22/2014 | 16 | Ratification of Auditor | Mgmt | For |

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PNC Financial Services
Group Inc.

| | | | | | | | |
|--------------------------------------|-----|-----------|-----------|-----|---|---------|---------|
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/22/2014 | 18 | Shareholder Proposal Regarding Lending Portfolio Exposure To Climate Change Risk | ShrHldr | Against |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 1.1 | Elect Stephen F. Angel | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 1.2 | Elect Hugh Grant | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 1.3 | Elect Michele J. Hooper | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 3 | Elimination of Supermajority Requirement | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 4 | Ratification of Auditor | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/17/2014 | 5 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 1 | Elect Frederick M. Bernthal | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 2 | Elect John W. Conway | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 3 | Elect Philip G. Cox | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 4 | Elect Steven G. Elliott | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 5 | Elect Louise K. Goeser | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 6 | Elect Stuart E. Graham | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 7 | Elect Stuart Heydt | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 8 | Elect Raja Rajamannar | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 9 | Elect Craig A. Rogerson | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 10 | | Mgmt | For |

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|-----------------|-----|-----------|-----------|----|--|-------------------------|---------|--|
| | | | | | | Elect William H. Spence | | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 11 | Elect Natica von Althann | Mgmt | For | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 12 | Elect Keith H. Williamson | Mgmt | For | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 14 | Ratification of Auditor | Mgmt | For | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 15 | Shareholder Proposal Regarding Report on Political Spending | ShrHldr | Against | |
| PPL Corporation | PPL | 69351T106 | 5/21/2014 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 1 | Elect Stephen F. Angel | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 2 | Elect Oscar de Paula Bernardes Neto | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 3 | Elect Nance K. Dicciani | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 4 | Elect Edward G. Galante | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 5 | Elect Claire W. Gargalli | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 6 | Elect Ira D. Hall | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 7 | Elect Raymond W. LeBoeuf | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 8 | Elect Larry D. McVay | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 9 | Elect Denise L. Ramos | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 10 | Elect Wayne T. Smith | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 11 | Elect Robert L. Wood | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 13 | Amendment and Restatement of the 2009 Long Term | Mgmt | For | |

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| | | | | | Incentive Plan | | |
|---------------------------|------|-----------|-----------|-----|---|------|-----|
| Praxair, Inc. | PX | 74005P104 | 4/22/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 1.1 | Elect Mark Donegan | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 1.2 | Elect Daniel J. Murphy | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 1.3 | Elect Vernon E. Oechsle | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 1.4 | Elect Rick Schmidt | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 1.5 | Elect Richard L. Wambold | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 2 | Ratification of Auditor | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 4 | Amendment to the 2001 Stock Incentive Plan | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/13/2013 | 5 | Adoption of Majority Vote for Election of Directors | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 1 | Elect Mark S. Bartlett | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 2 | Elect Edward C. Bernard | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 3 | Elect Mary K. Bush | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 4 | Elect Donald B. Hebb, Jr. | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 5 | Elect Freeman A. Hrabowski, III | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 6 | Elect James A.C. Kennedy | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 7 | Elect Robert F. MacLellan | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 8 | Elect Brian C. Rogers | Mgmt | For |

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|---------------------------------|------|-----------|-----------|----|---|------|-----|
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 9 | Elect Olympia J. Snowe | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 10 | Elect Alfred Sommer | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 11 | Elect Dwight S. Taylor | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 12 | Elect Anne Marie Whittemore | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| T. Rowe Price Group, Inc. | TROW | 74144T108 | 4/24/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 1 | Elect Betsy J. Bernard | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 2 | Elect Jocelyn E. Carter-Miller | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 3 | Elect Gary E. Costley | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 4 | Elect Dennis H. Ferro | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 5 | 2014 Director's Stock Plan | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 6 | 2014 Stock Incentive Plan | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 7 | Advisory Vote on Executive Compensation | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/20/2014 | 8 | Ratification of Auditor | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 1 | Elect Thomas J. Baltimore, Jr. | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 2 | Elect Gordon M. Bethune | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 3 | Elect Gilbert F. Casellas | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 4 | Elect James G. Cullen | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 5 | Elect Mark B. Grier | Mgmt | For |

