

LANDY EUGENE W
Form 4
January 11, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LANDY EUGENE W

2. Issuer Name **and** Ticker or Trading
Symbol
MONMOUTH REAL ESTATE
INVESTMENT CORP [MNR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3499 RT. 9 NORTH, SUITE 3D
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/10/2019

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman of the Board

FREEHOLD, NJ 07728

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
MNR Common Stock					878,638.738	D	
MNR Common Stock					179,405	I	Eugene W. Landy and Gloria Landy Family Foundation
MNR Common Stock					39,361.087	I	Juniper Plaza Associates

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MNR Common Stock	29,527.275	I	Windsor Industrial Park Associates
MNR Common Stock	97,913.57	I	Spouse
MNR Common Stock	13,048	I	Landy Investments, Ltd.
MNR Common Stock	184,293.62	I	Landy & Landy Employees' Pension Plan
MNR Common Stock	217,426.819	I	Landy & Landy Employees' Profit Sharing Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Am or Num of S
Stock Option to Purchase Common Stock	\$ 12.86	01/10/2019		A	65,000 (1)	01/10/2020 01/10/2027	MNR Common Stock	65
Qualified Stock Option to Purchase Common	\$ 17.8					01/03/2019 01/03/2026	MNR Common Stock	65

Stock

Qualified
Stock Option
to Purchase
MNR

\$ 15.04

Common
Stock

01/04/2018 01/04/2025

MNR
Common
Stock

65

Qualified
Stock Option
to Purchase
MNR

\$ 10.37

Common
Stock

01/05/2017 01/05/2024

MNR
Common
Stock

65

Qualified
Stock Option
to Purchase
MNR

\$ 11.16

Common
Stock

01/05/2016 01/05/2023

MNR
Common
Stock

65

Qualified
Stock Option
to Purchase
Common
Stock

\$ 8.94

01/03/2015 01/03/2022

MNR
Common
Stock

65

Qualified
Stock Option
to Purchase
Common
Stock

\$ 10.46

01/03/2014 01/03/2021

MNR
Common
Stock

65

Qualified
Stock Option
to Purchase
Common
Stock

\$ 9.33

01/03/2013 01/03/2020

MNR
Common
Stock

65

Non-Qualified
Stock Option
to Purchase
Common
Stock

\$ 8.72

01/03/2019 02/28/2019

MNR
Common
Stock

40

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
	X		Chairman of the Board	

LANDY EUGENE W
3499 RT. 9 NORTH
SUITE 3D
FREEHOLD, NJ 07728

Signatures

Eugene W.
Landy

01/11/2019

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) New stock option granted on January 10, 2019 for 65,000 shares of MNR Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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