Edgar Filing: CIRCOR INTERNATIONAL INC - Form 4

| CIRCOR INT Form 4 | FERNATIONAL | INC | | | | | | | | | | |
|---|---|--|--|---|---|---|----------------------|--|---|-----------|--|--|
| October 11, 2 | | | | | | | | | OMB A | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | - | 3235-0287 | | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sect 30(h) of the Investment Company Act of 1940 | | | | | ge Act of 1934, f 1935 or Sectio | In Expires: January 31 2009 Estimated average burden hours per response 0.9 | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Dawkins Tanya | | | 2. Issuer Name and Ticker or Trading Symbol CIRCOR INTERNATIONAL INC [CIR] | | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) (First) (Middle) 30 CORPORATE DRIVE, SUITE 200 | | | 3. Date of Earliest Transaction (Month/Day/Year) 10/10/2016 | | | | | Director 10% Owner Officer (give title Other (specify below) Corporate Treasurer | | | | |
| | (Street) | | | | Amendment, Date Original l(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| BURLINGT | ON, MA 01803 | | | | | | | Person | More than One R | eporting | | |
| (City) | (State) (Z | Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | ate 2A. Deemed r) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Common Stock | 10/08/2016 | 10/10/2 | 2016 | Code V M | Amount 75 | (D) A | Price (<u>1)</u> | (Instr. 3 and 4) 305 | D | | | |
| Common Stock | 10/08/2016 | 10/10/2 | 2016 | F | 25 | D | <u>(1)</u> | 280 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. F Den Sec (Ins |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Unit | \$ 0 | 10/08/2016 | 10/10/2016 | М | 75 | 10/08/2016 | 09/08/2025 | Common Stock | 75 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Dawkins Tanya 30 CORPORATE DRIVE, SUITE 200 BURLINGTON, MA 01803 | I | | Corporate Treasurer | | | | | |
| Signatures | | | | | | | | |
| /s/ Rajeev Bhalla, attorney in-fact | 10/10/201 | 6 | | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 09/08/2015 utilizing a fair market value (FMV) of a share of the issuers stock of

(1) \$44.99. The RSU grant vests in equal portions over a three year period, and are received by the Reporting Person upon vesting, on a one-for-one basis. This report reflects the vesting of one-third portion of the original RSU grant and the acquisition by the Reporting Person of the underlying shares minus sufficient shares withheld to pay applicable income taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.