A-Mark Precious Metals, Inc.

Form 4

February 25, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ROBERTS GREGORY N

(First) (Middle)

429 SANTA MONICA BLVD., SUITE 230

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Street)

(State)

(Zip)

2. Transaction Date 2A. Deemed

SANTA MONICA, CA 90401

2. Issuer Name and Ticker or Trading

Symbol

A-Mark Precious Metals, Inc. [AMRK]

3. Date of Earliest Transaction (Month/Day/Year)

02/19/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities (Month/Day/Year) Execution Date, if

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of (Month/Day/Year) Execution Date, if Derivative Conversion

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Executive Officer

6. Ownership

Form: Direct

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

X\_\_ 10% Owner Other (specify

7. Nature of

Ownership

(9-02)

(Instr. 4)

Indirect

(D) or Indirect Beneficial

Issuer

below)

\_X\_\_ Director

Applicable Line)

5. Amount of

Securities

Following

Reported

Owned

Beneficially

X\_ Officer (give title

Estimated average

burden hours per

1

#### Edgar Filing: A-Mark Precious Metals, Inc. - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (ADisposed of (Instr. 3, 4, 5)	f (D)	(Month/Day/	Year)	(Instr. 3 and	4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (right to buy)	\$ 19.8	02/19/2016		A	100,000		<u>(1)</u>	02/18/2026	Common Stock, par value \$0.01 per share	100,000
Stock Options (right to buy)	\$ 23.8	02/19/2016		A	100,000		(2)	02/18/2026	Common Stock, par value \$0.01 per share	100,000
Stock Options (right to buy)	\$ 25.5	02/19/2016		A	100,000		(2)	02/18/2026	Common Stock, par value \$0.01 per share	100,000

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
roporting of their reality requiress	Director	10% Owner	Officer	Other			
ROBERTS GREGORY N 429 SANTA MONICA BLVD. SUITE 230 SANTA MONICA, CA 90401	X	X	Chief Executive Officer				

#### **Signatures**

/s/ Gregory N.
Roberts

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest 33.3% per year on June 30 in 2017, 2018 and 2019.
- (2) The options vest 25% per year on June 30 in 2017, 2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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