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|--|-----|-----------|-----------|----|---|---------|---------|
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 6 | Elect Constance J. Horner | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 7 | Elect Martina Hund-Mejean | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 8 | Elect Karl J. Krapek | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 9 | Elect Christine A. Poon | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 10 | Elect Douglas A. Scovanner | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 11 | Elect John R. Strangfeld | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 12 | Elect James A. Unruh | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/13/2014 | 15 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 1 | Elect Albert R. Gamper, Jr. | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 2 | Elect William V. Hickey | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 3 | Elect Ralph Izzo | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 4 | Elect Shirley A. Jackson | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 5 | Elect David Lilley | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 6 | Elect Thomas A. Renyi | Mgmt | For |

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|--|-----|-----------|-----------|-----|---|------|-----|
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 7 | Elect Hak Cheol Shin | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 8 | Elect Richard J. Swift | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 9 | Elect Susan Tomasky | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 10 | Elect Alfred W. Zollar | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 12 | Elimination of Supermajority Requirements for Business Combinations | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 13 | Elimination of Supermajority Requirements for Director Removal | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 14 | Elimination of Supermajority Requirements for Bylaw Amendments | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/15/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Putnam Premier Income Trust | PPT | 746853100 | 2/27/2014 | 1 | Approval of New Management Contract for Fund | Mgmt | For |
| Reaves Utility Income Fund | UTG | 756158101 | 5/2/2014 | 1.1 | Elect Mary K. Anstine | Mgmt | For |
| Reaves Utility Income Fund | UTG | 756158101 | 5/2/2014 | 1.2 | Elect Michael F. Holland | Mgmt | For |
| | | | 4/23/2014 | 1 | | Mgmt | For |

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|---|-----|-----------|-----|---|-----------------------------|-----|--|
| RMR Real Estate Income Fund | | | | | Elect John L. Harrington | | |
| Royce Micro-Cap Trust Inc | RMT | 9/25/2013 | 1.1 | Elect W. Whitney George | Mgmt | For | |
| Royce Micro-Cap Trust Inc | RMT | 9/25/2013 | 1.2 | Elect Arthur S. Mehlman | Mgmt | For | |
| Royce Micro-Cap Trust Inc | RMT | 9/25/2013 | 1.3 | Elect Patricia W. Chadwick | Mgmt | For | |
| Royce Micro-Cap Trust Inc | RMT | 9/25/2013 | 1.4 | Elect David L. Meister | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 4 | Elect Maureen Kempston Darkes | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 5 | Elect Paal Kibsgaard | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 6 | Elect Nikolay Kudryavtsev | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 7 | Elect Michael E. Marks | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 8 | Elect Lubna Olayan | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 9 | Elect Leo Rafael Reif | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 10 | Elect Tore I. Sandvold | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 11 | Elect Henri Seydoux | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 13 | Approval of Financial Statements/Dividends | Mgmt | For | |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 14 | Appointment of Auditor | Mgmt | For | |

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|---|-----|-----------|----|--|---------|---------|
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 1 | Elect Peter L.S. Currie | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 2 | Elect Tony Isaac | Mgmt | For |
| Schlumberger N.V. (Schlumberger Limited) | SLB | 4/9/2014 | 3 | Elect K.V. Kamath | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 1 | Elect Juanita Powell Baranco | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 2 | Elect Jon A. Boscia | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 3 | Elect Henry A. Clark III | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 4 | Elect Thomas A. Fanning | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 5 | Elect David J. Grain | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 6 | Elect Veronica M. Hagen | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 7 | Elect Warren A. Hood, Jr. | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 8 | Elect Linda P. Hudson | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 9 | Elect Donald M. James | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 10 | Elect Dale E. Klein | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 11 | Elect William G. Smith, Jr. | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 12 | Elect Steven R. Specker | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 13 | Elect E. Jenner Wood III | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Southern Company | SO | 5/28/2014 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 1 | Elect Richard R. Devenuti | Mgmt | For |

