#### WRIGHT DICKERSON

Form 4 July 03, 2018

# FORM 4

subject to

Section 16.

Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN B

(Middle)

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

SECURITIES

Form 5 obligations may continue. See Instruction See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*\* WRIGHT DICKERSON

(First)

2. Issuer Name **and** Ticker or Trading

Symbol

NIVIS Clab

NV5 Global, Inc. [NVEE]

3. Date of Earliest Transaction

(Month/Day/Year)

200 SOUTH PARK RD., SUITE 350 07/02/2018

ear) \_\_X\_\_ Director \_\_X\_\_ 10% Owner \_\_X\_\_ Officer (give title \_\_\_\_\_ Other (specify

Issuer

below) below)
Chairman and CEO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

**OMB APPROVAL** 

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Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

### HOLLYWOOD, FL 33021

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative	Secu	rities Acqu	ired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3, 4)	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/02/2018		S	10,000	D (3)	\$ 69.078	921,717	I	Wright Family Trust dated December 12, 1990 (1)
Common Stock							135,978	I	The Lauren Wright Trust UAD the Dickerson Wright

2010

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Common Stock	135,978	I	GRAT dated June 28, 2010 (1)  The Stephanie Wright Trust UAD the Dickerson Wright 2010 GRAT dated June 28, 2010 (1)
Common Stock	135,978	I	The Lauren Wright Trust UAD the Katherine Wright 2010 GRAT dated June 28, 2010 (1)
Common Stock	135,978	I	The Stephanie Wright Trust UAD the Katherine Wright 2010 GRAT dated June 28, 2010 (1)
Common Stock	363,778	I	Dickerson Wright 2012 GRAT dated November 9, 2012 (1)
Common Stock	363,778	I	Katherine Wright 2012 GRAT dated November

9,  $2012 \frac{(1)}{2}$ 

(9-02)

9. Nu

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
WRIGHT DICKERSON 200 SOUTH PARK RD. SUITE 350 HOLLYWOOD, FL 33021	X	X	Chairman and CEO				

## **Signatures**

/s/ MaryJo OBrien, as attorney in fact

\*\*Signature of Reporting Person

Date

07/03/2018

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Dickerson Wright and his wife, Katherine Wright, are trustees. Mr. Wright disclaims beneficial ownership of these securities except to the **(1)** extent of this pecuniary interest therein.
- (2) The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (3) The shares set forth in Column 4 of this Form 4 reflect shares sold by the reporting person for tax planning purposes.

Reporting Owners 3

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The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.00 to \$69.15, inclusive. The reporting person undertakes to provide to NV5 Global, Inc., any security holder of NV5 Global, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.