

MASCHMEIER STEPHANIE

Form 4

February 08, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MASCHMEIER STEPHANIE

(Last) (First) (Middle)

11465 JOHNS CREEK PARKWAY,
SUITE 400

(Street)

JOHNS CREEK, GA 30097

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
SAIA INC [SAIA]

3. Date of Earliest Transaction
(Month/Day/Year)

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

Controller

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	02/06/2018		A		452 ⁽¹⁾	A \$ 0	3,677.5 D
Common Stock	02/06/2018		A		1,962 ⁽²⁾	A \$ 0	5,639.5 D
Common Stock	02/06/2018		F		589 ⁽³⁾	D \$ 73.35	5,050.5 D
Common Stock	02/07/2018		M		4,220	A \$ 43.01	9,270.5 D
Common Stock	02/07/2018		S		4,220	D \$ 74.3523	5,050.5 D

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Common Stock	02/07/2018	S	2,584	D	\$ 74.3523	2,466.5	D
Common Stock	02/08/2018	S	1,373	D	\$ 72	1,093.5	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Options (Right to Buy)	\$ 73.35	02/06/2018		A		1,270		02/06/2021	02/06/2025	Common Stock	1,270
Stock Options (Right to Buy)	\$ 43.01	02/07/2018		M		4,220		02/03/2018	02/03/2022	Common Stock	4,220
Phantom Stock	<u>(4)</u>							<u>(5)</u>	<u>(6)</u>	Common Stock	7,136
Stock Options (Right to Buy)	\$ 46.35							02/07/2020	02/07/2024	Common Stock	1,630
Stock Options (Right to Buy)	\$ 27.45							02/08/2019	02/08/2023	Common Stock	6,110

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MASCHMEIER STEPHANIE
11465 JOHNS CREEK PARKWAY, SUITE 400
JOHNS CREEK, GA 30097

Controller

Signatures

/s/ Stephanie R.
Maschmeier

02/08/2018

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares granted as part of the long-term incentive program approved by the Compensation Committee. The restricted stock award cliff vests in year three.
- (2) Issuance of Performance Unit Awards under the 2011 Omnibus Incentive Plan for the 1/1/15-12/31/17 performance period.
- (3) Shares withheld at officer's election to cover tax liabilities incurred upon the issuance of Performance Unit Awards under the 2011 Omnibus Incentive Plan for the 1/1/15-12/31/17 performance period.
- (4) The conversion rate of this derivative security on February 6, 2018 is 1.1841 resulting in 8,450.61 shares of common stock (underlying security in column 7).
- (5) Immediate
- (6) The shares of phantom stock become payable in the Company's common stock upon reporting person's termination of service as an employee, in accordance with the terms of the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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