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|------------------------|------|-----------|----|--|---------|---------|
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 2 | Elect Stefan K. Widensohler | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 3 | Elect Wendy L. Yarno | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 5 | Management Incentive Compensation Plan | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 6 | Repeal of Classified Board | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 5/1/2014 | 7 | Ratification of Auditor | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 1 | Elect Howard Schultz | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 2 | Elect William W. Bradley | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 3 | Elect Robert M. Gates | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 4 | Elect Mellody Hobson | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 5 | Elect Kevin R. Johnson | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 6 | Elect Olden Lee | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 7 | Elect Joshua C. Ramo | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 8 | Elect James G. Shennan, Jr. | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 9 | Elect Clara Shih | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 10 | Elect Javier G. Teruel | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 11 | Elect Myron E. Ullman III | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 12 | Elect Craig E. Weatherup | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Starbucks Corporation | SBUX | 3/19/2014 | 15 | Shareholder Proposal Regarding Prohibition of Political Spending | ShrHldr | Against |
| Starbucks Corporation | SBUX | 3/19/2014 | 16 | Shareholder Proposal Regarding Independent Board | ShrHldr | Against |

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Chairman

| | | | | | | |
|---|-----|-----------|-----|---|------|-----|
| State Street Corporation | STT | 5/14/2014 | 1 | Elect Jose E. Almeida | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 2 | Elect Kennett F. Burnes | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 3 | Elect Peter Coym | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 4 | Elect Patrick de Saint-Aignan | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 5 | Elect Amelia C. Fawcett | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 6 | Elect Linda A. Hill | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 7 | Elect Joseph L. Hooley | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 8 | Elect Robert S. Kaplan | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 9 | Elect Richard P. Sergel | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 10 | Elect Ronald L. Skates | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 11 | Elect Gregory L. Summe | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 12 | Elect Thomas J. Wilson | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| State Street Corporation | STT | 5/14/2014 | 14 | Ratification of Auditor | Mgmt | For |
| Stone Harbor Emerging Markets Income Fund | EDF | 3/6/2014 | 1.1 | Elect Patrick Sheehan | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 1 | Elect Robert M. Beall II | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 2 | Elect David H. Hughes | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 3 | Elect M. Douglas Ivester | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 4 | Elect Kyle P. Legg | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 5 | Elect William A. Linnenbringer | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 6 | Elect Donna S. Morea | Mgmt | For |

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|-----------------------|-----|-----------|-----|--|------|-----|
| Suntrust Banks, Inc. | STI | 4/22/2014 | 7 | Elect David M. Ratcliffe | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 8 | Elect William H. Rogers, Jr. | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 9 | Elect Frank W. Scruggs | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 10 | Elect Thomas R. Watjen | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 11 | Elect Phail Wynn, Jr. | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 13 | Approval of Amendment to the SunTrust Banks, Inc. 2009 Stock Plan | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 14 | Approval of the Material Terms of the SunTrust Banks Inc. 2009 Stock Plan | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 15 | Approval of Material Terms of the SunTrust Banks, Inc. Annual Incentive Plan | Mgmt | For |
| Suntrust Banks, Inc. | STI | 4/22/2014 | 16 | Ratification of Auditor | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 1.1 | Elect Joe O. Rogers | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 1.2 | Elect M. Christopher Canavan, Jr. | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 1.3 | Elect Michael F. Holland | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 1.4 | Elect William C. Kirby | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 1.5 | Elect Anthony Kai Yiu Lo | Mgmt | For |
| The Taiwan Fund, Inc. | TWN | 4/22/2014 | 2 | Approval of a New | Mgmt | For |

