Dawkins Tanya Form 4 February 26, 2019

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

**Dawkins Tanya** 

(First) (Middle)

30 CORPORATE DRIVE, SUITE 200

**BURLINGTON, MA 01803** 

(Street)

2. Issuer Name and Ticker or Trading

Symbol

CIRCOR INTERNATIONAL INC [CIR]

3. Date of Earliest Transaction

(Month/Day/Year) 02/23/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify Officer (give title

below) VP, Corporate Treasurer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)                               | (State) (Z                              | Zip) Table  | I - Non-De                             | erivative S   | Securi           | ties Ac    | quired, Disposed o   | of, or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|---|------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities ctionAcquired (A) or Disposed of (D) 8) (Instr. 3, 4 and 5) |                  | ))         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |   | Code V                                 | Amount  | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common<br>Stock                      | 02/23/2019                              | 02/23/2019  | M                                      | 86  | A                | <u>(1)</u> | 685  | D  |   |
| Common<br>Stock                      | 02/23/2019                              | 02/23/2019  | F                                      | 30  | D                | (1)        | 655  | D  |   |
| Common<br>Stock                      | 02/23/2019                              | 02/23/2019  | M                                      | 7   | A                | <u>(2)</u> | 662  | D  |   |
| Common<br>Stock                      | 02/23/2019                              | 02/23/2019  | F                                      | 3   | D                | <u>(2)</u> | 659  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of                          | 2.  | 3. Transaction Date |   | 4. 5. TransactionNumber |   | 6. Date Exercisable and |                    | 7. Title and Amount of Underlying Securities |  |
|--------------------------------------|---|---------------------|---|-------------------------|---|-------------------------|--------------------|--|--|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if any (Month/Day/Year) | Code (Instr. 8)         | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                         |                    | (Instr. 3 and                                |  |
|                                      |   |                     |   | Code V                  | (A) (D)   | Date<br>Exercisable     | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock Unit             | \$ 0  | 02/23/2019          | 02/23/2019                              | M                       | 86  | 02/23/2019              | 02/23/2026         | Common<br>Stock                              | 86                                     |
| Management<br>Stock<br>Purchase      | \$ 0  | 02/23/2019          | 02/23/2019                              | M                       | 7   | 02/23/2019              | 02/23/2026         | Common<br>Stock                              | 7                                      |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dawkins Tanya

30 CORPORATE DRIVE, SUITE 200 BURLINGTON, MA 01803

VP, Corporate Treasurer

#### **Signatures**

/s/ Kevin Chapman,

attorney-in-fact 02/26/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 2/23/2016 utilizing a fair market value (FMV) of a share of the issuers stock of \$38.89. The RSU grant vests in equal portions over a three year period, and are received by the Reporting Person upon vesting, on a one-for-one basis. This report reflects the final vesting of one-third portion of the original RSU grant and the acquisition by the Reporting

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Person of the underlying shares minus sufficient shares withheld to pay applicable income taxes.

The Restricted Stock Units (RSUs), the conversion of which are reported herein, were issued to the Reporting Person pursuant to issuers Management Stock Purchase Plan (MSPP) on 2/23/2016 utilizing a fair market value (FMV) of a share of the issuers stock of \$38.89. RSUs are issued in whole units on the basis of a 33 percent discount from FMV of the issuers common stock on the date the underlying

(2) bonus is determined (\$26.06 in this case) and generally vest 3 years from date of grant, at which time they convert into shares of common stock unless the executive has previously elected a longer deferral period. Acquisition price reflects the 33% discount to FMV of issuers stock on grant date. This report reflects the acquisition by the Reporting Person of the common stock underlying the RSUs minus sufficient shares withheld to pay applicable income taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.