#### MGP INGREDIENTS INC

Form 4 March 02, 2017

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction 1(b).

Stock

(Print or Type Responses)

			2. Issuer Name and Ticker or Trading Symbol MGP INGREDIENTS INC [MGPI]					Č	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (	Middle)	3. Date of Earliest Transaction					OFIJ	(Check all applicable)			
				(Month/Day/Year) 02/28/2017					Director 10% Owner Sofficer (give title Other (specify below) Vice President			
	(Street) 4. If Amendment Filed(Month/Day/				lment, Date Original				6. Individual or Joint/Group Filing(Check Applicable Line)			
ATCHISON, KS 66002				Theu(Month/Day/Tear)					_X_Form filed by One Reporting PersonForm filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non	ı-D	erivative S	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	Code (Instr. 8		4. Securitin(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/28/2017			M		13,250	A	<u>(1)</u>	54,458	D		
Common	02/28/2017			F		4,307	D	\$ 44.23	50,151	D		

44.23

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu or Di (D)	rities aired (A) asposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restrict Stock Units	ed <u>(1)</u>	02/28/2017		M		13,250	(2)(3)	(2)	Common Stock	13,250
Restrict Stock Units	ed <u>(4)</u>						11/28/2017	11/28/2017	Common Stock	15,000
Restrict Stock Units	ed <u>(5)</u>						01/22/2019	01/22/2019	Common Stock	15,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

DYKSTRA DAVID E

C/O MGP INGREDIENTS, INC.

100 COMMERCIAL STREET

Vice President

ATCHISON, KS 66002

## **Signatures**

/s/ Lori Norlen as attorney-in-fact for David
Dykstra
03/02/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) On March 1, 2012, the reporting person was granted 13,250 restricted stock units, cliff vesting 5 years from the date of grant.
- (3) This date was originally reported as 3/1/2017, but should have been 2/28/2017, 5 years after the 3/1/2012 award date. The share close price on 2/28/2017 was the conversion price of the derivative security.
- (4) Performance-based restricted stock unit award which will cliff vest on November 28, 2017, 5 years after the award date.

Reporting Owners 2

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(5) Performance-based restricted stock unit award which will cliff vest on January 22, 2019, 5 years after the award date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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