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| | | | | Investment Advisory Agreement | | |
|--|-----|-----------|-----|----------------------------------|------|-----|
| Templeton Russia and East European Fund Inc | TRF | 8/23/2013 | 1.1 | Elect Edith E. Holiday | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 8/23/2013 | 1.2 | Elect Frank A. Olson | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 8/23/2013 | 1.3 | Elect Robert E. Wade | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 8/23/2013 | 1.4 | Elect Rupert H. Johnson, Jr. | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 8/23/2013 | 2 | Ratification of Auditor | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 1 | Elect Marc N. Casper | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 2 | Elect Nelson J. Chai | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 3 | Elect C. Martin Harris | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 4 | Elect Tyler Jacks | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 5 | Elect Judy C. Lewent | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 6 | Elect Thomas J. Lynch | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 7 | Elect Jim P. Manzi | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 8 | Elect William G. Parrett | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 9 | Elect Lars R. Sorenson | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 10 | Elect Scott M. Sperling | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 11 | Elect Elaine S. Ullian | Mgmt | For |
| | TMO | 5/20/2014 | 12 | | Mgmt | For |

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| Thermo Fisher Scientific Inc. | | | | | Advisory Vote on Executive Compensation | | |
|-------------------------------|-----|-----------|----|--|---|---------|--|
| Thermo Fisher Scientific Inc. | TMO | 5/20/2014 | 13 | Ratification of Auditor | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 1 | Elect Carole Black | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 2 | Elect Glenn A. Britt | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 3 | Elect Thomas H. Castro | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 4 | Elect David C. Chang | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 5 | Elect James E. Copeland, Jr. | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 6 | Elect Peter R. Haje | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 7 | Elect Donna A. James | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 8 | Elect Don Logan | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 9 | Elect Robert D. Marcus | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 10 | Elect N.J. Nicholas, Jr. | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 11 | Elect Wayne H. Pace | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 12 | Elect Edward D. Shirley | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 13 | Elect John E. Sununu | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 14 | Ratification of Auditor | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Time Warner Cable, Inc. | TWC | 6/5/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against | |
| | TWC | 6/5/2014 | 17 | | ShrHldr | Against | |

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|-------------------------|-----|-----------|----|---|---|---------|--|
| Time Warner Cable, Inc. | | | | | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | | |
| Time Warner Inc. | TWX | 6/13/2014 | 1 | Elect James L. Barksdale | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 2 | Elect William P. Barr | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 3 | Elect Jeffrey L. Bewkes | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 4 | Elect Stephen F. Bollenbach | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 5 | Elect Robert C. Clark | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 6 | Elect Mathias Döpfner | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 7 | Elect Jessica P. Einhorn | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 8 | Elect Carlos M. Gutierrez | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 9 | Elect Fred Hassan | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 10 | Elect Kenneth J. Novack | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 11 | Elect Paul D. Wachter | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 12 | Elect Deborah C. Wright | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 13 | Ratification of Auditor | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Time Warner Inc. | TWX | 6/13/2014 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against | |
| TJX Companies, Inc. | TJX | 6/10/2014 | 1 | Elect Zein Abdalla | Mgmt | For | |
| TJX Companies, Inc. | TJX | 6/10/2014 | 2 | Elect José B. Alvarez | Mgmt | For | |
| TJX Companies, Inc. | TJX | 6/10/2014 | 3 | Elect Alan M. Bennett | Mgmt | For | |
| TJX Companies, Inc. | TJX | 6/10/2014 | 4 | Elect Bernard Cammarata | Mgmt | For | |
| TJX Companies, Inc. | TJX | 6/10/2014 | 5 | Elect David T. Ching | Mgmt | For | |

