

Capitol Federal Financial Inc
 Form 4
 February 08, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TOWNSEND KENT G

2. Issuer Name and Ticker or Trading Symbol
Capitol Federal Financial Inc [CFFN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/06/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Executive Vice President

C/O CAPITOL FEDERAL FINANCIAL, INC., 700 SOUTH KANSAS AVENUE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

TOPEKA, KS 66603

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
CFFN common stock	02/06/2017		M	90,000 A	\$ 11.91	216,082	D
CFFN common stock	02/06/2017		M	13,804 A	\$ 14.96	229,886	D
CFFN common stock	02/06/2017		S	85,815 D	\$ 15.1643	144,071	D

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CFFN common stock	02/07/2017	S	12,040	D	\$ 15.1652	132,031	D	
CFFN common stock	02/08/2017	S	5,949	D	\$ 15.0617	126,082	D	
CFFN common stock						71,484	I	ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
CFFN Incentive Stock Option	\$ 11.91	02/06/2017		M	15,595	(1) 05/14/2022	CFFN common stock	
CFFN Non-qualified Stock Option	\$ 11.91	02/06/2017		M	56,416	(1) 05/14/2027	CFFN common stock	
CFFN Non-qualified Stock Option	\$ 14.96	02/06/2017		M	13,804	(1) 08/23/2020	CFFN common stock	
CFFN Incentive Stock Option	\$ 11.91	02/07/2017		M	12,040	(1) 05/14/2022	CFFN common stock	
CFFN Incentive Stock Option	\$ 11.91	02/08/2017		M	5,949	(1) 05/14/2022	CFFN common stock	
CFFN phantom stock	\$ 12.11					12/31/2016(2) 12/31/2016	CFFN common	

