### Edgar Filing: COOL TECHNOLOGIES, INC. - Form 4

COOL TECHNOLOGIES, INC. Form 4 March 10, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).							OMB Number: Expires: Estimated a burden hour response				
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Bibb Judson William III			2. Issuer Name <b>and</b> Ticker or Trading Symbol COOL TECHNOLOGIES, INC. [WARM]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O COOL TECHNOLOGIES, INC., 8875 HIDDEN RIVER PARKWAY, SUITE 300			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>03/09/2017</li></ul>					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Vice President			
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
TAMPA, F								Person		porting	
(City)	(State)	(Zip)	Tabl			Secu	rities Acq	uired, Disposed of	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/09/2017	03/09/20	017	Р	100	А	\$ 0.1	1,120,900	D		
Common Stock	03/09/2017	03/09/20	)17	Р	100	A	\$ 0.0974	1,121,000	D		
Common Stock	03/10/2017	03/10/20	)17	Р	100	А	\$ 0.0975	1,121,100	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not

(9-02)

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# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bibb Judson William III C/O COOL TECHNOLOGIES, INC. 8875 HIDDEN RIVER PARKWAY, SUITE 300 TAMPA, FL 33637	Х		Vice President			

### **Signatures**

Judson William 03/10/2017 Bibb III

<u>\*\*</u>Signature of Reporting Person

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.