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|-------------------------------------|------|------------|-----|---|------|-----|
| TJX Companies, Inc. | TJX | 6/10/2014 | 6 | Elect Michael F. Hines | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 7 | Elect Amy B. Lane | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 8 | Elect Carol Meyrowitz | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 9 | Elect John F. O'Brien | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 10 | Elect Willow B. Shire | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 11 | Ratification of Auditor | Mgmt | For |
| TJX Companies, Inc. | TJX | 6/10/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Transamerica Income Shares, Inc. | TAI | 7/11/2013 | 1.1 | Elect Leo J. Hill | Mgmt | For |
| Transamerica Income Shares, Inc. | TAI | 7/11/2013 | 1.2 | Elect Eugene M. Mannella | Mgmt | For |
| Transamerica Income Shares, Inc. | TAI | 7/11/2013 | 1.3 | Elect Patricia L. Sawyer | Mgmt | For |
| Transamerica Income Shares, Inc. | TAI | 7/11/2013 | 1.4 | Elect Alan F. Warrick | Mgmt | For |
| Tri-Continental Corporation | TY | 4/11/2014 | 1.1 | Elect William P. Carmichael | Mgmt | For |
| Tri-Continental Corporation | TY | 4/11/2014 | 1.2 | Elect Patricia M. Flynn | Mgmt | For |
| Tri-Continental Corporation | TY | 4/11/2014 | 1.3 | Elect Stephen R. Lewis, Jr. | Mgmt | For |
| Tri-Continental Corporation | TY | 4/11/2014 | 1.4 | Elect Catherine James Paglia | Mgmt | For |
| Tri-Continental Corporation | TY | 4/11/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 1 | Elect K. Rupert Murdoch | Mgmt | For |

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|-------------------------------|------|------------|----|---|---------|---------|
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 2 | Elect Delphine Arnault | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 3 | Elect James W. Breyer | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 4 | Elect Chase Carey | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 5 | Elect David F. DeVoe | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 6 | Elect Viet D. Dinh | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 7 | Elect Roderick I. Eddington | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 8 | Elect James Murdoch | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 9 | Elect Lachlan K. Murdoch | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 10 | Elect Jacques Nasser | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 11 | Elect Robert S. Silberman | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 12 | Elect Alvaro Uribe | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 13 | Ratification of Auditor | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 15 | 2013 Long-Term Incentive Plan | Mgmt | For |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 16 | Shareholder Proposal Regarding Independent Chairman | ShrHldr | Against |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 17 | Shareholder Proposal Regarding Recapitalization | ShrHldr | Against |
| Twenty-First Century Fox Inc. | FOXA | 10/18/2013 | 18 | Declaration of Domicile | Mgmt | |
| Twenty-First Century Fox Inc. | FOXA | 3/21/2014 | 1 | Delisting from the Australian Securities Exchange | Mgmt | For |

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|-------------------------------|------|-----------|----|--|---------|---------|
| Twenty-First Century Fox Inc. | FOXA | 3/21/2014 | 2 | Citizenship Status--Vote in the Affirmative if Stock Is Beneficially Owned by a U.S. Stockholder | Mgmt | |
| Union Pacific Corporation | UNP | 5/15/2014 | 1 | Elect Andrew H. Card, Jr. | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 2 | Elect Erroll B. Davis, Jr. | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 3 | Elect David B. Dillon | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 4 | Elect Judith Richards Hope | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 5 | Elect John J. Koraleski | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 6 | Elect Charles C. Krulak | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 7 | Elect Michael R. McCarthy | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 8 | Elect Michael W. McConnell | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 9 | Elect Thomas F. McLarty III | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 10 | Elect Steven R. Rogel | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 11 | Elect Jose H. Villarreal | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 14 | Increase of Authorized Common Stock | Mgmt | For |
| Union Pacific Corporation | UNP | 5/15/2014 | 15 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Valero Energy Corporation | VLO | 5/1/2014 | 6 | Elect Phillip J. Pfeiffer | Mgmt | For |

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|-----------------------------|-----|-----------|----|---|---------|---------|
| Valero Energy Corporation | VLO | 5/1/2014 | 7 | Elect Robert A. Profusek | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 8 | Elect Susan K. Purcell | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 9 | Elect Stephen M. Waters | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 10 | Elect Randall J. Weisenburger | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 11 | Elect Rayford Wilkins Jr. | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 14 | Stockholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHldr | Against |
| Valero Energy Corporation | VLO | 5/1/2014 | 15 | Shareholder Proposal Regarding Greenhouse Gas Emissions Reporting and Reduction Targets | ShrHldr | Against |
| Valero Energy Corporation | VLO | 5/1/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Valero Energy Corporation | VLO | 5/1/2014 | 1 | Elect Jerry D. Choate | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 2 | Elect Joseph W Gorder | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 3 | Elect William R. Klesse | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 4 | Elect Deborah P. Majoras | Mgmt | For |
| Valero Energy Corporation | VLO | 5/1/2014 | 5 | Elect Donald L. Nickles | Mgmt | For |
| Verizon Communications Inc. | VZ | 1/28/2014 | 1 | Acquisition | Mgmt | For |
| | VZ | 1/28/2014 | 2 | | Mgmt | For |

