

Edgar Filing: LGL GROUP INC - Form 8-K

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Edgar Filing: LGL GROUP INC - Form 8-K

Item 5.07. Submission of Matters to a Vote of Security Holders.

On October 4, 2018, The LGL Group, Inc. (the “Company”) held its 2018 Annual Meeting of Stockholders (the “Annual Meeting”).

The following matters were submitted to a vote of the Company’s stockholders at the Annual Meeting: (i) the election of six directors to serve until the Company’s 2019 Annual Meeting of Stockholders and until their successors are duly elected and qualified; (ii) the ratification of the appointment of RSM US LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2018; and (iii) a non-binding advisory resolution to approve the compensation of the Company’s named executive officers. The three proposals are described in detail in the Company’s definitive proxy statement on Schedule 14A filed with the Securities and Exchange Commission on September 14, 2018 (the “Definitive Proxy Statement”).

Each of the matters submitted to a vote of the Company’s stockholders at the Annual Meeting was approved by the requisite vote of the Company’s stockholders. Set forth below is the number of votes cast for, against or withheld, as well as the number of abstentions and broker non-votes as to each such matter, including a separate tabulation with respect to each nominee for director, as applicable.

Nominee	Broker			Non-Votes
	For	Withheld	Non-Votes	
Michael J. Ferrantino, Sr.	1,861,850	71,673	2,335,153	153
Timothy Foufas	1,632,048	301,475	2,335,153	153
Marc Gabelli	1,861,133	72,390	2,335,153	153
Donald H. Hunter	1,632,048	301,475	2,335,153	153
Manjit Kalha	1,627,713	305,810	2,335,153	153
Hendi Susanto	1,860,245	73,278	2,335,153	153

Proposal	Broker			Non-Votes
	For	Against	Abstain	
Ratification of the appointment of RSM US LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2018	4,267,378	1,073	225	–

Proposal	Broker			Non-Votes
	For	Against	Abstain	
Non-binding advisory resolution to approve the compensation of the Company’s named executive officers	1,740,000	181,499	12,024	2,335,153

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

October 12, 2018 THE LGL GROUP, INC.

By: /s/ James W. Tivy
Name: James W. Tivy
Title: Chief Financial Officer