

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

BROWN FORMAN CORP
Form SC 13D/A
February 14, 2002

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20645

SCHEDULE 13D

Under the Securities Exchange Act of 1934
(Amendment No. 3)

BROWN-FORMAN CORPORATION
(Name of Issuer)

Class A Common Stock
(Title of Class of Securities)

115637-10-0
(CUSIP Number)

Thomas E. Rutledge
Ogden Newell & Welch PLLC
1700 PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202
(502) 582-1601
(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

January 1, 2002
(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box [].

CUSIP NO. 115637-10-0

(1) Names of reporting persons..... W.L. Lyons Brown,
I.R.S. Identification Nos. of above persons 404-48-3454

(2) Check the appropriate box if a member of a group (a)
(see instructions) -----

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

(b)

(3)	SEC use only	
(4)	Source of funds (see instructions).....	N/A
(5)	Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)	
(6)	Citizenship or Place of Organization	United States of A
Number of shares beneficially owned by each reporting person with:		
(7)	Sole voting power	736,465
(8)	Shared voting power.....	13,045,747
(9)	Sole dispositive power	736,465
(10)	Shared dispositive power	13,045,747
(11)	Aggregate amount beneficially owned by each reporting person	13,782,212
(12)	Check if the aggregate amount Row (11) excludes certain shares (see instructions).	N/A
(13)	Percent of class represented by amount in Row (11).....	47.54%
(14)	Type of reporting person (see instructions)	IN

2

ITEM 1. SECURITY AND ISSUER.

Brown-Forman Corporation
 Class A Common Stock
 850 Dixie Highway
 Louisville, Kentucky 40210

ITEM 2. IDENTITY AND BACKGROUND.

a) Name: W.L. Lyons Brown, Jr.

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

- b) Principal Business address: 501 Fourth Street
Hilliard-Lyons Center
Louisville, Kentucky 40202
- c) United States Ambassador to Austria; Retired Chairman and Chief Executive Officer of the issuer, Brown-Forman Corporation.
- d) Criminal Convictions: No criminal convictions in the last five years (excluding traffic violations or similar misdemeanors).
- e) Securities Proceedings: No judgments, decrees or final orders enjoining further violations of, or prohibiting or mandating activities subject to federal or state securities laws or finding any violations with respect to such laws.

ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION.

N/A

ITEM 4. PURPOSE OF TRANSACTION.

N/A

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER.

The amount of shares beneficially owned by the undersigned as of December 31, 2001, is as follows:

3

	Aggregate Number -----	Percent of Class -----
(a) Beneficially Owned	13,782,212	47.54%
(b) Sole Voting Power	736,465	
Shared by Voting Power	13,045,747	
Sole Disposition Power	736,465	
Shared Disposition Power	13,045,747	
(c) No transactions in Brown-Forman Corporation Class A Common Stock were effected by W.L. Lyons Brown, Jr. in the sixty days prior to December 31, 2001.		
(d) Other persons have the right to receive income from trusts holding Brown-Forman Corporation Class A Common Stock, as to which stock the undersigned has sole or shared voting power. The following person is sole income beneficiary of one of these trusts which holds more than 5% of the outstanding shares of such Class A Common Stock: Sarah S. Brown.		

/s/ Wanda L. Welch

Notary Public

My Commission Expires: June 22, 1996