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|-----------------------------|----|-----------|----|---|---------|---------|
| Verizon Communications Inc. | | | | Increase of Authorized Common Stock | | |
| Verizon Communications Inc. | VZ | 1/28/2014 | 3 | Right to Adjourn Meeting | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 1 | Elect Shellye L. Archambeau | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 2 | Elect Richard L. Carrión | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 3 | Elect Melanie L. Healey | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 4 | Elect M. Frances Keeth | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 5 | Elect Robert W. Lane | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 6 | Elect Lowell C. McAdam | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 7 | Elect Donald T. Nicolaisen | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 8 | Elect Clarence Otis, Jr. | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 9 | Elect Rodney E. Slater | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 10 | Elect Kathryn A. Tesija | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 11 | Elect Gregory D. Wasson | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 14 | Adoption of Proxy Access | Mgmt | For |
| Verizon Communications Inc. | VZ | 5/1/2014 | 15 | Shareholder Proposal Regarding Network Neutrality | ShrHldr | Against |
| Verizon Communications Inc. | VZ | 5/1/2014 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| | VZ | 5/1/2014 | 17 | | ShrHldr | Against |

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|-----------------------------------|-----|-----------|-----|--|---------|---------|
| Verizon Communications Inc. | | | | Shareholder Proposal Regarding Approval of Severance Agreements | | |
| Verizon Communications Inc. | VZ | 5/1/2014 | 18 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHldr | Against |
| Verizon Communications Inc. | VZ | 5/1/2014 | 19 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHldr | Against |
| Verizon Communications Inc. | VZ | 5/1/2014 | 20 | Shareholder Proposal Regarding Counting Shareholder Votes | ShrHldr | Against |
| Virtus Investment Partners Inc | DCA | 5/20/2014 | 1.1 | Elect William R. Moyer | Mgmt | For |
| Virtus Investment Partners Inc | DCA | 5/20/2014 | 1.2 | Elect James M. Oates | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 1 | Elect Mary B. Cranston | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 2 | Elect Francisco Javier Fernández Carbajal | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 3 | Elect Alfred F. Kelly, Jr. | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 4 | Elect Robert W. Matschullat | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 5 | Elect Cathy E. Minehan | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 6 | Elect Suzanne Nora Johnson | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 7 | Elect David J. PANG | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 8 | Elect Charles W. Scharf | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 9 | Elect William S. Shanahan | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 10 | Elect John A.C. Swainson | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 11 | Elect Maynard G. Webb, Jr. | Mgmt | For |

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|----------------------|-----|-----------|----|---|---------|---------|
| Visa Inc. | V | 1/29/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Visa Inc. | V | 1/29/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 1 | Elect Janice M. Babiak | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 2 | Elect David J. Brailer | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 3 | Elect Steven A. Davis | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 4 | Elect William C. Foote | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 5 | Elect Mark P. Frissora | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 6 | Elect Ginger L. Graham | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 7 | Elect Alan G. McNally | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 8 | Elect Dominic P. Murphy | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 9 | Elect Stefano Pessina | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 10 | Elect Nancy M. Schlichting | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 11 | Elect Alejandro Silva | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 12 | Elect James A. Skinner | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 13 | Elect Gregory D. Wasson | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Walgreen Co. | WAG | 1/8/2014 | 16 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHldr | Against |
| Walgreen Co. | WAG | 1/8/2014 | 17 | Shareholder Proposal Regarding Proxy Access | ShrHldr | Against |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 1 | Elect Aida M. Alvarez | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 2 | Elect James I. Cash, | Mgmt | For |

