Northwest Bancshares, Inc. Form 4

December 04, 2013

# FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287 January 31,

Expires: 2005

Estimated average 0.5

**OMB APPROVAL** 

burden hours per response...

5. Relationship of Reporting Person(s) to

Issuer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

Ritzert Gerald J

1. Name and Address of Reporting Person \*

		Northwes	Northwest Bancshares, Inc. [NWBI]				(Check all applicable)		
(Last) 100 LIBERTY	(Month/Day	3. Date of Earliest Transaction (Month/Day/Year) 12/03/2013				Director 10% Owner X Officer (give title Other (specify below) Sr. V.P., Controller			
WARREN, P.	(Street) Δ 16365		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
						F	Person		
(City)	(State) (Zi	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	(A)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Northwest Bancshares, Inc.	12/03/2013	12/03/2013	X	2,790	A	\$ 11.33	28,665.599	D	
Northwest Bancshares, Inc.	12/03/2013	12/03/2013	X	3,937	A	\$ 10.19	32,602.599	D	
Northwest Bancshares, Inc.	12/03/2013	12/03/2013	S	6,727	D	\$ 14.8	25,875.599 (1)	D	
Northwest Bancshares,							678	I	Wife's IRA

Inc.

Northwest

Bancshares, 9,027.681 (2) I 401-K

Inc.

Northwest

Bancshares, 2,190.747 (3) I ESOP

Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D S (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (Right to Buy)	\$ 11.33	12/03/2013	12/03/2013	X	2,790	12/15/2004	12/15/2014	Common Stock	2,790	
Stock Options (Right to	\$ 10.19	12/03/2013	12/03/2013	X	3,937	01/19/2006	01/19/2015	Common Stock	3,937	

# **Reporting Owners**

Buy)

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Ritzert Gerald J 100 LIBERTY STREET WARREN, PA 16365			Sr. V.P., Controller				

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### **Signatures**

Gerald J. Ritzert 12/04/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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