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|------------------------|-----|-----------|----|---|---------|---------|
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 3 | Elect Roger C. Corbett | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 4 | Elect Pamela J. Craig | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 5 | Elect Douglas N. Daft | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 6 | Elect Michael T. Duke | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 7 | Elect Timothy P. Flynn | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 8 | Elect Marissa A. Mayer | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 9 | Elect C. Douglas McMillon | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 10 | Elect Gregory B. Penner | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 11 | Elect Steven S. Reinemund | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 12 | Elect Jim C. Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 13 | Elect S. Robson Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 14 | Elect Linda S. Wolf | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 15 | Ratification of Auditor | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 18 | Shareholder Proposal Regarding Recoupment Report | ShrHldr | Against |
| Wal-Mart Stores Inc. | WMT | 6/6/2014 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Waste Management, Inc. | WM | 5/13/2014 | 1 | Elect Bradbury H. Anderson | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 2 | Elect Frank M. Clark, Jr. | Mgmt | For |
| Waste Management, | WM | 5/13/2014 | 3 | Elect Patrick W. Gross | Mgmt | For |

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|------------------------|-----|-----------|----|--|---------|---------|
| Inc. | | | | | | |
| Waste Management, Inc. | WM | 5/13/2014 | 4 | Elect Victoria M. Holt | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 5 | Elect John C. Pope | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 6 | Elect W. Robert Reum | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 7 | Elect David P. Steiner | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 8 | Elect Thomas H. Weidemeyer | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 9 | Ratification of Auditor | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 11 | 2014 Stock Incentive Plan | Mgmt | For |
| Waste Management, Inc. | WM | 5/13/2014 | 12 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHldr | Against |
| Wellpoint Inc. | WLP | 5/14/2014 | 1 | Elect R. Kerry Clark | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 2 | Elect Robert L. Dixon, Jr. | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 3 | Elect Lewis Hay, III | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 4 | Elect William J. Ryan | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 5 | Ratification of Auditor | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wellpoint Inc. | WLP | 5/14/2014 | 7 | Shareholder Proposal Regarding Prohibiting Political Spending | ShrHldr | Against |
| Wells Fargo & Company | WFC | 4/29/2014 | 1 | Elect John D. Baker II | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 2 | Elect Elaine L. Chao | Mgmt | For |
| | WFC | 4/29/2014 | 3 | Elect John S. Chen | Mgmt | For |

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|---|-----|-----------|-----|--|---------|---------|
| Wells Fargo & Company | | | | | | |
| Wells Fargo & Company | WFC | 4/29/2014 | 4 | Elect Lloyd H. Dean | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 5 | Elect Susan E. Engel | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 6 | Elect Enrique Hernandez, Jr. | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 7 | Elect Donald M. James | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 8 | Elect Cynthia H. Milligan | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 9 | Elect Federico F. Peña | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 10 | Elect James H. Quigley | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 11 | Elect Judith M. Runstad | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 12 | Elect Stephen W. Sanger | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 13 | Elect John G. Stumpf | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 14 | Elect Susan G. Swenson | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 16 | Ratification of Auditor | Mgmt | For |
| Wells Fargo & Company | WFC | 4/29/2014 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Wells Fargo & Company | WFC | 4/29/2014 | 18 | Shareholder Proposal Regarding Discriminatory Mortgage Servicing and Foreclosure Practices | ShrHldr | Against |
| Western Asset Managed High Income Portfolio | MHY | 6/27/2014 | 1.1 | Elect Carol L. Colman | Mgmt | For |

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|--|-----|-----------|-----|-----------------------------|------|-----|
| Inc. | | | | | | |
| Western Asset Managed High Income Portfolio Inc. | MHY | 6/27/2014 | 1.2 | Elect Leslie H. Gelb | Mgmt | For |
| Western Asset Managed High Income Portfolio Inc. | MHY | 6/27/2014 | 1.3 | Elect Riordan Roett | Mgmt | For |
| Western Asset Worldwide Income Fund Inc | SBW | 4/25/2014 | 1.1 | Elect Leslie H. Gelb | Mgmt | For |
| Western Asset Worldwide Income Fund Inc | SBW | 4/25/2014 | 1.2 | Elect Eileen A. Kamerick | Mgmt | For |
| Western Asset Worldwide Income Fund Inc | SBW | 4/25/2014 | 1.3 | Elect Jeswald W. Salacuse | Mgmt | For |
| Western Asset Worldwide Income Fund Inc | SBW | 4/25/2014 | 1.4 | Elect Kenneth D. Fuller | Mgmt | For |
| Western Asset-Claymore Inflation-Linked Opportunities & Income | WIW | 5/6/2014 | 1.1 | Elect Michael Larson | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 1 | Elect Debra A. Cafaro | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 2 | Elect Mark A. Emmert | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 3 | Elect John I. Kieckhefer | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 4 | Elect Wayne Murdy | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 5 | Elect Nicole W. Piasecki | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 6 | Elect Doyle R. Simons | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 7 | Elect Richard H. Sinkfield | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 8 | Elect D. Michael Steuert | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 9 | Elect Kim Williams | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 10 | Elect Charles R. Williamson | Mgmt | For |
| Weyerhaeuser Company | WY | 4/10/2014 | 11 | | Mgmt | For |

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| | | | | | Advisory Vote on Executive Compensation | | |
|----------------------|-----|-----------|----|--|---|--|---------|
| Weyerhaeuser Company | WY | 4/10/2014 | 12 | Ratification of Auditor | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 1 | Elect James J. Bender | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 2 | Elect Robert K. Herdman | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 3 | Elect George A. Lorch | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 4 | Advisory Vote on Executive Compensation | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 5 | Ratification of Auditor | Mgmt | | For |
| WPX Energy Inc. | WPX | 5/22/2014 | 6 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHldr | | Against |
| WPX Energy Inc. | WPX | 5/22/2014 | 7 | Shareholder Proposal Regarding Declassification of the Board | ShrHldr | | Against |
| Xcel Energy Inc. | XEL | 5/21/2014 | 1 | Elect Gail K. Boudreaux | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 2 | Elect Richard K. Davis | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 3 | Elect Benjamin G.S. Fowke III | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 4 | Elect Albert F. Moreno | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 5 | Elect Richard T. O'Brien | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 6 | Elect Christopher J. Policinski | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 7 | Elect A. Patricia Sampson | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 8 | Elect James J. Sheppard | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 9 | Elect David A. Westerlund | Mgmt | | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 10 | Elect Kim Williams | Mgmt | | For |

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|------------------|------|-----------|----|--|---------|---------|
| Xcel Energy Inc. | XEL | 5/21/2014 | 11 | Elect Timothy V. Wolf | Mgmt | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Xcel Energy Inc. | XEL | 5/21/2014 | 14 | Shareholder Proposal Regarding Independent Board Chairman | ShrHldr | Against |
| Yahoo! Inc. | YHOO | 6/25/2014 | 1 | Elect David Filo | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 2 | Elect Susan M. James | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 3 | Elect Max R. Levchin | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 4 | Elect Marissa A. Mayer | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 5 | Elect Thomas J. McInerney | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 6 | Elect Charles R. Schwab | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 7 | Elect H. Lee Scott, Jr. | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 8 | Elect Jane E. Shaw | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 9 | Elect Maynard G. Webb, Jr. | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 11 | Amendment to the Stock Plan | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 12 | Ratification of Auditor | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 13 | Right to Call a Special Meeting | Mgmt | For |
| Yahoo! Inc. | YHOO | 6/25/2014 | 14 | Shareholder Proposal Regarding Formation of a Human Rights Committee | ShrHldr | Against |
| Yahoo! Inc. | YHOO | 6/25/2014 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHldr | Against |
| Yahoo! Inc. | YHOO | 6/25/2014 | 16 | | ShrHldr | Against |

Shareholder Proposal
Regarding Political
Contributions and
Expenditures Report

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.

By (Signature and Title)

/s/ Ralph W. Bradshaw
Ralph W. Bradshaw, President and
Chairman
(Principal Executive Officer)

Date August 11, 2014